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Abstract

MIPAS observations of temperature, water vapor, and ozone in October 2009 as derived with the scientific level-2 processor run by Karlsruhe Institute of Technology (KIT), Institute for Meteorology and Climate Research (IMK) and CSIC, Instituto de Astrofísica de Andalucía (IAA) and retrieved from version 4.67 level-1b data have been compared to co-located field campaign observations obtained during the MOHAVE-2009 campaign at the Table Mountain Facility near Pasadena, California in October 2009. The MOHAVE-2009 measurement campaign provided measurements of atmospheric profiles of temperature, water vapor/relative humidity, and ozone from the ground to the mesosphere by a suite of instruments including radio sondes, frost point hygrometers, lidars, microwave radiometers and FTIR spectrometers. For MIPAS temperatures (version V4O_T_204), no significant bias was detected in the middle stratosphere; between 22 km and the tropopause MIPAS temperatures were found to be biased low by up to 2 K, while below the tropopause, they were found to be too high by the same amount. Above 12 km up to 45 km, MIPAS water vapor (version V4O_H2O_203) is well within 10 % of the data of all correlative instruments, while a high bias of up to 10 % is found in comparison to ground-based microwave instruments around 45 km. The well-known dry bias of MIPAS water vapor above 50 km due to neglect of non-LTE effects in the current retrievals has been confirmed. Some instruments indicate that MIPAS water vapor might be biased high by 20 to 40 % around 10 km (or 5 km below the tropopause), but a consistent picture from all comparisons could not be derived. MIPAS ozone (version V4O_O3_202) has a high bias of up to +0.9 ppmv around 37 km which is due to a non-identified continuum like radiance contribution. No further significant biases have been detected. Cross-comparison to co-located observations of other satellite instruments (Aura/MLS, ACE-FTS, AIRS) is provided as well.

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1 Introduction

Altitude resolved satellite measurements of atmospheric temperature, water vapor content and ozone mixing ratios are essential to obtain a global picture of the state of the atmosphere in the light of global change. One instrument providing such data is the Michelson Interferometer for Passive Atmospheric Sounding (MIPAS) (Fischer et al., 2008) onboard the Envisat research satellite. MIPAS is a mid-infrared limb emission Fourier transform spectrometer designed for global vertical profile measurement of temperature and many atmospheric trace constituents relevant to atmospheric chemistry and climate change. The measurement range of MIPAS extends from the upper troposphere to the lower thermosphere. MIPAS temperature measurements are a target result in their own right, because global altitude-resolved temperature information particular in the upper stratosphere and above is limited. Beyond this, precise knowledge of temperatures is an essential precondition to trace gas retrievals, because the thermal emission of trace molecules depends strongly on temperature, and any temperature retrieval error will propagate onto the retrieved concentration profiles. Water vapor and ozone, also part of the MIPAS data product, are essential climate variables, contribute to the greenhouse effect of the atmosphere, are involved in atmospheric chemistry, and are tracers of atmospheric transport.

There exist multiple processors for analysis of MIPAS spectra; this paper focuses on temperature, water vapor and ozone profiles retrieved with the data processor operated by the Institute of Meteorology and Climate Research (IMK) at the Karlsruhe Institute of Technology (KIT) in cooperation with the Instituto de Astrofísica de Andalucía (von Clarmann et al., 2003b), which supports analysis of a greater variety of atmospheric species than the operational ESA processor (Ridolfi et al., 2000; Raspollini et al., 2006) and more observation modes with an extended altitude range. From summer 2002 to spring 2004, MIPAS measured in its original measurement mode at a spectral resolution of 0.025 cm^{-1} unapodized. Retrieval of temperature, water vapor and ozone profiles has been described by von Clarmann et al. (2003b), Milz et al. (2005), and

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Glatthor et al. (2005, 2006), respectively. The functionality of the retrieval processor and the underlying radiative transfer code KOPRA (Stiller et al., 2002) were validated by von Clarmann et al. (2003a) and von Clarmann et al. (2002, 2003c), respectively. The resulting MIPAS data product was validated by Wang et al. (2004, 2005) for temperature, Milz et al. (2009) for water vapor and Steck et al. (2007) for ozone. After a failure of the interferometer slide in 2004, measurements of the original high spectral resolution were no longer possible, and from March 2005 on measurements in the new so-called optimized nominal measurement mode were recorded at 0.0625 cm^{-1} unapodized. The retrieval scheme had to be adjusted to the new measurement mode (von Clarmann et al., 2009). This paper reports the first validation of these new data products, which took place within the framework of the MOHAVE-2009 campaign at Table Mountain (California) in October 2009 (Leblanc et al., 2011b), where a multitude of in situ, lidar and remote sensing instruments provided co-incident measurements.

2 MIPAS data and retrieval

MIPAS on Envisat provides in its optimized-resolution nominal observation mode about 1300 radiance profiles per day, each consisting of 27 radiance spectra covering the altitude range of 6 to 70 km, and the spectral range of 4.15 to $14.6\ \mu\text{m}$. The sun-synchronous orbit of Envisat at appr. 800 km altitude allows to cover the globe from pole to pole, with a horizontal sampling of 410 km along 14.4 orbits per day. The vertical sampling is 1.5 km up to 21 km altitude, 2 km up to 31 km altitude, 3 km up to 46 km altitude and 4 km above. The instantaneous vertical field of view covers 3 km, i.e. oversampling is achieved in the troposphere and lower stratosphere. Due to its emission sounding capability, MIPAS records spectra of the atmosphere during day and night.

Retrieval of temperature and trace gases from the optimized-resolution nominal observation mode at IMK/IAA is described in von Clarmann et al. (2009). The retrieval is based on constrained inverse modelling of limb radiances. The IMK/IAA processor

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performs regularized retrievals on a finer altitude grid (1 km gridwidth in the troposphere up to the middle stratosphere). Thus, stable solutions can only be obtained by regularization. While other MIPAS processors (Burgess et al., 2004, 2006; Hoffmann et al., 2008) regularize by the maximum a posteriori (also known as optimal estimation) method (Rodgers, 2000), the IMK/IAA processor uses a smoothing constraint, which operates by weighted minimization of the squared first order finite differences of adjacent profile values, using a Tikhonov (1963) formalism. The intent of this choice is to make the resulting profiles less dependent on the a priori profiles. For each target, dedicated spectral ranges, so-called microwindows, are used which were selected such that the total error consisting of measurement noise and parameter errors from the forward modeling is optimized.

For the retrieval targets analysed in this paper, i.e. temperature, water vapor and ozone, a detailed description of the specific retrieval approach, microwindows and the estimated precision, accuracy and vertical resolution for the current data versions (version V4O_T_204, version V4O_H2O_203, and version V4O_O3_202) is given in von Clarmann et al. (2009). A summary of the relevant numbers, i.e. vertical resolution, measurement noise error, total precision (including measurement noise and all parameter errors of random nature), total accuracy (including total precision and all systematic error sources), and horizontal resolution along the line-of-sight (in terms of full width at half maximum of the horizontal averaging kernel) is provided in Table 1.

Preliminary comparisons of the retrieved MIPAS temperatures with ECMWF temperature fields indicated that there might be a systematic retrieval problem in the subtropics (25° to 40° N/S) below ~22 km: MIPAS temperatures seemed to be systematically higher by up to 2 K below the tropopause and lower by up to 2 K between the tropopause and ~22 km. Since any temperature retrieval error will propagate onto the retrieved concentration profiles, a careful validation of temperatures is most important.

3 MOHAVE-2009 campaign

The Measurements of Humidity in the Atmosphere and Validation Experiments (MOHAVE) 2009 campaign took place at the JPL Table Mountain Facility (TMF) at 34.4° N, 117.7° W on 12–26 October 2009. MOHAVE-2009 was an extended version of the MOHAVE and MOHAVE-2 campaigns held at TMF in October 2006 and 2007. These campaigns, endorsed by the Network for the Detection of Stratospheric Composition Change (NDACC), allowed a thorough evaluation of the water vapor Raman lidar measurements up to the lower stratosphere by comparing to RS92 radiosonde and Cryogenic Frost-Point Hygrometers profiles.

Though lidar validation had again triggered the planning of the campaign, many other instruments and techniques joined the intercomparison efforts, leading to one of the most extensive atmospheric water vapor validation campaign ever performed. The main goal of the campaign was to validate the water vapor measurements of four Raman lidars, two microwave radiometers, two types of operational radiosondes, two types of Frost-Point hygrometers, and an Infrared Fourier-Transform Spectrometer, as well as the column water measurements of a Ultra-Violet Fourier-Transform Spectrometer and two Global Positioning System (GPS) receivers. Measurements from five satellite instruments were included in the set of correlative data. Another goal of the campaign was to provide water vapor profiles from the ground to the mesopause without gaps. The third and last objective was to study water vapor variability in the UTLS in connection with the position of the subtropical jet near TMF.

The MOHAVE-2009 not only hosted all the instruments hosted in 2006 and 2007, but hosted three additional instruments and/or techniques, leading to the correlative measurement of temperature and water vapor from the ground to the mesopause, and ozone from the ground to the stratopause. To optimize the lidar range, the core of the campaign was centered near 19 October at the occurrence of the new moon. Additional high priority nights (i.e., selected timing and increased density of the measurements and balloon launches) corresponded to the Aura MLS, Aura TES, Aqua AIRS,

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ACE, and MIPAS best coincidences near TMF. The campaign operations were adjusted in real time following the most favorable atmospheric conditions. High-resolution PV analysis and forecasts from the MIMOSA transport model (Hauchecorne/CNRS) supported the measurement planning. A more detailed description of the campaign operations and planning rationale is provided in the review paper by Leblanc et al. (2011b), this issue.

3.1 Operated instruments

A detailed description of the measurement principles and instrument details operated during MOHAVE-2009 is provided in the review paper by Leblanc et al. (2011b), and the dedicated articles in the present special issue on the MOHAVE-2009 campaign (Hurst et al., 2011a; McDermid et al., 2011; Leblanc et al., 2011c; Whiteman et al., 2011). Here we give only a short introduction to the instruments which have been used within the validation of MIPAS.

3.1.1 Lidars

The JPL water vapor Raman Lidar at TMF (TMW) is a high-capability lidar system dedicated to the measurement of water vapor in the upper troposphere and lower stratosphere (Leblanc et al., 2008; McDermid et al., 2011; Leblanc et al., 2011c). The light emitted by a Nd:YAG laser at 355 nm is inelastically backscattered by atmospheric nitrogen and water vapor, and collected at 387 nm and 407 nm respectively. After a few typical signal corrections, the ratio of the lidar signals collected in the water vapor and nitrogen channels is proportional to water vapor mixing ratio. The profiles are calibrated using external measurements, more specifically radiosonde during MOHAVE-2009. Systematic uncertainty is estimated to be 5–10 % mainly depending on the calibration accuracy. Precision is mostly driven by random noise (photon counting), and typically ranges for several hours of integration from under 0.5 % in the mid-troposphere to 50 % in the UTLS. To mitigate this noise, the profiles are vertically smoothed and the

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resulting resolution ranges from 150 m at the bottom to a few kilometers at 20 km. In addition, two other mobile lidar systems from the NASA-Goddard Space Flight Center (GSFC), referred to hereafter as “ALVICE” and “STROZ” lidars were employed during MOHAVE-2009 and used for MIPAS temperature, water vapor, and ozone validation.

The ALVICE system (Atmospheric Laboratory for Validation, Interagency Collaboration and Education) is a mobile facility that includes various atmospheric instruments in addition to the Raman lidar. The system provides, among other components, measurements of water vapor and rotational Raman temperature measurements which were tested for the first time during the MOHAVE-2009 campaign. The performance of the various components of the ALVICE system are discussed in Whiteman et al. (2011). The vertical resolution of the ALVICE system ranges up to 1.2 km in the upper parts of the profile. For the comparison to MIPAS measurements we used temperature and water vapor mixing ratio measurements from ALVICE. For water vapor the so-called best estimate profiles were used. This best estimate product merges the variably smoothed, 1 h sum and all night lidar profiles and includes a ground value of mixing ratio derived from ground-based in-situ sensors. The all-night lidar product includes a correction for signal dependent bias believed to be due to fluorescence of contaminants present in the lidar telescope.

The Stratospheric Ozone (STROZ) lidar, operational since 1989, was developed within GSFC Stratospheric Chemistry and Dynamics Branch to be an ozone, and temperature lidar validation standard within NDACC (formerly NDSC) (McGee et al., 1991, 1995). The STROZ lidar operated in three separate mode during MOHAVE-2009; an ozone mode, FOV = 2.3 mRad, 308 nm and 355 nm transmitted. This mode typically was operated for two hours, and ozone temperature aerosol and water vapor was retrieved from this data. The second mode transmitted only 355 nm, the main telescope was closed down to 1.0 mRad, and aerosol temperature and water vapor was retrieved from these data. The third mode consisted of transmitting only 355 nm, FOV = 1.0 mRad, but a filter which blocked 355 nm, while transmitting 387 and 407 nm radiation was placed prior to the collimation optics of the main telescope. This mode

the mirror thermistor must be calibrated with high accuracy and this is accomplished using NIST-traceable standards.

