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# SCIAMACHY WFM-DOAS XCO<sub>2</sub>: reduction of scattering related errors

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Received: 10 April 2012 - Accepted: 31 May 2012 - Published: 13 June 2012

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Published by Copernicus Publications on behalf of the European Geosciences Union.

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Global observations of column-averaged dry air mole fractions of carbon dioxide (CO<sub>2</sub>), denoted by XCO<sub>2</sub>, retrieved from passive remote sensing instruments on Earth orbiting satellites can provide important and missing global information on the distribution and magnitude of regional CO<sub>2</sub> surface fluxes. This application has challenging precision and accuracy requirements.

SCIAMACHY on-board ENVISAT is the first satellite instrument, which measures the upwelling electromagnetic radiation in the near and short wave infrared at an adequate spectral and spatial resolution to yield near-surface sensitive XCO<sub>2</sub>. In a previous publication (Heymann et al., 2012), it has been shown by analysing seven years of SCIAMACHY WFM-DOAS XCO<sub>2</sub> (WFMDv2.1) that unaccounted thin cirrus clouds can result in significant errors.

In order to enhance the quality of the SCIAMACHY XCO $_2$  data product, we have developed a new version of the retrieval algorithm (WFMDv2.2), which is described in this manuscript. It is based on an improved cloud filtering and correction method using the 1.4  $\mu$ m strong water vapour absorption and 0.76  $\mu$ m O $_2$ -A bands. The new algorithm has been used to generate a SCIAMACHY XCO $_2$  data set covering the years 2003–2009.

The new  $\rm XCO_2$  data set has been validated using ground-based observations from the Total Carbon Column Observing Network (TCCON). The validation shows a significant improvement of the new product (v2.2) in comparison to the previous product (v2.1). For example, the standard deviation of the difference to TCCON at Darwin, Australia, has been reduced from 4 ppm to 2 ppm. The monthly regional-scale scatter of the data (defined as the mean inner monthly standard deviation of all quality filtered  $\rm XCO_2$  retrievals within a radius of 350 km around various locations) has also been reduced, typically by a factor of about 1.5. Overall, the validation of the new WFMDv2.2  $\rm XCO_2$  data product can be summarised by a single measurement precision of 3.8 ppm, an

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estimated regional-scale (radius of 500 km) precision of monthly averages of 1.6 ppm and an estimated regional-scale relative accuracy of 0.8 ppm.

In addition to the comparison with the limited number of TCCON sites, we also present a comparison with NOAA's global  $\mathrm{CO}_2$  modelling and assimilation system CarbonTracker. This comparison also shows significant improvements especially over the Southern Hemisphere.

#### 1 Introduction

Carbon dioxide  $(CO_2)$  is the most important anthropogenic greenhouse gas contributing to global warming. Reliable climate prediction requires an appropriate knowledge of its surface sources and sinks. Currently, this knowledge has large gaps (e.g. Stephens et al., 2007). Satellite observations of the column-averaged dry air mole fractions of  $CO_2$  (denoted  $XCO_2$ ) can close these gaps (Rayner and O'Brien, 2001; Houweling et al., 2004; Miller et al., 2007; Chevallier et al., 2007). This, however, requires a high accuracy and precision of the  $XCO_2$  data product to constrain the surface fluxes of  $CO_2$  significantly (Chevallier et al., 2007; Miller et al., 2007).

Measurements having near-surface sensitivity are needed for a sufficiently accurate inversion of regional CO<sub>2</sub> surface fluxes. At present, the only satellite instruments, which make such measurements are SCIAMACHY (SCanning Imaging Absorption spectroMeter of Atmospheric CHartographY) on-board ENVISAT (ESA's ENVIronmental SATellite) (Burrows et al., 1995; Bovensmann et al., 1999; Buchwitz et al., 2005a,b, 2006, 2007; Houweling et al., 2005; Bösch et al., 2006; Barkley et al., 2006a,b,c, 2007; Schneising et al., 2008, 2011, 2012; Reuter et al., 2010, 2011) and TANSO (Thermal And Near infrared Sensor for carbon Observation) on-board GOSAT (Greenhouse gases Observing SATellite) (Yokota et al., 2004; Oshchepkov et al., 2008; Butz et al., 2009; Saito et al., 2009; Kuze et al., 2009; Yoshida et al., 2011a,b; Morino et al., 2011).

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Several different retrieval algorithms have been developed (Buchwitz et al., 2000; Buchwitz and Burrows, 2004; Buchwitz et al., 2005a, 2006; Barkley et al., 2006a; Bösch et al., 2006; Schneising et al., 2008, 2011, 2012; Reuter et al., 2010, 2011) to obtain XCO<sub>2</sub> from SCIAMACHY measurements. The WFM-DOAS (Weighting Function Modified – Differential Optical Absorption Spectroscopy) retrieval algorithm (Buchwitz et al., 2000) is one of them. The version 2.1 of this algorithm has been used to generate the only available SCIAMACHY XCO<sub>2</sub> data set covering the years 2003-2009, which has been described in the peer-reviewed literature (Schneising et al., 2011, 2012). Heymann et al. (2012) compared the difference of these XCO<sub>2</sub> data and those from NOAA's (National Oceanic and Atmospheric Administration) modelling and assimilation system CarbonTracker (Peters et al., 2007) with global aerosol and cloud data. They found significant correlations with clouds in several regions especially over the Southern Hemisphere and concluded that the SCIAMACHY WFM-DOAS version 2.1 XCO<sub>2</sub> data product presumably suffers from the unaccounted scattering by thin clouds in those regions.

