

Atmospheric Measurement Techniques Discussions is the access reviewed discussion forum of *Atmospheric Measurement Techniques*

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Cloud sensitivity studies for stratospheric and lower mesospheric ozone profile retrievals from measurements of limb scattered solar radiation

T. Sonkaew^{1,2}, V. V. Rozanov¹, C. von Savigny¹, A. Rozanov¹, H. Bovensmann¹, and J. P. Burrows¹

¹Institute of Environmental Physics, University of Bremen, Otto-Hahn-Allee 1, 28359 Bremen, Germany

²Science Faculty, Lampang Rajabhat University, 119 Lampang-Maeta Rd., Lampang, 52100, Thailand

Received: 22 December 2008 – Accepted: 7 January 2009 – Published: 24 February 2009

Correspondence to: T. Sonkaew (thiranan@iup.physik.uni-bremen.de)

Published by Copernicus Publications on behalf of the European Geosciences Union.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Abstract

Clouds in the atmosphere play an important role in reflection, absorption and transmission of solar radiation affecting trace gas retrievals. The main goal of this paper is to examine the sensitivity of stratospheric and lower mesospheric ozone retrievals from limb-scattered radiance measurements to clouds using the SCIATRAN radiative transfer model and retrieval package. Assuming an aerosol-free atmosphere and Mie phase functions for cloud particles, we compute the relative error of ozone profile retrievals in a cloudy atmosphere if clouds are neglected in the retrieval. To access altitudes from the lower stratosphere up to lower mesosphere, we combine the retrievals in the Chappuis and Hartley ozone absorption bands. We find significant cloud sensitivity of the limb ozone retrievals in the Chappuis bands at lower stratospheric altitudes. The relative error in the retrieved ozone concentrations gradually decreases with increasing altitude and becomes negligible above about 40 km. The parameters with the largest impact on the ozone retrievals are cloud optical thickness, ground albedo and solar zenith angle. Clouds with different geometrical thicknesses or different cloud altitudes have a similar impact on the ozone retrievals for a given cloud optical thickness value, if the clouds are outside the field of view of the instrument. The effective radius of water droplets has a small influence on the error, i.e., less than 0.5% at altitudes above the cloud top height. Furthermore, the impact of clouds on the ozone profile retrievals was found to have a rather small dependence on the solar azimuth angle (less than 1% for all possible azimuth angles). For the most frequent cloud types the total error is below 6% above 15 km altitude, if clouds are completely neglected in the retrieval. Neglecting clouds in the ozone profile retrievals generally leads to a low bias for a low ground albedo and to a high bias for a high ground albedo, assuming that the ground albedo is well known.

AMTD

2, 379–438, 2009

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



1 Introduction

Clouds play an important role in the Earth's atmosphere. The tropospheric cloud coverage is about 60% at any given time and 7% of the total tropospheric volume is occupied by clouds (Lelieveld et al., 1989; Pruppacher and Jaenicke, 1995). Clouds interact with incoming solar radiation and long wavelength radiation emitted by the Earth, thus affecting the atmospheric energy budget and atmospheric photochemistry.

Tropospheric clouds affect the scattering and penetration of solar photons in the atmosphere (Vanbauce et al., 2003; Rozanov and Kokhanovsky, 2004, e.g.). The scattering process impacts trace gas retrievals from satellite instruments or ground based measurements (e.g., Erle et al. (1995); Rozanov and Kokhanovsky (2008) and references therein).

Satellite observations of the scattered solar radiation in limb viewing geometry have become one of the standard techniques to measure stratospheric profiles of ozone and other minor constituents (McPeters et al., 2000; von Savigny et al., 2003; Haley et al., 2004; Rault, 2004, e.g.). The limb-scatter observation geometry is characterized by a complex radiative transfer, because the multiple scattering or diffuse radiation contribution to the observed limb radiances can be significant (Oikarinen et al., 1999). It is strongly affected by surface albedo and clouds, especially in the spectral ranges with small gaseous absorption. Although several recent studies presented detailed error analyses for stratospheric ozone profile retrievals from limb-scatter measurements (Haley et al., 2004; Loughman et al., 2005; von Savigny et al., 2005a), the effect of tropospheric clouds on the retrievals has not yet been comprehensively described, or has been approximated by a high surface albedo in combination with an elevated Earth surface (Haley et al., 2004, e.g.).

The main goal of this study is to investigate the effect of tropospheric clouds on the retrieval of ozone profiles in the stratosphere and the lower mesosphere from satellite measurements of the scattered solar radiation in limb viewing geometry. Using the numerical simulation method the errors in the retrieved ozone profiles occurring when

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



neglecting the tropospheric clouds in the retrieval process are analyzed in dependence of the cloud optical and geometrical parameters, surface albedo, and viewing geometry (solar zenith and azimuth angles). In the framework of this study the following cloud parameters are considered: cloud optical thickness (τ), cloud top height, effective radius of water droplets (r_e), and cloud geometrical thickness.

The structure of this paper is as follows. The methodology of our investigation and the software package SCIATRAN, which was used to obtain all presented results, are briefly discussed in Sect. 2. Section 3 briefly describes the synthetic measurements used to derive the stratospheric and lower mesospheric ozone profiles from limb-scattered solar radiation exploiting both the Hartley and the Chappuis bands of ozone. In Sect. 4, the retrieval algorithm is summarized and the method to obtain the ozone retrieval error caused by neglecting clouds in the retrieval process is introduced. A method to estimate ozone retrieval errors based on a linear approximation is developed in Sect. 5. The atmospheric, surface and cloud scenarios employed throughout the study are described in Sect. 6. Section 7 discusses the reduction in cloud sensitivity of ozone profile retrievals if a wavelength triplet is used rather than single wavelengths. In Sect. 8, the influence of the tropospheric clouds on the ozone profiles retrieved from limb-scatter measurements in both Hartley and Chappuis absorption bands is investigated. The sensitivity studies are shown in terms of relative percentage errors of ozone profiles retrieved assuming a cloud-free atmosphere, although the (synthetic) measurements are made in a cloudy atmosphere. Finally, all results are summarized in the last section.

2 Methodology

Due to a lack of detailed information on cloud properties in the observed part of the Earth's atmosphere only qualitative investigations of the impact of tropospheric clouds on the accuracy of the ozone vertical profile retrieval are possible when real measured data are used. In this study we employ the end-to-end numerical simulation tech-

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



nique allowing us to quantify the impact of tropospheric clouds of different kinds on the retrieval accuracy of ozone profiles in the stratosphere and lower mesosphere. The conceptual flow of our investigations is as follows:

- we formulate the main scenario for the clear and cloudy atmosphere including the vertical profiles of pressure, temperature, ozone number density, and surface albedo
- we formulate cloud scenarios including different cloud optical thicknesses, effective radii of the water droplets, cloud vertical extent and cloud top heights
- using the radiative transfer code, we calculate the limb radiance spectra for these scenarios in the Hartley and Chappuis spectral absorption bands
- the simulated limb radiance spectra for cloudy conditions are considered as synthetic experimental data
- the vertical profile of ozone concentration is obtained ignoring cloudiness in the retrieval process
- the retrieval errors are obtained for each cloud scenario comparing the retrieved ozone profile with the vertical distribution used for simulations (the true profile)

The described end-to-end approach requires the usage of appropriate algorithms to simulate the limb radiance spectra and to retrieve the ozone vertical profile. For this purpose we have employed the software package SCIATRAN 2.2 (Rozanov et al., 2005b; Rozanov, 2008) which incorporates a suitable radiative transfer model and the retrieval algorithm routinely run at the Institute of Environmental Physics of the University of Bremen to retrieve the vertical distributions of ozone in the stratosphere and the lower mesosphere from SCIAMACHY limb measurements. Throughout this study, the forward modeling is performed in an approximative spherical mode employing the combined differential-integral (CDI) approach (Rozanov et al., 2001). In the

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



framework of this approach the outgoing radiance is calculated integrating the contributions from both single and multiple scattering along the instrument line of sight intersecting a spherical shell atmosphere. The singly scattered solar radiation is considered fully spherically whereas the multiple scattering contribution is approximated for each point at the line of sight solving the pseudo-spherical radiative transfer equation for a proper atmospheric location and illumination. The pseudo-spherical solution is obtained employing the discrete-ordinate method similar to that described by Siewert (2000). The weighting functions are calculated employing the forward-adjoint technique as described by Rozanov (2006).

The SCIATRAN software package has been validated with respect to other radiative transfer codes (Loughman et al., 2004; Hendrick et al., 2006; Wagner et al., 2007) and successfully employed to retrieve vertical profiles of atmospheric trace gases from measurements of the scattered solar radiation performed by the SCIAMACHY instrument in limb viewing geometry (Rozanov et al., 2005a; Bracher et al., 2005; von Savigny et al., 2005b; Butz et al., 2006; Rozanov et al., 2007).

3 Forward simulations

The vertical distribution of ozone number density in the Earth's atmosphere using spectroscopic measurements in the UV-Visible spectral range is commonly retrieved exploiting the Hartley, Huggins, or Chappuis ozone absorption bands. The Hartley bands in the UV have been employed by Rusch et al. (1983) to retrieve lower mesospheric ozone profiles from measurements with the UV spectrometer on SME (Solar Mesosphere Explorer). More recently, the Hartley bands were used by Rohen et al. (2006) to retrieve ozone profiles in the upper stratosphere and lower mesosphere from SCIAMACHY limb scatter measurements. Ozone absorption in the Huggins bands of ozone was exploited for profile retrievals from limb-scatter measurements with SOLSE/LORE (Shuttle Ozone Limb Scattering Experiment/Limb Ozone Retrieval Experiment) flown on the space shuttle in 1997 and 2003 (Flittner et al., 2000; McPeters et al., 2000).

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



The Chappuis bands in the visible have been used by Flittner et al. (2000), McPeters et al. (2000), von Savigny et al. (2003), Haley et al. (2004), Rault (2004), Rozanov et al. (2007), Roth et al. (2007) and Tukiainen et al. (2008) to retrieve ozone profiles in the stratosphere from measurements with SOLSE/LORE, OSIRIS (Optical Spectrograph and InfraRed Imager System) on Odin, SAGE III (Stratospheric Aerosols and Gas Experiment) on Meteor-3, and SCIAMACHY. In this study, we combine the observations in the Hartley and Chappuis absorption bands to retrieve the vertical distributions of ozone in both the stratosphere and the lower mesosphere. A similar approach was recently applied to OSIRIS limb scatter measurements (Degenstein et al., 2008), combining spectral information from the Hartley, Huggins and Chappuis bands in a simultaneous ozone profile retrieval.

In the Hartley absorption band, where the measured limb radiance is mostly sensitive to the ozone amount in the 35–70 km altitude range, a discrete set of wavelengths is selected similarly to Rohen et al. (2006) avoiding Fraunhofer lines and dayglow emissions, namely, 264, 267.5, 273.5, 283, 286, 288, 290, and 305 nm. To increase the signal to noise ratio the limb radiance is averaged over 2 nm spectral intervals around each central wavelength. The UV wavelength set used in this study is somewhat shorter as compared to Rohen et al. (2006), in particular, we have skipped the three shortest (250, 252, and 254 nm) and the two longest (307 and 310 nm) wavelengths. The former do not change the information content of the entire data set much and are strongly noise contaminated whereas the latter are substantially affected by the multiple scattering and surface reflection. To reduce the impact of instrument calibration errors as well as of light scattering in the lower atmospheric layers, the limb radiance profiles at each wavelength are normalized by the limb radiance measured at an upper tangent height which is commonly referred to as the reference tangent height:

$$I_N(\lambda_k, h_i) = \frac{I(\lambda_k, h_i)}{I(\lambda_k, h_r)}. \quad (1)$$

Here, $I(\lambda_k, h_i)$ and $I(\lambda_k, h_r)$ denote the limb radiance at 8 wavelengths listed above, $\lambda_k, k \in \{1, \dots, 8\}$ at the current, h_i , and the reference, h_r , tangent heights, respec-

**Cloud sensitivity of
ozone retrievals**

T. Sonkaew et al.

[Title Page](#)
[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

tively. At each wavelength only limb radiances observed in a selected tangent height range are used. Table 1 shows the lowest, h_{low} , and the reference, h_r , tangent heights for each wavelength whereas the highest tangent height is always defined by the uppermost tangent height below the reference.

5 The spectral information obtained in the visible spectral range is treated employing the triplet approach following Flittner et al. (2000) and von Savigny et al. (2003) which exploits the limb radiance profiles at three wavelengths: $\lambda_1=525$ nm at a relatively weak ozone absorption in the short-wavelength wing of the Chappuis band, $\lambda_2=602$ nm at a strong ozone absorption near the center of the Chappuis band, and $\lambda_3=675$ nm at a weaker
10 ozone absorption in Wulf bands. Similarly to UV wavelengths the limb radiance is averaged over 2 nm spectral intervals around each central wavelength and normalized by the limb radiance at the reference tangent height. The lowest, h_{low} , and the reference, h_r , tangent heights for the visible wavelengths are also listed in Table 1. Furthermore, the normalized limb radiance profiles are combined resulting in the so-called Chappuis triplet:

$$I_{Ch}(h_i) = \frac{I_N(\lambda_2, h_i)}{\sqrt{I_N(\lambda_1, h_i) I_N(\lambda_3, h_i)}}. \quad (2)$$

Both the normalized limb radiances at UV wavelengths and the Chappuis triplet as given by Eqs. (1) and (2), respectively, will be denoted further as $y(h_i)$. For simplicity reasons we omit the explicit notation of the wavelength dependence.

20 Employing the SCIATRAN radiative transfer model, limb radiance spectra are generated in a cloudy and cloud-free atmosphere for all considered wavelengths and tangent heights. The corresponding combinations of the limb radiances will be denoted as $y_c(h_i)$ for cloudy and $y_f(h_i)$ for cloud-free conditions.

4 Retrieval method and errors associated to clouds

Obviously, the limb radiance in the considered spectral range depends on the vertical distribution of ozone in the atmosphere. Thus, any variation of the ozone number density in the altitude range where the applied measurement technique is sensitive, leads to a variation of the limb radiance detected by the instrument. Applying the Taylor series expansion, the limb radiance corresponding to the perturbed ozone profile $n'(z) = n(z) + \delta n(z)$ can be written as follows:

$$y'_{c,f}(h_i) = y_{c,f}(h_i) + \int_0^H \varpi_{c,f}(h_i, z) \delta n(z) dz + \varepsilon. \quad (3)$$

Here, $y_{c,f}(h_i)$ is calculated using the unperturbed ozone vertical profile $n(z)$ for a cloudy (subscript c) or for a cloud-free (subscript f) atmosphere, H is the top of atmosphere altitude, $\delta n(z) = n'(z) - n(z)$ is the variation of the ozone number density profile, $\varpi_{c,f}(h_i, z)$ is the variational derivative of the appropriate limb radiance combination, as given by Eqs. (1) or (2), with respect to the ozone number density, which is commonly referred to as the weighting function (see Rozanov (2006) for further details):

$$\varpi_{c,f}(h_i, z) = \frac{\delta y_{c,f}(h_i)}{\delta n(z)}, \quad (4)$$

and ε is the linearization error containing the contributions of higher-order terms of the Taylor series.

