



# Testing the near-field Gaussian plume inversion flux quantification technique using unmanned aerial vehicle sampling

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**Abstract.** Methane emission fluxes from many facility-scale sources may be poorly quantified, potentially leading to uncertainties in the global methane budget. Accurate atmospheric measurement-based flux quantification is urgently required to address this. This paper describes the first test (using unbiased sampling) of a near-field Gaussian plume inversion (NGI) technique, suitable for facility-scale flux quantification, using a controlled release of methane gas. Two unmanned-aerial-vehicle (UAV) platforms were used to perform 22 flight surveys downwind of a point-source methane gas release from a regulated cylinder with a flowmeter. One UAV was tethered to an instrument on the ground, while the other UAV carried an on-board prototype instrument (both of which used the same near-infrared laser technology). Both instruments were calibrated using certified standards to account for variability in the instrumental gain factor, assuming fixed temperature and pressure. Furthermore, a water vapour correction factor, specifically calculated for the instrument, was applied and is described here in detail. We also provide guidance on potential systematic uncertainties associated with temperature and pressure, which may require further characterisation for improved measurement accuracy. The NGI technique was then used to derive emission fluxes for each UAV flight survey. We found good agreement of most NGI fluxes with the known controlled emission flux, within uncertainty, verifying the flux quantification methodology. The lower and upper NGI flux uncertainty bounds were, on average,  $17\% \pm 10(1\sigma)\%$  and  $227\% \pm 98(1\sigma)\%$

of the controlled emission flux, respectively. This range of conservative uncertainty bounds incorporate factors including the variability in the position of the time-invariant plume and potential for under-sampling. While these average uncertainties are large compared to methods such as tracer dispersion, we suggest that UAV sampling can be highly complementary to a toolkit of flux quantification approaches and may be a valuable alternative in situations where site access for tracer release is problematic. We see tracer release combined with UAV sampling as an effective approach in future flux quantification studies. Successful flux quantification using the UAV sampling methodology described here demonstrates its future utility in identifying and quantifying emissions from methane sources such as oil and gas extraction infrastructure facilities, livestock agriculture, and landfill sites, where site access may be difficult.

## 1 Introduction

Methane is the second most important anthropogenic greenhouse gas (Etminan et al., 2016), with an important role in atmospheric chemistry processes (Ehhalt et al., 1972). There is more methane in the atmosphere today than there has even been over the past 800 000 years (Etheridge et al., 1998; Louergue et al., 2008; Dlugokencky, 2020). The global methane budget is subject to significant uncertainties (Kirschke et al., 2013; Saunio et al., 2016b;

Nisbet et al., 2019), particularly from inventory uncertainty in facility-scale sources such as landfill sites (Scheutz et al., 2009), herds of cattle (Blaxter and Clapperton, 1965), and oil and gas extraction infrastructure (Brantley et al., 2014), which collectively contribute significantly to global methane emissions (Dlugokencky et al., 2011; Saunio et al., 2016a). These uncertainties can be reduced through the accurate source identification and subsequent quantification of methane emission fluxes using top-down (atmospheric measurement-based) methods in order to validate bottom-up (inventory-based) emission flux estimates (Lowry et al., 2001; Nisbet and Weiss, 2010; Allen, 2016; Desjardins et al., 2018).

Accurate top-down flux quantification from facility-scale sources requires a combination of wind vector measurements along with in situ measurements of atmospheric methane mole fraction (Dlugokencky et al., 1994; Rigby et al., 2017). Facility-scale emission fluxes can be derived from near-field sampling (less than 500 m from the source), which may be acquired from an unmanned-aerial-vehicle (UAV) platform (Gottwald and Tedders, 1985). UAVs are cheap, versatile and relatively easy to use (Villa et al., 2016) compared to large, manned aircraft (Illingworth et al., 2014; Lehmann et al., 2016). They can fly near the source and can be directed automatically using waypoints to enable even and unbiased spatial sampling (Greatwood et al., 2017; Feitz et al., 2018). There are three principal approaches for measuring methane mole fraction from a UAV in situ: on-board air samples can be collected for subsequent analysis (Chang et al., 2016; Greatwood et al., 2017; Andersen et al., 2018), air can be pumped through a long tube to a sensor on the ground for analysis (Brosy et al., 2017; Wolf et al., 2017; Shah et al., 2019) or air can be analysed live using a sensor mounted on board the UAV (Berman et al., 2012; Khan et al., 2012; Nathan et al., 2015; Golston et al., 2017; Martinez et al., 2020). Yet, a key limitation to accurate source identification and flux quantification is the precision and accuracy of methane mole fraction measurements (Hodgkinson and Tatam, 2013). Miniaturised sensors suitable for UAV sampling are emerging (Villa et al., 2016), but high-precision, lightweight, in situ, closed-path sensors, featuring superior techniques such as off-axis integrated cavity output spectroscopy, have not yet materialised.

Some studies have used UAV remote-sensing measurements to derive emission fluxes (Golston et al., 2018; Yang et al., 2018). However, to our knowledge, only Nathan et al. (2015) have derived fugitive methane emission fluxes using UAV in situ measurements. In that study, a UAV with an on-board in situ low-precision sensor ( $\pm 0.1$  ppm at 1 Hz) flew in orbits around a gas compressor station, using mass balance box modelling, with geospatial kriging for interpolation, to derive the emission flux. However, this method was not tested for UAV sampling with an accurate known (controlled) methane flux rate. It is crucial that novel flux quantification techniques are tested by sampling a known flux

prior to investigating unknown emission sources (Desjardins et al., 2018; Feitz et al., 2018). Our previous study was the first test of an in situ flux quantification technique using UAV sampling downwind of a controlled methane release, where a UAV was connected to a high-precision methane analyser on the ground using 150 m of tubing (Shah et al., 2019). A dataset of two-dimensional downwind sampling measurements, on a vertical flux plane, was used to develop the near-field Gaussian plume inversion (NGI) technique for flux quantification, as other flux quantification approaches failed (Shah et al., 2019). Fully manual UAV piloting was employed in this previous study to actively pursue the position of the time-invariant emission plume on the sampling plane using mid-flight knowledge of its position. This resulted in calculated emission fluxes that were significantly positively biased compared to known emission fluxes; this represents a source of vulnerability in fully manual UAV sampling, which we address in this work.

Here we test the application of the NGI method with unbiased UAV sampling of controlled methane emission sources by flying two UAVs downwind of the release. In this work, the causes of positive flux bias reported in Shah et al. (2019) were addressed in our sampling strategy by flying a UAV without prior knowledge of the position of the time-invariant emission plume. One UAV was connected to a commercially available instrument on the ground and the other carried a lighter prototype on-board instrument (Sect. 3). Both instruments were characterised and calibrated, with the effects of cell pressure and cell temperature also assessed (Sect. 2). Our approach to water vapour correction is also outlined in Sect. 2. Limitations to our sensor characterisation procedures and future improvements are also outlined. Sampling was then used to derive NGI flux uncertainty ranges (Sect. 4) for each of the 22 flight surveys. In Sect. 5 the success of the NGI method is assessed overall, and its sampling constraints are summarised.

## 2 Methane instrumentation and calibration

### 2.1 Instrument overview

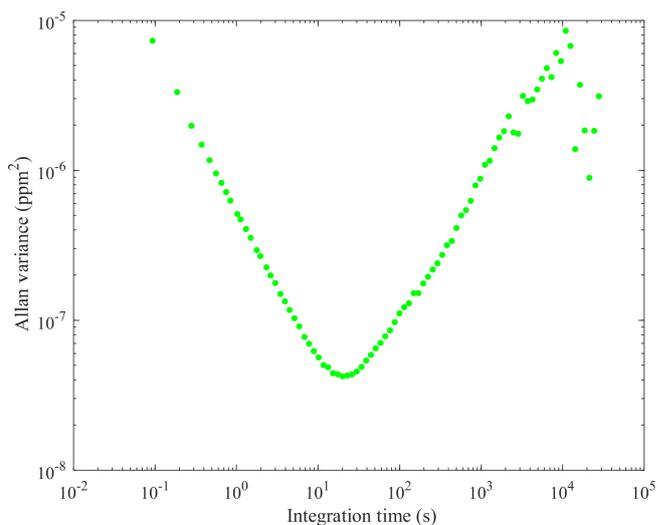
Two instruments were used to derive atmospheric dry methane mole fraction ( $[X]$ ) measurements during UAV sampling.  $[X]$  is given in units of parts per million (ppm) throughout this paper, which are defined here as the number of moles of methane per million moles of dry air ( $10^{-6} \text{ mol}_{\text{methane}} \text{ mol}^{-1}$ ), with parts per billion (ppb) defined as the number of moles of methane per billion moles of dry air ( $10^{-9} \text{ mol}_{\text{methane}} \text{ mol}^{-1}$ ). In this section, the ABB “Micro-portable Greenhouse Gas Analyzer” (MGGA) and a lighter prototype MGGA (pMGGA), designed for UAV use, are compared and characterised to assess their performance, albeit under ambient (variable) laboratory temperature and pressure conditions. The technical specifications of both in-

struments are compared in Table 1. Both instruments use off-axis integrated cavity output spectroscopy (ICOS) to derive simultaneous empirical measurements of methane, carbon dioxide and water mole fractions from the absorption of a near-infrared (1651 nm) laser, with the water and methane absorption peaks separated by 0.2 nm. The pMGGA uses an additional laser (1603 nm) to measure carbon dioxide mole fraction more accurately. Off-axis ICOS techniques reflect a tuneable laser between two mirrors in a high-finesse optical cavity to obtain high-precision mole fraction measurements (see Paul et al., 2001, and Baer et al., 2002, for further details on off-axis ICOS).