In order to reduce scattering related errors, full physics retrieval algorithms have been developed which explicitly account for aerosols and clouds (Butz et al., 2009, 2011; Reuter et al., 2010, 2011). These algorithms are computationally very expensive. In contrast, WFM-DOAS is a computationally very fast algorithm because it uses a look-up-table (LUT) approach that avoids time consuming on-line radiative transfer calculations. High processing speed is an important advantage especially for future satellites, such as CarbonSat (Carbon Monitoring Satellite) (Bovensmann et al., 2010) which will deliver orders of magnitude more observations than the current ones. On the

other hand also demanding accuracy requirements have to be met. The goal of this study is to determine to what extent the WFM-DOAS XCO<sub>2</sub> accuracy can be further improved by reducing scattering related errors. For this reason, we have developed an improved cloud filtering and correction method for SCIAMACHY WFM-DOAS XCO<sub>2</sub> retrievals which is based on a threshold technique for radiances from the saturated water vapour absorption band at 1.4 µm, which is described in this

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manuscript. With this method, an updated SCIAMACHY WFM-DOAS XCO<sub>2</sub> data set version 2.2 has been generated. In order to investigate if this method improves the satellite data, we inter-compared SCIAMACHY WFM-DOAS XCO<sub>2</sub> version 2.2 with Fourier transform spectrometer (FTS) measurements at various TCCON sites and with recapitulated TCCON validation results of version 2.1 of Schneising et al. (2012). We also inter-compared the satellite data with model output from NOAA's modelling and assimilation system CarbonTracker. In this study, the results of these inter-comparisons are discussed.

This article is structured as follows: The next section gives a short overview of the satellite instrument SCIAMACHY. The WFM-DOAS retrieval algorithm is briefly introduced in Sect. 3. In Sect. 4 the new SCIAMACHY WFM-DOAS XCO<sub>2</sub> version 2.2 retrieval algorithm is presented followed by a description of the used data sets for the comparison with the satellite data in Sect. 5. A discussion of the inter-comparison results with the measurements from TCCON FTS sites is described in Sect. 6. The results of the inter-comparison with CarbonTracker are discussed in Sect. 7. Finally, conclusions are given in Sect. 8.

#### 2 SCIAMACHY

SCIAMACHY (SCanning Imaging Absorption spectroMeter for Atmospheric CHartography) is a grating spectrometer and a national contribution to the atmospheric chemistry payload of ESA's (European Space Agency) ENVISAT (ENVIromental SATellite) (Burrows et al., 1995; Bovensmann et al., 1999). The satellite was launched in March 2002 into a sun-synchronous daytime (descending) orbit with an equator crossing time of 10:00 a.m. SCIAMACHY continuously measures reflected, backscattered and transmitted solar radiation in six channels covering the spectral region 214–1750 nm and in two additional channels covering the 1940–2040 nm and the 2265–2380 nm regions. The spectral resolution ranges from 0.2 to 1.4 nm. In addition to the eight main channels, seven Polarisation Measurement Devices (PMD)

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measure upwelling broad band radiation polarised with respect to the instrumental plane with higher spatial resolution.

The satellite instrument performs measurements in four different observation modes: solar and lunar occultation and limb and nadir. For this study nadir observations from channel 4 (605–805 nm; for O<sub>2</sub>), channel 6 (1000–1750 nm; for CO<sub>2</sub> and water vapour) and PMD 1 (320–380 nm) are used. The integration time of the instrument in the used spectral regions of channels 4 and 6 is typically 0.25 s and provides a typical spatial resolution of 60 km across track by 30 km along track. A total swath width of 960 km is achieved by scanning across-track ±32° relative to direct nadir viewing.

#### 3 SCIAMACHY WFM-DOAS v2.1

The WFM-DOAS retrieval algorithm is described in detail in Schneising et al. (2011). Here, we give a short overview of the algorithm.

The WFM-DOAS retrieval algorithm was developed to retrieve accurate vertical columns of atmospheric gases like  $O_2$ ,  $CO_2$ ,  $CH_4$ , etc. from SCIAMACHY measurements. The algorithm utilises a least-squares method, which scales pre-selected atmospheric vertical profiles. For the retrieval of the  $CO_2$  and  $O_2$  column, sunnormalised radiances from spectra covering the  $O_2$ -A absorption band at 755–775 nm and  $CO_2$  absorption lines between 1558–1594 nm are used. The logarithm of a linearised radiative transfer model is fitted to the logarithm of the measured radiances. A fast look-up-table approach is used to avoid computationally expensive radiative transfer simulations. The fit parameters yield the  $CO_2$  and  $O_2$  columns. The simultaneous retrieved  $O_2$  column is used as a light path proxy for  $CO_2$  and is needed to convert  $CO_2$  columns (molec cm<sup>-2</sup>) into  $XCO_2$  (ppm).

The WFM-DOAS algorithm also includes a cloud detection algorithm, which is based on a threshold technique for the normalised and solar zenith angle corrected PMD 1 signal and the deviation of the retrieved  $\rm O_2$  column from the assumed a-priori  $\rm O_2$  column. If the cloud detection algorithm detects a cloud, the corresponding ground

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pixel will be flagged as cloudy. For the identification of successful retrievals a binary quality flag ("good/bad") is used, which is part of the XCO<sub>2</sub> data product.

Heymann et al. (2012) found a scan-angle-dependent bias in the SCIAMACHY WFMDv2.1 XCO<sub>2</sub> data set. This bias was corrected using an empirical correction method. A comparison of the scan-angle-bias corrected and uncorrected SCIAMACHY XCO<sub>2</sub> data set with CarbonTracker showed better agreement of the scan-angle-bias corrected data product. In this study, we use the scan-angle-bias corrected and quality filtered SCIAMACHY WFM-DOAS v2.1 level 2 data product for the period 2003–2009.

#### 4 New SCIAMACHY WFM-DOAS v2.2 algorithm

The improved SCIAMACHY WFM-DOAS v2.2 XCO<sub>2</sub> algorithm is described in the following.

#### 4.1 Improved cloud filtering and correction method

The cloud filter as implemented in the WFMDv2.1  $XCO_2$  algorithm is based on two approaches: (i) a filtering method based on sub-pixel information of SCIAMACHY's polarisation measurement device (PMD) 1 and (ii) a threshold technique for the ratio of the retrieved to the reference  $O_2$  column. Both approaches are described in detail, e.g. in Heymann et al. (2012).

For WFMDv2.2 these filtering approaches are extended by (i) a threshold technique based on the radiance from the saturated water vapour absorption band at 1.4  $\mu$ m, (ii) by using stricter thresholds for the ratio of the retrieved  $O_2$  column to the reference column and (iii) a restriction to surface elevations of less than 4 km. In addition, a correction method of XCO<sub>2</sub> based on the statistics of the  $O_2$  column ratio is applied. Overall, the new filtering approach identifies about 25 % more observations which are contaminated with clouds (from a total amount of about 5.65 × 10<sup>6</sup> cloud-free ground pixels for WFMDv2.1 for the years 2003–2009 to about 4.24 × 10<sup>6</sup> for WFMDv2.2).

