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Atmospheric influence on a laser beam observed on the OICETS – ARTEMIS communication demonstration link

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Abstract

In 2006 bi-directional optical inter-satellite communication experiments have been conducted between the Japan Aerospace Exploration Agency (JAXA) Optical Interorbit Communications Engineering Test Satellite (OICETS) and the European Space

- Agency (ESA) multi purpose telecommunications and technology demonstration satellite (Advanced Relay and Technology MISsion) ARTEMIS. On 5 April 2006 an experiment was successfully carried out maintaining the inter-satellite link during OICETS's setting behind the Earth limb until the signal was lost. This setup resembles an occultation observation where the influence of Earth's atmosphere is evident in the power
- fluctuations recorded at ARTEMIS's (and OICETS's) receiver. These fluctuations are not existing or at a low level at a link path above the atmosphere and steadily increase as OICETS sets behind the horizon until the tracking of the signal is lost. This specific experiment was performed only once since atmospheric science was not the goal of this demonstration. Nevertheless this kind of data, if available more frequently in fution and help to study on the set of data. The
- ture, can help to study atmospheric turbulence and validate respective models. The data presented here had been recorded at ARTEMIS.

1 Introduction

The Optical Inter-orbit Communications Engineering Test Satellite (OICETS, Japanese name KIRARI) (Jono et al., 2006) was developed by the Japan Aerospace Exploration
Agency (JAXA) and launched into a circular Low Earth Orbit (LEO) 23 August 2005 to an altitude of 610 km at an inclination of 97.8°. The main payload is a laser-based communication terminal called the Laser Utilizing Communication Equipment (LUCE). It was designed to conduct inter satellite laser communication experiments with the European Space Agency's (ESA) Advanced Relay and Technology MISsion (ARTEMIS)
as its counterpart and orbit-to-ground communications (e.g. with the OGS, ESA's Optical Ground Station).



ARTEMIS is a multi-purpose telecommunication and technology demonstration satellite. The ARTEMIS mission began on 12 July 2001 and was subject to an unprecedented rescue that spanned 18 months after a malfunction of the upper stage of the Ariane 5 launcher. The spacecraft control team managed to raise the satellite, which was stranded in an abnormally low transfer orbit to its intended geostationary orbit (GEO) at ~36 000 km 21.5° East on 31 January 2003 using innovative procedures and onboard advanced technology.

The first ever transmission of an image by laser link from satellite to satellite took place on 30 November 2001 with the SILEX (Semiconductor Inter-satellite Link Experi-¹⁰ ment) system, which consists of the OPALE (Optical Payload for Inter-Satellite Link Experiment) terminal on ARTEMIS and the PASTEL (PASsenger TELecom) terminal on the French Earth observation satellite SPOT-4 (Système Probatoire pour l'Observation de la Terre), launched on 22 March 1998, in a 832 km sun-synchronous LEO orbit at an inclination of 98.7° (Tolker-Nielsen and Oppenhaeuser, 2002).

The link experiments between ARTEMIS and OICETS lasted in total for ~8 month. The first bi-directional inter-orbit laser communication was established 9 December 2005, experiments where carried out till the middle of August 2006 resulting in 100 successfully established links (Jono et al., 2007).

Usually the link is established well above Earth's atmosphere to ensure an optimal communication environment. For the special experiment conducted on 5 April 2006 the link was maintained until the experiment came forcibly to an end caused by atmospheric influences. The received power started to fluctuate strongly with a decrease of the average received power finally resulting in a disconnection of the link (Takayama et al., 2007).

The time of the experiment was chosen with respect to the relative positions of ARTEMIS and OICETS so the link could be established at an altitude where the residual atmosphere could be assumed as negligible. Due to the relative motion of the satellites (basically the propagation of OICETS along its orbital trajectory) a classical occultation event occurs. The laser beams start to propagate through the atmosphere at high





altitudes reaching gradually denser regions as OICETS gets closer to the Earth's rim as seen from ARTEMIS. The signal can be tracked as long as the receiver at OICETS can see the transmitter at ARTEMIS (and vice versa, the link is bi-directional), thus until OICETS sets below the horizon and the beams hit Earth's surface. In practice one

⁵ has to consider ray bending in the atmosphere beside purely geometrical considerations to derive the exact ray path. In fact tracking is lost way above the surface due to fluctuations in the optical power received caused by atmospheric inhomogenities.

The results presented here are based on the data recorded at ARTEMIS. This experiment was focused on communication applications; nevertheless it can provide valuable insights for the atmospheric science community.