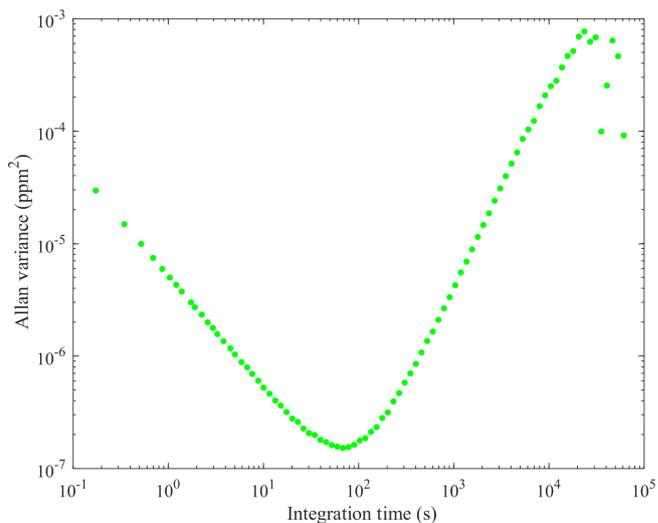
The  $e$ -folding time of the high-finesse cavity in both sensors was measured here by fitting an exponential decay function to the transition from a high-mole-fraction standard gas to a low-mole-fraction standard gas (see Table 1 for results, with sensor flow rate also given). This represents the time taken for 63.2 % of the contents of the high-finesse cavity to be replaced. The Allan variance of each sensor was also derived (see Figs. 1 and 2) by sampling a dry gas standard continuously (17 h and 23 min for the MGGA and 38 h and 30 min for the pMGGA) under ambient conditions. The 1 Hz Allan deviation and the 0.1 Hz Allan deviation for both instruments are given in Table 1. for both instruments are given in Table 1. The sampling noise uncertainty ( $\sigma_n$ ), used within the total mole fraction enhancement uncertainty (discussed in Sect. 2.4), represents the Allan deviation at the maximum sampling frequency.  $\sigma_n$  for the MGGA and pMGGA are 2.71 ppb (at 10 Hz) and 5.44 ppb (at 5 Hz), respectively. The optimum Allan variance integration time was also assessed for each sensor ( $(20 \pm 3)$  s for the MGGA and  $(70 \pm 10)$  s for the pMGGA); this represents maximum sampling time before instrumental drift begins to dominate over instrumental noise. During the MGGA Allan variance test, cell temperature (which varied between 24.9 and 27.8 °C) and cell pressure (which varied between 1.0093 and 1.0128 bar) were also recorded to assess their correlation with  $[X]$  (see Figs. S1 and S2 in the Supplement). Correlation of both cell temperature and cell pressure was poor, with Pearson correlation coefficients of  $-0.4849$  and  $-0.3835$ , respectively, and linear gradients of  $-0.0022 \text{ ppm } ^\circ\text{C}^{-1}$  and  $-0.0022 \text{ ppm mbar}^{-1}$ , respectively. Thus, over a limited cell pressure and cell temperature range, there was no definitive correlation with  $[X]$  for the MGGA under typical laboratory conditions, though there may be a need for a more comprehensive cell temperature and cell pressure characterisation in the future, depending on the expected sampling conditions.

## 2.2 Empirical water vapour correction

Raw wet methane mole fraction measurements ( $[X]_0$ ) recorded by each instrument were corrected for the influence of atmospheric water vapour on mole fraction retrievals. Water vapour influences measurements of dry methane mole fraction ( $[X]$ ) for three main reasons (Karion et al., 2013;



**Figure 1.** Allan variance for the MGGA plotted against integration time on logarithmic axes.



**Figure 2.** Allan variance for the pMGGA plotted against integration time on logarithmic axes.

O'Shea et al., 2013; Rella et al., 2013). First and most significantly, dilution effects occur, where the bulk presence of water reduces the quantity of methane in the cavity at a given pressure. Second, strong, broad infrared absorption bands of water can interfere with the absorption spectrum of methane, though this effect is thought to be small in this case as the spectral lines are well separated in the spectral sampling region of these instruments. Third, pressure broadening can alter the shape of the methane spectral absorption band, due to collisional interaction between water and methane molecules, compared to pressure broadening without water in the cavity. The combined impact of pressure-broadening absorption band changes and dilution has a net effect of decreasing  $[X]_0$  in both instruments, based on lab-

**Table 1.** General properties of the MGGA and the pMGGA.

	MGGA	pMGGA
Mass	4.8 kg	3.4 kg
Length	0.35 m	0.33 m
Width	0.30 m	0.20 m
Depth	0.15 m	0.13 m
Power consumption	35 W	32 W
Operating DC voltage	10–30 V	10–28 V
Cell pressure	atmospheric	pressure-controlled to 0.61 bar
<i>e</i> -folding time	(1.6 ± 0.2) s	(3.0 ± 0.1) s
Volumetric flow rate	(27.90 ± 0.05) cm <sup>3</sup> s <sup>-1</sup>	(5.08 ± 0.02) cm <sup>3</sup> s <sup>-1</sup>
Maximum sampling frequency	10 Hz	5 Hz
$\sigma_n$	±2.71 ppb	±5.44 ppb
1 Hz Allan deviation	±0.71 ppb	±2.2 ppb
0.1 Hz Allan deviation	±0.24 ppb	±0.72 ppb
Optimum integration time	(20 ± 3) s	(70 ± 10) s

oratory observations at a range of methane and water mole fractions under typical near-surface conditions.

To account for pressure-broadening absorption band changes, both the MGGA and pMGGA use an internal retrieval algorithm to derive methane mole fractions, which includes empirically derived estimates of the effect of pressure broadening as a function of varying empirical water vapour mole fraction. The instruments then output raw dry mole fraction measurements, which have additionally been corrected for the effect of mole fraction dilution by water vapour, and raw wet methane mole fraction measurements ( $[X]_0$ ), which have not been corrected for dilution (but are still calculated using the same empirically derived pressure-broadening correction, as a function of water mole fraction). A typical pressure-broadening correction (as a function of water mole fraction) is determined by the manufacturer based on experiments conducted with a sample batch of instruments, yielding an average correction applied to all instruments. However, because the correction convolves pressure-broadening absorption band changes due to water vapour with pressure-broadening absorption band changes due to instrument factors, there is some variability from unit to unit. Therefore, to obtain a more accurate correction for the influence of water vapour on the individual instruments used here, we apply a further empirical post-processing correction factor to  $[X]_0$  measurements (without the dilution correction) reported by the instruments under ambient laboratory conditions, using reported measurements of water mole fraction ( $[H_2O]$ ). Although  $[H_2O]$  measurements reported by the instruments may not be an accurate representation of the true water mole fraction in the cavity, they are sufficient for an empirical correction on  $[X]_0$ , provided that  $[H_2O]$  does not drift and is independent of dry uncalibrated methane mole fraction ( $[X]_0^{\text{dry}}$ ). Therefore,  $[H_2O]$  was not calibrated against standards and an instrument-reported value was used for this empirical correction.

For the water correction to be valid,  $[H_2O]$  should be independent of  $[X]_0^{\text{dry}}$ . However, both instruments reported small but non-zero  $[H_2O]$  when sampling dry air, which decreased with increasing  $[X]_0^{\text{dry}}$ . Therefore, before a water correction could be applied, a  $[H_2O]$  baseline ( $[H_2O]_0$ ) was derived under ambient (variable) laboratory conditions up to 5 ppm, which represents the upper limit of the World Meteorological Organization greenhouse gas scale (WMO-X2004A) for methane. Gases from two cylinders with different methane compositions (1.901 and 5.049 ppm) were dried by passing them through a water trap (a stainless-steel coil immersed in solid carbon dioxide pellets) before being sampled by both the MGGA and the pMGGA. Dry air from an additional cylinder (2.167 ppm) was also sampled by the MGGA. Each gas was sampled a minimum of 11 times for 4 min periods, from which 1 min averages were taken.  $[H_2O]_0$  decreased with  $[X]_0^{\text{dry}}$ , given by Eq. (1), where  $a$  is the water baseline offset and  $b$  is the water baseline coefficient. The data used to fit  $[H_2O]_0$  are plotted in Figs. S3 and S4.

$$[H_2O]_0 = a + (b \cdot [X]_0^{\text{dry}}) \quad (1)$$

Coefficients  $a$  and  $b$  for both instruments are given in Table 2. The effect of  $[H_2O]_0$  changes on  $[X]_0^{\text{dry}}$  beyond the 5 ppm range was also tested up to approximately 100 ppm (see the Supplement for details).

$[H_2O]_0$  is assumed here to be relatively constant over time. To test this, an Allan variance test was conducted on  $[H_2O]_0$  for both instruments (see Figs. S5 and S6), using the same Allan variance dataset described in the previous section. This revealed a water baseline Allan deviation precision for the MGGA and pMGGA of  $\pm 16 \times 10^{-6}$  and  $\pm 27 \times 10^{-6}$  mol<sub>water</sub> mol<sup>-1</sup>, respectively, using a 1 min integration time (the averaging time used for each  $[H_2O]_0$  point). These 1 min Allan deviation averages are small compared to the water vapour content of typical tropospheric air, suggest-

**Table 2.** Water correction coefficients for the MGGA and pMGGA that are required to obtain  $\nu$  using Eq. (4) and  $\sigma_\nu$ .