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The restriction of the surface elevation is done because of LUT limitations. The other filtering methods are described within the next sections.

#### 4.1.1 Use of the saturated water vapour absorption band at 1.4 µm

In this section, the threshold technique, developed in this study and based on the saturated water vapour absorption band at 1.4  $\mu$ m, is described. We use this band to detect and remove thin cirrus clouds. Gao et al. (1993) showed that the saturated water vapour band is sensitive to high thin cirrus clouds and can be used for cirrus cloud detection. This is because in the clear-sky case the amount of radiation measured from space in nadir mode is very small as essentially all photons are absorbed by tropospheric water vapour. When a cirrus cloud located above almost all of the atmospheric water vapour is present, a significant amount of radiation can be backscattered and measured. Our implementation of this detection method is as follows:

We use sun-normalised radiance ("intensity") spectrally averaged between 1.395–1.41  $\mu$ m of SCIAMACHY channel 6. Within this interval, the integration time of the detector is the same as in the  $O_2$  and  $CO_2$  fit windows used by WFM-DOAS. If the deviation of the measured intensity of a ground pixel to a reference intensity (corresponding to a clear sky case) is larger than a factor of 2, the ground pixel will be flagged as cloudy. The used threshold ( $P_{H_2O} = 2.0$ ) represents a compromise between cloud filtering and the loss of too many data. Figure 1 shows the used reference intensity as a function of the water vapour vertical column amount ( $H_2O^{VCA}$ ) and the number of occurrences for WFMDv2.1 between 2003 and 2009. To ensure that we only use cloud-free measurements for the statistical assessment of the reference intensity, we have averaged the 40% lowest intensities within each  $H_2O^{VCA}$  interval. As can be seen, the reference intensity is nearly constant if the  $H_2O^{VCA}$  is larger than 1 g cm $^{-2}$ . With decreasing  $H_2O^{VCA}$ , the reference intensity increases and its standard deviation as well. However, only a small fraction of the retrieved  $H_2O^{VCA}$  is lower than 1 g cm $^{-2}$  and the relaxed filtering threshold prevents from flagging too many clear-sky data as

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cloudy. The distribution of the WFMDv2.1 data shows a maximum for H<sub>2</sub>O<sup>VCA</sup> between 1.4 and 1.6 gcm<sup>-2</sup>, which is similar to the 1.42 gcm<sup>-2</sup> of the US standard atmosphere.

The  $\rm H_2O^{VCA}$  used in this study is computed from the WFM-DOAS simultaneously retrieved water vapour column in the  $\rm CO_2$  fit window and is given in the Level 2 (L2) data product of WFMDv2.1. Figure 2 shows a comparison with water vapour vertical column amounts obtained from ECMWF. A global offset (d) of  $-0.31 \, {\rm g \, cm}^{-2}$ , a standard deviation of the difference of the data sets (s) of 0.53 g cm<sup>-2</sup> and a correlation coefficient of 0.89 shows reasonable agreements between the two data sets. This indicates that reasonable cloud-free  $\rm H_2O$  columns can be retrieved from the  $\rm CO_2$  fit window of WFM-DOAS at 1.6  $\mu$ m and can be used for the filtering approach.

A more quantitative estimation of the sensitivity of this filtering method has been performed by using radiative transfer simulations. Figure 3 shows deviations of simulated intensities to simulated clear-sky intensities for various cloud scenarios with different water vapour vertical column amounts. The intersections with the filtering threshold  $P_{\rm H_2O} = 2.0$  represent the minimum detectable cloud optical depth (COD) of a cloud which can be detected (note that homogeneous cloud cover is assumed). For increasing water vapour vertical column amounts the minimum detectable COD decreases and the sensitivity increases but only slightly for column amounts larger than 1 gcm<sup>-2</sup>. The filtering approach is insensitive to clouds if only a very small amount of water vapour is present in the atmospheric column.

Table 1 summarises the results of all simulation scenarios. For the case of water vapour vertical column amounts being larger than  $1\,\mathrm{g\,cm^{-2}}$ , the filter becomes insensitive to solar zenith angle (SZA), cloud top height (CTH; assuming CTH > 4 km) and albedo. For column amounts below  $0.86\,\mathrm{g\,cm^{-2}}$  the sensitivity decreases and the filter is more dependent on geometry and surface albedo. The filter is insensitive for low clouds (CTH < 4 km). In this case, the absorption band becomes already saturated above the cloud if enough water vapour is in the atmospheric column. This can also effect the sensitivity for high clouds but only for large  $\mathrm{H_2O^{VCA}}$ .

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The saturated water vapour absorption band based cloud filter is sensitive to thin (COD > 0.05) and high (CTH > 4 km) clouds if the observed atmospheric column contains "enough" water vapour ( $H_2O^{VCA} > 0.86 \,\mathrm{g\,cm}^{-2}$ ). The WFM-DOAS XCO<sub>2</sub> data set suffers from thin and high clouds in the tropics especially in the Southern Hemisphere, as shown by Heymann et al. (2012). For this reason, this filter approach is an appropriate extension to the existing cloud filtering criteria.

#### 4.1.2 Use of O<sub>2</sub> column ratios

As described by Schneising et al. (2011) for WFMDv2.1 ground pixels with deviations of the retrieved O2 column to the reference O2 column ("O2-ratio") smaller than 0.9 are identified and flagged as cloudy. The reference O<sub>2</sub> column is determined from the US standard atmosphere O<sub>2</sub> column by accounting for the surface elevation variations. Heymann et al. (2012) showed that clouds with eCOD (effective cloud optical depth defined as cloud fractional coverage times cloud optical depth) less than 0.1 may remain undetected. These undetected clouds can cause systematic errors, which are significant for the CO<sub>2</sub> surface flux inversion application. For this reason, we have also investigated to what extent a stricter O<sub>2</sub>-ratio threshold can improve the quality of the XCO<sub>2</sub> data product.