Temperature measurements provided with the frost point hygrometer data are from radiosonde measurements flown with the frost point hygrometers. Measurements on temperature and pressure from two different sondes are provided - the one is an Internet-1 sonde, the other a RS92 radiosonde. We have used temperatures from the RS92 sondes for comparisons to MIPAS. Because there is an altitude-dependent pressure bias between the two types of radiosondes, the water vapor mixing ratios calculated using one set of pressure differs from the other. Above 20 km the pressure bias makes frost point hygrometer water vapor mixing ratios calculated using Internet sonde pressures 2–4 % higher than if calculated using RS92 sonde pressures. Below 20 km, however, pressure differences between Internet and RS92 sondes are small, making the water vapor mixing ratio differences small. We have used in our comparisons to MIPAS the water vapor mixing ratios calculated with RS92 sonde pressures.

The ozone data provided with the frost point hygrometer measurements are from ozonesondes that were flown with the frost point hygrometers. All the ozonesondes were from the same manufacturer (EnSci) and were the model (2Z). These ozonesondes are of the ECC (electrochemical concentration cell) type. There should be no differences between the ozonesondes flown with FPH and CFH.

3.1.3 Radiosondes

Two types of meteorological radiosondes, designed for worldwide use on operational basis, were launched during MOHAVE-2009, namely the Internet-1 and Vaisala RS92 radiosondes. For validation of MIPAS, RS92 radiosonde data were used only for temperature, since the data on water vapor volume mixing ratio calculated from the relative humidities in the overlap region of MIPAS and RS92 measurements are not accurate enough for a meaningful validation. As shown in Hurst et al. (2011a) the Internet-1 temperature measurements have a bias of 0.5 K, and the RS92-RS92 comparisons suggest that the total uncertainty in RS92 temperature measurements is better than

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0.5 K throughout the profile, which is consistent with an uncertainty analysis by Luers (1997) about an earlier version of this sensor. A total of 58 RS92 radiosondes were launched. In 14 cases, two RS92 were mounted on the same balloon payload (“duals”). Data were received by two separate ground systems, one (called RS92_JPL in the following) owned, launched, and processed by the JPL lidar group, and the other one owned and operated by the ALVICE group (called RS92_GFSC in the following). For the two systems, the processing software (digicora) version is slightly different, and the GSFC sondes include a GPS receiver, while the JPL ones do not. Although it is not mandatory to distinguish between the JPL and GSFC RS92s since the accuracies are equivalent, we have kept them separate in the following. Further measurements from radiosondes come from frost point hygrometer launches.

3.1.4 Microwave radiometers

Two ground-based microwave radiometers participated to the campaign, namely the Water Vapor Millimeter-wave Spectrometer (WVMS) permanently deployed by the US Naval Research Laboratory (NRL) at TMF (Nedoluha et al., 2011) and the portable Middle Atmosphere WATER vapor RAdiometer (MIAWARA-C) from University of Bern, Switzerland (Straub et al., 2010). During a 5-month validation campaign the standard deviation of the MLS (version 2) -WVMS differences was shown to be 5% from 26–70 km and the systematic difference was within 8% throughout this altitude range. Both instruments use the pressure broadening of the water vapor rotational transition absorption line near 22 GHz for the retrieval of the altitude distribution of water vapor.

The daily profiles during the MOHAVE-2009 campaign cover an altitude range between about 30 and 70 km with a vertical resolution of about 15 km. The covered altitude depends on the signal to noise ratio of the integrated spectrum, which itself depends on the tropospheric conditions. Analysis of the MIAWARA-C forward and retrieval model provides estimate of errors in the profiles which are typical for ground based 22-GHz water vapor radiometers. The total systematic 2- σ error, taking uncertainties from the a priori temperature information, the calibration and the spectroscopy

into account, is below 16% at all altitudes, while the random error from measurement noise increases from 10% at altitudes up to 50 km to 25% between 50 and 70 km. The vertical resolution of MIAWARA-C is 12 to 15 km.

3.1.5 FTIR ground-based spectrometer MkIV

The MkIV FTIR spectrometer was designed and built at JPL in 1984 (Toon, 1991). Since then it has been operated on different platforms (ground-based, balloon-borne, and airborne) in the framework of a large variety of different campaigns mainly dedicated mainly to the investigation of stratospheric chemistry. The MkIV can measure high resolution spectra (maximum optical path difference of up to 200 cm) and covers a very broad spectral range (650–5650 cm^{-1}). For the MOHAVE-2009 campaign water vapor profiles were retrieved following the method described in Schneider et al. (2010). The range of sensitivity for the MkIV instrument is limited from the ground to the upper troposphere which makes comparisons to MIPAS difficult due to a very small overlap range.

3.2 Co-located satellite observations

3.2.1 Aura/MLS

Aura MLS was launched on 15 July 2004 into a near polar sun-synchronous orbit at 705 km altitude, with ascending equatorial crossing time of 13:45 (Schoeberl et al., 2006). It scans the Earth limb providing 240 scans per orbit, spaced 165 km along the orbit track, and ~3500 vertical profiles per day, with near pole-to-pole global latitudinal coverage from 82° S to 82° N. MLS observes thermal microwave – far infrared emission from the Earth's atmosphere in five spectral regions. Temperature is retrieved from the 118 GHz O_2 and 234 GHz O^{18}O lines as described in Schwartz et al. (2008), while H_2O is retrieved from measurements of the 183 GHz H_2O rotational line spectrum (Read et al., 2007; Lambert et al., 2007), and ozone is retrieved from the 236 and

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243 GHz lines (Froidevaux et al., 2008). The MLS data processing algorithm is based on the optimal estimation method and uses a two-dimensional retrieval-approach to determine temperature, geo-potential height and trace gas concentrations (Livesey et al., 2008). Most data products are retrieved on a fixed vertical pressure grid with 6 levels per decade change in pressure from the troposphere to the stratosphere. In case of temperature and H₂O (and ozone for data version 3.3), the vertical pressure grid is finer in the troposphere and the lower stratosphere, with 12 levels per decade change in pressure between 1000 and 22 hPa (0–25 km). For this study MLS version 2.2 (v2.2) data (Livesey et al., 2008) have been used, and geopotential heights (GPH) provided within the data files have been used as altitude registration. This produces an altitude shift of 0 to 500 m over the altitude range of 0 to 55 km, which has been considered acceptable but should be kept in mind when analysing the comparisons. Read et al. (2007) and Lambert et al. (2007) have reported on vertical oscillations in v2.2 H₂O by up to 8% at 31.6 hPa which, however, have been eliminated in MLS version 3.3. MIPAS data recorded in the special Upper Troposphere/Lower Stratosphere (UTLS-1) mode have already been compared to MLS v2.2 data by Chauhan et al. (2009).

3.2.2 ACE-FTS

The Atmospheric Chemistry Experiment Fourier Transform Spectrometer (ACE-FTS) is the principal instrument onboard the Canadian SCISAT satellite (Bernath et al., 2005). SCISAT was launched on 12 August 2003 into a 74° inclined orbit with an altitude of 650 km. ACE-FTS is a high resolution FTS with the following specifications: spectra are recorded from 750 cm⁻¹ to 4400 cm⁻¹ (13.3 to 2.2 μm), at a resolution of 0.02 cm⁻¹ (±25 cm maximum optical path difference). The instrument measures using solar occultation and provides up to 30 measurements per day. It records one full spectrum in about 2 s with a signal-to-noise ratio between 300:1 and 400:1 near the center of the wavenumber range. The delay between consecutive spectra gives a vertical spacing varying from 1.5 to 6 km depending on the angle between the orbit plane and the viewing direction with a maximum altitude resolution of approximately 3 km due to the

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field of view of the instrument (1.25 mrad). The details of ACE-FTS spectral inversion process are described in Boone et al. (2005). In a two-step process, temperature and pressure are retrieved from CO₂ transitions first and then these parameters are used to retrieve the trace gas profiles. We have used the version 3 retrievals for validation of MIPAS data. This newest data version has reduced the occurrence of oscillations in the temperature and pressure profiles and the microwindows for all trace gases have been updated. This dataset is in the process of being validated.

3.2.3 AIRS

AIRS was launched into Earth-orbit on 4 May 2002 on board the Aqua satellite, part of the NASA Earth Observing System (Chahine et al., 2006). AIRS is a medium resolution infrared grating spectroradiometer. As a multi-aperture slit and pupil-imaging system, a diffraction grating disperses the incoming infrared radiation into 17 linear detector arrays comprising 2378 spectral samples. At long wavelengths, the spectral resolution is about 0.5 cm⁻¹ decreasing to about 2 cm⁻¹ at shorter wavelengths. The AIRS retrieval is based on iterative least squares physical inversion of clear column radiances following the approach of Chahine (1968, 1977). The retrieval of the AIRS water vapor profile uses a large set of channels associated with the strong 6-μm water band, while temperature information is derived from the 15 and 4.3-μm carbon dioxide bands, and ozone is retrieved from the 9.6-μm ozone band (Susskind et al., 2003, 2006). Water vapor amount is retrieved at twelve standard pressure levels between the surface and 100 hPa, though sensitivity is low for mixing ratios of about 10 ppmv or less (Gettelman et al., 2004; Fetzer et al., 2008). AIRS water vapor retrievals have been validated versus aircraft and balloon in situ measurements (Hagan et al., 2004; Gettelman et al., 2004; Tobin et al., 2006) and versus MLS (Fetzer et al., 2008).

4 Validation method

The coincidence radius and time applied in this study were 1000 km and 4 h. If several coincident profiles to the same MIPAS profile or correlative measurement profile were found, we have used all co-incident measurements, even if this introduces some interdependence in the data set. This was done in order not to reduce the size of the statistical ensemble which in many case was small anyhow. An overview of the numbers of coincidences is given in Table 2. Tests have shown that the conclusions from the comparison of all co-incident measurements do not differ in any case from those where only unique MIPAS – reference pairs were used.

Since most of the correlative measurements have a much different vertical sampling and resolution than the MIPAS measurements, we have resampled the profiles $\mathbf{x} = (x_1, \dots, x_n)^T$ on a common altitude grid and degraded the better resolved profile to the vertical resolution of the lower resolved profile by application of the averaging kernel and a priori profile of the latter. Typically, profiles of lower vertical resolution are represented on a coarser altitude grid and vice versa. As a first step, both profiles are sampled on a common altitude grid. Resampling of a coarse profile \mathbf{x}_c on a fine grid can be written as

$$\mathbf{x}_{cf} = \mathbf{W} \mathbf{x}_c, \quad (1)$$

where \mathbf{W} is an interpolation matrix. The inverse operation, to map a high-resolved profile \mathbf{x}_f on a less dense grid, is not unique but a reasonable recipe to achieve this is (Rodgers, 2000)[Chapter 10.3.1]

$$\mathbf{x}_{fc} = \mathbf{V} \mathbf{x}_f, \quad (2)$$

where

$$\mathbf{V} = (\mathbf{W}^T \mathbf{W})^{-1} \mathbf{W}^T, \quad (3)$$

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which satisfies $VW = I$, $I =$ unity. The application of the averaging kernel A_c of the low-resolved profile x_c to the better resolved profile x_f under consideration of the a priori profile x_a of the low-resolved retrieval can either be performed on the coarse altitude grid

$$\tilde{x}_{fc} = A_c V x_f + (I - A_c) x_a \quad (4)$$

or on the fine altitude grid

$$\tilde{x}_f = W A_c V x_f + W (I - A_c) x_a. \quad (5)$$

We have chosen the intercomparison on the coarse grid, according to Eq. (4). For most intercomparisons in this paper, particular those of MIPAS versus in situ measurements or lidar profiles, the correlative measurements were resampled on the MIPAS vertical grid and degraded to the MIPAS resolution. Exceptions are profiles from MIAWARA-C, MVMS, MkIV, and AIRS whose vertical resolution is worse than that of MIPAS. In these cases, the profiles were interpolated to a grid which is the set union of the original grids, and the MIPAS profiles were degraded with the averaging kernel of the correlative measurement where available instead.

These transformations also have to be applied to the related covariance matrices S . The transformation of the error covariance matrix S_f of the better resolved measurement on the finer grid onto the coarser grid is

$$\tilde{S}_{fc} = A_c V S_f V^T A_c^T. \quad (6)$$

For hybrid cases, e.g. when the coarser resolved profiles are represented on a finer grid than that on which the better resolved data are represented, or if one grid is finer in one altitude region but coarser in another, the tools discussed above can easily be combined to suit the particular application.

In case of MIPAS water vapor data there is another complication which is that instead of mixing ratios the logarithms of water vapor mixing ratios are retrieved; also the

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averaging kernels and covariance matrices refer to the logarithms of the water vapor mixing ratios.