If the cloudiness in the Earth's atmosphere is treated properly, the perturbed vertical profile of ozone can formally be obtained from the corresponding radiances as follows:

$$\hat{n}'_c(z) = n(z) + \mathcal{R}_c[y'_c(h_i) - y_c(h_i)], \quad (5)$$

where \mathcal{R}_c is the inverse operator whose explicit form depends on the applied retrieval algorithm. In particular, a nonlinear Newtonian iterative retrieval approach of the optimal estimation (Rodgers, 1976) type is employed throughout this study.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



In a common retrieval process $y'_c(h_i)$ is obtained as a combination of the measured limb radiances whereas $y_c(h_i)$ is simulated with the forward model using the unperturbed profile $n(z)$. However, due to a lack of information about the cloud parameters in the observed scene it is usually impossible to simulate $y_c(h_i)$ and \mathcal{R}_c properly. The easiest way to avoid this problem is to neglect the clouds in the retrieval process assuming a cloud-free atmosphere when simulating the limb radiances and obtaining the inverse operator. This results, however, in a different estimation (compared to Eq. 5) for the perturbed vertical distribution of ozone:

$$\hat{n}'_f(z) = n(z) + \mathcal{R}_f[y'_c(h_i) - y_f(h_i)], \quad (6)$$

where, in contrast to \mathcal{R}_c , the inverse operator \mathcal{R}_f is obtained assuming a cloud-free atmosphere. Obviously, the absolute error in the retrieved vertical distributions of ozone occurring due to this approximation can be estimated as follows:

$$\Delta n(z) = \hat{n}'_f(z) - n'(z), \quad (7)$$

where $n'(z)$ is the true perturbed vertical profile of ozone which is known since the numerical simulation technique is used. For simplicity reasons, the numerical experiments are performed throughout this study without any perturbation of the ozone number densities, i.e., $n'(z)=n(z)$ and $\delta n(z)=0$. Hence, as follows from Eq. (3), $y'_c(h_i)=y_c(h_i)$, and Eq. (6) results in

$$\hat{n}'_f(z) = n(z) + \mathcal{R}_f[y_c(h_i) - y_f(h_i)]. \quad (8)$$

Substituting $\hat{n}'_f(z)$ as given by Eq. (8) into Eq. (7) and taking into account that $n'(z)=n(z)$, we obtain

$$\Delta n(z) = \mathcal{R}_f [y_c(h_i) - y_f(h_i)], \quad (9)$$

where $\Delta n(z)$ characterizes the absolute retrieval error (retrieved minus true ozone number density) caused by neglecting the cloudiness in the retrieval process. In the following sections we consider the relative error of the ozone vertical profile retrieval as given

by

$$r(z) = \frac{\Delta n(z)}{n(z)} = \frac{1}{n(z)} \mathcal{R}_f \left[y_c(h_i) - y_f(h_i) \right]. \quad (10)$$

5 Approximative approach to estimate the retrieval errors

In the previous section we have described an approach allowing us to calculate the error in the retrieved ozone profiles associated with the neglect of clouds in the retrieval process. Unfortunately, the final expression for this error, Eq. (9), contains the inverse operator, \mathcal{R}_f , which, for the problem under consideration, does not have an analytical representation. Thus, for each considered atmospheric scenario the inverse problem needs to be solved numerically. Furthermore, the complicated relation between the observed limb radiance and the retrieved concentrations does not allow the obtained results to be easily explained. In this section, we derive an approximate expression which establishes a simple relation between the observed quantities and the retrieval error of the ozone profiles allowing the latter to be estimated without solving the inverse problem.

One of the objectives of our discussion below is to illustrate how the normalization and combination of the limb radiances into the Chappuis triplet affects the sensitivity of the retrieval error to the tropospheric clouds. For this reason, in addition to the Chappuis triplet, we consider also the absolute limb radiance (i.e., not normalized) when discussing the approximative approach to estimate the retrieval error. On the other hand, it is clear that, because of the stronger extinction of the atmosphere, much less light penetrates down to the troposphere in the UV spectral region as compared to the visible range. Thus, the expected sensitivity of limb observations to tropospheric clouds is much weaker in the UV spectral range. For this reason, we do not consider the UV wavelengths when discussing the approximative retrieval error. Please note, this does not apply when calculating the error employing the end-to-end numerical approach according to Eqs. (9) or (10). For further considerations, let us introduce a

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



new notation, $S_{c,f}(h_i)$, which will denote both the Chappuis triplet and absolute limb radiance for a cloudy and a cloud-free atmosphere, respectively. Further in the scope of this paper $S_{c,f}(h_i)$ will be referred to as the limb signal.

Generally, retrieval errors due to neglect of clouds occur because the presence of clouds in the atmosphere causes similar changes in the limb signal as perturbations of the ozone vertical distribution. Thus, this retrieval error can be estimated employing Eq. (3) for a cloud-free atmosphere and replacing the limb signal corresponding to a perturbed ozone profile by the limb signal for a cloudy atmosphere and unperturbed vertical distribution of ozone:

$$S_c(h_i) = S_f(h_i) + \int_0^H \varpi_f(h_i, z) \delta n(z) dz \quad (11)$$

or

$$\int_0^H w_f(h_i, z) r_\delta(z) dz = \Delta S(h_i) . \quad (12)$$

Here, $\Delta S(h_i) \equiv S_c(h_i) - S_f(h_i)$, $r_\delta(z) = \delta n(z)/n(z)$ is the relative variation of the ozone number density and $w_f(h_i, z)$ is the corresponding weighting function which is usually referred to as the relative weighting function. Clearly, the relation between the relative and absolute weighting functions is given by

$$w_{c,f}(h_i, z) = \varpi_{c,f}(h_i, z) n(z) . \quad (13)$$

Please note that, though the same notation is used, the weighting functions for the absolute limb radiance and for the Chappuis triplet are different. Assuming the relative error of the ozone profile retrieval to be independent of altitude it can be easily shown, employing Eq. (12), that:

$$\bar{r}_\delta(h_i) = \frac{\Delta S(h_i)}{W_f(h_i)} , \quad (14)$$

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



where $W_f(h_j)$ is the vertically integrated weighting function defined by

$$W_f(h_j) = \int_0^H w_f(h_j, z) dz . \quad (15)$$

Thus, as follows from Eq. (14), the approximative relative error in the ozone vertical distributions retrieved neglecting clouds in both forward model and the retrieval approach is proportional to the difference between the limb signals in a cloudy and a cloud-free atmosphere. This conclusion is in line with the results of the end-to-end numerical treatment given by Eq. (10).

A further simplification can be obtained using an approximate representation for $\Delta S(h_j)$ in Eq. (14). To obtain this approximation let us first expand the limb signal in a Taylor series similar to Eq. (3) restricting our consideration to linear terms:

$$S_{c,f}(n') = S_{c,f}(n) + \int_0^H w_{c,f}(z) \frac{\delta n(z)}{n(z)} dz . \quad (16)$$

Assuming that in the perturbed state no ozone is present in the atmosphere, i.e., the perturbed vertical profile, $n'(z)$, is equal to zero, the relative variation of the ozone concentration is written as

$$\frac{\delta n(z)}{n(z)} = \frac{0 - n(z)}{n(z)} = -1 \quad (17)$$

and Eq. (16) results in

$$S_{c,f}(0) = S_{c,f}(n) - \int_0^H w_{c,f}(z) dz , \quad (18)$$

where $S_{c,f}(n)$ and $S_{c,f}(0)$ are the limb signals with and without ozone absorption, respectively. Employing Eq. (18) the difference between the limb signals in a cloudy and

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



a cloud-free atmosphere can be expressed as follows:

$$S_c(n) - S_f(n) = [S_c(0) - S_f(0)] + [W_c - W_f] . \quad (19)$$

or

$$\Delta S(n) = \Delta S(0) + \Delta W . \quad (20)$$

5 The first term on the right-hand side of Eq. (20) describes the variation of the limb signal due to the enhanced reflection of solar radiation by clouds in a non-absorbing atmosphere and the second term represents the variation of the gaseous absorption caused by changes in photon path lengths in a cloudy atmosphere. Substituting $\Delta S(n)$ as given by Eq. (20) into Eq. (14), the following expression for the approximate retrieval error is obtained:

$$\hat{r}_\delta(h_j) = \frac{\Delta S(h_j; 0) + \Delta W(h_j)}{W_f(h_j)} . \quad (21)$$

Although this equation provides a very convenient tool to analyze the retrieval error and allows the absorption by atmospheric trace gases and the reflection of light by clouds to be considered independently, it is affected by the quite strong limitation of the assumed linearity. In Sect. 7 we will consider a few examples showing that under
15 certain conditions this limitation can lead to completely wrong results when estimating the retrieval error using Eq. (21).

6 Atmospheric and cloud scenarios

Throughout this study, the limb radiance is simulated in selected spectral intervals considering Rayleigh scattering, ozone absorption, and scattering of light in clouds. The surface reflection is assumed to be Lambertian and the ground albedo is set to 0.1, 0.3, 0.5, and 0.9. As only the cloud effect on the ozone profile retrieval will be
20 focused on here, no aerosols are taken into account. The vertical profiles of pressure,

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



temperature and ozone number density were taken from Prather and Remsberg (1993). The solar zenith angle (SZA) and azimuth angle (SAA) defining the viewing geometry are given at the tangent point.

In the Earth's atmosphere clouds occur in three different thermodynamic states: water, ice, and mixed states. Unlike the water clouds, the microphysical properties of the ice clouds cannot be characterized by a single shape and particle-size distribution, because the size, shape, orientation and internal structure of the ice particles in crystalline clouds can be very different (Kokhanovsky, 2004). Fortunately our preliminary investigations have shown that the ozone profile retrieval is mainly affected by the cloud optical thickness rather than by the thermodynamic state of the cloud. Therefore, only water clouds are considered here. Furthermore, the cloud droplets are assumed to be spherical and Mie calculations are used to establish the scattering phase functions and scattering coefficients. The clouds are considered to be homogeneous.

The classification of water clouds in this study is based on the definitions of the International Satellite Cloud Climatology Project (ISCCP) and depends on the cloud optical thickness, τ , and cloud top pressure. In this paper, the cloud top pressure is converted to the cloud top height using the pressure profiles mentioned above. For simplicity reasons, the top and bottom heights resulting from this transformation for each cloud type were shifted up- and downwards, respectively, to match the internal altitude grid levels of the forward model. As a result, in the cloud classification used throughout this study, shown in Table 2, the clouds of different types overlap, which is not the case in the original ISCCP classification. However, for our study there are no disadvantages associated with this overlap.

Table 3 provides an overview of the sets of cloud parameters used for each considered cloud scenario. The following abbreviations are used in the table and in the text below: τ is the cloud optical thickness, r_e denotes the effective radius of water droplets, the notation "A" is used for the Lambertian surface albedo, and the viewing geometry is defined by the solar zenith angle (SZA) and the solar azimuth angle (SAA). The angles are defined at the tangent point.

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



7 Absolute radiance vs. Chappuis triplet

In this section we employ the approximate relations for the retrieval error obtained in Sect. 5 to analyze how the combination of the limb radiances into the Chappuis triplet affects the sensitivity of the ozone profile retrievals to the tropospheric clouds. Furthermore, the validity of the linearity assumption employed to separate the contributions of the atmospheric absorbers and the reflection of the solar light by the clouds, see Eq. (21), is investigated.

As seen from Eq. (21), the magnitude and sign of the retrieval error depends on the relationship between the terms $\Delta S(h_i; 0)$ and $\Delta W(h_i)$ which can be significantly different for the absolute limb radiance and for the Chappuis triplet. This is illustrated in Fig. 1. The left panel of the figure shows the contributions of $\Delta S(h_i; 0)/W_f(h_i)$ and $\Delta W(h_i)/W_f(h_i)$ to the total retrieval error for the absolute limb radiance at 602 nm whereas the right panel shows the same for the Chappuis triplet. The calculations were performed for the following scenario: cloud extension 4–7 km, $\tau=10$, $r_e=8\ \mu\text{m}$, $A=0.3$, $\text{SZA}=30^\circ$ and $\text{SAA}=90^\circ$. As clearly seen, the impact of clouds is much larger for the absolute limb radiance as compared to the Chappuis triplet. For the absolute limb radiance, as shown in the left panel of Fig. 1, the contribution due to the first term in Eq. (21) dominates. This means that, in this case, the enhanced reflection of the solar light in a cloudy atmosphere is the main source of errors in the retrieved ozone profile occurring due to neglecting tropospheric clouds in the retrieval process. On the contrary, the contribution of the first term is negligible for the Chappuis triplet, as shown in the right panel of Fig. 1. Thus, in this case, the error in the retrieved ozone profiles is mainly due to the differences in the gaseous absorption associated with changes in photon path lengths in a cloudy atmosphere.

Figures 2 and 3 illustrate the impact of the linearity assumption used to derive the linearized representation for the approximative retrieval error as given by Eq. (21). The results are shown for the absolute limb radiance at 602 nm (left panels) and for the Chappuis triplet (right panels) for different values of surface albedo. Figure 2 shows the

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Cloud sensitivity of
ozone retrievals**

T. Sonkaew et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

approximative error of the ozone profile retrieval calculated with and without linearity assumption according to Eqs. (21) and (14), respectively, for the surface albedo of 0.3 and the same cloud parameters and viewing geometry as described above. Figure 3 shows the same errors but for a surface albedo of 0.9. As seen from the plots, under certain conditions (e.g., high surface albedo) the linearization error for the Chappuis triplet is so high that not even the correct sign of the approximative error is reproduced.

The obtained results demonstrate that combination of the limb radiances into the Chappuis triplet leads to a significant decrease of the cloud impact on the retrieval process. On the other hand, this combination can increase the non-linearity of the problem making unusable the linear representation for the approximative error as given by Eq. (21). Therefore, this representation is not used in the discussion below. Instead, the dependence of the retrieval error on the cloud parameters is discussed in the next section employing much more robust expression for the approximative error as given by Eq. (14).

8 Investigation of the retrieval errors

In this section the errors in the retrieved vertical distributions of ozone occurring due to neglecting tropospheric clouds in the retrieval process are analyzed employing the full end-to-end numerical approach for different cloud scenarios and viewing geometries. The obtained results are explained using the approximative representation of the retrieval error obtained above, Eq. (14).

An example of the ozone vertical profile obtained neglecting tropospheric clouds in the retrieval process, as described by Eq. (8), is shown in Fig. 4 in comparison with the true vertical distribution of ozone. The cloud parameters and the observation geometry are the same as in Fig. 1. As the plot shows, the effect of the tropospheric clouds appear as a small underestimation of the ozone number density in the altitude region below 30 km whereas in the upper layers, where most of the information originates from the UV wavelengths, the ozone retrieval is relatively insensitive to clouds. As shown

below, this low bias in the retrieved ozone concentrations at lower altitudes is typical for neglecting tropospheric clouds in the retrieval process for most cloud scenarios.

