

Remotely operable compact instruments for measuring atmospheric CO₂ and CH₄ column densities at surface monitoring sites

N. Kobayashi ¹, G. Inoue ¹, M. Kawasaki ², H. Yoshioka ², M. Minomura ²,
I. Murata ³, T. Nagahama ⁴, Y. Matsumi ⁴, and T. Ibuki ²

[1] Research Institute for Humanity and Nature, Kyoto 603-8047, Japan

[2] Department of Molecular Engineering, Kyoto University, Kyoto 615-8510, Japan

[3] Department of Geophysics, Tohoku University, Sendai 980-8578, Japan

[4] Solar Terrestrial Environment Laboratory, Nagoya University, Nagoya 464-8601, Japan

Correspondence to: M. Kawasaki (kawasaki@moleng.kyoto-u.ac.jp)

Abstract

Remotely operable compact instruments for measuring atmospheric CO₂ and CH₄ column densities were developed in two independent systems: one utilizing a grating-based desktop optical spectrum analyzer (OSA) with a resolution enough to resolve rotational lines of CO₂ and CH₄ in the region of 1565-1585 and 1674-1682 nm, respectively; the other is an application of an optical fiber Fabry-Perot interferometer (FFPI) to the CO₂ column density. Direct sunlight was collimated via a small telescope installed on a portable sun tracker and then transmitted through an optical fiber into the OSA or the FFPI for optical analysis. The near infrared spectra of the OSA were retrieved by a least squares spectral fitting algorithm. The CO₂ and CH₄ column densities deduced were in excellent agreement with those measured by a Fourier transform spectrometer with high resolution. The rovibronic lines in the wavelength region of 1570-1575 nm were analyzed by the FFPI. The I_0 and I values in the Beer-Lambert law equation to obtain CO₂ column density were deduced by modulating temperature of the FFPI, which offered column CO₂ with the statistical error less than 0.2 % for six hours measurement.

1 1 Introduction

2 Carbon dioxide and methane are the most important anthropogenic greenhouse gases, with a
3 contribution of 80% of radiative forcing to **anthropogenic** greenhouse gasses, leading to
4 global warming (IPCC 2001). In order to determine major source and sink regions on the
5 earth, precise measurement of the global column density is an extremely pressing need. A
6 greenhouse gas observing satellite (GOSAT: IBUKI) of Japan was launched on 23 January
7 2009. Data acquisition for the CO₂ and CH₄ column densities has progressed by an onboard
8 Fourier transform spectrometer, FTS (Kuze et al., 2009). GOSAT observes an instantaneous
9 field of view 10.5 km in diameter at every 160 km interval (Kuze et al., 2009, Yokota et al.,
10 2009). For validation of the GOSAT data and also for covering the regions between the
11 sparsely meshed observing points of GOSAT, it has been necessary to develop an apparatus
12 with a high accuracy for measurement of the column density at surface monitoring sites. FTS
13 with high resolution analyzing direct sunlight offers enough sensitivity (Washenfelder et al.,
14 2006; Oyama et al., 2009) for this, and thus 11 ground-based FTIR spectrometers have been
15 operated for the network of SCIAMACHY (Dils et al., 2006) **and Total Carbon Column**
16 **Observing Network (TCCON, 2007)**. FTS instruments, however, have a high cost and are
17 unsuitable for portable use.

18 In the present paper a desktop optical spectrum analyzer (OSA: Yokogawa Electric,
19 AQ6370B-Custom) monitoring the near infrared (NIR) region was examined for applicability
20 to measure CO₂ and CH₄ column densities in air. Spectral resolution of the OSA is higher
21 than that of the FTS onboard GOSAT. Rotational lines in the regions of 1565-1585 and 1665-
22 1685 nm were resolved for CO₂ and CH₄ measurements, respectively. The OSA instrument
23 employed here **costs less than one tenth of a FTS and** is compact, portable, easy to use and
24 rugged.

