



# Correcting for trace gas absorption when retrieving aerosol optical depth from satellite observations of reflected shortwave radiation

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**Abstract.** Retrieving aerosol optical depth (AOD) from top-of-atmosphere (TOA) satellite-measured radiance requires separating the aerosol signal from the total observed signal. Total TOA radiance includes signal from the underlying surface and from atmospheric constituents such as aerosols, clouds and gases. Multispectral retrieval algorithms, such as the dark-target (DT) algorithm that operates upon the Moderate Resolution Imaging Spectroradiometer (MODIS, on board Terra and Aqua satellites) and Visible Infrared Imaging Radiometer Suite (VIIRS, on board Suomi-NPP) sensors, use wavelength bands in “window” regions. However, while small, the gas absorptions in these bands are non-negligible and require correction. In this paper, we use the High-resolution TRANsmission (HITRAN) database and Line-By-Line Radiative Transfer Model (LBLRTM) to derive consistent gas corrections for both MODIS and VIIRS wavelength bands. Absorptions from H<sub>2</sub>O, CO<sub>2</sub> and O<sub>3</sub> are considered, as well as other trace gases. Even though MODIS and VIIRS bands are “similar”, they are different enough that applying MODIS-specific gas corrections to VIIRS observations results in an underestimate of global mean AOD (by 0.01), but with much larger regional AOD biases of up to 0.07. As recent studies have been attempting to create a long-term data record by joining multiple satellite data sets, including MODIS and VIIRS, the consistency of gas correction has become even more crucial.

## 1 Introduction

Aerosols are fine particles in the atmosphere that scatter and/or absorb incoming solar radiation (insolation), and because of this they are active players in Earth’s energy budget (IPCC, 2013). In addition aerosols affect cloud and precipitation processes (Denman et al., 2007; Boucher et al., 2013), and they degrade air quality, contributing to increased morbidity and mortality rates worldwide (Lim et al., 2012). For these reasons characterizing and monitoring aerosol distributions have become a global priority (Boucher et al., 2013).

Satellite aerosol remote sensing allows for the characterization and monitoring of aerosols globally (Lenoble et al., 2013). Different aerosol remote-sensing schemes are applied, depending on the information received by the different satellite sensors (McCormick et al., 1979; Herman et al., 1997; Stowe et al., 1997; Tanré et al., 1997; Kaufman et al., 1997a; Torres et al., 1998; Veefkind et al., 1998; Higurashi and Nakajima, 1999; Deuzé et al., 1999; Knapp et al., 2002; Martonchik et al., 1998; Liu et al., 2005; Kahn et al., 2001; North et al., 1999; Bevan et al., 2012). In terms of passive satellite sensors that measure the solar radiation reflected by the Earth–atmosphere system, aerosol remote-sensing methods must isolate the information obtained from the interaction of solar radiation with aerosols from the information obtained from all other interactions: reflectance from the surface, scattering from atmospheric molecules and clouds, absorption by atmospheric gases etc. (Vermote et al., 1997). Thus, characterizing and removing these other sources of information in the satellite signal are becoming a fundamental part of the process.

Some of the interactions requiring removal continue to receive considerable attention as new sensors are deployed and new aerosol remote-sensing algorithms are derived. These include characterizing the contribution from the surface and masking clouds (Hutchison et al., 2008; Shi et al., 2014). Other interactions have received much less attention, as these are considered to be well understood and simple to apply to new situations. These latter ones include molecular scattering and gaseous absorption (Tanré et al., 1992; Vermote et al., 1997). However, the requirements on the accuracy of aerosol remote-sensing products become tighter as instrument capabilities, calibration and retrieval methods improve. For example, Hollman et al. (2013) recently suggested that, to reduce uncertainties on climate, aerosol optical depth (AOD) should be monitored to an accuracy on the order of  $\pm(0.03 + 10\%)$ ; e.g., GCOS, 2011; GCOS-IP, 2016). The Aerosol, Clouds and Ecosystems (ACE) white paper called for an accuracy of  $\pm(0.02 + 10\%)$  (Starr et al., 2010). To meet such tight criteria, all aspects of traditional aerosol remote-sensing methods require re-examination with the objective of reducing uncertainties in the final retrieval and assuring continuity as the aerosol climate data record is passed from one sensor to the next (Popp et al., 2016).

In this paper we focus on gaseous absorption. Aerosol retrieval algorithms (Vermote et al., 1997) tend to use satellite observations taken in wavelength regions where gas absorptions are small. However, while gas absorption is small in these “window” bands, it is not zero. For example, for the 20 nm wide Moderate Resolution Imaging Spectroradiometer (MODIS) band near 0.55  $\mu\text{m}$ , in the middle of the Chappuis region, there is absorption due to ozone. For the US 1976 Standard Atmosphere (US76, 1976), with total column ozone of 344 Dobson units (DU), the gas absorption optical depth ( $\tau^{\text{GAS}}$ ) is about 0.03 in this band. This is of similar magnitude to pristine AOD ( $\sim 0.05$ ) and is equal to the required measurement accuracy (GCOS, 2011; GCOS-IP, 2016). Water vapor, measured as precipitable water vapor (PW or  $w$ ), absorbs as well and introduces even greater uncertainty. For example, the  $w$  of the US76 is a modest 1.4 cm, which translates to  $\tau$  of about 0.025 in the MODIS 2.11  $\mu\text{m}$  band or a  $\tau$  of 0.05 for a similar-wavelength Visible Infrared Imaging Radiometer Suite (VIIRS) band centered near 2.25  $\mu\text{m}$ . The major difficulty with ozone and water vapor is that the total column burden of these gases varies spatially and temporally over the globe (Hegglin et al., 2014). Other trace gases, including carbon dioxide and methane, also absorb shortwave radiation in wavelength-specific regions. While these gases are more evenly distributed (well mixed) across the globe, failing to correct for their absorption would also lead to errors in aerosol retrieval.

Different aerosol retrieval algorithms respond to the challenge of gaseous correction differently. Some include all gaseous absorbers and account for the variability of water vapor and ozone (Levy et al., 2013, 2015), while others use a fixed ozone concentration (e.g., Thomas et al., 2010;

Sayer et al., 2012), and others correct for some gases but consider the effect of other gases to be negligible (MISR ATBD 09, 2008; available at <https://eosps.nasa.gov/sites/default/files/atbd/atbd-misr-09.pdf>, last access: 31 May 2018). Few include methane (Levy et al., 2013, 2015). How does a less complete gaseous correction scheme affect the global retrieval of AOD? How sensitive are gaseous absorption schemes to slight shifts in spectral bands from instrument to instrument? While all operational aerosol retrieval algorithms employ gaseous correction schemes in their retrieval and describe these schemes, more or less, within the “gray literature” of internal documentation, there are few recent articles in the peer-reviewed literature that openly describe the process and quantify the impact of the subtle choices made during algorithm development.

In this paper we re-examine gaseous correction as it is applied in the traditional MODIS dark-target (DT) aerosol retrieval (Levy et al., 2013), and as that retrieval algorithm is ported to the new VIIRS data (Levy et al., 2015). In Sect. 2 we discuss the absorption of radiation by atmospheric gases within the MODIS and VIIRS bands used for the DT aerosol retrieval. We introduce the relationship of gas abundance to its transmittance spectra, which is the theoretical basis for gas corrections in DT AOD retrievals. The atmospheric gas correction methodology is detailed in Sect. 3. The impact of the updated atmospheric gas corrections applied to the Collection 6 MODIS AOD is also briefed in Sect. 3. In Sect. 4 we discuss the importance of accurate atmospheric gas corrections in the context of DT AOD retrievals from the VIIRS instrument. The study is summarized and concluded in Sect. 5.