8.1 Cloud optical thickness

Figure 5 shows the sensitivity of ozone profile retrievals to tropospheric clouds for different cloud optical thicknesses, τ , and different altitudes of the cloud layer. The solar zenith and azimuth angles are set to 30° and 90° , respectively. The sensitivity is expressed in terms of the relative percentage error according to Eq. (10). Panels (a) and (b) of Fig. 5 show the retrieval errors for the low and middle clouds, respectively, according to the classification given in Table 2. As seen from the plot, when being neglected in the retrieval process, low and middle clouds with the same optical thickness cause similar errors in the retrieved ozone profiles. Generally, the retrieval error caused by this type of clouds is up to about 5% at 10 km altitude decreasing with the increasing altitude and with the decreasing cloud optical thickness. The results for the high clouds are shown in panel (c) of Fig. 5 for the standard tangent height range, as given in Table 1, and in the panel (d) for the lowest tangent height set to 19 km, i.e., the lower tangent heights, where the cloud is in the instrument field of view, are excluded from the retrieval process. As an investigation of the retrieval error within the cloud is outside the scope of this study, the results for high clouds are shown only for the altitudes above the cloud layer, i.e., above 18 km. As clearly seen from the plots, the retrieval error reaching 17% at 18 km for the standard tangent height range ($h_{\text{low}}=9$ km) decreases to less than 3.5% if the lines of sight at which the cloud is in the field of view of the instrument are rejected ($h_{\text{low}}=19$ km).

For all cloud layer altitudes, the retrieval errors shown in Fig. 5 are mostly negative and decrease with increasing altitude as well as with decreasing cloud optical thickness. Thus, most typically, the ozone concentrations are underestimated if the tropospheric clouds are neglected in the retrieval process. However, for a small cloud optical thickness, the retrieval error can change its sign and become positive, as for example for low and middle clouds at $\tau=1$. These general dependencies can be explained con-

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



sidering the approximate retrieval error as given by Eq. (14).

The left panel of Fig. 6 shows the approximate retrieval error at different tangent heights calculated for a middle cloud (as in Fig. 5b). As can be seen from the plots, the approximate retrieval errors shown in Fig. 6a are in good qualitative agreement with the errors obtained using the full end-to-end numerical approach presented in Fig. 5b. In particular, the retrieval errors in both Figs. 5b and 6a change the sign between $\tau=1$ and $\tau=2$ and increase with the increasing optical thickness of the cloud for $\tau \geq 2$. As, according to Eq. (14), the approximate retrieval error is proportional to the difference in the limb signals for a cloudy and a cloud-free atmosphere, the dependence of the retrieval error on the cloud optical thickness can be analyzed considering the corresponding values of the Chappuis triplet. Figure 6b shows the Chappuis triplet for a cloudy atmosphere (for the same scenario as in Fig. 5b as a function of the cloud optical thickness as well as the Chappuis triplet and the integrated weighting function for a cloud-free atmosphere. Obviously, the Chappuis triplet in a cloudy atmosphere, y_C , increases with the increasing cloud optical thickness. Consistently with Fig. 6a, the Chappuis triplet value for a cloudy atmosphere at $\tau=1$ is smaller than the cloud-free value leading to a positive retrieval error (note that the integrated weighting function is negative) whereas the opposite behavior is observed for $\tau \geq 2$.

The dependence of the ozone retrieval errors on the optical depth of tropospheric clouds discussed above can be explained using the findings of Roebeling et al. (2005) and Liou (1973) who have discovered that the reflected solar radiation at visible wavelengths (630 nm and 700 nm, respectively) increases with the increasing τ , i.e., optically thicker clouds reflect more solar light. As demonstrated in Appendix A, a variation of cloud parameters causing an increase in the reflected solar radiation leads also to an increase in the Chappuis triplet values. Thus, the enhanced reflection due to optically thick clouds causes an increase in limb radiance leading, in turn, to larger values of the Chappuis triplet which results then in smaller ozone concentrations when retrieving the profiles neglecting the clouds. Clearly, optically thicker clouds reflecting more solar light affect the retrievals more strongly. This provides an explanation for the typical

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



dependence of ozone profile retrieval errors on cloud optical thickness and the general underestimation of ozone concentrations retrieved from limb-scatter observations neglecting tropospheric clouds in the retrieval process.

8.2 Effective radius of water droplets

5 In this section the dependence of the ozone profile retrieval error on the effective radius of water droplets within the cloud is investigated. The effective radius of water droplets, r_e , is defined as the ratio of the third moment to the second moment of the droplet size distribution (Hansen and Travis, 1974). The comparison is performed for a middle cloud (2–7 km) with an optical thickness of 10, a surface albedo of 0.3, as well as solar zenith and azimuth angles of 30° and 90°, respectively. Since the cloud optical thickness is fixed, the water droplet scattering phase function is the only parameter changing when the effective radius of water droplets is varied.

15 The sensitivity of the ozone vertical profile retrievals, performed neglecting tropospheric clouds, to the effective radius of water droplets within the cloud is illustrated in the left panel of Fig. 7. This figure demonstrates that the impact of clouds with small water droplets is slightly higher than for larger droplets. However, as the difference in the relative errors between the large (20 μm) and small (4 μm) water droplets less than 0.5%, one can conclude that the overall influence of the effective radius of water droplets on the ozone profile retrieval is rather small. Similar to the previous section, this dependence can be qualitatively explained considering the approximative retrieval error as given by Eq. (14). The middle panel of Fig. 7 shows the approximative retrieval error as a function of the effective radius of water droplets for three different tangent heights. It can be clearly seen, that the retrieval error decreases with the increasing radius of water droplets which is in line with the results presented in Fig. 7a. The right panel of Fig. 7 shows the Chappuis triplet for a cloudy atmosphere as a function of the effective radius of water droplets as well as the Chappuis triplet and the integrated weighting function for a cloud-free atmosphere. As clearly seen, the Chappuis triplet for a cloudy atmosphere decreases with increasing effective radius getting closer to

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



cloud-free values which results in smaller retrieval errors.

As shown by Kokhanovsky (2001), clouds having smaller water droplet effective radii reflect more solar radiation as compared to clouds consisting of larger water droplets if all other cloud parameters are identical. This can be explained by the fact that the scattering phase function of larger water droplets is peaked much more strongly in a forward direction as compared to the smaller droplets. Thus, the probability of the backward scattering (i.e., of the reflection) is lower for larger water droplets. As, according to our findings in Appendix A, the Chappuis triplet has a similar behavior as the reflected solar radiation, one can conclude that the results shown in Fig. 7 are in agreement with the finding of Kokhanovsky (2001). We note, however, that because of a different viewing geometry and a combination of the limb radiances into the Chappuis triplet the impact of the effective radius observed in this study is much smaller compared to the results presented by Kokhanovsky (2001).

8.3 Cloud top height and geometrical thickness

Further cloud parameters which can affect the retrieval error are the geometrical thickness and cloud top height. As shown by Rozanov and Kokhanovsky (2008) (and references therein) these parameters play a major role when retrieving the vertical columns of ozone from the measurements of backscattered solar radiation in nadir viewing geometry. In this section we analyze the impact of the cloud geometrical thickness and cloud top height on ozone vertical profiles retrieved from limb-scatter measurements. The calculations are performed for a cloud optical thickness of 10, an effective radius of water droplets of $8\ \mu\text{m}$, a surface albedo of 0.3, as well as for solar zenith and azimuth angles of 30° and 90° , respectively.

The left panel of Fig. 8 illustrates the ozone profile retrieval errors due to neglect of clouds in the retrieval process for different cloud top heights. The results are obtained for a fixed cloud geometrical thickness of 1 km. As seen from the plot, the dependence of the retrieval error on the cloud top height is insignificant for cloud layers below 7 km. Because of a finite field of view the instrument directly observes the atmosphere down

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



to about 7.5 km altitude at the lowest tangent height included in the retrieval (9 km). Thus, the reason for an increased dependence of the retrieval errors on cloud top height for the cloud layers above 7 km is that these clouds are already in the field of view of the instrument.

5 The right panel of Fig. 8 shows the ozone profile retrieval errors due to neglecting clouds in the retrieval process for different geometrical thicknesses of the cloud. In this comparison the cloud top height is fixed to 7 km. As clearly seen, in contrast to nadir observations considered by Rozanov and Kokhanovsky (2008) the retrieval error for limb-scatter measurements is almost independent of the geometrical thickness of the cloud. Please note that the cloud optical thickness is fixed in this comparison, i.e., it does not change with a changing geometrical thickness of the cloud. The main reasons for the differences with respect to nadir observations are the combination of the limb radiances into the Chappuis triplet suppressing the overall impact of clouds.

8.4 Ground albedo

15 All results presented above are obtained assuming a constant surface albedo of 0.3 in both forward model and the retrieval algorithm. In this section, we investigate the influence of the surface albedo on the ozone vertical profiles retrieved neglecting the tropospheric clouds. Throughout this study, the surface albedo is assumed to be known and the retrievals are performed using the correct values of the albedo, i.e., the surface albedo is the same when modeling the limb observations and retrieving the profiles. The calculations are performed for a middle cloud (2–7 km) with an optical thickness of 10, an effective radius of water droplets of $8 \mu\text{m}$, as well as for solar zenith and azimuth angles of 30° and 90° , respectively.

25 The left panel of Fig. 9 shows the relative error in the retrieved vertical distributions of ozone occurring for different surface albedo values when neglecting clouds in the retrieval process. As can be clearly seen, the impact of the surface albedo on the retrieval error is quite strong. In particular, the ozone concentrations are underestimated by up to 6.5% at 10 km altitude when neglecting clouds over dark surfaces ($A \sim 0.1$) whereas

**Cloud sensitivity of
ozone retrievals**

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



over bright surfaces ($A \sim 0.9$) an overestimation by up to 1.5% at 10 km is observed. Similar to all previous results, the retrieval error decreases with increasing altitude. In the considered case, the largest retrieval errors occur over the dark surfaces and the smallest effect is observed for a surface albedo of 0.5.

Similar to previous discussions, the obtained results can be explained considering the approximative retrieval error as given by Eq. (14). The middle panel of Fig. 9 shows the approximative retrieval error as a function of the surface albedo for three different tangent heights. We observe that the approximative error shows the same behavior as the retrieval errors resulting from the full end-to-end numerical approach shown in the left panel of the figure. Looking at Eq. (14) it is obvious that the behavior of the retrieval error can be analyzed considering the limb signals for a cloudy and a cloud-free atmosphere. The right panel of Fig. 9 shows the Chappuis triplet for a cloudy and a cloud-free atmosphere as well as the integrated weighting function for a cloud-free atmosphere as functions of surface albedo. The calculations were performed for a tangent height of 12 km. It can be clearly seen, that both the Chappuis triplet for a cloudy and a cloud-free atmosphere increase with increasing surface albedo. However, the dependence for cloud free conditions is much stronger. As, according to our findings in Appendix A, the Chappuis triplet has a similar behavior as the reflected solar radiation, it is clear that it should increase with increasing surface albedo because more solar light is reflected and this increase should be smaller for a cloudy atmosphere because the surface is partially screened by the cloud.

For the case under consideration, $y_f < y_c$ for low surface albedo leading to negative retrieval errors whereas $y_f > y_c$ for high surface albedo resulting in positive retrieval errors (note that the integrated weighting function is negative). Thus, there is an albedo value at which the Chappuis triplets for a cloudy and a cloud-free atmosphere are equal and vertical profiles of ozone are retrieved without any error. However, the Chappuis triplet for a cloudy atmosphere, y_c , depends not only on the surface albedo but also on the cloud optical thickness (see Fig. 6). Therefore, the curve representing y_c in Fig. 9c will be shifted upwards or downwards for clouds having optical thicknesses greater or

**Cloud sensitivity of
ozone retrievals**T. Sonkaew et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

**Cloud sensitivity of
ozone retrievals**

T. Sonkaew et al.

less than 10, respectively. Taking into account that the Chappuis triplet for a cloud-free atmosphere, y_f , is independent of the cloud optical thickness, one can conclude that the surface albedo value where $y_f \approx y_c$ (and the retrieval is done error-free) depends on the cloud optical thickness. This consideration shows that the retrieval error caused by neglecting clouds can be decreased including an effective surface albedo in the retrieval process. We note, that the mitigation of the impact of clouds on the ozone profile retrievals by fitting an effective ground albedo has been considered in e.g. Rault (2004); Roth et al. (2007).

It is also noteworthy that for typical measurements over land and ocean the surface albedo is low and, thus, the retrieved ozone concentrations are generally underestimated if tropospheric clouds are not considered in the retrieval process (see Fig. 9a). This may be one of the reasons for the low bias observed in the vertical distributions of the stratospheric ozone retrieved from the OSIRIS limb-scatter observations when comparing to POAM III (von Savigny et al., 2005a) and ACE (Dupuy et al., 2009) solar occultation measurements.

8.5 Solar zenith and azimuthal angles

In this section, we discuss the influence of the viewing geometry, defined by the solar zenith and azimuth angles, on the vertical distributions of ozone retrieved neglecting tropospheric clouds. Similar to previous investigations, all calculations are performed for a middle cloud (2–7 km) with an optical thickness of 10, an effective radius of water droplets of $8 \mu\text{m}$, and a surface albedo of 0.3.

In Fig. 10 the relative errors in the retrieved ozone profiles for different solar zenith angles and a fixed solar azimuth angle of 90° are shown in the left panel. The right panel of Fig. 10 shows the retrieval errors for different solar azimuth angles and a fixed solar zenith angle of 30° . As can be clearly seen, the retrieval error increases with increasing solar zenith angle for $\text{SZA} < 70^\circ$ and then starts to decrease for $\text{SZA} > 80^\circ$. For a solar zenith angle of 85° the retrieval errors of similar magnitude as for 50° are observed. The maximum retrieval error of about 7.5% at 10 km altitude occurs for

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

solar zenith angles between 70° and 80°. Between 30° and 70° SZA the retrieval error changes by about 5% at 10 km altitude. The influence of the solar azimuth angle on the ozone vertical profiles retrieved when neglecting tropospheric clouds is smaller than for the solar zenith angle and the maximum effect is observed at about 25 km altitude. For larger azimuth angles the errors in the retrieved ozone profiles are smaller.

Similar to the discussion in the previous sections, the observed dependencies can be explained considering the approximative retrieval error as given by Eq. (14). The left panel of Fig. 11 shows the approximative retrieval error as a function of the solar zenith angle at different tangent heights. We clearly observe, that the retrieval error is always negative and shows a maximum (in absolute values) between 70° and 80° solar zenith angle decreasing for lower and higher Sun which is in line with the results presented in Fig. 10a. A further analysis can be done considering the dependence on the solar zenith angle of the Chappuis triplets for a cloudy and for a cloud-free atmosphere as well as of the cloud-free integrated weighting function as shown in the right panel of Fig. 11. As clearly seen, both the Chappuis triplet for a cloudy and for a cloud-free atmosphere decrease with increasing solar zenith angle. The Chappuis triplet for a cloudy atmosphere is always larger than that for a cloud-free atmosphere resulting in an underestimation of the ozone concentrations retrieved neglecting the tropospheric clouds (the retrieval error is negative because of the negative integrated weighting function). As follows from the results presented among others by Liou (1973) and Kokhanovsky (2001) for the reflection function of clouds in the visible spectral range, the reflected solar radiation in a cloudy atmosphere decreases with increasing solar zenith angle. This explains the observed dependencies for the Chappuis triplet taking into account that, according to our findings in Appendix A, the Chappuis triplet has a similar behavior as the reflected solar radiation.