	MGGA	pMGGA
$a$	$-0.000312 \text{ mol}_{\text{water}} \text{ mol}^{-1}$	$+0.000195 \text{ mol}_{\text{water}} \text{ mol}^{-1}$
$b$	$-0.000193 \text{ mol}_{\text{water}} \text{ mol}^{-1} \text{ ppm}^{-1}$	$-0.0000257 \text{ mol}_{\text{water}} \text{ mol}^{-1} \text{ ppm}^{-1}$
$\alpha$	$-1.556 \text{ mol} \text{ mol}_{\text{water}}^{-1}$	$-1.640 \text{ mol} \text{ mol}_{\text{water}}^{-1}$
$\beta$	$-12.25 \text{ mol}^2 \text{ mol}_{\text{water}}^{-2}$	$-1.208 \text{ mol}^2 \text{ mol}_{\text{water}}^{-2}$
$\sigma_\nu$	0.0004253	0.0002613

ing that  $[\text{H}_2\text{O}]_0$  remains relatively stable. Having established a stable and well-characterised water baseline (assuming ambient temperature and pressure conditions), a post-processing empirical water correction factor ( $\nu$ ) was derived by sampling gas from a single cylinder (2.205 ppm for the MGGA and 2.183 ppm for the pMGGA), which was humidified to nine fixed dew points (from 0 to 18 °C) using a dew point generator (LI-610, LI-COR Inc.), following a similar experimental set-up used by O'Shea et al. (2013). The humidified gas was first sampled dry (to measure  $[X]_0^{\text{dry}}$ ), by passing it through the water trap, and then sampled wet (to measure  $[X]_0$  as a function of  $[\text{H}_2\text{O}]$ ). An example of sampled  $[X]_0$  and  $[\text{H}_2\text{O}]$  measurements, used to calculate each data point, is given in Fig. S8. A single gas standard was deemed sufficient for this test as both dilution and pressure-broadening absorption band changes affect the gain factor on methane mole fraction measurements (i.e. they do not affect the instrumental methane offset). Thus, this water correction is assumed to be independent of  $[X]_0^{\text{dry}}$  and solely dependent on the amount of water in the cavity. However, any water correction may be systematically influenced by cell temperature and cell pressure. This was not discussed in detail in this work as these effects are deemed to be small under typical near-surface environmental changes compared to the large methane elevations that were measured (see the Supplement for further discussion).

$[X]_0$  is then corrected by dividing it by  $\nu$ , as  $\nu$  is effectively the ratio between  $[X]_0$  and  $[X]_0^{\text{dry}}$ , as a function of  $[\text{H}_2\text{O}]$ . The ratio of  $[X]_0$  to  $[X]_0^{\text{dry}}$  was plotted against  $[\text{H}_2\text{O}]$  minus  $[\text{H}_2\text{O}]_0$  ( $[\text{H}_2\text{O}] - [\text{H}_2\text{O}]_0$ ), where  $[\text{H}_2\text{O}]_0$  was the water baseline measured during dry sampling (see Figs. S9 and S10). Subtracting the baseline in this analysis minimised the effects of  $[X]_0^{\text{dry}}$  on  $[\text{H}_2\text{O}]$ . A quadratic fit was applied to both curves, with the intercept forced to unity. The first-order coefficient ( $\alpha$ ) and second-order coefficient ( $\beta$ ) of the quadratic fit, given in Table 2, were then used to derive  $\nu$  using Eq. (2) as a function of  $[\text{H}_2\text{O}]$ .

$$\nu = 1 + \left( \alpha \cdot ([\text{H}_2\text{O}] - [\text{H}_2\text{O}]_0) \right) + \left( \beta \cdot ([\text{H}_2\text{O}] - [\text{H}_2\text{O}]_0)^2 \right) \quad (2)$$

As  $[\text{H}_2\text{O}]_0$  in Eq. (2) is typically unknown,  $[\text{H}_2\text{O}]_0$  defined in Eq. (1) can be substituted into Eq. (2) to yield Eq. (3).

$$\nu = 1 + \left( \alpha \cdot \left( [\text{H}_2\text{O}] - a - (b \cdot [X]_0^{\text{dry}}) \right) \right) + \left( \beta \cdot \left( [\text{H}_2\text{O}] - a - (b \cdot [X]_0^{\text{dry}}) \right)^2 \right) \quad (3)$$

As  $[X]_0^{\text{dry}}$  in Eq. (3) is also unknown, an approximation that  $[X]_0^{\text{dry}}$  is close to  $[X]_0$ , in typical tropospheric humidity conditions, can be used. Thus Eq. (3) can be rewritten in terms of  $[X]_0$  and  $[\text{H}_2\text{O}]$  using Eq. (4).

$$\nu \approx 1 + \left( \alpha \cdot \left( [\text{H}_2\text{O}] - a - (b \cdot [X]_0) \right) \right) + \left( \beta \cdot ([\text{H}_2\text{O}] - a - (b \cdot [X]_0))^2 \right) \quad (4)$$

To check the above assumption, as a simple example (for the MGGA), when  $[\text{H}_2\text{O}]$  is  $0.01 \text{ mol}_{\text{water}} \text{ mol}^{-1}$  and  $[X]_0^{\text{dry}}$  is 5 ppm, Eq. (3) yields  $\nu$  of 0.98089, whereas Eq. (4) yields a similar value for  $\nu$  of 0.98092. This small  $\nu$  change supports the use of Eq. (4) as an alternative to Eq. (3) by confirming that  $[X]_0^{\text{dry}}$  is close to  $[X]_0$  in this simple example.

The fit given by Eq. (4) relies on a reliable water baseline, independent of cell pressure and cell temperature. If the MGGA sampled 5 ppm of dry methane and without a baseline correction,  $\nu$  would be 1.0020, thus representing a methane mole fraction reduction of 0.0098 ppm (at 5 ppm), assuming invariant environmental conditions. However, as Eq. (4) acts to remove this small uncertainty, the residual uncertainty would be very small. In addition, the uncertainty in our empirical water correction fit was quantified using each water correction residual ( $R$ ) from Eq. (2), to derive a water fitting uncertainty factor ( $\sigma_\nu$ ) for each instrument (see Table 2), using Eq. (5). This  $\sigma_\nu$  uncertainty is the standard deviation of the mean of the residuals and quantifies the quality of our applied water correction fits, where  $N$  is the total number of residuals. However, there may be additional water correction uncertainty due to effects of cell temperature and cell pressure on  $\nu$ , which may be useful to examine further in future work.

$$\sigma_\nu = \left( \frac{\sum (R^2)}{N} \right)^{\frac{1}{2}} \quad (5)$$

Our water correction approach (given by Eq. 2) is analogous to the approach of previous work using the same spectroscopic technique (O’Shea et al., 2013); this previous work found that the water correction is stable and does not drift. Thus an uncertainty in our water correction fit was deemed to be sufficient to characterise uncertainty empirically. To summarise, this is a purely empirical instrument-specific correction to correct for the effects of water vapour in the cavity, valid for the tested water mole fraction range of up to  $0.016 \text{ mol}_{\text{water}} \text{ mol}^{-1}$ . For example, our correction (assuming constant temperature and pressure) would increase an MGGA  $[X]_0^{\text{dry}}$  measurement (at 2 ppm) by +0.27 % at a humidity of  $0.001 \text{ mol}_{\text{water}} \text{ mol}^{-1}$ , and by +1.8 % at a humidity of  $0.01 \text{ mol}_{\text{water}} \text{ mol}^{-1}$ , thus improving measurement accuracy.

### 2.3 Calibration

In order to convert  $[X]_0$  into  $[X]$ , both instruments were calibrated by sampling a low standard-methane mole fraction ( $[X]_{\text{low}}$ ) of 1.901 ppm and a high standard-methane mole fraction ( $[X]_{\text{high}}$ ) of 5.049 ppm (both of which were certified WMO-X2004A standards). Each gas was sampled intermittently for 4 min periods of continuous sampling. The water trap was used throughout each calibration as an extra precaution to ensure dry gas entered the sensor cavities. One-minute averages from each 4 min sampling period were taken to derive one value of low  $[X]_0^{\text{dry}}$  ( $[X]_0^{\text{dry}}_{\text{low}}$ ) and one value of high  $[X]_0^{\text{dry}}$  ( $[X]_0^{\text{dry}}_{\text{high}}$ ) representative for each 8 min period. The time increment between each  $[X]_0^{\text{dry}}_{\text{low}}$  and  $[X]_0^{\text{dry}}_{\text{high}}$  value was then interpolated from 8 to 4 min, such that every measured value of  $[X]_0^{\text{dry}}_{\text{low}}$  had a corresponding interpolated value of  $[X]_0^{\text{dry}}_{\text{high}}$  and vice versa. Individual measured and interpolated  $[X]_0^{\text{dry}}_{\text{low}}$  and  $[X]_0^{\text{dry}}_{\text{high}}$  values for both instruments are plotted in Figs. S11 and S12.

These measured and interpolated averages were used to calculate an average gain factor ( $G$ ) and gain factor uncertainty ( $\sigma_G$ ), from the average and standard deviation, respectively, of a set of at least 24 individual gain factors (calculated using Eq. 6) (Pitt et al., 2016).

$$\text{gain factor} = \frac{[X]_{\text{high}} - [X]_{\text{low}}}{[X]_0^{\text{dry}}_{\text{high}} - [X]_0^{\text{dry}}_{\text{low}}} \quad (6)$$

The average offset ( $C$ ) and offset uncertainty ( $\sigma_C$ ) were calculated by taking the average and standard deviation, respectively, of individual offsets (calculated using Eqs. 7 and 8) (Pitt et al., 2016).

$$\text{low offset} = [X]_{\text{low}} - \left( G \cdot [X]_0^{\text{dry}}_{\text{low}} \right) \quad (7)$$

$$\text{high offset} = [X]_{\text{high}} - \left( G \cdot [X]_0^{\text{dry}}_{\text{high}} \right) \quad (8)$$

$G$ ,  $\sigma_G$ ,  $C$  and  $\sigma_C$  for both instruments are given in Table 3. During these calibrations, the cell temperatures of

**Table 3.** Calibration coefficients for the MGGA and pMGGA.

	MGGA	pMGGA
$G \pm \sigma_G$	$0.9970 \pm 0.00023$	$0.9869 \pm 0.00028$
$C \pm \sigma_C$	$(+0.0132 \pm 0.0020) \text{ ppm}$	$(-0.0019 \pm 0.0015) \text{ ppm}$

the MGGA and pMGGA were  $(31.4 \pm 0.7) ^\circ\text{C}$  and  $(24.6 \pm 0.1) ^\circ\text{C}$ , respectively, and the cell pressures of the MGGA and pMGGA were  $(1005.9 \pm 0.2) \text{ mbar}$  and  $(614.30 \pm 0.01) \text{ mbar}$ , respectively.

