



# Retrieving H<sub>2</sub>O/HDO columns over cloudy and clear-sky scenes from the Tropospheric Monitoring Instrument (TROPOMI)

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**Abstract.** This paper presents an extended scientific HDO/H<sub>2</sub>O total column data product from short-wave infrared (SWIR) measurements by the Tropospheric Monitoring Instrument (TROPOMI) including clear-sky and cloudy scenes. The retrieval employs a forward model which accounts for scattering, and the algorithm infers the trace gas column information, surface properties, and effective cloud parameters from the observations. Compared to the previous clear-sky-only data product, coverage is greatly enhanced by including scenes over low clouds, particularly enabling data over oceans as the albedo of water in the SWIR spectral range is too low to retrieve under cloud-free conditions. The new dataset is validated against co-located ground-based Fourier transform infrared (FTIR) observations by the Total Carbon Column Observing Network (TCCON). The median bias for clear-sky scenes is  $1.4 \times 10^{21}$  molec cm<sup>-2</sup> (2.9 %) in H<sub>2</sub>O columns and  $1.1 \times 10^{17}$  molec cm<sup>-2</sup> (−0.3 %) in HDO columns, which corresponds to −17 ‰ (9.9 %) in a posteriori  $\delta D$ . The bias for cloudy scenes is  $4.9 \times 10^{21}$  molec cm<sup>-2</sup> (11 %) in H<sub>2</sub>O,  $1.1 \times 10^{18}$  molec cm<sup>-2</sup> (7.9 %) in HDO, and −20 ‰ (9.7 %) in a posteriori  $\delta D$ . At low-altitude stations, the bias is small at low and middle latitudes and has a larger value at high latitudes. At high-altitude stations, an altitude correction is required to compensate for different partial columns seen by the station and the satellite. The bias in a posteriori  $\delta D$  after altitude correction depends on sensitiv-

ity due to shielding by clouds and on realistic a priori profile shapes for both isotopologues. Cloudy scenes generally involve low sensitivity below the clouds, and since the information is filled up by the prior, a realistic shape of the prior is important for realistic total column estimation in these cases. Over oceans, aircraft measurements with the Water Isotope System for Precipitation and Entrainment Research (WISPER) instrument from a field campaign in 2018 are used for validation, yielding biases of −3.9 % in H<sub>2</sub>O and −3 ‰ in  $\delta D$  over clouds. To demonstrate the added value of the new dataset, a short case study of a cold air outbreak over the Atlantic Ocean in January 2020 is presented, showing the daily evolution of the event with single-overpass results.

## 1 Introduction

Atmospheric moisture strongly controls Earth's radiative budget and transports energy via latent heat, e.g. from low to high latitudes. Uncertainties in the quantification of these two effects are still large and represent one of the key uncertainties in current climate prediction (Stevens and Bony, 2013). Isotopologues of water offer further insights into the water cycle due to fractionation processes on phase changes. This provides additional constraints for models and thus valuable insights for their improvement. The application of isotopic

effects to this end requires observations on a global scale and with a long-term perspective, where to satellite observations from space are most useful (Rast et al., 2014).

HDO and H<sub>2</sub>O are observed from space mainly in the thermal infrared spectral range, e.g. by the Infrared Atmospheric Sounding Interferometer (IASI) onboard the MetOP satellites (Herbin et al., 2009; Schneider and Hase, 2011; Schneider et al., 2016; Lacour et al., 2012) or the Atmospheric Infrared Sounder (AIRS) onboard the NASA Aqua satellite (Worden et al., 2019), which builds on earlier work using the Tropospheric Emission Spectrometer (TES) on the NASA Aura satellite (Worden et al., 2012). These sounders can observe clear-sky and cloudy scenes over land and oceans, but they are insensitive to the boundary layer. The short-wave infrared (SWIR) spectral range does provide sensitivity to the boundary layer and is suitable for estimating total columns; however, bodies of water are very dark in the SWIR, which makes retrievals over oceans impossible for clear-sky conditions. The Tropospheric Monitoring Instrument (TROPOMI) onboard the Sentinel 5 Precursor (S5P) satellite launched on 13 October 2017 (Veefkind et al., 2012) will, together with its successor instrument Sentinel 5 on MetOp-SG-A, provide measurements in the SWIR beyond the year 2040 with an unprecedented spatial resolution of 5.5 km × 7 km (7 km × 7 km before August 2019) in the centre of the swath, daily global coverage and superior radiometric performance. Schneider et al. (2020a) recently published a first clear-sky dataset of H<sub>2</sub>O and HDO columns from TROPOMI. However, the restriction to clear-sky scenes over land hinders hydrological studies: cloudy-sky conditions are often different from clear-sky conditions, and oceans are important for the hydrological cycle. This can be remedied by also considering scenes over low clouds, which enables data over oceans and greatly extends coverage over land. To this end, an updated retrieval is employed which accounts for scattering and estimates effective cloud parameters in addition to the trace gases. Any loss of sensitivity to the partial column below the cloud is reflected by the column averaging kernel.

Isotopological abundance variations are often described by the so-called  $\delta$  notation which denotes the relative difference of the ratio of the heavy and light isotopologue,  $R_{\text{HDO}} = c_{\text{HDO}}/c_{\text{H}_2\text{O}}$ , to the standard abundance ratio of Vienna Standard Mean Ocean Water (VSMOW)  $R_{\text{HDO, std}} = 3.1152 \times 10^{-4}$  (Craig, 1961b; Hagemann et al., 1970), i.e.

$$\delta\text{D} = \frac{R_{\text{HDO}} - R_{\text{HDO, std}}}{R_{\text{HDO, std}}}. \quad (1)$$

This nomenclature is also used herein.

The next section describes the retrieval set-up, detailing the changes compared to the previous clear-sky-only data product by Schneider et al. (2020a). Section 3 introduces reference data used for validation and intercomparison, namely ground-based Fourier transform infrared (FTIR) observations over land and aircraft measurements over the ocean. Section 4 shows validation results, with low-altitude and

high-altitude FTIR stations presented separately. A comparison to the clear-sky-only data product by Schneider et al. (2020a) for the same ground pixels is also included. Over the ocean, the retrievals are compared to aircraft measurements. Section 5 presents applications of the new dataset on the global scale as well as locally for single overpasses. Finally, Sect. 6 gives a summary and conclusions.

## 2 Retrieval method

This work employs the Shortwave Infrared CO Retrieval (SICOR) algorithm, which utilises a profile-scaling approach; it is described in detail by Scheepmaker et al. (2016), Landgraf et al. (2016) and Borsdorff et al. (2014). While the clear-sky retrieval by Schneider et al. (2020a) employs a forward model which ignores scattering (hereafter non-scattering retrieval), the update presented herein uses a forward model which does account for scattering using the practical improved flux method (PIFM; Zdunkowski et al., 1980) and is termed scattering retrieval hereafter. The inversion derives the target trace gases H<sub>2</sub>O and HDO together with the interfering species CH<sub>4</sub> and CO and a Lambertian surface albedo from the observed spectrum in the spectral window from 2354.0 to 2380.5 nm (Scheepmaker et al., 2016). The isotopologue H<sub>2</sub><sup>18</sup>O is included in the forward model but is not estimated in the inversion (i.e. the abundance is fixed at the a priori value) since the absorption is very weak. Absorption cross sections are taken from the high-resolution transmission molecular absorption database (HITRAN) 2016 release (Gordon et al., 2017). A priori profiles of water vapour are taken from the European Centre for Medium-Range Weather Forecasts (ECMWF) analysis product. Since the ECMWF data product does not distinguish between individual isotopologues, H<sub>2</sub>O, HDO and H<sub>2</sub><sup>18</sup>O profiles are obtained from the water vapour profiles by scaling them with the respective average relative natural abundances. That implicitly corresponds to an a priori  $\delta\text{D}$  of 0‰. A case study for high-altitude stations in Sect. 4.3 alternatively uses HDO prior profiles computed from H<sub>2</sub>O profiles via an assumed more realistic  $\delta\text{D}$  profile which linearly decreases from −100‰ at the surface to −600‰ at 15 km altitude followed by a linear increase to −400‰ at the top of the atmosphere as used by Scheepmaker et al. (2016) for their simulated measurements. From this  $\delta\text{D}$  profile, a  $\delta^{18}\text{O}$  profile is computed via the global meteoric water line

$$\delta\text{D} = 8\delta^{18}\text{O} + 10‰ \quad (2)$$

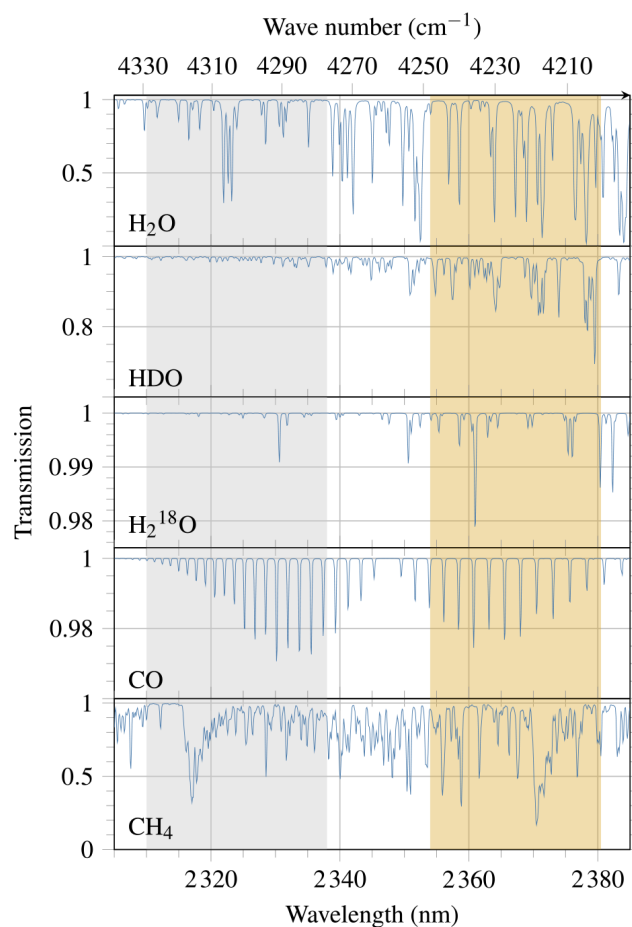
(Craig, 1961a) and is used to obtain the H<sub>2</sub><sup>18</sup>O a priori profile from the H<sub>2</sub>O profile. A priori profiles of CH<sub>4</sub> and CO are taken from simulations with the global chemistry Transport Model, version 5 (TM5; Krol et al., 2005).

Clouds are modelled by a single scattering layer with a triangular height profile in extinction coefficient centred at cloud centre height  $h$  with a geometrical half-width  $d$  and a

cloud optical thickness of  $\tau$ , deploying a two-stream model. The idea is to infer these effective cloud parameters from deviations of the retrieved methane column to the prior, as such differences are supposed to originate from light path modifications by scatterers. Fitting both  $d$  and  $\tau$  would lead to ambiguities, and thus the cloud geometric thickness  $d$  is fixed at 2500 m. The sensitivity of the inferred cloud parameters to the actual choice of  $d$  is relatively small. The approach of the CO product (Landgraf et al., 2016), which comprises fitting  $h$  and  $\tau$  simultaneously to the trace gases in its spectral range 2315–2338 nm, cannot directly be transferred to the spectral window 2354–2380.5 nm because it introduces errors in the inferred water vapour columns, maybe due to interferences and/or inaccuracies of the methane spectroscopy in the latter window. Thus, the effective cloud parameters are determined in a pre-fit in the spectral window from 2310 to 2338 nm where large absorption features of methane not interfering with water vapour are present. The resulting parameters are taken over to the final fit in the spectral window from 2354.0 to 2380.5 nm, where they are fixed while the trace gases are fitted. This neglects the spectral dependence of the cloud optical thickness in the spectral range between 2310 and 2380 nm. Figure 1 visualises the spectral windows employed for the retrieval in plots of simulated transmission spectra of the relevant absorbers.

A priori surface albedos are taken from a 1-year average over the year 2018 of the non-scattering product on an equal-area grid with  $5760 \times 2880$  bins (corresponding to a resolution of  $0.125^\circ$  at the Equator). Values over oceans and lakes (where the non-scattering retrieval does not yield data) are set to 0 as water is very dark in the short-wave infrared. Figure 2 shows a map of this prior. To reduce interferences with cloud parameters and to stabilise the inversion, the surface albedo is slightly regularised to the prior. Regularisation in the context of ill-posed problems is discussed in detail by Borsdorff et al. (2014).

The results are filtered for convergence and with a quality filter based on fit quality in terms of the number of iterations and  $\chi^2$  as a measure for the residual. Moreover, scenes with high solar zenith angles (SZAs) larger than  $70^\circ$  are filtered out since they are prone to errors. These errors are on the one hand due to multi-scattering and diffraction effects not covered well by the two-stream forward model and on the other hand due to typically low radiances resulting in low signal-to-noise ratios. From the remaining data, scenes are classified as clear sky, cloudy with low clouds, or other (e.g. high clouds) based on retrieved effective cloud parameters as specified in Table 1. Only scenes of the first two categories (i.e. clear sky or low clouds) are considered in this study and recommended to be taken into account by the user. If averaging kernels are taken into account, e.g. when assimilating the data, all scenes can be used, although shielding by high clouds may result in quite low information content. Clear-sky scenes are additionally filtered for surface albedo because low surface albedos usually involve low signal-to-

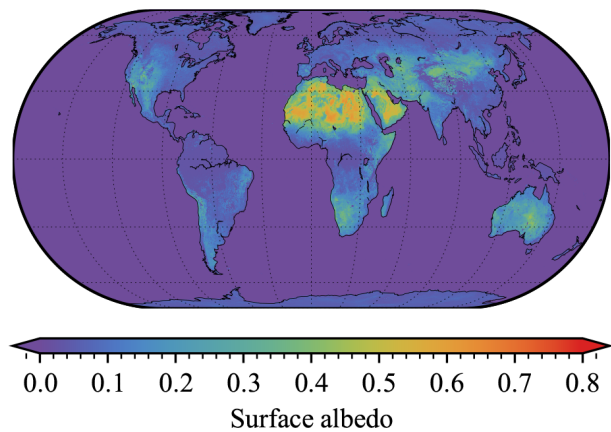


**Figure 1.** Simulation of atmospheric transmission in the spectral range of TROPOMI's SWIR channel for the absorbers taken into account by the retrieval algorithm. The grey shading marks the spectral window used for the determination of effective cloud parameters, the yellow shading the spectral window for the retrieval of the trace gases.

noise ratios. Such a surface albedo filter is not applied to cloudy scenes because clouds usually have high reflectivity, which allows the retrieval algorithm to work over very low surface albedos with high signal-to-noise ratios.