In addition, we investigated the ozone profile retrieval errors occurring when neglecting tropospheric clouds for the viewing geometries typical for the Scanning Imaging Absorption Spectrometer for Atmospheric CHartography (SCIAMACHY) and the Optical Spectrograph and Infrared Imager System (OSIRIS) instruments. A detailed de-

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



scription of the instruments is presented by Bovensmann et al. (1999) and Llewellyn et al. (2004), respectively. Both Envisat carrying the SCIAMACHY instrument and Odin with the OSIRIS instrument on board are in sun-synchronous polar orbits. SCIAMACHY/Envisat observes scattered, reflected and transmitted solar radiation in nadir, limb-scatter, and solar/lunar occultation modes whereas OSIRIS/Odin performs only the limb-scatter observations. The comparison is performed for the viewing conditions of seven SCIAMACHY limb observations (orbit 27746 on 21 June 2007) and for nine combinations of the solar zenith and azimuth angles which roughly cover the full range of the angles typical for the OSIRIS observations. It is also worth noticing that the viewing geometry of the OMPS (Ozone Mapping and Profiler Suite) instrument which will fly on the next generation of US operational polar-orbiting satellites, the National Polar-orbiting Operational Environmental Satellite System (NPOESS), is very similar to that of SCIAMACHY limb-scatter observations.

The relative retrieval errors for the SCIAMACHY viewing geometry are shown in the left panel of Fig. 12. In agreement with the results shown in Fig. 10 the largest retrieval errors occur at solar zenith angles close to 70° . For the considered Envisat orbit, the worst viewing conditions in terms of the sensitivity of the ozone vertical profile retrievals to tropospheric clouds are at $SZA=68^\circ$ and $SAA=156^\circ$ corresponding to observations at southern mid-latitudes and the second worst conditions at $SZA=63^\circ$ and $SAA=26^\circ$ correspond to observations at high northern latitudes. The smallest retrieval errors are observed for $SZA=25^\circ$ and $SAA=90^\circ$ corresponding to measurements in the tropical region.

The relative retrieval errors for the OSIRIS viewing geometry are shown in the right panel of Fig. 12. As expected from Fig. 10, the largest retrieval errors occur for a solar zenith angle of 70° getting smaller for the lower and higher Sun. The dependence on the solar azimuth angle is weaker as compared to the solar zenith angle and is only significant for $SZA=85^\circ$.

**Cloud sensitivity of
ozone retrievals**T. Sonkaew et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[I◀](#)[▶I](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

8.6 Most frequent clouds

In this section we investigate the relative retrieval errors occurring for the most frequent clouds observed in the Earth's atmosphere. The comparisons are performed for three typical viewing geometries of the SCIAMACHY instrument corresponding to a high, moderate, and low sensitivity of the ozone profile retrievals to the tropospheric clouds (see Sect. 8.5). According to the results published by Rozanov and Kokhanovsky (2006), the tropospheric clouds typically extend from 0.5 to 7.5 km with most frequent values of the geometrical thickness of about 3 km. As demonstrated in previous sections, the ozone profile retrievals exhibit similar sensitivity to low and middle clouds. Therefore, in this study a cloud extending from 4 to 7 km altitude is considered to be representative for the most frequent clouds in the Earth's atmosphere. Based on the results published by Trishchenko (2001) and Kokhanovsky (2006), respectively, the values of 10 for the cloud optical thickness and the effective radius of water droplets of $8\ \mu\text{m}$ are considered to be representative for the most frequent clouds. As before, the calculations are performed for a surface albedo of 0.3.

The ozone vertical profile retrieval errors for the most frequent clouds are shown in Fig. 13 for three typical SCIAMACHY limb observations having low, moderate, and high sensitivity to tropospheric clouds. During the northern hemispheric summer these viewing conditions occur in the tropical region ($\text{SZA}=25^\circ$ and $\text{SAA}=90^\circ$), at northern high latitudes ($\text{SZA}=85^\circ$ and $\text{SAA}=22^\circ$), and at southern mid-latitudes (68° and $\text{SAA}=156^\circ$). The obtained results are summarized in Table 4.

9 Conclusions

The combined Chappuis and Hartley band retrieval allows to infer ozone profiles in the altitude range from the lower stratosphere to the middle mesosphere (15–65 km altitude) using the measurements of scattered solar light in limb viewing geometry. The outgoing radiance observed by the instrument is much more sensitive to tropospheric

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



clouds in the visible spectral range than in UV. Thus, the retrievals are mostly affected by the changes of the Chappuis triplet in a cloudy atmosphere. The maximum retrieval errors are observed in the lower stratosphere. The retrieval errors decrease with increasing altitude and become negligible at about 40 km for all considered scenarios.

The cloud optical thickness, solar zenith angle, and the surface albedo are found to have the strongest effect on the retrieved ozone profiles whereas the impact of the effective radius of water droplets, solar azimuth angle, cloud geometrical thickness, and cloud top height is rather small. The latter however, is only the case if the clouds are outside the field of view of the instrument and the cloud optical thickness is not changed when changing the geometrical thickness of the cloud or the effective radius of water droplets. For the most frequent clouds, the ozone vertical profile retrieval error is below 6% at 15–20 km altitudes and less than 5% above 20 km for typical viewing geometries of the SCIAMACHY instrument.

Beside the investigation of the ozone retrieval errors, we have introduced an approximative method, see Eq. (14), which can be used to estimate the ozone retrieval errors due to neglecting clouds in the retrieval process without solving the full inverse problem.

Appendix A

In this Appendix we demonstrate that the Chappuis triplet as a function of any cloud parameter has a similar behavior as the reflected solar radiance. This means that, for example, an increase in the reflected radiance due to a variation of any cloud parameter leads to an increase in the Chappuis triplet value as well. To demonstrate this let us represent the limb radiance at the wavelength λ_k and tangent height h_i as a sum of the single scattered and the diffuse radiation:

$$I(\lambda_k, h_i) = I_s(\lambda_k, h_i) + I_d(\lambda_k, h_i) . \quad (\text{A1})$$

Using this representation, Eq. (1) for the normalized limb radiance is rewritten as

$$I_N(\lambda_k, h_i) = \frac{I_s(\lambda_k, h_i) + I_d(\lambda_k, h_i)}{I_s(\lambda_k, h_r) + I_d(\lambda_k, h_r)}, \quad (\text{A2})$$

where h_r is the reference tangent height, and the Chappuis triplet defined by Eq. (2) is obtained as

$$y(h_i) = \frac{I_N(\lambda_2, h_i)}{\sqrt{I_N(\lambda_1, h_i)I_N(\lambda_3, h_i)}}. \quad (\text{A3})$$

Here, the wavelengths λ_1 , λ_2 , and λ_3 are defined as discussed in Sect. 3. Since our consideration is only qualitative, we assume for a further discussion that the normalized radiances at wavelengths λ_1 and λ_3 are equal, i.e., $I_N(\lambda_1, h_i) = I_N(\lambda_3, h_i)$. This allows the mathematical formulas presented below to be substantially shortened. Under this assumption Eq. (A3) results in

$$y(h_i) = \frac{I_s(\lambda_1, h_r) + I_d(\lambda_1, h_r)}{I_s(\lambda_2, h_r) + I_d(\lambda_2, h_r)} \times \frac{I_s(\lambda_2, h_i) + I_d(\lambda_2, h_i)}{I_s(\lambda_1, h_i) + I_d(\lambda_1, h_i)}, \quad (\text{A4})$$

For the discussion below we restrict our considerations to clouds with top heights below the tangent height h_i . In this case the single scattered limb radiation is independent of cloud parameters and the dependence of the normalized limb radiance and of the Chappuis triplet on cloudiness is only due to the diffuse radiation. Let us now simplify Eqs. (A2) and (A4) expanding these into Taylor series with respect to the diffuse radiation and restricting the consideration to the linear terms. After some algebra Eq. (A2) can then be rewritten in the following form:

$$I_N(\lambda_k, h_i) = r_s^n \left\{ 1 + \left[\frac{I_d(\lambda_k, h_i)}{I_s(\lambda_k, h_i)} - \frac{I_d(\lambda_k, h_r)}{I_s(\lambda_k, h_r)} \right] \right\}, \quad (\text{A5})$$

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



where $r_s^n = I_s(\lambda_k, h_i) / I_s(\lambda_k, h_r)$ is the normalized single scattered limb radiance, and Eq. (A4) results in

$$y(h_i) = r_s^t \left\{ 1 + \left[\frac{I_d(\lambda_2, h_i)}{I_s(\lambda_2, h_i)} - \frac{I_d(\lambda_2, h_r)}{I_s(\lambda_2, h_r)} \right] - \left[\frac{I_d(\lambda_1, h_i)}{I_s(\lambda_1, h_i)} - \frac{I_d(\lambda_1, h_r)}{I_s(\lambda_1, h_r)} \right] \right\}, \quad (\text{A6})$$

5 where $r_s^t = I_s(\lambda_2, h_i) I_s(\lambda_1, h_r) / I_s(\lambda_1, h_i) I_s(\lambda_2, h_r)$ is the Chappuis triplet value corresponding to the single scattered limb radiation. Both the single scattered and the diffuse limb radiation at the tangent height h_i can be represented as follows:

$$I_{s,d}(\lambda_k, h_i) = \int_{l_1}^{l_2} \sigma_k(l) J_{s,d}(\lambda_k, l) T_k(l) dl, \quad (\text{A7})$$

10 where the integration is carried out along the instrument line of sight, $\sigma_k(l)$ is the extinction coefficient at the wavelength λ_k , $T_k(l) = e^{-\tau_k(l_1, l)}$ is the transmission function along the line of sight between the points having coordinates of l_1 and l , where l_1 corresponds to the instrument location, $\tau_k(l_1, l)$ is the corresponding optical depth, and $J_{s,d}(\lambda_k, l)$ is the source function of the single scattered or the diffuse radiation given by

$$J_{s,d}(\lambda_k, l) = \frac{\omega_k(l)}{4\pi} \int_{4\pi} \rho_k(\Omega(l), \Omega) I_{s,d}(\lambda_k, l, \Omega) d\Omega. \quad (\text{A8})$$

15 Here, $\omega_k(l)$ is the single scattering albedo at wavelength λ_k at the line of sight point with coordinate l , $\rho_k(\Omega(l), \Omega)$ is the phase function describing the scattering probability from all directions to the line of sight direction, $\Omega = \{\mu, \phi\}$ describes the set of variables $\mu \in [-1, 1]$ and $\phi \in [0, 2\pi]$ where μ is the cosine of the polar angle θ measured from the positive τ -axis and ϕ is the azimuthal angle, and $I_{s,d}(\lambda_k, l, \Omega)$ is the single scattered or diffuse radiation filed at the line of sight point l . Assuming that only the tangent point

20

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



region contributes to the integral along the line of sight, Eq. (A7) can be simplified as follows:

$$I_{s,d}(\lambda_k, h_i) \approx \sigma_k(h_i) J_{s,d}(\lambda_k, h_i) T_k(h_i), \quad (\text{A9})$$

where $T_k(h_i)$ is the transmission function along the line of sight from the the tangent height h_i to top of atmosphere. Using Eq. (A9) the ratio of the diffuse to the single scattered radiation can be written as follows:

$$\frac{I_d(\lambda_k, h_i)}{I_s(\lambda_k, h_i)} \approx \frac{J_d(\lambda_k, h_i)}{J_s(\lambda_k, h_i)}. \quad (\text{A10})$$

Substituting the ratio $I_d(\lambda_k, h_i)/I_s(\lambda_k, h_i)$ as given by Eq. (A10) into Eqs. (A5) and (A6), we obtain

$$I_N(\lambda_k, h_i) = r_s^n \left\{ 1 + \left[\frac{J_d(\lambda_k, h_i)}{J_s(\lambda_k, h_i)} - \frac{J_d(\lambda_k, h_r)}{J_s(\lambda_k, h_r)} \right] \right\} \quad (\text{A11})$$

and

$$y(h_i) = r_s^t \left\{ 1 + \left[\frac{J_d(\lambda_2, h_i)}{J_s(\lambda_2, h_i)} - \frac{J_d(\lambda_2, h_r)}{J_s(\lambda_2, h_r)} \right] - \left[\frac{J_d(\lambda_1, h_i)}{J_s(\lambda_1, h_i)} - \frac{J_d(\lambda_1, h_r)}{J_s(\lambda_1, h_r)} \right] \right\}. \quad (\text{A12})$$

At the next step let us formulate an approximative relationship between the diffuse source function, $J_d(\lambda_k, h_i)$, and the radiation reflected by a cloud. This is done using the approximative expression for the diffuse radiation suggested by Kokhanovsky and Rozanov (2004) which we write here in the following form:

$$R(\Omega) = R_s(\Omega) + T(\mu_0; H, h_c) R_c(\Omega) T(\mu; h_i, h_c), \quad (\text{A13})$$

where $R_s(\Omega)$ is the radiation scattered in the atmosphere above the cloud calculated in the single scattering approximation, $R_c(\Omega)$ is the radiation scattered within the cloud

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

and in the underlying atmosphere including the surface reflection, μ_0 is a cosine of the solar zenith angle, $T(\mu_0; H, h_c) = e^{-\tau(H, h_c)/\mu_0}$ is the transmission between the top of the atmosphere (H) and the cloud top height (h_c), and $T(\mu; h_i, h_c) = e^{-\tau(h_i, h_c)/\mu}$ is the transmission between the cloud top height (h_c) and the tangent point (h_i). In the case of a weak gaseous absorption the first term in the right-hand side of Eq. (A13) is much smaller than the second term and, thus, it can be neglected. Substituting then Eq. (A13) into Eq. (A8) the following approximative expression for the diffuse source function can be obtained :

$$J_d(\lambda_k, h_i) \approx \frac{\omega_k(h_i)}{4\pi} \int_{4\pi} p_k(\Omega_i, \Omega) R_c(\Omega) T_k(\mu; h_i, h_c) d\Omega \times T_k(\mu_0; H, h_c). \quad (\text{A14})$$

Taking into account that

$$I_s(\lambda_k, l, \Omega) = \pi \delta(\Omega - \Omega_0) T_k(\mu_0; H, h_i), \quad (\text{A15})$$

where $\delta(\Omega - \Omega_0)$ is the Dirac delta function and the extraterrestrial solar flux is set to π , Eq. (A8) results for the single scattering source function in

$$J_s(\lambda_k, h_i) = \frac{\omega_k(h_i)}{4} p_k(\Omega_i, \Omega_0) T_k(\mu_0; H, h_i) \quad (\text{A16})$$

and the ratio of the diffuse to the single scattering source function at the tangent height h_i can be written as follows:

$$\frac{J_d(\lambda_k, h_i)}{J_s(\lambda_k, h_i)} = \frac{1}{\pi} \int_{4\pi} \tilde{p}_k(\Omega_i, \Omega) R_c(\Omega) T_k(\mu; h_i, h_c) d\Omega \times T_k(\mu_0; h_i, h_c), \quad (\text{A17})$$

where $\tilde{p}_k(\Omega_i, \Omega) = p_k(\Omega_i, \Omega) / p_k(\Omega_i, \Omega_0)$, and $T_k(\mu_0; h_i, h_c) = T_k(\mu_0; H, h_c) / T_k(\mu_0; H, h_i)$ is the transmission between the tangent height h_i and the cloud top height altitude h_c .