A key advantage of this calibration procedure is that uncertainty in  $G$  is well quantified up to  $[X]_{\text{high}}$ , assuming stable cell temperature and cell pressure. Cell temperature and cell pressure both effect spectral fitting parameters and may consequently have an impact on  $G$ , though this effect would be smaller for the pMGGA which is pressure controlled. The effect of cell temperature on  $G$  is small; this was tested by performing a short-term (test) calibration with the MGGA at  $(44.08 \pm 0.02) ^\circ\text{C}$ , yielding a gain factor of 0.9979 (see the Supplement for details). In addition, the MGGA was calibrated at  $(968.7 \pm 0.3) \text{ mbar}$ , yielding a gain factor of 0.9967 (see the Supplement for details). These test gain factors are both similar to  $G$  (from the main calibration) of  $0.9970 \pm 0.0002$ . Furthermore, there was no discernible correlation for both cell temperature and cell pressure during the MGGA Allan variance test (see above), which suggests that  $G$  is negligibly insensitive to these parameters over the limited environmental range for the duration of the Allan variance test, though more comprehensive characterisation of these parameters may be required in future work. Although the pMGGA was not tested in this way, we assume similar behaviour due to identical spectroscopic techniques. Nevertheless, separate in-field calibrations would be preferable to enhance measurement accuracy by characterising the effect of variability in cell temperature and cell pressure on  $G$ . However, there are logistical challenges with in-field calibrations, such as the need for calibration gases and the time required to perform calibrations in dynamic atmospheric temperature and pressure conditions. The laboratory calibrations described here required at least 3 h of sampling to characterise variability in  $G$ : this may be impractical in field conditions.

### 2.4 Methane enhancement and uncertainty

The calibration procedures described above show that  $G$  is almost equal to 1 and  $C$  is almost equal to 0, relative to the atmospheric methane background, for both instruments (see Table 3) under ambient (but not controlled) conditions. This means that both instruments record raw  $[X]_0$  measurements with very little systematic error, even when uncalibrated. Thus, for most methane measurement purposes,  $[X]_0$  may not need to be corrected. However, in this work,  $G$  was applied to  $[X]_0$  for improved accuracy.

$[X]$  can be calculated (in ppm) using Eq. (9).

$$[X] = \left( \frac{G}{v} \cdot [X]_0 \right) + C \quad (9)$$

However, during flux calculation, the enhancement in methane mass density ( $E$ , in  $\text{kg m}^{-3}$ ), above some background, is required and was calculated here using Eq. (10). The background methane mole fraction ( $[X]_b$ ) and corresponding background uncertainty ( $\sigma_b$ ) can be calculated from a subset of  $[X]$  measurements, which can be acquired from out-of-plume sampling (see Sect. 3). The molar density of dry air ( $\rho$ ) and the uncertainty in  $\rho$  ( $\sigma_\rho$ ) (in units of dry  $\text{mol m}^{-3}$ ) can be derived from pressure, temperature and humidity measurements. The molar mass of methane ( $M$ ) is fixed at  $0.01604 \text{ kg mol}_{\text{methane}}^{-1}$ .

$$E = ([X] - [X]_b) \cdot \rho \cdot M \quad (10)$$

To calculate the uncertainty in  $E$  ( $\sigma_E$ ), the linearity in the instrument response was characterised up to 5 ppm (i.e. the extent of the WMO-X2004A scale). This was achieved by characterising the MGGA response to five certified WMO-X2004A standards. A linear fit was then applied to measured  $[X]$ , with residuals used to derive an uncertainty due to non-linearity ( $\sigma_L$ ) of  $\pm 2.3$  ppb (see the Supplement for further details). We adopt the same non-linearity uncertainty factor for the pMGGA as both instruments use identical spectroscopic techniques.  $\sigma_E$  can then be calculated by combining  $\sigma_b$  with the precision and accuracy uncertainty components of  $[X]$  using Eq. (11). Precision is characterised by  $\sigma_n$  and accuracy is characterised by  $\sigma_L$ ,  $\sigma_G$  and  $\sigma_v$  terms.  $\sigma_G$  also incorporates the effects of drifts, as it was derived from a prolonged sampling period over which drifts could develop. However,  $\sigma_E$  does not incorporate uncertainties due to the potential systematic error of cell temperature and cell pressure variations on  $E$ , which may manifest themselves as an accuracy term in Eq. (11).

$$\sigma_E = E \cdot \left( \left( (\sigma_n^2 + \sigma_L^2 + \sigma_b^2) \cdot \left( \frac{\rho \cdot M}{E} \right)^2 \right) + \left( \frac{\sigma_G}{G} \right)^2 + \left( \frac{\sigma_v}{v} \right)^2 + \left( \frac{\sigma_\rho}{\rho} \right)^2 \right)^{\frac{1}{2}} \quad (11)$$

Although  $M$  remains constant,  $v$  in Eq. (11) changes as a function of  $[X]_0$  and  $[\text{H}_2\text{O}]$ , for each value of  $E$ ;  $\sigma_C$  is not required in Eq. (11) as the offset cancels out in Eq. (10) when substituting in Eq. (9). This is an important advantage of using  $E$  rather than  $[X]$  in the flux analysis used in the following section.

## 2.5 Future improvements for instrumental characterisation

There are a number of steps that can be taken to better characterise both instruments to account for the effects of cell temperature and cell pressure on instrumental output. The simple

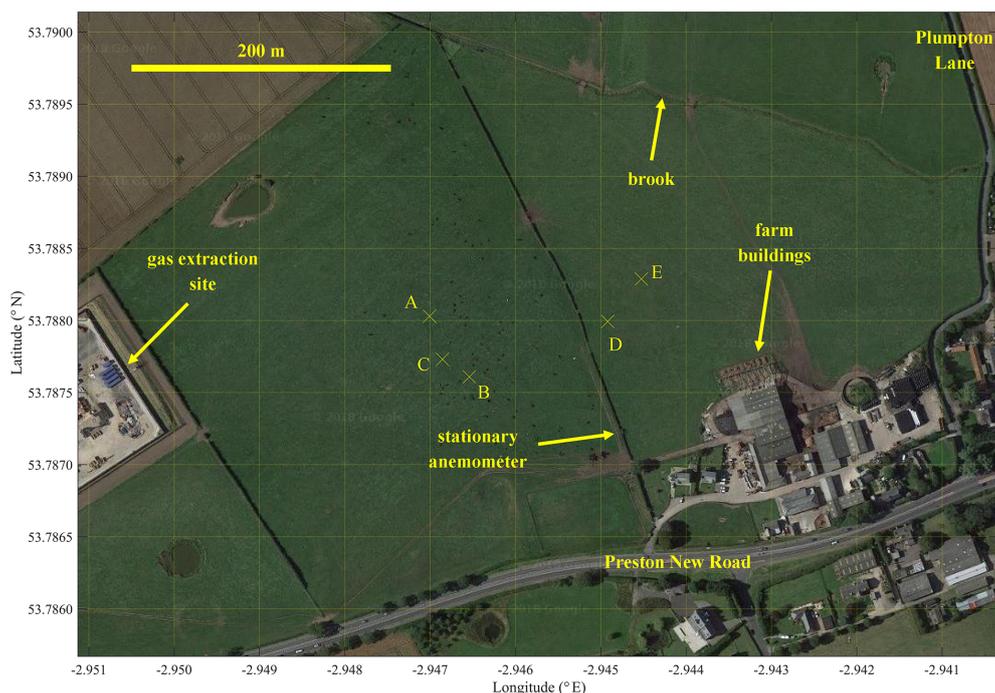
tests at the end of Sect. 2.3 show that both cell temperature and cell pressure can affect  $G$ , though this effect is subtle over small variations. The Allan variance tests (see Sect. 2.1) also show that influence of cell temperature and pressure on instrumental output is small. Thus future calibrations should be conducted in a controlled environment. Cell temperature and cell pressure may also effect  $[\text{H}_2\text{O}]_0$  (see the Supplement for details). It would also be useful to characterise  $[\text{H}_2\text{O}]_0$  under a wider range of environmental conditions. Furthermore,  $[\text{H}_2\text{O}]_0$  is assumed to respond linearly to  $[X]_0^{\text{dry}}$  (up to 5 ppm) based on our sampling of two or three gas standards. This linearity could be tested in future, under controlled conditions, by sampling more standards. The linearity of instrumental  $[X]_0^{\text{dry}}$  response to  $[X]$  could also be tested by sampling more certified standards to populate the range between 2 and 5 ppm. It may also be useful to sample below 2 ppm to fully characterise linearity, including at 0 ppm (using synthetic zero air). However, as the instruments are designed for atmospheric sampling, it is rare to sample air below the atmospheric ambient mole fraction background (approximately 1.9 ppm at the time of writing). On the other hand, although the WMO-X2004A scale does not exceed 5 ppm, it would be useful to test the linearity in instrumental response at higher mole fractions, using specialised certified gas mixes. Nevertheless, we are confident that Eq. (11) adequately quantifies uncertainties up to 5 ppm, incorporating terms for both accuracy and precision, assuming relatively constant temperature and pressure. Measurement accuracy may be improved by following the above suggestions, but this is not the focus of this work and our instrumental testing was deemed sufficient for our UAV sampling approach, described in the next section.

## 3 Method testing

### 3.1 Experimental description

A UAV sampling methodology for source identification and flux quantification was tested in two fields adjacent to a natural gas extraction facility in Little Plumpton (near Wesham), Lancashire, United Kingdom (coordinates:  $+53.78785$ ,  $-2.94758$ ), prior to any drilling or hydraulic fracturing, over five sampling days in August and September 2018. A map of the field site is given in Fig. 3. The two adjacent grass fields, in which all UAV sampling took place, belong to a fully operational dairy farm. Methane was released from within the operating site at one of two controlled flux rates ( $F_0$ ) from 0.25 m above ground level (see the Supplement for controlled release details).  $F_0$  was undisclosed during flux analysis, prior to the comparisons shown later in this paper, allowing for blind method testing.