2 Link experiment

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The main objective of the experiment carried out 5 April 2006 was to observe and study the atmospheric influences on the optical communication link between ARTEMIS and OICETS. The timing of the experiment was chosen in a way to establish the bi-¹⁵ directional link between the two satellites in free space and subsequently observe an occultation event when the laser beams penetrated increasingly dense regions of Earth's atmosphere. The observation geometry is illustrated in Fig. 1 showing ARTEMIS in its geostationary orbit at ~36 000 km whereas OICETS has a close to polar orbit at ~610 km. At time t_1 the bi-directional link acquisition is established and the beams propagate undisturbed trough free space. As OICETS starts to set behind

- ²⁰ the beams propagate undisturbed trough free space. As OICETS starts to set behind Earth's atmosphere at t_2 as seen from ARTEMIS, fluctuations of the received power at both detectors start to increase with a decrease of the average power received until the link is lost. The data is recorded jointly at OPALE's detector at 8 kHz and LUCE (LUCE's sampling rate is 1 kHz).
- The experiment started at 07:21:06 UTC and ended at 07:22:49 UTC, the resulting link path altitude is shown in Fig. 2. The receiver onboard ARTEMIS lost the signal before its counterpart onboard OICETS and fluctuations of the received power are visible





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a bit earlier (\sim 5 s, which roughly correspondence to 8 km) than in the data recorded at OICETS (Takayama et al., 2007).

The ARTEMIS data record starts at 07:21:36 and ends at 07:22:41. The explanation for the earlier disconnection is straightforward, although both beams propagate through

- the same media the signal originating from OPALE on ARTEMIS gets disturbed just before reaching the receiver on OICETS. The beam travels a long distance undisturbed through free space before being influenced by Earth's atmosphere. After emerging from the disturbing volume the distance to the receiver is rather short. The opposite is true for the beam emitted by LUCE on OICETS. The distance to the disturbing volume is rather short but after loaving Earth's atmosphere the disturbed and deflected beam
- is rather short but after leaving Earth's atmosphere the disturbed and deflected beam has to travel a long distance which in fact amplifies the power fluctuations recorded at ARTEMIS.

Figure 3 shows the power detected at ARTEMIS for a 40 s period starting at 07:22:00 UTC (respective altitude range can be seen in Fig. 2). The link is established

- above 100 km link altitude thus no atmospheric influence is to be expected. The procedure is as follows: Both terminals point to each other utilizing an open-loop control based on on-board orbital models. OPALE emits a strong wide-divergence (750 μrad) laser (801 nm) beam scanning a cone of uncertainty. Upon reception LUCE emits its communication beam (847 nm, 5.5 μrad) towards ARTEMIS. When ARTEMIS de-
- tects the incoming communication beam from OICETS its own communication beam (819 nm) is switched on and the beacon is switched off. The pointing accuracy is continuously improved by the coarse and fine pointing (CPM and FPM) closed-loop systems and the bi-directional communication link is established properly.

The data is recorded with 8 kHz by OPALE's receiver on ARTEMIS (received power, x- and y-direction angular corrections of the fine pointing mechanism). Figure 3 shows the power received by OPALE, starting from 07:22:00 UTC, which is set to 0 in the x-axis of the plot. The red line is the average power calculated at 0.1 s intervals. Starting from ~7 s which corresponds to a link altitude of ~66 km first fluctuations of the recorded power appear. The fluctuations increase until ~28 s, which corresponds to

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a link altitude of ~36 km, the detector gets even saturated for the first time (received power >900 nW/m²). Starting from that point in time the power fluctuations stay at a high level saturating the detector frequently. From a brief look at Fig. 3 one might get the impression that till loss of lock enough power is received, but this is just a mislead-