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[◀](#)
[▶](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)


[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

The ratio of the diffuse to the single scattering source function at the reference tangent height can be obtained setting in Eq. (A17) $h_i = h_r$. To simplify Eq. (A17) let us introduce the following abbreviation for the product of two transmission functions:

$$T_k(h_i, h_c) = T_k(\mu; h_i, h_c) T_k(\mu_0; h_i, h_c). \quad (\text{A18})$$

5 Now, Eq. (A17) can be rewritten as follows:

$$\frac{J_d(\lambda_k, h_i)}{J_s(\lambda_k, h_i)} = \frac{1}{\pi} \int_{4\pi} \tilde{\rho}_k(\Omega_i, \Omega) R_c(\Omega) T_k(h_i, h_c) d\Omega. \quad (\text{A19})$$

Introducing an auxiliary function $\mathcal{T}(\lambda_k)$ as

$$\mathcal{T}(\lambda_k) = \frac{J_d(\lambda_k, h_i)}{J_s(\lambda_k, h_i)} - \frac{J_d(\lambda_k, h_r)}{J_s(\lambda_k, h_r)}, \quad (\text{A20})$$

Eqs. (A11) and (A12) can be rewritten as follows:

$$10 \quad I_N(\lambda_k, h_i) = r_s^n [1 + \mathcal{T}(\lambda_k)], \quad (\text{A21})$$

$$y(h_i) = r_s^t [1 + \mathcal{T}(\lambda_2) - \mathcal{T}(\lambda_1)]. \quad (\text{A22})$$

These equations along with Eqs. (A19) and (A20) provide a simple linear relationship between the normalized limb radiance $I_N(\lambda_k, h_i)$ or the Chappuis triplet value $y(h_i)$ on the one hand and radiation reflected by a cloud $R_c(\Omega)$ on the other hand.

15 The goal of our study is to prove that if the reflected radiation increases due to an increase in a certain cloud parameter, for example τ , i.e.,

$$\frac{\partial R_c(\Omega)}{\partial \tau} = R'_c(\Omega) > 0, \quad (\text{A23})$$

20 then $\partial I_N(\lambda_k, h_i) / \partial \tau > 0$ and $\partial y(h_i) / \partial \tau > 0$ as well, i.e., both the normalized limb radiance and the Chappuis triplet increase when the reflected radiation increases. The discussion below is applicable to nearly all cloud parameters except for the cloud top

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

height. This is because, unlike other cloud parameters, the variation in the cloud top height affects not only the solar radiation reflected by cloud in Eq. (A13) but also the transmissions $T(\mu_0; H, h_c)$ and $T(\mu; h_j, h_c)$ which must be differentiated as well when calculating the derivative of $R(\Omega)$. Since, as shown in Sect. 8.3, the dependence of the ozone vertical profile retrievals on cloud top height is rather small, we exclude this parameter from the consideration below for a sake of simplicity.

Differentiating Eqs. (A21) and (A22) with respect to the cloud parameter of interest, we obtain

$$I'_N(\lambda_k, h_j) = r_s^n [1 + \mathcal{J}'(\lambda_k)] , \quad (\text{A24})$$

$$y'(h_j) = r_s^t [1 + \mathcal{J}'(\lambda_2) - \mathcal{J}'(\lambda_1)] . \quad (\text{A25})$$

Here, taking into account Eqs. (A19) and (A20), the derivative $\mathcal{J}'(\lambda_k)$ is obtained as

$$\begin{aligned} \mathcal{J}'(\lambda_k) &= \frac{1}{\pi} \int_{4\pi} \tilde{\rho}_k(\Omega_i, \Omega_0) R'_C(\Omega) \\ &\times [T_k(h_j, h_c) - T_k(h_r, h_c)] d\Omega . \end{aligned} \quad (\text{A26})$$

or

$$\begin{aligned} \mathcal{J}'(\lambda_k) &= \frac{1}{\pi} \int_{4\pi} \tilde{\rho}_k(\Omega_i, \Omega_0) R'_C(\Omega) T_k(h_j, h_c) \\ &\times [1 - T_k(h_r, h_j)] d\Omega , \end{aligned} \quad (\text{A27})$$

where $T_k(h_r, h_j) = T_k(h_r, h_c) / T_k(h_j, h_c)$ is the transmission between the reference tangent height h_r and the tangent height h_j . Now it is obvious that

$$\mathcal{J}'(\lambda_k) > 0 , \quad \text{if } R'_C(\Omega) > 0 . \quad (\text{A28})$$

Thus, we found that the derivative of the normalized limb radiance with respect to a cloud parameter has the same sign as the derivative of the solar radiation reflected by the cloud.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

To complete our discussion, we show that the corresponding derivative of the Chappuis triplet has the same sign as well, i.e., we proof that $\mathcal{J}'(\lambda_2) - \mathcal{J}'(\lambda_1) > 0$. This can be done taking into account that the ozone absorption at wavelength λ_2 is larger than at λ_1 . This allow us to rewrite Eq. (A26) for λ_2 in the following form:

$$5 \quad \mathcal{J}'(\lambda_2) = \frac{1}{\pi} \int_{4\pi} \tilde{\rho}_2(\Omega_i, \Omega_0) R'_c(\Omega) \left[T_1(h_i, h_c) T_g(h_i, h_c) - T_1(h_r, h_c) T_g(h_r, h_c) \right] d\Omega, \quad (\text{A29})$$

where $T_g(h_i, h_c)$ and $T_g(h_r, h_c)$ describe additional gaseous absorption at wavelength λ_2 as compared to wavelength λ_1 . Now, taking into account that $T_1(h_r, h_c) = T_1(h_r, h_i) T_1(h_i, h_c)$, the difference $\mathcal{J}'(\lambda_2) - \mathcal{J}'(\lambda_1)$ can be written as

$$10 \quad \mathcal{J}'(\lambda_2) - \mathcal{J}'(\lambda_1) = \frac{1}{\pi} \int_{4\pi} \tilde{\rho}_2(\Omega_i, \Omega_0) R'_c(\Omega) T_1(h_i, h_c) \times \left\{ \left[T_g(h_i, h_c) - T_1(h_r, h_i) T_g(h_r, h_c) \right] - \left[1 - T_1(h_r, h_i) \right] \right\} d\Omega. \quad (\text{A30})$$

As seen from this equation, in contrast to $\mathcal{J}'(\lambda_k)$, the difference $\mathcal{J}'(\lambda_2) - \mathcal{J}'(\lambda_1)$ can be both negative and positive. Indeed, assuming that the absorption between h_i and h_c can be neglected, i.e., $T_g(h_i, h_c) = 1$, the expression in brackets results in

$$15 \quad \left\{ \right\} = T_1(h_r, h_i) - T_1(h_r, h_i) T_g(h_r, h_c) = T_1(h_r, h_i) \left[1 - T_g(h_r, h_c) \right], \quad (\text{A31})$$

and $\mathcal{J}'(\lambda_2) - \mathcal{J}'(\lambda_1) > 0$. On the other hand, assuming that gaseous absorption is very strong, i.e., $T_g(h_i, h_c) = T_g(h_r, h_c) = 0$, we have

$$20 \quad \left\{ \right\} = - \left[1 - T_1(h_r, h_i) \right], \quad (\text{A32})$$

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



i.e., $\mathcal{T}'(\lambda_2) - \mathcal{T}'(\lambda_1) < 0$. Thus, generally, the sign of the difference $\mathcal{T}'(\lambda_2) - \mathcal{T}'(\lambda_1)$ depends on the gaseous absorption in the atmosphere. In the considered case of measurements of scattered solar light in Chappuis absorption band of ozone it is reasonable to assume that the gaseous absorption and atmospheric extinction between the tangent heights h_r and h_i is weak. Under this assumption we have

$$T_1(h_r, h_i) \approx 1 - \tau_1(h_r, h_i), \quad (\text{A33})$$

$$T_g(h_r, h_c) \approx 1 - \tau_g(h_r, h_c), \quad (\text{A34})$$

where $\tau_1(h_r, h_i)$ and $\tau_g(h_r, h_c)$ are the optical thicknesses of the Rayleigh scattering and of the gaseous absorption, respectively (we remind that we neglect the aerosol extinction). Substituting now these approximations into Eq. (A30) and neglecting the quadratic terms, i.e., $\tau_1(h_r, h_i)\tau_g(h_r, h_c)$, after simple algebra we obtain

$$\begin{aligned} \mathcal{T}'(\lambda_2) - \mathcal{T}'(\lambda_1) &= \frac{1}{\pi} \int_{4\pi} \tilde{p}_2(\Omega_i, \Omega_0) R'_c(\Omega) T_1(h_i, h_c) \\ &\quad \times \tau_g(h_r, h_i) d\Omega. \end{aligned} \quad (\text{A35})$$

Thus, we can state that the derivative of the triplet with respect to the cloud parameters has the same sign as the derivative of the solar radiation reflected by clouds if the difference in gaseous absorption between the wavelengths forming the triplet is small and the extinction of the radiation between tangent heights h_r and h_i due to the scattering processes is small as well.

Acknowledgements. This work was supported by the German Ministry of Education and Research (BMBF), the German aerospace center (DLR) and the University of Bremen, Germany. SCIAMACHY is jointly funded by Germany, the Netherlands and Belgium. T. S. would like to thank Lampang Rajabhat University, Thailand for the scholarship support.

References

- Bovensmann, H., Burrows, J. P., Buchwitz, M., Frerick, J., Noël, S., Rozanov, V. V., Chance, K. V., and Goede, A. P. H.: SCIAMACHY: Mission Objectives and Measurement Modes, *J. Atmos. Sci.*, 56, 127–150, 1999. 404
- 5 Bracher, A., Bovensmann, H., Bramstedt, K., Burrows, J. P., von Clarmann, T., Eichmann, K.-U., Fischer, H., Funke, B., Gil-Lopez, S., Glatthor, N., Grabowski, U., Hoepfner, M., Kaufmann, M., Kellmann, S., Kiefer, M., Koukouli, M. E., Linden, A., Lopez-Puertas, M., Mengistu Tsidu, G., Milz, M., Noël, S., Rohen, G., Rozanov, A., Rozanov, V. V., von Savigny, C., Sinnhuber, M., Skupin, J., Steck, T., Stiller, G. P., Wang, D.-Y., Weber, M., and Wuttke, M. W.: Cross
- 10 comparisons of O₃ and NO₂ measured by the atmospheric ENVISAT instruments GOMOS, MIPAS, and SCIAMACHY, *Adv. Space Res.*, 36, 855–867, doi:10.1016/j.asr.2005.04.005, 2005. 384
- Butz, A., Bösch, H., Camy-Peyret, C., Chipperfield, M., Dorf, M., Dufour, G., Grunow, K., Jeseck, P., Khl, S., Payan, S., Pepin, I., Pukite, J., Rozanov, A., von Savigny, C., Sioris, C.,
- 15 Wagner, T., Weidner, F., and Pfeilsticker, K.: Inter-comparison of stratospheric O₃ and NO₂ abundances retrieved from balloon borne direct sun observations and Envisat/SCIAMACHY limb measurements, *Atmos. Chem. Phys.*, 6, 1293–1314, 2006, <http://www.atmos-chem-phys.net/6/1293/2006/>. 384
- Degenstein, D. A., Bourassa, A. E., Roth, C. Z., and Llewellyn, E. J.: Limb scatter ozone
- 20 retrieval from 10 to 60 km using a Multiplicative Algebraic Reconstruction Technique, *Atmos. Chem. Phys. Discuss.*, 8, 11853–11877, 2008, <http://www.atmos-chem-phys-discuss.net/8/11853/2008/>. 385
- Erle, F., Pfeilsticker, K., and Platt, U.: On the influence of tropospheric clouds on zenith-scattered-light measurements of stratospheric species, *Geophys. Res. Lett.*, 22, 2725–2728,
- 25 1995. 381
- Dupuy, E., Walker, K. A., Kar, J., Boone, C. D., McElroy, C. T., Bernath, P. F., Drummond, J. R., Skelton, R., McLeod, S. D., Hughes, R. C., Nowlan, C. R., Dufour, D. G., Zou, J., Nichitiu, F., Strong, K., Baron, P., Bevilacqua, R. M., Blumenstock, T., Bodeker, G. E., Borsdorff, T., Bourassa, A. E., Bovensmann, H., Boyd, I. S., Bracher, A., Brogniez, C., Burrows, J. P.,
- 30 Catoire, V., Ceccherini, S., Chabrilat, S., Christensen, T., Coffey, M. T., Cortesi, U., Davies, J., De Clercq, C., Degenstein, D. A., De Mazire, M., Demoulin, P., Dodion, J., Firanski, B., Fischer, H., Forbes, G., Froidevaux, L., Fussen, D., Gerard, P., Godin-Beekmann, S., Goutail,

AMTD

2, 379–438, 2009

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Cloud sensitivity of
ozone retrievals**

T. Sonkaew et al.

F., Granville, J., Griffith, D., Haley, C. S., Hannigan, J. W., Höpfner, M., Jin, J. J., Jones, A., Jones, N. B., Jucks, K., Kagawa, A., Kasai, Y., Kerzenmacher, T. E., Kleinböhl, A., Klekociuk, A. R., Kramer, I., Küllmann, H., Kuttippurath, J., Kyrölä, E., Lambert, J.-C., Livesey, N. J., Llewellyn, E. J., Lloyd, N. D., Mahieu, E., Manney, G. L., Marshall, B. T., McConnell, J. C., McCormick, M. P., McDermid, I. S., McHugh, M., McLinden, C. A., Mellqvist, J., Mizutani, K., Murayama, Y., Murtagh, D. P., Oelhaf, H., Parrish, A., Petelina, S. V., Piccolo, C., Pomereau, J.-P., Randall, C. E., Robert, C., Roth, C., Schneider, M., Senten, C., Steck, T., Strandberg, A., Strawbridge, K. B., Sussmann, R., Swart, D. P. J., Tarasick, D. W., Taylor, J. R., Tétard, C., Thomason, L. W., Thompson, A. M., Tully, M. B., Urban, J., Vanhellemont, F., Vigouroux, C., von Clarmann, T., von der Gathen, P., von Savigny, C., Waters, J. W., Witte, J. C., Wolff, M., and Zawodny, J. M.: Validation of ozone measurements from the Atmospheric Chemistry Experiment (ACE), *Atmos. Chem. Phys.*, 9, 287–343, 2009, <http://www.atmos-chem-phys.net/9/287/2009/>. 402

Flittner, D. E., Bhartia, P. K., and Herman, B. M.: O₃ profiles retrieved from limb scatter measurements: Theory, *Geophys. Res. Lett.*, 27, 2601–2604, 2000. 384, 385, 386

Haley, C. S., Brohede, S. M., Sioris, C. E., Griffioen, E., Murtagh, D. P., McDade, I. C., Eriksson, P., Llewellyn, E. J., Bazureau, A., and Goutail F.: Retrieval of stratospheric O₃ and NO₂ profiles from Odin Optical Spectrograph and Infrared Imager System (OSIRIS) Limb-Scattered Sunlight Measurements, *J. Geophys. Res.*, 109, D16303, doi:10.1029/2004JD004588, 2004. 381, 385

Hansen, J. E. and Travis, L. D.: Light scattering in planetary atmospheres, *Space Sci. Rev.*, 16, 527–610, 1974. 398

Hendrick, F., Van Roozendaal, M., Kylling, A., Petritoli, A., Rozanov, A., Sanghavi, S., Schofield, R., von Friedeburg, C., Wagner, T., Wittrock, F., Fonteyn, D., and De Mazière, M.: Intercomparison exercise between different radiative transfer models used for the interpretation of ground-based zenith-sky and multi-axis DOAS observations, *Atmos. Chem. Phys.*, 6, 93–108, 2006, <http://www.atmos-chem-phys.net/6/93/2006/>. 384

Kokhanovsky, A. A.: Optics of light scattering media: Problems and Solutions, Springer, Berlin, 2001. 399, 403

Kokhanovsky, A. A.: Optical properties of terrestrial clouds, *Earth-Science Reviews*, 64, 189–241, 2004. 393

Kokhanovsky, A. A. and Rozanov, V. V.: The physical parameterization of the top-of-atmosphere

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Cloud sensitivity of
ozone retrievals**

T. Sonkaew et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

reflection function for a cloudy atmosphere-underlying surface system: the oxygen A-band case study, *J. Quant. Spectr. Rad. Trans.*, 85, 35–55, 2004. 409

Kokhanovsky, A. A.: *Cloud Optics*, Springer, Berlin, 2006. 405

Kokhanovsky, A. A., Bovensmann, H., Burrows, J. P., Lotz, W., Rozanov, V., Schreier, M., Vountas, M., and von Hoyningen-Huene, W.: SACURA: A new Semi-Analytical Cloud Retrieval Algorithm, <http://www.iup.uni-bremen.de/~sciapro/SACURA/>, 2008.