Two adapted DJI Spreading Wings S1000+ octocopter UAVs (labelled UAV1 and UAV2) were used to sample the methane plume on a downwind vertical plane, roughly per-



**Figure 3.** The two fields used for UAV sampling. The map extends 0.71 km horizontally and 0.50 km vertically. The controlled release points are marked by labelled crosses (see Table S3 for details). The background image is taken from © Google Maps (imagery (2017): DigitalGlobe, GetMapping plc, Infoterra Ltd & Bluesky, The GeoInformation Group).

pendicular to mean wind direction (see Table 4 for UAV details). The location of the UAVs in relation to the controlled release and their sampling paths were decided on each day based on public wind forecasts and on-site wind measurements to horizontally centre (as best as possible) each UAV flight track downwind of the controlled release.  $[X]$  measurements from both platforms are given in Fig. 4. UAV1 was operated using pre-programmed waypoints and ascended diagonally. Each UAV1 flight survey was composed of two parts: one flight to the right of the source (projected onto the sampling plane, perpendicular to mean wind direction) and one to the left. Meanwhile each UAV2 flight survey was composed of a single flight, to perform horizontal transects, with each transect at a roughly fixed height, up to approximately 100 m laterally away from the take-off position. Seven surveys were conducted by UAV1 (labelled, T1.1–T1.7) and 15 surveys were conducted by UAV2 (labelled, T2.1–T2.15). Individual flight survey details are given in Tables S1 and S2.

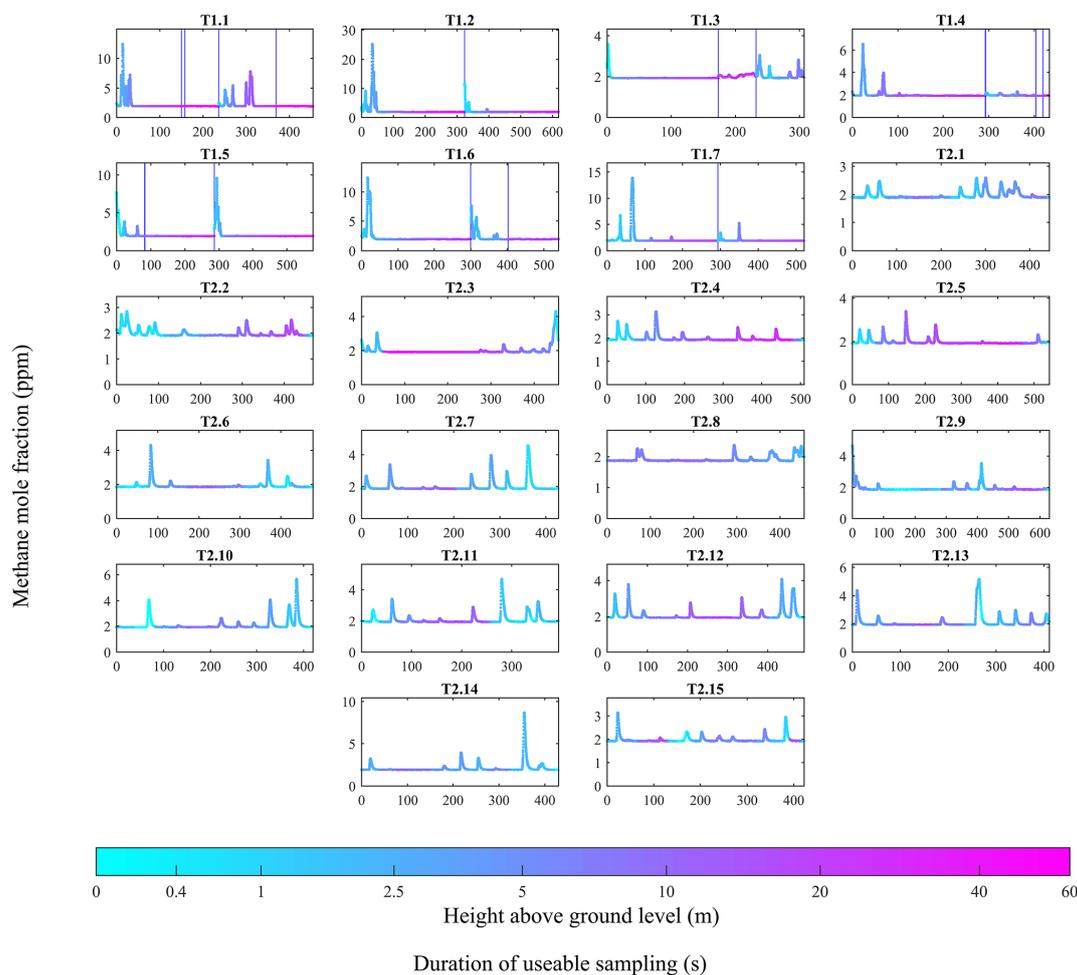
UAV1 (see Fig. 5) was connected to the MGGA on the ground using 150 m of perfluoroalkoxy (PFA) tubing (4.76 mm inner diameter; 6.35 mm outer diameter). Air was pulled through the tubing using a small pump (NMS 030.1.2 DC-B 12V, KNF Neuberger UK Ltd), from which the MGGA subsampled. The sampling lag time between air entering the UAV air inlet and air entering the MGGA cavity was 25 s, with an average volumetric flow rate through the tube of  $(110 \pm 10) \text{ cm}^3 \text{ s}^{-1}$  and a flow rate through the instrument (at ambient pressure) of  $(27.90 \pm 0.05) \text{ cm}^3 \text{ s}^{-1}$ .

Both the MGGA and the pump were powered by a 12 V lead-acid battery. As the tether connected to UAV1 occasionally kinked during flight, blocking air through the tube, 16 % of all  $[X]_0$  sampling from UAV1 was discarded (such periods were identified and recorded in the field from the flow of air to the pump). The pMGGA was mounted on board UAV2 (see Fig. 5) beneath the centre frame. The sampling lag time between air entering the external air inlet and air entering the pMGGA cavity was 2 s, with a flow rate through the instrument of  $(5.08 \pm 0.02) \text{ cm}^3 \text{ s}^{-1}$ . The pMGGA was powered using the on-board 22.2 V UAV2 battery. Both the MGGA and pMGGA transmitted live, real-time mole fraction measurements wirelessly to a tablet computer on the ground. Satellite geolocation was recorded by the pMGGA, on board UAV2, simultaneous with every  $[X]_0$  measurement. Satellite geolocation was recorded on UAV1 by a separate on-board computer (sampling at 1 Hz). Aerial UAV flight tracks are given in Fig. S14 for UAV1 and Fig. S15 for UAV2.

A lightweight wind sensor (FT205EV, FT Technologies Limited) was mounted on board UAV1 on a carbon fibre pole 305 mm above the plane of the propellers (see the Supplement for further details and testing). It recorded wind speed and direction at 4 Hz. These measurements were used to model change in wind speed with height above ground level ( $z$ ). A two-dimensional stationary sonic anemometer (WS500-UMB smart weather sensor, G. Lufft Mess- und Regeltechnik GmbH) was also situated on the southern boundary of the operating site (see Fig. 3),  $(3.30 \pm 0.03) \text{ m}$

**Table 4.** A comparison between UAV1 and UAV2.

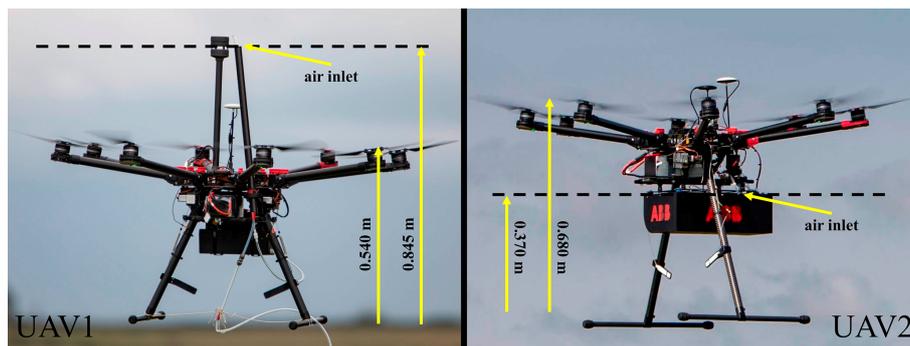
	UAV1	UAV2
Flights per survey	2	1
Distance of sampling plane from source	47–50 m	64–114 m
Take-off and landing	Manual	Manual
Flight control	Waypoints	Manual (course-lock mode)
Average velocity across the sampling plane	$(1.5 \pm 0.1) \text{ m s}^{-1}$	$(2.8 \pm 0.6) \text{ m s}^{-1}$
Payload	PFA tubing and inlet, wind sensor	pMGGA
Height of plane of propellers	0.540 m	0.680 m
Height of air inlet	0.845 m	0.370 m



**Figure 4.**  $[X]$  measurements acquired by the MGGA and the pMGGA, as a function of sampling duration, for each flight survey, with sampling height above ground level also plotted (coloured dots). A logarithmic colour legend has been used. Vertical blue lines indicate an interruption in continuous sampling.

above ground level. This provided wind speed, wind direction, relative humidity, temperature and pressure measurements every minute. Wind measurements from both sensors were combined to derive the average absolute wind speed as a function of  $z$  ( $WS(z)$ ) for the duration of each flight survey. This is described in detail in the Supplement.

The position of the UAV1 wind sensor and the position of the air inlet for both UAVs, relative to the plane of the propellers, are shown in Fig. 5. In hindsight, the UAV2 air inlet should have been elevated above the plane of the propellers, as downwash from the rotating propellers can distort the apparent plume morphology, leading to small errors in the



**Figure 5.** Photographs of UAV1 and UAV2, indicating the position of the air inlet relative to the base of the UAV.

geospatial positioning of the sampled air (Schuyler and Guzman, 2017; Zhou et al., 2018). As UAV2 generally sampled at a greater distance from the emission source than UAV1, allowing the instantaneous plume to disperse across a larger area, the impact of such a geospatial positioning error is expected to be small. Nevertheless care should be taken in future work to reduce these potential sampling biases.

