

# Comparison of OCO-2 target observations to MUCCnet – is it possible to capture urban $X_{CO_2}$ gradients from space?

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Abstract. In this paper, we compare Orbiting Carbon Observatory 2 (OCO-2) measurements of column-averaged dry-air mole fractions (DMF) of  $CO_2(X_{CO_2})$  and its urban-rural differences against ground-based remote sensing data measured by the Munich Urban Carbon Column network (MUCCnet). Since April 2020, OCO-2 has regularly conducted target observations in Munich, Germany. Its target-mode data provide high-resolution  $X_{CO_2}$  within a 15 km  $\times$  20 km target field of view that is greatly suited for carbon emission studies from space in cities and agglomerated areas. OCO-2 detects urban  $X_{CO_2}$  with a root mean square different (RMSD) of less than 1 ppm when compared to the MUCCnet reference site. OCO-2 target  $X_{CO_2}$  is biased high against the groundbased measurements. The close proximity of MUCCnet's five fully automated remote sensing sites enables us to compare spaceborne and ground-based  $X_{CO_2}$  in three urban areas of Munich separately (center, north, and west) by dividing the target field into three smaller comparison domains. Due to this more constrained collocation, we observe improved agreement between spaceborne and ground-based  $X_{CO_2}$  in all three comparison domains.

For the first time,  $X_{CO_2}$  gradients within one OCO-2 target field of view are evaluated against ground-based measurements. We compare  $X_{CO_2}$  gradients in the OCO-2 target observations to gradients captured by collocated MUCCnet sites. Generally, OCO-2 detects elevated  $X_{CO_2}$  in the same regions as the ground-based monitoring network. More than 90 % of the observed spaceborne gradients have the same orientation as the  $X_{CO_2}$  gradients measured by MUCCnet. During our study, urban–rural enhancements are found to

be in the range of 0.1 to 1 ppm. The low urban–rural gradients of typically well below 1 ppm in Munich during our study allow us to test OCO-2's lower detection limits for intra-urban  $X_{CO_2}$  gradients. Urban  $X_{CO_2}$  gradients recorded by the OCO-2 instruments and MUCCnet are strongly correlated ( $R^2 = 0.68$ ) with each other and have an RMSD of 0.32 ppm. A case study, which includes a comparison of one OCO-2 target overpass to WRF-GHG modeled  $X_{CO_2}$ , reveals a similar distribution of enhanced CO<sub>2</sub> column abundances in Munich. In this study, we address OCO-2's capability to detect small-scale spatial  $X_{CO_2}$  differences within one target observation. Our results suggest OCO-2's potential to assess anthropogenic emissions from space.

# 1 Introduction

Constantly rising atmospheric mole fractions of greenhouse gases, such as carbon dioxide (CO<sub>2</sub>) and methane (CH<sub>4</sub>), make combating climate change mankind's most urgent global challenge. Even though stringent climate targets were formulated under the 2015 Paris Agreement aimed to limit the temperature increase to well below  $2^{\circ}$ C, rising anthropogenic emissions are still causing global mean temperatures to surge to record highs, resulting in a growing number of severe and fatal weather events that can be linked to climate change (IPCC, 2019). The Annual Greenhouse Gas Index (AGGI), which is a measure for the radiative forcing of all anthropogenic greenhouse gases (GHGs) combined, reached an all-time high of 1.47 in 2021, indicating a 47 % increase

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in total radiative forcing since 1990 due to rising GHG mole fractions. Especially problematic is the atmospheric surge of  $CO_2$ , which contributes about 80 % of this growth in radiative forcing (Montzka, 2021). Emissions from urban areas play a key role in this development as they are responsible for more than 70 % of global manmade GHG emissions, even though they cover less than 3% of land area globally (Wu et al., 2016; Gurney et al., 2015). These numbers illustrate the importance of long-term observations of CO<sub>2</sub> mole fractions, especially in large and middle-sized cities as well as closely monitoring short-term  $X_{CO_2}$  fluxes on a sub-city scale, which gives insights on anthropogenic emissions and can provide policy makers with the information needed to enact more efficient and improved emission reduction policies. The Total Column Carbon Observing Network (TCCON) is a global network of Fourier transform infrared (FTIR) spectrometers of the type Bruker IFS 125HR at 25 sites in a multitude of longitudinal and latitudinal zones (Wunch et al., 2011). It monitors the long-term atmospheric growth of  $X_{\rm CO_2}$ ,  $X_{\rm CO}$ , and  $X_{\rm CH_4}$  along with other atmospheric trace gases. Regular calibrations against aircraft measurements make the TCCON sites currently the primary validation source for most space-based  $X_{CO_2}$  data products (GOSAT, GOSAT-2, OCO-3, TROPOMI). Other groundbased networks like the Collaborative Carbon Column Observing Network (COCCON) aim to improve spatial coverage by operating low-cost and portable Bruker EM27/SUN spectrometers, which are also well suited as ground-based references for OCO-2 validation efforts (Jacobs et al., 2020; Frey et al., 2019).

In recent years, EM27/SUN instruments have been used in measurement campaigns that aim to quantify urban anthropogenic emissions by combining differential column measurements (DCMs) and atmospheric transport models (Chen et al., 2016). Multiple field campaigns have been carried out in Berlin (Hase et al., 2015), Munich (Dietrich et al., 2021), Indianapolis (Jones et al., 2021), San Francisco Bay Area (Klappenbach et al., 2021), Poland (Luther et al., 2019, 2022), Chino (Chen et al., 2016), St. Petersburg (Makarova et al., 2021), and Hamburg (Chen et al., 2022). These studies show the potential of top-down emission estimates as they can help uncover unknown emission sources and constrain bottom-up emission inventories.