25 As for the other method to measure atmospheric CO₂ column density, Wilson et al. (2007)
26 have reported that a Fabry-Perot interferometer made of quartz glass has a high enough
27 spectral resolution for resolving the rotational photoabsorption lines centred at 1575 nm
28 (Wilson et al., 2007). A prototype instrument presented by them was composed of a solid
29 etalon Fabry-Perot interferometer, off-axis parabolic mirrors, a beam splitter etc. The
30 instrument is compact but seems to need precise optical alignment. In addition, the solid
31 etalon has an appreciable heat capacity and hence temperature control of the solid etalon
32 would be difficult within 1/100 deg accuracy for keeping the transmission wavelength

1 through the etalon fixed (Wilson et al., 2007). Fiber Fabry-Perot interferometer (FFPI), which
2 has been developed for use in telecommunication industry, has the same optical features with
3 the solid Fabry-Perot interferometer, and thus was tried to measure the CO₂ column density in
4 the present work. The FFPI instrument assembled by simple fiber optics is essentially optical
5 alignment-free, compact, easy to set up and strong against shock. Since the FFPI has a small
6 heat capacity, transmission wavelength of the FFPI quickly responds to temperature change to
7 reduce the time interval for measurement of the CO₂ column density.

8 **2 Instrumental designs**

9 A block diagram for data acquisition is shown in Fig. 1: two small telescopes for the FFPI and
10 OSA are installed on a sun tracker with a GPS, the tracking resolution of which is stated to be
11 0.01° (Prede, ASTX-2, 20 kg). Geophysical data from the GPS and metrological data are
12 accumulated in a laptop computer PC1 that controls also the temperature of the FFPI element.
13 Photoabsorption intensity by the FFPI and solar intensity reference signal are detected by near
14 infrared (NIR) detectors with a wide power range from +20 to -90 dBm (Yokogawa,
15 AQ2200-211). OSA spectra and reference solar intensity signals are recorded by another
16 laptop computer PC2. The grating-operated OSA (Yokogawa, AQ6370B-Costum) is
17 commercially available and has an automatic self-alignment function for optics as well as
18 wavelength calibration.

19 **2.1 Collimation of sunlight**

20 A small telescope was designed to collimate sunlight onto an optical fiber: The sunlight was
21 prefiltered by a long-pass filter (HOYA, R100, $\lambda \geq 1000$ nm) that was attached to the
22 telescope body by sealing with an O-ring for preventing water leakage and then focused by a
23 lens (50 mm in diameter and $f = 100$ mm) on an optical fiber. The sunlight through the optical
24 fiber was transmitted into the OSA or FFPI analyzer. The small telescope was onboard the
25 portable sun tracker.

26 **2.2 Optical spectrum analyzer (OSA)**

27 An optical spectrum analyzer made by Yokogawa AQ3670B-Custom disperses radiation in
28 the wavelength regions of 600-1800 nm and is sensitive down to -90 dBm or 1 pW. The
29 incidence slit width is designed to be equal to a core-diameter of an optical fiber. A
30 multimode optical fiber (MMF) with 62.5 μm in core-diameter was found to transform a

1 practically analyzable photon flux and to give an FWHM = $0.16 \pm 0.01 \text{ cm}^{-1}$ at 1572 nm
2 measured by using a tunable laser (ANDO, AQ4321D).

3 Typical photoabsorption lines of the atmospheric CO₂ measured by the OSA are shown in
4 Fig. 2a, which was scanned in a 34 s period. The intensity of the sunlight in the scanning
5 interval sustained fluctuation of air or shielding by thin cloud. For compensating the
6 fluctuation of the sunlight intensity, 1000-1700 nm radiation was monitored throughout the
7 measurement (gray line in Fig. 2a). Optical bundle fibers were adopted for the monitoring the
8 sunlight intensity. Photons through the central optical fiber were supplied for the spectral
9 analysis and those outer fibers were recorded to be reference signal and utilized for
10 normalization of the raw spectrum.

11 **2.3 Fiber Fabry-Perot interferometer (FFPI)**

12 The instrument described here employs a single mode optical fiber (SMF) FFPI to measure
13 the CO₂ column density through photoabsorption of sunlight. In this design, the sunlight
14 passes through the atmosphere where it undergoes some photoabsorption by atmospheric CO₂.
15 The NIR radiation of 1570-1575 nm where strong CO₂ photoabsorption lines lie was isolated
16 via a narrow bandpass filter, which was made of a quartz glass (Optical Coatings Japan) and
17 installed at the incidence of the optical fiber. The isolated radiation was fed into a 2×2
18 optical coupler of SMF (Tatsuta Electric Wire & Cable) to split into two channels with
19 transmitting intensity ratio of 10:1 where the first stronger channel is for the spectral analysis
20 by the FFPI (Nippon Electric Glass, 13 mm long \times 1.25 mm in diameter) (Sakamoto and
21 Nishii, 2005) and the second weaker one is for reference signal. Output intensity of the FFPI
22 reached several nW and was sufficient for measurements. The FFPI and the optical coupler
23 were set in a small incubator kept at 25 °C.