A geometrical viewing geometry correction has been implemented for the O<sub>2</sub> and CO<sub>2</sub> column in WFM-DOAS in order to correct for a scan-angle dependent air-mass factor (Buchwitz and Burrows, 2004). For this reason, the O2 vertical column ratio should be independent of the viewing geometry. However, we found that the O<sub>2</sub>-ratio exhibits a scan-angle-dependent bias. In order to improve the geometrical correction, we correct for this bias using an empirical correction. A quadratic function depending on the (signed) viewing zenith angle (VZA) (as defined by Heymann et al., 2012) was fitted to the O<sub>2</sub>-ratio and used for the correction:

$$(O_2^{\text{ref}}/O_2^{\text{ref}})^{\text{cor}} = O_2^{\text{ref}}/O_2^{\text{ref}} + A_2 \cdot (VZA - A_3)^2$$
 (1)

 $O_2^{\rm ret}$  corresponds to the retrieved and  $O_2^{\rm ref}$  to the reference  $O_2$  column.  $A_2$  is  $3.26 \times 10^{-5} \frac{1}{(^\circ)^2}$  and  $A_3$  is  $-12.3^\circ$ . These values are adapted from the fit shown in the top left panel of Fig. 4. This figure shows the scan-angle dependency before the scanangle-bias correction of the  $O_2$ -ratio of WFMDv2.1 in the top left panel and after the scan-angle-bias correction for WFMDv2.2 in the bottom left panel.

The scan-angle-bias corrected  $O_2$ -ratio is used for cloud filtering in the following way: If the  $O_2$ -ratio of a ground pixel exceeds 1.1 or is smaller than 0.95, the ground pixel is flagged as cloudy.  $O_2$ -ratios smaller than 1 indicate a light path shortening (e.g. due to cloud shielding), whereas  $O_2$ -ratios larger than 1 indicate a light path lengthening (e.g. due to multiple scattering). For this reason, we increase the lower threshold of 0.9 of WFMDv2.1 to 0.95 and we added the upper threshold of 1.1.

We have performed various simulations to study the relation of  $XCO_2$  and  $O_2$  in the presence of thin clouds and aerosols. We found a strong and nearly linear dependency of  $XCO_2$  on the  $O_2$ -ratio for simulation scenarios with different SZA, surface albedos and COD. We assume that other features responsible for the  $O_2$ -ratio dependent bias in  $XCO_2$  can be neglected. For this reason, we correct this bias by using a statistical method. We use a polynomial fitted to averaged  $XCO_2$  and  $O_2$ -ratios:

$$XCO_2^c = XCO_2 + B_1 + B_2 \cdot z + B_3 \cdot z^2$$

$$z = (O_2^{fit}/O_2^{ref} - 1)$$
(2)

Here  $B_1$  is  $-0.8\,\mathrm{ppm}$  and accounts for a global offset.  $B_2$  is 137 ppm and  $B_3$  is  $-362\,\mathrm{ppm}$ . The fit parameters shown in Fig. 4 top-right are used for this correction. The mid- and right-bottom panels in this figure show the resulting dependency of  $XCO_2$  on the  $O_2$ -ratio after applying the improved filter method. As can be seen, the stricter  $O_2$ -ratio thresholds remove unrealistic high and low values of  $XCO_2$  and the remaining  $O_2$ -ratio dependent bias in  $XCO_2$  is very small.

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The scatter is derived as follows: we compute the standard deviation of all XCO<sub>2</sub> measurements within a radius of 350 km around several locations for each month. The locations used for this assessment are shown in Fig. 5 and their latitudes and longitudes are listed in Table 2. The mean of the monthly standard deviations is derived for all locations. The overall value of the scatter is the mean of the scatter of all locations. This value is not only determined by instrumental and retrieval noise. Atmospheric XCO<sub>2</sub> variability and systematic errors can also affect this value (note that the seasonal cycle is filtered out by using the standard deviations of the monthly data). However, the computed monthly regional-scale scatter of the data can be regarded as an upper limit of the single measurement precision.

The standard deviations of WFMDv2.1 and WFMDv2.2 XCO2 are listed in Table 3. As can be seen, the standard deviation is smaller for version 2.2. The monthly regional-scale scatter is reduced to  $4.51 \pm 0.60 \,\mathrm{ppm}$  for WFMDv2.2 compared to  $7.42 \pm 1.29$  ppm for WFMDv2.1.

In addition, we estimated the single measurement precision using the same approach as used in the publication of Schneising et al. (2011). They estimated the precision by averaging daily standard deviations of the retrieved XCO<sub>2</sub> for 8 locations distributed around the globe. This estimation shows a reduction of the single measurement precision from 5.4 ppm (1.4%) of WFMDv2.1 to 3.8 ppm (1%) of WFMDv2.2.

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In this section, the data sets used for the comparison with the new SCIAMACHY WFM-DOAS XCO<sub>2</sub> version 2.2 as well as with the previous version 2.1 data product are briefly described.

#### 5.1 TCCON

We use the independent measurements from the ground-based Fourier transform spectrometers (FTS) of TCCON (Total Carbon Column Observing Network) for the validation of the SCIAMACHY WFMDv2.2 XCO<sub>2</sub> data product. TCCON is a global network of ground-based FTS instruments and provides measurements of CO<sub>2</sub> and other greenhouse gases (Wunch et al., 2011). The FTS measurements are the most important validation source for measurements from satellite instruments like SCIAMACHY and GOSAT and future satellite missions like OCO-2 (Crisp et al., 2004; Bösch et al., 2011) and CarbonSat (Bovensmann et al., 2010). A stable and robust commercial high-resolution FTS, the Bruker IFS 125/HR, is used as standard instrument and common data processing and analysis software is utilised to determine XCO<sub>2</sub> with a high accuracy of approximately 0.2 % (Wunch et al., 2011).

We obtained the FTS data from the TCCON website (http://www.tccon.caltech.edu) and use monthly means in this study.

