Atmos. Meas. Tech. Discuss., 5, 747–774, 2012 www.atmos-meas-tech-discuss.net/5/747/2012/ doi:10.5194/amtd-5-747-2012 © Author(s) 2012. CC Attribution 3.0 License.



This discussion paper is/has been under review for the journal Atmospheric Measurement Techniques (AMT). Please refer to the corresponding final paper in AMT if available.

Evaluation of turbulent dissipation rate retrievals from Doppler cloud radar

M. D. Shupe¹, I. M. Brooks², and G. Canut²

¹University of Colorado and NOAA-Earth System Research Laboratory, Boulder, Colorado, USA ²University of Leeds, Leeds, UK

Received: 16 December 2011 – Accepted: 7 January 2012 – Published: 18 January 2012

Correspondence to: M. D. Shupe (matthew.shupe@noaa.gov)

Published by Copernicus Publications on behalf of the European Geosciences Union.

Discussion Paper	AM 5, 747–7						
er Discussion Paper	Evalua turbulent c rate retrie Doppler cl M. D. Shu	lissipation vals from oud radar					
aper	Title Page						
-	Abstract	Introduction					
Disc	Conclusions	References					
Discussion Paper	Tables	Figures					
Pap	14	►I					
<u> </u>		•					
	Back	Close					
Discussion Paper	Full Screen / Esc						
sion Pa	Printer-frien	dly Version					
aper	Interactive	Discussion					



Abstract

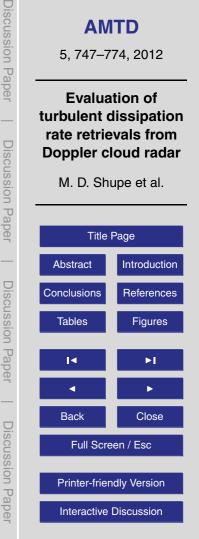
Turbulent dissipation rate retrievals from cloud radar Doppler velocity measurements are evaluated using independent, in situ observations in Arctic stratocumulus clouds. In situ validation data sets of dissipation rate are derived using sonic anemometer mea-

- ⁵ surements from a tethered balloon and high frequency pressure variation observations from a research aircraft, both flown in proximity to stationary, ground-based radars. Modest biases are found among the data sets in particularly low- or high-turbulence regimes, but in general the radar-retrieved values correspond well with the in situ measurements. Root mean square differences are typically a factor of 4–6 relative to any
- given magnitude of dissipation rate. These differences are no larger than those found when comparing dissipation rates computed from tethered-balloon and 15-m tower sonic measurements made at spatial distances of a few hundred meters. Moreover, radar retrievals are able to capture the vertical dissipation rate structure observed by the in situ sensors, while offering substantially more information on the time variability
- ¹⁵ of turbulence profiles. Together these evaluations indicate that radar-based retrievals can, at a minimum, be used to determine the vertical structure of turbulence in Arctic stratocumulus clouds.

1 Introduction

Turbulence plays a central role in the life cycle of clouds by influencing their formation,
 maintenance, and dissipation processes (Nicholls and Turton, 1986; Bretherton et al., 2004). Mixing associated with turbulent motions is responsible for entrainment (Nicholls and Turton, 1986; Stevens, 2002), which has direct bearing on the aerosols and moisture available to a cloud layer and thereby the cloud microphysical composition. Vertical mixing also shapes the atmospheric thermodynamic structure within which a cloud ex-

ists. There are multiple sources of turbulence generation within the cloudy atmosphere, including processes, such as cloud top radiative cooling, that are driven by the clouds





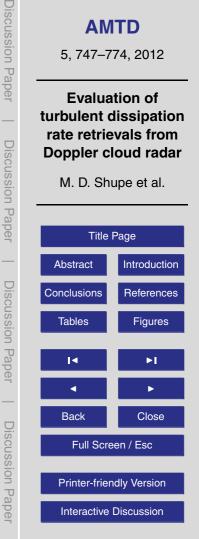
themselves. In order to understand the physical processes operating within clouds, the coevolution of the turbulent state, cloud properties, and thermodynamic structure must be characterized.

A number of observational approaches are used to quantify atmospheric turbulence.
⁵ While in situ measurements of turbulence are readily made from aircraft, balloonborne, or surface based instruments (e.g., Chen, 1974; Caughey et al., 1979; Fairall et al., 1980; Muschinski et al., 2001), these approaches provide an essentially onedimensional picture and are unable to continuously monitor the vertical structure of turbulent properties over the long periods of time needed to statistically characterize
¹⁰ cloud-turbulent processes. Better suited to this perspective is the ground-based active remote sensor approach, whereby the overlying atmosphere can be probed continuously for long periods of time. This approach has been applied to clear-air radar (e.g.,

Frisch and Clifford, 1974; Cohn, 1995), the spectral width of hydrometeor-sensing Doppler radar (e.g., Brewster and Zrnic, 1986; Kollias et al., 2001), and time series measurements from Doppler lidar (e.g., Banakh and Smalikho, 1997; O'Connor et al., 2010) and Doppler radar (e.g., Frisch and Strauch, 1976; Bouniol et al., 2003).