Figure 3 depicts a typical spectral fit. The root mean square (RMS) of the residual is somewhat higher than the nominal radiance precision; however, the latter only includes statistical noise in the detector signal but not errors due to correction (e.g. offset, dark current, memory, stray light) and conversion steps in the processor. This also leads to high  $\chi^2$  values, particularly for bright scenes, e.g. over the Sahara region.

Retrievals over optically thick clouds are insensitive to the partial column below the cloud. The algorithm estimates the missing information from the prior, however, that can deviate from the truth. This requires a thorough data interpretation using the column averaging kernel, which indicates the vertical retrieval sensitivity. It can be used e.g. to assimilate the



**Figure 2.** Average surface albedo from the non-scattering retrieval (Schneider et al., 2020a) of the year 2018, which is used as a priori surface albedo for the scattering retrieval. Values over oceans and lakes (where the non-scattering retrieval does not yield data) are set to 0.

**Table 1.** Quality filters and selection criteria for clear-sky and cloudy-sky conditions.

Quantity	Filter
Quality filter (all scenes)	
Number of iterations	$n \leq 10$
Reduced $\chi^2$ of final	$\chi_f^2 \leq 150$
Reduced $\chi^2$ of pre-fit	$\chi_p^2 \leq 150$
Solar zenith angle	$\vartheta \leq 70^\circ$
Clear-sky filter	
Cloud optical thickness	$\tau_{\text{cld}} < 0.3$
Surface albedo	$a \geq 0.02$
Filter for cloudy scenes	
Cloud height	$h_{\text{cld}} \leq 2000 \text{ m}$
Cloud optical thickness	$\tau_{\text{cld}} > 0.3$

data with models to help with the interpretation when sensitivity is low.

3 Reference data

3.1 Ground-based measurements by TCCON and co-location criteria

To validate the new satellite dataset, ground-based FTIR observations by the Total Carbon Column Observing Network (TCCON; Wunch et al., 2011), version GGG2014, are used. The TCCON HDO data are bias-corrected by dividing the HDO columns by a correction factor of 1.0778 as derived by Schneider et al. (2020a). This factor accounts for a missing aircraft correction factor of TCCON HDO. The aircraft

correction factor corrects systematic biases due to uncertainties in the spectroscopy which tend to be highly reproducible (Wunch et al., 2015). It is usually obtained from a comparison to airborne reference measurements at TCCON sites, but such measurements are lacking for HDO. Thus, Schneider et al. (2020a) determined an effective factor by fitting TCCON a posteriori  $\delta D$  to MUSICA-NDACC  $\delta D$  because MUSICA-NDACC  $\delta D$  is validated with aircraft measurements.

Table 2 lists the stations that are used for the validation.

An FTIR instrument has sensitivity in its viewing direction (i.e. in the direction of the Sun). If the Sun is low in the sky (i.e. for high solar zenith angles), this translates into an azimuthal dependency of sensitivity, while there is no azimuthal dependency if the Sun is in the zenith. To take this into account, the spatial co-location considers satellite overpasses in a cone in the FTIR viewing direction with an opening angle  $\alpha$  and a radius  $r_\alpha$  depending on solar zenith angle  $\vartheta$ . Varying the opening angle linearly with SZA from  $\alpha_{90^\circ}$  at  $\vartheta = 90^\circ$  to  $360^\circ$  at  $\vartheta = 0^\circ$  and requiring an equal co-location area in all cases gives

$$\alpha(\vartheta) = \alpha_{90^\circ} + \frac{90^\circ - \vartheta}{90^\circ} (360^\circ - \alpha_{90^\circ}), \tag{3}$$

$$r_\alpha = \sqrt{\frac{360^\circ}{\alpha}} r_0. \tag{4}$$

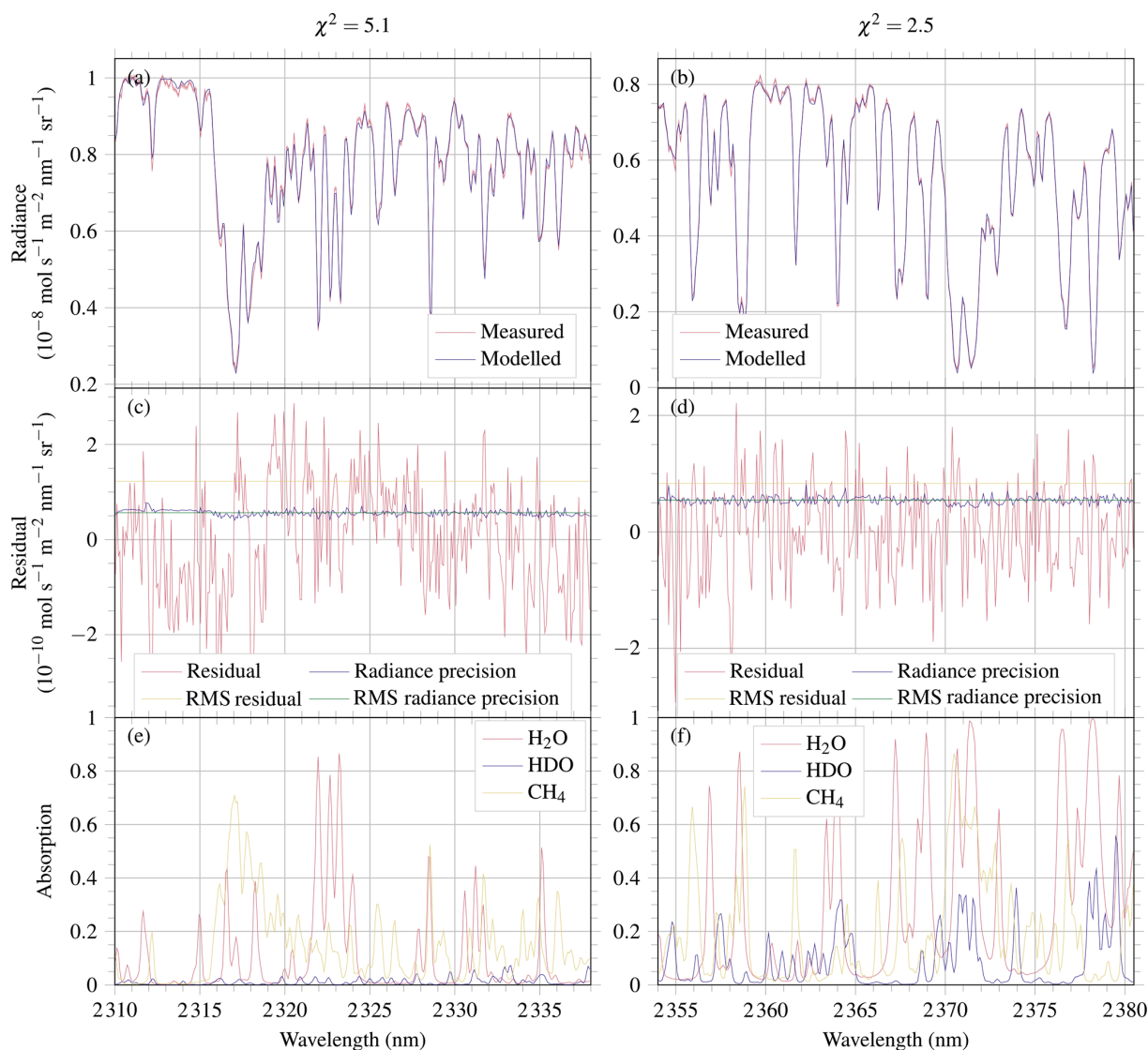
Figure 4 illustrates this condition, which selects ground pixels depending on the directional sensitivity of the FTIR while keeping the co-location area constant. Here,  $\alpha_{90^\circ} = 45^\circ$  is selected and  $r_0$  is computed from the radius at a solar zenith angle of  $90^\circ$ ,  $r_0 = \sqrt{\frac{\alpha_{90^\circ}}{360^\circ}} r_{90^\circ}$ , with  $r_{90^\circ} = 30 \text{ km}$ . With these selections, the limit of  $\vartheta = 90^\circ$  gives the co-location criteria used for the validation of the non-scattering retrieval by Schneider et al. (2020a).

Additionally, the time between satellite and ground measurements has to be less than 2 h to minimise representation errors due to the diurnal cycle. Since the FTIR has to directly see the Sun (possibly through gaps in the clouds) to take measurements, co-located cloudy satellite observations require a change in the cloud cover within the co-location radius or the co-location time.

At low-altitude stations, i.e. stations below 1000 m above mean sea level (a.m.s.l.), only TROPOMI ground pixels with an altitude difference to the station height of less than 500 m are used. If the altitude difference between station and satellite ground pixel is too large, both observe too different partial columns, which leads to errors. That is the case for high-altitude stations that are typically located on mountains so that most co-located ground pixels have significantly lower surface height. Therefore, such stations are treated separately in Sect. 4.3.

The effects by different a priori profiles used by FTIR and satellite retrievals are accounted for with the column averaging kernel. Following Borsdorff et al. (2014), the adjustment





**Figure 3.** Observed TROPOMI radiance (red) and spectral fit (blue) in (a) the pre-fit window and (b) the final window for ground pixel 511 754 in orbit 4924 located near Karlsruhe (7.8° E, 49.2° N) on 25 September 2018. Corresponding residuals (defined as measured minus modelled radiances, in red) and its root mean square (RMS, in yellow), precision of the radiance (in blue) and its RMS (in green) in (c) the pre-fit window and (d) the final window. Simulated absorption by H<sub>2</sub>O (red), HDO (blue) and CH<sub>4</sub> (yellow) in (e) the pre-fit window and (f) the final window.

of column  $c_i$  retrieved using a priori profile  $x_{ai}$  to a priori profile  $x_{aj}$  is performed with the column averaging kernel  $A_i$  of retrieval  $i$  by

$$c_s = c_i + (1 - A_i)^T x_{aj}, \quad (5)$$

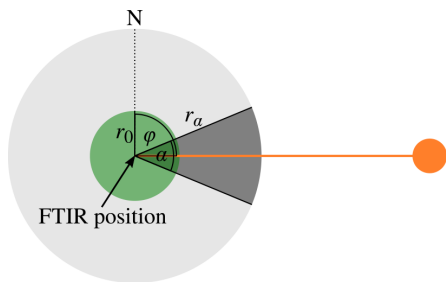
where 1 is a vector with ones in all places. In the present case,  $i$  denotes TROPOMI and  $j$  denotes TCCON. TCCON a priori profiles are linearly interpolated from TCCON levels to SICOR layer centres, and the top layer is extended to 0 Pa to match the layering of the forward model. This correction is performed for all comparisons to TCCON data except for high-altitude stations.

### 3.2 Ground-based measurements by MUSICA-NDACC

The project MULTI-platform remote Sensing of Isotopologues for investigating the Cycle of Atmospheric water (MUSICA; Schneider et al., 2016; Barthlott et al., 2017) also provides a ground-based water vapour isotopologue data product, which uses spectra measured within the Network for the Detection of Atmospheric Composition Change (NDACC; De Mazière et al., 2018). Two different products exist, firstly the direct retrieval output, called the type-1 product, and secondly an a posteriori processed output that reports the optimal estimation of (H<sub>2</sub>O,  $\delta$ D) pairs, called the type-2 product. Here,

**Table 2.** List of TCCON ground stations used for the validation.

Station	Latitude	Longitude	Altitude	Data available from/to	Reference
Eureka	80.1° N	86.4° W	610 m	24 Jul 2010–7 Jul 2020	Strong et al. (2019)
Ny Ålesund	78.9° N	11.9° E	20 m	28 Mar 2006–6 Jul 2019	Notholt et al. (2019b)
Sodankylä	67.4° N	26.6° E	190 m	16 May 2009–30 Oct 2019	Kivi et al. (2014)
East Trout Lake	54.4° N	105.0° W	500 m	7 Oct 2016–4 Jul 2020	Wunch et al. (2018)
Bialystok	53.2° N	23.0° E	190 m	1 Mar 2009–1 Oct 2018	Deutscher et al. (2019)
Bremen	53.1° N	8.9° E	30 m	15 Jan 2007–23 Aug 2019	Notholt et al. (2019a)
Karlsruhe	49.1° N	8.4° E	110 m	19 Apr 2010–31 Jul 2020	Hase et al. (2015)
Paris	48.8° N	2.4° E	60 m	23 Sep 2014–23 Jul 2019	Té et al. (2014)
Orléans	48.0° N	2.1° E	130 m	29 Aug 2009–31 Jul 2019	Warneke et al. (2019)
Garmisch	47.5° N	11.1° E	750 m	16 Jul 2007–18 Oct 2019	Sussmann and Rettinger (2018a)
Zugspitze	47.4° N	11.0° E	2960 m	24 Apr 2015–17 Oct 2019	Sussmann and Rettinger (2018b)
Park Falls	45.9° N	90.3° W	440 m	2 Jun 2004–2 Apr 2020	Wennberg et al. (2017)
Rikubetsu	43.5° N	143.8° E	380 m	16 Nov 2013–31 Jul 2019	Morino et al. (2018c)
Lamont	36.6° N	97.5° W	320 m	6 Jul 2008–1 Apr 2020	Wennberg et al. (2016b)
Tsukuba	36.0° N	140.1° E	30 m	4 Aug 2011–31 Jul 2019	Morino et al. (2018a)
Edwards	35.0° N	117.9° W	700 m	20 Jul 2013–4 Jul 2020	Iraci et al. (2016)
JPL	34.2° N	118.2° W	390 m	19 May 2011–14 May 2018	Wennberg et al. (2016a)
Pasadena	34.1° N	118.1° W	240 m	20 Sep 2012–3 Jul 2020	Wennberg et al. (2015)
Saga	33.2° N	130.3° E	10 m	28 Jul 2011–4 May 2020	Kawakami et al. (2014)
Izaña	28.3° N	16.5° W	2370 m	18 May 2007–31 Jul 2020	Blumenstock et al. (2017)
Burgos	18.5° N	120.7° E	40 m	3 Mar 2017–22 Aug 2019	Morino et al. (2018b)
Wollongong	34.4° S	150.9° E	30 m	25 Jun 2008–31 Jul 2019	Griffith et al. (2014)
Lauder	45.0° S	169.7° E	370 m	2 Feb 2010–4 May 2020	Sherlock et al. (2014), Pollard et al. (2019)



**Figure 4.** Illustration of the spatial co-location condition. The co-location area consists of a cone in the FTIR viewing direction (i.e. solar azimuth angle  $\varphi$ ) with opening angle  $\alpha$  and radius  $r_\alpha$  depending on solar zenith angle  $\vartheta$  (dark grey). The limit of  $\vartheta = 0^\circ$  is a full circle (green). The area remains constant (dark grey and green).

the type-2 product is used because it is recommended for isotopologue analyses (Barthlott et al., 2017). Recent MUSICA-NDACC data are currently only available for three stations (Karlsruhe, Kiruna and Izaña), which compromise globally valid validation studies.