24 The FFPI was directly jacketed with a low-expansion glass ceramic shroud, and gave a free
25 spectral range (FSR) shown in Fig. 3. The FFPI transmittance fringes were aligned with
26 spacing of the CO₂ photoabsorption lines (Devi et al., 2007) so that photoabsorption due to
27 CO₂ is primarily detected and gives the I_0 and I values in the Beer-Lambert law as will be
28 discussed below. The sunlight intensity via the second channel strongly depended on changes
29 of the NIR solar flux and was recorded as reference signal with 200 ms interval. This fast time
30 response was required to follow the rapid solar flux intensity change that is probably caused

1 by temporal air-fluctuation and shielding by clouds. The signal ratios of the two channels
2 were used to infer the atmospheric CO₂ abundance.

3 The FFPI has a temperature coefficient toward the transmittance wavelength as shown in
4 Fig. 3b and thus the solar light wavelength passing through the FFPI is able to be on- and off-
5 aligned with CO₂ photoabsorption lines by controlling the temperature with a Peltier device
6 (Cell System, TDU-5000R, <150 VA). When the NIR radiation passed through the FFPI most
7 matches the spacing of the CO₂ rotational lines, the detector gives the minimum intensity
8 which effectively corresponds to the I value in the Beer-Lambert law. At the minimum
9 spectral matching the maximum intensity is recorded which corresponds to the I_0 value:

$$10 \quad \ln(I_0/I) = \sigma_t \times N \times L \quad (1)$$

11 where σ_t is the effective total photoabsorption cross section of CO₂ in the measuring
12 wavelength region of this instrument, N the concentration of CO₂ in a unit volume, and L the
13 length from the earth's surface to the space. The product $N \times L$ is the CO₂ column density and
14 determinable when the σ_t is available for the optical system employed.

15 **3 Performance tests**

16 **3.1 Optical spectrum analyzer (OSA)**

17 Figure 4 shows parts of photoabsorption spectra of CO₂ observed by the OSA (solid curve)
18 and the FTS onboard GOSAT (line with dots). The optical resolution of the former is better
19 than that of the latter.

20 One of the campaigns for the validation of the GOSAT measurements was carried out as a
21 joint program of the Japan Aerospace Exploration Agency (JAXA) and the National Institute
22 for Environmental Studies of Japan (NIES) on 26 August 2009 at the Moshiri observatory of
23 Nagoya University in Hokkaido Japan (Latitude 44.366; Longitude 142.26; 290 m above sea
24 level). The following instruments and an aircraft participated in the campaign: a ground-based
25 Bruker 120HR FTS, an aircraft to collect flask samples for CO₂ and CH₄ in the air, balloons
26 to measure pressure, temperature, relative humidity and atmospheric CO₂ concentration over
27 the Moshiri observatory, and an OSA (Yokogawa AQ6370B-Custom). **Photoabsorption**
28 **spectra of CO₂ and CH₄ were measured** by the FTS and the OSA under the same weather
29 conditions. The spectra of the FTS and the OSA were retrieved by adopting a nonlinear least

1 squares spectral fitting algorithm developed for the present work, details of which are given in
2 the Appendix. The FTS spectra were independently retrieved by NIES using GFIT.

3 **3.1.1 CO₂ Column density**

4 Spectra shown in Fig. 2 were observed by the OSA and the FTS on 26 August 2009 at
5 Moshiri observatory of Nagoya University in Hokkaido Japan, where the spectral resolution
6 of the Bruker 120HR FTS was set at 0.02 cm⁻¹. Figure 5 shows enlarged views of the spectra
7 after the retrievals using the peak fitting algorithm given in the Appendix. The atmospheric
8 CO₂ column densities obtained by the OSA and the FTS measurements are given in Table 1
9 for dry air. Relative humidity, temperature and pressure in the air were measured over the
10 Moshiri observatory up to 26 km and took account of in calculating the column densities.