## 2 The DT approach to aerosol retrieval and gas correction

### 2.1 The DT aerosol algorithm and wavelength bands

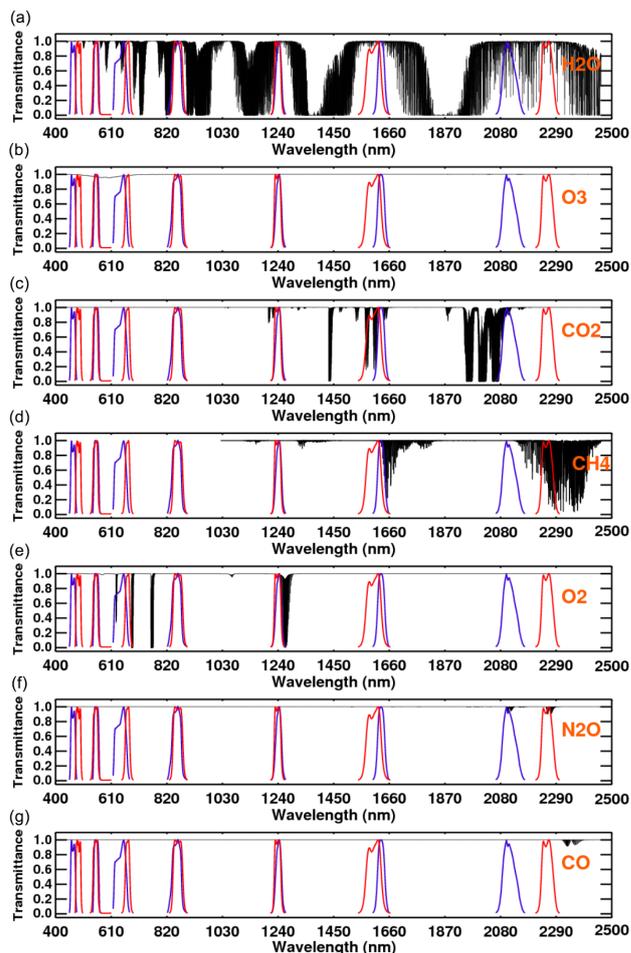
As explained in detail by Levy et al. (2013, 2015) and references therein, the dark-target (DT) aerosol algorithm uses seven channels (or bands) covering the solar reflective spectral region from blue to the shortwave infrared (SWIR) to characterize aerosols, clouds and the Earth’s surface. These bands were specifically chosen to correspond to the spectral window regions of minimal gas absorption. On MODIS, these bands include B1, B2, B3, B4, B5, B6 and B7, which are each 20–50 nm in width and centered near 0.65, 0.86, 0.47, 0.55, 1.24, 1.63 and 2.11  $\mu\text{m}$ , respectively. On VIIRS, the DT algorithm uses bands M3, M4, M5, M7, M8, M10 and M11, which are the “moderate-resolution” or M bands with 20 and 60 nm bandwidths, and they are centered near 0.48, 0.55, 0.67, 0.86, 1.24, 1.60 and 2.26  $\mu\text{m}$ , respectively.

The DT algorithm is actually two algorithms, one applied to MODIS- or VIIRS-measured reflectance over land surfaces and the other to measured reflectance over ocean (Levy

et al., 2013, 2015). Both the land and ocean algorithms employ a single atmospheric gas correction method before any retrieval is performed. DT uses a lookup table (LUT) approach in which atmospherically corrected observed top-of-atmosphere (TOA) reflectance is compared with simulated reflectance. The simulations are calculated by radiative transfer (RT) codes and account for multiple scattering and absorption effects of a combined surface (land or water), molecular (Rayleigh) and aerosol scene but do not account for gaseous absorption. These simulations also account for the angular dependence of the scattered radiation, through use of a pseudo-spherical approximation (e.g., Ahmad and Fraser, 1991). The DT retrieval operates on regions of pixels for which cloud pixels, glint pixels and other unsuitable pixels have been masked out. Thus, the DT aerosol retrieval is performed for cloud-free sky, and assumptions have been made about the surface reflectance properties and atmospheric constituents. The LUT is interpolated as a function of observing geometry (solar and view zenith and azimuth angles) and then searched to determine which aerosol conditions provide the spectral reflectance that best “matches” the spectral reflectance observed by the satellite. The reported solution (retrieved spectral AOD) is some function of the solutions that meet sufficient criteria for matching the observations. For the DT algorithm, expected uncertainty for retrieved AOD at  $0.55\ \mu\text{m}$  (as compared to global network of sun photometers) is  $\pm(0.05 + 15\%)$  over land and  $\pm(0.03 + 10\%)$  over ocean (Levy et al., 2013).

These LUTs are created as if the atmosphere were composed only of aerosol and scattering (Rayleigh) molecules. The gas absorption is assumed to be zero. This is because of the large spatial/seasonal variability of two of the primary absorbers: ozone and water vapor. Ozone can range from 100 to 500 DU around the globe (Hegglin et al., 2014), and water vapor varies by an order of magnitude from the wet tropics to the dry poles. It would be cumbersome and computationally inefficient to add two or more new indices to the LUT and cover the dynamic range of each gas in the LUT calculation.

While gas absorption in these window bands may be small, they are not zero, as described above. Figure 1 shows the TOA transmission spectra (black lines) in the  $0.4\text{--}2.5\ \mu\text{m}$  spectral range in the presence of major gases, including  $\text{H}_2\text{O}$ ,  $\text{O}_3$ ,  $\text{CO}_2$ ,  $\text{CH}_4$ ,  $\text{O}_2$ ,  $\text{N}_2\text{O}$  and  $\text{CO}$ . The transmission spectra of each gas were calculated using the Line-By-Line Radiative Transfer Model (LBLRTM) code (Clough et al., 1992, 2005) for a nadir-viewing geometry and for the US 1976 Standard Atmosphere (1976). A transmittance of 1.0 indicates that the atmosphere is transparent to incoming solar radiation (insolation), i.e., that it is not absorbed in the atmosphere. Overlaid on Fig. 1 are the spectral response functions of the seven MODIS channels (blue curves) and seven VIIRS channels (red curves) used in the DT retrievals. As can be seen from Fig. 1, depending on the wavelength, the atmosphere can be totally transparent to a certain gas and partially opaque to another. For example, in the MODIS  $0.62\text{--}0.67\ \mu\text{m}$  band (B1),



**Figure 1.** The TOA transmission spectra (black) of the major atmospheric gases in the visible and near-infrared part of the electromagnetic spectrum (400–2500 nm). The Line-By-Line Radiative Transfer Model (LBLRTM) was used to calculate these gas spectra for a nadir-viewing geometry and the US 1976 Standard Atmosphere. The spectral response functions of MODIS channels B1–B7 (blue curves) and seven VIIRS channels (red curves) are overlaid to visualize their position in the atmospheric “window” regions where gas absorption effect is minimal.

$\text{H}_2\text{O}$ ,  $\text{O}_3$ , and  $\text{O}_2$  absorb radiation, while  $\text{CO}_2$ ,  $\text{N}_2\text{O}$ ,  $\text{CO}$  and  $\text{CH}_4$  do not. In the  $1.230\text{--}1.250\ \mu\text{m}$  band (B5),  $\text{O}_2$ ,  $\text{H}_2\text{O}$  and  $\text{CO}_2$  are major absorbers, while other gases are not. Absorption bands of the major atmospheric gases are listed in Table 1.

Note that there are also wavelength regions that are nearly opaque because of gas absorption. For example, Fig. 1 shows the well-known water vapor absorption within the wavelength region near  $1.38\ \mu\text{m}$ . Because of the strong absorption, the  $1.38\ \mu\text{m}$  band cannot be used for aerosol retrieval. Yet this band is very useful for detecting cirrus clouds that would otherwise contaminate a cloud-free aerosol retrieval (Gao et al.,

**Table 1.** Absorption bands of atmospheric gases in visible and near-IR region.

Major atmospheric gas	center wavelengths (μm)
H <sub>2</sub> O	Visible, 0.72, 0.82, 0.94, 1.1, 1.38, 1.87, 2.7
CO <sub>2</sub>	1.4, 1.6, 2.0, 2.7, 4.3
O <sub>3</sub>	Visible (0.45–0.75)
O <sub>2</sub>	0.63, 0.69, 0.76, 1.06, 1.27, 1.58
N <sub>2</sub> O	2.87, 4.06, 4.5
CH <sub>4</sub>	1.66, 2.2, 3.3
CO	2.34, 4.67
NO <sub>2</sub>	Visible

2002). This special case of using absorption information is not discussed further in this paper.

## 2.2 Derivation of a gas absorption correction

Because the LUT is calculated without gas absorption, an alternative technique must be substituted to account for the effect of the gases in each wavelength. If not, then when the algorithm attempts to match the measured TOA reflectances to the LUT-calculated reflectances the LUT values will be brighter than the measured values for the same amount of aerosol. In the most straightforward sense retrieved AOD, dominated by scattering, will be systematically too low because the retrieval will be searching for a less bright TOA reflectance in the LUT, with less aerosol, to match the observed values. The algorithm deals with this mismatch between measured and LUT reflectance caused by the missing gas absorption in the LUT values by adjusting the measured TOA reflectances in each wavelength band, in effect brightening the measurements to better match the values in the LUT.

Figure 1 shows that six gases (H<sub>2</sub>O, O<sub>3</sub>, CO<sub>2</sub>, N<sub>2</sub>O, O<sub>2</sub> and CH<sub>4</sub>) have absorption lines that fall within the wavelength bands used for the DT aerosol retrieval. Because each window band spans tens of nanometers, every DT channel is affected by at least one gas where the transmittance is less than 1.0.