Seven stations are in both networks, TCCON and NDACC. In these cases, the TCCON and NDACC measurements are performed with the same instrument but in a different spectral range at different times. As shown e.g. by Schneider et al. (2020a), the retrievals from the two networks do not agree.

Based on the fact that MUSICA  $\delta D$  is calibrated by aircraft measurements near Izaña but that TCCON HDO is not veri-

fied, Schneider et al. (2020a) derived a correction of TCCON HDO by matching TCCON a posteriori  $\delta D$  to MUSICA-NDACC  $\delta D$ . Nevertheless, H<sub>2</sub>O columns also differ between TCCON and MUSICA-NDACC. Since TCCON H<sub>2</sub>O is better validated and thus assumed to be correct, this discrepancy is solved by a correction of MUSICA-NDACC derived in the following. Figure 5a shows correlations of TCCON and MUSICA-NDACC H<sub>2</sub>O columns at Wollongong, Australia. The difference is well described by a simple scaling of the column. The result of such a fit for all stations in both networks (as listed in Table 3) is presented in Fig. 5c. The correction factors do not vary considerably between stations. To harmonise both datasets, MUSICA H<sub>2</sub>O and HDO columns are thus corrected by division by the mean correction factor 1.1527 (red line in Fig. 5c). This adjusts the MUSICA H<sub>2</sub>O columns while leaving MUSICA  $\delta D$  unchanged. This correction is applied to all MUSICA-NDACC stations, i.e. also those not in TCCON.

Filling the null space of TROPOMI measurements with MUSICA-NDACC a priori profiles with averaging kernels creates large scatter and deviations from the reference. MUSICA a priori profiles do not depend on time and are much less realistic than TCCON or TROPOMI a priori profiles. This can lead to deviations. Thus, averaging kernels are not applied for the validation with MUSICA-NDACC data.

**Table 3.** List of ground stations used for the derivation of the FTIR correction.

Station	Latitude	Longitude	Altitude	MUSICA available from/to	TCCON available from/to	TCCON reference
Eureka	80° N	86° W	610 m	1 Aug 2006–1 Sep 2014	24 Jul 2010–7 Jul 2020	Strong et al. (2019)
Ny Ålesund	79° N	12° E	20 m	8 Apr 2005–27 Aug 2014	28 Mar 2006–14 May 2018	Notholt et al. (2019b)
Bremen	53° N	9° E	30 m	21 Jul 2004–14 Oct 2014	15 Jan 2007–29 May 2018	Notholt et al. (2019a)
Karlsruhe	49° N	8° E	110 m	17 Apr 2010–12 Sep 2019	19 Apr 2010–25 Jun 2020	Hase et al. (2015)
Izaña	28° N	17° W	2370 m	18 Jun 2001–25 Sep 2019	18 May 2007–30 Jun 2020	Blumenstock et al. (2017)
Wollongong	34° S	151° E	30 m	7 Aug 2007–9 Sep 2014	25 Jun 2008–31 Jul 2019	Griffith et al. (2014)
Lauder	45° S	170° E	370 m	6 Sep 1997–30 Aug 2014	2 Feb 2010–31 Oct 2018	Sherlock et al. (2014)

### 3.3 Aircraft measurements

During the NASA ObseRvations of Aerosols above CLouds and their intEractionS (ORACLES) field mission in the south-eastern Atlantic Ocean region (Redemann et al., 2021), measurements of H<sub>2</sub>O mixing ratio and  $\delta D$  were taken on-board the NASA P-3B Orion aircraft with the Water Isotope System for Precipitation and Entrainment Research (WISPER) instrument (Henze et al., 2021). This instrument employs in situ gas-phase cavity ring-down water vapour isotopic analysers (Picarro model L2120-fi) coupled to inlets that enable paired measurements of cloud water, total water amounts and isotope ratios.

The validation uses profile measurement data from the 2018 field mission. Only profiles reaching at least 5000 m are taken into account. For ascent profiles, descending sections are filtered out by discarding sections with higher pressure than a previous data point; similarly, ascending sections are removed from descent profiles. If more than 30 % of the data are discarded in this step, the whole profile is dropped. This eliminates flight sections with a “saw-tooth” pattern designed for sampling in cloudy regions. Altogether, 17 profiles pass the filter, spanning the time range from 27 September 2018 to 21 October 2018. The top altitude varies between 5130 and 7408 m with an average of 6195 m. The vertical resolution is typically 30 m due to sampling at 1 Hz and typical aircraft descent rates. HDO mixing ratios are computed from H<sub>2</sub>O mixing ratios and  $\delta D$ . In order to derive total columns, the aircraft profiles are extended to the ground by assuming a constant mixing ratio equal to the lowest observed value and extended to the top with the scaled prior profile. These extended profiles are then vertically integrated to obtain total columns.

The co-location is performed with the full 360° viewing angle (as the in situ instrument does not have a directional sensitivity like the FTIR) and a radius of 10.6066 km (corresponding to the radius for the full circle  $r_0$  in Sect. 3.1). For each co-located measurement, the satellite a priori profile is scaled such that the partial column below the ceiling of the aircraft profile coincides with that of the aircraft measurement. The aircraft profile is interpolated to the grid of the a priori profile, and the part above the ceiling is complemented by the upper part of the scaled a priori profile. Finally, the

averaging kernel  $A_i$  of the satellite measurement is applied to compute the smoothed reference column by

$$x_{\text{ref},s} = A_i^T x_{\text{ref}}, \quad (6)$$

which is then used for the validation.

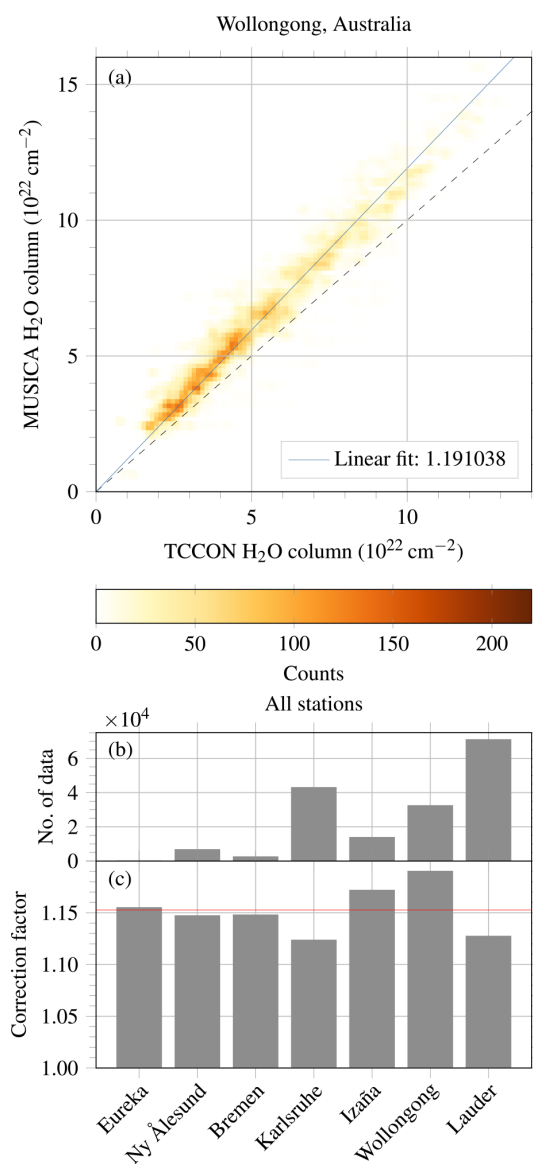
## 4 Validation

In the following subsections, the scattering retrieval is validated for clear-sky and cloudy scenes according to retrieved effective cloud parameters as described in Sect. 2. As a reference, the plots additionally show the non-scattering retrieval filtered as reported by Schneider et al. (2020a), i.e. with the cloud fraction from the Visible Infrared Imaging Radiometer Suite (VIIRS) co-located to the TROPOMI field of view, a two-band filter as described in Schneider et al. (2020a), and the solar zenith angle.

### 4.1 Low-altitude stations

Figure 6 depicts an exemplary time series of daily medians of co-located measurements at the TCCON station Karlsruhe. The TROPOMI observations follow the reference well, although some deviations are present, especially for cloudy scenes.

Figure 7 presents corresponding correlations. Retrieved columns correlate excellently with the reference, with Pearson correlation coefficients of 0.98 in H<sub>2</sub>O and 0.99 in HDO for clear-sky scenes and 0.95 in H<sub>2</sub>O and 0.96 in HDO for cloudy scenes. A posteriori  $\delta D$  has more scatter, with correlation coefficients of 0.86 and 0.83 for clear-sky and cloudy scenes, respectively. The bias, which is defined as the mean difference between TROPOMI and TCCON, is for clear-sky scenes  $-1.3 \times 10^{20}$  molec cm<sup>-2</sup> (−0.4 %) in H<sub>2</sub>O and  $-3.6 \times 10^{16}$  molec cm<sup>-2</sup> (−1.0 %) in HDO, which corresponds to a bias in a posteriori  $\delta D$  of −3 ‰ (1.1 %). For cloudy scenes, it is  $4.9 \times 10^{21}$  molec cm<sup>-2</sup> (8.3 %) in H<sub>2</sub>O,  $1.1 \times 10^{18}$  molec cm<sup>-2</sup> (6.5 %) in HDO and −12 ‰ (7.3 %) in a posteriori  $\delta D$ . The retrieval performance for cloudy scenes is good: correlations are similar to clear-sky scenes or the non-scattering retrieval, although the bias is larger. This can be explained by the small sensitivity of the retrieval below optically thick clouds.



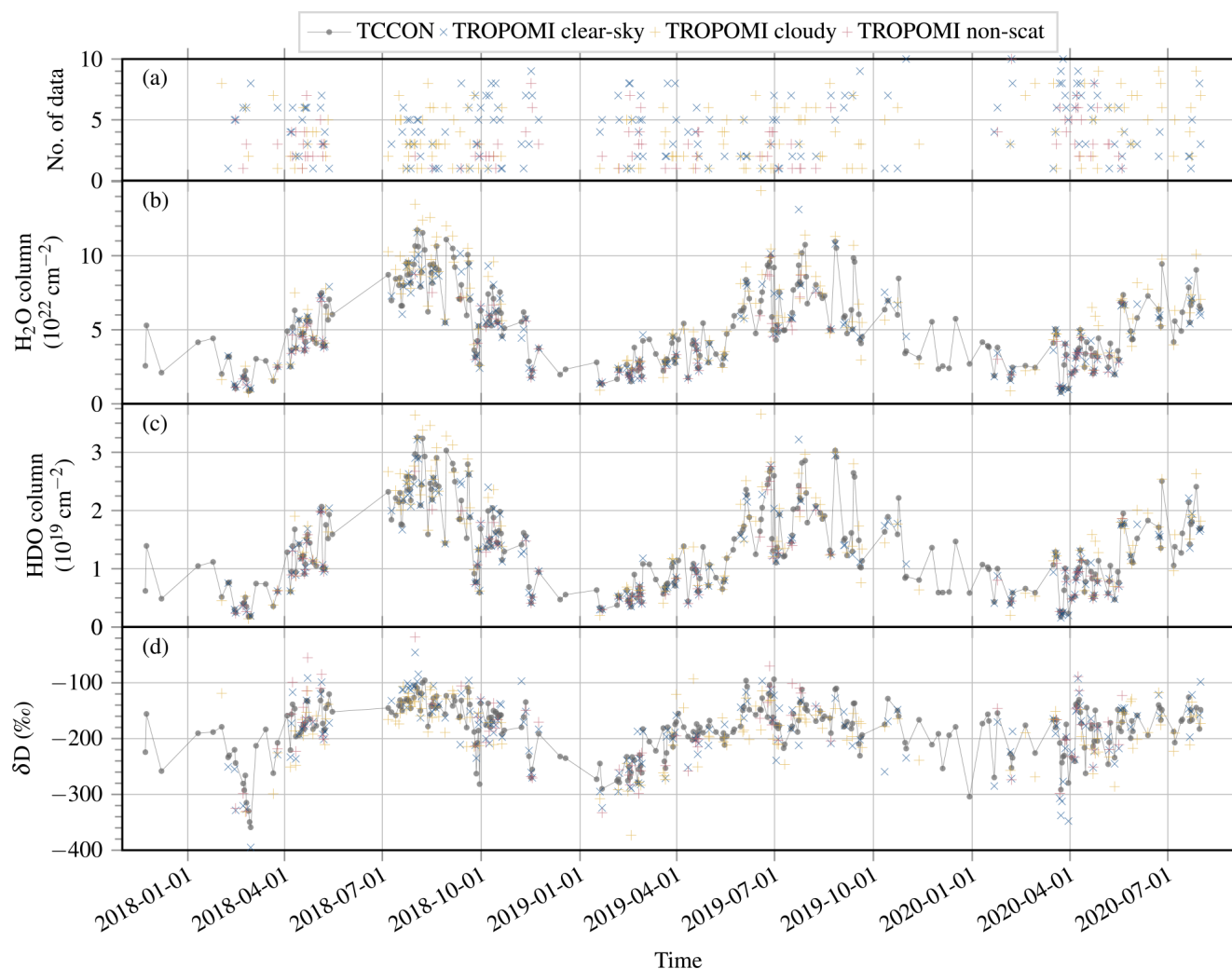
**Figure 5.** (a) Two-dimensional histogram of correlations of co-located TCCON and MUSICA-NDACC H<sub>2</sub>O columns at Wollongong (colour-coded) and the result of a fit of a linear correction (blue line). The one-to-one line is shown dashed. (b) Number of co-located observations for all individual stations in both networks. (c) Correction factors to correct MUSICA-NDACC H<sub>2</sub>O columns to TCCON. The average 1.1527 is marked by a red line.

Figure 8 presents statistics and correlation coefficients of daily medians at all low-altitude stations. The amount of data for clear-sky scenes of the new scattering retrieval is much larger than for the old non-scattering retrieval, on average a factor of 8 more. This is explained by different filtering: while the non-scattering product is strictly filtered with the S5P-VIIRS product and an additional two-band filter (Schneider et al., 2020a), the scattering product is filtered with effective cloud parameters retrieved in the pre-fit (see

Table 1). The number of observations (ground pixels) per day (Fig. 8b) is usually around four but is significantly higher at high latitudes due to multiple overpasses per day. Cloudy scenes encounter typically fewer observations per day compared to clear-sky scenes, with a median of 3.4 vs. 4.1. The non-scattering retrieval has a significantly lower data yield, with a median of 2.7 co-located ground pixels per day. The distributions visualised by the violin plots show that there is quite some spread, with some days with a high number of observations.