### 3.2 Flux density measurements

Each individual UAV1 survey resulted in  $(9 \pm 1(1\sigma))$  min of useable  $[X]_0$  measurements, and each UAV2 survey resulted in  $(8 \pm 1(1\sigma))$  min of useable  $[X]_0$  measurements (see Tables S1 and S2 for individual sampling periods). These data were prepared for flux quantification by carrying out the following steps. The  $[X]_0$  timestamps from both instruments were corrected to account for lag time. The 1 Hz satellite geolocation measurements from UAV1 were interpolated to the 10 Hz  $[X]_0$  frequency of the MGGA.  $[X]_0$  was converted into  $[X]$  using Eq. (9).  $E$  was calculated with  $[X]$  measurements from both instruments using Eq. (10).  $[X]_b$  was derived by fitting a log-normal distribution to all recorded  $[X]$  measurements from each flight survey, using the method described by Shah et al. (2019) in our previous study. This background was derived from a histogram of all useable  $[X]$  measurements acquired during each flight experiment; a log-normal fit can usually be applied to the lowest  $[X]$  measurements in the histogram, which represent out-of-plume sampling. The peak of the log-normal fit to these lowest  $[X]$  measurements was taken to be  $[X]_b$ .  $\rho$  was derived using average temperature, pressure and relative humidity recorded at the stationary anemometer for the duration of each flight survey, with the standard deviation in temperature, pressure and relative humidity used to derive  $\sigma_\rho$ .

Satellite-derived altitude was corrected to obtain the height of the air inlet above ground level, by taking into account take-off altitude and the height of the air inlet when on the ground. This step ensures that the data represent the true point of sampling. After converting longitude and latitude from degrees into metres, metric longitude and latitude were

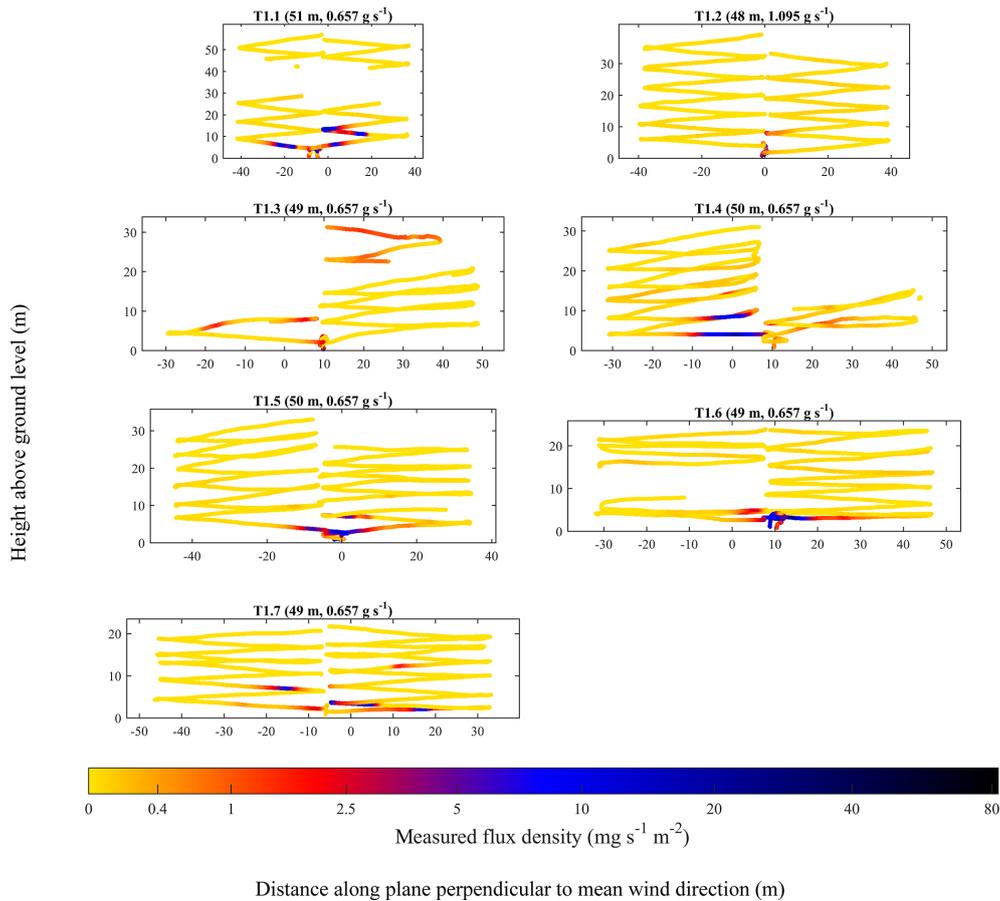
projected onto a plane perpendicular to and a plane parallel to mean wind direction, respectively. Mean wind direction was derived from the stationary anemometer for the duration of each flight survey. The coordinate projection procedure is described in further detail by Shah et al. (2019).

In order to calculate flux, flux density ( $q$ , in  $\text{kg s}^{-1} \text{m}^{-2}$ ) was derived. To achieve this, each geospatially mapped  $E$  measurement was combined with  $WS(z)$  using Eq. (12).

$$q = E \cdot WS(z) \quad (12)$$

Geospatially mapped  $q$ , on a plane perpendicular to mean wind direction, for each flight survey, is plotted in Fig. 6 for UAV1 and in Fig. 7 for UAV2. Measurements of  $[X]$  (see Fig. 4 for a time series for each survey) were not used in the flux analysis, but they are nevertheless of interest, as they show  $[X]$  to generally reduce with  $z$ , as expected, to support observations of  $q$  enhancements shown in Figs. 6 and 7.

Both Figs. 6 and 7 show significant background sampling (yellow data points), extending sufficiently far away from the position of the source projected onto the sampling plane (0 m), such that the narrow turbulently advecting time-invariant plume centre across each transect (typically manifested by  $q$  increase) had been passed. All of the UAV1 surveys in Fig. 6 took place from a similar distance from the source of approximately 50 m. It is clear that during most UAV1 surveys, enhancements in  $q$  were concentrated near the ground (below 10 m) and close to the position of the source, projected onto the sampling plane (0 m). However, T1.3 shows considerable enhancements in  $q$  above the ground (up to approximately 30 m), which was possibly due to a transient updraught. Meanwhile, the UAV2 flight surveys in Fig. 7, many of which took place approximately 100 m from the source, show large enhancements in  $q$  across the flux plane, up to approximately 15 m above the ground. Enhancements of  $q$  in Fig. 7 can also be seen at a much greater lateral distance from the source, projected onto the sampling plane. This is likely a consequence of many UAV2 flight surveys sampling at a greater distance from the source than UAV1 flight surveys, which gave the time-invariant plume more time to disperse. On the other hand, UAV1 flight sur-



**Figure 6.** UAV1 flight tracks (coloured dots) with the colour corresponding to  $q$ . Periods in which the tubing inlet kinked have been removed. A logarithmic colour legend has been used. The position of the source projected on the plane perpendicular to mean wind direction has been set to a reference of 0 m. The controlled emission flux and the parallel distance of the sampling plane from the source (weighted to the position of  $q$  enhancements) are given in brackets.

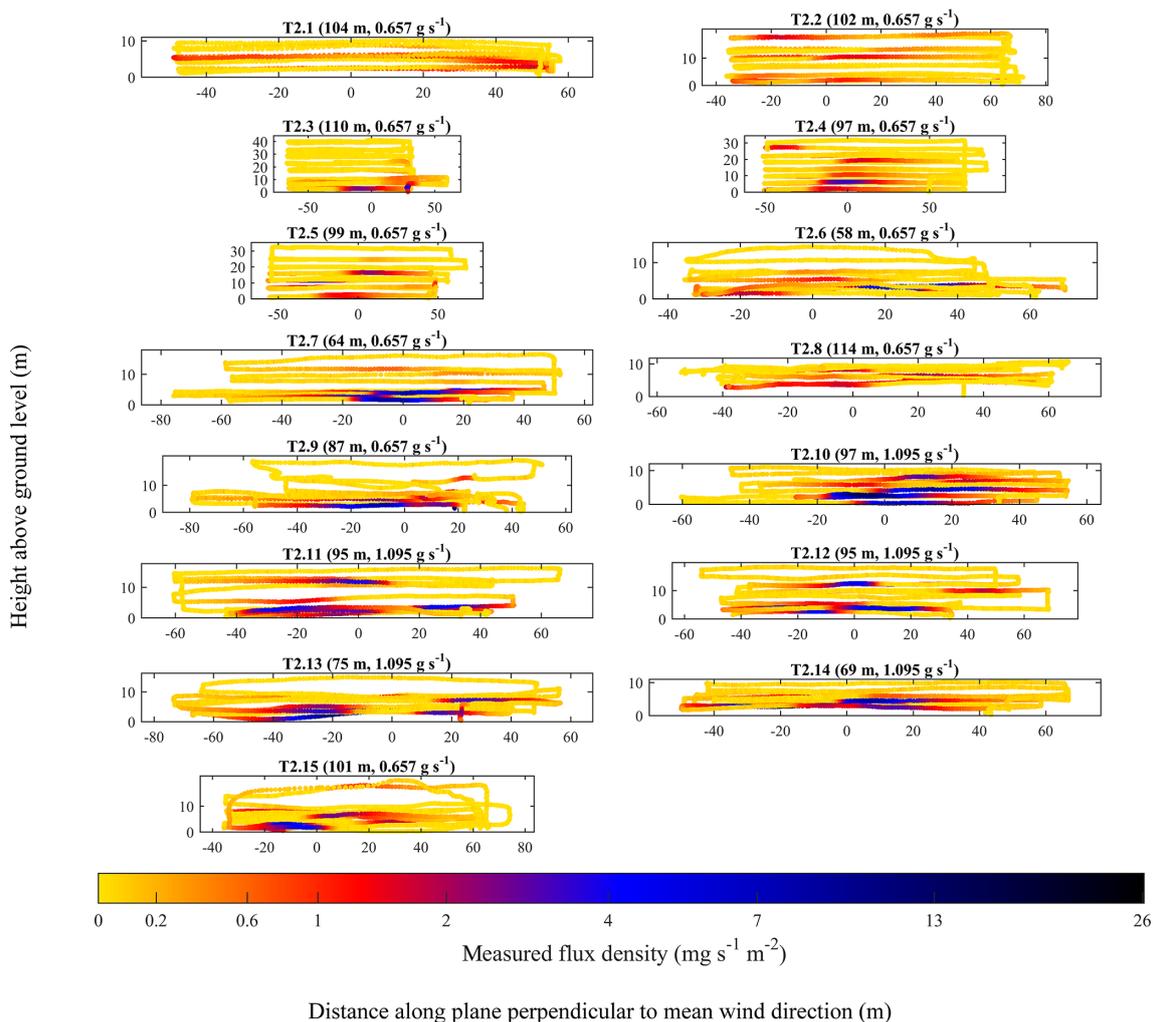
veys, which took place nearer to the source, show that UAV1 intersected the time-invariant plume less often. Thus, it may appear that the UAV flight track was not centred downwind of the source, when in practice erratic variations in the position of the time-invariant plume centre made it appear this way, as the time-invariant plume did not have time to disperse.