- ing impression caused by the power peaks recorded. The red line in Fig. 3 indicates the 0.1 s power average received. It is evident that around second 28 the average starts to decline until it reaches very low levels at second 40 corresponding to a link altitude of ~20 km. The power peaks stem from the focusing effect of density inhomogenities inside the atmosphere acting like lenses on the beam.
- Figure 4 shows the corresponding scintillation index (SI) computed at 0.1 s intervals, which steeply increases around second 30. The same is true for the angular fluctuation of the incoming beam expressed in the angular correction performed by the fine pointing mechanism (FPM) in the x- and y-directions (shown in Figs. 5 and 6). The x- and y-directions are referenced with respect to the FPM's coordinate system which
- ¹⁵ is defined by the incoming beam representing the z- axis with x- and y- axes are perpendicular to it. Thus the geometry with respect to the Earth could only be derived knowing the exact attitude (azimuth and elevation) of the CPM. Since this data is not readily available conclusions concerning specific horizontal and vertical effects can not be drawn. It is evident in the plots that the fine pointing mechanism gets saturated too
- after second 30 and can not compensate for the deflections of the incoming beam any more. The general pattern is similar to that of power received by the detector. The constant low level of corrections at earlier times is most likely to be attributed to combined micro vibrations of ARTEMIS and OICETS; the atmospheric effects lead immediately to strong signal variations and saturation of the mechanism. Angle of arrival errors
- start to increase later at the ARTEMIS side than at the OICETS side but as mentioned the effects are immediately strong (Perlot, 2009). A closer look at the data reveals a small gradual increase of the average absolute amount of corrections applied (red line in the plots) but interrupted by numerous saturation events. This behaviour seems to be caused mainly by wavefront distortions. Thus the FPM data of ARTEMIS apparently





has little useful information content with respect to atmospheric effects. The situation is potentially a little bit better on the OICETS side.

The scintillation index is computed as the variance of the flux normalized by the square of the average flux:

$$5 \quad SI = \frac{\overline{\left(X - \overline{X}\right)^2}}{(\overline{X})^2}$$

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The average flux is computed from a sliding mean over 0.1 intervals comprising 800 detector readouts.

As mentioned earlier the Figs. 3 and 4 look similar for the power received at OICETS, with the important difference that the pronounced atmospheric effects occur a bit later and subsequently the link is disconnected at a lower altitude. This can be related 10 to the observation geometry resulting in a shorter distance between the LEO satellite OICETS and the atmosphere compared to the distance between the GEO satellite ARTEMIS and the atmosphere. In our case (data recorded at ARTEMIS) the effects in terms of fluctuations in optical power reaching the detector are amplified by the distance (the lateral displacement caused by angular deflections increases with distance).

Link experiment data 3

Analyzing the power distribution of a laser beam reaching a detector, which propagated through the atmosphere, results usually in a log-normal distribution (Churnside, 1987). In fact the fluctuations of the optical power observed at the detector can be attributed to a combination of several effects in our case (Toyoshima and Araki, 2000). 20 The atmospheric influence, beam pointing errors of the transmitter and tracking errors of the receiver (Kiasaleh, 1994), where latter are mutually correlated since the laser communication link is a closed-loop system between the two terminals on ARTEMIS and OICETS. As can be seen in Fig. 3 (first 5 s, beam still above atmospheric layers of



(1)



significant density) the closed-loop system reduces the pointing and tracking errors to a minimum thus fluctuations are dominated by atmospheric effects.

The first 30 s of the time interval displayed in Fig. 3 are analyzed in more depth, since starting from second 31 the detector is frequently saturated (maximum readout 900 nW/m²) and the respective data is thus unreliable.

The probability density function of the received optical power can be estimated from the data observed at ARTEMIS. We process the optical power detected to obtain histograms with respect to the normalized intensity for 1 s periods, in total for $30 \text{ s} (3 \times 10 \text{ s})$. We use a systematic approach to determine the optimal histogram class width as proposed in (Scott, 1979). The class width *w* of those histograms is calculated as follows:

$$w = 2 \cdot 3^{\frac{1}{3}} \cdot \pi^{\frac{1}{6}} \cdot \sigma \cdot n^{-\frac{1}{3}}$$

$$w = 3 \cdot 49 \cdot \sigma \cdot n^{-\frac{1}{3}}$$

This formulation had been derived assuming a Gaussian distribution, with the standard deviation σ . As already mentioned we can expect a log-normal distribution of the received intensity as soon as the beam starts to penetrate the atmosphere. This is the part which is of most interest with respect to turbulences. We can account for that fact by introducing the sample skewness SF and the sample kurtosis KF factors.

$$SF = \frac{1}{1 - 0.0060 \cdot \text{Skewness} + 0.27 \cdot \text{Skewness}^2 + 0.0069 \cdot \text{Skewness}^3}$$
(4)
$$KF = 1 - 0.2 \cdot \left(1 - e^{-0.7 \cdot \text{Kurtosis}}\right)$$
(5)

To account for the real sample distribution Eq. (3) is multiplied by the skewness factor SF (Eq. 4) if the sample skewness is >0 and <3 and by the kurtosis factor (Eq. 5) if the kurtosis –3 is >0 and <6.</p>

Following this procedure 30 histograms have been constructed each covering 1 s of observation time, 30 s in total, to estimate the probability density functions from the observed data. For this analysis the optical power detected at ARTEMIS was taken

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(2) (3)



starting at 07:22:00 UTC and ending 30 s later. To estimate the probability density functions from the histograms a data fitting approach was used where for the fitting procedure Gaussian functions have been assumed. The intensity *I* is normalized by the average intensity I_0 of the first second analyzed. In Figs. 7 to 9 the vertical scaling changes (between max. 0.4 in Fig. 7 to max. 0.04 in Fig. 9), the areas of the underlying histograms were normalized to 1.