Correlations of daily medians of H<sub>2</sub>O and HDO columns are excellent at all stations (Fig. 8c, d). In a posteriori  $\delta D$ , correlations are lower at some stations, typically ones with low seasonal variation (Fig. 8e). For clear-sky scenes, correlation coefficients are similar to those of the non-scattering product, except for  $\delta D$  at some stations like the Jet Propulsion Laboratory (JPL) and Pasadena. For cloudy scenes, the correlations are mostly slightly lower than for clear-sky scenes.

Biases are depicted in Fig. 9. At low and middle latitudes the bias is generally small: at these stations, the median for clear-sky scenes is  $1.3 \times 10^{21}$  molec cm<sup>-2</sup> (1.8 %) in H<sub>2</sub>O columns,  $2.0 \times 10^{16}$  molec cm<sup>-2</sup> (−0.3 %) in HDO columns and −8‰ (4.6 %) in  $\delta D$ , and the one for cloudy scenes is  $4.7 \times 10^{21}$  molec cm<sup>-2</sup> (8.8 %) in H<sub>2</sub>O columns,  $1.1 \times 10^{18}$  molec cm<sup>-2</sup> (6.5 %) in HDO columns and −20‰ (12 %) in  $\delta D$ . High-latitude stations mostly have larger biases that can be as high as 20 % in the columns and 40‰ in a posteriori  $\delta D$ . The median bias at high-latitude stations (Eureka, Ny Ålesund, Sodankylä, and East Trout Lake) in H<sub>2</sub>O, HDO and  $\delta D$  is for clear-sky scenes  $2.3 \times 10^{21}$  molec cm<sup>-2</sup> (9.5 %),  $4.0 \times 10^{17}$  molec cm<sup>-2</sup> (0.4 %) and −37‰ (13 %) and for cloudy scenes  $5.1 \times 10^{21}$  molec cm<sup>-2</sup> (12 %),  $1.0 \times 10^{18}$  molec cm<sup>-2</sup> (9.1 %) and −24‰ (8.4 %), respectively. These high biases are similar but partly more pronounced than for the non-scattering retrieval. High-latitude locations employ difficult measurement geometries with typically high solar zenith angles and low surface albedos, in which the additional estimation of cloud parameters seems to be even more challenging. In summer, these biases are typically lower than in darker seasons with higher solar zenith angles. The bias is also high at Garmisch, which lies in a mountainous region, meaning a complex topography with typically large variation in surface altitude and albedo within a ground pixel. The median bias of all stations is for clear-sky scenes  $1.4 \times 10^{21}$  molec cm<sup>-2</sup> (2.9 %) in H<sub>2</sub>O columns,  $1.1 \times 10^{17}$  molec cm<sup>-2</sup> (−0.3 %) in HDO columns and −17‰ (9.9 %) in a posteriori  $\delta D$ . For cloudy scenes, it is  $4.9 \times 10^{21}$  molec cm<sup>-2</sup> (11 %) in H<sub>2</sub>O,  $1.1 \times 10^{18}$  molec cm<sup>-2</sup> (7.9 %) in HDO and −20‰ (9.7 %) in a posteriori  $\delta D$ . Although the absolute bias in  $\delta D$  is higher for cloudy scenes than for clear-sky scenes, the relative bias is not. This is connected to different conditions in cloudy and clear-sky weather. The distributions of the differences (TROPOMI – TCCON, visualised by the violin plots



**Figure 6.** Time series of (a) individual observations per day, (b) daily medians of H<sub>2</sub>O columns, (c) HDO columns and (d) a posteriori  $\delta D$  of TCCON (grey), TROPOMI clear-sky scenes (blue), TROPOMI cloudy scenes (yellow) and the former TROPOMI non-scattering retrieval (red) at Karlsruhe, Germany (49.1° N, 8.4° E, 110 m a.m.s.l.).

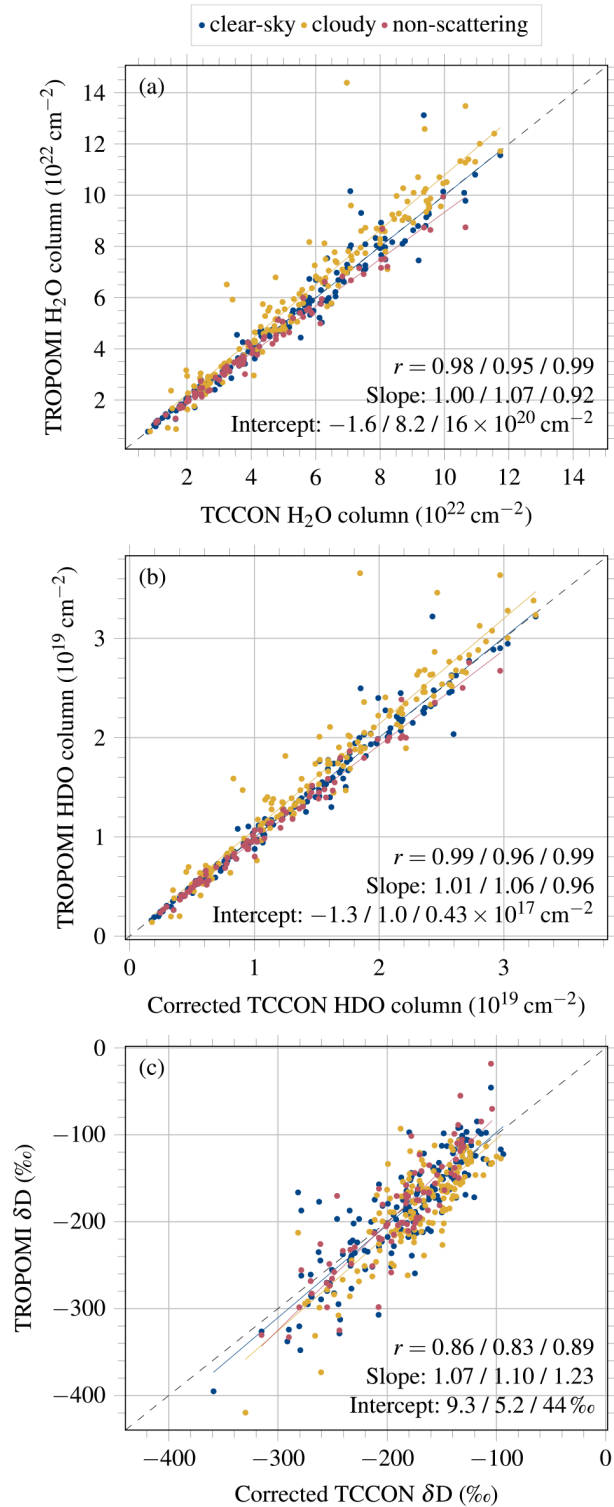
in Fig. 9) vary considerably between stations. Outliers are present, which shows that statistics over an adequate amount of data are needed for interpretation. Altogether, the performance of the new scattering retrieval for clear-sky scenes is similar to the one of the non-scattering retrieval, even though the scattering retrieval yields much more data. Biases are slightly smaller in HDO but slightly larger in a posteriori  $\delta D$ .

#### 4.2 Comparison to the former non-scattering dataset

For a direct comparison of the new scattering retrieval to the former non-scattering retrieval by Schneider et al. (2020a), only ground pixels for which both retrievals yield valid data are considered. Figure 10 shows distributions of the differences to the reference (TROPOMI – TCCON) for the same ground pixels. It demonstrates that both retrievals perform

similarly at most low-altitude TCCON stations at middle and low latitudes. Significant differences are only present at the coastal stations Burgos and Wollongong and at Park Falls. The station-to-station median bias for this scene selection at low- and mid-latitude stations is in H<sub>2</sub>O  $4.4 \times 10^{20}$  molec cm<sup>-2</sup> or 0.3 % for the scattering retrieval vs.  $-4.2 \times 10^{18}$  molec cm<sup>-2</sup> or 0.4 % for the non-scattering retrieval and in HDO  $-4.5 \times 10^{16}$  molec cm<sup>-2</sup> or  $-1.1$  % vs.  $-9.3 \times 10^{16}$  molec cm<sup>-2</sup> or  $-1.3$  %. In a posteriori  $\delta D$  it is  $-14$  ‰ (7.5 %) for the scattering retrieval vs.  $-11$  ‰ (5.4 %) for the non-scattering retrieval. This demonstrates that the performance of both retrievals is comparable under clear-sky conditions.





**Figure 7.** Correlations of TROPOMI observations against corrected TCCON measurements of (a) H<sub>2</sub>O columns, (b) HDO columns and (c) a posteriori  $\delta D$  for clear-sky scenes (blue), cloudy scenes (yellow) and the non-scattering retrieval (red) at Karlsruhe. The coloured lines represent linear fits and the dashed line denotes the one-to-one line.

**Table 4.** Filter criteria for cloudy-sky scenes at high-altitude stations. Here  $h_s$  denotes the height of the ground site.

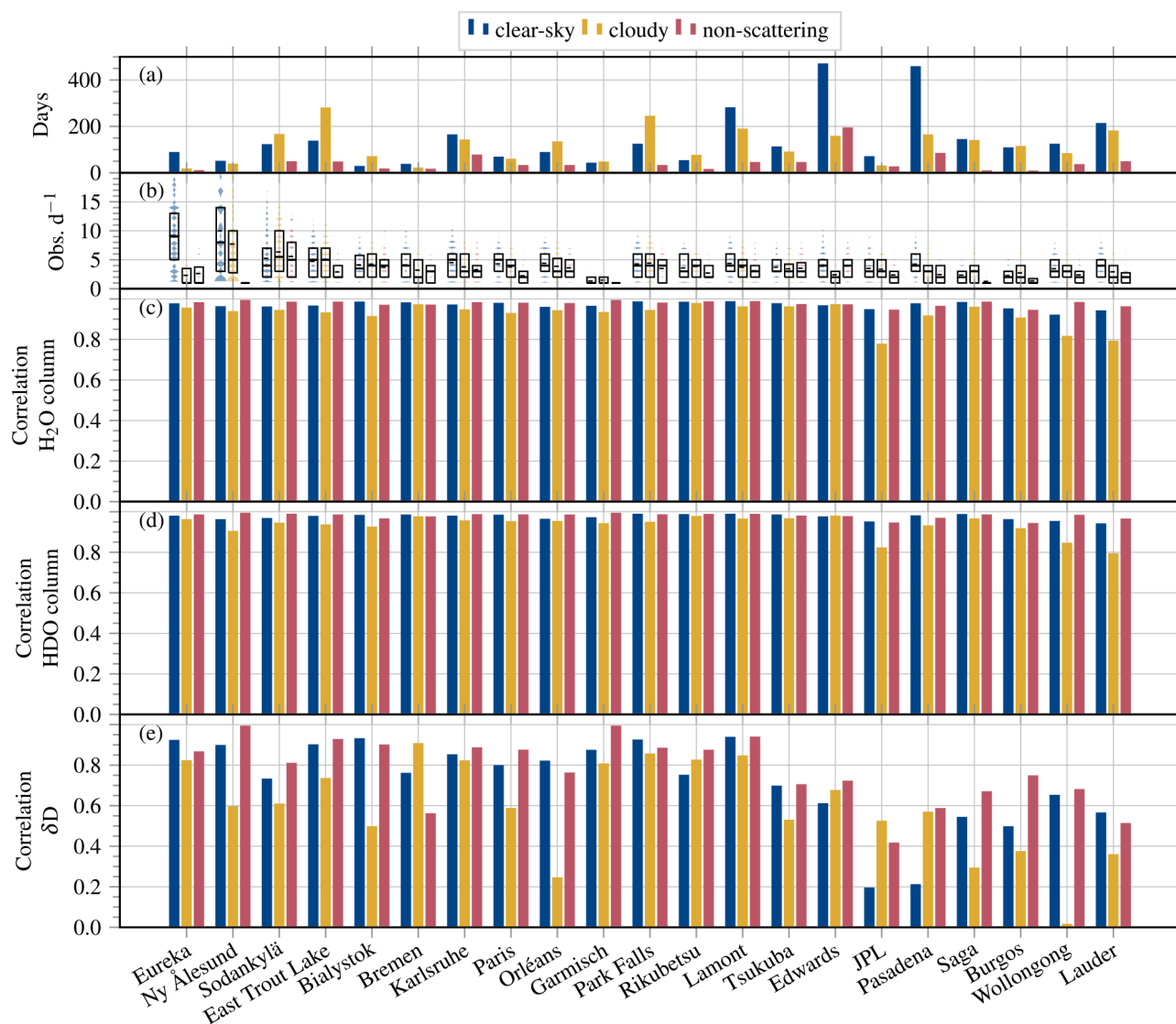
Quantity	Filter
Filter for cloudy scenes	
Cloud height	$h_s - 500 \text{ m} \leq h_{\text{cld}} \leq h_s + 1000 \text{ m}$
Cloud optical thickness	$\tau_{\text{cld}} \geq 2$

4.3 High-altitude stations

Ground stations on high mountains are special because the station height and the mean surface altitude of co-located satellite ground pixels typically differ considerably, which means that different air columns are observed by both. This leads to high biases if not accounted for. Therefore, the chosen prior plays an important role in this situation. To demonstrate the role of the prior in potential corrections, an additional run with HDO a priori profiles obtained by an assumed more realistic  $\delta D$  profile as described in Sect. 2 has been performed. This prior is referred to as a “depleted” prior because a depletion in HDO is assumed to compute it from the humidity profile. The standard prior is also referred to as a “scaled” prior because it consists of a scaled humidity profile (i.e. corresponding to 0‰  $\delta D$ ). During the co-location, the same ground pixels are considered for both runs. Moreover, averaging kernels are not applied for this analysis because the a priori profiles of the retrieval are used for the altitude correction.

The left column of Fig. 11 demonstrates the high biases of uncorrected clear-sky observations near Zugspitze (2964 m a.m.s.l.), which for the standard prior amount to 185 % in H<sub>2</sub>O, 232 % in HDO and 75‰ in  $\delta D$ . Nevertheless, the time series does follow the relative variability of the reference.