The application of MIPAS averaging kernels to a better resolved profile on the basis of the coarse-grid averaging kernel \mathbf{A}_{Inc} of the logarithm of the water vapor mixing ratio then is

$$\tilde{x}_{\text{fc}} = \exp(\mathbf{A}_{\text{Inc}} \mathbf{V} \ln(x_{\text{f}}) + (\mathbf{I} - \mathbf{A}_{\text{Inc}}) \ln(x_{\text{a}})). \quad (7)$$

Also the covariance matrix of the fine-grid correlative measurement has to be transformed into the log-space before the logarithmic averaging kernels of MIPAS can be applied. Equation (6) becomes

$$\tilde{\mathbf{S}}_{\text{Infc}} = \mathbf{A}_{\text{Inc}} \mathbf{V} \mathbf{S}_{\text{Inf}} \mathbf{V}^T \mathbf{A}_{\text{Inc}}^T, \quad (8)$$

where \mathbf{S}_{Inf} is calculated from the original covariance matrix in the linear domain, \mathbf{S}_{f} , by generalized Gaussian error propagation as

$$\mathbf{S}_{\text{Inf}} = \begin{pmatrix} \frac{1}{x_{1,\text{f}}} & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & \frac{1}{x_{n,\text{f}}} \end{pmatrix} \mathbf{S}_{\text{f}} \begin{pmatrix} \frac{1}{x_{1,\text{f}}} & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & \frac{1}{x_{n,\text{f}}} \end{pmatrix} \quad (9)$$

The back-transformation of the error covariance matrix into the linear domain after application of the logarithmic averaging kernel is calculated as

$$\tilde{\mathbf{S}}_{\text{fc}} = \begin{pmatrix} \tilde{x}_{1,\text{fc}} & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & \tilde{x}_{n,\text{fc}} \end{pmatrix} \tilde{\mathbf{S}}_{\text{Infc}} \begin{pmatrix} \tilde{x}_{1,\text{fc}} & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & \tilde{x}_{n,\text{fc}} \end{pmatrix} \quad (10)$$

In the case when MIPAS water vapor is compared to profiles from a measurement of lower resolution and coarser grid, Eq. (4) can be directly applied to MIPAS profiles in the vmr domain without any further complication. The transformation of the MIPAS

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logarithmic covariance matrix into the linear domain again applies the formalism of Eq. (10). This applies to the MIAWARA-C experiment.

For AIRS, no averaging kernels are provided, and the data are provided on a vertical grid which is almost identical to the MIPAS grid. In this case, the data are compared as they are, without any transformation. Different altitude resolutions have to be kept in mind when the differences are explained.

Mark IV water vapour retrievals are performed in the logarithm domain, too. Their averaging kernels can be directly used to transform the logarithmic MIPAS covariance matrix:

$$\tilde{\mathbf{S}}_{\text{Infc}} = \mathbf{A}_{\text{Inc}} \mathbf{V} \mathbf{S}_{\text{Inf}} \mathbf{V}^T \mathbf{A}_{\text{Inc}}^T, \quad (11)$$

After these transformations of measurements and their error estimates to a common grid and after having degraded the better resolved profiles to the lower resolution of the other measurement, the comparison of data is performed. For evaluation of individual pairs of correlative measurements $x_{i;c}$ and $\tilde{x}_{i;fc}$, we compare their differences to their combined accuracies (whenever available; for some instruments only random error or measurement noise estimates are available which then are used instead) which are calculated as

$$\sigma_{i;\text{diff}} = \sqrt{\sigma_{i;c}^2 + \tilde{\sigma}_{i;fc}^2} \quad (12)$$

with $\sigma_{i;c}^2$ being the variance of the coarser measurement and $\tilde{\sigma}_{i;fc}^2$ being that of the degraded finer measurement in the coarser grid, both at altitude i . MIPAS error estimates include measurement noise error, further random parameter errors and systematic errors. If not all of these error contributions are available for the correlative measurements, we use whatever is provided.

According to von Clarmann (2006), we first assess the bias between MIPAS and the correlative measurements, before the precision validation is performed. The bias b_i is the mean differences between the MIPAS profiles and the co-incident observations

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after convolution of the better resolved profile with the averaging kernel of the lower resolved measurement:

$$b_i = \frac{\sum_{n=1}^{N_i} (x_{n,i;c} - \tilde{x}_{n,i;fc})}{N_i} \quad (13)$$

Here the bias b_i is calculated independently for each altitude grid point i from the available N_i co-incident observations. N_i can be different for different altitudes because the altitude coverage of a measurement system under assessment may vary from profile measurement to profile measurement. The standard error of the bias, which is also the bias-corrected root mean squares (rms) difference of the profiles, is calculated as:

$$\sigma_{i;bias} = \sqrt{\frac{\sum_{n=1}^{N_i} (x_{n,i;c} - \tilde{x}_{n,i;fc} - b_i)^2}{N_i(N_i - 1)}}. \quad (14)$$

We consider the bias b_i as clearly insignificant if the interval $b_i \pm \sigma_{i;bias}$ includes zero. Additionally, we compare the bias to the combined systematic error of the measurements (square root of the sum of squared systematic errors whenever their estimates are available, or MIPAS systematic error alone), in order to assess if the bias can be explained by known systematic uncertainties.

The bias-corrected root mean squares difference between coincident measurements $\sigma_{i;diff}$ is linked to the standard error of the bias by

$$\sigma_{i;diff} = \sqrt{N_i} \sigma_{i;bias} \quad (15)$$

In the case of perfect co-incidences and valid random error estimates of both measurements, $\sigma_{i;diff}$ is expected to equal the combined single profile random error (see Eq. (12), but without consideration of systematic error terms) and thus is used for precision validation.

For the standard approach, we have not separated the available measurements in day and night profiles, although in the upper stratosphere and mesosphere, some effects of non-local thermodynamic equilibrium (non-LTE) triggered by illumination are

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known to be present in MIPAS profiles. These aspects are discussed in special sections related to the assessment of systematic biases due to non-LTE effects. Some instruments provide measurements from various integration intervals, for example 6 h versus 24 h measurements of the microwave instruments, or nightly-means versus 10-min measurements of TMF lidar. We have selected the nightly mean measurements from the lidars, the 24-h measurements from WVMS, and the 6-h measurements from MIAWARA-C for comparison, using the assigned measurement time in the file headers for determination of a potential coincidence.

In all figures in the following, the differences provided are MIPAS profiles minus the correlative measurements, the one adjusted in vertical resolution by the averaging kernel of the other where appropriate, and brought to the same (coarser) vertical grid. For individual profiles, the blue curve represents the MIPAS profile with the blue error bars representing the MIPAS error due to measurement noise, the green line and green error bars represent the original correlative measurement with its provided error, and the black line gives the correlative measurement transformed with the MIPAS averaging kernel. In case of comparison to MIAWARA-C and MkIV, the black line is the MIPAS profile transformed with the averaging kernel of those measurements. In case of WVMS and AIRS, no degradation with the averaging kernels of these instruments has been performed. In the right panel of all these figures, the difference of individual profiles is compared to the combined total errors of the two instruments according to Eq. (12).

For averages over the co-incident measurements, the blue and black line give the average of the MIPAS profiles and the averaging-kernel transformed correlative measurements, respectively. The bias is provided together with its standard error (shown as error bars) and the combined systematic errors (dashed lines) in a second panel. If the correlative measurement does not provide a systematic error, the systematic error of MIPAS alone is used. In the third panel, the combined total precision of individual measurement pairs according to Eq. (12), but without consideration of systematic error terms (dashed lines), is compared to the bias-corrected root mean squares differences

(dotted lines). Again, if the correlative measurement does not distinguish between various error sources, the error as provided is used. For water vapor, profiles are presented on a logarithmic axis, and relative differences are shown: these are the mean differences of the profiles given as percentage of the mean profile of the correlative measurement.

5 Validation results

5.1 Temperature

5.1.1 Comparison to lidar temperatures

Temperature measurements by lidars during the MOHAVE-2009 campaign are available from the instruments TMF lidar, STROZ and ALVICE. While TMF lidar covers all altitudes from the ground to the mesopause, STROZ measurements are available up to the lower mesosphere, and ALVICE measurements cover the troposphere and the lowermost stratosphere.

Figure 1 shows the comparison of a MIPAS temperature profile measured on 18 October 2009 with the TMF lidar coincidence of a nightly mean profile. The MIPAS – TMF lidar difference is mostly within or only slightly larger than the total error of MIPAS. Below the tropopause, MIPAS temperatures are higher than TMF lidar temperatures by about 1.5 to 2 K, while above the tropopause, they are lower by about the same amount, reproducing very well the well-known signatures found in differences to ECMWF data. Above the tropopause up to about 50 km, MIPAS is within 2 K of the TMF lidar temperature profile, while in the mesosphere, the differences are better than 3 K. The bias derived from all available 22 coincidences (see Fig. 2, top row) is less than 1 K, except below 10 km, directly above the tropopause, near 42 km, and near 60 km. In the stratosphere, the bias is always negative, while in the troposphere, it is positive. The systematic errors of MIPAS cannot explain the bias (see Fig. 2, top row, middle panel).

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Further, the bias-corrected root mean squares differences are far above the combined precision of both instruments (see Fig. 2, top row, right panel), which hints towards an underestimation of the precision of one or both instruments. Comparing the same set of TMF lidar observations to ECMWF temperature profiles interpolated to the geolocations of the related MIPAS measurements (not shown) demonstrates that ECMWF is virtually bias-free to the TMF lidar measurements; this comparison hints towards a bias of -0.5 to -1 K of MIPAS temperatures all over the stratosphere.

Comparison of MIPAS temperature profiles to STROZ lidar measurements (see Fig. 2, middle row) indicates that no significant bias in MIPAS temperature data is present between 18 and 50 km. MIPAS temperatures are higher by up to 2 K just below the tropopause, but lower than STROZ further down in the troposphere. Above the stratopause, the comparison indicates a strong low bias of MIPAS. The bias in the troposphere and mesosphere is much larger than the MIPAS systematic errors; this means that the differences cannot be explained by known systematic uncertainties of MIPAS. The bias-corrected root mean squares differences are about twice as large as the combined precisions of the instruments.

A comparison to ALVICE profiles is possible in the troposphere and lowermost stratosphere only. The already observed pattern of higher temperatures from MIPAS (1–2 K) below the tropopause and lower temperatures above the tropopause is also reproduced by the comparison to ALVICE (see Fig. 2, bottom row). The MIPAS systematic errors and the combined precisions are much smaller than the bias and the bias-corrected root mean squares differences, respectively.

5.1.2 Comparison to frost point hygrometer temperatures

The frost point hygrometers flown together with RS92 sondes and ozonesondes provide accurate measurements of temperature and water vapor up to about 30 km. Comparison to single temperature profiles provided by the RS92 sondes flown together with the CFH frostpoint hygrometer (see Fig. 3, top row) show in general good agreement between MIPAS except some oscillations of the MIPAS temperature profiles with a

period of ~5 km which are not present in the RS92 – frostpoint hygrometer profiles. The deviations between pairs of single profiles, however, are mostly larger than the estimated total error of MIPAS. The mean differences (see Fig. 4, top row) reproduce the already known high bias below the tropopause (~+1 K within 5 km distance of the tropopause to +2.5 K below 10 km altitude) and low bias (1–2 K) above the tropopause. The bias is considered significant below 15 and above 22 km and not explainable by known systematic errors of MIPAS. The bias-corrected root mean squares differences is much larger than the estimated combined precisions, hinting towards a severe underestimation of the random errors of one or both instruments, or deviations introduced by natural variabilities within the spacial and temporal coincidence ranges. Similar differences are found in the comparison to the temperature data provided together with water vapor by the FPH_NOAA frostpoint hygrometer (see Fig. 3, bottom row, and 4, bottom row), however with tropospheric differences of +1–3 K instead of +1–2.5 K. A relative shift of the compared profiles in altitude by about 200 m would remove most of the differences, except the low bias of MIPAS directly above the tropopause.

5.1.3 Comparison to radiosonde temperatures

Radiosonde data provide temperatures up to about 30 km altitude. A number of coincident single RS92_GSFC and RS92_JPL profiles had physically unreasonable outliers in the upper part of the covered altitude range. These profiles have been removed manually on basis of visual inspection before calculating the mean differences. After removing the outlier profiles, the general picture of the RS92_GSFC / RS92_JPL – MIPAS temperature comparison is the same as found in the other comparisons: the high bias in the troposphere and low bias in the stratosphere of MIPAS temperatures is confirmed (see Fig. 6). The single differences oscillate rather strongly with values of 0 to +3 K in the troposphere and –3 to 0 K in the stratosphere (see Fig. 5). Again, the bias-corrected root mean squares differences are much larger than the estimated combined precisions, hinting towards too optimistic precision estimates.

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5.1.4 Comparison to temperatures from satellite instruments

Within the period of the MOHAVE-2009 campaign coincidences with other satellite instruments providing temperatures were found for Aura/MLS, ACE-FTS, and AIRS. Coincidences were only searched for within 1000 km around the Table Mountain Facility. The number of coincidences for Aura/MLS and ACE-FTS were rather sparse (see Table 2), partly due to differing local overpass times, partly due to differing observation geometries. Nevertheless we provide here the average differences in temperature for MIPAS versus these three instruments.

The differences of MIPAS versus Aura/MLS (v2.2) mean temperatures provide a strong oscillating signature around the tropopause with MIPAS being warmer by up to 5 K in the troposphere and colder by up to -8 K directly above the tropopause (see Fig. 7, top row). Over all the stratosphere MLS is warmer than MIPAS by up to 6 K. The tropopause in MIPAS data is considerably more pronounced but roughly at the same altitude as for MLS. Since we could compare only three profiles, conclusions on the significance of the bias and the bias-corrected random mean squares differences seem not appropriate.

The MIPAS versus ACE-FTS mean temperature differences oscillate within a band of ± 3 K, with maximum deviations around 37 and 42 km (-3 K and $+3$ K, respectively), which, however, are not considered significant (the bias is not different from zero within its $1\text{-}\sigma$ uncertainty). Significant biases are found between 19 and 23 km, and above 50 km (see Fig. 7, middle row). The biases are larger than the estimated systematic errors of MIPAS. The bias-corrected root mean squares differences are much larger than the estimated combined precisions of the two instruments up to the stratopause, but considerably smaller than the combined estimated precision above. The over-all structures of the two temperature mean profiles are consistent, although the stratopause seems to be lower by 2–3 km in case of MIPAS which may partially explain the differences just below and above the stratopause.