#### 5.2 CarbonTracker

For a global comparison of the SCIAMACHY WFM-DOAS v2.1 and v2.2 XCO<sub>2</sub>, we use NOAA's global CO<sub>2</sub> modelling and assimilation system CarbonTracker. CarbonTracker has been developed to estimate the global atmospheric CO<sub>2</sub> distribution and the CO<sub>2</sub> surface fluxes (Peters et al., 2007). CarbonTracker assimilates precise and accurate measurements of NOAA's greenhouse gas air sampling network. Version 2010 of CarbonTracker for the years 2003–2009 has been downloaded

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from http://carbontracker.noaa.gov. In order to obtain daily CarbonTracker XCO<sub>2</sub>, the CarbonTracker CO<sub>2</sub> vertical profiles have been vertically integrated after applying the averaging kernels of the SCIAMACHY WFM-DOAS XCO<sub>2</sub> retrieval algorithm. This daily CarbonTracker XCO<sub>2</sub> data set has been regridded on a latitude/longitude grid and sampled like the SCIAMACHY measurements.

#### 6 Validation with TCCON FTS measurements

In order to investigate whether the filtering and correction method improves the quality of the SCIAMACHY XCO<sub>2</sub> data product, we have compared the new WFMDv2.2 data set with the independent TCCON FTS measurements and inter-compared the results with validation results for WFMDv2.1 (Schneising et al., 2012). In this section, the result of this inter-comparison are discussed.

#### 6.1 Validation method

The validation of the WFMDv2.2 XCO<sub>2</sub> is performed in the same way as described in the publication of Schneising et al. (2012) for WFMDv2.1. This implies that monthly means for the time period 2003–2009 computed using a sufficiently large number of measurements within a radius of 500 km around the analysed TCCON sites (Bialystok, Bremen, Garmisch, Park Falls, Lamont, Darwin and Wollongong) are used. The locations of the TCCON sites are shown in Fig. 5 and the corresponding latitudes and longitudes are listed in Table 2.

From the monthly time series of the satellite and FTS data statistical quantities are determined for every FTS site: the regional bias (d) (mean difference to FTS measurements), the mean standard deviation of the difference (s) and the linear correlation coefficient (r) with the FTS measurements. From these values we determine the offset, the monthly regional-scale precision (the mean standard deviation of the difference

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to FTS), the mean correlation and the regional-scale relative accuracy (the standard deviation of the station-to-station biases).

The FTS and the satellite measurements provide information on the retrieved CO<sub>2</sub> column from different heights because of differing height sensitivities, as characterised by their averaging kernels. In order to compare these measurements, we use the comparison approach described by Rodgers (2000). The retrieved satellite and FTS CO<sub>2</sub> columns have been adjusted to a common a priori profile. For this study, we use the same adjustment method as described by Reuter et al. (2011) and Schneising et al. (2012).

#### 6.2 Validation results

The results of the comparison of the WFMDv2.1 and WFMDv2.2 XCO<sub>2</sub> data product with the TCCON FTS measurements are shown in Fig. 6 and summarised in Table 4.

The comparison of WFMDv2.1  $XCO_2$  with the FTS measurements for Darwin, Australia, shows a large monthly scatter of the data (7.5 ppm) and large differences to the FTS (3.9 ppm). This is improved for the new WFMDv2.2  $XCO_2$  data product. The scatter is reduced to 3.8 ppm. The large deviation to the FTS is improved by nearly a factor of 2 (from 3.9 ppm to 2.0 ppm). The improvement is also shown by a higher correlation (0.75) between the satellite and the FTS data.

Overall, the comparison of WFMDv2.2 XCO<sub>2</sub> with FTS shows much better agreement than for WFMDv2.1. The monthly regional-scale precision has been improved from 2.1 ppm for WFMDv2.1 to 1.6 ppm for WFMDv2.2. The regional-scale relative accuracy has been improved from 1.1 ppm to 0.8 ppm and the mean correlation has been improved from 0.76 to 0.84.

These results show that the improved cloud filtering and correction method for WFMDv2.2 significantly improves the quality of the XCO<sub>2</sub> data product.

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## Comparison with CarbonTracker XCO<sub>2</sub>

In addition to the comparison with the limited number of TCCON sites, we have also performed a comparison of WFMDv2.2 XCO<sub>2</sub> with global model results using NOAA's CarbonTracker.

Figure 7 shows three-monthly averaged (April to June and July to September) global maps of WFMDv2.2 and CarbonTracker XCO<sub>2</sub> for the years 2003 and 2009. The SCIAMACHY XCO<sub>2</sub> maps show that also with the much stricter cloud filtering and correction method good coverage of most land surfaces is achieved. There are however some gaps, e.g. Sahara and Himalaya, similar as for WFMDv2.1. The maps of the SCIAMACHY and CarbonTracker XCO<sub>2</sub> also show the northern hemispherical terrestrial vegetation induced carbon uptake in summer as shown by higher XCO<sub>2</sub> values in April–June compared to lower XCO<sub>2</sub> values in July–September in 2003 and 2009. In addition, the increase of the global CO<sub>2</sub> concentration is seen by the higher XCO<sub>2</sub> in 2009 compared to 2003. Both data sets show reasonable agreement. There are, however, also significant differences, e.g. over India and the Horn of Africa, which needs further investigation.

For a more quantitative investigation, we compared WFMDv2.2 with CarbonTracker XCO<sub>2</sub> using hemispherical monthly means between 2003 and 2009. In order to investigate if the improved cloud filtering and correction method reduces the difference to CarbonTracker, we compare with WFM-DOAS v2.1 XCO<sub>2</sub>. However, it has to be noted that CarbonTracker is affected by errors of its own like, e.g. incorrect accounting for the vertical transport and the ageing of air and uncertainties in the biosphere fluxes (Basu et al., 2011).

Important parameters for the comparison are determined in the following way: the annual increase is determined by smoothing the time series with a twelve-month boxcar function and computing the mean from the derivative of the smoothed time series. The seasonal cycle amplitude is determined from a detrended time series between 2004 and 2008 by averaging the yearly difference of maximum and minimum XCO<sub>2</sub>.

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The error of the increase and the amplitude is estimated by a bootstrap method (see Schneising et al., 2011). The correlation with CarbonTracker and the standard deviation of the difference to CarbonTracker has been determined. In addition, the "detrended" correlation coefficient (r) to CarbonTracker is computed from the detrended time series 5 of WFMDv2.1 and WFMDv2.2 and is an indicator for (phase) shifts between the time series.