The ground station on top of the mountain is always higher than the (mean) ground pixel altitude. To correct for the altitude differences, the partial columns of the TROPOMI observations above the station height are considered by truncating the scaled profile of the retrieval at the altitude of the station. This is the same procedure as applied by Schneider et al. (2018, Sect. 4). The second column of Fig. 11 depicts the resulting time series. The bias in both H<sub>2</sub>O and HDO is greatly reduced to −54 % and −48 % for the standard prior and −55 % and −54 % for the depleted prior. In a posteriori  $\delta D$  a large difference between both priors is visible: while the bias for the scaled prior is practically the same as for the uncorrected case, 73‰, it is largely reduced to 4‰ for the depleted prior. The first is due to the fact that the altitude correction in H<sub>2</sub>O and HDO cancels out when dividing HDO by H<sub>2</sub>O if the same profile shapes are used. On the other hand, the small bias in  $\delta D$  in the second case shows that the assumed depleted HDO profile shape is indeed a good estimate for this case.



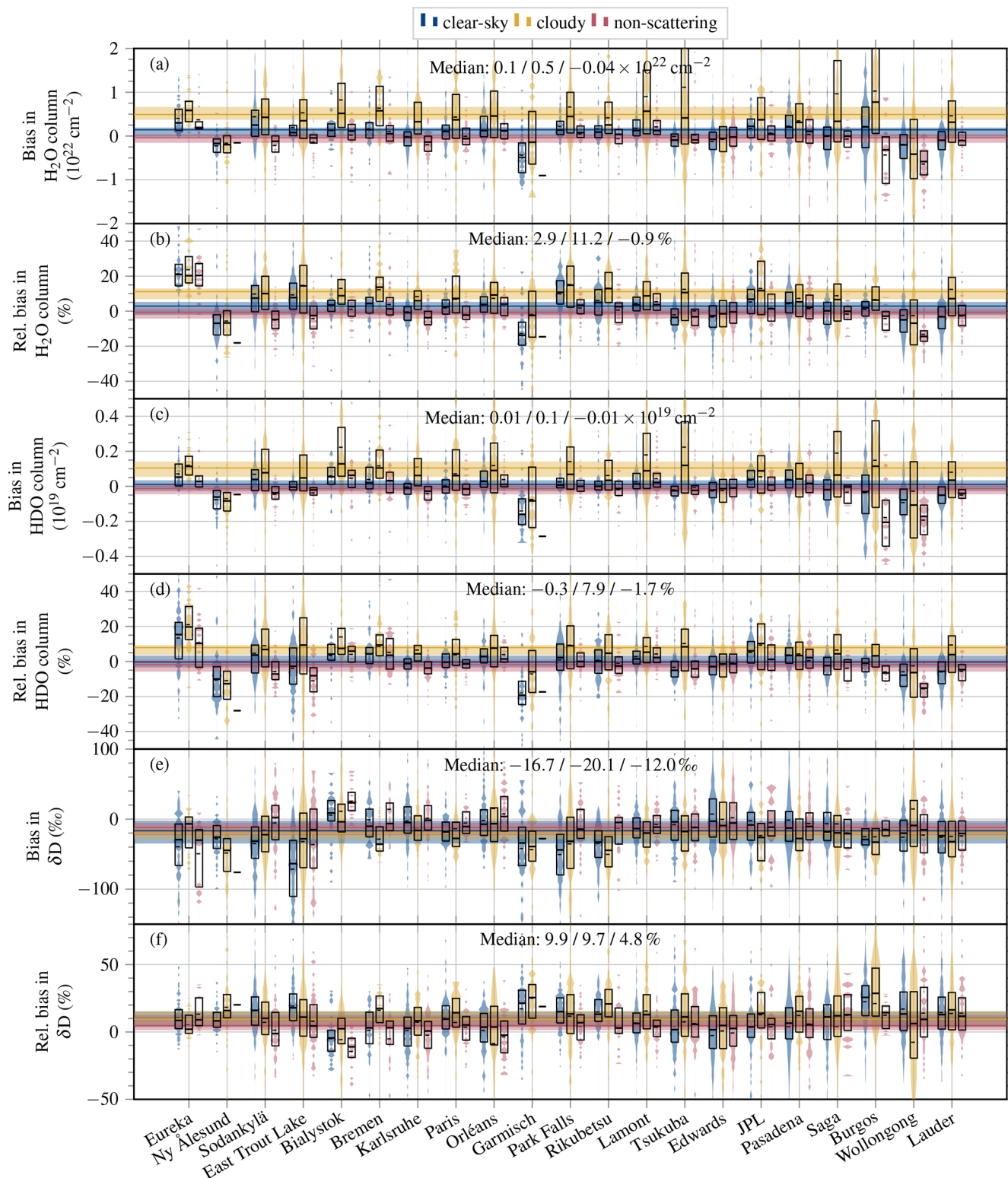
**Figure 8.** (a) Number of days with observations, (b) observations per day, (c) correlation coefficients of H<sub>2</sub>O columns, (d) correlation coefficients of HDO columns and (e) correlation coefficients of a posteriori  $\delta D$  at all TCCON stations.

Another possibility is to utilise the shielding of clouds. To this end, scenes with optically thick clouds at an altitude similar to the station height as specified in Table 4 are selected. In these cases, the satellite measurement is sensitive above the cloud but insensitive below the cloud. Figure 12 illustrates the corresponding averaging kernels for a clear-sky scene and a cloudy scene.

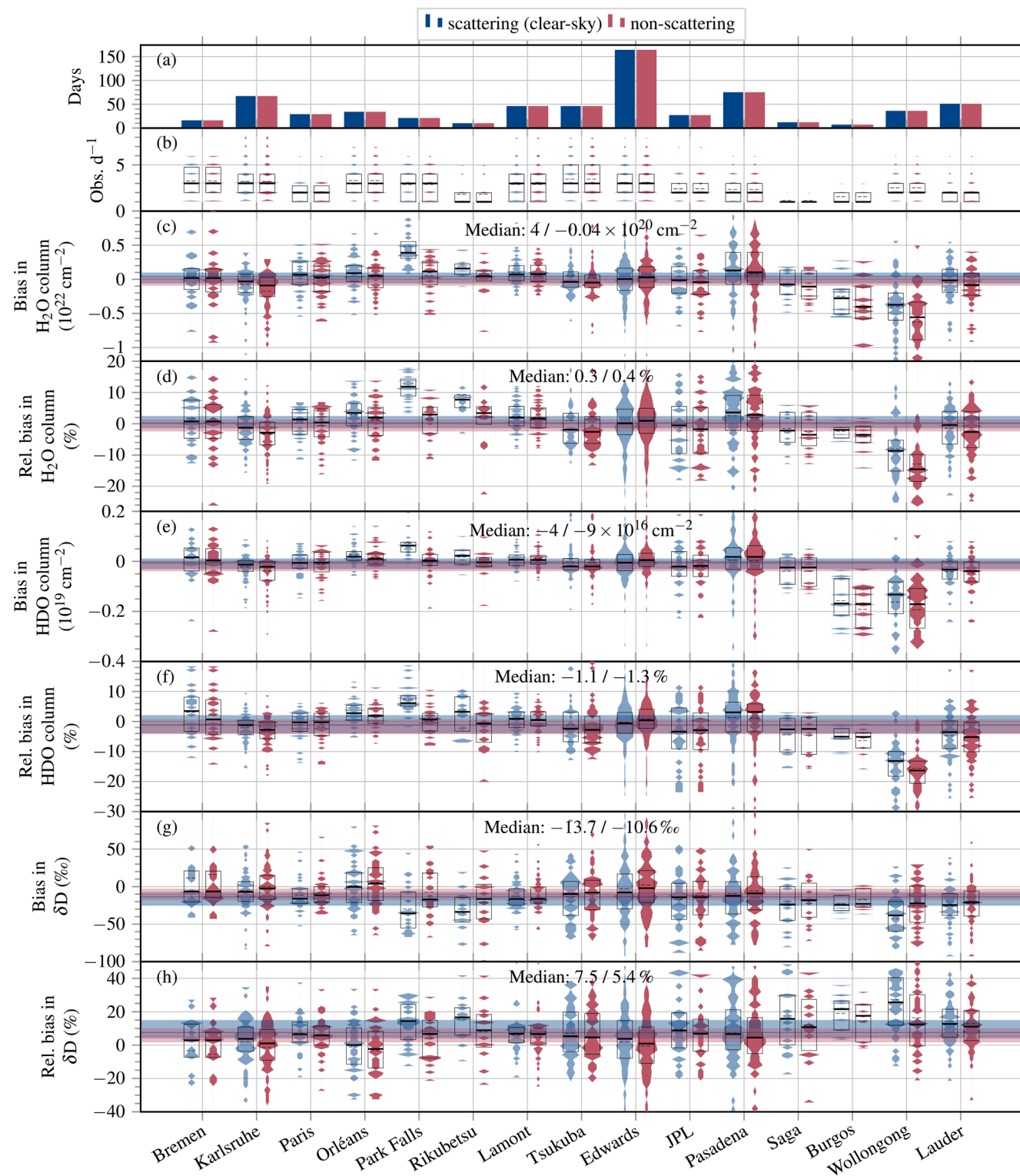
Since the FTIR has to see the Sun and thus can measure only through gaps in the clouds or when the cloud cover changes within the co-location time, the amount of data for cloudy scenes is very small. Thus, the co-location radius is extended to  $r_{90^\circ} = 50$  km in this case. The inferred columns are corrected for the altitude difference between ground pixel and station height as described above. The right panel of Fig. 11 depicts the resulting time series. The biases in the

columns and in a posteriori  $\delta D$  are acceptable for both priors. They amount to 4‰ for the scaled prior and −24‰ for the depleted prior. That the shielding yields good agreement with the scaled prior shows that the data provide information about the vertical distribution.

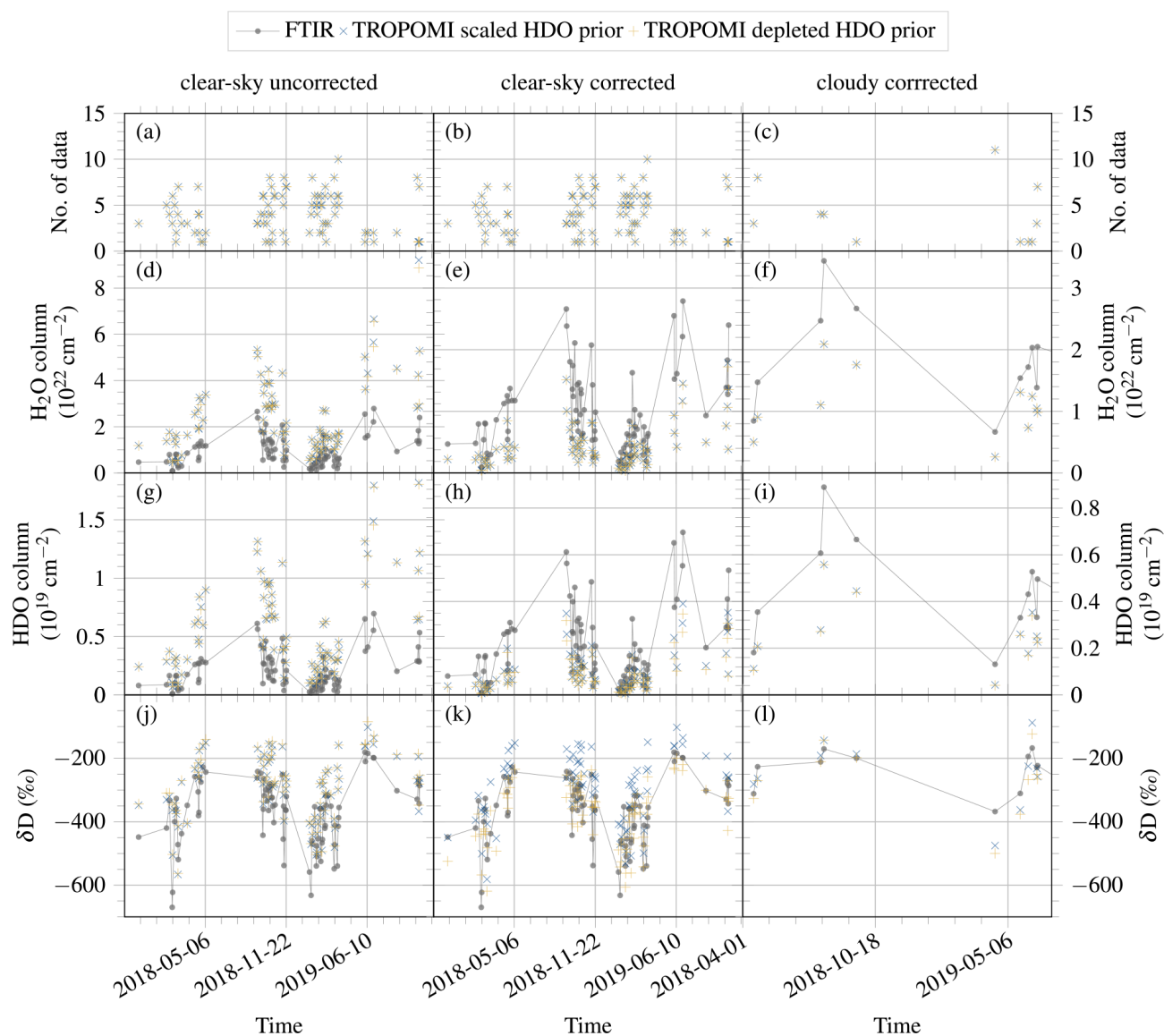
Figure 13 depicts biases for both high-altitude stations Zugspitze and Izaña. It confirms the behaviour seen in the time series at Zugspitze for both stations. Uncorrected clear-sky observations yield a large bias in all quantities. The altitude correction greatly reduces the bias in the H<sub>2</sub>O and HDO columns. In  $\delta D$ , the correction cancels out when assuming the same vertical distributions of H<sub>2</sub>O and HDO, so that the bias remains. However, the altitude correction with a more realistic prior yields a substantial reduction of the bias in  $\delta D$ . For cloudy scenes with optically thick clouds at similar alti-



**Figure 9.** (a) Bias in H<sub>2</sub>O columns, (b) relative bias in H<sub>2</sub>O columns, (c) bias in HDO columns, (d) relative bias in HDO columns, (e) bias in  $\delta D$ , and (f) relative bias in  $\delta D$  for clear-sky scenes (blue), cloudy scenes (yellow) and the non-scattering retrieval (red). The violin plots visualise the distributions of differences between TROPOMI and TCCON, the boxplots mark quartiles and the dashed lines inside the boxes mark the mean. Coloured horizontal lines denote station-to-station medians and the shading around them the station-to-station quartiles.