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altitude) up to the lower mesosphere. An example for the comparison of a single MIPAS water vapor profile with a nightly mean TMW lidar observation on 17 October 2009 is shown in Fig. 8. The differences between the single MIPAS and the nightly mean TMW lidar water vapor profiles are within 10 % above 13 km, but reach +50 % below. Above 15 km, the differences are smaller than the total estimated error of MIPAS.

For the averages over all coincidences (see Fig. 9, top row), the differences between MIPAS and the nightly mean TMW lidar profiles are within 10 %, except for the lowermost (below ~13 km) and uppermost (above ~24 km) altitude ranges, and especially the region around 10 km where the differences reach their maximum of +30 %. At 14 km and above, the bias between MIPAS and TMW lidar can fully be explained by the systematic errors of MIPAS which are driven by the uncertainties of spectroscopic data. The bias-corrected root mean squares differences below 19 km are much larger than the estimated combined precision which hints towards underestimation of the random errors or a very high natural variability; the latter often make water vapor validation very difficult. In the stratosphere the bias-corrected root mean squares differences are close to the combined precision which might be considered as another hint that natural variability may play a significant role below this altitude.

The STROZ lidar covers water vapor up to about 22 km; comparisons to MIPAS could be made up to 17 km. As reported in Leblanc et al. (2011b) and Whiteman et al. (2011), STROZ lidar water vapor measurements at high altitudes (low water vapor) are biased high due to undesired fluorescence, although a blocking filter was applied which greatly reduced, but not completely remove the fluorescence (see Sect. 3.1.1). Leblanc et al. (2011b) reported that the wet bias of STROZ water vapor data started at 10 km and reached +20 % above 15 km. The MIPAS profiles are lower than STROZ by -30 % and more below 10 km, and lower by -15 % to -20 % at 12 km and above (see Fig. 9, middle row) the latter being consistent with the former findings. Again, the bias-corrected root mean squares differences are by far larger than the estimated combined precisions.

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Similar to the STROZ water vapor profiles, the ALVICE water vapor profiles are restricted to altitudes below 22 km; we compare here to the best estimate version of the profiles which has been corrected for a bias due to undesired fluorescence (see Sect. 3.1.1, Leblanc et al., 2011b; Whiteman et al., 2011). The mean MIPAS water vapor profile above 10 km agrees within 8% with the corrected, so-called best estimate mean ALVICE profile while below 10 km, MIPAS shows again a low bias. The bias-corrected root mean squares differences agree with the combined precision at the uppermost altitudes (above ~19 km) only (see Fig. 9, bottom row).

5.2.2 Comparison to Frost point hygrometer water vapor measurements

The frost point hygrometers provide water vapor mixing ratio measurements of high precision and accuracy in the troposphere and lower stratosphere up to ~30 km. Deviations between CFH and MIPAS individual water vapor profiles are sometimes very small and indicate that MIPAS reproduces the structure of the water vapor profiles, especially the position and deepness of the tropopause, very well (see Fig. 10, top row). The sharp structure with the sudden drop of water vapor vmr around 10 km, however, cannot be resolved by MIPAS, which is demonstrated by the CFH profile adjusted to the vertical resolution of MIPAS by applying its averaging kernel (black line), since the adjusted CFH profile is lower above and higher within the water vapor drop. But even compared to this degraded profile, MIPAS has a high bias below 14 km which increases with decreasing altitudes. Comparison to single FPH_NOAA frost point hygrometer profiles confirm the comparison to CFH.

Figure 11, top row, shows the average over all MIPAS vs. CFH coincidences. Below appr. 13 km the differences exceed +20%, while in the tropopause region and above the mean profiles agree within -5 and +8%, and MIPAS reproduces very well the profile shape. Above 14 km, the bias can fully be explained by the systematic errors of MIPAS, driven mainly by spectroscopic uncertainties. The bias-corrected root mean squares differences and the estimated combined precisions are very close above 18 km hinting towards a good error estimate of both instruments above this altitude.

The comparison with the FPH_NOAA frost point hygrometer reproduces in general the picture obtained from the CFH comparison, however, differences in the stratosphere are slightly higher and within -12 and $+5\%$ (see Fig. 11, bottom row). The severe high bias of MIPAS below 10 km is confirmed. The bias-corrected root mean squares differences are reasonably close to the estimated combined precisions above 19 km, giving confidence in the error estimates above this altitude.

5.2.3 Comparison to microwave radiometer water vapor measurements

Microwave radiometers operated from the ground generally provide a coarser altitude resolution in the stratosphere than MIPAS. For this reason, the MIPAS profiles have been degraded, in case of comparisons to MIAWARA-C, with the averaging kernels of the microwave instruments to adjust vertical resolution, while for WVMS, we could only compare the original profiles. The WVMS instrument provides water vapor measurements from the free troposphere to the mesosphere. In the average profiles, MIPAS profiles are wetter than the WVMS profiles by up to 10 % below 20 km (see Fig. 12, top row), but drier between 20 and 25 km. In the stratosphere, the MIPAS average profile starts to deviate positively from the WVMS profiles around 25 km and develops an increasingly high bias which peaks around 45 km with a value of $+10\%$. A systematic low bias of WVMS in the stratosphere in the order of -10% has been found in comparison to other instruments as well (Leblanc et al., 2011b) which is consistent to the comparison to MIPAS. Right above the stratopause, the difference between MIPAS and WVMS becomes smaller, but a pronounced low bias of MIPAS in the order of -18% is found a few kilometers above in the mesosphere which can be explained by the neglect of non-LTE-effects in the MIPAS retrievals (see Sect. 5.2.6). The deviations between the two average profiles are within the combined systematic errors everywhere except between 42 and 50 km where the systematic error becomes very small. The bias-corrected root mean squares differences and the combined precisions fit very well in the stratosphere, hinting towards a reliable error estimate of the instruments.

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5.2.5 Comparison to water vapor measurements from satellite instruments

Comparison to other satellite instruments suffer from the few available coincidences found during the period of the MOHAVE-2009 campaign, and a satellite intercomparison would be better done globally. Nevertheless, we include here the coincidences found for October 2009 within 1000 km around Table Mountain Facility, to make intercomparison of all instruments possible. We have found only 3 coincidences between MIPAS and Aura/MLS which is mainly due to the different overpass times and a temporal coincidence criterion of 4 h (similar to the other comparisons). We have used version 2.2 data for the comparison. Due to the sparse coincidences, the standard errors of the differences are rather high and the mean difference profile is oscillating strongly (see Fig. 14, top row). Nevertheless, the comparison hints towards a significant bias between the two instruments in the stratosphere in the order of +10% (MIPAS being higher) which can be explained by the combined systematic errors in some parts of the profiles only. Since MIPAS does not show such a high bias all over the stratosphere in the comparison to other instruments (except in the region around 45 km, where the bias between MIPAS and Aura/MLS is almost zero), we conclude that Aura/MLS seems to be lower than most of the other instruments in the stratosphere. According to Leblanc et al. (2011b), the version 3 of MLS water vapor data is wetter by 3–4% than v2.2 which would reduce the high bias of MIPAS vs. MLS accordingly. The hygropause in MIPAS profiles is sharper and at lower altitudes, which cause the strong dry bias of MIPAS versus MLS around 14 km. Below, MIPAS is biased high versus MLS, similar to other comparisons.

Although coincidences between MIPAS and ACE-FTS are sparse, the comparison between the two instruments provide a picture consistent to the other comparisons (see Fig. 14, middle row). The two instruments cover a similar altitude range from the upper troposphere to the lower mesosphere. Average deviations between the two mean water vapor measurements are over all well below $\pm 10\%$ except below 15 km, where differences reach -20 to -25% , and above 60 km, caused by the non-inclusion

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of non-LTE in our MIPAS retrievals. It is to be noted that this is one of only few comparisons, besides MkIV and the lidars STROZ and ALVICE, where MIPAS is biased low in the troposphere. The estimated combined precisions and the bias-corrected root mean squares differences agree quite well over the full altitude range.

5 AIRS retrieves water vapor between the surface and 100 hPa, though sensitivity is low for mixing ratios of about 10 ppmv or less (Gettelman et al., 2004; Fetzer et al., 2008). Since this is the lower part of the MIPAS observations, comparison is somewhat difficult, despite the high number of coincidences found. In general, a high bias of MIPAS in the range 9 to 12 km in the order of 15 %, seems to be confirmed by the AIRS
10 measurements (see Fig. 14, bottom row). However, the differences are not significant in the sense that the standard error of the bias does include zero.

5.2.6 Non-LTE aspects

The effects of non-LTE on the water vapor retrieval at mid-latitudes are more important than on kinetic temperature. The daytime populations of the water vapor (01^10) vibrational level, from which water vapor abundance is retrieved, departs from LTE as low
15 as 60 km. This is due to the strong coupling of that level with the $O_2(1)$ level, which is populated after ozone photolysis. That produces water vapor (01^10) populations larger than in LTE. The strong water vapor fundamental band lines used in our retrievals are still at these altitudes under an optically thick regime. Instead of increasing the local
20 water vapor abundance around 60 km, the global fit technique used in the water vapor retrieval compensates the smaller radiance simulated with the lower LTE populations at that tangent height by decreasing the water vapor abundance at altitudes above, reducing that way the absorption along the line of sight. Figure 15 shows the effect on the retrieved daytime water vapor at mid-latitudes if the non-LTE effects are included.
25 MIPAS LTE retrievals underestimate water vapor by 20 % at 70 km, 8 % at 60 km and overestimates it by 5 % at 45–50 km. Opposite to the behavior above 60 km, the LTE retrieval at lower altitudes responds to the reduced absorption along the light of sight by increasing the abundance at that altitude.

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Hence, these non-LTE effects on the water vapor retrievals can explain the differences found with other instruments in the lower mesosphere and also half of the difference found in the upper stratosphere. The rest of the difference in the upper stratosphere is in most cases anti-correlated with differences in the kinetic temperature, thus, being the latter their most likely source.

5.3 Ozone

5.3.1 Comparison to lidar ozone measurements

The lidar instruments provide ozone measurements from the upper troposphere to about 45 km, while MIPAS measurements reach up to 70 km in the nominal observation mode. At TMF two lidars are operated optimized for measurement of tropospheric and stratospheric ozone, respectively. For more details, see Leblanc et al. (2011b). The comparison of MIPAS mean ozone profiles to stratospheric ozone measurements by the TMF lidars is shown in Fig. 16, top row. A peak in the MIPAS profile around 37 km with positive deviations of 0.5 ppmv is obvious, while the other parts of the tropospheric and stratospheric profiles agree within 0.3 ppmv. The deviations between MIPAS and the TMF lidar are within the range of the combined systematic errors. The combined precisions, however, are smaller than the bias-corrected root mean squares differences above 25 km, but in agreement below. The tropospheric ozone measurements by the TMF lidars are shown in Fig. 16, middle row, together with the MIPAS profiles. The agreement is very good and does not exceed the combined systematic errors, while the bias-corrected root mean squares differences agree well with the combined precisions. Both the stratospheric and the tropospheric TMF lidar ozone measurements hint towards an oscillation in the MIPAS profiles with maximum values around 22 and minimum values around 27 km with an amplitude of 0.3 ppmv, which, however, does not exceed the estimated systematic errors of MIPAS.

The STROZ lidar provides ozone measurements between appr. 15 and 45 km; comparison of the STROZ mean profiles to MIPAS mean profiles is shown in Fig. 16, bottom

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row. The comparison is consistent to the findings from TMF lidar: MIPAS ozone has a bulge with high ozone values around 37 km which just exceeds the estimated systematic error. The positive bias of MIPAS reaches +0.9 ppmv at 37 km and remains below ± 0.3 ppmv for all other altitudes below 45 km. The oscillation in the difference profile with a maximum at 20 and a minimum at 27 km shows up in the comparison to STROZ as well, again with an amplitude of about 0.3 ppmv. The bias-corrected root mean squares differences are about twice as large as the estimated combined precisions above 25 km which hints towards underestimation of the random errors for one or both instruments.

5.3.2 Comparison to Frost point hygrometer ozone measurements

The ozone data provided with the frost point hygrometer measurements are from ozonesondes that were flown with the frost point hygrometers. There should be no differences between the ozonesondes flown with FPH_NOAA and CFH, so in principle the results from CFH and FPH_NOAA balloon flights could be combined. We have kept them separate in order to follow the general scheme of comparisons to all instruments. The ozonesondes flown with the frost point hygrometers provide ozone measurements below ~ 30 km. As expected, the results from the comparisons to CFH and FPH_NOAA are similar. MIPAS mean ozone profiles agree very well with the mean profiles of the ozonesondes, with an overall negligible bias, but an oscillation in the difference profile with maximum at 20 and minimum at 24 km (see Fig. 17). The amplitudes of the oscillations are different: they remain below ± 0.25 ppmv in case of CFH (Fig. 17, top row), and below +0.15 and -0.2 ppmv in case of FPH_NOAA (Fig. 17, bottom row) which might be due to differences in the actual spatial and temporal mis-matches between MIPAS measurements and the balloon flights. The estimated combined precisions are in both cases about half of the bias-corrected root mean squares differences, which is a hint towards underestimated random errors.