We have introduced two measures, gas opacity and transmissivity corresponding to the gas absorption optical depth and transmittance. The two parameters are related via

$$T_{\lambda}^i = \exp(-G^i \tau_{\lambda}^i), \quad (1)$$

where  $T_{\lambda}^i$  is the downward transmittance for a particular wavelength band (or  $\lambda$ ) and for a particular absorbing gas “ $i$ ”;  $\tau_{\lambda}^i$  is the gas optical depth designated for the particular gas and wavelength; and  $G^i$  is the air mass factor (or the atmospheric path length, i.e., the slant path through the atmosphere) for gas  $i$ . Equation (1) shows that transmission

of light is a function of the air mass factor ( $G^i$ ) and the gas optical depth ( $\tau_{\lambda}^i$ ), and that transmissivity decreases with increasing air mass and increasing gas concentration.

### 2.2.1 Gas optical depth

The gas optical depth,  $\tau_{\lambda}^i$ , represents the spectral integral over the wavelength band; if the gas concentration is uniform along the path (column), then  $\tau_{\lambda}^i$  will be directly proportional to the loading of gas  $i$  in the column. Some gases are indeed well mixed in the atmosphere, but water vapor and ozone are not. These important absorbers exhibit distinctive vertical profiles, as will be discussed in Sect. 3.1. Note that each individual gas has its own particular absorption efficiency based on its characteristic absorption cross section and that for the same column concentrations  $\tau_{\lambda}^i$  will be different for different gases. In the absence of a long slant path, and for small gas optical depths ( $\tau_{\lambda}^i \ll 1.0$ ), transmission can be estimated by  $T_{\lambda}^i \sim 1 - G^i \tau_{\lambda}^i$ .

### 2.2.2 Air mass factor

The air mass factor,  $G$ , can be approximated as  $G = 1/\cos Z$ , where  $Z$  is the zenith angle for a homogenous (exponential decay) atmosphere and for small values (near nadir) of  $Z$ . This is the flat-Earth approximation. As  $Z$  increases beyond 60°, the air mass factor is more accurately described by spherical shell geometry towards the horizon (Gueymard, 1995), i.e.,

$$G = \sqrt{(r \cos Z)^2 + 2r + 1} - r \cos Z, \quad (2)$$

where  $r = R_E/H_{\text{atm}}$ ;  $R_E$  = radius of Earth (6371 km); and  $H_{\text{atm}}$  = effective scale height of the atmosphere (ca. 9 km). This expression accounts for Earth’s sphericity and atmospheric refraction. Differences in computing  $G$  are small for  $Z < 70^\circ$  but increase to 10 % as  $Z = 84^\circ$  (the maximum zenith angle allowed within the DT algorithm).

Yet there are complications. When atmospheric constituents are well mixed and their concentrations are nearly proportional to altitude within the atmosphere, Eq. (2) is sufficient. However, water vapor (concentrated near the surface) and ozone (concentrated in the stratosphere) are not well mixed in the vertical, having different scale heights. In this layered situation (rather than continuous), there are empirical formulas (e.g., Kasten and Young, 1989) that provide slight improvements to the calculation of  $G$  assuming spherical geometry. For example, Gueymard (1995) derived the empirical formula

$$G^i = (\cos Z + a_{i,1} Z^{a_{i,2}} \cdot (a_{i,3} - Z)^{a_{i,4}})^{-1}, \quad (3)$$

where  $a_{i,j}$  are the coefficients ( $j = 1, 4$ ) for gas type  $i$ . Thus,  $G^i$  varies with gas type and specific profile within the atmosphere. The values of coefficients  $a_{i,j}$  can be found in Table 4.1 of Gueymard (1995).

As long as the total gas optical depth is small ( $\sum_i \tau_\lambda^i \ll 1.0$ ), the total transmission of all trace gases is well approximated by the product of each individual gas:

$$T_\lambda^{\text{GAS}} = \prod_i T_\lambda^i = \exp\left(\sum_i -G^i \tau_\lambda^i\right). \quad (4a)$$

The total gas transmissivity defined in Eq. (4a) for each wavelength band quantifies the degree to which the measured reflectance will be diminished due to gaseous absorption. In order to match the measured reflectances to those calculated for the LUT, these diminished reflectances have to be “corrected” or brightened. This correction factor is simply the inverse transmissivity ( $\tilde{T}$ ),

$$\tilde{T}_\lambda^{\text{GAS}} = 1/T_\lambda^{\text{GAS}} = \exp\left(\sum_i G^i \tau_\lambda^i\right), \quad (4b)$$

which, when multiplied with the measured reflectance, restores the amount of light absorbed by gases along the one-way path of transmission. Or, given a measured radiance,  $L_M$ , the corrected (brightened) radiance  $L$  is simply  $L = L_M \cdot \tilde{T}$ .

When observing from a ground-based sun photometer (e.g., AERONET), the correction is straightforward, because the path of transmission traverses the depth of the atmosphere only once. The problem is more complicated for satellite remote sensing, because a satellite measures radiation that has traveled downwards through the atmosphere and then back up to space. We have to calculate a two-way correction factor, and  $G$  must account for the  $Z$  angles of both downward (the solar zenith angle) and upward paths (view zenith). As  $Z$  gets large, the vertical profile of the gas (layering) becomes more important.

There are two parameters determining the transmission,  $T_\lambda^{\text{GAS}}$ , and therefore the correction factor,  $\tilde{T}_\lambda^{\text{GAS}}$ , and these are  $G^i$  and  $\tau_\lambda^i$ . The goal, then, is to parameterize Eqs. (4a) or (4b), i.e., the relationship between atmospheric transmission of gas and  $G^i \tau_\lambda^i$ , taking into consideration the varying gas concentrations and their vertical profiles through the atmosphere around the globe. Furthermore, the parameterization will be developed to link  $\tilde{T}_\lambda^{\text{GAS}}$  directly to column measures of the gases instead of to the optical depth. This allows the algorithm to bypass calculations of optical depth from inputs of precipitable water vapor ( $w$  in centimeters) and ozone ( $O$  in DU), and instead use the inputs directly.

### 3 Use of LBLRTM to derive gas absorption parameterization

To develop an empirical relationship between atmospheric gas transmission, the air mass factor ( $G^i$ ) and its optical depth ( $\tau_\lambda^i$ ), we require a radiative transfer (RT) code that can accurately simulate the gaseous absorption and transmission

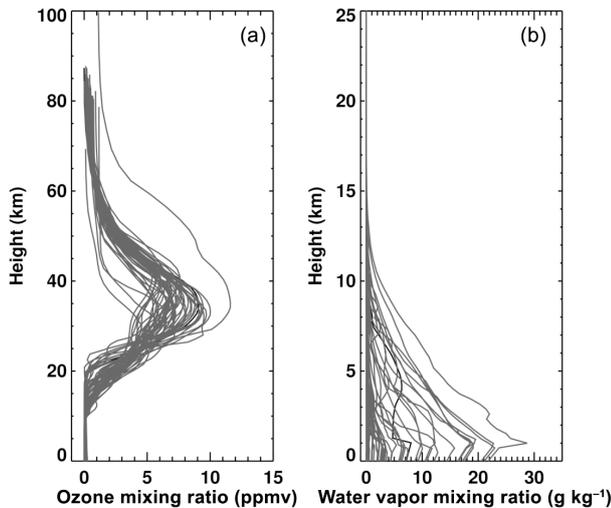
process in the atmosphere. Among other things, the RT code requires these two pieces of information: (a) the absorption cross sections and concentration of gas constituent in spectral bands of interest and (b) accurate high-resolution information of the absorption spectra of the relevant gases. The MODIS and/or VIIRS channels widths are on order of 20–50 nm. We require a high-resolution database to capture the fine absorption lines within these bandwidths. To address (a) and (b), we use the LBLRTM to parameterize Eqs. (4a) and (4b) instead of a MODerate resolution atmospheric TRANsmittance (MODTRAN)-based RT code. The following section provides details of LBLRTM.

#### 3.1 LBLRTM description

The LBLRTM is known to be an accurate and flexible radiative transfer model that can be used over the full spectral range from ultraviolet to microwave (Clough et al., 2005). It uses the High-resolution TRANsmittance (HITRAN) molecular absorption database (Rothman et al., 2009) to calculate transmittance and radiance of molecular species. The HITRAN2008 database contains over 2 713 000 lines for 39 different molecules. The spectral resolution of the data is different in different spectral regions and for different species (see Rothman et al., 2009). For example, for water vapor absorption in the near-IR region, the line resolution is  $0.001 \text{ cm}^{-1}$  (2.5–3.4  $\mu\text{m}$ ). The LBLRTM has been extensively validated against atmospheric radiance spectra (e.g., Turner et al., 2003; Shephard et al., 2009; Alvarado et al., 2013). Use of the HITRAN database and other attributes of LBLRTM provides spectral radiance calculations with accuracies that are consistent with validation data. Limiting errors are, in general, attributable to line parameters and line shape. Algorithmic accuracy of LBLRTM is approximately 0.5 % and is about 5 times less than the error associated with line parameters (Clough et al., 2005).