11 A balloon with a well-calibrated CO₂ sensor was launched at 13:30 in order to directly
12 measure CO₂ profile over the Moshiri observatory and the CO₂ concentrations were
13 accumulated till 14:26 (Nakayama and Takekawa, personal communication, 2009). The CO₂
14 concentration measured was 374.4 ± 3.6 ppm on the surface level and 388.8 ± 2.3 ppm at 10
15 km. The column density deduced from the concentrations is given in Table 1 where the
16 atmospheric CO₂ concentration above 10 km was treated after the method in evaluation of
17 CO₂ column average volume mixing ratio (VMR) over Tsukuba (Aoki et al., 2010). The CO₂
18 concentration in the stratosphere above 20 km is considered to be five years older than that of
19 the global mean concentration of CO₂ in the troposphere, which is 385.2 ppm in 2008 with a
20 growth rate of 1.93 ppm/yr (WMO 2008). Thus the CO₂ concentrations in the troposphere and
21 the stratosphere in 2009 are estimated to be 387.1 and 377.6 ppm, respectively. Between 10
22 km and 20 km in altitude the concentration is assumed to be linear (Aoki et al., 2010). **The**
23 **column density for the balloon measurement was thus deduced and given in Table 1.**

24 Atmospheric CO₂ column densities measured by GOSAT have been officially announced
25 and are quoted in Table 1 for 6 August and 2 September 2009. The column densities of
26 GOSAT were measured over Nayoro City in Hokkaido Japan located 20 km east to the
27 Moshiri observatory.

28 Figure 6 shows profiles of the CO₂ column densities measured by the **OSA, the FTS, the**
29 **balloon and GOSAT** in Table 1. **These four independent measurements are consistent.** A little
30 large distribution of the column densities in the FTS (small open circles) probably arises from
31 thin clouds since it was cloudy in the morning at the Moshiri distinct on 26 August 2009. The
32 weather improved in the afternoon but was not cloud-free. The column density of CO₂

1 measured at the Tall Tower site in Wisconsin U.S.A. has shown similar distribution of the
2 CO₂ columns on a partly cloudy day (Washenfelder et al., 2006). Reference signal correction
3 for the OSA spectra (large open circles) seems to be effective for a better distribution.

4 In the present retrievals the CO₂ concentration in the air is a fitting parameter and assumed
5 to be constant (see the Appendix A). The values thus deduced correspond to the column
6 average VMR of CO₂ in dry air, which is defined as the ratio of the deduced column density
7 of CO₂ to total column of dry air (Washenfelder et al., 2006):

$$8 \quad \text{Column average VMR of CO}_2 = [\text{column of CO}_2] / [\text{total column of dry air}] \quad (2)$$

9 The column average VMR for the balloon measurement is calculated to be 378.02 ± 5.16
10 ppm and lies consistently with those of the OSA and the FTS. The total column of dry air in
11 eq.(2) is replaced by the following relation (Washenfelder et al., 2006):

$$12 \quad [\text{total column of dry air}] = [\text{column of O}_2] / 0.2095 \quad (3)$$

13 where the dry-air mole fraction of O₂ is 0.2095 and highly constant in **dry** air. The eq. (2)
14 abbreviated to $x\text{CO}_2$ is then given by

$$15 \quad x\text{CO}_2 = 0.2095 \times [\text{column of CO}_2] / [\text{column of O}_2] \quad (4)$$

16 The FTS spectra from 9:50 to 16:01 were retrieved by NIES using GFIT (denoted by FTS-
17 GFIT hereafter) and the $x\text{CO}_2$ values were deduced by eq.(4). The arithmetic mean of the
18 $x\text{CO}_2$ for 275 retrievals in the FTS-GFIT was 377.05 ± 2.47 ppm as given in Table 1. Flask
19 samples of the atmospheric CO₂ were collected by the aircraft from 530 to 7300 m over the
20 Moshiri observatory and the CO₂ concentration was analyzed by NIES. We obtain the column
21 average VMR or $x\text{CO}_2$ to be 376.56 ± 0.02 ppm for the flask sampling if we assume that the
22 CO₂ concentration of 375.85 ± 0.01 ppm at 7300 m continues up to 10 km. Above 10 km the
23 same assumption in the balloon discussion was applied. The $x\text{CO}_2$ value by the aircraft **agrees**
24 **well with that by** the FTS-GFIT. The $x\text{CO}_2$ for GOSAT is clearly low, **probably being due to**
25 **the measurements on different days from the Moshiri campaign**. It has been announced that
26 the $x\text{CO}_2$ of GOSAT lies lower than expectation of an offline global atmospheric transport
27 model developed by NIES (NIES TM) by 10 - 15 ppm (Yokota et al., 2009). The reason to
28 give the low $x\text{CO}_2$ is now under review.