It is evident that without atmospheric influence and thus with just pointing uncertainties caused by the relative motion of the satellites and micro-vibrations, the received power is quite stable. In Fig. 7 pronounced peaks are visible exhibiting a narrow en-10 ergy distribution. This tells us that the loop system between ARTEMIS and OICETS works well. Although the distribution still stays narrow as we step forward in time during the first 10 s the detected optical power decreases monotonically, which indicates a monotonically increase in atmospheric density.

We see a similar picture during the next 10 s interval (Fig. 8) but the distributions are getting broader and the decrease is not monotone any more. This means that the beam penetrates more turbulent atmospheric regimes resulting in density fluctuations. The density is not monotonically increasing at small scales as the beam sets through increasingly lower atmospheric layers. This becomes evident looking at second 11 which actually has the broadest distribution and the lowest power level in Fig. 8. Fi-

- nally in Fig. 9 similar patterns are visible with again broader distributions and lower power levels. Around second 26 atmospheric effects strongly kick in leading to a broad distribution and thus quite low power levels. Although quite intense power peaks are recorded, the average power received is reduced dramatically. The shape of the probability density function shifts under the influence of the atmosphere from a close to
- ²⁵ Gaussian to a more log-normal distribution, as to be expected (the closed-loop tracking system minimises effectively pointing & tracking errors). The peaks of the distributions move to the left thus to lower received power.





4 Conclusions

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The power received at the OPALE detector onboard ARTEMIS during a communication link experiment with the Japanese satellite OICETS has been analyzed. The difference between this specific experiment and other optical satellite to satellite communication

⁵ links is the fact that the link was maintained until OICETS started to set behind the atmosphere in a de facto occultation geometry. Atmospheric influences caused a disconnection of the link before the tangent point could reach the Earth's surface.

Looking at the data recorded at OICETS in the bi-directional link one recognizes that atmospheric effects appear later and the link is maintained longer than in the data recorded at ARTEMIS. This can be explained by the distance between the angular disturbance of the beam and the receiver, which is amplifying the beam displacement.

This effectively works like a "magnifying glass" concerning the fluctuations of the upper atmosphere, with the downside of earlier link loss.

Although peaks appear in the received power as the beam starts to penetrate denser layers of the atmosphere the average recorded power starts to decline until the tracking is lost.

The slight jitter visible in the x (Fig. 5) and y (Fig. 6) angular corrections at a constant level before atmospheric effects really kick in can be most likely attributed to micro vibrations originating onboard the satellites.

²⁰ This kind of data, if available more frequently, could help to study atmospheric inhomogenities and the related scintillation phenomena with the potential to aid validation efforts of respective models.

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Fig. 2. Altitude – time diagram of the communication experiment between ARTEMIS and OICETS on 5 April 2006. Start and end of data records are marked in blue for ARTEMIS and red for OICETS.





Fig. 3. Optical power detected at OPALE, 07:22:00 UTC was set to 0 in this plot, where the red line is the average calculated from 0.1 s intervals.





Fig. 4. Scintillation index computed from the optical power recorded at OPALE for 0.1 s intervals, 07:22:00 UTC was set to 0 in this plot.



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Fig. 5. Angular fluctuation of the fine pointing mechanism along the x-direction recorded at OPALE, 07:22:00 UTC was set to 0 in this plot. The red line is the average calculated at 0.1 s intervals of the absolute corrections.





Fig. 6. Angular fluctuation of the fine pointing mechanism along the y-direction recorded at OPALE, 07:22:00 UTC was set to 0 in this plot. The red line is the average calculated at 0.1 s intervals of the absolute corrections.







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Fig. 8. The normalized intensity distribution for a period of 10 s starting at 07:22:10 UTC (10 times 1 s).



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Fig. 9. The normalized intensity distribution for a period of 10 s starting at 07:22:20 UTC (10 times 1 s).



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