**Figure 10.** (a) Number of days with observations, (b) observations per day, (c) bias in H<sub>2</sub>O columns, (d) relative bias in H<sub>2</sub>O columns, (e) bias in HDO columns, (f) relative bias in HDO columns, (g) bias in  $\delta D$ , and (h) relative bias in  $\delta D$  for the scattering retrieval (blue) and the non-scattering retrieval (red). The violin plots visualise the distribution of differences between TROPOMI and TCCON, the boxplots mark quartiles and the dashed lines inside the boxes show the mean. Coloured horizontal lines denote station-to-station medians and the shading around them the station-to-station quartiles.



**Figure 11.** Time series of (a–c) the number of individual measurements per day, (d–f) bias in the H<sub>2</sub>O column, (g–i) bias in the HDO column, and (j–l) bias in  $\delta D$  at the high-altitude station Zugspitze (2964 m a.s.l.). The left panels (a), (d), (g) and (j) show clear-sky measurements without altitude correction, the centre panels (b), (e), (h) and (k) show the same measurements with altitude correction, and the right panels (c), (f), (i) and (l) show observations over optically thick clouds within an altitude range 1000 m above and 500 m below the station height. Please note that in the left panels the H<sub>2</sub>O and HDO axes are different than in the centre and right panels, as indicated by the axis ticks. The blue points correspond to the standard prior which is scaled from the humidity profile, while the yellow points correspond to the prior computed assuming a more realistic  $\delta D$  profile.

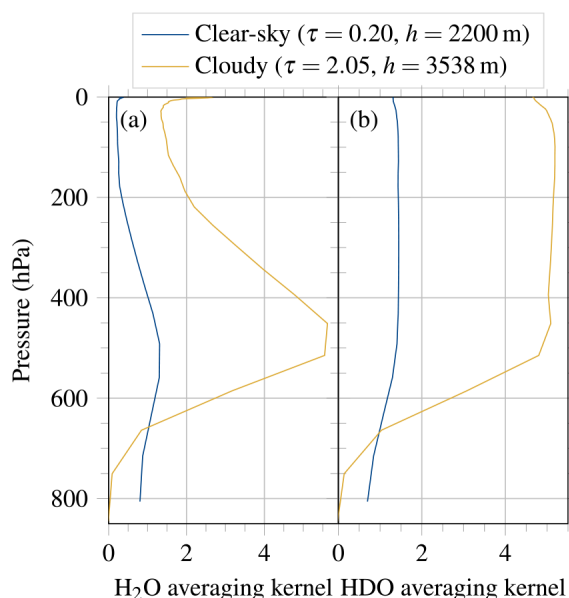
tudes to the station height, the biases are also relatively small, although the validation is hampered by a small amount of data.

#### 4.4 MUSICA-NDACC

Recent MUSICA-NDACC data are available for two low-altitude stations. Karlsruhe is also in TCCON, so that a comparison is possible. MUSICA-NDACC provides fewer measurements than TCCON (113 vs. 170 for clear-sky scenes

and 83 vs. 148 for cloudy scenes). This is, among others, due to longer durations of individual FTIR measurements for NDACC compared to TCCON. Correlations, as shown in Fig. 14, are excellent in the retrieved columns. For clear-sky scenes, Pearson correlation coefficients are 0.98 in H<sub>2</sub>O and 0.99 in HDO, the same numbers as derived for TCCON (compare Fig. 7). For cloudy scenes, correlations with MUSICA-NDACC are at 0.98 in H<sub>2</sub>O and 0.99 in HDO even better than with TCCON, with however considerably





**Figure 12.** Averaging kernels of (a) H<sub>2</sub>O and (b) HDO for a clear-sky scene (orbit 4725 on 11 September 2018, blue) and a cloudy scene (orbit 4839 on 19 September 2018, yellow) near Zugspitze.

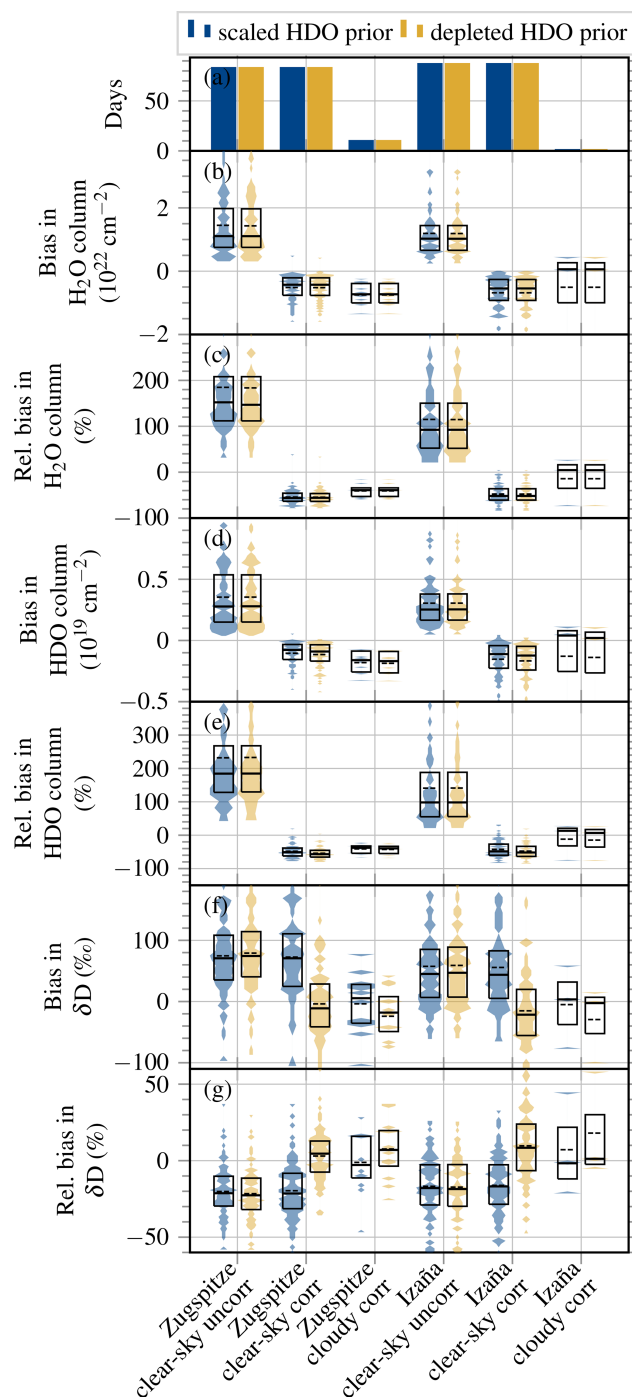
fewer data points. A posteriori  $\delta D$  also has excellent correlation coefficients of 0.93 for clear-sky scenes and 0.91 for cloudy scenes, which is better than with TCCON. The bias for clear-sky scenes is  $1.8 \times 10^{21}$  molec cm<sup>-2</sup> (2 %) in H<sub>2</sub>O,  $2.5 \times 10^{17}$  molec cm<sup>-2</sup> (−0.1 %) in HDO and −16‰ (8.4 %) in  $\delta D$ . For cloudy scenes, the bias is  $6.4 \times 10^{21}$  molec cm<sup>-2</sup> (9.9 %) in H<sub>2</sub>O,  $9.3 \times 10^{17}$  molec cm<sup>-2</sup> (4.8 %) in HDO and −37‰ (21 %) in  $\delta D$ . This is significantly larger than for TCCON.

Only one other low-altitude station provides MUSICA-NDACC data with temporal overlap with the TROPOMI mission, namely Kiruna. This is a high-latitude station, so that high biases are expected due to difficult retrieval conditions with high solar zenith angles and low surface albedos (cf. Sect. 4.1). They amount to  $2.6 \times 10^{21}$  molec cm<sup>-2</sup> (4.6 %) in H<sub>2</sub>O,  $1.6 \times 10^{17}$  molec cm<sup>-2</sup> (−3.5 %) in HDO and −58‰ (24 %) in  $\delta D$  for clear-sky scenes and  $5.0 \times 10^{21}$  molec cm<sup>-2</sup> (12 %) in H<sub>2</sub>O,  $6.4 \times 10^{17}$  molec cm<sup>-2</sup> (5.1 %) in HDO and −51‰ (23 %) in  $\delta D$  for cloudy scenes. With only two stations, it is not meaningful to make statistical statements.

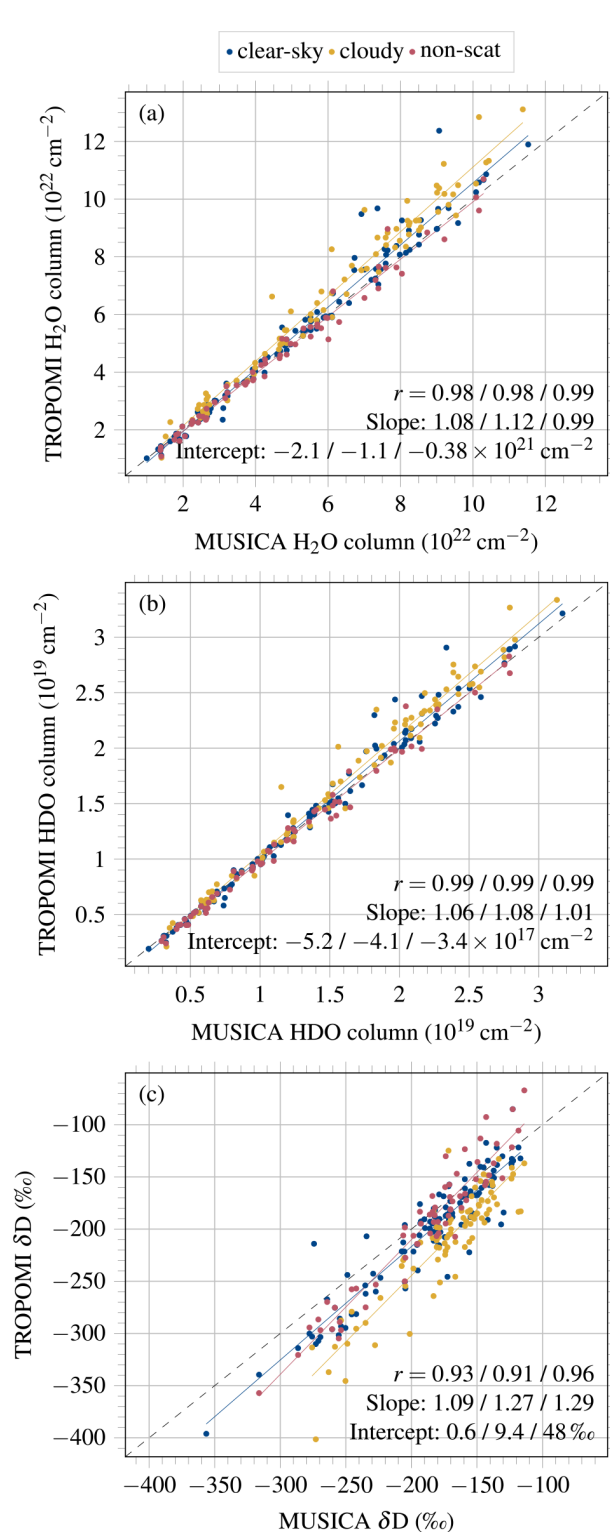
#### 4.5 WISPER aircraft measurements over the ocean

In order to validate the retrievals over oceans, aircraft profiles from the ORACLES field campaign in 2018 are used as a reference. The co-location method is described in Sect. 3.3.

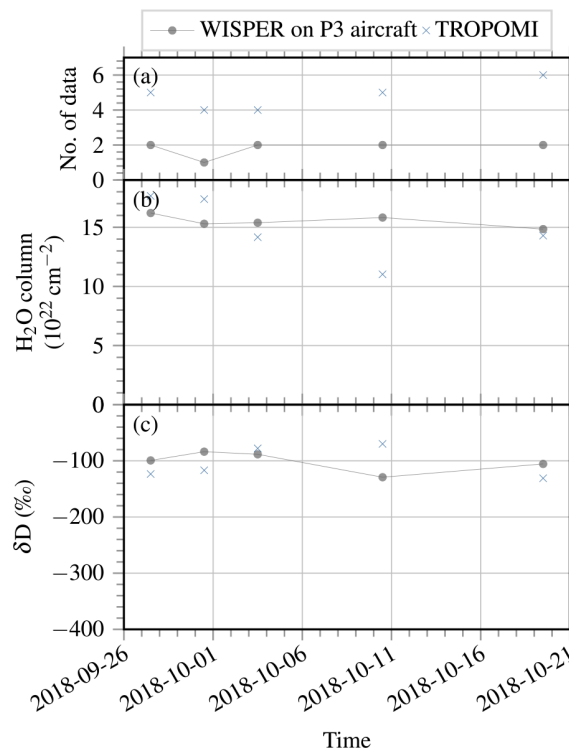
Figure 15 shows a time series of total columns computed from aircraft profiles and co-located TROPOMI retrievals over the North Atlantic Ocean. The bias is  $(-6.1 \pm 11) \times 10^{21}$  molec cm<sup>-2</sup> or  $(-3.9 \pm 6.9)$  % in H<sub>2</sub>O and  $(-3 \pm 15)$  % in  $\delta D$ . The validation over the ocean is hampered by very few



**Figure 13.** Biases for high-altitude TCCON stations plotted similarly to Fig. 9 but for retrievals with the standard scaled HDO a priori profile (blue) and an HDO a priori profile obtained by assuming a more realistic  $\delta D$  profile described in Sect. 2 (yellow). Shown are (a) the number of days with observations, (b) the bias in H<sub>2</sub>O columns, (c) the relative bias in H<sub>2</sub>O columns, (d) the bias in HDO columns, (e) the relative bias in HDO columns, (f) the bias in  $\delta D$ , and (g) the relative bias in  $\delta D$ . For each station, three entries are shown which correspond to uncorrected clear-sky observations, clear-sky observations corrected for the station altitude and altitude-corrected cloudy observations.



**Figure 14.** Correlations of TROPOMI observations against corrected MUSICA-NDACC measurements of (a) H<sub>2</sub>O columns, (b) HDO columns and (c) a posteriori  $\delta D$  for clear-sky scenes (blue), cloudy scenes (yellow) and the non-scattering retrieval (red) at Karlsruhe. The coloured lines represent linear fits and the dashed line denotes the one-to-one line.



**Figure 15.** Time series of (a) the number of measurements, (b) daily averaged total columns of H<sub>2</sub>O and (c)  $\delta D$  from aircraft profiles (grey) and co-located TROPOMI retrievals (blue).