#### 3.2 LBLRTM calculations for MODIS and VIIRS

The LBLRTM model was run for many scenarios representing different combinations of gas vertical profiles, gas concentrations and air mass factors for each type of gas and each of the wavelength bands of interest. Transmissions of the 10 important atmospheric gases – viz.  $\text{H}_2\text{O}$ ,  $\text{O}_3$ ,  $\text{O}_2$ ,  $\text{N}_2\text{O}$ ,  $\text{NO}_2$ ,  $\text{NO}$ ,  $\text{SO}_2$ ,  $\text{CO}_2$ ,  $\text{CO}$  and  $\text{CH}_4$  – that affect either the MODIS or the VIIRS spectral bands (Levy et al., 2013) were calculated. However, only  $\text{H}_2\text{O}$ ,  $\text{O}_3$ ,  $\text{CO}_2$ ,  $\text{N}_2\text{O}$ ,  $\text{O}_2$  and  $\text{CH}_4$  were found to have some absorption in the wavelength bands used for the DT aerosol retrieval (Tables 2 and 3). The results link transmission ( $T_\lambda^i$ ) or gas correction factor ( $\tilde{T}_\lambda^i$ ) to gas path length:  $G^{\text{H}_2\text{O}} w$  for water vapor ( $\text{H}_2\text{O}$ ) and  $G^{\text{O}_3} O$  for ozone ( $\text{O}_3$ ), where  $w$  is the precipitable water vapor in centimeters and  $O$  is ozone column loading in DU. Values for  $w$  and  $O$  are input into the algorithm from ancillary data. The other gases are considered to be well mixed and not varying spa-



**Figure 2.** 52 different ECMWF profiles for (a) ozone and (b) water vapor used in the Line-By-Line Radiative Transfer Model to calculate the respective gas transmittance.

tially or temporally and therefore are not dependent on input ancillary data. The final parameterization will be curve fits through the scatter of the model results.

As described in Sect. 2.2,  $\tilde{T}_\lambda^{\text{GAS}}$  will be affected by the vertical distribution of the gases in the column, especially at oblique zenith angles. To account for this effect in building the parameterization, we use 52 atmospheric profiles (Pubu Ciren, NOAA, Chevallier, personal communication, 2002) that were obtained from model runs and characterize different locations and seasons (Fig. 2). The columnar gas concentrations differ across the 52 profiles, varying by more than a factor of 10 for water vapor and by 100 % for ozone. Except for  $\text{NO}_2$ , which is highly variable in both the horizontal and vertical, the other trace gases tend to be well mixed throughout the atmosphere. Using radiative transfer calculations, Ahmad et al. (2007) show that  $\text{NO}_2$  has the largest impact (1 %) on TOA reflectance in the blue channels (412 and 443 nm). Other visible channels are impacted to a lesser degree. We will use the term “dry gas” to denote the eight gases that are neither  $\text{H}_2\text{O}$  or  $\text{O}_3$  and use the US 1976 Standard Atmosphere as a default profile.

For  $\text{H}_2\text{O}$  and  $\text{O}_3$ , and each of their respective profiles, we use LBLRTM to calculate air mass factors and transmissions for 10 values of viewing zenith angle, ranging from 0 to  $80^\circ$ . Transmission is integrated across the wavelength band and weighted by relative sensor response (RSR) (Barnes et al., 1998; Xiaoxiong et al., 2005) within the band. Because air mass factor ( $G^i$ ) varies with gas type (on account of the vertical profile), LBLRTM calculates  $G^i$  as well as transmission for the given column amount of gas  $i$ . For dry gas, the integrated RSR weighted transmission is converted to gas optical depth, so dry-gas transmission (as a function of air mass fac-

tors) is easily computed using Eq. (1). The US76 profiles are used to compute dry-gas transmission for nadir view.

Figure 3 plots the relationship between absorption correction factor,  $\tilde{T}_\lambda^{\text{GAS}}$ , and gas path length,  $G^{\text{H}_2\text{O}}w$ , for  $\text{H}_2\text{O}$  (a) and  $G^{\text{O}_3}O$  for  $\text{O}_3$  (b), for MODIS. Figure 4 plots the same for VIIRS. These correction factors (inverse of transmission) are plotted for each window band, for different combinations of  $\text{H}_2\text{O}$  or  $\text{O}_3$  concentrations ( $w$  in cm or  $O$  in DU) and internally derived air mass factors ( $G^i$ ) for the given gas type and specific vertical profile. Water vapor, being so variable as well as concentrated near the boundary layer, cannot be explained with a linear relationship. However, for water vapor (Figs. 3a and 4a), a near-linear dependence of  $\tilde{T}_\lambda^{\text{H}_2\text{O}}$  to  $G^{\text{H}_2\text{O}}w$  does exist in log–log space. Nevertheless, even within the log–log space, there is a small curvature that required a quadratic empirical fit. For ozone, however, the log of our correction factor ( $\tilde{T}_\lambda^{\text{O}_3}$ ) is nearly linear as a function of absorption through a slant path ( $G^{\text{O}_3}O$ ). Again, note that  $G^i$  is computed by LBLRTM and represents the curvature and vertical profile of each gas type.

Equation (5) describes the quadratic empirical relationship (seen in Figs. 3a and 4a) between the gas transmission correction factor of water vapor ( $\tilde{T}_\lambda^{\text{H}_2\text{O}}$ ), its concentration ( $w$ ) and the air mass factor ( $G^{\text{H}_2\text{O}}$ ):

$$\tilde{T}_\lambda^{\text{H}_2\text{O}} = \exp \left( \exp \left( K_{1,\lambda}^{\text{H}_2\text{O}} + K_{2,\lambda}^{\text{H}_2\text{O}} \ln \left( G^{\text{H}_2\text{O}}w \right) + K_{3,\lambda}^{\text{H}_2\text{O}} \left( \ln \left( G^{\text{H}_2\text{O}}w \right) \right)^2 \right) \right). \quad (5)$$

Equation (6) describes the near-linear relationship for ozone (Figs. 3b and 4b):

$$\tilde{T}_\lambda^{\text{O}_3} = \exp \left( K_{1,\lambda}^{\text{O}_3} + K_{2,\lambda}^{\text{O}_3} \left( G^{\text{O}_3}O \right) \right). \quad (6)$$

“O” denotes ozone concentration in Eq. (6), and  $G^{\text{O}_3}$  is the air mass factor for ozone and is computed using Eq. (3).

The regression coefficients  $K_{1,\lambda}^{\text{H}_2\text{O}}$ ,  $K_{2,\lambda}^{\text{H}_2\text{O}}$  and  $K_{3,\lambda}^{\text{H}_2\text{O}}$  as well as  $K_{1,\lambda}^{\text{O}_3}$  and  $K_{2,\lambda}^{\text{O}_3}$  (the slopes and intercepts) for  $\text{H}_2\text{O}$  and  $\text{O}_3$  are presented for MODIS and VIIRS in Tables 4 and 5. The slope and intercepts are wavelength dependent (lines of different color on Figs. 3 and 4) and in accordance with absorption characteristics of the gas. For example Table 2 shows that water vapor absorption is the highest in MODIS band 7 ( $B7 = 2.11 \mu\text{m}$ ) and lowest in B3 ( $0.47 \mu\text{m}$ ). Correspondingly, the slope and intercept for the  $\text{H}_2\text{O}$  regression relation (Table 4) indicates the largest water vapor correction in B7 and the lowest in B3. Similarly, largest correction (and slope) for ozone is in MODIS B4 ( $0.55 \mu\text{m}$ ) and lowest in B7.