29 Figure 7 shows the $x\text{CO}_2$ profiles of the OSA (large open circles) and the FTS (small open
30 circles) retrievals by use of the present fitting algorithm as well as the FTS-GFIT (small solid
31 circles). Most of the data concentrate at 377 ppm but some points of the FTS distribute a little

1 wide probably due to shielding effect by thin cloud. The $x\text{CO}_2$ distribution of the OSA is good
2 because of the reference signal correction.

3 The column densities for the FTS-GFIT and the flask samplings in Table 1 were calculated
4 by multiplying the VMR of CO_2 and total column of dry air (see eq. 2) deduced in the
5 analysis of the balloon data, where direct sampling by aircraft has been considered to be high-
6 reliable. The column densities of the OSA, the balloon, the FTS-GFIT and the aircraft agree
7 within $\pm 0.4\%$ difference. The FTS analysis gives a 1.3% less value while the VMR is entirely
8 consistent with that of the aircraft. The VMRs for the others are also in excellent agreement.
9 These observations imply that the OSA has the performance enough to measure the CO_2
10 column density as well as FTS used for a ground-based standard.

11 3.1.2 CH_4 Column density

12 Photoabsorption spectrum of CH_4 measured by Yokogawa AQ6370B-Custom on 26 August
13 2009 at the Moshiri observatory was retrieved for an estimation of the CH_4 column density.
14 Two peaks at 1674.447 and 1677.601 nm in Fig. 8 were used for the retrieval. The column
15 densities and column average VMRs deduced are shown in Fig. 9. The column densities and
16 the $x\text{CH}_4$ values are stable all day long, which are numerically given in Table 2 along with the
17 GOSAT observation.

18 The $x\text{CH}_4$, being a fitting parameter in the present retrieval, is given in Table 2 as well as
19 the FTS-GFIT retrieval, the aircraft and GOSAT. The $x\text{CH}_4$ for the aircraft was calculated by
20 use of the estimation for the balloon measurement. That is, the concentration of 1.850 ppm
21 sampled at 7300 m was assumed to continue up to 10 km. The global mean concentration of
22 CH_4 in 2008 is 1.797 ppm with a growth rate of 2.5 ppb (WMO 2008), giving 1.787 ppm for
23 the stratospheric concentration above 20 km in 2009. The CH_4 concentration between 10 and
24 20 km linearly decreases from 1.850 to 1.787 ppm. We think that the AQ6370B-Custom OSA
25 is usable to elucidate the CH_4 column average VMR in the air.

26 The column density and the $x\text{CH}_4$ of the OSA are less than those of the aircraft by 2.5 %
27 while the values for the FTS-GFIT and GOSAT are about 6 % smaller. From the analyses
28 above mentioned we conclude that the compact OSA is a powerful tool to measure the CO_2
29 and CH_4 photoabsorption spectra in the air and the column densities are retrieved as well as
30 FTS. A portable and remotely operable OSA measures the CO_2 and CH_4 column abundances
31 at any place where electric power is available and will cover the area between the large-
32 meshed observing points of GOSAT.

3.2 Fiber Fabry-Perot interferometer (FFPI)

A spectral profile of the sunlight passing through the narrow bandpass filter is shown in Fig. 10, where the sharp dips superimposed on the peak are assigned to the R-branch lines in the 30012 00001 band of CO₂ (Devi et al., 2007). The spacing of the CO₂ photoabsorption lines between R8e and R22e is 0.324 ± 0.016 nm, which is close to the FRS = 0.317 ± 0.002 nm of the FFPI in Fig. 2. The peak width of the transmitted radiation through the FFPI is $\Delta\lambda = 0.072 \pm 0.002$ nm at FWHM, which is wider than the pressure broadening of the R00e line of CO₂, i.e., 0.050 nm at 1013 hPa (Nakamichi et al., 2006). The temperature coefficient of the FFPI was found to be 13.58 ± 0.16 pm/deg by monitoring wavelength shift of the transmitted light in Fig. 3.