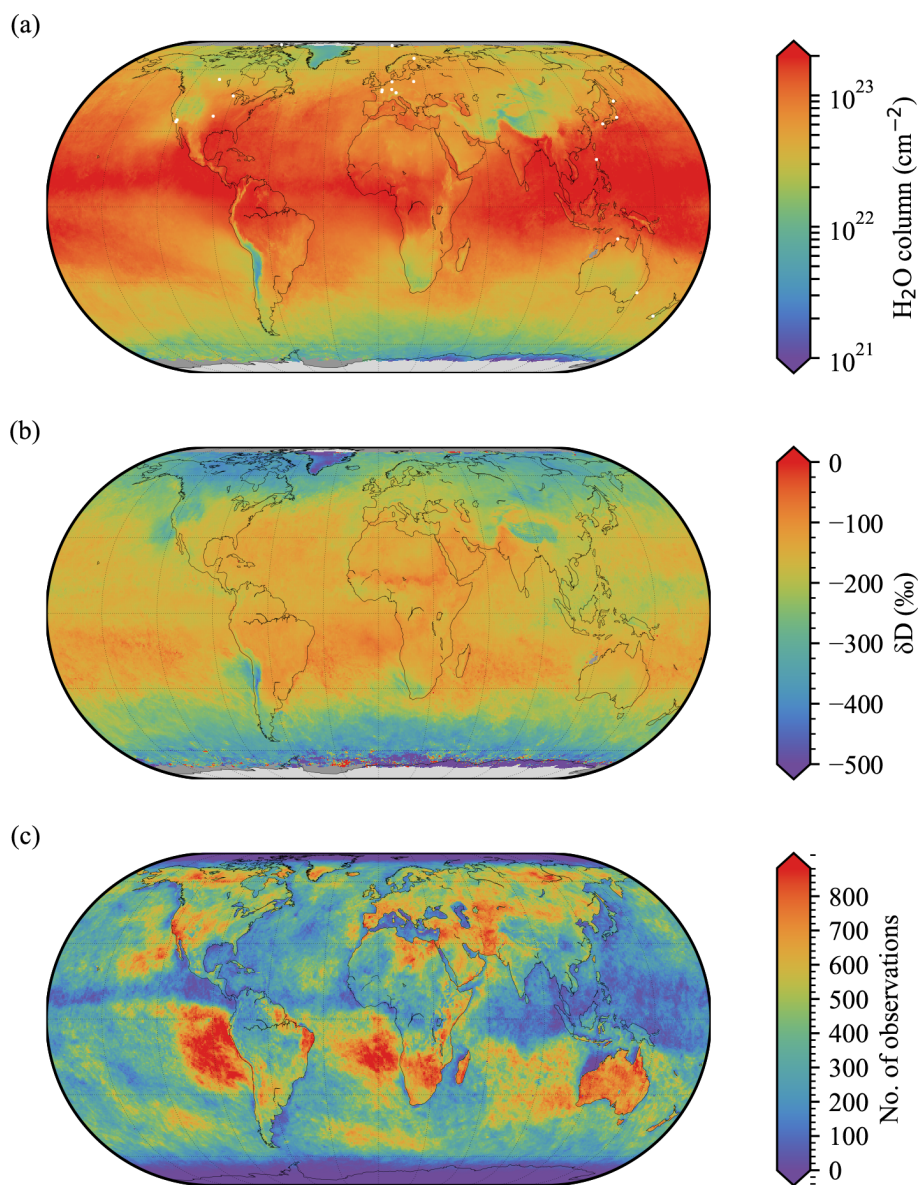
data points. Nevertheless, the comparison to the available aircraft profiles shows a good performance of the retrieval over the ocean.

## 5 Demonstration of applications of the dataset

### 5.1 Global picture

Figure 16 demonstrates a global picture of the new dataset with a monthly average for September 2018. The most prominent improvement compared to the same figure for the non-scattering product shown in Schneider et al. (2020a, Fig. 10) is a huge enhancement in data coverage, most prominently over the oceans and in regions at low latitudes with persistent clouds (e.g. over the Amazon, central Africa and Oceania), where the non-scattering retrieval yields no data. Near these regions and also over northern India,  $\delta D$  is lower than in the non-scattering (clear-sky-only) data product, which is attributed to different weather conditions on cloudy days compared to clear-sky days.

The data coverage, as can be seen in the example for the month of September 2018 in Fig. 16c, is highly variable in space. Particularly over tropical oceanic regions, the data are still very sparse due to shielding by high clouds. Over high-latitude land regions, the data are also still sparse due to high



**Figure 16.** Global plots of (a) average H<sub>2</sub>O, (b) average  $\delta\text{D}$ , and (c) number of observations for September 2018 on a  $0.5^\circ \times 0.5^\circ$  grid. The average of  $\delta\text{D}$  is weighted with the H<sub>2</sub>O column. The white points in (a) show the locations of the TCCON stations used for validation in Sect. 4.1.

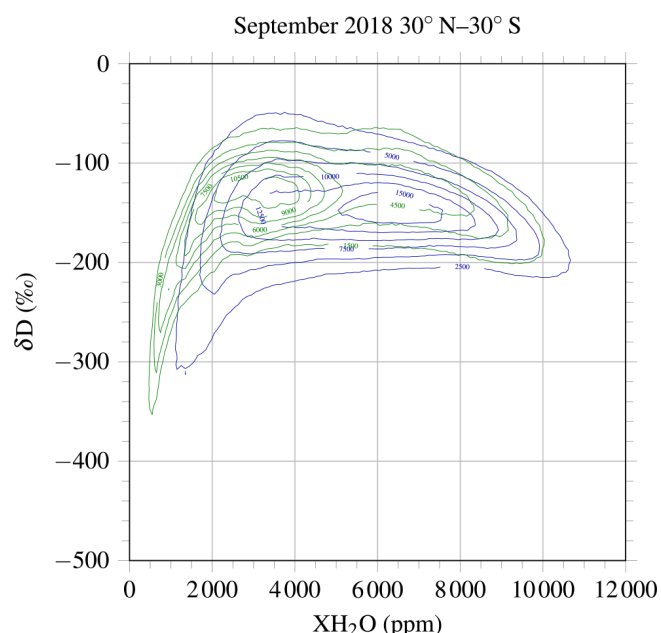
solar zenith angles and low surface albedos (recall the SZA filter and albedo filter; cf. Table 1). In contrast, particularly in regions of enhanced subsidence in the subtropics, a large number of observations are available.

The distribution of the (H<sub>2</sub>O,  $\delta\text{D}$ ) pairs in the tropics is shown in Fig. 17 for September 2018 to give a first insight into the benefit from  $\delta\text{D}$  compared to only H<sub>2</sub>O total column information. A large variability is observed in total column  $\delta\text{D}$  at high humidity levels ( $-200$  ‰ to  $-100$  ‰), which may be controlled by the strength of convection and the level of convective aggregation in different regions (Fig. 17). Furthermore, the  $\delta\text{D}$  distributions show the highest occur-

rence frequencies at higher  $\delta\text{D}$  over land ( $\sim -110$  ‰) than ocean ( $\sim -160$  ‰), while H<sub>2</sub>O is higher over ocean (6000–8000 ppm) than land (2000–4000 ppm). This might reflect differences in properties of deep convective system organisation and/or the impact of continental cycling, which highlights the value of total column  $\delta\text{D}$  for process-based studies of the atmospheric water cycle in the tropics.

## 5.2 Single overpasses

Figure 18 demonstrates single-overpass results over the North Atlantic Ocean. On 17 January 2020 a cold air outbreak forms along the North American eastern coast behind



**Figure 17.** (H<sub>2</sub>O,  $\delta$ D) phase space for all valid measurements in September 2018 in the latitude band 30° N–30° S. Green isolines correspond to data over land and blue isolines to data over oceans.

a cold front associated with a North Atlantic cyclone. The cold front can be identified in Fig. 18a by the quasi-zonal cloudy band, marked by a strong gradient of low to high total column H<sub>2</sub>O between 15 and 25° N across the front. The cold air mass (see low values of potential temperature at 850 hPa behind the cold front in Fig. 18f) travels southward towards the tropics between 17 and 20 January 2020 (Figs. 18–20). The cold, subsiding air behind the cold front is very dry (Fig. 18a) and is associated with low total column  $\delta$ D values between  $-400\text{‰}$  and  $-200\text{‰}$  (Fig. 18b) which are characteristic of the cold sector of extratropical cyclones (Thurnherr et al., 2021). Marine cold air outbreak clouds are typically low-level clouds with a high cloud fraction (stratocumulus, cumulus, Fig. 18e) and moderate optical thickness (Fig. 18c, Fletcher et al., 2016). The very high  $\delta$ D values of  $\sim 0\text{‰}$  stretching in a bow from  $\sim 20^\circ$  N,  $40^\circ$  W westward are caused by low sensitivity at low altitudes due to cloud shielding. These sensitivity issues are reflected by very low values of the column averaging kernel (Fig. 18d). The magnitude of the null-space error is determined by the deviation of the shape of the a priori profile to the real profile. The prior depends on time and location, and thus the null-space error may be different in different regions. Nevertheless, these TROPOMI data still contain valuable information that can be interpreted in combination with measurements or model simulations providing vertical profiles of H<sub>2</sub>O and HDO that can be combined with the vertical sensitivity of the satellite retrievals.

The analysis of successive overpasses between 18 and 20 January (Figs. 18–20) shows a rapid moistening of the originally very dry and depleted cold air mass. When it leaves the North American continent on 18 January the cold sector air has total column  $\delta$ D of less than  $-400\text{‰}$ . On 20 January, when the cold front reaches into the tropics, the  $\delta$ D of the cold sector is in the range  $-300\text{‰}$  to  $-200\text{‰}$ . The dry and cold air subsiding above the boundary layer typically induces large humidity gradients near the ocean surface and consequently leads to enhanced surface evaporation fluxes that favour a rapid moistening (Aemisegger and Pätzold, 2018) and continuous increase in  $\delta$ D of cold sector air as it travels southward. The  $\delta$ D in Fig. 18b shows large spatial variability in the cold sector, hinting towards different degrees of vertical mixing in different regions of the cold sector, most likely due to variations in subsidence strength. Vertical mixing between the boundary layer and the free troposphere, such as during the moistening of the cold sector, is one key process for which isotopes could provide additional information compared to total column H<sub>2</sub>O only. The latter aspect could be investigated in more detail using this dataset in combination with a numerical weather model including isotopes.

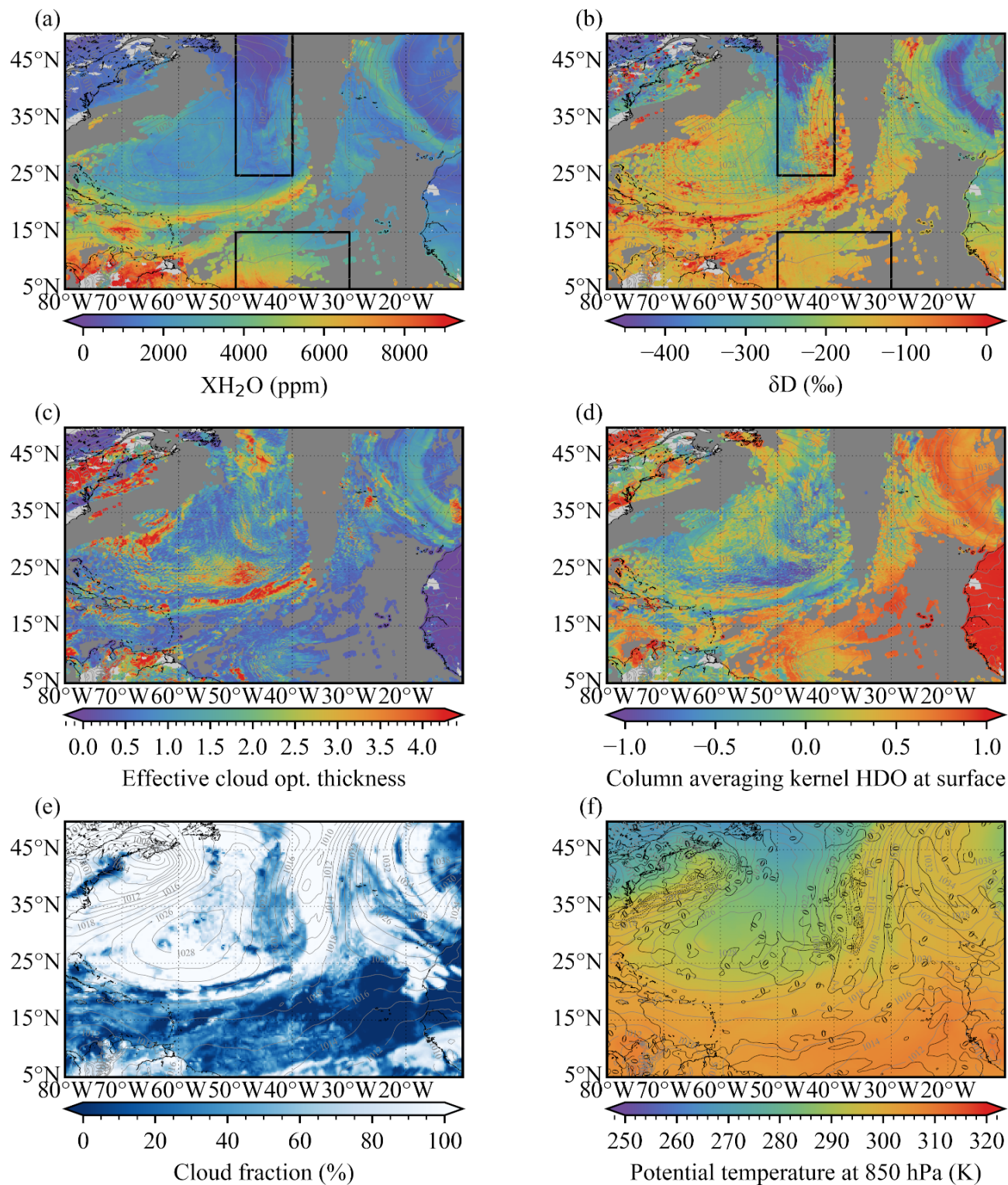
The large variability in  $\delta$ D at low total column H<sub>2</sub>O can be best observed when displaying the cold sector data in a (H<sub>2</sub>O,  $\delta$ D) phase space (Fig. 21), pinpointing the additional process information on boundary layer moisture export due to vertical mixing contained in  $\delta$ D total columns. In contrast to the cold air mass behind the cold front, the trade wind air mass in front of the cold front is associated with very high total column  $\delta$ D (Fig. 21b). Reduced subsidence and stronger shallow convective activity with deeper clouds are the reason for the higher  $\delta$ D on the warm, trade-wind side of the front (see also Aemisegger et al., 2021, for a discussion on the impact of extratropical intrusions behind cold fronts on the low-level  $\delta$ D signals in the tropics).