To calculate the correction factors for water vapor ( $\tilde{T}_\lambda^{\text{H}_2\text{O}}$ ) and ozone ( $\tilde{T}_\lambda^{\text{O}_3}$ ), Eqs. (5) and (6) require information on water vapor ( $w$ ) and ozone concentration ( $O$ ). For the DT algorithm, these are provided by an ancillary data set. For the current version (e.g., MODIS Collection 6), ancillary data are acquired from National Center for Environmental Prediction (NCEP) analysis, specifically the “PWAT” and the ozone

**Table 2.** Optical depth of major atmospheric gases in seven MODIS channels.

Channel	B3	B4	B1	B2	B5	B6	B7
Wavelength ( $\mu\text{m}$ )	0.466	0.553	0.645	0.856	1.242	1.638	2.113
Gas							
H <sub>2</sub> O	0.0001	0.0005	0.0055	0.0086	0.005	0.0017	0.0254
O <sub>3</sub>	0.0029	0.0326	0.0250	0.0008	–	–	–
CO <sub>2</sub>	–	–	–	–	0.0003	0.0050	0.0142
N <sub>2</sub> O	–	–	–	–	–	–	0.0020
CO	–	–	–	–	–	–	–
O <sub>2</sub>	0.0012	0.0010	0.0038	0.0000	0.0164	–	–
NO	–	–	–	–	–	–	–
SO <sub>2</sub>	–	–	–	–	–	–	–
NO <sub>2</sub>	–	–	–	–	–	–	–
CH <sub>4</sub>	–	–	–	–	–	0.0051	0.0003
Total	0.0042	<b>0.0341</b>	<b>0.0344</b>	0.0094	<b>0.0216</b>	0.0118	<b>0.0420</b>

Bold values show channels where total gas optical depth  $\geq 0.02$  to put in context the requirement of aerosol optical depth accuracy of better than 0.02.

**Table 3.** Optical depth of major atmospheric gases in seven VIIRS channels.

Channel gas	M3	M4	M5	M7	M8	M10	M11
Wavelength ( $\mu\text{m}$ )	0.488	0.551	0.67	0.861	1.239	1.601	2.257
Gas							
H <sub>2</sub> O	0.00009	0.00078	0.00066	0.00324	0.00844	0.00234	0.00542
O <sub>3</sub>	0.00673	0.0312	0.01499	0.00075	–	–	–
CO <sub>2</sub>	–	–	–	–	0.00041	0.02048	0.00001
N <sub>2</sub> O	–	–	–	–	–	0.00001	0.00403
CO	–	–	–	–	–	–	–
O <sub>2</sub>	0.00184	0.00084	0.00144	0.00002	0.01147	–	–
NO	–	–	–	–	–	–	–
SO <sub>2</sub>	–	–	–	–	–	–	–
NO <sub>2</sub>	–	–	–	–	–	–	–
CH <sub>4</sub>	–	–	–	–	0.00001	0.00085	0.04914
Total	0.00866	<b>0.03282</b>	<b>0.01709</b>	0.00401	<b>0.02033</b>	<b>0.02368</b>	<b>0.0586</b>

Bold values show channels where total gas optical depth  $\geq 0.02$  to put in context the requirement of aerosol optical depth accuracy of better than 0.02.

fields from the  $1^\circ \times 1^\circ$  global meteorological analysis (created every 6 h – format “gdas.PGrbF00.YYMMDD.HHz”). Note that there are water vapor products derived operationally from MODIS and VIIRS data (e.g., Gao and Goetz, 1990; Kaufman and Gao, 1992). However, the DT aerosol algorithm runs before these other algorithms in the processing chain, causing the internally derived water vapor to be unavailable to the aerosol algorithm in real-time processing and, thus, the reliance on ancillary data.

In case the ancillary information is not available, the gas absorption can still be estimated. Either a forecast field (e.g., Global Data Assimilation System (GDAS) forecast) or a “climatology” can be used. For example, if the US76 is assumed as the climatology for gas profiles, then  $\tau^i$  for that gas is given in Tables 4 and 5. In this case, we use Eqs. (7) and

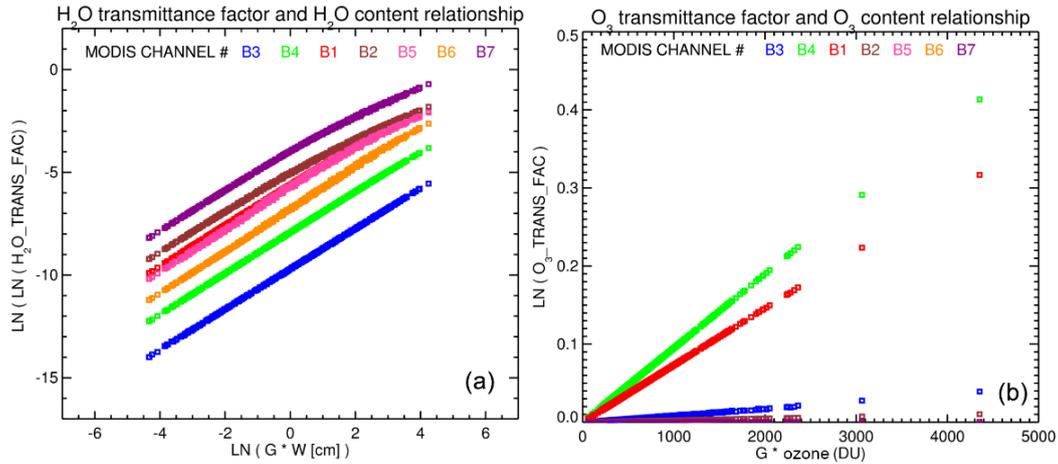
(8) to calculate correction factors for water vapor and ozone, respectively:

$$\tilde{T}_\lambda^{\text{H}_2\text{O}} = \exp\left(G^{\text{H}_2\text{O}} \overline{\tau^{\text{H}_2\text{O}}}\right), \quad (7)$$

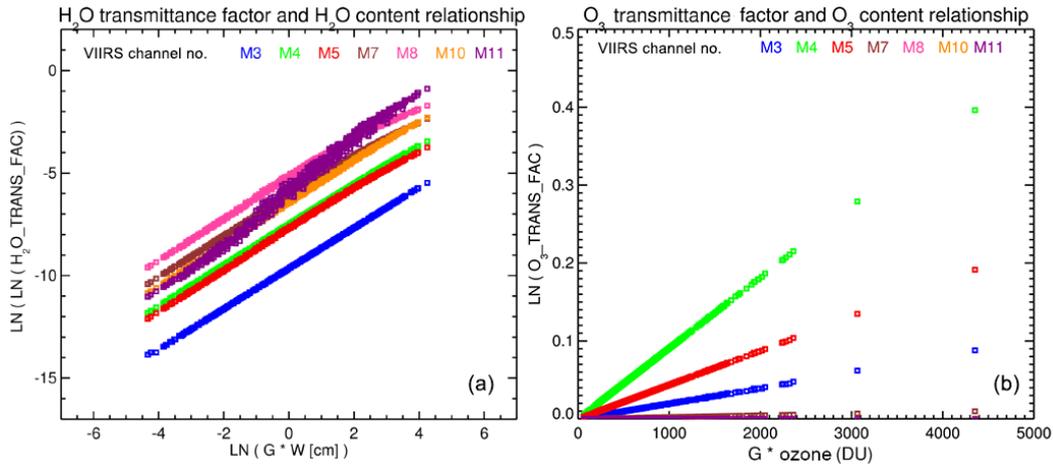
$$\tilde{T}_\lambda^{\text{O}_3} = \exp\left(G^{\text{O}_3} \overline{\tau^{\text{O}_3}}\right), \quad (8)$$

where  $\overline{\tau^{\text{H}_2\text{O}}}$  and  $\overline{\tau^{\text{O}_3}}$  are the climatological mean values of gas optical depth for water vapor and ozone, respectively.

$\tilde{T}_\lambda^{\text{dry gas}}$  is the correction factor due to dry gas, which includes CO<sub>2</sub>, CO, N<sub>2</sub>O, NO<sub>2</sub>, NO, CH<sub>4</sub>, O<sub>2</sub>, SO<sub>2</sub> and other trace gases in LBLRTM calculations. For the DT retrieval bands only CO<sub>2</sub>, N<sub>2</sub>O, CH<sub>4</sub> and O<sub>2</sub> contribute to absorption (Tables 2, 3). Since the gases are generally well mixed throughout the entire atmosphere and do not experience day-



**Figure 3.** Relationship between gas transmittance factor and gas content in the MODIS channels B1–B7: (a) for H<sub>2</sub>O and (b) for O<sub>3</sub>. Gas content is scaled by the air mass factor ( $G$ ). Gas transmittance was calculated for 52 water vapor and ozone profiles (varying gas concentration) and 10 viewing zenith angles (air mass factors) ranging from 0 to 80°. These wavelength-dependent empirical relationships are used by the DT aerosol retrieval algorithm for atmospheric gas corrections.