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5.3.3 Comparison to ozone profiles from satellite instruments

From the satellite instruments, Aura/MLS, ACE-FTS, and AIRS provide ozone. The AIRS profiles, however, were found to be a scaled a priori profile only without providing information on the specific profile shape. For this reason, we compare to Aura/MLS and ACE-FTS only. The mean ozone profiles of Aura/MLS and MIPAS have rather different shapes. They agree reasonably well, with deviations of less than ± 0.3 ppmv, below 30 km, but have different shapes around the ozone vmr maximum; in particular, the bulge in MIPAS profiles around 37 km shows up here, too (see Fig. 18, top row). Above the stratospheric ozone vmr maximum, the profiles are more or less parallel, but shifted in altitude by 3 km and more. Resulting deviations above 35 km are in the order of -0.7 and $+1.0$ ppmv which is no longer explainable by the MIPAS systematic errors. The bias-corrected root mean squares differences and the estimated combined precision are close below 25 km above 35 km, while in between the bias-corrected root mean squares differences are wider.

The mean ozone profiles of MIPAS and ACE-FTS agree quite well in shape (see Fig. 18, bottom row); the differences reveal similar features as found in the comparison to lidar instruments: a positive bias of MIPAS peaking at 37 km and exceeding the systematic error estimate of MIPAS, a negative bias reaching -0.5 ppmv above, and some oscillating structures, although less pronounced, around 20 and 24 km. ACE-FTS ozone is known to be biased high between 45 and 60 km, although reduced in version 3 from the version 2.2 ozone update, which explains the difference between MIPAS and ACE-FTS in this altitude range. The bias-corrected root mean squares differences and the estimated combined errors agree well above 39 km and below 22 km, while in between the precision seems to be underestimated or the natural variability is large.

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5.3.4 Non-LTE aspects

The ozone retrieval microwindows cover emission from the ν_2 and the ν_3 levels, in particular, from the fundamental and first hot bands. Their populations depart from LTE above 60-65 km during daytime, mainly due to the recombination of molecular and atomic oxygen, which produces vibrationally excited ozone. The ozone overestimation due to neglecting non-LTE is smaller than 1 % below 55 km and increases to 20 % around 65–70 km (Gil-López, 2006). Since MIPAS ozone profiles are lower than the correlative measurements in the mesosphere, neglect of non-LTE in the MIPAS retrievals cannot explain the differences.

6 Conclusions

MIPAS measurements of temperature, water vapor and ozone from the upper troposphere to the lower mesosphere retrieved from level-1b so-called optimized-resolution spectral data (version 4.67) with the IMK/IAA processor have been compared to balloon-borne and in-situ measurements performed during the MOHAVE-2009 campaign at Table Mountain Facility, California in October 2009, and to co-located satellite instruments. The coincidence criteria were 1000 km in distance and 4 h between the co-located measurements. All coincidences between MIPAS profiles and correlative measurements were considered. We analysed both individual pairs of profiles and averages over all coincidences. We compared the mean difference profiles to their standard errors and the estimated combined systematic error in order to detect significant biases not explained by known systematic error sources. Further we compared the bias-corrected root mean squares differences to the estimated combined precisions in order to judge if the precision estimates were realistic.

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6.1 Synopsis from all instruments: temperature

The temperature comparisons with most instruments provided a consistent picture: in the stratosphere, no significant bias was detected. Both the altitude and the amplitude of the stratopause is well caught by MIPAS. Comparison with TMF lidar and AIRS hint towards a low bias of MIPAS of ~ -1 K over all the stratosphere, however, this bias is not significant over wide parts of the profile and was not confirmed by other instruments. Comparison to MLS temperature profiles do not agree with the consistent picture from the other instruments: MLS has a pronounced high bias versus MIPAS in the tropopause and is further biased high between 28 and 46 km. Differences in temperatures may propagate to species retrievals: MIPAS and MLS water vapor differences are clearly anti-correlated to differences in their temperatures; temperature differences could also explain the larger differences in ozone found between MIPAS and MLS in the stratosphere. Around the tropopause, MIPAS has revealed a high bias in the order of 2 K below the tropopause, and a low bias of the same amount in the lowermost stratosphere. This behaviour has been suspected before from comparisons with ECMWF analysis data where it occurred in the subtropics only. Further down in the troposphere, most instruments indicate that the high bias of MIPAS remains between 1 and 2 K, while STROZ and MLS indicate a low bias of about 2 K. In the mesosphere, no consistent picture could be gained, but there is a tendency of MIPAS temperatures being too low around 60 km. The mean difference profiles between MIPAS and all other instruments, together with their standard errors, are compiled in Fig. 19, top left panel.

The detected bias profiles are in general larger than the estimated systematic error profiles, i.e. the bias cannot be explained by known systematic uncertainties which are driven by spectroscopic uncertainties in case of MIPAS. The bias-corrected root mean squares differences are typically between 2 and 3 K with a pronounced maximum around 17 km reaching values up to 5 K. In particular the latter indicates that part of the bias-corrected root mean squares difference may come from high natural variability within the coincidence radii (in space and time). Leblanc et al. (2011a) and Leblanc et al. (2011b) (their Fig. 8) showed that during the MOHAVE-2009 campaign the TMF

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site was just at the edge of the subtropical jet stream, and a stratospheric intrusion was observed passing the TMF site on 20 October 2009, which both caused high natural variability in temperature, water vapor and ozone around the tropopause, even within a few hundred kilometers.

5 The current analysis confirms earlier MIPAS temperature validation work of an older and different MIPAS temperature data version by Wang et al. (2005), who also found a small overall bias in MIPAS temperature data. Similar to the current study, Wang et al. (2005) found also rather high bias-corrected root mean squares differences (2.5 to 3.5 K in their case); they assessed the contribution of natural variability to the overall
10 bias-corrected root mean squares differences (rms) and found that more than 70 % of the rms can be explained by natural variability within the coincidence radii. In contrast to these earlier findings, however, is the high bias below the tropopause/low bias above the tropopause which showed up in the present assessment.

The recent MIPAS temperatures (version V4O_T_204) were retrieved with a retrieval set-up which was different from the older one because it was adjusted to the lower
15 spectral, but higher spatial resolution of MIPAS measurements since 2005. As a consequence of the comparisons to MOHAVE-2009 campaign data, we re-analysed the spectral ranges used within the temperature retrieval. This showed that one small spectral window used for the retrievals was contaminated by an ozone line, and was
20 therefore sensitive to errors in the ozone climatology used. Test retrievals have demonstrated that the deviations around the tropopause almost disappear if ozone is joint-fitted within the temperature retrieval so that it no longer depends on the used climatology. MIPAS temperature retrievals from version V4O_T_205 onwards will therefore be performed with the improved retrieval set-up including ozone as a joint-fit parameter.

25 6.2 Synopsis from all instruments: water vapor

Between 14 km and 55 km, the MIPAS water vapor mean profiles (see Fig. 19, top right panel) are within ± 10 % of the profiles of the correlative measurements, except for the STROZ lidar, MLS v2.2 and MkIV; MIPAS is biased wet with respect to MLS

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above 15 km by about 10%, with maxima reaching +22 and +30% at 36 km and 18 km, respectively. The STROZ lidar instrument is known to have a high bias of up to 20% above 12 km (see Sect. 3.1.1 and Leblanc et al., 2011b) which explains the low bias of MIPAS versus STROZ. The discrepancy versus MkIV is not in agreement with former MkIV validation efforts (Schneider et al., 2010). The TMW lidar data are valid up to 22 km only. According to Leblanc et al. (2011b), version 3 of MLS data are wetter by 3–4% in the stratosphere which would reduce the difference to MIPAS accordingly. The microwave instruments WVMS and MIAWARA-C point towards a high bias of MIPAS around 45 km, which reaches 10% in the mean profiles, while above 55 km, MIPAS has a tendency to be too dry. The latter is a well-known consequence of the current retrieval set up of water vapor which ignores non-LTE effects in radiative transfer in the mesosphere. The bulge at 45 km has been shown to be caused by error propagation from above and as such a compensation effect of the too low water vapor values retrieved in the mesosphere. In the troposphere below 12 km, no consistent picture could be achieved: while the frost point hygrometers, MLS, and the TMW lidar point towards a high bias of MIPAS, ALVICE, AIRS, ACE-FTS and MkIV indicate that MIPAS is biased low.

The systematic errors of MIPAS, given by spectroscopic uncertainty and, above 40 km, non-LTE effects, can in most cases very well explain the biases to the other instruments. In the stratosphere, the bias-corrected root mean squares differences agree well with the estimated precisions in most cases; in the troposphere and around the tropopause, high natural variability due to the vicinity to the subtropical jet stream may explain the large rms compared to the precision estimates.

An earlier MIPAS water vapor version (V3O_H2O_13) has been validated by Milz et al. (2009); they found no significant bias and a confirmation of the precision estimate which is in the order of 5–10%. In particular, in their comparison to MIAWARA measurements taken during a campaign in Northern Finland, they found a similar bulge in the differences, but even more pronounced, around 45 km (see Milz et al., 2009, their Fig. 16). Tropospheric water vapor data were not compared. The findings of the

MOHAVE-2009 campaign are in good agreement with the previous findings by Milz et al. (2009), although the MIPAS observation mode was changed to lower spectral and higher spatial resolution in the meantime. This is a good confirmation that the current retrieval setup is in accordance with previous data versions.

5 In the next data version of MIPAS IMK/IAA water vapor we will include non-LTE modelling in the radiative transfer calculations for the retrievals according to García-Comas et al. (2011), which is expected to solve the problems at and above 45 km.

6.3 Synopsis from all instruments: ozone

10 A synthesis of all ozone comparisons is shown in Fig. 19, bottom panel. The comparisons to all relevant instruments provided the following picture: MIPAS ozone profiles have a pronounced high bias at the upper edge of the stratospheric ozone volume mixing ratio maximum around 37 km, with differences reaching +0.9 ppmv in some cases (see Fig. 19, bottom panel). Between 50 and 60 km, the only instrument for comparison is ACE-FTS. MIPAS is lower than ACE-FTS by up to -0.5 ppmv. However, a high bias of ACE-FTS ozone between 45 and 60 km is a known feature, although reduced
15 in version 3 from the version 2.2 ozone update. Below 30 km, the bias never exceeds ± 0.3 ppmv. In the lower stratosphere, an oscillation with a maximum around 20 km and a minimum around 27 km has been identified in several difference profiles, which, however, does not exceed the estimated systematic errors of MIPAS ozone, and does not show up consistently in all comparisons.
20

A previous MIPAS ozone data version (V3O_O3.7) has been validated by Steck et al. (2007); in their comparisons, the bias between MIPAS ozone and other instruments was below ± 0.3 ppmv, except for comparisons with HALOE and ground-based FTIR (see their Fig. 13). In particular, the mean comparison to lidars was better than
25 0.2 ppmv below 40 km. Although not explicitly mentioned, the high bias found in the current data version around 37 km was present in some individual comparisons in version V3O_O3.7 as well (see their Fig. 5, top panel, or their Figs. 11 and 12).

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During analyses performed as consequence of the findings from the present validation study, the ozone peak around 37 km has been traced back to the handling of underlying continuum-like emissions in the spectral data. The continuum-like contribution is suspected to be caused by straylight from the Earth surface or the lowermost parts of the atmosphere, being scattered into the instrument's optic by instrument parts. In the current retrieval set-up, atmospheric continuum extinction and emission due to aerosols and other atmospheric constituents is accounted for by fitting an optical depth profile up to 32 km. A straylight-related radiance contribution at higher tangent altitudes can hence not be corrected. The straylight aspect is currently under further intense analysis; for MIPAS retrievals in the next future the continuum-like emission identified in the radiance spectra which leads to the ozone high bias around 37 km will be tackled as caused by a not further identified grey body which will be joint-fitted. Test retrievals have demonstrated that the high bias can be removed by extending the joint retrieval of a not further identified continuum extinction and emission up to 50 km.

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Table 2. Number of coincident observations for temperature, water vapor, and ozone.

Instrument	Temperature	Water vapor	Ozone
TMW/TMF lidar	22	22	27
STROZ lidar	31	18	27
ALVICE lidar	68	74	–
CFH	18	18	18
FPH_NOAA	11	11	11
RS92_GSFC	44	–	–
RS92_JPL	81	–	–
WVMS	–	61	–
MIAWARA-C	–	116	–
MkIV	–	454	–
Aura/MLS	3	3	3
ACE-FTS	5	5	5
AIRS	2721	2494	–

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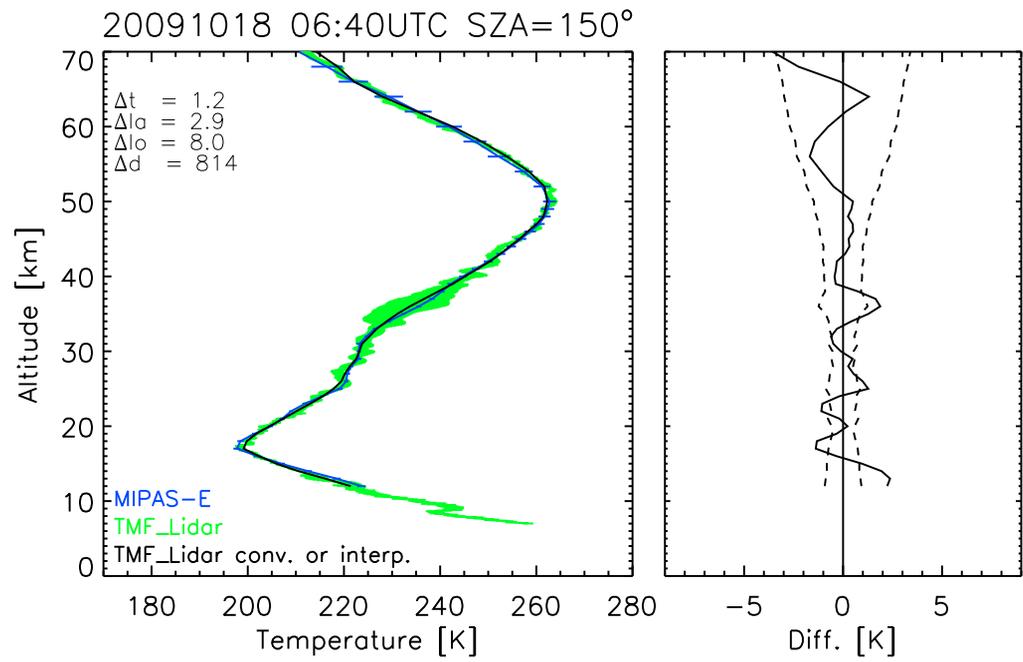


Fig. 1. Left: single MIPAS temperature profile (blue) measured on 18 October 2009 and a coincident profile (within 1000 km, 4 h) of nightly mean TMF lidar measurements (green). The solid black line gives the TMF lidar profile degraded with the MIPAS averaging kernel. The blue and green error bars are measurement noise errors of the MIPAS and the correlative measurement, respectively. Δt is the time difference in hours, Δd the spatial difference in kilometers, and Δla and Δlo are the latitude and longitude differences, respectively, in degrees. Right: the black solid line provides the absolute difference between the coincident profiles, while the dotted line is the combined total error (including measurement noise, further random errors and systematic error components) of the two instruments, both on the coarser grid. For more details, see text.