In addition to the increasing number of ground-based instruments, constantly improving spaceborne remote sensing systems drastically enhance the global coverage of precise  $X_{CO_2}$  measurements even in hard to reach, solitary areas. NASA's Orbiting Carbon Observatory instruments (OCO-2 and OCO-3) capture  $X_{CO_2}$  in four different measurements modes: nadir, glint, target, and snapshot area mode (SAM). OCO-2 captures  $X_{CO_2}$  on a 16 d ground-track repeat cycle (Osterman et al., 2020). Previous studies investigated urban to rural  $X_{CO_2}$  enhancements (Park et al., 2021) and extracted CO<sub>2</sub> emission signals from OCO-2 nadir tracks (Wu et al., 2018; Shekhar et al., 2020). Recently, Kiel et al. (2021) compared OCO-3 SAM and target observations over the Los Angeles megacity against simulated  $X_{CO_2}$  from two different models. This study showed that spatially fine-scale satellite measurements have the potential to resolve  $X_{CO_2}$  differences on a sub-city scale. Even though OCO-2 and OCO-3 measurements are evaluated against TCCON observations on a regular basis, these comparisons are performed on a global scale and do not provide information about OCO-2's data quality on sub-city scales. In this study, for the first time, we test OCO-2's capability to determine sub-city  $X_{CO_2}$ differences within one target field (approx.  $15 \text{ km} \times 20 \text{ km}$ ) by comparing OCO-2 target soundings against measurements of the Munich Urban Column Concentration network (MUCCnet). MUCCnet is a novel, fully automated, groundbased network that continuously measures CO<sub>2</sub>, CH<sub>4</sub>, and CO column concentrations at its five sites in and around Munich (see Fig. 1) (Dietrich et al., 2021). The close proximity of the ground-based instruments allows us to compare absolute OCO-2  $X_{CO_2}$  in different parts of Munich and also lets us evaluate spaceborne  $X_{CO_2}$  enhancements. This way, we test the capability of OCO-2 to resolve small-scale urban  $X_{CO_2}$ fluxes in Munich and other cities from space, which is needed to study sector-dependent emissions in the future. Due to OCO-2's relatively small target size of around  $300 \,\mathrm{km}^2$  the instrument is best suited for spaceborne emission studies in smaller cities, while OCO-3's SAM measurements cover a wider field of view, which enables the assessment of larger agglomerated areas (Kiel et al., 2021).

# 2 Datasets

# 2.1 MUCCnet $X_{CO_2}$ data

The solar spectra that are acquired by the five MUCCnet EM27/SUN devices are evaluated by two retrieval algorithms (Dietrich et al., 2021): GGG2014 (Wunch et al., 2011; Hedelius et al., 2016) and PROFFAST (Frey et al., 2019; Alberti et al., 2022). In this study, we consider the  $X_{CO_2}$  outputs of the PROFFAST retrieval algorithm (Hase et al., 2004; Frey et al., 2015) that fits atmospheric CO<sub>2</sub> by scaling a priori column profiles to match the solar spectra measured by the spectrometers (Frey et al., 2019). The software is developed and maintained by the Karlsruhe Institute of Technology (KIT). The PROFFAST algorithm considers the instrumental line shape (ILS) of the individual EM27/SUN devices to reduce systematic instrument-specific errors in the trace gas retrieval (Frey et al., 2015; Alberti et al., 2022). The ILS parameters, phase error (PE), and modulation efficiency (ME) of the instruments at the maximum optical path length (OPD<sub>max</sub>) are derived from open-path measurements under controlled ambient conditions (Frey et al., 2019). The instrument-specific ILS parameters resulting from the open-path calibrations are stored in the spectra generated with the PROFFAST prepro-



Figure 1. Locations of EM27/SUN spectrometers in Munich. The center site is located on the roof of the TUM building in Munich. The other spectrometers are distributed around Munich in each compass direction.

cessor and are subsequently used in the trace gas analysis (Gisi et al., 2012; Sha et al., 2020).

The remaining instrument- and gas-specific discrepancies are determined by analyzing side-by-side solar observations performed at the calibration facility of the COllaborative Carbon Column Observation Network (Frey et al., 2019). A reference COCCON instrument (serial number SN37) and a collocated TCCON spectrometer in Karlsruhe serve as standards of comparison. The resulting empirical corrections summarize all remaining unexplained instrumentspecific corrections and are applied as instrument-specific calibration factors  $K_{X_{CO_2}}^{SN}$  to the raw  $X_{CO_2}^{raw}$  values generated by the PROFFAST retrieval code:

$$X_{\rm CO_2}^{\rm scaled} = K_{X_{\rm CO_2}^{\rm SN}} \cdot X_{\rm CO_2}^{\rm raw}.$$
 (1)

This indirectly ties the MUCCnet  $X_{CO_2}$  retrievals to the TCCON site in Karlsruhe since the COCCON reference device is calibrated against the TCCON site in Karlsruhe (Alberti et al., 2022; Frey and Gisi, 2021). Each MUCCnet spectrometer is protected by an enclosure, which is equipped with a multitude of sensors to fully automate the retrieval process (Heinle and Chen, 2018; Dietrich et al., 2021). Among others, the enclosures are equipped with a low-cost air pressure sensor (Model 61302, Young, 2009) that captures the ground-pressure inputs for the PROFFAST retrieval. The pressure sensor of the MUCCnet center site (TUM) is used to calibrate

the other four in situ pressure sensors. The sensors are calibrated by subtracting constant offsets, which are determined in side-by-side measurements. Pressure calibration offsets, instrument-specific calibration factors, and the ILS parameters are listed in Table 1.

A global post-correction factor that depends on the solar zenith angle (SZA) is applied to remove an erroneous low bias of the order of 0.5 ppm in the  $X_{CO_2}$  retrieval outputs of the current PROFFAST version (Dubravica and Hase, 2021, distributed before December, 2021). The following formula removes the low bias in the scaled  $X_{CO_2}$  retrievals:

$$X_{\text{CO}_2}^{\text{corrected}} = \left[1.0018 - (\text{SZA}/90^\circ)^2\right] \cdot X_{\text{CO}_2}^{\text{scaled}}.$$
 (2)

We tested how this post-processing correction (see Eq. 2) impacts our  $X_{CO_2}$  validation results. We found that applying the post-correction to the PROFFAST retrieval effectively reduced the bias between MUCCnet and OCO-2. Hence, we can confirm that the preliminary measure is effective and should be used with PROFFAST outputs of the current software version (Dubravica and Hase, 2021). The  $X_{CO_2}$  post-correction will be removed in the new version of PROFFAST, which is already available to users as a beta version.