### 3.3 Flux quantification

Calculated  $q$  from each flight survey was used to derive an emission flux (in units of  $\text{kg s}^{-1}$ ) using the near-field Gaussian plume inversion (NGI) flux quantification technique (see Shah et al., 2019). In principle, the NGI method accounts for turbulent wind variations using Gaussian statistics. The method also takes into account sampling on a slightly off-set sampling plane (compared to the plane perpendicular to mean wind direction) by introducing a third dimension to the traditional two-dimensional Gaussian plume model. The NGI method uses a least-squares approach to compare measured and modelled values of  $q$ . Residuals in  $q$  are minimised

to output model parameters, which include an initial flux estimate ( $F_c$ ).

Full details of the NGI method can be found in our previous study in Shah et al. (2019). We provide a brief overview here. The size of the time-averaged plume is assumed to increase linearly with distance from the source, by assuming  $q$  to decrease according to the inverse square law with distance (an assumption which is valid over short distances). Therefore, instead of using constant crosswind and vertical dispersion terms, these terms are allowed to increase with distance from the source, with both terms being fixed at a 1 m distance. The crosswind dispersion term (at 1 m) is characterised using measurements of  $q$  rather than assumptions of atmospheric stability, as these assumptions are valid for time-averaged plumes characterised by dispersion rather than turbulent advection. In addition, the centre of the time-averaged plume in the crosswind direction is derived from measurements of  $q$ , as the precise position of the source may be unknown. The vertical dispersion term (at 1 m) and  $F_c$  can then



**Figure 7.** UAV2 flight tracks (coloured dots) with the colour corresponding to  $q$ . The position of the source projected on the plane perpendicular to mean wind direction has been set to a reference of 0 m. The controlled emission flux and the parallel distance of the sampling plane from the source (weighted to the position of  $q$  enhancements) are given in brackets.

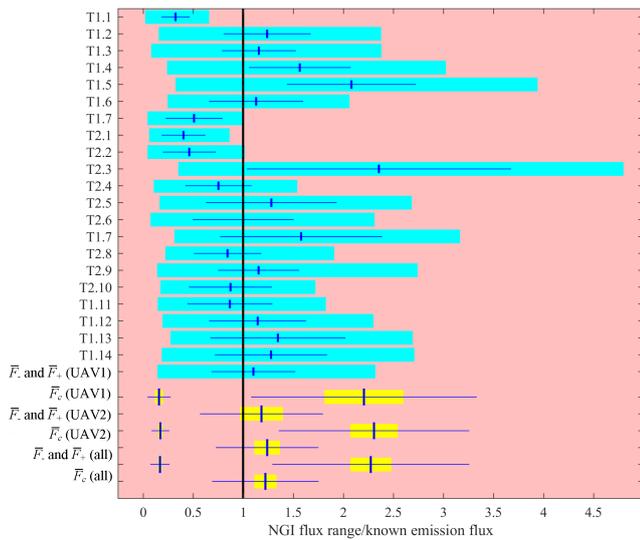
be acquired by inverting modelled values of  $q$ , which is derived by minimising residuals, as described above.

A measurement flux uncertainty ( $\sigma_F$ ) is calculated by combining the uncertainties in individual  $E$  and  $WS(z)$  values. A lower uncertainty bound ( $\sigma^-$ ) is calculated using residuals between modelled and measured  $q$  values. An upper uncertainty bound ( $\sigma^+$ ) is calculated by incorporating  $\sigma^-$  with the potential effects of negative flux bias due to under-sampling using a random walk simulation. The simulation is repeated 180 times for each flight survey. In each simulation, a static Gaussian plume (simulating a prescribed arbitrary target flux) is sampled across three dimensions, where sampling is constrained to the spatial limits of UAV sampling and is limited to the UAV sampling duration. The NGI method is used to derive a flux from these random walk simulations. The average fractional target flux underestimation from these simulations can be incorporated into  $\sigma^+$ . Ran-

dom walk flux underestimation occurs due to limited spatial sampling coverage (i.e. sampling gaps) and limited spatial sampling extent. This simulation step therefore gives an important indication of the systematic error due to potential under-sampling. All  $F_e$ ,  $\sigma^-$ ,  $\sigma^+$  and  $\sigma_F$  values for each flight survey are given in Table S5.

#### 4 Flux results and discussion

Calculated NGI emission fluxes were compared to the known (controlled) emission fluxes using the ratio between the NGI flux uncertainty range and  $F_0$  (see Fig. 8). As this was a blind flux analysis,  $F_0$  was not revealed to the analysis team prior to calculating the NGI flux uncertainty range. Figure 8 shows that the NGI flux uncertainty range agrees well with  $F_0$  for most flight surveys. Only three surveys (T2.1, T1.1 and T1.7) had a flux uncertainty range that fell short of  $F_0$ . Although



**Figure 8.** NGI flux uncertainty range (thick cyan bars), for each method testing flight survey, as a fraction of  $F_0$ . The  $\sigma_F$  uncertainty range (horizontal blue lines) is given on either side of  $F_c$  (vertical blue lines).  $\bar{F}_c$ ,  $\bar{F}_-$  and  $\bar{F}_+$  averages (vertical blue lines) are plotted for UAV1, UAV2 and for all flight surveys. Standard deviation uncertainty ranges (horizontal blue lines) and standard error uncertainty ranges (thick yellow bars) are given on either side of  $\bar{F}_c$ ,  $\bar{F}_-$  and  $\bar{F}_+$  values.

no flux uncertainty range exceeded  $F_0$ , T2.3 spanned a large flux range, much of which fell above  $F_0$ . Flux underestimation may be explained using the plots shown in Figs. 6 and 7, which demonstrate the following: a limited sampling duration made it possible to almost entirely avoid the time-invariant emission plume, thus resulting in low flux results; similarly, some flights intersected the time-invariant emission plume multiple times, resulting in flux overestimation in some cases, although large NGI uncertainty ranges can conservatively account for this effect. Therefore, it is clear that the  $F_c$  value obtained using the NGI method must not be taken at face value, and the full NGI flux uncertainty range must be considered. Furthermore, the flux ranges in Fig. 8 represent uncertainty bounds of 1 standard deviation; it is statistically realistic to expect some discrepancy between  $F_0$  and the NGI flux uncertainty range.

The flux uncertainty ranges given in Fig. 8 are asymmetric, although the magnitude of this asymmetry was different for flight experiments conducted by the different UAVs.  $\sigma^+$  was  $0.33 \pm 0.14(1\sigma)$  times larger than  $\sigma^-$  for UAV2 but was only  $0.08 \pm 0.03(1\sigma)$  times larger for UAV1. This is because UAV2 sampled further from the source, on average, and on a similar sized sampling plane to UAV1. As UAV2 was further from the emission source, the time-invariant plume had a greater likelihood of extending beyond the sampling plane and being missed (beyond the horizontal edges of the sampling plane), due to spatially limited sampling extent. This

potential loss of in-plume sampling may have otherwise contributed towards the overall flux, thus enhancing  $\sigma^+$ . Therefore,  $\sigma^+$  is comparatively larger than  $\sigma^-$  for flights conducted by UAV2.

The suitability of our experimental sampling methodology can be assessed by quantifying  $\sigma_F$  as a fraction of  $F_c$ , which was on average  $(\pm 45 \pm 8)\%$ . To assess the dominant sources of  $\sigma_F$ , the contribution of  $WS(z)$  and  $E$  uncertainty components towards it were analysed (see the Supplement for details and results). As  $\sigma_F$  is derived by combining individual components in quadrature, this analysis was conducted by assuming other uncertainties to be zero. The test showed that if wind speed was the only source of uncertainty, it would on average result in  $(\pm 90 \pm 8)\%$  of  $\sigma_F$ , representing therefore a dominant source of uncertainty. The standard deviation variability in cell temperature and cell pressure within each flight survey (see Table 5) was, on average, far smaller than maximal cell temperature changes ( $2.9^\circ\text{C}$ ) and cell pressure changes ( $3.5\text{ mbar}$ ) observed during the MGGGA Allan variance test. The average cell temperature and cell pressure during each flight survey was also derived (see Figs. S18 and S19) with averages given in Table 5. The values in Table 5 are not dissimilar to conditions during calibrations (plotted in Figs. S18 and S19). As there was no discernible correlation between  $[X]$  and cell temperature and cell pressure from the MGGGA Allan variance test and considering the dominance of winds contributing towards  $\sigma_F$ , one can assume that variation in cell temperature and cell pressure had negligible net effect on  $\sigma_F$ . Furthermore, the (poorly correlated) temperature trend from the MGGGA Allan variance test reveals a maximum uncertainty of 20 ppb for the MGGGA and 14 ppb for the pMGGGA (derived from the maximum difference between average calibration cell temperature and average UAV sampling cell temperature). These uncertainty values are far smaller than the average mole fraction enhancement uncertainty (expressed as a dry mole fraction) within each flight survey of  $(55 \pm 47)$  ppb (see Table S7 for individual values), though further laboratory testing would be needed to better characterise these effects (see Sect. 2.5).