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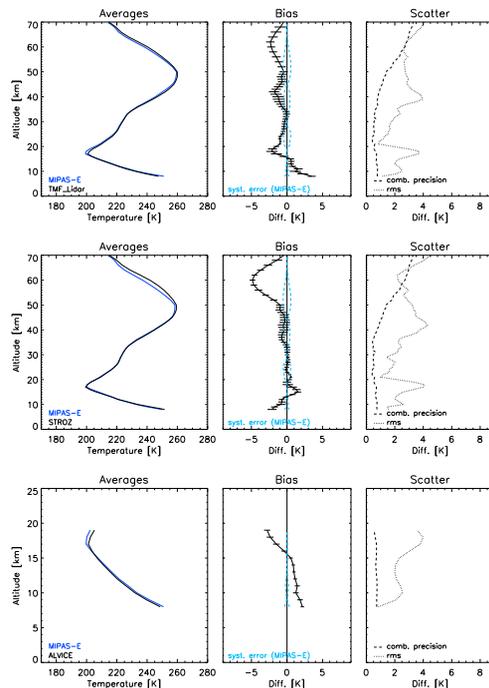


Fig. 2. Top row, left panel: average over all MIPAS temperature profiles (blue) for which coincidences with TMF lidar profiles have been found, together with the average of degraded TMF lidar profiles (black) which are coincident to the MIPAS profile. Top row, middle panel: Averaged absolute differences together with their standard errors of the mean (error bars) and the combined systematic error components of the two measurements (dashed lines). Top row, right panel: Bias-corrected root mean squares differences (dotted line) and the combined precisions of individual MIPAS and TMF lidar profiles (dashed line). Middle row: Same as top row, but for STROZ lidar temperature profiles. Bottom row: same as top row, but for ALVICE lidar temperature profiles.

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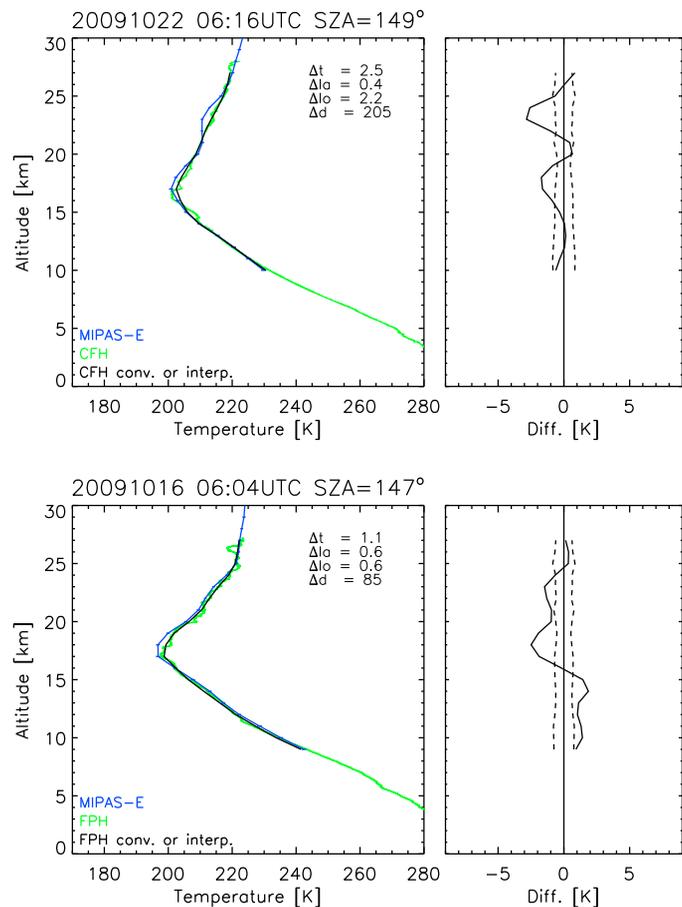


Fig. 3. Same as Fig. 1 but for CFH (top) and FPH_NOAA (bottom) frost point hygrometer temperature profiles. For a more detailed description see Fig. 1.

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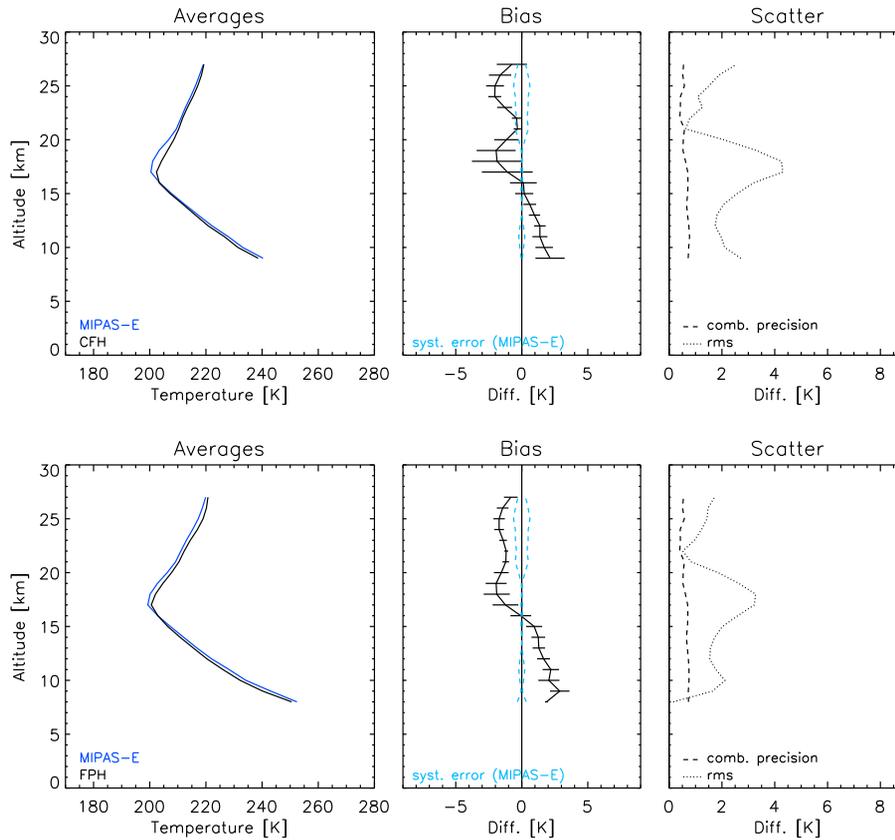


Fig. 4. Same as Fig. 2, but for CFH (top row) and FPH_NOAA (bottom row) frost point hygrometer temperature profiles. For a more detailed description see Fig. 2.

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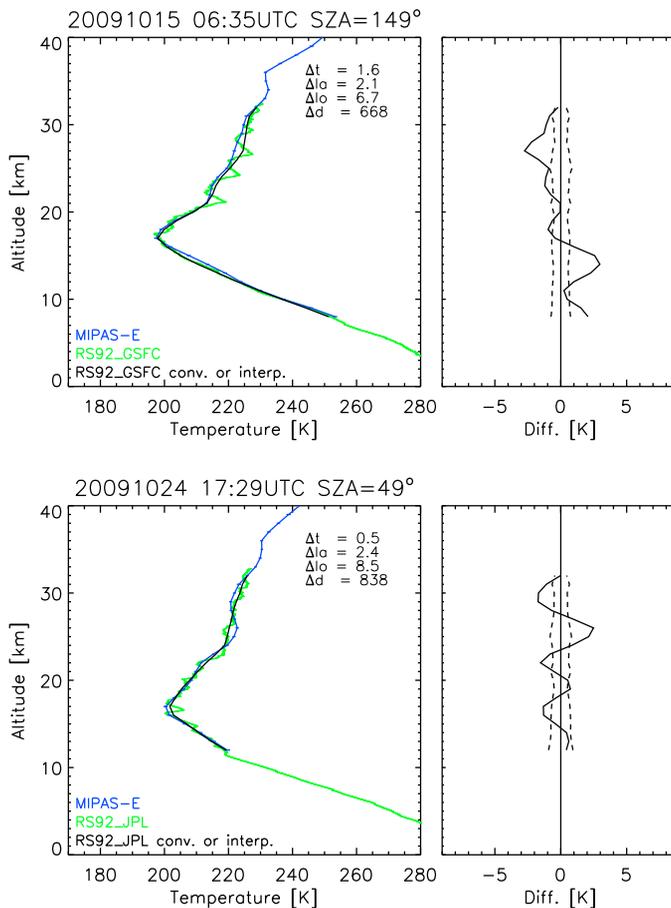



Fig. 5. Same as Fig. 1 but for RS92.GSFC (top) and RS92.JPL (bottom) radiosonde temperature profiles. For a more detailed description see Fig. 1.

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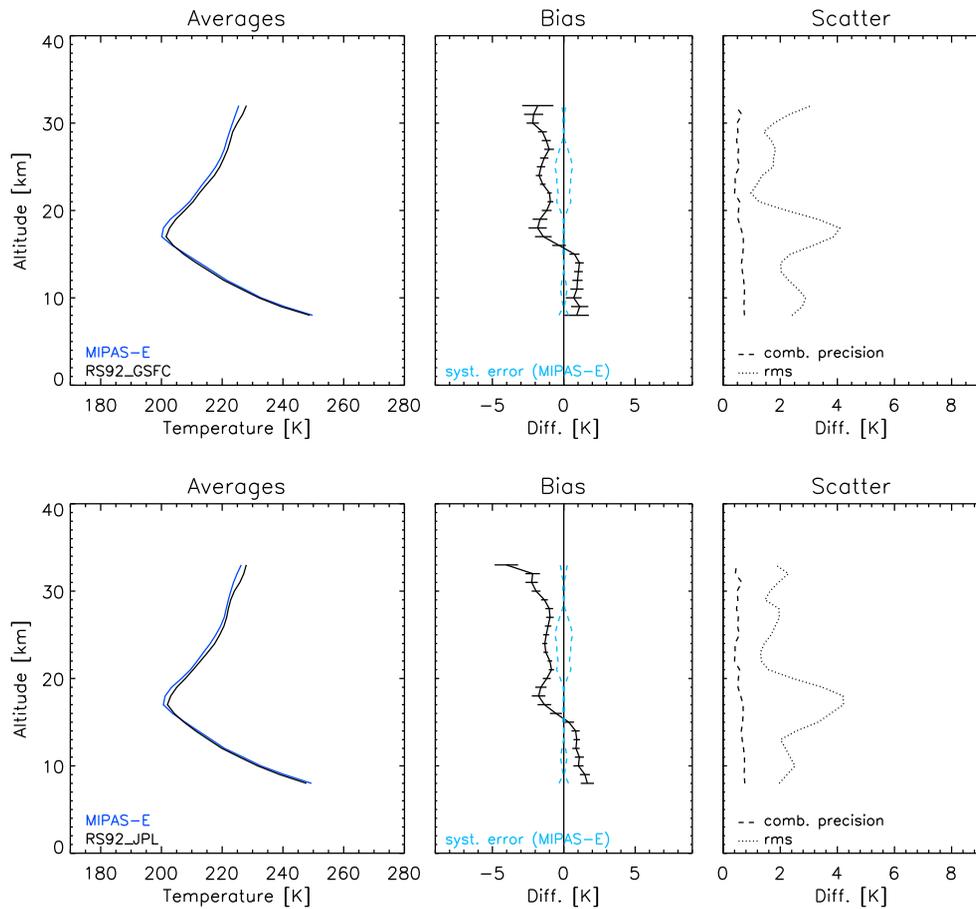


Fig. 6. Same as Fig. 2, but for RS92_GSFC (top) and RS92_JPL (bottom) radiosonde temperature profiles. For a more detailed description see Fig. 2.