The PROFFAST retrieval and calibration process for individual devices ties the data to the COCCON network, and via its connection to TCCON it shares TCCON's WMO X2007 trace gas scale (Frey and Gisi, 2021). All results of

Serial number (SN)	Location	Longitude (°)	Latitude (°)	ME	PE (rad)	$K_{\rm CO_2}$	$\Delta p$ (hPa)
61	TUM_I	11.569	48.151	0.9830	0.0013	0.9993	0.00
86	FEL	11.73	48.148	0.9830	0.0031	1.00242	-0.2686
115	GRÄ	11.425	48.121	0.9837	0.0024	0.999786	0.0953
116	OBE	11.548	48.258	0.9875	0.0044	0.999973	0.2621
117	TAU	11.608	48.047	0.9791	0.0038	1.000220	0.4656

this paper are based on the scaled and bias-corrected retrievals  $X_{CO_2}^{corrected}$ .

# 2.2 OCO-2 $X_{CO_2}$ data

The OCO-2 instrument was developed by NASA and launched into space on 2 July 2014. It orbits the Earth as part of the afternoon satellite train (A-train) at an altitude of 705 km (Crisp, 2011). Its instruments capture solar radiance spectra in one of three observational modes: nadir, glint, and target mode. During OCO-2 target observations the instrument scans a certain area of interest, which is around 15 km  $\times$  20 km in size. To maximize the number of soundings during one overpass the instrument scans the target area for approximately 2 min. The instrument simultaneously captures eight spatially separated footprints every 1/3 of a second, theoretically yielding around 4000 measurements per overpass (Crisp, 2011). One 1.29 km  $\times$  2.29 km OCO-2 footprint covers an area of just under 3 km<sup>2</sup> (Osterman et al., 2020).

The captured solar radiance spectra are processed by the Atmospheric Carbon Observations from Space (ACOS) retrieval software. In this work we use the OCO-2 lite files that are processed with the latest version (v10) of the ACOS retrieval algorithm (O'Dell et al., 2018; Kiel et al., 2019). The corresponding files are publicly available and can be downloaded through the NASA Goddard Earth Science Data and Information Services Center (OCO-2 Science Team/Michael Gunson, Annmarie Eldering, 2020). Footprint-related biases and parametric biases are removed for  $X_{CO_2}$  retrievals in the OCO-2 lite files. A comprehensive overview of the OCO-2 and OCO-3 data products as well as the bias correction procedure is given in Osterman et al. (2020). Furthermore, a global scaling factor, derived from regular comparisons of OCO-2 target observations and 29 collocated TCCON sites, is applied to the  $X_{CO_2}$  lite file data. This ties the OCO-2 lite  $X_{\rm CO_2}$  to the standard trace scale for atmospheric  $X_{\rm CO_2}$  of the World Meteorological Organization (WMO scale) (Wunch et al., 2017; Osterman et al., 2017). The most recent comparisons of fully bias-corrected OCO-2 target  $X_{CO_2}$  and TC-CON reveal superb agreement (rms = 0.86 ppm,  $R^2 = 0.97$ ) (Kiel, 2021). The data product contains a binary quality flag which flags low-quality  $X_{CO_2}$  soundings (qf = 1). In the following, we solely consider good-quality  $X_{CO_2}$  retrievals (qf = 0) (Osterman et al., 2020).

The results of this study are based on OCO-2 target observations that took place in recent years, starting from April 2020. From April 2020 to July 2021 OCO-2 successfully targeted MUCCnet 12 times. Figure 2 summarizes the target dates and the corresponding number of good-quality (qf = 0) soundings. We include a target observation in our study if (1) the OCO-2 instrument gathers a minimum of 500 good-quality soundings during the overpass and (2) there are ground-based retrievals for at least one of the three sites within the target field of view. In comparison to other spaceborne remotely sensed data products, the relatively small size of the OCO-2 footprints results in a higher number of good-quality soundings per target observation even in cloudy conditions. One overpass, which took place on 4 September 2020, is removed from the comparison set, since only 86 good-quality  $X_{CO_2}$  soundings are retrieved. All remaining days had at least 800 good-quality soundings. Figure 3 shows the OCO-2  $X_{CO_2}$  observations of the 12 remaining successful overpasses over Munich. Due to OCO-2's sunsynchronous orbit, target overpasses in Munich usually take place around 12:00 UTC. A typical distribution of soundings is shown in Fig. 4. Three of the five MUCCnet sites are within the target field of view. Thus, we can compare OCO-2  $X_{CO_2}$  against collocated ground-based data in the center (TUM), north (Oberschleißheim), and west (Gräfelfing) of Munich.

# 2.3 WRF model setup

We compare the OCO-2 target observations to simulated  $CO_2$  column concentrations provided by a high-resolution modeling WRF-GHG framework designed for Munich with 45 vertical layers and a horizontal resolution of 400 m (Zhao et al., 2020b). This modeling framework is set up based on the Weather Research and Forecasting model (WRF) coupled with the biospheric flux model (Beck et al., 2011) to quantitatively understand the processes of the emission and consumption of  $CO_2$  and  $CH_4$  in and around Munich. The meteorological initial conditions and lateral boundary conditions in the modeled background concentrations are obtained from the Integrated Forecasting System (IFS) Cycle 47r1, imple-



Figure 2. Number of soundings for each of the OCO-2 target overpasses. On most days OCO-2 captured more than 1000 good-quality (qf = 0) soundings per overpass. Usually a higher number of goodquality soundings corresponds to more robust and less sparse data. Thus, we remove overpasses with fewer than 500 good-quality soundings.

mented by the European Centre for Medium-Range Weather Forecasts (ECMWF) with a horizontal resolution of approximately 40 km. Near-surface emissions are initialized from the first version of the TNO-GHG and co-emitted species emission database (TNO\_GHGco\_v1.1; Super et al., 2020). The details on the model setup and related assessment can be found in Zhao et al. (2020a).  $X_{CO_2}$  in the study area is derived from the modeled concentration profile  $u_{mod}$ , which is smoothed with the OCO-2 averaging kernel a, following the method described in O'Dell et al. (2012):

$$X_{\text{CO}_{2,\text{ak}}} = \sum_{i=1}^{n_{\text{lev}}} h_i \left[ a_i u_{\text{mod},i} + (1-a_i) u_{\text{ap},i} \right].$$
(3)

Here,  $a_i$ ,  $h_i$ , and  $u_{ap,i}$  denote the *i*th layer of the normalized averaging kernel vector, the pressure weighting vector, and the a priori profile, which can be found in the OCO-2 lite files. The resulting WRF-GHG  $X_{CO_2}$  is binned onto an  $0.02^\circ \times 0.02^\circ$  latitude–longitude grid.