**Figure 4.** Relationship between gas transmittance factor and gas content in the seven VIIRS channels: (a) for H<sub>2</sub>O and (b) for O<sub>3</sub>. Gas content is scaled by the air mass factor ( $G$ ). Gas transmittance was calculated for 52 water vapor and ozone profiles (varying gas concentration) and 10 viewing zenith angles (air mass factors) ranging from 0 to 80°. These wavelength-dependent empirical relationships are used by the DT aerosol retrieval algorithm for atmospheric gas corrections.

to-day changes, we only consider the climatological mean of the total optical depth of the combined dry gases and compute its transmittance factor as follows:

$$\tilde{T}_{\lambda}^{\text{dry gas}} = \exp\left(G^i \overline{\tau^{\text{dry gas}}}\right). \quad (9)$$

Figure 5 presents the gas optical depth for the US76, for the MODIS bands and corresponding VIIRS bands. In some cases (e.g., B4 vs. M5), the differences are small. In other cases (e.g., B5 vs. M8), the total optical depth may be similar, but the relative contribution between different gases is different. Finally, in at least one set of bands (B7 vs. M11), both the total optical depth and the relative contributions between gases is very different. The US76 is a case with a small

amount of water vapor ( $w = 1.4$  cm), but one can see how quadrupling the  $w$  (e.g., as in a tropical atmosphere) would greatly change the relative correction needed for B7 vs. M11, or even B1 vs. M5.

### 3.3 Application within the DT algorithm.

Whether using climatology for water vapor and ozone columns, or using the estimates from a meteorological assimilation system (e.g., GDAS for the current DT algorithm), we need to correct for the combined absorption of all gases. The total gas absorption correction term,  $\tilde{T}_{\lambda}^{\text{gas}}$ , is the product of

**Table 4.** Gas absorption coefficients and climatology for MODIS.

MODIS band	Wavelength (μm)	Rayleigh optical depth	O <sub>3</sub> optical depth <sup>a</sup>	H <sub>2</sub> O optical depth <sup>a</sup>	Dry-gas <sup>b</sup> optical depth <sup>a</sup>	O <sub>3</sub> _K0	O <sub>3</sub> _K1	H <sub>2</sub> O_K0	H <sub>2</sub> O_K1	H <sub>2</sub> O_K2
B3	0.4659	1.92E-01	2.90E-03	8.00E-05	1.25E-03	-1.14E-04	8.69E-06	-9.58E+00	1.23E+00	-1.16E-01
B4	0.5537	9.44E-02	3.26E-02	5.00E-04	9.50E-04	5.18E-06	9.50E-05	-7.91E+00	1.00E+00	-1.29E-02
B1	0.6456	5.08E-02	2.52E-02	5.11E-03	3.91E-03	1.16E-04	7.32E-05	-5.60E+00	9.40E-01	-1.78E-02
B2	0.8564	1.62E-02	8.10E-04	8.61E-03	2.00E-05	2.80E-07	2.36E-06	-5.07E+00	8.77E-01	-2.40E-02
B5	1.2417	3.61E-03	0.00E+00	5.23E-03	1.69E-02	1.19E-07	1.55E-25	-5.65E+00	9.81E-01	-2.38E-02
B6	1.6285	1.22E-03	0.00E+00	1.62E-03	9.98E-03	1.19E-07	5.17E-26	-6.80E+00	1.03E+00	-4.29E-03
B7	2.1134	4.30E-04	2.00E-05	2.53E-02	1.63E-02	6.29E-07	7.03E-08	-3.98E+00	8.86E-01	-2.56E-02

<sup>a</sup> For each MODIS band, this nadir-looking (viewing zenith angle = 0) optical depth for the gas is computed from the US 1976 Standard Atmosphere in LBLRTM.

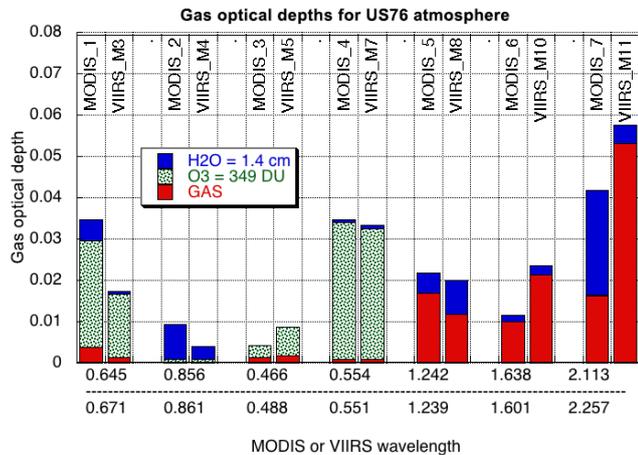
<sup>b</sup> Dry gas includes CO<sub>2</sub>, CO, N<sub>2</sub>O, NO<sub>2</sub>, NO, CH<sub>4</sub>, O<sub>2</sub>, SO<sub>2</sub>.

**Table 5.** Gas absorption coefficients and climatology for VIIRS.

VIIRS band	Wavelength (μm)	Rayleigh optical depth	O <sub>3</sub> optical depth <sup>a</sup>	H <sub>2</sub> O optical depth <sup>a</sup>	Dry-gas <sup>b</sup> optical depth <sup>a</sup>	O <sub>3</sub> _K0	O <sub>3</sub> _K1	H <sub>2</sub> O_K0	H <sub>2</sub> O_K1	H <sub>2</sub> O_K2
M3	0.488	1.60E-01	6.73E-03	8.94E-05	1.84E-03	-1.25E-04	1.98E-05	-9.65E+00	9.87E-01	1.80E-04
M4	0.5511	9.76E-02	3.11E-02	7.69E-04	8.34E-04	-4.75E-05	9.08E-05	-7.50E+00	9.84E-01	-3.87E-03
M5	0.6704	4.40E-02	1.50E-02	6.64E-04	1.44E-03	-4.79E-05	4.37E-05	-7.69E+00	9.95E-01	-1.10E-02
M7	0.8612	1.60E-02	7.70E-04	3.37E-03	2.45E-05	4.18E-07	2.24E-06	-6.05E+00	9.65E-01	-1.53E-02
M8	1.2389	3.67E-03	0.00E+00	8.44E-03	1.19E-02	1.19E-07	5.17E-26	-5.16E+00	9.59E-01	-2.67E-02
M10	1.6012	1.32E-03	0.00E+00	2.34E-03	2.13E-02	1.19E-07	1.03E-25	-6.43E+00	1.02E+00	-3.60E-03
M11	2.257	3.50E-04	1.07E-06	5.42E-03	5.32E-02	-2.61E-08	3.28E-09	-5.85E+00	1.28E+00	-5.04E-03

<sup>a</sup> For each VIIRS band, this nadir looking (viewing zenith angle = 0) optical depth for the gas is computed from the US 1976 Standard Atmosphere in LBLRTM.

<sup>b</sup> Dry gas includes CO<sub>2</sub>, CO, N<sub>2</sub>O, NO<sub>2</sub>, NO, CH<sub>4</sub>, O<sub>2</sub>, SO<sub>2</sub>.



**Figure 5.** Comparison of gas optical depths calculated for the US 1976 Standard Atmosphere using MODIS C6 and VIIRS gas correction coefficients. Different colors represent constituent gases (H<sub>2</sub>O is blue O<sub>3</sub> is green hatched and “dry” gas is red). Large differences in gas optical depths are seen in MODIS channels 1, 2, 6 and 7.

individual gas corrections, that is,

$$\tilde{T}_\lambda^{\text{gas}} = \tilde{T}_\lambda^{\text{H}_2\text{O}} \tilde{T}_\lambda^{\text{O}_3} \tilde{T}_\lambda^{\text{dry gas}} \quad (10)$$