Kylling A., Albold, A., and Seckmeyer, G.: Transmittance of a cloud is wavelength-dependent in the UV-range: Physical interpretation, *Geophys. Res. Lett.*, 24(4), 397–400, 1997.

Lelieveld, J., Crutzen, P. J., and Rodhe, H.: Zonal average cloud characteristics for global atmospheric chemistry modelling, Report CM-76, Department of Meteorology, University of Stockholm, 1989. 381

Liou, K. N.: A numerical Experiment on Chandrasekhar's Discrete-Ordinate method for radiative transfer: Applications to cloudy and hazy atmospheres, *J. Atmos. Sci.*, 30, 1303–1326, 1973. 397, 403

Liou, K. N.: On the absorption, reflection and transmission of solar radiation in cloudy atmospheres, *J. Atmos. Sci.*, 33, 798–805, 1976.

Llewellyn, E. J., Lloyd, N. D., Degenstein, D. A., Gattinger, R. L., Petelina, S. V., Bourassa, A. E., Wiensz, J. T., Ivanov, E. V., McDade, I. C., Solheim, B. H., McConnell, J. C., Haley, C. S., von Savigny, C., Sioris, C. E., McLinden, C. A., Griffioen, E., Kaminski, J., Evans, W. F. J., Puckrin, E., Strong, K., Wehrle, V., Hum, R. H., Kendall, D. J. W., Matsushita, J., Murtagh, D. P., Brohede, S., Stegman, J., Witt, G., Barnes, G., Payne, W. F., Piche, L., Smith, K., Warshaw, G., Deslauniers, D.-L., Marchand, P., Richardson, E. H., King, R. A., Wevers, I., McCreath, W., Kyrölä, E., Oikarinen, L., Leppelmeier, G. W., Auvinen, H., Mégie, G., Hauchecorne, A., Lefevre, F., de La Nöe, J., Ricaud, P., Frisk, U., Sjöberg, F., von Scheele, F., and Nordh, L.: The OSIRIS instrument on the Odin satellite, *Can. J. Phys.*, 82, 411–422, doi:10.1139/P04-005, 2004. 404

Loughman, R. P., Griffioen, E., Oikarinen, L., Postlyakov, O. V., Rozanov, A., Flittner, D. E., and Rault, D. F.: Comparison of radiative transfer models for limb-viewing scattered sunlight measurements, *J. Geophys. Res.*, 109, D06303, doi:10.1029/2003JD003854, 2004. 384

Loughman R. P., Flittner, D. E., Herman, B. M., Bhartia, P. K., Hilsenrath, E., and McPeters, R. D.: Description and sensitivity analysis of a limb scattering ozone retrieval algorithm, *J. Geophys. Res.*, 110, D19301, doi:10.1029/2004JD005429, 2005. 381

McPeters, R. D., Janz, S. J., Hilsenrath, E., Brown, T. L., Flittner, D. E., and Heath, D. F.: The

**Cloud sensitivity of
ozone retrievals**

T. Sonkaew et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

- retrieval of O₃ profiles from limb scatter measurements: Results from the Shuttle Ozone Limb Sounding Experiment, *Geophys. Res. Lett.*, 27, 2597–2600, 2000. 381, 384, 385
- Murtagh, D., Frisk, U., Merino, F., Ridal, M., Jonsson, A., Stegman, J., Witt, G., Eriksson, P., Jimenez, C., Mégie, G., de la Nöe, J., Ricaud, P., Baron, P., Pardo, J., Hauchcorne, A., Llewellyn, E. J., Degenstein, D. A., Gattinger, R. L., Lloyd, N. D., Evans, W. F. J., McDade, I. C., Haley, C. S., Sioris, C., von Savigny, C., Solheim, B. H., McConnell, J. C., Strong, K., Richardson, E. H., Leppelmeier, G. W., Kyrölä, E., Auvinen, H., and Oikarinen L.: An overview of the Odin Atmospheric Mission, *Can. J. Phys.*, 80(S1), 309–319, 2002.
- Nakajima, T., and King, M.D.: Determination of the optical thickness and effective particle radius of clouds from reflected solar radiation measurements. Part I: Theory, *J. Atmos. Res.*, 48, 1878–1893, 1990.
- Oikarinen, L., Sihvola, E., and Kyrölä, E.: Multiple scattering radiance in limb-viewing geometry, *J. Geophys. Res.*, 104, 31261–31274, 1999. 381
- Prather, M. J. and Remsberg E. E.: The Atmospheric Effects of Stratospheric Aircraft: Report of the 1992 Models and Measurements Workshop. NASA Reference Publication 1292, 1–3, National Aeronautics and Space Administration, Washington, DC, USA, 1993. 393
- Pruppacher, H. R. and Jaenicke, R.: The processing of water vapor and aerosols by atmospheric clouds, a global estimation, *Atmos. Res.*, 38, 283–295, 1995. 381
- Rault, D. F.: Ozone profile retrieval from Stratospheric Aerosol and Gas Experiment (SAGE III) limb scatter measurements, *J. Geophys. Res.*, 110, D09309, doi:10.1029/2004JD004970, 2005. 381, 385, 402
- Rohen, G.: Retrieval of upper stratospheric/lower mesospheric ozone profiles from SCIAMACHY limb scatter measurements and observations of the ozone depletion during the solar proton event in Oct./Nov. 2003, Ph.D. thesis, University of Bremen, Bremen, Germany, 2006.
- Rohen, G., von Savigny, C., Llewellyn, E., Kaiser, J. W., Eichmann, K.-U., Bracher, A., Bovensmann, H., and Burrows, J. P.: First results of ozone profiles between 35 and 65 km retrieved from SCIAMACHY limb spectra and observations of ozone depletion during the solar proton events in October/November 2003, *Adv. Space Res.*, 37(12), 2263–2268, 2006. 384, 385
- Rodgers, C. D.: Retrieval of atmospheric temperature and composition from remote measurements of thermal radiation, *Rev. Geophys. Space Phys.*, 14, 609–624, 1976. 387
- Roebeling, R. A., Berk, A., Feijt, A. J., Frerichs, W., Joliver, D., Macke, A., and Stammes, P.: Sensitivity of cloud property retrievals to differences in radiative transfer simulations, KNMI WR2005-02, 2005. 397

**Cloud sensitivity of
ozone retrievals**

T. Sonkaew et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

- Roth, C. Z., Degenstein, D. A., Bourassa, A. E., and Llewellyn, E. J.: The retrieval of vertical profiles of ozone number density using Chappuis band absorption information and a multiplicative algebraic reconstruction technique, *Can. J. Phys.*, 85, 1225–1243, 2007. 385, 402
- 5 Rozanov A., Rozanov, V., and Burrows, J. P.: A numerical radiative transfer model for a spherical planetary atmosphere: combined differential-integral approach involving the Picard iterative approximation, *J. Quant. Spectr. Rad. Trans.*, 69(4), 491–512, 2001. 383
- Rozanov A., Bovensmann, H., Bracher, A., Hrechanyy, S., Rozanov, V., Sinnhuber, M., Stroth, F., and Burrows, J. P.: NO₂ and BrO vertical profile retrieval from SCIAMACHY limb measurements: Sensitivity studies, *Adv. Space Res.*, 36(5), 846–854, doi:10.1016/j.asr.2005.03.013, 2005a. 384
- 10 Rozanov, A., Rozanov, V., Buchwitz, M., Kokhanovsky, A., and Burrows, J. P.: SCIATRAN 2.0 – A new radiative transfer model for geophysical applications in the 175–2400nm spectral region, *Adv. Space Res.*, 36, 1015–1019, 2005b. 383
- Rozanov, A., Eichmann, K.-U., von Savigny, C., Bovensmann, H., Burrows, J. P., von Bargaen, A., Doicu, A., Hilgers, S., Godin-Beekmann, S., Leblanc, T., and McDerimid, I. S.: Comparison of the retrieval algorithms applied to the ozone vertical profile retrieval from SCIAMACHY limb measurements, *Atmos. Chem. Phys.*, 7, 4763–4779, 2007, <http://www.atmos-chem-phys.net/7/4763/2007/>. 384, 385
- 15 Rozanov, A.: SCIATRAN 2.X: Radiative transfer model and retrieval software package, <http://www.iup.physik.uni-bremen.de/sciatran>, 2008. 383
- Rozanov, V. V. and Kokhanovsky, A. A.: Semianalytical cloud retrieval algorithm as applied to the cloud top altitude and the cloud geometrical thickness determination from top-of-atmosphere reflectance measurements in the oxygen A-band, *J. Geophys. Res.*, 109, D05202, doi:10.1029/2003JD004104, 2004. 381
- 20 Rozanov, V. V. and Kokhanovsky, A. A.: Determination of cloud geometrical thickness using backscattered solar light in a gaseous absorption band, *IEEE Geosci. Remote Sens. Lett.*, 3(2), 250–253, 2006. 405
- Rozanov, V. V.: Adjoint radiative transfer equation and inverse problems, in: *Light Scattering Reviews*, edited by: Kokhanovsky, A. A., Springer, Praxis Publishing, Chichester, UK, 339–390, 2006. 384, 387
- 30 Rozanov, V. V. and Kokhanovsky, A. A.: Impact of single- and multi-layered cloudiness on ozone vertical column retrievals using nadir observations of backscattered solar radiation, in: *Light Scattering Reviews 3*, edited by: Kokhanovsky, A. A., Springer, Praxis Publishing,

- Chichester, UK, 133–189, 2008. 381, 399, 400
- Rusch, D. W., Mount, G. H., Barth, C. A., Rottmann, G. J., Thomas, R. J., Thomas, G. E., Sanders, R. W., Lawrence, G. M., and Eckman, R. S.: Ozone densities in the lower mesosphere measured by a limb scanning UltraViolet Spectrometer, *Geophys. Res. Lett.*, 10(4), 241–244, 1983. 384
- 5 Siewert, C. E.: A discrete-ordinates solution for radiative-transfer models that include polarization effects, *J. Quant. Spectr. Rad. Trans.*, 64, 227–254, 2000. 384
- Tukiainen, S., Hassinen, S., Seppälä, A., Auvinen, H., Kyrölä, E., Tamminen, J., Haley, C. S., Lloyd, N., and Verronen, P. T.: Description and validation of a limb scatter retrieval method for Odin/OSIRIS, *J. Geophys. Res.*, 113, D04308, doi:10.1029/2007JD008591, 2008. 385
- 10 Trishchenko, A. P., Li, Z., Chang, F.-L., and Barker, H.: Cloud optical depths and TOA fluxes: Comparison between satellite and surface retrievals from multiple platforms, *Geophys. Res. Lett.*, 28, 979–982, 2001. 405
- Vanbauce, C., Cadet, B., and Marchand, R. T.: Comparison between satellite and surface retrievals from multiple platforms, *Geophys. Res. Lett.*, 28, 979–982, 2003. 381
- 15 von Savigny, C.: Retrieval of stratospheric ozone density profiles from OSIRIS scattered sunlight observations, Ph.D. thesis, York University, Toronto, Canada, 2002.
- von Savigny C., Haley, C. S., Sioris, C. E., McDade, I. C., Llewellyn, E. J., Degenstein, D., Evans, W. F. J., Gattinger, R. L., Griffioen, E., Kyrölä, E., Lloyd, N. D., McConnell, J. C., McLinden, C. A., Mégie, G., Murtagh, D. P., and Solheim, B.: Stratospheric ozone profiles retrieved from limb scattered sunlight radiance spectra measured by the OSIRIS instrument on the Odin satellite, *Geophys. Res. Lett.*, 30(14), 1755–1758, 2003. 381, 385, 386
- 20 von Savigny, C., McDade, I. C., Griffioen, E., Haley, C. S., Sioris, C. E., and Llewellyn, E. J.: Sensitivity Studies and First Validation of Stratospheric Ozone Profile Retrievals from Odin/OSIRIS Observations of Limb Scattered Solar Radiation, *Can. J. Phys.*, 83(9), 957–972, 2005a. 381, 402
- 25 von Savigny, C., Rozanov, A., Bovensmann, H., Eichmann, K.-U., Noël, S., Rozanov, V., Sinnhuber, B.-M., Weber, M., Burrows, J. P., and Kaiser, J. W.: The Ozone Hole Breakup in September 2002 as Seen by SCIAMACHY on ENVISAT, *J. Atmos. Sci.*, 62(3), 721–734, 2005b. 384
- 30 Wagner, T., Burrows, J. P., Deutschmann, T., Dix, B., von Friedeburg, C., Friess, U., Hendrick, F., Heue, K.-P., Irie, H., Iwabuchi, H., Kanaya, Y., Keller, J., McLinden, C. A., Oetjen, H., Palazzi, E., Petritoli, A., Platt, U., Postlyakov, O., Pukite, J., Richter, A., van Roozendaal, M.,

**Cloud sensitivity of
ozone retrievals**T. Sonkaew et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Rozanov, A., Rozanov, V., Sinreich, R., Sanghavi, S., and Wittrock, F.: Comparison of box-air-mass-factors and radiances for Multiple-Axis Differential Optical Absorption Spectroscopy (MAX-DOAS) geometries calculated from different UV/visible radiative transfer models, Atmos. Chem. Phys., 7, 1809–1833, 2007,

<http://www.atmos-chem-phys.net/7/1809/2007/>. 384

5

AMTD

2, 379–438, 2009

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Table 1. The lowest (h_{low}) and reference (h_r) tangent heights for the wavelengths used.