#### 3 Methods

#### 3.1 Target collocation

We use a methodology similar to Wunch et al. (2017) in order to evaluate the OCO-2  $X_{CO_2}$  retrievals over Munich against MUCCnet. To compare both datasets we consider the mean of all good-quality OCO-2 soundings within the target area and the ground-based  $X_{CO_2}$  measurements of the MUCCnet center site (11.569° E, 48.151° N) that have been recorded within ±30 min of the spacecraft's overpass time. Target observations that had fewer than 500 good-quality soundings are not considered in the comparison process. Only the target observation on 4 September 2020 does not meet this requirement.

To account for differences in the MUCCnet and OCO-2 vertical sensitivities, we apply an averaging kernel correction following the approach of Wunch et al. (2011). Hereby, the

ground-based  $X_{CO_2}$  is smoothed with the ACOSv10 column averaging kernel as described in Nguyen et al. (2014). We perform a York regression (York et al., 2004) to determine the best-fit line and slope (Wu and Zhen Yu, 2018).

### 3.2 By-site collocation

Due to the short distance of around 10 km between the MUCCnet instruments, three of the five MUCCnet sites are within the 15 km  $\times$  20 km OCO-2 target field of view. This lets us evaluate the spaceborne  $X_{CO_2}$  retrievals for different parts of the city. We compare subsets of OCO-2 soundings in each target observation to the  $X_{CO_2}$  measurements of the closest ground-based instrument.

For a collocation radius of  $r_{col} = 6 \text{ km}$  around the spectrometer locations we achieve the highest number of collocated soundings for each site while having almost no overlap of collocated soundings between the sites (most soundings are collocated with only one MUCCnet site). This way, we segment the target observation data into three comparison domains - center, west, and north. A large comparison set of soundings also reduces the effect of random errors in our computed mean  $X_{CO_2}$ . We assume this relatively large comparison domain to best represent the actual  $X_{CO_2}$ around our ground-based measurement sites. For each domain we validate spaceborne measurements against  $X_{CO_2}$ data from the collocated MUCCnet spectrometers in Gräfelfing (west, GRA), Oberschleißheim (north, OBE), and the Munich city center (center, TUM). Figure 5 shows the OCO-2 target (taken on 31 March 2021) field of view (white square) and the footprint positions of  $X_{CO_2}$  soundings. The OCO-2 soundings are color-coded according to their comparison domain (center: green, west: blue, north: red). The same color coding is used for the validation results in Sect. 4.2.

The mean  $X_{CO_2}$  of OCO-2 soundings in each domain is compared to  $X_{CO_2}$  measurements of the corresponding MUCCnet site within  $\pm 30$  min of overpass time. Due to the smaller size of the by-site comparison domains we only consider comparison sets if (1) at least 70 spaceborne soundings are recorded within the collocation area around each MUCCnet site and (2) the collocated ground-based instrument captured at least 50 retrievals within  $\pm 30 \min$  of the overpass. On 17 June 2021, we extended the collocation time for the northern site in Gräfelfing to  $\pm 60$  min due to sparse ground-based measurements at the exact time of the overpass. The relatively long collocation time frame is chosen due to the low average wind speeds of  $2.33 \pm 1.54$  m s<sup>-1</sup> during the overpasses featured in this study. This may, especially for higher wind speeds, introduce collocation error, which can be reduced by adjusting the collocation time frame according to the wind speed. Figure 6 shows the number of soundings collected by the OCO-2 instruments in each domain  $N_{\text{domain}}$  for the 12 target observations investigated in this paper.



**Figure 3.** Daily  $X_{CO_2}$  maps of OCO-2 target observations in Munich. MUCCnet spectrometer locations are highlighted on the map. The OCO-2 target-mode data are binned into  $0.02^{\circ} \times 0.02^{\circ}$  latitude–longitude grid cells. Map source: Esri, Maxar, Earthstar Geographics, and the GIS User Community.



**Figure 4.** Histogram of the OCO-2 target sounding distribution in Munich. There are three MUCCnet sites with sufficient collocated data, which will be considered in this study. Ground-based instruments in Feldkirchen (FEL) and Taufkirchen (TAU) are not featured in this study.



**Figure 5.** This figure illustrates the collocation criteria for target overpass data captured on 31 March 2021. OCO-2 soundings within a radius of 6 km are compared to measurements of the collocated MUCCnet instrument. The OCO-2 target soundings are colored according to their collocated ground-based spectrometers.

# 3.3 Gradient comparison

We evaluate spaceborne  $X_{CO_2}$  differences in the OCO-2 target field of view between the three separate domains (center, north, and west) against measurements of the collocated MUCCnet spectrometers. We compute the urban gradients present in the OCO-2 overpasses by subtracting the mean  $X_{CO_2}$  of soundings collocated with one of the MUCCnet sites (domain 1) from the mean  $X_{CO_2}$  of soundings captured in one of the other two comparison areas (domain 2):

$$\Delta X_{\rm CO_2OCO-2}^{\rm domain\ 1-domain\ 2} = X_{\rm CO_2OCO-2}^{\rm domain\ 1} - X_{\rm CO_2OCO-2}^{\rm domain\ 2}. \quad (4)$$

This way, we compute three sets of spaceborne  $X_{CO_2}$  gradients that are present in the target observation: (1) west-center, (2) north-center, and (3) north-west. Spaceborne



**Figure 6.** Number of good-quality OCO-2 soundings  $N_{\text{domain}}$  in the three comparison domains.

 $X_{\rm CO_2}$  gradients are compared to the  $X_{\rm CO_2}$  gradients of ground-based measurements of the collocated MUCCnet spectrometers. Ground-based gradients  $\Delta X_{\rm CO_2MUCCnet}$  are computed by using  $X_{\rm CO_2}$  data from the collocated MUCCnet sites:

$$\Delta X_{\rm CO_2 MUCCnet}^{\rm site 1-site 2} = X_{\rm CO_2 MUCCnet}^{\rm site 1} - X_{\rm CO_2 MUCCnet}^{\rm site 2}.$$
 (5)