By modulating the temperature of the FFPI between 30 and 45 °C (dotted curve in Fig. 11), the wavelength transmitted through the FFPI shifted and hence the signal intensity via the FFPI periodically changed as shown by the solid curve in Fig. 11. In a 67 s cycle of the temperature modulation, the highest value gives the I_0 while the lowest one corresponds to the I in Eq. (1). Small dip in the peak-top results from overshooting the temperature to give a minimum overlap between the FSR and the spacing of CO₂ photoabsorption lines. The hump in the bottom, which is opposite, results from overshooting the temperature to give a maximum overlap. The dip and hump ensure the maximum and the minimum signal intensities, respectively.

To obtain the effective total photoabsorption cross section, σ_t , a calibration curve in Fig. 12 was measured by changing CO₂ pressure in a photoabsorption cell ($l = 174.1$ cm) in the laboratory. The slope gives the effective total photoabsorption cross section $\sigma_t = (2.696 \pm 0.051) \times 10^{-23}$ cm²/molecule, which is larger than that for the strongest line of R16e with an intensity of 1.7414×10^{-23} cm²/molecule (Devi et al., 2007) because some rotational lines contribute to the effective σ_t . By adopting the σ_t cross section, the CO₂ slant column is calculated by Eq.(1). Airmass correction approximated by the following formula should be performed in obtaining the CO₂ column density (Kasten and Young, 1989):

$$\text{Airmass} = 1/[\cos(Z) + 0.50572 \times (96.07995 - Z) - 1.6364] \quad (5)$$

where Z is the solar zenith angle.

The CO₂ column density thus obtained, however, is only apparent since the effective total photoabsorption cross section σ_t was determined under artificial conditions in the laboratory.

1 For accessing a true value the temporal column density should be normalized by CO₂ column
2 density measured by a standardized instrument. We measured the atmospheric CO₂ column
3 densities by use of the FFPI and the OSA under the same weather conditions at the Katsura
4 campus of Kyoto University in Kyoto Japan (Longitude 34.983, Latitude 135.677, 160 m
5 above sea level). **The coefficients for the normalization in the present work were determined
6 by averaging six days measurements.** An example of the normalized FFPI is shown in Fig. 13.
7 The OSA measurements shown by the solid circles give the column density of $(7.93 \pm 0.06) \times$
8 10^{21} molecules/cm² and the that revised by normalizing parameter for the FFPI shown by the
9 open circles is $(7.94 \pm 0.01) \times 10^{21}$ molecules/cm² on 31 October 2009. It is clear that
10 statistics of the distribution for the FFPI is much better than that for the OSA. Standardizing
11 parameter in the revising procedure of the FFPI should be determined one time for the
12 individual FFPI instrument because the effective σ_t cross section depends strongly on the
13 bandwidth and the transmission efficiency of the sunlight through the narrow pass filter
14 employed.

15 The instrument composed of an FFPI optical device for obtaining the CO₂ column density
16 is much less expensive and easier to operate than the OSA, though it needs to be calibrated
17 and normalized one time by means of a standardized instrument. The fiber devices employed
18 in the present work are essentially optical alignment-free, therefore rugged, and will perform
19 their function at surface sites which may be unsuitable for FTS or OSA instruments.

20 **4 Concluding remarks**

21 Two instruments presented here demonstrate the capability of compact, easy-to-operate,
22 portable, and remotely operable measurement of the atmospheric column density: An optical
23 spectrum analyzer (OSA) with high enough resolution to resolve rotational lines of CO₂ and
24 CH₄, in comparison with a Fourier transform spectrometer (FTS) onboard GOSAT. Using a
25 least squares spectral fitting algorithm developed for the present work, the OSA gives
26 atmospheric CO₂ and CH₄ column densities which beautifully agree with those obtained by a
27 ground-based FTS with high resolution of 0.02 cm⁻¹ and a balloon measurement, and are in
28 agreement with the GOSAT records. The column average concentrations of CO₂ and CH₄
29 were deduced as a parameter in the present retrievals and they are in excellent agreement with
30 those determined by flask samples by an aircraft, the FTS spectra retrieved by GFIT and the
31 balloon. The xCO₂ of GOSAT is a little low (Yokota et al., 2009).

1 A fiber etalon Fabry-Perot interferometer (FFPI), modelled after the work of Wilson et al.
2 who used a solid Fabry-Perot interferometer (Wilson et al., 2007), is modified for measuring
3 the atmospheric CO₂ column density by adopting optical fiber devices. The optically simple,
4 inexpensive and light weighted FFPI instrument has high precision (statistical error < 0.2 %) and
5 fast temperature response though it needs normalization one time by means of a
6 standardized instrument such as OSA or FTS. Measured data are made available on the World
7 Wide Web.