Wavelength (nm)	264	267.5	273.5	283	286	288	290	305	525	602	675
h_{low} (km)	52	52	52	45	45	45	45	35	9	9	9
h_r (km)	71	71	71	68	65	65	61	55	41	41	41

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[I◀](#)
[▶I](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)


Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Table 2. Cloud classification by top and bottom heights and optical thickness, τ . The τ values are the same as in the ISCCP classification.

τ	Low clouds 1–3 km	Middle clouds 2–7 km	High clouds 6–15 km
0–3.6	cumulus	altocumulus	cirrus
3.6–23	stratocumulus	altostratus	cirrostratus
23–379	stratus	nimbostratus	deep convection

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[I◀](#)
[▶I](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)


Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Table 3. Cloud parameter sets used in this study. The varied parameters are marked with (\checkmark).

Sections/Studied tests	Cloud parameter						Figs.
	Cloud extension (km)	τ	r_e (μm)	SZA	SAA	A	
Cloud types							
• Low cloud	1–3	\checkmark	8	30	90	0.3	Fig. 5a
• Middle cloud	2–7	\checkmark	8	30	90	0.3	Fig. 5b
• High cloud	6–15	\checkmark	8	30	90	0.3	Fig. 5c, d
Effective radius of water droplets	2–7	10	\checkmark	30	90	0.3	Fig. 7a
Cloud geometries							
• Clouds top height	\checkmark	10	8	30	90	0.3	Fig. 8a
• Cloud geometrical thickness	\checkmark	10	8	30	90	0.3	Fig. 8b
Ground albedo	2–7	10	8	30	90	\checkmark	Fig. 9a
Viewing angles							
• SZA	2–7	10	8	\checkmark	90	0.3	Fig. 10a
• SAA	2–7	10	8	30	\checkmark	0.3	Fig. 10b
• SCIAMACHY limb-scan	2–7	10	8	\checkmark	\checkmark	0.3	Fig. 12a
• OSIRIS limb-scan	2–7	10	8	\checkmark	\checkmark	0.3	Fig. 12b
Frequent cloud	4–7	10	8	\checkmark	\checkmark	0.3	Fig. 13

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[I◀](#)
[▶I](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)


Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

Table 4. Overview of the sensitivity of ozone vertical profile retrievals to the tropospheric clouds (relative retrieval error in % is shown) for the most frequent clouds^a for typical viewing geometries of the SCIAMACHY instrument.

Viewing geometries	15 km	20 km	30 km	40 km	50 km	60 km
^b SZA=25°, SAA=90°	<2.5	<2	<1	<0.5	<0.1	<0.1
^c SZA=85°, SAA=22°	<4	<2	<1	<0.5	<0.1	<0.1
^d SZA=68°, SAA=156°	<6	<5	<2	<0.5	<0.1	<0.1

^a cloud extension 4–7 km, $\tau=10$, $r_e=8\ \mu\text{m}$, ^b Low sensitivity, ^c Moderate sensitivity, ^d High sensitivity

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[I◀](#)
[▶I](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)


Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

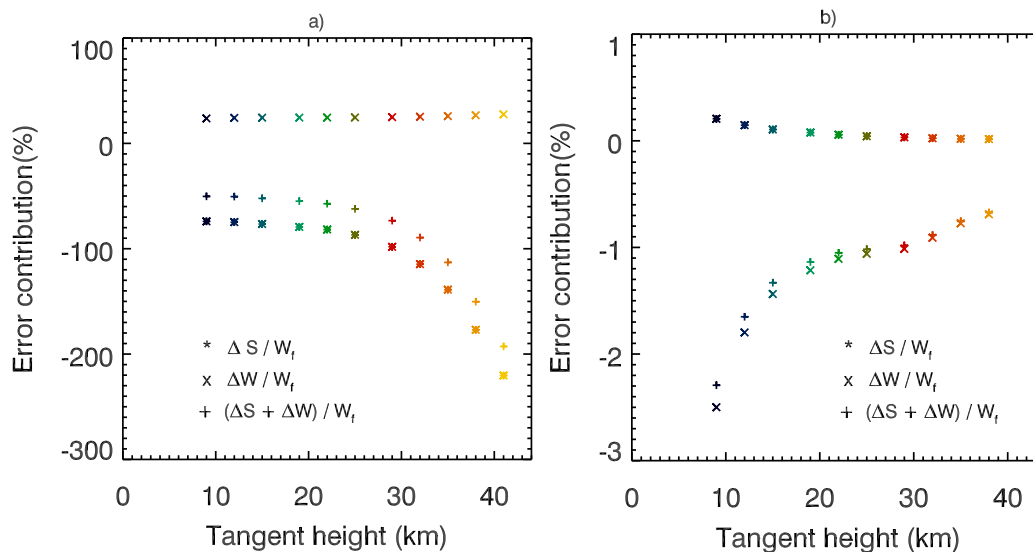


Fig. 1. Contribution of the terms $\Delta S(h_i; 0)/W_f(h_i)$ and $\Delta W(h_i)/W_f(h_i)$ to the linearized approximate retrieval error obtained according to Eq. (21). The left panel **(a)** shows the results for the absolute limb radiance at 602 nm and the right panel **(b)** for the Chappuis triplet. The calculations were performed for following scenario: cloud extension 4–7 km, $\tau=10$, $r_e=8\ \mu\text{m}$, $A=0.3$, $\text{SZA}=30^\circ$ and $\text{SAA} = 90^\circ$.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Cloud sensitivity of
ozone retrievals

T. Sonkaew et al.

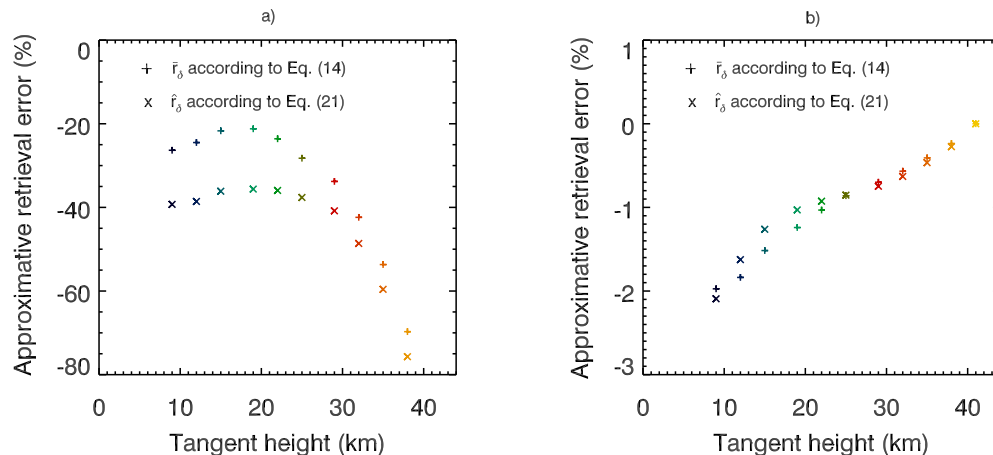


Fig. 2. Comparison of the approximative errors of the ozone profile retrieval calculated with and without linearity assumption according to Eqs. (21) and (14), respectively. The left panel **(a)** shows the results for the absolute limb radiance at 602 nm and the right panel **(b)** for the Chappuis triplet. The calculations were performed for the same set of parameters as in Fig. 1.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Cloud sensitivity of
ozone retrievals

T. Sonkaew et al.

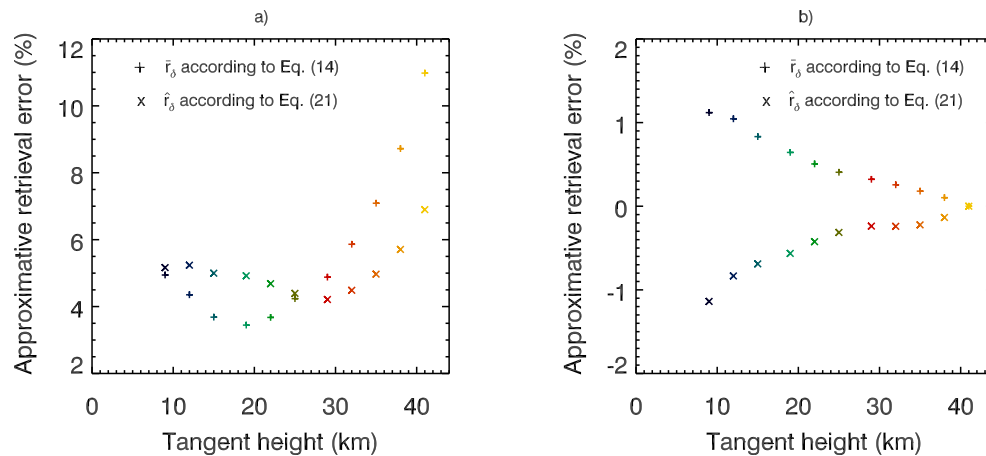


Fig. 3. Same as Fig. 2 but for the surface albedo of 0.9.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[I◀](#)[▶I](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

**Cloud sensitivity of
ozone retrievals**

T. Sonkaew et al.

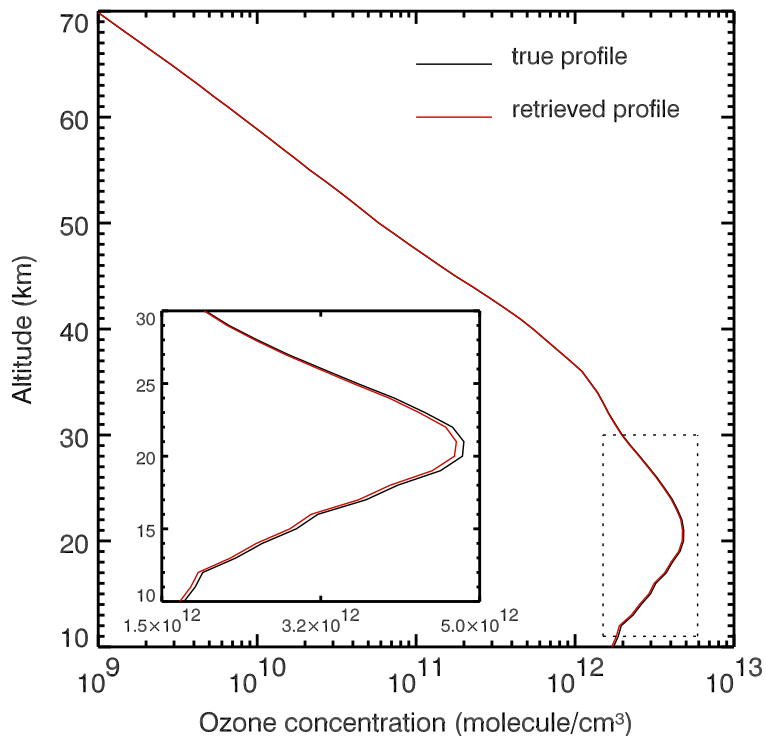


Fig. 4. An example of the ozone vertical profile obtained neglecting the tropospheric clouds in the retrieval process, as described by Eq. (8), in comparison with the true vertical distribution of ozone. The calculations were performed for the same set of parameters as in Fig. 1.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Cloud sensitivity of
ozone retrievals

T. Sonkaew et al.

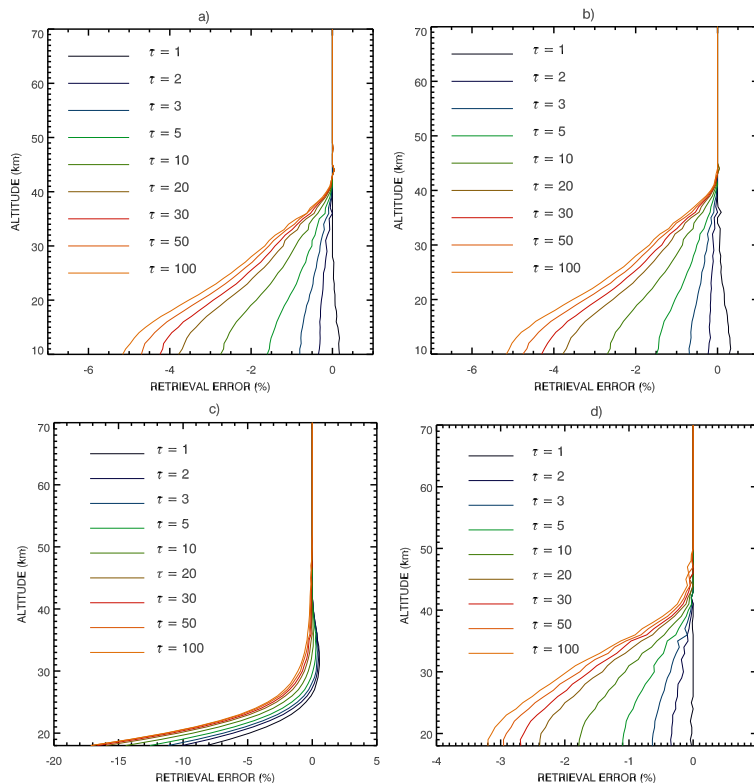


Fig. 5. Relative errors in the retrieved ozone profiles due to neglect of clouds in the retrieval process. Results are shown for different altitudes of the cloud layer and for different cloud optical thicknesses τ : **(a)** low clouds (1–3 km), **(b)** middle clouds (2–7 km), **(c)** high clouds (6–15 km) for the standard tangent height range, i.e., $h_{low} = 9$ km is the lowest tangent height included in the retrieval, **(d)** high clouds for the reduced tangent height range, $h_{low} = 19$ km.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

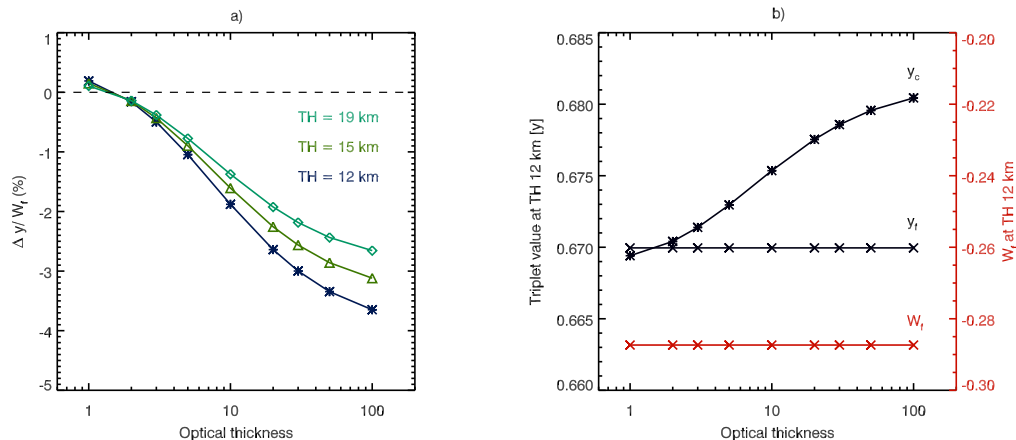


Fig. 6. Left panel: approximative retrieval error according to Eq. (14) at tangent heights of 12 km, 15 km, and 19 km as a function of the cloud optical thickness. Right panel: Chappuis triplet for a cloudy and a cloud-free atmosphere as well as integrated weighting function at a tangent height of 12 km. The calculations were performed for a middle cloud (the same scenario as in Fig. 5b).