Consequently,  $X_{CO_2}$  gradients computed between Munich center and Gräfelfing will also be referred to as "west-center" gradients, while those between the center site and Oberschleißheim are called "north-center" gradients. Positive gradients are obtained if site 1 captures higher  $X_{CO_2}$  than site 2. We compute the standard deviation of our gradients between the two domains as follows:

$$SD_{domain 1-domain 2} = \sqrt{\sigma_{domain 1}^2 + \sigma_{domain 2}^2}.$$
 (6)

Rather than the standard error of the mean, SD<sub>domain 1-domain 2</sub> represents the combined spread of  $X_{\rm CO_2}$  in the two domains. When compared to the by-site comparison process (Sect. 4.2), we apply stricter criteria to filter which overpasses are considered to be robust and suited for the gradient assessment. We exclude spaceborne  $X_{CO_2}$  gradients if the mean spaceborne  $X_{CO_2}$  in one of the domains is computed using fewer than  $N_{\text{domain}} = 100$ soundings and if it has a standard deviation larger than  $\sigma = 75$  ppm. This criterion removes two of the gradients from the set (on 27 July and 9 November 2020). Second, we checked MODIS images taken at overpass time for high cloud coverage. On 23 June, the MODIS images and a high aerosol contamination point at challenging measurement conditions, causing a sparse distribution of converged soundings around the MUCCnet center site. Therefore, we do not consider urban  $X_{CO_2}$  gradients captured on 23 June 2020.

#### 4 Results

# 4.1 OCO-2 target validation

To test the agreement of OCO-2 and MUCCnet  $X_{CO_2}$ , we perform a York regression between the 12 OCO-2 target observations and the  $X_{CO_2}$  measurements of the MUCCnet reference instrument in the center of the OCO-2 target field of

view. The results are shown in Fig. 7. For all target observations that are considered in this study, the root mean square  $X_{CO_2}$  difference is below 1 ppm (RMSD = 0.96 ppm). Furthermore, the coefficient of determination  $R^2 = 0.93$  reveals a very strong correlation. On average, the spaceborne  $X_{CO_2}$ is about 0.70 ppm higher than the collocated solar measurements taken by the MUCCnet reference device. This high bias is comparable to the observed bias when OCO-2 target data are compared to the Karlsruhe TCCON instrument (bias 0.80 ppm, RMSD = 0.91) to which MUCCnet is tied (as discussed in Sect. 2.1). The RMSD improves to 0.66 ppm when the bias between the space and ground-based measurements is not taken into account (Matthaeus Kiel, personal communication, 26 January 2022). The averaging kernel correction that we applied to the  $X_{CO_2}$  data improves the root mean square difference by 0.18 ppm. Similar effects of the averaging kernel correction are also observed in Kiel et al. (2021).

Figure 8 shows the differences between spaceborne and ground-based  $X_{CO_2}$  retrievals for each individual overpass. Both observing systems capture a similar seasonal cycle of urban  $X_{CO_2}$  in Munich in the time period analyzed here. For 11 out of the 12 overpasses, OCO-2 measured the higher mole fractions, causing an average OCO-2 high bias of 0.7 ppm. For only one overpass (12 August 2020) did OCO-2 capture lower mean  $X_{CO_2}$  than MUCCnet. On two days a mean offset higher than 1.5 ppm is measured, which is likely caused by suboptimal measurement conditions. On 9 November 2020 more than 80% of retrievals are low quality (qf = 1), yielding just 816 usable soundings. In the study time, the bias in the satellite data does not show a noticeable temporal drift. The four overpasses in which the spaceborne  $X_{\rm CO_2}$  offset deviates the most from the mean bias took place between 27 July and 18 December 2020.

### 4.2 By-site validation

Dividing the OCO-2 target observations into spatially separated comparison domains allows us to validate the spaceborne  $X_{CO_2}$  in the north (OBE), center (TUM), and west (GRA) of Munich. In each domain we consider spaceborne soundings that are within a 6km distance of the collocated MUCCnet instrument. The center domain usually has the highest number of spaceborne soundings because the northern and western instruments are closer to the edge of the target area. In contrast to the target comparison in Sect. 4.1 we consider a spatially more constrained subset of OCO-2 soundings. This improves the root mean square differences of OCO-2 and the MUCCnet center site to  $RMSD_{TUM} =$ 0.82 ppm. This improvement is caused by more specific collocation that reduces the effect of averaging over potential spatial  $X_{CO_2}$  gradients in the OCO-2 target observation. The scatter plots in Fig. 9 show the by-site comparison results for target observations in the study. Similar to the results in the center domain, for the two remaining MUCCnet sites, we find RMSD values of less than 1 ppm (RMSD<sub>GRÄ</sub> = 0.61 ppm, and RMSD<sub>OBE</sub> = 0.94 ppm) when compared to the collocated ground-based measurement sites. Furthermore, all three scatter plots show improved coefficients of determination ( $R_{TUM}^2 = 0.96$ ,  $R_{GRÄ}^2 = 0.97$  and  $R_{OBE}^2 = 0.96$ ) when compared to the target validation results in Sect. 4.1.

We computed a high bias of OCO-2 against the MUCCnet spectrometers in all three comparison domains ranging from  $b_{\text{GR}\ddot{\text{A}}} = 0.36$  ppm over  $b_{\text{TUM}} = 0.59$  ppm to  $b_{\text{OBE}} = 0.78$  ppm. The differences in the relative location of the collocated OCO-2 soundings in the target field of view could impact the results due to changes in the viewing geometry of the spaceborne instruments. A larger sample size is required to make a more robust statement. The best-fit RMSE is nearly identical for all three comparison domains (RMSE<sub>TUM</sub> = 0.57 ppm, RMSE<sub>GRÄ</sub> = 0.57 ppm, and RMSE<sub>OBE</sub> = 0.57 ppm). A summary of the linear regression results for target and by-site validation is given in Table 2.