Figure 8 shows the result of this comparison. As can be seen, the scatter is reduced from 10.2 ppm for WFMDv2.1 to 5.8 ppm for WFMDv2.2 for the Northern Hemisphere and from 9.2 ppm to 4.6 ppm for the Southern Hemisphere. The correlation with CarbonTracker is for both WFM-DOAS versions and for both hemispheres nearly the same. The standard deviation of the differences is slightly worse for the Northern Hemisphere (from 1.3 ppm to 1.5 ppm) and slightly better for the Southern Hemisphere (from 1.3 ppm to 0.9 ppm). The annual increase, the seasonal cycle amplitude and the detrended correlation of the Northern Hemisphere are not changed significantly. For the Southern Hemisphere, the annual increase of WFMDv2.2 is also not changed significantly. However, the seasonal cycle amplitude is lower for WFMDv2.2 and agrees better with CarbonTracker compared to WFMDv2.1. The phase shift of the seasonal cycle of WFMDv2.1 shown by the detrended correlation of -0.19 was significantly improved for WFMDv2.2 shown by the detrended correlation of 0.72.

Overall, the SCIAMACHY WFM-DOAS v2.2 XCO<sub>2</sub> data product shows much better agreements with CarbonTracker compared to WFMDv2.1.

#### **Summary and conclusions**

We have presented an improved version (WFMDv2.2) of the SCIAMACHY WFM-DOAS XCO<sub>2</sub> retrieval algorithm. This algorithm utilises an improved cloud filtering and correction method using the 1.4 µm water vapour absorption and 0.76 µm O<sub>2</sub>-A bands to identify and select cloud free scenes. A new SCIAMACHY XCO2 data set covering the years 2003–2009 has been generated by using this new version. In order to validate the new XCO<sub>2</sub> data product, we used ground-based FTS observations from TCCON.

The validation showed a significant improvement of the new SCIAMACHY XCO<sub>2</sub> data product (v2.2) in comparison to the previous product (v2.1). For example, the large time dependent deviation from the FTS measurements at Darwin was reduced from a standard deviation of 4 ppm to 2 ppm. The monthly regional-scale scatter of the data (defined as standard deviation of all monthly quality filtered XCO<sub>2</sub> retrievals within a radius of 350 km around various locations) was also improved typically by a factor of about 1.5. Overall, the single measurement precision has been improved from 5.4 ppm for WFMDv2.1 to 3.8 ppm for WFMDv2.2, the monthly regional-scale precision has been improved from 2.1 ppm to 1.6 ppm, the regional-scale relative accuracy has been improved from 1.1 ppm to 0.8 ppm and the mean correlation has also been improved from 0.76 to 0.84.

In addition to the comparison with the limited number of TCCON sites, we also presented results of a comparison with NOAA's global CO<sub>2</sub> modelling and assimilation system CarbonTracker. The results of this comparison also showed significant improvements of the new XCO<sub>2</sub> data product especially over the Southern Hemisphere (e.g. a reduction of the phase shift between the SCIAMACHY and CarbonTracker XCO<sub>2</sub>).

These results show that the improved cloud filtering and correction method of the new version of the SCIAMACHY WFM-DOAS retrieval algorithm successfully improves the SCIAMACHY XCO<sub>2</sub> data product. The new data set is available on request from http://www.iup.uni-bremen.de/sciamachy/NIR\_NADIR\_WFM\_DOAS/.

Acknowledgements. CarbonTracker version 2010 data were provided by NOAA ESRL, Boulder, Colorado, USA, via the website at http://carbontracker.noaa.gov. The TCCON data were obtained from the TCCON Data Archive, operated by the California Institute of Technology from the website at http://tccon.ipac.caltech.edu/. The research leading to the results presented in this manuscript has received funding from the European Union's Seventh Framework Programme (FP7) under Grant Agreements no. 212095 (CityZen) and 218793 (MACC), ESA

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(ADVANSE, CARBONGASES, GHG-CCI, SQWG), DLR (SADOS) and the University and the State of Bremen.

The Garmisch TCCON activities have been funded by the ESA GHG-CCI project via subcontract with the University of Bremen and by the EC within the INGOS project.

The Darwin and Wollongong TCCON sites are funded by NASA grants NAG5-12247 and NNG05-GD07G and the Australian Research Council, DP0879468 and LP0562346. We are grateful to the DOE ARM program for technical support in Darwin.

The Park Falls and Lamont TCCON stations are funded by NASA grants NNX11AG01G. NAG5-12247, NNG05-GD07G, and NASA's Orbiting Carbon Observatory Program. We are grateful to the DOE ARM program for technical support in Lamont and Jeff Ayers for technical support in Park Falls.

The TCCON site at Bialystok is financially supported by the Senate of Bremen and the EU projects IMECC and GEOmon. We acknowledge maintenance and logistical work provided by AeroMeteo Service (Bialystok) and RAMCES team at LSCE (Gif-sur-Yvette, France).

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**Table 1.** Minimum detectable cloud optical depth (COD) for the cloud detection algorithm based on a threshold method for the radiance of the saturated water vapour absorption band at 1.4  $\mu$ m for various simulation scenarios as defined by solar zenith angle (SZA), surface albedo (ALB), cloud top height (CTH) and water vapour vertical column amount. The default aerosol scenario and a cloud geometrical thickness of 0.5 km have been used for all scenarios.

	Minimum detectable COD								
SZA [°]	ALB [-]	CTH [km]	Water vapour vertical column amount [gcm <sup>-2</sup> ]						
			0.00	0.14	0.29	0.86	1.44	2.88	4.32
40	0.1	10	3.55	0.79	0.44	0.11	0.05	0.04	0.03
20	0.1	10	4.51	1.07	0.64	0.16	0.08	0.05	0.05
60	0.1	10	2.41	0.38	0.19	0.04	0.03	0.02	0.02
40	0.3	10	20.61	2.18	1.11	0.21	0.08	0.04	0.03
40	0.1	16	3.55	0.77	0.43	0.10	0.05	0.03	0.03
40	0.1	4	3.55	1.24	0.84	0.33	0.24	0.32	0.50

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**Table 2.** Latitudes and longitudes of the locations used in this study (see also Fig. 5), ordered by latitude.