8 **Appendix A**

9 **Data analysis**

10 Photoabsorption spectra of the atmospheric CO₂ and CH₄ measured by the OSA and the FTS
11 were analyzed with a line-by-line algorithm which was originally developed by I. M. and
12 revised by N. K. and H. Y. for the present CO₂ and CH₄ column densities. Principal concept
13 of the analysis is similar to that of a profile retrieval algorithm of GFIT or SFIT2 widely used
14 in FTIR community. The present fitting program is coded so that data in ASCII format are
15 directly analyzable since the OSA produces data file with csv extension. Photoabsorption line
16 shape was approximated by means of the Voigt function, whose rapid computation has been
17 reported by Drayson (Drayson, 1976). Original data recorded by the Bruker 120HR FTS were
18 converted into ASCII data sheet with dpt extension by use of an OPUS program.

19 In the forward model calculations the atmosphere up to 48 km was divided into 28 vertical
20 layers. The slant column density was derived by a least squares fit of the forward model for
21 the spectra in the regions of 1570-1574 and 1673.8-1677.7 nm for CO₂ and CH₄, respectively.
22 Photoabsorption due to H₂O was checked by the HITRAN 2008 database and took account of
23 in the retrievals.

24 The nonlinear least squares spectral fitting algorithm requires several input parameters.
25 They are SZA, the spectral line parameters for the photoabsorption, a priori profiles of VMR
26 for CO₂, CH₄ and H₂O, atmospheric temperature, pressure, relative humidity, solar spectrum
27 and instrumental line shape (ILS). Geographical information including the SZA for each run,
28 longitude, latitude and above sea level was directly obtained via the GPS in the sun tracker.
29 The profiles of temperature, pressure, and relative humidity above 500 m were obtained from
30 a radiosonde observation measured on 26 August 2009 over the Moshiri observatory. The
31 meteorological data on the surface were monitored by a pressure transducer (Setra, 276) and 2
32 sensors for temperature and relative humidity (Sensirion, SHT71) during the run. A fitting

1 parameter corresponding to the column average concentration of CO₂ or CH₄ in the air was
2 assumed to be constant. The ILS for the AQ6370B-Custom OSA was approximated by a
3 triangle. Full width at half maximum (FWHM) of the triangle was the second parameter to get
4 the best fitted spectrum and found to be 0.168 cm⁻¹ for the incidence of a MMF with 62.5 μm
5 in core-diameter employed. The ILS for the Bruker 120HR FTS was a boxcar with a width of
6 0.02 cm⁻¹.

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14 Nippon Electric Glass Co. Ltd. for providing the fiber etalon Fabry-Perot interferometer
15 optical devices, and Nishimura Co. Ltd. for designing the collimation system of the near
16 infrared radiation of the sun.

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23 Atmosphere, 5, 160-163, doi:10.2151, 2009.

1 Table 1. Column densities and column average concentrations of CO₂ at the Moshiri
 2 observatory measured by different instruments.

Method	Column Density (10 ²¹ molecules/cm ²)	Concentration ^a (ppm)	Time of Measurement ^b	Number of Measurements
OSA ^c	7.890 ± 0.028	377.09 ± 1.35	9:40 ~ 16:11	70
FTS ^d	7.800 ± 0.081	376.60 ± 3.93	10:19 ~ 15:50	84
Balloon	7.934 ± 0.108	378.02 ± 5.16	13:30 ~ 14:26	1
FTS-GFIT ^e	7.914 ± 0.052 ^f	377.05 ± 2.47	9:50 ~ 16:01	275
Aircraft ^g	7.903 ± 0.0004 ^f	376.56 ± 0.02	12:37 ~ 13:57	8
GOSAT ^h	7.778 ± 0.034	367.73 ± 1.59	12:48; Aug. 6	1
	7.887 ± 0.030	368.75 ± 1.41	12:47; Sep. 2	1

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4 ^a Column average volume mixing ratio.

5 ^b 26 August 2009 except GOSAT.

6 ^c Optical spectrum analyzer, Yokogawa AQ6370B-Custom.

7 ^d Fourier transform spectrometer, Bruker 120HR. Retrieved by the algorithm in the present
 8 work (see the Appendix).