The daily offsets in each domain are depicted in Fig. 10. We assume that measurement uncertainties and the relatively small sample size of 11 overpass days cause the discrepancies in the computed mean biases of the three collocation domains. OCO-2 retrieves higher CO<sub>2</sub> mole fractions than MUCCnet in all three domains during each overpass except for 12 August 2020. For most overpasses the by-site offsets are consistent in each of the three collocation areas. The largest discrepancies in daily offsets in the three domains could be observed on overpass days with a smaller than average number of good-quality soundings (e.g., 9 November and 27 July 2020). Target observations with a high number of good-quality soundings in general have smaller differences in daily by-site  $X_{CO_2}$  offsets.

Overall, we discover an improvement of RMSD and a higher correlation  $R^2$  in the by-site validation when compared to the target validation due to the smaller collocation radius. OCO-2 is capable of detecting  $X_{CO_2}$  well in the three domains in the center, west, and north of Munich. However, small differences in averaged bias are present in the three collocation areas.

# **4.3** Assessment of urban $X_{CO_2}$ gradients measured from space

The adjusted collocation procedure also allows us to assess the spaceborne CO<sub>2</sub> gradients in the OCO-2 target observations. This is the first time gradients within one OCO-2 target observations are evaluated against measurements of multiple ground-based measurements sites. We contrast the spaceborne  $\Delta X_{CO_2OCO-2}$  against the  $X_{CO_2}$  differences measured by the collocated MUCCnet spectrometers during the overpass (see Fig. 11). This simple approach allows us to see how spatial  $X_{CO_2}$  gradients in the target observations compare to the  $X_{CO_2}$  differences captured by MUCCnet. We compute three sets of gradients (north-west, north-center, and west-



Figure 7. Scatter plot of  $X_{CO_2}$  captured by the MUCCnet center site and OCO-2 target data. We consider all good-quality soundings within the target area. Each overpass is color-coded. The error bars represent the standard deviations of the samples in the corresponding domain.



Figure 8. Both observing systems detect the seasonal  $X_{CO_2}$  variations and  $X_{CO_2}$  growth in the study period. The lower panel shows the daily  $X_{CO_2}$  differences of satellite data and MUCCnet observations. The spaceborne observations are biased high by 0.7 ppm.

center) for each overpass for which a sufficient amount of data is available.

 $X_{CO_2}$  enhancements in Munich are usually in the range from 0.1 to 1.0 ppm during the overpasses featured in this study. This coincides with results of previous urban gradient assessments in Munich published in Dietrich et al. (2021). On average, the MUCCnet instruments measured site-to-site enhancements of 0.42 ppm. These rather low gradients allow us to test the lower detection limits of OCO-2 for resolving small-scale gradients.

Considering the rather small  $X_{CO_2}$  gradients in Munich, OCO-2 detects the elevated  $X_{CO_2}$  in the same domain as MUCCnet for 20 of the 22 computed gradients and therefore qualitatively determines the area of enhanced  $X_{CO_2}$  correctly in 91% of cases. Furthermore, for 68% (15/22) of the computed gradients, OCO-2 is within a margin of error of just 0.25 ppm when compared to the more precise



(a) MUCCnet centre  $X_{CO2}$  versus collocated OCO-2  $X_{CO2}$ (centre domain)

410 408 410 412 414 416 418 408 XCO2<sup>GRÄ</sup><sub>MUCCnet</sub> [ppm]

RMSD = 0.6077 ppm

 $R^2 = 0.97315$ 

Slope = 1.0011

Offset = 0.3603 ppm

418

416

414

412

(b) MUCCnet X<sub>CO2</sub> in Gräfelfing versus collocated OCO-2 X<sub>CO2</sub> (western domain)



(c) MUCCnet X<sub>CO2</sub> in Oberschleißheim versus collocated OCO-2 X<sub>CO2</sub> (northern domain)

Figure 9. By-site comparison results for the three comparison domains in the center (TUM), west (GRÄ), and north (OBE) of Munich. We use the same color coding as in Fig. 5. OCO-2 has the largest bias and RMSD in the northern domain when compared to the collocated MUCCnet  $X_{CO_2}$ . The error bars represent the standard deviations of the samples in the corresponding domain.

Table 2. Regression results of collocated  $X_{CO_2}$  measured by MUCCnet and OCO-2 of by-site and target validation. In all domains OCO-2 is biased high against MUCCnet.

Domain	RMSD (ppm)	<i>R</i> <sup>2</sup>	Bias (ppm)	RMSE (ppm)
Center (TUM)	0.822	0.957	0.594	0.57
West (GRÄ)	0.608	0.973	0.360	0.57
North (OBE)	0.939	0.963	0.776	0.57
Target comparison (Sect. 4.1)	0.958	0.934	0.698	0.66

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**Figure 10.** Daily offsets of collocated  $X_{CO_2}$  captured by OCO-2 and MUCCnet in each comparison domain. During most overpasses the by-site offsets are alike for all comparison domains. However, during some overpasses (e.g., 23 June, 27 July, 9 November) we observe a higher level of intra-day variation of the daily by-site offsets, which can impede the detection of urban gradients.



**Figure 11.**  $X_{CO_2}$  gradients in Munich on overpass days. Blue bars represent the gradients present in the OCO-2 target observations. Orange bars denote  $X_{CO_2}$  gradients captured by MUCCnet. On most days, OCO-2 sees elevated  $X_{CO_2}$  in the same region as the ground-based MUCCnet instruments. Error bars are computed using the combined standard deviations of the  $X_{CO_2}$  retrievals used for computing each gradient (see Eq. 6).

MUCCnet measurements. For the entire set of gradients OCO-2 achieved an RMSD of 0.31 ppm and a linear correlation with a strong correlation of  $R^2 = 0.68$  between OCO-2 and the MUCCnet measurements.