In future, comparisons of TROPOMI all-sky observations with vertical profiles from aircraft-based measurement campaigns will be helpful for identifying potentially remaining biases in very dry compared to very moist conditions. Furthermore, studies combining TROPOMI data with high-resolution numerical modelling will provide a promising data basis for studying the interaction between the moist boundary layer and the subsiding dry free tropospheric air, which is key in determining the variability in the low-level cloud cover properties.

## 6 Summary and conclusions

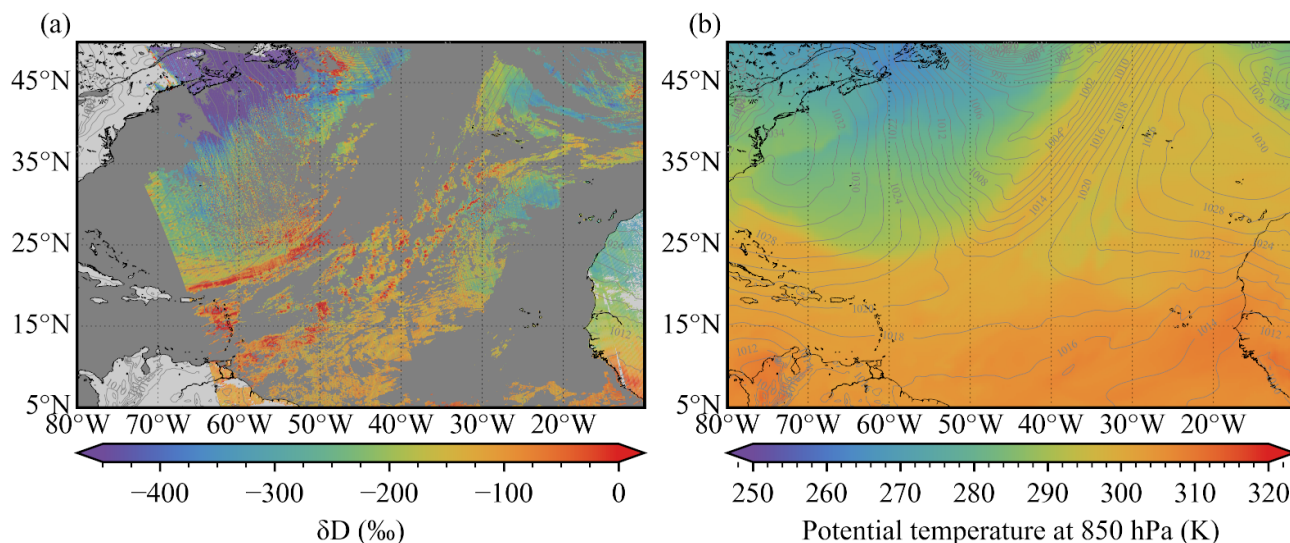
This work presents a new dataset of H<sub>2</sub>O and HDO columns over cloudy and clear-sky scenes retrieved from TROPOMI short-wave infrared measurements. Effective cloud parameters are fitted in the spectral window 2310 to 2338 nm and taken over to the final fit of the trace gases in the spectral win-



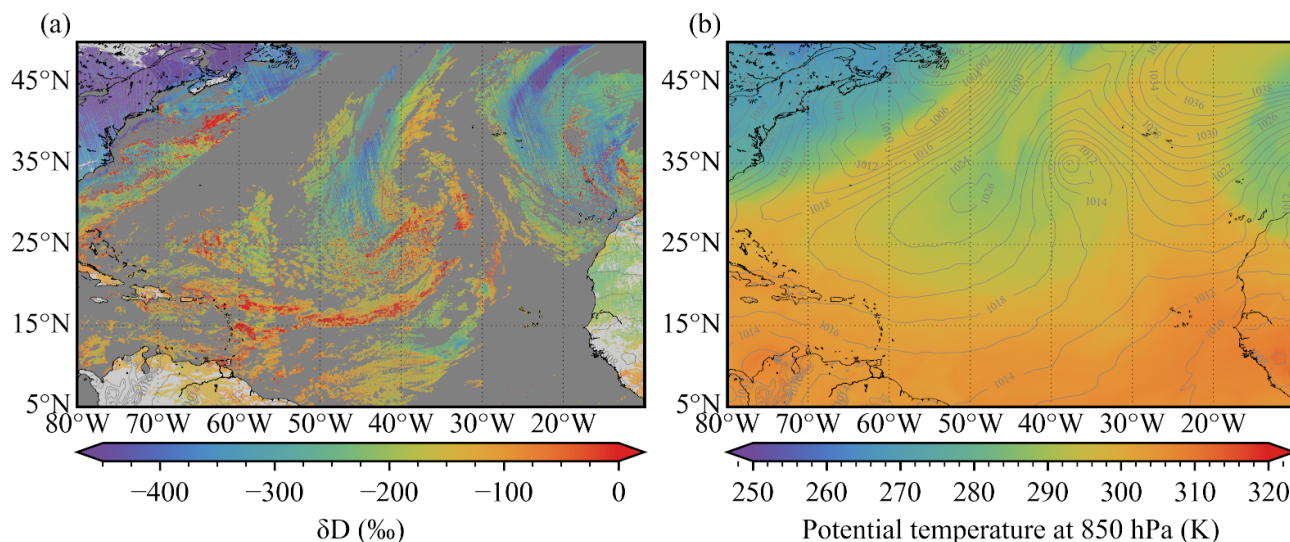


**Figure 18.** TROPOMI single-overpass results of (a) XH<sub>2</sub>O, (b) δD, (c) retrieved effective cloud optical thickness and (d) column averaging kernel at the surface over the North Atlantic on 19 January 2020; (e) ERA5 cloud fraction and (f) ERA5 potential temperatures at 850 hPa at 15:00 UTC. The grey contours in all panels show ERA5 mean sea-level pressure at 15:00 UTC with a contour line distance of 2 hPa. The black contours in (f) show vertical winds at 500 hPa at levels of 0.5 Pa s<sup>-1</sup>. The boxes in (a) and (b) mark the regions for which Rayleigh plots are depicted in Fig. 21.





**Figure 19.** (a) TROPOMI single-overpass  $\delta D$  and (b) ERA5 potential temperatures at 850 hPa at 15:00 UTC on 18 January 2020. The grey contours in all panels show ERA5 mean sea-level pressure at 15:00 UTC with a contour line distance of 2 hPa.



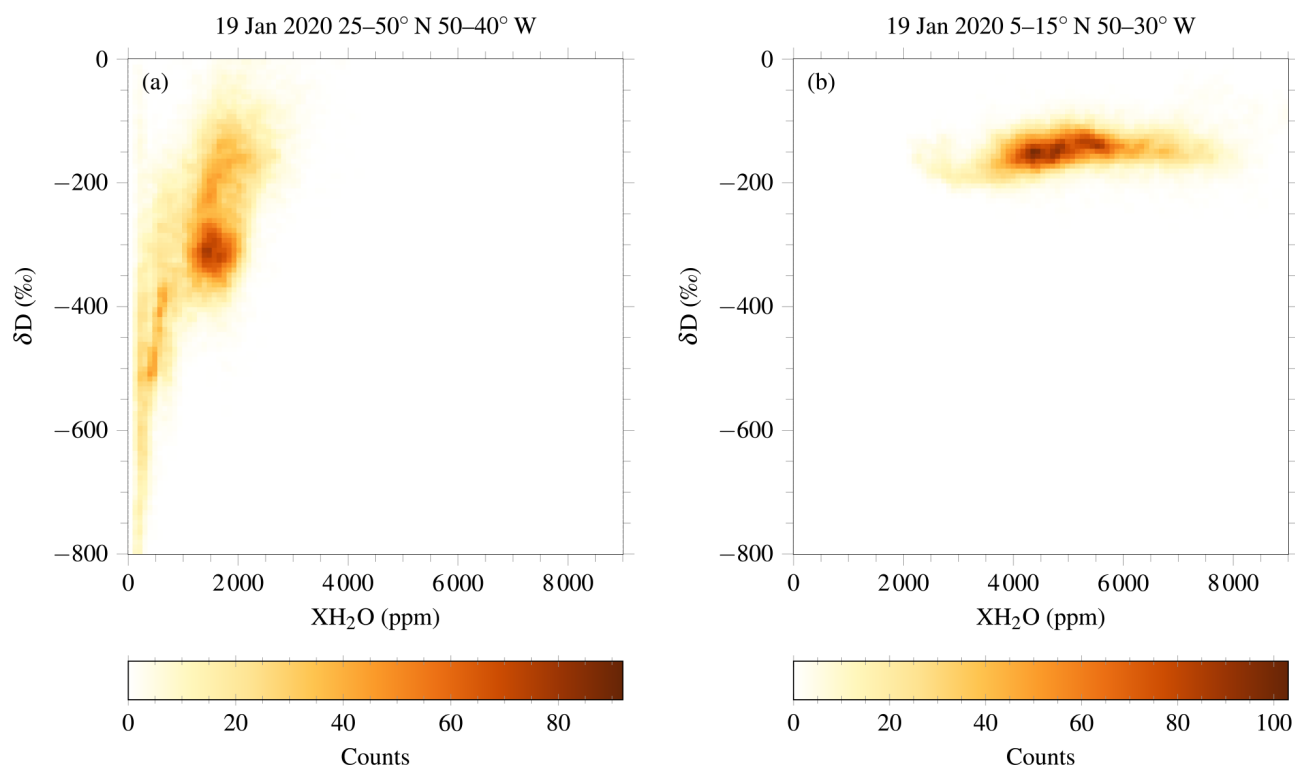
**Figure 20.** (a) TROPOMI single-overpass  $\delta D$  and (b) ERA5 potential temperatures at 850 hPa at 15:00 UTC on 20 January 2020. The grey contours in all panels show ERA5 mean sea-level pressure at 15:00 UTC with a contour line distance of 2 hPa.

dow 2354.0 to 2380.5 nm. Surface albedos are slightly regularised to the 1-year average of the non-scattering retrieval by Schneider et al. (2020a).

The performance of the new retrieval is similar to that of the non-scattering retrieval when comparing the same ground pixels, i.e. clear-sky scenes over land. Nevertheless, the scattering retrieval yields far more data, even for scenes classified as clear sky since the filtering is less strict. The median bias to TCCON at low-altitude stations at low and middle latitudes is for clear-sky scenes  $1.3 \times 10^{21}$  molec cm<sup>-2</sup> (1.8 %) in H<sub>2</sub>O columns,  $2.0 \times 10^{16}$  molec cm<sup>-2</sup> (-0.3 %) in HDO columns and -8‰ (4.6 %) in a posteriori  $\delta D$ , and the one

for cloudy scenes is  $4.7 \times 10^{21}$  molec cm<sup>-2</sup> (8.8 %) in H<sub>2</sub>O,  $1.0 \times 10^{18}$  molec cm<sup>-2</sup> (6.5 %) in HDO columns and -20‰ (12 %) in  $\delta D$ . At high latitudes, the bias is higher (up to about 20 % in the columns and 40 % in a posteriori  $\delta D$ ) due to difficult measurement geometries with typically high solar zenith angles and low surface albedos, meaning low signal-to-noise ratios.

At high-altitude stations, the altitude difference between satellite ground pixel and FTIR instrument has to be taken into account. If not corrected for, different partial columns are compared, which leads to high biases. A correction by taking the partial column of the satellite observation above



**Figure 21.** Histograms of TROPOMI observations on 19 January 2020 (a) in the area 25–50° N, 50–40° W comprising the cold sector and (b) in the area 5–15° N, 50–30° W containing the cold front.

the ground station height largely reduces the biases in the H<sub>2</sub>O and HDO columns. However, the bias in a posteriori  $\delta D$  remains because the correction cancels out when using the same profile shapes. This bias can be eliminated by using the shielding of clouds: for cloudy scenes with cloud height similar to the station height, the bias in a posteriori  $\delta D$  is very low. This shows that the shielding by clouds provides information about the vertical distribution. For clear-sky observations, the bias in  $\delta D$  can be eliminated by using more realistic profile shapes for HDO: an experiment with an a priori profile of HDO computed from an assumed more realistic profile of  $\delta D$  shows a low bias in a posteriori  $\delta D$  after the altitude correction.

Over oceans, the retrievals are validated with aircraft profile measurements from 2018. Although the validation is hampered by a limited number of reference measurements, the available data show a good retrieval performance.

The amount of data in the new dataset is tremendously increased compared to the non-scattering retrieval by Schneider et al. (2020a). Besides more data for clear-sky scenes over land due to less strict filtering, retrievals over low clouds give new insights, particularly over oceans, where the non-scattering retrieval cannot yield data. Single overpasses yield meaningful results, which enables new case studies. As an example with cloudy scenes over the oceans, a cold air outbreak in January 2020 is shown. Retrievals from consecu-

tive days nicely show the transport of depleted continental air from high to subtropical latitudes.

More reference measurements over oceans, either aircraft- or ship-based, will be useful for complementing the validation. Furthermore, a calibration of the TCCON HDO product would be beneficial. Moreover, a homogenisation of the ground-based data products by TCCON and MUSICA-NDACC would be valuable.

**Data availability.** The TROPOMI HDO dataset of this study is available for download at <https://tropomi.grid.surfsara.nl/hdo/> (last access: 10 March 2022, Schneider et al., 2020b). The old non-scattering data product is available at [ftp://ftp.sron.nl/open-access-data-2/TROPOMI/tropomi/hdo/9\\_1/](ftp://ftp.sron.nl/open-access-data-2/TROPOMI/tropomi/hdo/9_1/) (last access: 10 March 2022; Schneider et al., 2019). TCCON data are available from <https://doi.org/10.14291/TCCON.GGG2014> (Total Carbon Column Observing Network Team, 2017). The individual TCCON datasets used in this study are listed in Tables 2 and 3. MUSICA data are available from <https://ftp.cpc.ncep.noaa.gov/ndacc/MUSICA/> (last access: 24 March 2021; Barthlott et al., 2020), data until 2014 are also available from <https://doi.org/10.5281/zenodo.48902> (Barthlott et al., 2016). Aircraft profiles from the WISPER instrument from the ORACLES 2018 campaign are available from <https://doi.org/10.5281/zenodo.5748368> (Henze et al., 2022).

**Author contributions.** AS made the retrievals and performed the analysis with help from TB, JadB, AL and JL. FA performed the case study in Sect. 5.2. DN and DH provided aircraft data. RK provided TCCON data. AS prepared the manuscript with input from all the co-authors.

**Competing interests.** The contact author has declared that neither they nor their co-authors have any competing interests.

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