9 ^e Retrieved by NIES using GFIT.

10 ^f Calculated by us with multiplying the concentration and total column of dry air.

11 ^g Flask sampling and analyzed by NIES.

12 ^h Measured over Nayoro City in Hokkaido Japan located 20 km east to the Moshiri
 13 observatory.

1 Table 2. Column densities and column average concentrations of CH₄ at the Moshiri
 2 observatory.

Method	Column Density (10 ¹⁹ molecules/cm ²)	Concentration ^a (ppm)	Time of Measurement ^b	Number of Measurements
OSA ^c	3.76 ± 0.06	1.796 ± 0.028	9:40 ~ 16:11	48
FTS-GFIT ^d	3.62 ± 0.03 ^e	1.725 ± 0.016	9:50 ~ 16:01	275
Aircraft ^g	3.86 ± 0.03 ^e	1.841 ± 0.012	12:37 ~ 13:57	8
GOSAT ^h	3.64 ± 0.01	1.721 ± 0.004	12:48; Aug. 6	1
	3.69 ± 0.01	1.729 ± 0.005	12:47; Sep. 2	1

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4 ^a Column average volume mixing ratio.

5 ^b 26 August 2009 except GOSAT.

6 ^c Optical spectrum analyzer, Yokogawa AQ6370B-Custom.

7 ^d Retrieved by NIES using GFIT.

8 ^e Calculated by us with multiplying the concentration and total column of dry air.

9 ^g Flask sampling and analyzed by NIES.

10 ^h Measured over Nayoro City in Hokkaido Japan located 20 km east to the Moshiri
 11 observatory

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1 **Figure captions**

2 **Figure 1. Block diagram for data acquisition.**

3

4 **Figure 2.** CO₂ photoabsorption spectra measured by OSA and FTS on 26 August 2009 at
5 Moshiri in Hokkaido, Japan.

6

7 **Figure 3.** (a) Free spectral range (FSR = 0.317 ± 0.002 nm) and full-width at half maximum
8 (FWHM = 0.072 ± 0.002 nm) of FFPI; (b) Spectral shift of the transmission wavelength by
9 temperature. Temperature coefficient = 13.58 ± 0.16 pm/deg.

10 **Figure 4.** Parts of CO₂ photoabsorption spectra measured by OSA and FTS onboard GOSAT.
11 OSA: **red** curve; GOSAT: **blue** line with dots.

12

13 **Figure 5.** Enlarged spectra shown in Fig. 2 for the OSA and the FTS after retrievals **given in**
14 **the Appendix section.** Black curves denote the observed spectra and red ones for the retrievals.

15

16 **Figure 6.** CO₂ column density profile measured by OSA, FTS, balloon and GOSAT. OSA:
17 large open circles; FTS: small open circles; Balloon: arrows; GOSAT: gray solid circles.

18

19 **Figure 7.** Column average concentration profile of CO₂. OSA: large open circles; FTS: small
20 open circles; FTS-GFIT: small solid circles; GOSAT: gray solid circles.

21

22 **Figure 8.** CH₄ photoabsorption spectrum for OSA retrieval. Black curve denotes the observed
23 spectrum and red one for the retrieval. The arrows are the photoabsorption lines of CH₄.

24

25 **Figure 9.** Column density and column average concentration profiles. OSA: large open
26 circles; FTS-GFIT: small solid circles; GOSAT: gray solid circles.

27

1 Figure 10. Spectral profile of the sunlight through a narrow bandpass filter. Assignments for
2 the R-branch in the CO₂ (30012 ← 00001) transition are shown.

3

4 Figure 11. Signal intensity of the CO₂ photoabsorption (solid curve) measured by modulating
5 the FFPI temperature in a 67 s cycle (dotted curve). The highest value gives the I_0 while the
6 lowest one corresponds to the I value in eq. (1). Small dip at the top and hump at the bottom
7 result from overshooting the etalon temperature.

8

9 Figure 12. Absorbance of CO₂ for **determination of the** effective total photoabsorption cross
10 section measured with neat CO₂ at room temperature **in the laboratory**.

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12 Figure 13. CO₂ column density measured by FFPI on 31 October 2009 at Katsura Campus of
13 Kyoto University, Kyoto Japan. Open circles are the CO₂ column densities after
14 normalization and the solid circles are those measured by OSA at the same time.

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