In particular, for west-center gradients (between TUM and Gräfelfing) spaceborne and ground-based  $X_{CO_2}$  gradients are highly correlated ( $R^2_{west-center} = 0.89$ ) with RMSD = 0.21 ppm. The spaceborne north-west and northcenter gradients have higher RMSDs and are moderately correlated ( $R^2_{north-west} = 0.39$ ,  $R^2_{north-center} = 0.54$ ) with the  $X_{CO_2}$  gradients measured by the MUCCnet spectrometers. For the north-west and north-center  $X_{CO_2}$  differences the RMSD is 0.33 and 0.36 ppm, respectively (compare Fig. 12). Due to the low sample size, the spaceborne  $X_{CO_2}$  gradients captured on 27 July and 12 August 2020 strongly impact the regression results for the north-west and north-center subsets. Here, north-west and north-center  $X_{CO_2}$  differences captured by OCO-2 are off by more than 0.5 ppm. During both overpasses, we observe a higher  $X_{CO_2}$  offset in the northern domain than in the other two domains (see Fig. 10). Due to the low absolute  $X_{CO_2}$  gradients that are captured during our study and the relatively low sample size, single-outlier overpasses have a strong impact on the regression results. Consequently, if we remove both outlier days of 27 July and 12 August, we achieve an overall improved RMSD and strong correlation for all subsets of gradients. These improved results



(a) All three subsets of  $X_{CO2}$  gradients show a strong correlation.





Figure 12. Linear regression results of spaceborne and ground-based  $X_{CO_2}$  differences. Depending on the subset of gradients we observe moderate to very strong correlation between ground-based and spaceborne gradients. These differences in agreement are caused by single outliers, which impact the regression results due to the small sample size and low absolute gradients in Munich.

are shown in Fig. B1 in the Appendix B. We expect more robust and definitive results for a larger sample size. It is important to be aware of the measurement context. Generally, we see better agreement in gradients for days with a high yield of good-quality soundings and good measurement conditions.

There is no tendency towards one observational method showing systematically higher or lower gradients than the other. On some days, MUCCnet measured greater  $X_{CO_2}$  enhancements in the suburban sites when compared to the OCO-2 observations, as is the case on 22 April 2020 and 1 March 2021. During the overpasses on 18 December 2020 and 2 February 2021, OCO-2 detected slightly higher  $X_{CO_2}$  gradients than MUCCnet.

The overall strong correlation shows that OCO-2 is capable of detecting similar mean  $X_{CO_2}$  differences as MUCCnet. Even though the spread of the spaceborne measurements in each domain is sometimes larger than the gradient itself, the  $X_{CO_2}$  means in each domain are robust enough to capture the small urban gradients between the domains from space. These results show that OCO-2 target observations capture valuable information about the spatial distribution of  $X_{CO_2}$  within one OCO-2 target field of view. If the measurement conditions are good, OCO-2 target mode can successfully capture urban  $X_{CO_2}$  gradients in Munich. It leads to the conclusion that OCO-2 is capable of detecting intra-urban  $X_{CO_2}$  fluxes and enhancements caused by anthropogenic activities on a sub-city scale. Hence, OCO-2 target observations could find more use in assessing area sources of CO<sub>2</sub> from space.



Figure 13.  $X_{CO_2}$  captured by OCO-2 and MUCCnet on 18 December 2020.

#### 4.4 *X*<sub>CO</sub>, enhancements on 18 December 2020

18 December 2020 was the only overpass day on which ground-based center-west  $X_{CO_2}$  enhancements are greater than 1 ppm. During the 1 h overpass collocation time, CO<sub>2</sub> retrievals in Gräfelfing ( $X_{CO_{2}MUCCnet} = 416.8 \pm 0.43$ ) exceeded the mean  $X_{\text{CO}_2}$  in the Munich city center by  $\Delta X_{\rm CO_2 MUCCnet} = 1.3 \, \rm ppm$ . The center spectrometer measured  $X_{\rm CO_2 MUCCnet} = 415.5 \pm 0.36$  ppm during the overpass. On this day the collocated OCO-2 is in good agreement with its ground-based counterpart (see Fig. 13). Hence, OCO-2 observes similarly large enhancements of  $\Delta X_{\rm CO_2OCO-2} =$ 1.55 ppm in the west of Munich.  $X_{CO_2}$  enhancements between an upwind and a downwind measurement site are caused by natural and anthropogenic emissions as well as the subsequent atmospheric transport (Chen et al., 2016). We use ERA5 wind data within  $\pm 2 h$  of the overpass time to evaluate which of the measurement sites are positioned downwind and upwind during the overpass. As can be seen in Fig. 14, on 18 December 2020 mostly east and east-southeast winds with relatively low wind speeds of less than  $1.91 \,\mathrm{m\,s^{-1}}$  are reported. Thus, convective transport of anthropogenic CO<sub>2</sub> emissions in the urban center of Munich towards the west causes enhanced  $X_{CO_2}$  in the western comparison domain. Both ground-based and satellite measurements capture similar  $X_{CO_2}$  enhancements that are higher than usual.

The spatial distribution of  $X_{CO_2}$  in Munich is shown in Fig. 15. The lowest  $X_{CO_2}$  is measured in the southeast and north of Munich with increasingly higher mole fractions in the center. The highest  $X_{CO_2}$  is captured right at the western edge of the target field of view close to the MUCCnet site in Gräfelfing. Here, single soundings reach peak mole fractions of up to 418.6 ppm.

A qualitative comparison of the OCO-2 target overpass to the satellite retrievals shows that OCO-2 and the WRF-GHG produce a similar spatial distribution of urban  $X_{CO_2}$ during the overpass. The plots in Fig. 15 show both the  $X_{CO_2}$  captured by OCO-2 (left) and the  $X_{CO_2}$  generated via the WRF model (right). The gridded WRF results have an



Figure 14. ERA5 wind rose  $\pm 2 h$  of overpass time.