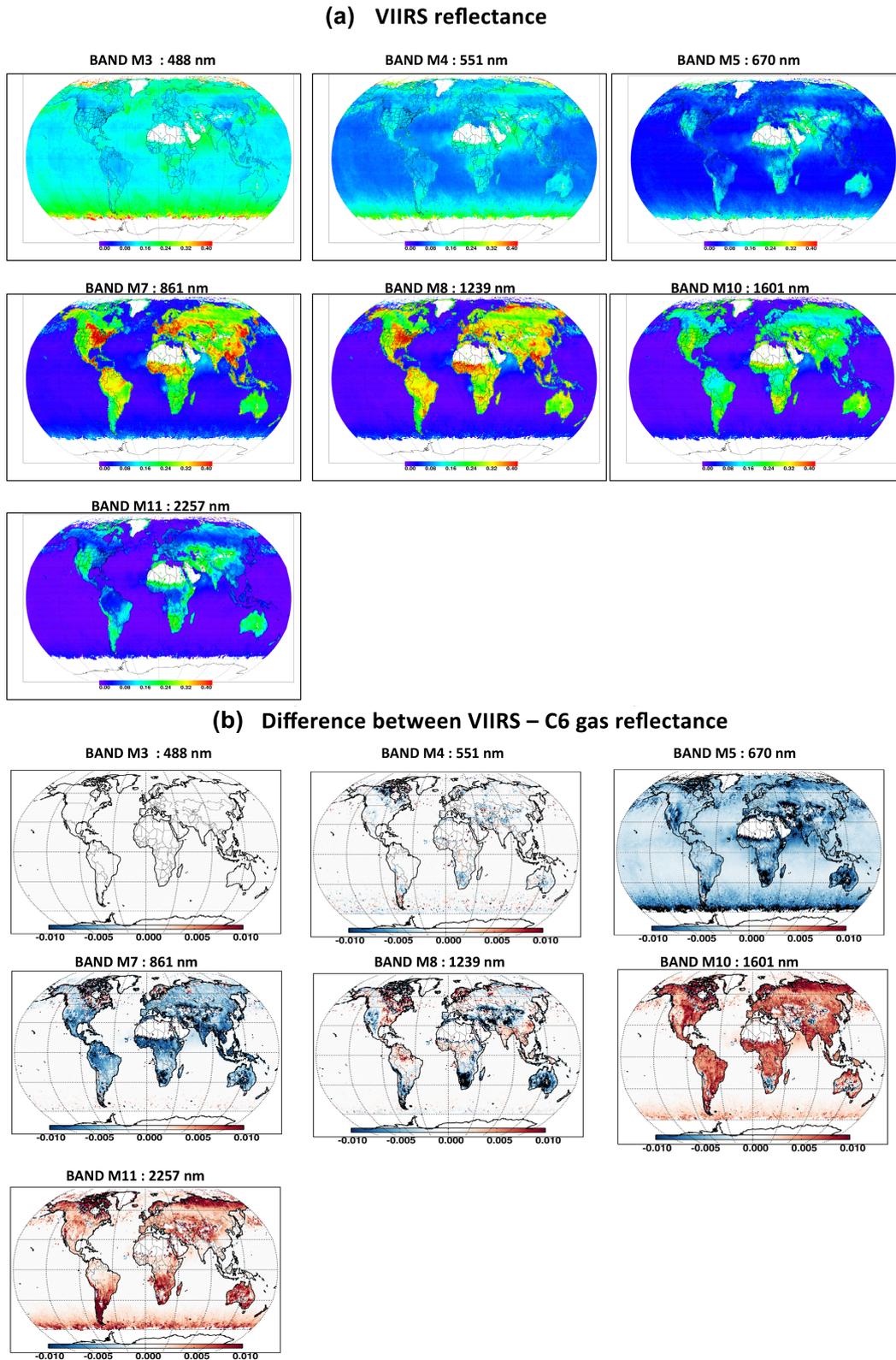
The MODIS DT aerosol retrieval algorithm ingests calibrated and geolocated MODIS-measured reflectance data, known as the Level 1B (L1B) product. The corresponding VIIRS DT algorithm ingests a similar VIIRS-measured product. This measured reflectance ( $\rho_\lambda^{\text{L1B}}$ ) is corrected for atmo-

spheric water vapor, ozone and dry gas, using the correction factors derived above for each wavelength band:

$$\rho_\lambda = \tilde{T}_\lambda^{\text{gas}} \rho_\lambda^{\text{L1B}}, \quad (11)$$

where  $\rho_\lambda$  is the corrected or brightened reflectance that can now be used to compare with the calculated TOA reflectances of the LUT, as described in Sect. 2.2. Note that this spectral reflectance,  $\rho_\lambda$ , represents the combination of Rayleigh (molecular scattering) plus aerosol in the atmosphere. It also includes contributions from Earth’s surface (land or water).

The gas absorption correction methodology is the same whether performed for MODIS or VIIRS. In fact, the equations (Eqs. 5–11) have remained the same throughout all versions of the DT algorithm. As our ability to characterize absorption lines as well as the spectral response of the sensor has improved, it is the coefficients of the equations that have evolved. When the DT algorithm was updated from Collection 5 (C5) to Collection 6 (C6), the underlying gas absorption corrections became more sophisticated (Levy et al., 2013). This is represented in Table 6. The primary differences between C5 and C6 are that the HITRAN database in LBLRTM is used in C6 instead of the MODTRAN parameterization available in 6S that was used in C5, and that additional dry gases have been included in C6’s correction. These changes made a difference. The latest version of aerosol data from DT is Collection 6.1, which uses the same gas absorption corrections as C6. As the DT algorithm is ported from MODIS to VIIRS data, the quality of gas correction will also make a difference.



**Figure 6.** (a) shows the spatial distribution of gridded L2 reflectance in seven VIIRS channels (i.e., M3, M4, M5, M7, M8, M10, M11) for July 2013. (b) shows the spatial distribution of the difference between VIIRS reflectance obtained by applying VIIRS gas correction coefficients and MODIS C6 gas correction coefficients in seven VIIRS channels (i.e., M3, M4, M5, M7, M8, M10, M11) for July 2013. This panel demonstrates the impact of using MODIS gas correction on VIIRS reflectance used for retrieving aerosol optical depth.

**Table 6.** Atmosphere gas correction table differences: C5 vs. C6.

	C5	C6	Comment
RT code	6s	LBLRTM (Line-By-Line Radiative Transfer Model)	6S is MODTRAN database. LBLRTM is HI-TRAN database.
No. of gases considered	3 [H <sub>2</sub> O, O <sub>3</sub> , CO <sub>2</sub> ]	10 [H <sub>2</sub> O, O <sub>3</sub> , O <sub>2</sub> , CO, CO <sub>2</sub> , CH <sub>4</sub> , NO, N <sub>2</sub> O, NO <sub>2</sub> , SO <sub>2</sub> ]	Inclusion of “other” dry gases in C6 created big differences in MODIS bands 5 and 7 (see Fig. 2).
Climatological gas optical depths	Midlatitude summer	US76 Standard Atmosphere	

#### 4 Impact of new gas coefficients

The DT retrieval is based on a LUT approach wherein the measured and modeled spectral reflectance is matched for inversion. Any change affecting the calculation of gas-corrected spectral reflectance will subsequently affect the retrieved AOD. Levy et al. (2013) showed the impact of using the updated atmospheric gas corrections on MODIS C6 AOD retrievals. This led to higher AODs globally. Over land (ocean), the 0.55  $\mu\text{m}$  global mean AOD differed by  $\sim 0.02$  (0.007). The large ( $>0.02$  regionally) change over land was primarily due to a larger gas correction in the 1.24  $\mu\text{m}$  MODIS B5 band (see Fig. A2 in Levy et al., 2013), which in turn increased the reflectance in B5, and the subsequent estimate of the normalized difference vegetation index in the SWIR channels (B5 vs. B7) used to estimate surface reflectance in other bands (Levy et al., 2010). The stronger gas correction in B5 came from including the O<sub>2</sub> absorption, which had not been accounted for in C5 (see Table 2). Interestingly, Levy et al. (2013) noted that, while the overall correction in B7 (2.11  $\mu\text{m}$ ) remained similar, the relative weightings of dry gas and H<sub>2</sub>O was revised.

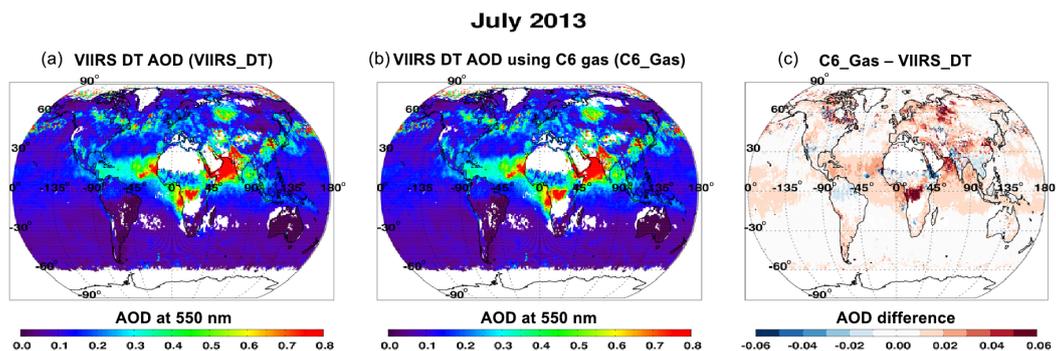
Even though the MODIS and VIIRS instruments have similar channels, the MODIS gas correction coefficients cannot be applied to aerosol retrievals from VIIRS observations. The slight differences in the bandwidth and channel’s central wavelengths (see Fig. 5) will compromise the accuracy of aerosol retrievals. For example, as compared with MODIS B7 (2.11  $\mu\text{m}$ ), the VIIRS M11 (2.25  $\mu\text{m}$ ) band has less absorption from H<sub>2</sub>O. However, MODIS B7 lies in a CO<sub>2</sub> absorption band, while VIIRS M11 lies in a region of CH<sub>4</sub> absorption. Although the CH<sub>4</sub> optical depth in VIIRS M11 is small ( $\sim 0.03$ ), it will affect the dark-target retrievals in the same way as O<sub>2</sub> inclusion affected C6 retrievals (when compared to C5).