It is important to recognise the magnitude of the NGI uncertainty ranges in Fig. 8, relative to  $F_0$ , which are due to the difficulties in inverting sparse spatial sampling to derive an emission flux, following the NGI method. These uncertainties reflect the limited sampling duration and the effects of variability in wind. While we fully acknowledge that flux uncertainty ranges in Fig. 8 are large, the true value of the NGI method with UAV sampling is to derive snapshot rapid flux estimates at low cost, with an order-of-magnitude level precision, for subsequent flux investigation using more precise approaches. Although longer sampling periods in each flight survey may reduce the uncertainties in Fig. 8, this is practically difficult with limited UAV battery life and comes with little additional benefit. Tethered power or multiple UAV flights may alternatively be used, as was the case with UAV1, but wind conditions can quickly change when sampling for

**Table 5.** Average cell temperature and cell pressure standard deviation variability within each UAV flight survey which was recorded by the MGGA and the pMGGA. The average cell temperature and cell pressure mean is also given.

	UAV1	UAV2
Instrument	MGGA	pMGGA
Average cell temperature standard deviation within flight surveys	( $\pm 0.16 \pm 0.09$ ) °C	( $\pm 0.28 \pm 0.28$ ) °C
Average cell pressure standard deviation within flight surveys	( $\pm 1.15 \pm 0.86$ ) mbar	( $\pm 0.40 \pm 0.01$ ) mbar
Average cell temperature mean across flight surveys	( $25 \pm 2$ ) °C	( $22 \pm 4$ ) °C
Average cell pressure mean across flight surveys	( $1025.6 \pm 5.4$ ) mbar	( $614.4 \pm 0.1$ ) mbar

prolonged periods with too many lengthy intervals between flights.

Some flux results (T1.1 for example) intersected the time-invariant plume more often than others (T1.2 for example) but resulted in a lower NGI flux range. On closer inspection of the mole fraction time series given in Fig. 4, flight surveys such as T1.2 sampled higher mole fraction enhancements (and hence  $q$ ) than T1.1. However, as the time-invariant plume may have largely been centred near to the ground, it can be more difficult to distinguish from a simple plot of the flux density UAV flight track. The comparative magnitude of mole fraction enhancements is clear on examination of the mole fraction time series. Thus it is important to take into account both the number of plume intersections and the magnitude of  $q$  during each plume intersection when assessing NGI flux results.

In order to assess whether multiple flight surveys could be used effectively to capture the known controlled emission flux, within uncertainty, the upper and lower NGI uncertainty bounds were averaged for all surveys (see penultimate row of Fig. 8). The average lower NGI flux uncertainty bound as a fraction of  $F_0$  ( $\overline{F}_-$ ) was  $0.2 \pm 0.1(1\sigma)$  and the average upper NGI flux uncertainty bound as a fraction of  $F_0$  ( $\overline{F}_+$ ) was  $2 \pm 1(1\sigma)$ , for all surveys. Thus  $F_0$  (i.e. 1 in Fig. 8) falls comfortably within the average NGI flux uncertainty range over 22 independent flight surveys.  $\overline{F}_-$  and  $\overline{F}_+$  were also calculated for surveys conducted by UAV1 and UAV2, separately. These separate  $\overline{F}_-$  and  $\overline{F}_+$  values for each UAV also comfortably overlap with the  $\overline{F}_-$  and  $\overline{F}_+$  values for all surveys combined. This suggests that the sampling strategies employed by both UAVs were capable of deriving the known emission flux, with a similar degree of both lower and upper uncertainty. The percentage standard error in  $\overline{F}_-$  and  $\overline{F}_+$ , over all 22 flight surveys, was 12 % and 9 %, respectively. The large standard errors in  $\overline{F}_-$  and  $\overline{F}_+$  may be reduced with more surveys in order to better constrain the NGI flux uncertainty range. However, more precise flux estimates can be obtained using other approaches such as tracer dispersion methods. Although we recognise that the  $\overline{F}_-$  and  $\overline{F}_+$  uncertainty averages are large, we emphasise that our methodology has been adapted for rapid flux analysis rather than precise flux estimates for inventory publication.

The ability of the NGI method to calculate a target emission flux was further assessed by calculating the central flux estimate as a fraction of  $F_0$  ( $F_c$ ) for each flight survey using Eq. (13).  $F_c$  is distinct from  $F_e$  (as a fraction of  $F_0$ ) in that  $F_c$  finds the centre of an asymmetric flux uncertainty, whereas  $F_e$  is an initial flux estimate calculated using the NGI method, which does not take into account the potential effects of under-sampling, which may result in a potential negative flux bias.

$$F_c = \frac{F_e + \left( \frac{\sigma_+ - \sigma_-}{2} \right)}{F_0} \quad (13)$$

The mean of  $F_c$  ( $\overline{F}_c$ ) and the mean standard error in  $\overline{F}_c$  for the 22 surveys (see bottom row of Fig. 8) treats each survey as an independent quantification of the flux, with no weighting for sampling time (as flight times were broadly similar). This clearly demonstrates the improvement in flux accuracy (for a constant source) that can be obtained with greater sampling time or repeated flights, as expected.  $\overline{F}_c$  was also calculated for surveys conducted by UAV1 and UAV2 separately: these separate  $\overline{F}_c$  values both overlap with the combined  $\overline{F}_c$  value for all flight surveys (within 1 standard deviation); there is no discernible difference in the NGI flux results obtained by either UAV. This suggests that both UAV sampling strategies were equally capable of delivering the same emission flux estimate (by taking the average of multiple flight surveys).

The overlap of the standard deviation in  $\overline{F}_c$  (shown in Fig. 8) with the known emission flux (i.e. 1 in Fig. 8) also suggests that there was no apparent flux bias (within uncertainty) in this study. This indicates that we have successfully overcome the causes of positive biases reported in our previous study (Shah et al., 2019). Shah et al. (2019) sampled downwind of a controlled emission source and actively pursued the time-invariant emission plume (projected onto the sampling plane) using mid-flight knowledge of its position, inferred by releasing smoke grenades during flight surveys. However, in this current work, manual sampling was avoided by either flying UAV1 using pre-programmed waypoints or by flying UAV2 using lateral transects in course-lock mode. Both of the approaches presented here successfully avoided biased sampling.

To conclude, UAV sampling can be used to practically derive unbiased snapshot emission fluxes with the NGI method, with an order-of-magnitude level precision, by sampling on a plane perpendicular to wind direction from at least approximately 50 m away from the source. Although typical flux uncertainties were high, NGI UAV fluxes serve as an important tool for snapshot source identification and flux quantification. Our UAV methodology fills an important gap between cheap leak detection techniques (such as infrared cameras), which do not provide fluxes, and reliable flux quantification techniques (such as the tracer dispersion method), which require expensive instrumentation and may be more difficult to organise. For example, tracer methods can be problematic in cases where site access for tracer release is impossible or in cases where the plume may be lofted. The UAV methodology we describe is highly suitable for regulatory leak detection and source isolation, with the added capability to gauge the severity of flux leaks, for subsequent investigation using other approaches. We anticipate a combination of UAV sampling with a tracer release, where both a target gas (in this case methane) and a proxy tracer can be measured simultaneously downwind, taking advantage of vertical sampling enabled by UAVs, as a powerful future toolkit for precise facility-scale flux quantification.

## 5 Conclusions

Two UAVs were used to test the near-field Gaussian plume inversion technique for flux quantification. One UAV was connected to the MGGGA on the ground using a tether, while the other carried a new ABB pMGGGA prototype instrument on board. Both instruments measured atmospheric methane mole fraction, which was calibrated and corrected for the influence of water vapour, following laboratory testing under ambient conditions, assuming the effects of cell temperature and cell pressure to be small.

The flux approach was tested for 22 UAV flight surveys by deriving fluxes from a controlled release of methane gas. This yielded successful results, with 19 out of 22 fluxes falling within the UAV-derived flux uncertainty range. This demonstrates that the near-field Gaussian plume inversion methodology used here could be used to derive emission fluxes from UAV sampling of plumes from facility-scale (point) sources, where such sources are relatively invariant over the period of such UAV sampling. The lower flux uncertainty bound was, on average,  $17\% \pm 10(1\sigma)\%$  of the controlled emission flux and the upper flux uncertainty bound was, on average,  $227\% \pm 98(1\sigma)\%$  of the controlled emission flux. Thus the known emission flux was comfortably encapsulated by the UAV flux results (within uncertainty).

A key advantage of the methodology used here is the ability to sample downwind of sources to obtain off-site mole fraction measurements. Such sampling allows for independent and portable studies of methane emissions without the

need for heavy infrastructure, special permissions, runway access or prior notification. We conclude that the near-field Gaussian plume inversion flux quantification method can be used confidently in future with UAV sampling to derive snapshot methane emission fluxes from relatively constant facility-scale sources such as oil and gas extraction infrastructure, livestock agriculture, and landfill sites. An exciting future application may be the incorporation of UAV sampling within a tracer release methodology, where simultaneous measurement of a target gas and a proxy tracer can take advantage of vertical sampling enabled by UAVs. This avoids the limitation of current mobile vehicle sampling which cannot sample lofted plumes. Together, this may represent a powerful future toolkit for precise and efficient flux quantification.

*Data availability.* The raw sensor testing data can be accessed from <https://doi.org/10.5281/zenodo.3706383> (Shah and Pitt, 2020). The raw and processed data from each flight survey can be accessed from <https://doi.org/10.5281/zenodo.3708518> (Shah et al., 2020).

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*Author contributions.* AS, JRP, HR, JBL, PIW and GA carried out the field experiments. All authors designed the field experiments. AS and JRP carried out and designed the laboratory experiments. AS, JRP and JBL developed the sensor characterisation procedures. JBL provided access to the prototype sensor. AS wrote the article. GA edited the article. All authors contributed towards the article.

*Competing interests.* The authors declare that they have no conflict of interest.

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