overall higher mean  $X_{CO_2}$  of  $X_{CO_2WRF} = 417.32 \pm 0.21$  ppm, while the satellite retrieves  $X_{CO_2OCO2} = 415.15 \pm 1.3$  ppm. Nonetheless, both approaches have the highest  $CO_2$  mole fractions in the west. A plume-like shape originating in the center of Munich extends westwards. OCO-2 captures a broader spread of  $X_{CO_2}$  in contrast to the more distinct plume shape generated by the WRF-GHG model. The lowest mole fractions are modeled and retrieved in the southeast and northeast. The spread of mole fractions that is captured by OCO-2 is considerably higher than the outputs of the WRF-GHG simulation. We qualitatively compare the  $X_{CO_2}$  differences captured by OCO-2 and MUCCnet to the  $X_{CO_2}$  enhancements of the WRF-GHG simulation. A mismatch in model wind speed and direction causes the area of maximum  $X_{CO_2}$  enhancements to be shifted to the north in the model data (see Fig. 15). The enhancements measured by the satellite and MUCCnet ( $\Delta X_{CO_2OCO-2} = 1.55$  ppm and  $\Delta X_{\rm CO_2 MUCCnet} = 1.23 \, \rm ppm$ ) are higher than the  $X_{\rm CO_2}$ gradients in the WRF-GHG simulation. The plume of the model originating from the southeast shows enhancements of up to around 0.5 ppm in the center of the plume (compare Fig. 15a). The satellite observations resemble the precise retrievals measured by the MUCCnet instruments better than the WRF-GHG model. We assume this underestimation of  $X_{CO_2}$  gradients to be caused by uncertainties in the annual emission inventory and transport uncertainties. Furthermore, the  $X_{CO_2}$  in the target observation is notably higher than on other days, indicating unusually high emissions in Munich on 18 December 2020, which cannot be replicated by a yearly averaged bottom-up emission inventory, while the spatial distribution is reproduced rather accurately. We recognize the potential of spaceborne  $X_{CO_2}$  retrievals to reduce the mentioned uncertainties in the model transport and emission inventories. These results suggest that for high gradients and cloud-free measurement conditions, OCO-2 target observations can be utilized for an accurate assessment of urban  $X_{CO_2}$  and its spatial distribution.



Figure 15. Gridded WRF-GHG outputs and OCO-2 target observation for 18 December 2020. Enhanced  $X_{CO_2}$  is predominantly captured in the center-west of Munich. Map source: Esri, Maxar, Earthstar Geographics, and the GIS User Community.

# 5 Conclusion

Comparisons between OCO-2 target measurements over Munich, Germany, and ground-based measurements performed by MUCCnet's reference instrument agree well for the analyzed time period with an RMSD value of 0.96 ppm. On all days, OCO-2 appears to be biased high with a mean offset of 0.7 ppm. This bias is similar to comparisons between OCO-2 and the TCCON site in Karlsruhe. In the by-site comparison we find a improved correlation and reduced RMSDs in all three spatially separated comparison domains (center, west, north) due to the smaller collocation area, which reduces the impact of potential spatial  $X_{CO_2}$  gradients in the target field on the validation results.

For the first time, sub-city-scale  $X_{CO_2}$  variations in the OCO-2 target measurements were cross-compared against collocated ground-based  $X_{CO_2}$  gradients captured by multiple MUCCnet sites. Due to the relatively small spatial  $X_{CO_2}$ differences of mostly below 0.5 ppm in Munich we were able to test the lower detection limits for sub-city-scale gradients. Even though OCO-2's proclaimed precision of 1 ppm is larger than most gradients we captured during our study, we found moderate to strong agreement between MUCCnet and OCO-2  $X_{CO_2}$  gradients as well as root mean square values of 0.21 to 0.36 ppm. For more than 90 % of the captured gradients, OCO-2 was able to detect the correct direction of the  $X_{CO_2}$  gradients. The overall low  $X_{CO_2}$  differences in Munich and the limited number of overpasses featured in this study make it hard to draw more definitive conclusions for now. We expect urban monitoring networks like MUCCnet to play a crucial role in validating spaceborne  $X_{CO_2}$  gradients of wide-swath CO2 monitoring missions in the future. It

will be interesting to see how OCO-2 and OCO-3 will perform against similar setups in megacities and larger industrial areas.

Finally, the qualitative comparisons to WRF model data on 18 December 2020 reveal a matching spatial distribution of target and model  $X_{CO_2}$ . Emissions in the city center are transported westwards and cause enhanced  $X_{CO_2}$  close to the western MUCCnet site in Gräfelfing. This points to OCO-2's potential to locate highly potent emission sources and provide valuable insight for future model development.

All things considered, we see the potential of OCO-2 to provide vital information about urban gradients in cities, agglomerated areas, and other potent CO<sub>2</sub> emitters around the globe that further improves the understanding of the relevance of anthropogenic urban emissions for our climate. We hope that the measurement of urban  $X_{CO_2}$  gradients from space will be a powerful tool for evaluating urban anthropogenic emission globally. Further comparisons of OCO-2 target observations to ground-based monitoring networks are beneficial to better understand OCO-2's capability to assess point and area sources from space.

### Appendix A

Figure A1 shows the daily mean  $X_{CO_2}$  measured by OCO-2 in each comparison domain versus the collocated MUCCnet instrument. In target observations taken on 23 June and 27 July 2020 strong site-to-site  $X_{CO_2}$  differences are visible in the OCO-2 data, while MUCCnet observes little to no  $X_{CO_2}$  differences between its sites. On 12 August and 9 November, the opposite is true and MUCCnet captures higher  $X_{CO_2}$  enhancements than OCO-2.

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Figure A1. Daily  $X_{CO_2}$  by-site comparison results.

### **Appendix B**



**Figure B1.** Linear regression results of spaceborne and ground-based  $X_{CO_2}$  enhancements when gradients captured on 18 August and 27 June 2020 are removed from the set. When removing overpasses with a high difference in daily by-site offsets, which impedes the correct detection of urban gradients, we obtain a strong to very strong correlation between OCO-2 and MUCCnet gradients.

*Code and data availability.* The following dataset was featured in our studies: https://doi.org/10.5067/E4E140XDMPO2 (OCO-2 Science Team/Michael Gunson, Annmarie Eldering, 2020). All PROF-FAST retrieval files and WRF-GHG outputs are stored locally on the ESM cloud server and are available by request. Plots are generated using the Python plotly library.

Author contributions. MR wrote the paper in cooperation with JC. MK, XZ, FD, GO, and FH edited the paper. FD and JC set up the ground-based remote sensing network in Munich (MUCCnet) that provides the EM27/SUN datasets. MK and GO guided the research with their expertise on the OCO ACOSv10 datasets. MK provided up-to-date TCCON comparison results. XZ set up the WRF model framework in Munich and provided us with the WRF-GHG datasets. MM set up and automated the PROFFAST retrieval for all five measurement sites in Munich. MR conducted collocation and validation data processing as well as visualization of our results. FH provided us with valuable information about the PROFFAST

retrieval and post-correction. JC, MK, and FD supervised the research.

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