As a perturbation experiment we intentionally apply the MODIS gas corrections to the VIIRS observations, even

though we know this to be incorrect. Figure 6a plots the spatial distribution of spectral TOA reflectance after applying VIIRS-appropriate gas corrections. It shows the mean monthly TOA reflectance for VIIRS. Figure 6b is the reflectance differences between applying VIIRS-appropriate gas corrections and MODIS gas corrections to VIIRS observations. From top to bottom, we find a mean difference of 0,  $-0.5$ ,  $-6.6$ ,  $-2.7$ ,  $-1.5$ ,  $3.2$  and  $5.3$  %, respectively, in VIIRS channels M3, M4, M5, M7, M8, M10 and M11. Looking back at Fig. 5, one can see that for example, by using proper M5 assumptions instead of the B1 MODIS assumptions, we now apply only about half the correction as before, resulting in a 6.6 % reduction of reflectance. Channel M7, with about 50 % less water vapor correction (see Fig. 5), results in 2.7 % lower reflectance. Larger gas corrections owing to CO<sub>2</sub> absorption in M10 and CH<sub>4</sub> absorption in M11 (Fig. 5) result in positive bias in M10 and M11 reflectance values globally.

Now, we continue the perturbation experiment and test the impact of slight differences in the band positioning between MODIS and VIIRS on AOD retrieval by performing two sets of retrievals. The first set (a) is as if we had applied appropriate VIIRS band corrections, while the second (b) is as if we had simply (naively) applied MODIS (C6) coefficients to VIIRS data. Figure 7 shows the AOD retrieved from these two cases (a and b) for an entire month (July 2013) of VIIRS data. While general AOD spatial patterns are in agreement, panel c shows differences in AOD of up to 0.07 between the two retrievals. Clearly, naively applied MODIS gas corrections to VIIRS data would lead to a global mean AOD underestimate of  $\sim 0.01$  for July 2013. While these differences are within the global uncertainties for AOD (e.g., GCOS), the regional differences can be much larger.

Although once considered to be trivial in magnitude, accurate atmospheric gas corrections have become more important as we strive towards better accuracies in AOD products and towards a seamless climate data record. It is noteworthy that the gas absorption spectra of Fig. 1 have been



**Figure 7.** Impact of updated atmospheric corrections on VIIRS AOD (550 nm) retrieval. All things being equal, using the C6 aerosol DT retrieval algorithm (a) is AOD using atmospheric coefficients calculated for VIIRS bands, and (b) is AOD using C6 atmospheric corrections; (c) is the difference between (b) and (a). The global mean AOD differs by  $\sim 0.012$  over land and by  $\sim 0.004$  over ocean. Differences are larger than these mean values regionally but  $< 0.08$ . Differences are mostly positive (reds) except in some desert/bright regions where some negative differences appear.

updated several times in recent years (Alvarado et al., 2013) as the scientific community continues to engage in study of gas absorption lines with improved instrumentation and gas spectroscopic measurements. Changing gas absorption spectra will affect the channels designed for new remote-sensing instruments and in understanding how these lines might affect the retrieval of proposed geo-physical products. Every instrument design involves characterization of channel bandwidths and the spectral response functions of the instrument's channels. This aptly calls for updates in modeling the absorption by gases in the channels used for aerosol retrievals. For the MODIS Collection 6 AOD product, the team switched from using a MODTRAN gas spectroscopic database to the HITRAN spectroscopic database and found differences.

## 5 Summary and conclusions

Performing aerosol optical depth retrieval, from satellite measurements, requires extracting the aerosol signal from the total radiance measured by the sensor at the top of the atmosphere. The total radiance includes signal from the underlying surface and from atmospheric constituents such as gases, clouds and aerosols. In this paper, we have described the physics and methodology employed by the dark-target aerosol retrieval algorithm for atmospheric gas correction of the cloud-free radiance measurements from the MODIS and VIIRS sensors. We have shown that the empirical correction applied to one sensor (MODIS) cannot be applied to another sensor (VIIRS) even when the channels of the two sensors may be similar. For a specific month of VIIRS observations (July 2013), not accounting for the sensor's bandwidth and positioning of its central wavelength in the electromagnetic spectrum, can result in an AOD retrieval bias of about 0.01 (global average) and up to 0.07 at regional scales.

Water vapor, ozone and carbon dioxide are the major absorbers of solar radiation. Historically, they have been ac-

counted for in atmospheric gas corrections by aerosol retrieval algorithms. However, until recently, standard routine algorithms (e.g., the DT algorithm used on MODIS) did not consider other gases. For example, oxygen with a gas optical depth of about 0.016 is important in MODIS B5 band ( $1.24\ \mu\text{m}$ ) (Levy et al., 2013). Methane is an important absorber in band M11 ( $2.25\ \mu\text{m}$ ) of VIIRS with an optical depth of  $\sim 0.05$ . Starting with MODIS Collection 6, and the DT algorithm ported to VIIRS, four additional atmospheric gases ( $\text{N}_2\text{O}$ ,  $\text{CH}_4$ ,  $\text{O}_2$ ,  $\text{SO}_2$ ) are addressed by the gas correction in these DT algorithms.

For the dry-gas component, the DT gas correction assumes a homogeneous global distribution spatially and a US76 type of vertical distribution for the eight gases. Carbon dioxide, oxygen, nitrous oxide and methane are major absorbers in our dry-gas category. Except for  $\text{NO}_2$ , which is highly variable in both the horizontal and vertical, the other gases tend to be well mixed throughout the atmosphere. Spatial variability of well-mixed gases is typically around 10 %, is mostly latitudinal and is smaller than seasonal variability (e.g., see methane maps here: <http://www.temis.nl/climate/methane.html>, last access: 31 May 2018). For the nadir view, a 10 % error due to spatial variability will only introduce an error of 0.005 in the methane correction (optical depth  $\sim 0.05$  in VIIRS channel M11). For now, this is a small uncertainty in the overall retrieval. However, as requirements for aerosol retrieval accuracies tighten, even these well-mixed dry gases will require removal of any seasonal and regional biases by using ancillary measurements of these gases or at least seasonal global climatology of gas optical depths, instead of a single climatological value for the entire globe.

Since the DT algorithm corrects for  $\text{H}_2\text{O}$  and  $\text{O}_3$  using ancillary data at every  $1^\circ \times 1^\circ$  grid box, spatial and seasonal variability of these gases is being accounted for. However, the ancillary data have their own uncertainties that propagate into the gas correction and aerosol retrieval. The dark-target

team is working towards estimating the error in per-pixel AOD retrievals introduced from several error sources including the errors in H<sub>2</sub>O and O<sub>3</sub> ancillary data (GDAS) used for atmospheric gas corrections. Preliminary analysis suggests (not shown here) that gas corrections errors, stemming from considering 20 % errors in ancillary data, are much smaller (more than an order of magnitude) than errors from surface albedo uncertainty, aerosol model selection, spatial heterogeneity in a scene, calibration and cloud contamination errors. This is a work in progress and subject to future publication.

The VIIRS instrument on board Suomi-NPP is a follow-on of the MODIS instrument on Terra and Aqua satellites. While the dark-target team strives to create a seamless climate data record (CDR) of AOD from MODIS and VIIRS, it requires a consistency in AOD retrieval of about 0.02. Any compromise with the accuracy of AOD retrieved from either sensor will impact the CDR consistency requirement. To strive toward these requirements, we cannot ignore quality atmospheric gas corrections in AOD retrievals, and we will update the gas correction factors for each instrument as the community updates the gas absorption database.

As we move into an era of new aerosol missions, revisiting and updating gas corrections in a state-of-the-art algorithm become as important as improving upon other factors (e.g., better surface characterization, cloud clearing, aerosol properties) that affect the AOD retrieval. The dark-target algorithm software has now been generalized to retrieve AOD from sensors other than MODIS and VIIRS. It will be necessary to accurately characterize gases from such current and future instruments as Himawari and GOES-R, among others.

*Data availability.* L1B radiance data from VIIRS Suomi-NPP, made available by the Wisconsin-PEATE (now SIPS) team (IFF data), were used to create the MODIS-like C6 aerosol retrievals using the VIIRS DT algorithm. Ancillary data (water vapor and ozone content) from NCEP analysis are used by the DT retrieval algorithm for atmospheric gas correction. The 52 ECMWF water vapor and ozone profiles, used for calculating the transmittance from LBLRTM, were obtained from Pubu Ciren from NOAA (pubu.ciren@noaa.gov).

*Competing interests.* The authors declare that they have no conflict of interest.

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