Location	Country	Lat [°]	Lon [°]
Bialystock	Poland	53.2	23.0
Bremen	Germany	53.1	8.9
Khromtau	Kazakhstan	50.3	58.5
Orleans	France	48.0	2.1
Garmisch	Germany	47.5	11.1
Park Falls	USA	46.0	-90.3
Lamont	USA	36.6	-97.5
Tazirbu	Libya	25.7	21.4
Lubumbashi	Congo	-11.7	27.5
Darwin	Australia	-12.4	130.9
Brasilia	Brazil	-15.8	-47.9
Wollongong	Australia	-34.4	150.9

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**Table 3.** Scatter of the WFMDv2.1 and v2.2  $XCO_2$  data products at several locations and overall ("MEAN").

Monthly regional-scale scatter of the data							
Location	WFM	Dv2.1	WFMDv2.2				
	abs [ppm]	rel [%]	abs [ppm]	rel [%]			
Bialystok	6.09	1.59	4.61	1.21			
Khromtau	9.23	2.43	4.68	1.23			
Orleans	6.28	1.64	4.48	1.17			
Garmisch	8.09	2.14	5.56	1.46			
Park Falls	7.65	2.01	5.29	1.39			
Lamont	7.56	1.99	4.01	1.05			
Tazirbu	4.95	1.30	3.48	0.91			
Lubumbashi	9.09	2.39	4.68	1.23			
Darwin	7.20	1.88	3.80	1.00			
Brasilia	8.26	2.16	4.52	1.19			
Wollongong	7.17	1.89	4.52	1.19			
MEAN	$7.42 \pm 1.29$	$1.95 \pm 0.34$	$4.51 \pm 0.60$	1.18 ± 0.16			

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**Table 4.** Results of the comparison of WFMDv2.1 and v2.2 XCO $_2$  data with ground based FTS measurements at various TCCON sites. The comparison is based on monthly data. Shown are the number of months used for the comparison (n), the mean difference to FTS (d), the standard deviation of the difference (s) and the correlation (r). In addition, the following quantities are given in the bottom row ("MEAN"): the averaged mean difference (global offset), the standard deviation of the mean differences (relative regional-scale accuracy), the mean standard deviation of the differences (monthly regional-scale precision) and the mean correlation.

TCCON Site	WFMDv2.1				WFMDv2.2			
	n [-]	d [ppm]	s [ppm]	r [-]	n [–]	d [ppm]	s [ppm]	r [-]
Bialystok	4	2.53	2.03	0.85	4	1.08	2.09	0.87
Bremen	9	0.70	1.17	0.94	5	0.61	1.07	0.98
Garmisch	14	0.76	2.58	0.75	13	1.11	1.84	0.88
Park Falls	35	-0.12	1.77	0.95	35	-0.50	1.55	0.95
Lamont	16	-0.80	1.75	0.74	16	-0.70	1.29	0.85
Darwin	31	1.87	3.91	0.46	28	-0.81	1.97	0.75
Wollongong	9	0.51	1.53	0.62	7	0.34	1.11	0.61
MEAN	_	$0.78 \pm 1.13$	2.11	0.76	_	$0.16 \pm 0.83$	1.56	0.84

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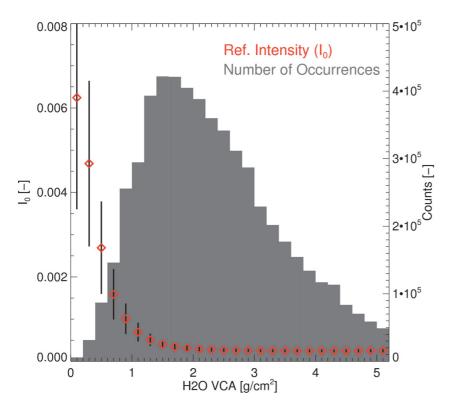
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**Fig. 1.** Analysis of 1.4  $\mu$ m (1390 nm–1410 nm) radiances as measured by SCIAMACHY for the years 2003–2009. The red diamonds show the "reference intensity" ( $I_0$ ) as a function of the water vapour vertical column amount ( $H_2$ O VCA). The "reference intensity" is defined as the mean of the 40% lowest values of the (sun-normalised) radiances (corresponding to scenes with no clouds or very small effective cloud cover). The black vertical lines are the corresponding standard deviations. The grey histogram shows the number of occurrences as a function of the water vapour vertical column amount.

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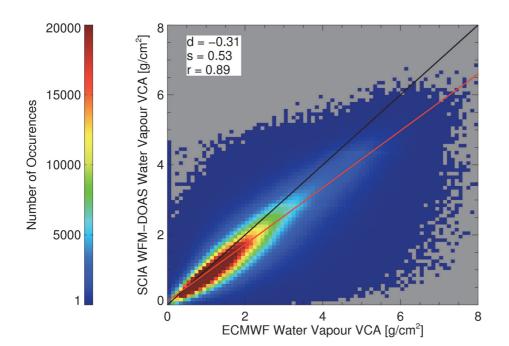
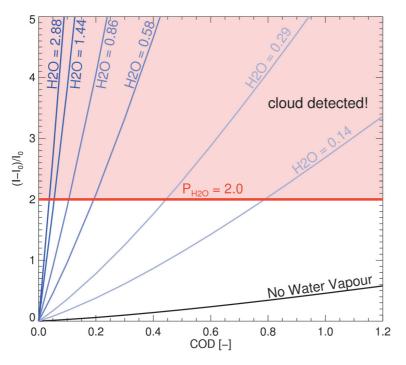


Fig. 2. Comparison of seven years (2003-2009) of SCIAMACHY WFM-DOAS and ECMWF water vapour vertical column amount (VCA). The SCIAMACHY WFM-DOAS water vapour VCA is computed from the water vapour column retrieved in the CO<sub>2</sub> fitting window (1558–1594 nm) as a by-product of the WFM-DOAS CO<sub>2</sub> column retrieval. The red line shows a linear fit. Also shown in the top left inlet: the mean difference to ECMWF water vapour VCA (d), the standard deviation of the differences (s) and the correlation coefficient (r).



**Fig. 3.** The cloud detection threshold (red horizontal line) based on strong water vapour absorption lines covering the spectral region 1390–1410 nm compared to results obtained from radiative transfer simulations. Deviations of simulated intensities (/) for various cloud scenarios to the reference intensities ( $I_0$ ) simulated without cloud are shown as a function of cloud optical depth (COD) and different water vapour column amounts ( $H_2O$  [g cm $^{-2}$ ]) . The simulations are valid for a solar zenith angle of 40°, a surface albedo of 0.1, the default aerosol scenario, a cloud top height of 10 km and a cloud geometrical thickness of 0.5 km. The red line indicates the cloud detection threshold  $P_{H_2O}$  = 2.0.