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Cloud sensitivity of
ozone retrievals

T. Sonkaew et al.

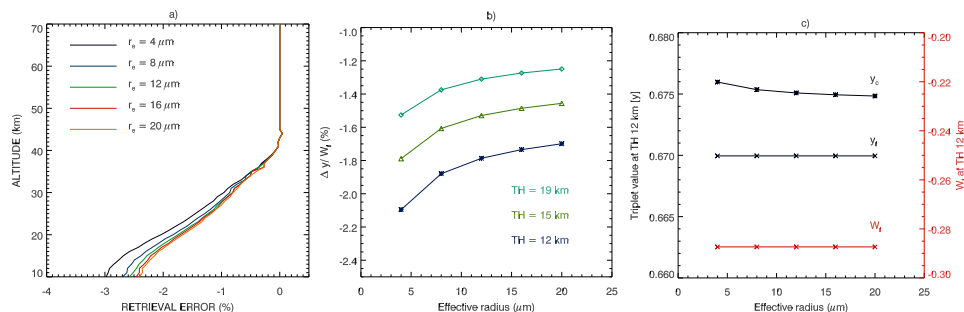


Fig. 7. Left panel: relative errors in the retrieved ozone profiles due to neglect of clouds in the retrieval process for different effective radii of water droplets. Middle panel: approximative retrieval error according to Eq. (14) at tangent heights of 12 km, 15 km, and 19 km as a function of the effective radius of water droplets. Right panel: Chappuis triplet for a cloudy and a cloud-free atmosphere as well as integrated weighting function at a tangent height of 12 km. The calculations were performed for a middle cloud (2–7 km), $\tau=10$, $A=0.3$, $\text{SZA}=30^\circ$ and $\text{SAA}=90^\circ$.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Cloud sensitivity of
ozone retrievals

T. Sonkaew et al.

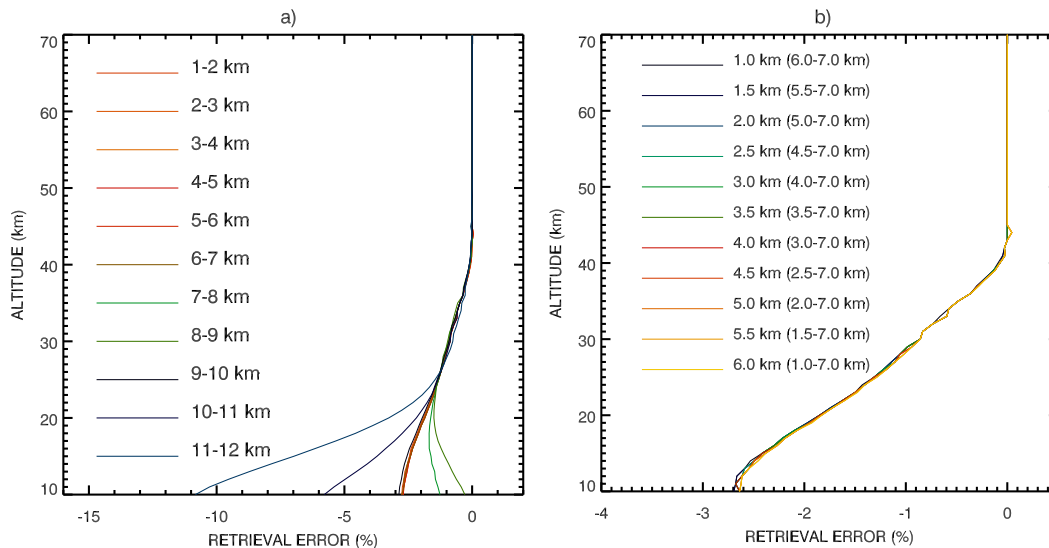


Fig. 8. Relative errors in the retrieved ozone profiles due to neglect of clouds in the retrieval process. The results are shown for different cloud top heights and geometrical thicknesses of the cloud. Left panel: different cloud top heights for a fixed geometrical thickness of cloud (1 km). Right panel: different cloud geometrical thicknesses for a fixed cloud top height (7 km). The calculations were performed for $\tau=10$, $A=0.3$, $r_e=8 \mu\text{m}$, $\text{SZA}=30^\circ$ and $\text{SAA}=90^\circ$.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

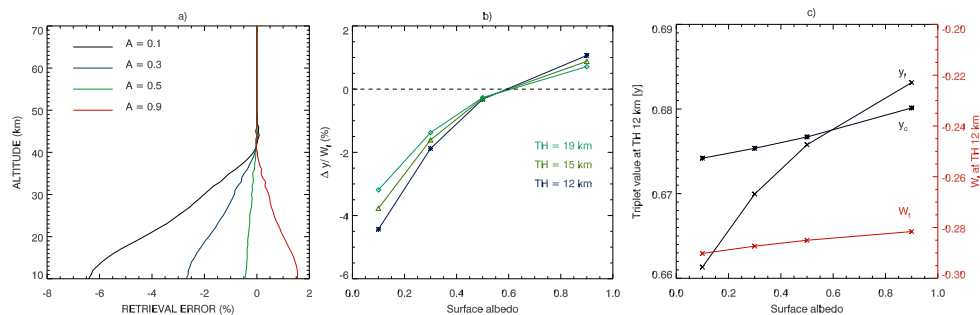


Fig. 9. Left panel: relative errors in the retrieved ozone profiles due to neglect of clouds in the retrieval process for different surface albedos. Middle panel: approximative retrieval error according to Eq. (14) at tangent heights of 12 km, 15 km, and 19 km as a function of the surface albedo. Right panel: Chappuis triplet for a cloudy and a cloud-free atmosphere as well as integrated weighting function at a tangent height of 12 km. The calculations were performed for a middle cloud (2–7 km), $\tau=10$, $r_e=8\ \mu\text{m}$, $\text{SZA}=30^\circ$ and $\text{SAA}=90^\circ$.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Cloud sensitivity of
ozone retrievals

T. Sonkaew et al.

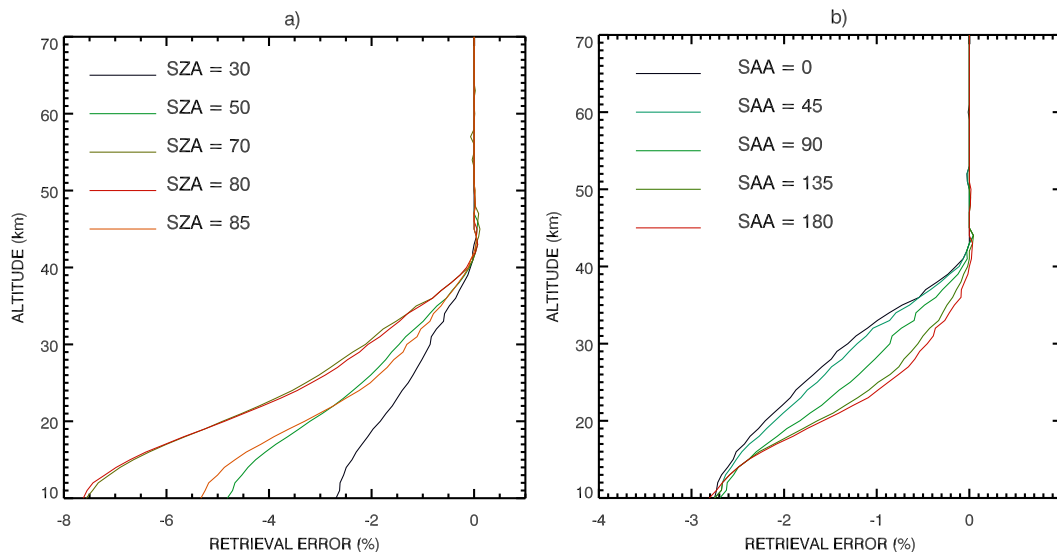


Fig. 10. Relative errors in the retrieved ozone profiles due to neglect of clouds in the retrieval process for different viewing geometries. Left panel: retrieval errors for different solar zenith angles and a fixed solar azimuth angle of 90° . Right panel: retrieval errors for different solar azimuth angles and a fixed solar zenith angle of 30° . The calculations were performed for a middle cloud (2–7 km), $\tau=10$, $r_e=8\ \mu\text{m}$, and $A=0.3$.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Cloud sensitivity of ozone retrievals

T. Sonkaew et al.

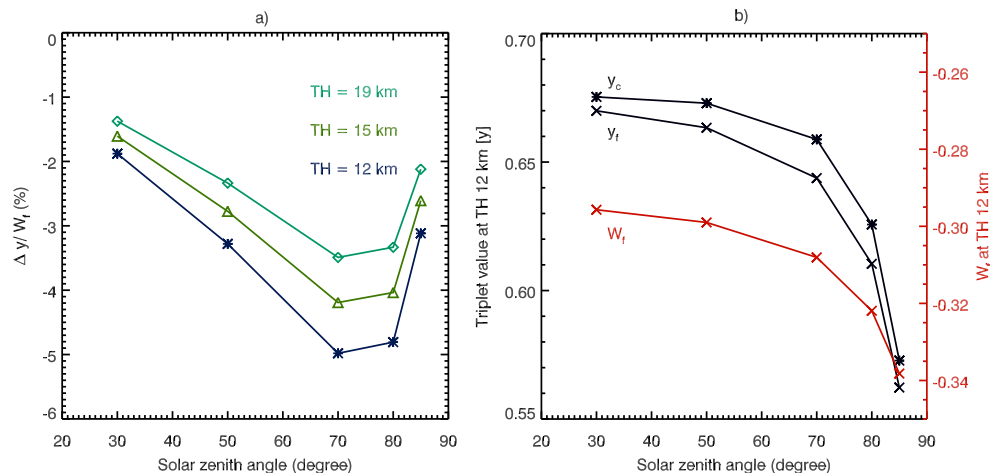


Fig. 11. Left panel: approximative retrieval error according to Eq. (14) at tangent heights of 12 km, 15 km, and 19 km as a function of the solar zenith angle. Right panel: Chappuis triplet for a cloudy and a cloud-free atmosphere as well as integrated weighting function at a tangent height of 12 km. The calculations were performed for a middle cloud (the same scenario as in Fig. 10).

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Cloud sensitivity of
ozone retrievals

T. Sonkaew et al.

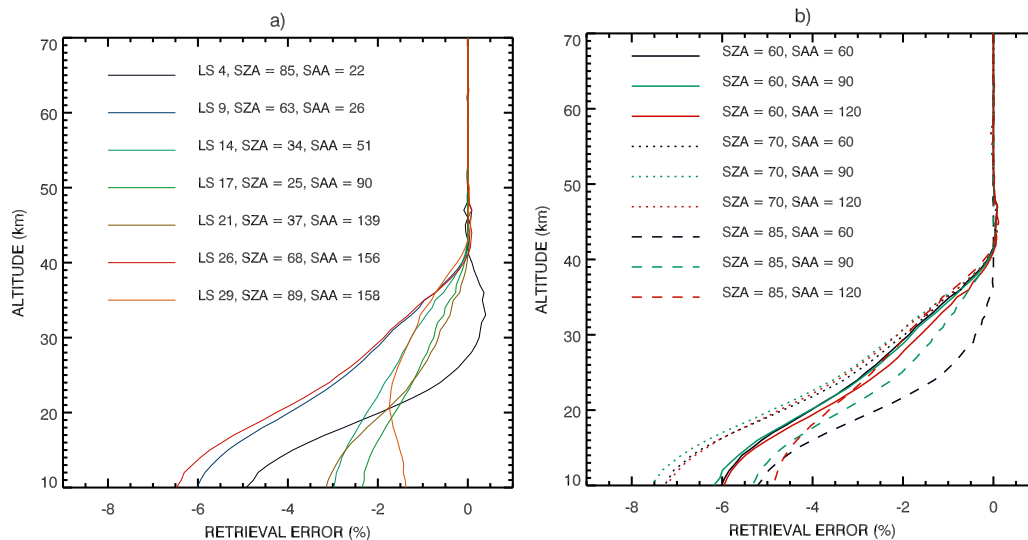


Fig. 12. Relative errors in the retrieved ozone profiles due to neglect of clouds in the retrieval process for viewing geometries typical for SCIAMACHY (left panel) and OSIRIS (right panel) measurements. The calculations were performed for a middle cloud (the same scenario as in Fig. 10).

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

**Cloud sensitivity of
ozone retrievals**

T. Sonkaew et al.

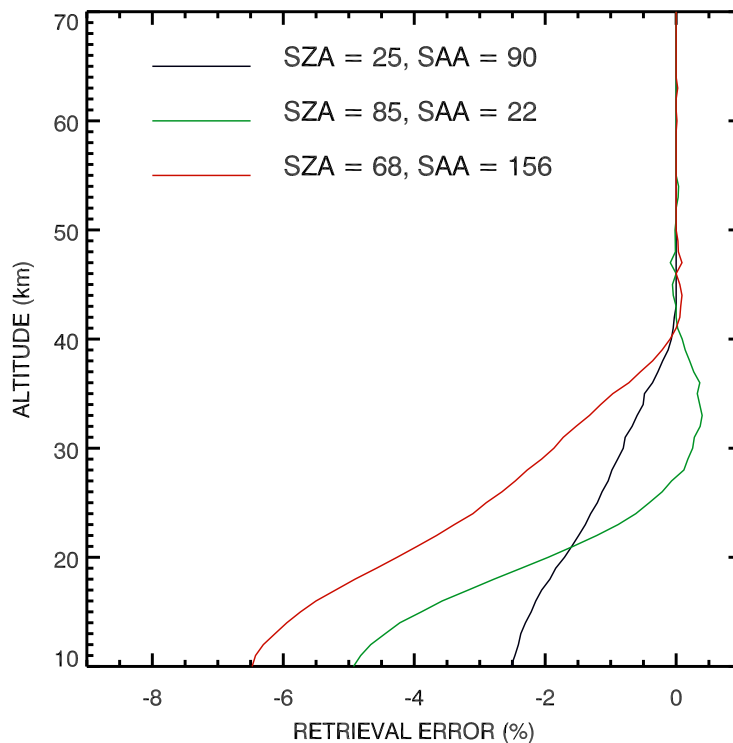


Fig. 13. Relative errors in the retrieved ozone profiles due to neglect of clouds in the retrieval process for most frequent clouds for typical viewing geometries of the SCIAMACHY instrument. The calculations were performed for a cloud layer between 4 and 7 km altitude, $r_e=8\ \mu\text{m}$, $\tau=10$, and $A=0.3$.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)