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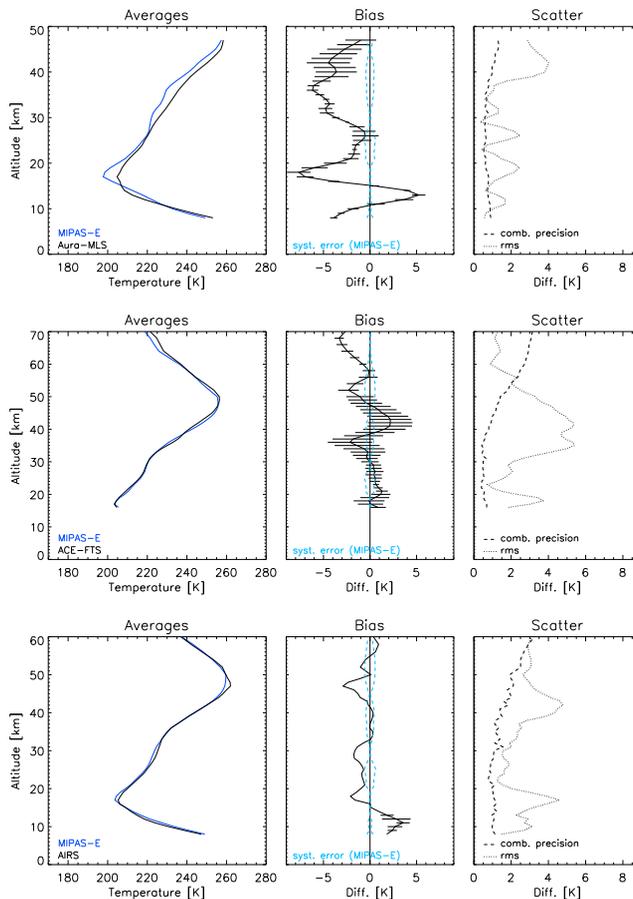


Fig. 7. Same as Fig. 2, but for Aura-MLS (top row), ACE-FTS (middle row), and AIRS (bottom row) temperature profiles. For a more detailed description see Fig. 2.

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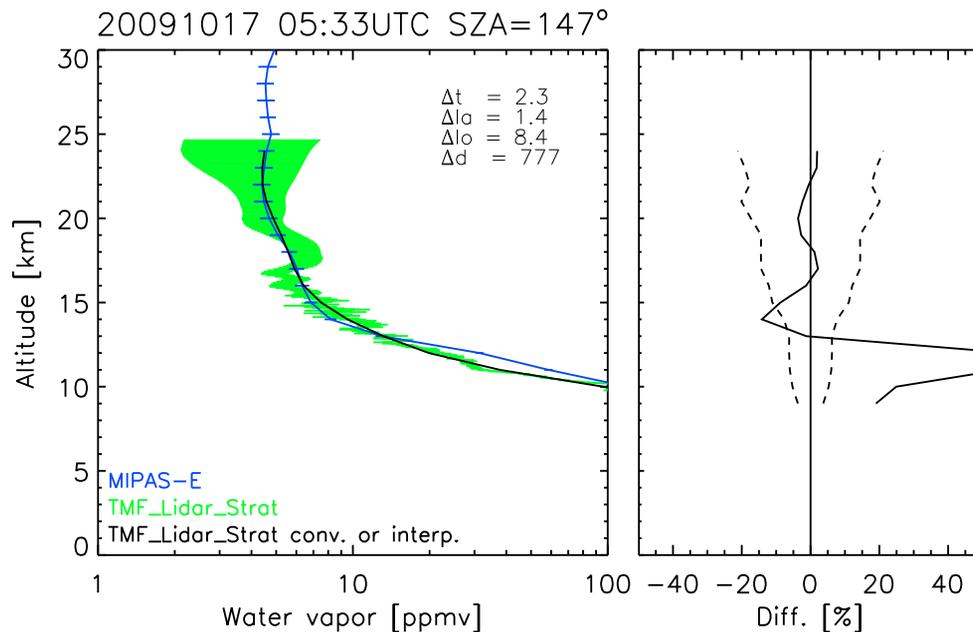


Fig. 8. Same as Fig. 1 but for TMW lidar water vapor profiles; the absolute water vapor vmrs are presented on a logarithmic scale, while the difference and the combined total errors are presented as percentage of the reference profile. For a more detailed description see Fig. 1.

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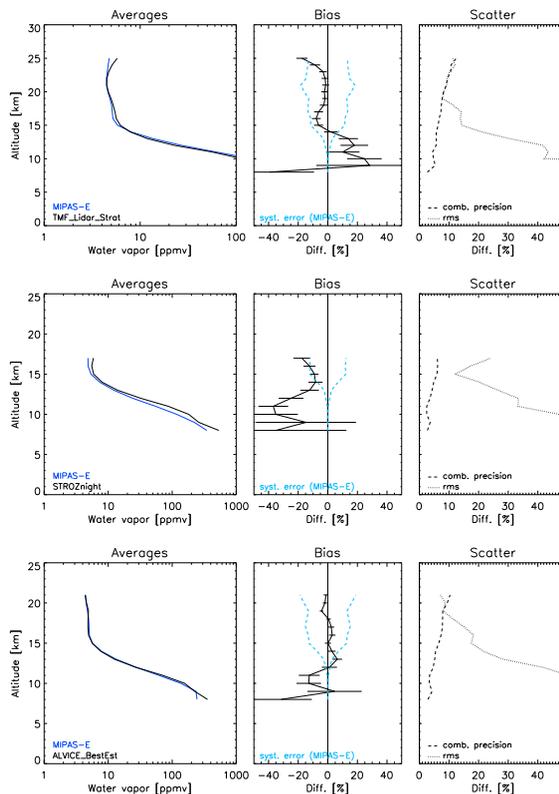


Fig. 9. Same as Fig. 2 but for water vapor profiles from TMW lidar (top row), STROZ lidar (middle row), and ALVICE lidar (bottom row); the absolute water vapor vmrs are presented on a logarithmic scale, while the bias and the various errors and bias-corrected root mean squares differences are presented as percentage of the average reference profile. For a more detailed description see Fig. 2.

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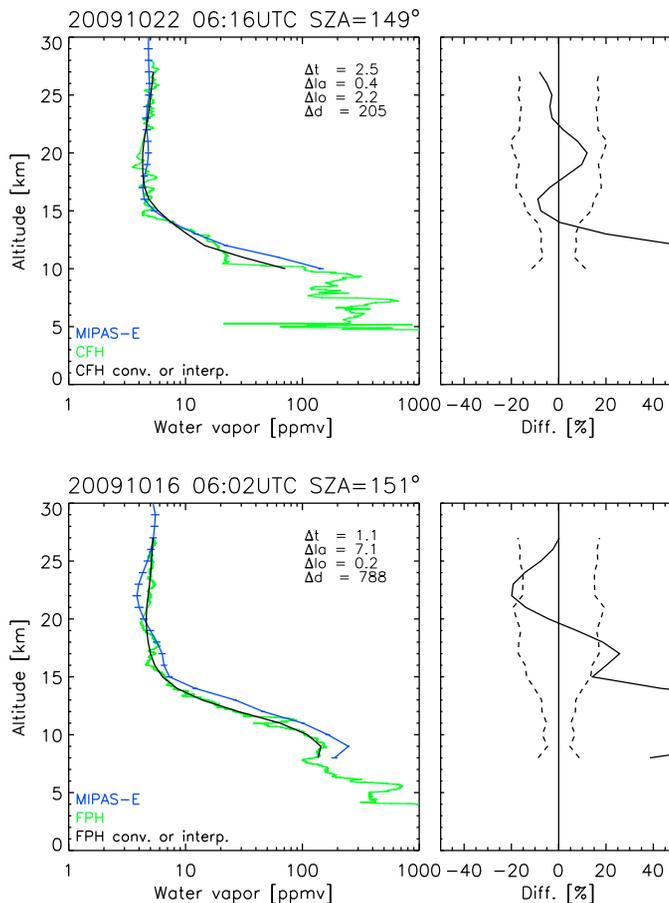


Fig. 10. Same as Fig. 8 but for CFH (v3.20, top row) and FPH_NOAA (bottom row) frost point hygrometer profiles of water vapor. For a more detailed description see Fig. 8.

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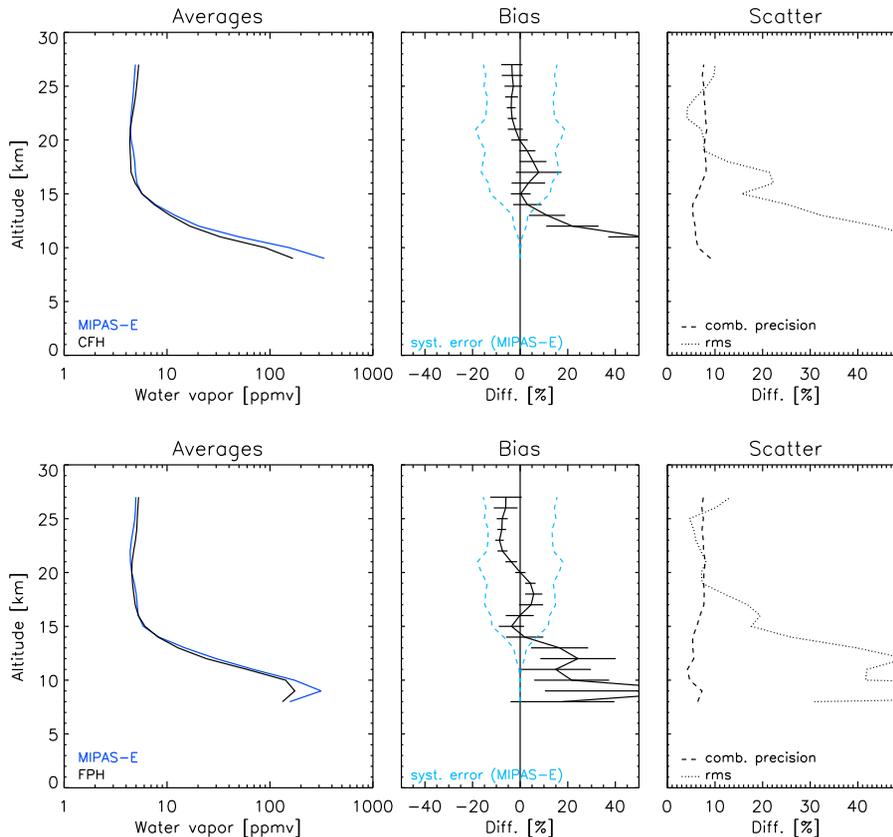


Fig. 11. Same as Fig. 9 but for CFH v3.20 (top row) and FPH_NOAA (bottom row) frost point hygrometer profiles of water vapor. For a more detailed description see Fig. 9.

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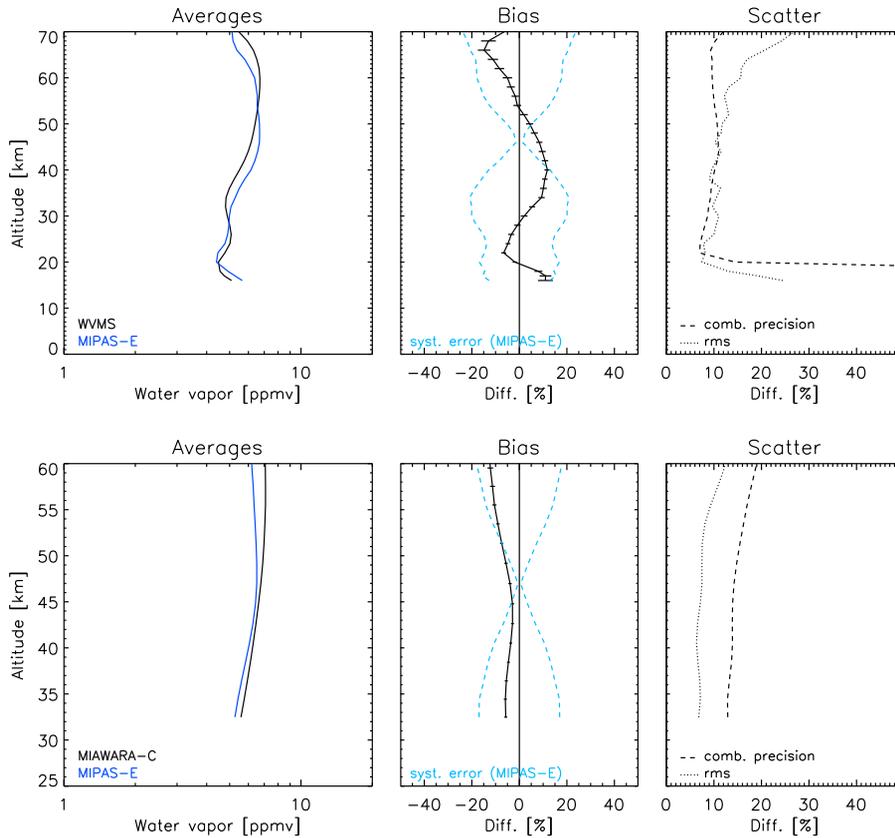


Fig. 12. Same as Fig. 9 but for WVMS (top row) and MIAWARA-C (bottom row) microwave radiometer water vapor profiles (6 hrs measurement time). For a more detailed description see Fig. 9.