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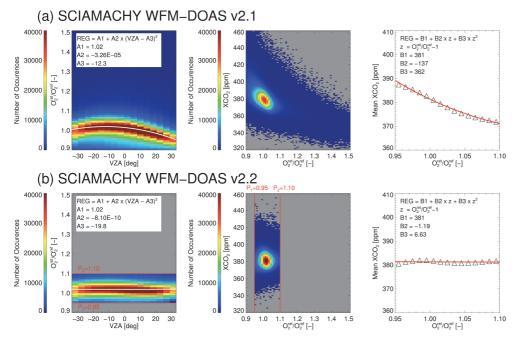






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**Fig. 4.** Comparison of the  $O_2$ -column ratio ( $O_2^{\text{ret}}/O_2^{\text{ref}}$ ) for SCIAMACHY WFM-DOAS version 2.1 **(a)** and 2.2 **(b)** using all data of the years 2003–2009. **(a)** The left panel shows a 2-D-histogram of the  $O_2$ -ratio as a function of the viewing zenith angle (VZA). The white curve is a quadratic fit. The middle panel shows a 2-D-histogram of XCO<sub>2</sub> as a function of the  $O_2$ -ratio. The right panel shows XCO<sub>2</sub> averaged over scan-angle-bias corrected  $O_2$ -ratio intervals. The red curve is a polynomial fit. **(b)** as **(a)** but for WFM-DOAS version 2.2. The red lines in the left and middle panel indicate the new  $O_2$ -ratio thresholds ( $P_1$  = 0.95 and  $P_2$  = 1.1).

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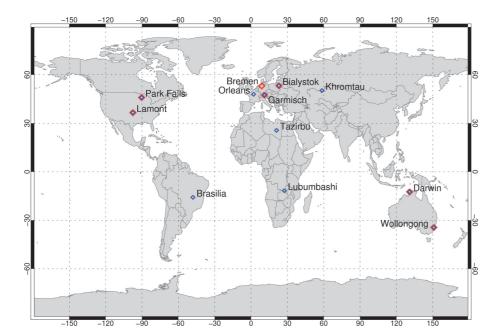
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**Fig. 5.** Locations analysed in this study. The red diamonds show the locations used for the comparison of WFMD versions 2.1 and 2.2 with FTS measurements. The blue diamonds show the locations used for the determination of the monthly regional-scale scatter of the data in Sect. 4.2.

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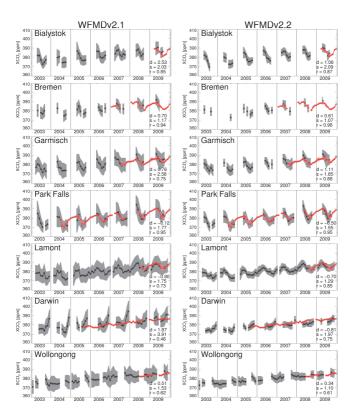




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**Fig. 6.** Comparison of monthly averaged SCIAMACHY WFM-DOAS version 2.1 (left) and 2.2 (right)  $XCO_2$  (black) with Fourier Transform Spectroscopy (FTS) measurements (red) at various TCCON sites for the years 2003–2009. The monthly standard deviations are represented by the shaded areas (grey: WFMD; light red: TCCON). In addition, the mean difference (d), the standard deviation of the difference (s) and the correlation (r) between the satellite and ground based data are shown (see also Table 4).

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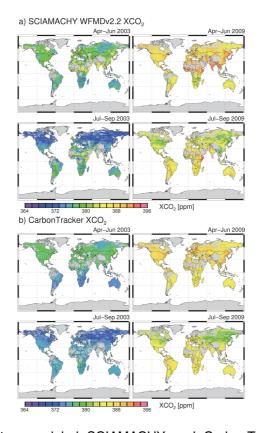


Fig. 7. Comparison between global SCIAMACHY and CarbonTracker XCO2. (a) Global SCIAMACHY WFMDv2.2 XCO<sub>2</sub> maps for the time periods April to June and July to September in 2003 and 2009. The data have been gridded on a 0.5° × 0.5° latitude/longitude grid and smoothed by using a 2-D-Hann window with a width of  $20 \times 20 (10^{\circ} \times 10^{\circ})$ . (b) as (a) but for CarbonTracker XCO<sub>2</sub> sampled as the SCIAMACHY measurements.

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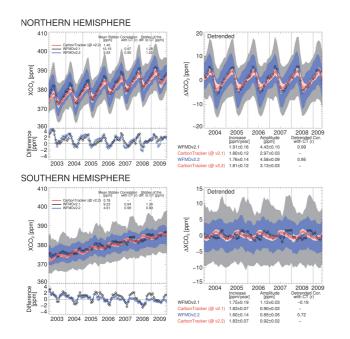


Fig. 8. Comparison of SCIAMACHY WFM-DOAS version 2.1 (WFMDv2.1) and 2.2 (WFMDv2.2) with CarbonTracker XCO<sub>2</sub> for the Northern (top) and Southern (bottom) Hemisphere for the years 2003-2009. CarbonTracker was sampled as WFMDv2.1 (@v2.1) for the comparison with WFMDv2.1 and sampled as WFMDv2.2 (@v2.2) for the comparison with WFMDv2.2. Top: The left panel shows the time-series of monthly means of WFMDv2.1 (black), WFMDv2.2 (blue) and CarbonTracker (red) XCO<sub>2</sub> and the difference to CarbonTracker for the Northern Hemisphere. The shaded areas represent the monthly standard deviations of WFMDv2.1 (grey), WFMDv2.2 (light blue) and CarbonTracker (red). In addition, the averaged monthly standard deviation, the correlation with CarbonTracker and the standard deviation of the difference to CarbonTracker are shown. The right panel shows the detrended time-series. Furthermore, the annual increase, the seasonal cycle amplitude and the detrended correlation (correlation between the detrended satellite time-series and CarbonTracker) are shown. Bottom: as top panels but for the Southern Hemisphere.

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