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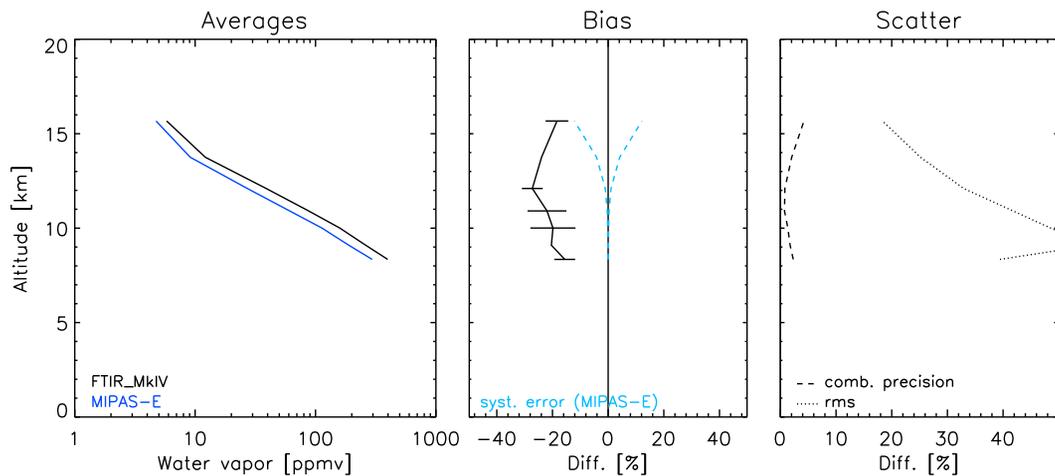


Fig. 13. Same as Fig. 9 but for MkIV FTIR spectrometer water vapor profiles. For a more detailed description see Fig. 9.

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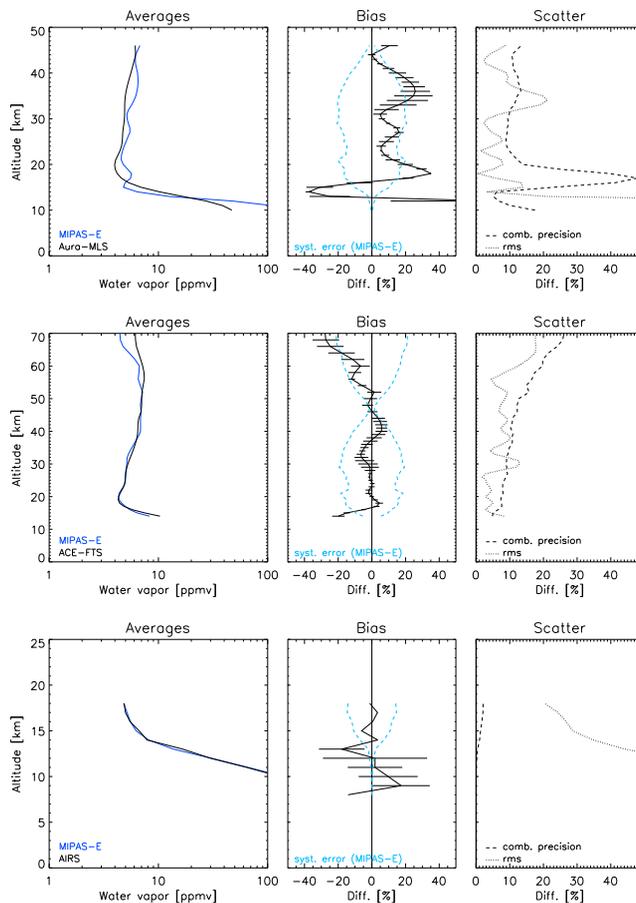


Fig. 14. Same as Fig. 9 but for water vapor profiles from Aura/MLS v2.2 (top row), ACE-FTS (middle row), and AIRS (bottom row). For a more detailed description see Fig. 9.

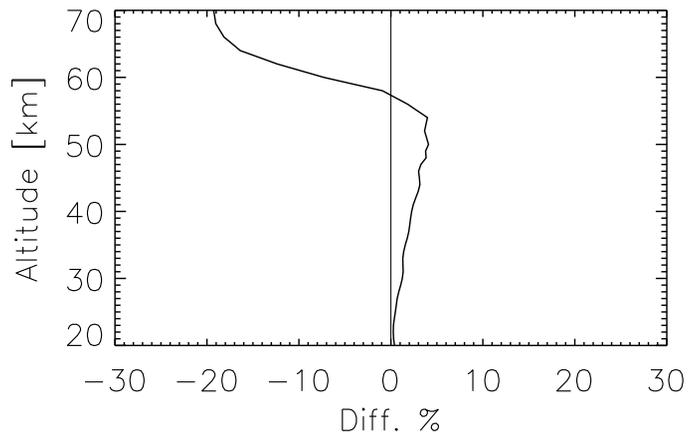


Fig. 15. Difference between MIPAS LTE and non-LTE water vapor daytime retrievals at mid-latitudes.

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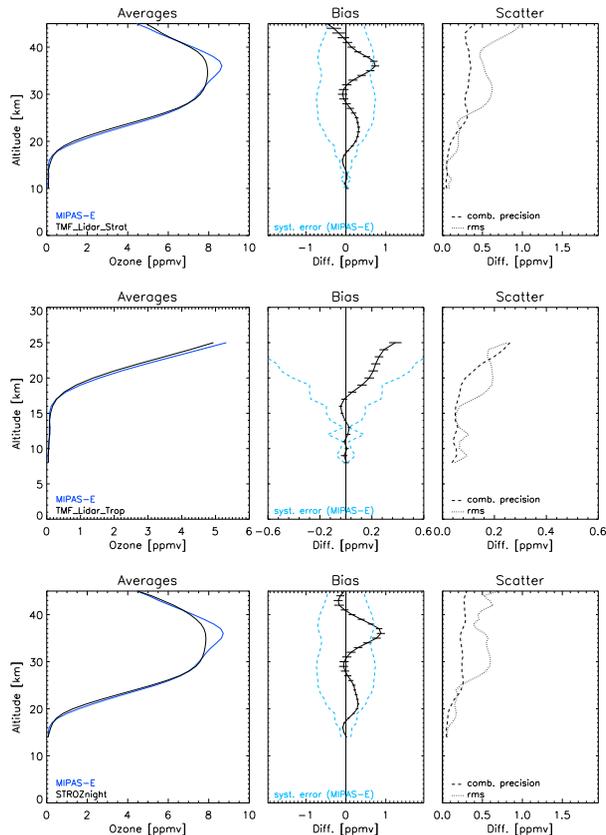


Fig. 16. Same as Fig. 2, but for the stratospheric TMF lidar ozone profiles (nightly means) (top row), the tropospheric TMF lidar ozone profiles (nightly means) (middle row), and STROZ ozone profiles (nightly means) (bottom row). For a more detailed description see Fig. 2.

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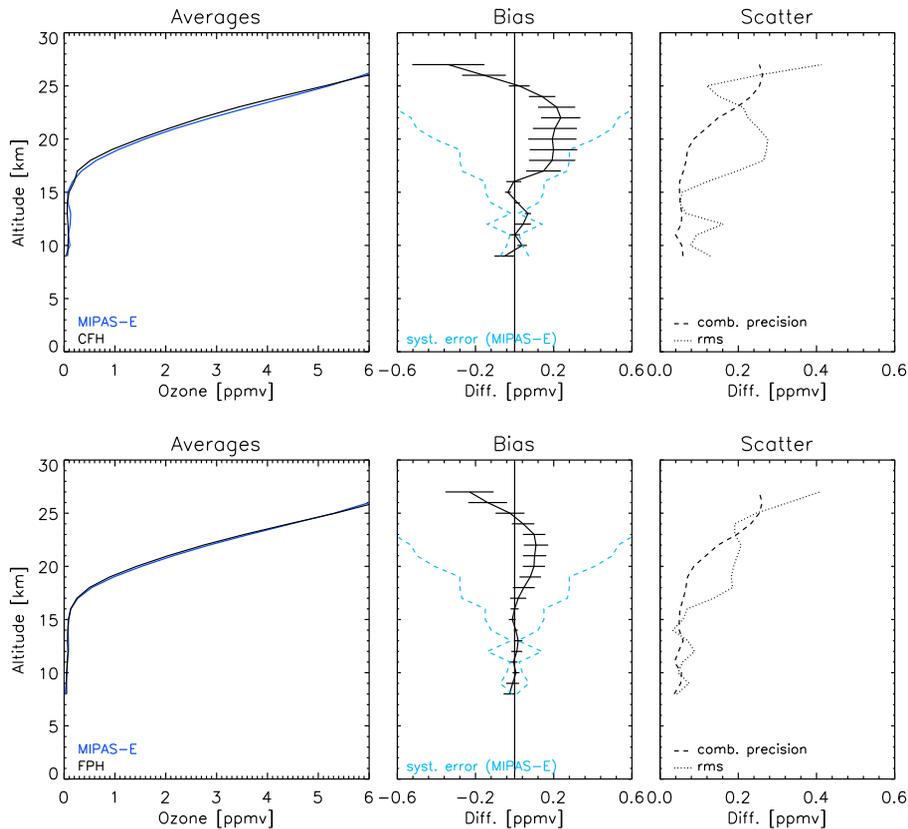



Fig. 17. Same as Fig. 2, but for CFH v3.20 (top row) and FPH.NOAA (bottom row) frost point hygrometer ozone profiles. For a more detailed description see Fig. 2.

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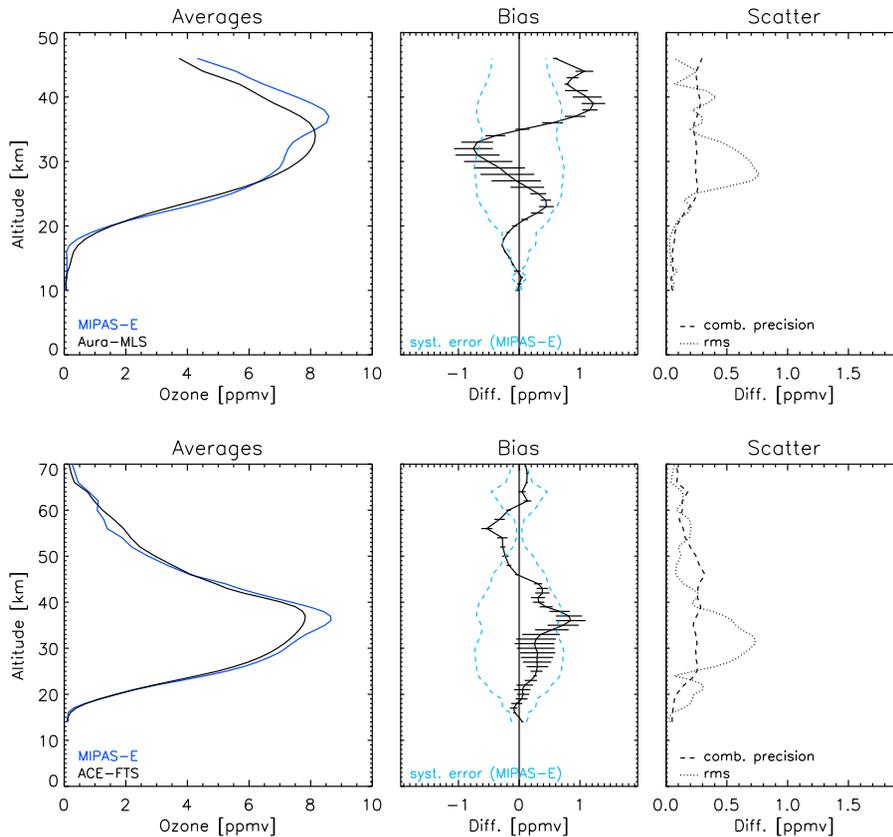


Fig. 18. Same as Fig. 2, but for Aura/MLS (top row) and ACE-FTS (bottom row) ozone profiles. For a more detailed description see Fig. 2.

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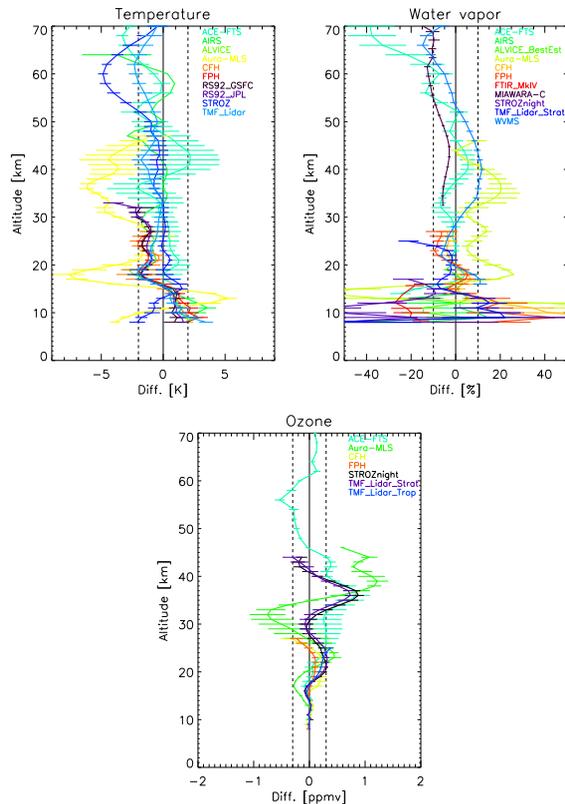


Fig. 19. Compilation of the mean differences between MIPAS and all instruments (MIPAS – correlative instrument) to which comparisons have been performed. Top row, left panel: all mean temperature differences and their standard errors; top row, right panel: all mean water vapor differences and their standard errors (in percent relative to the reference profile); bottom row: all mean ozone differences and their standard errors. Vertical lines are meant as guide for the eyes only.

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