To facilitate studies of cloud life cycle processes, it is advantageous to employ an observing system that offers concurrent perspectives on both cloud and turbulence properties within an identical atmospheric volume. Short wavelength (< 1 cm) cloud

- ²⁰ radars are well positioned to address this problem as within a given atmospheric profile they contain information on the vertical location of clouds (Clothiaux et al., 2000), in-cloud vertical air motions and turbulence (e.g., Kollias et al., 2001; Deng and Mace, 2006), the phase of cloud particles (Shupe, 2007; Luke et al., 2010), and many microphysical properties (e.g., Comstock et al., 2007; Shupe et al., 2008a). Cloud radar
- Doppler spectral width measurements contain substantial information on turbulence; however, other processes such as wind shear and the distribution of cloud particle fall speeds can also contribute significantly to broadening of the spectral width. The latter is particularly true for precipitating or mixed-phase conditions (e.g., Gossard et al., 1997; Shupe et al., 2004). This convolution of information within a given pulse volume



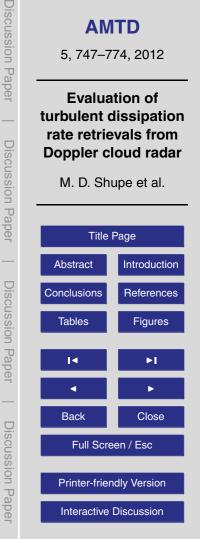


complicates cloud radar spectral-width based turbulence retrievals (e.g., Kollias et al., 2001).

The focus here is, instead, on a technique to characterize turbulence from timeseries measurements of cloud radar Doppler velocity in order to evaluate the skill with which the turbulent dissipation rate – the rate at which turbulent kinetic energy is dissipated by viscosity at small scales in the atmosphere – can be retrieved. In this case, the technique is specifically applied to observations of Arctic mixed-phase stratocumulus clouds that contain both cloud liquid water and precipitating ice crystals. While there are generally few opportunities to evaluate such retrievals in Arctic environments, this study capitalizes on two experiments where independent measurements of turbulent dissipation rate are made in the vicinity of cloud radars. The ultimate aim of this study is to evaluate the ability of operational cloud radars (e.g., Kollias et al., 2007; Illingworth et al., 2007) to derive turbulence information within mixed-phase cloud environments.

2 Methods

- Observations used in this study were obtained during two Arctic field campaigns. One of these was the Arctic Summer Cloud Ocean Study (ASCOS, Sedlar et al., 2011), which took place in late summer of 2008 in the Arctic sea-ice pack near 87.4° N, 8° W. During this campaign, ground-based sensors, including cloud radar, were deployed aboard the Swedish icebreaker *Oden* to observe the atmospheric turbulent structure.
- ²⁰ Complementary turbulence measurements were made using a tethered balloon system and an instrumented meteorological tower installed on the adjacent sea-ice. The second campaign was the Mixed-Phase Arctic Clouds Experiment (MPACE, Verlinde et al., 2007), which occurred in October 2004 near the US Department of Energy Atmospheric Radiation Measurement (ARM) Program's North Slope of Alaska (NSA) facility
- ²⁵ in Barrow, Alaska, USA. The basic experiment design consisted of flying a heavily instrumented research aircraft to measure cloud and atmosphere properties above the permanent, ground-based instrument suite at the NSA site.





2.1 Radar retrieval

Turbulent dissipation rates evaluated in this study are derived from identical, verticallypointing, 35-GHz, Millimeter Cloud Radars (MMCR, Moran et al., 1998) operated during these two experiments. In each case, the "stratus" operational mode is utilized as it

- ⁵ has been optimized for observing low-level clouds and is sensitive enough to observe most hydrometeors in the lower troposphere (see Table 1). The fundamental measurement of interest is the mean Doppler velocity, which characterizes the reflectivityweighted, mean motion of hydrometeors within a quasi-cylindrical radar sample volume of 45-m vertical depth and 1- to 3-m radius depending on cloud height. Doppler velocinterest and a submersion of hydrometeors are present within the veloce.
- ¹⁰ ity measurements are only possible when hydrometeors are present within the volume. The variance of the measured mean Doppler velocity in time, σ_{vm}^2 , can be represented as (Lothon et al., 2005)

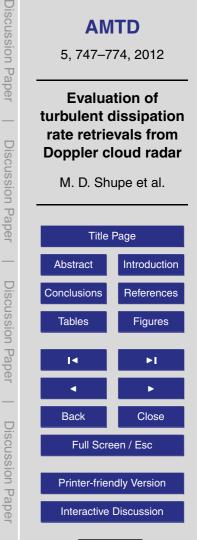
$$\sigma_{\rm vm}^2 = \sigma_w^2 + \sigma_{\rm vt}^2 + 2{\rm cov}(w,{\rm vt})$$

20

where σ_w^2 and σ_{vt}^2 are the variance contributions due to the vertical air motions (i.e., turbulence) and changes in the terminal fall speed of hydrometeors, respectively, and the final term is the covariance between vertical air motions and terminal fall speeds.

The retrieval applied to Arctic mixed-phase stratocumulus has been outlined in Shupe et al. (2008b) and is based on principles developed by Rogers and Tripp (1964), Bouniol et al. (2003), and O'Connor et al. (2005) for slightly different cloud regimes and radars. Briefly, it has been shown that the variance in measured mean Doppler velocity can be represented as

$$\sigma_{\rm vm}^2 = \int_{k_{\rm l}}^{k_{\rm s}} S(k) dk = \frac{3A}{2} \left(\frac{\varepsilon}{2\pi}\right)^{2/3} \left(L_{\rm l}^{2/3} - L_{\rm s}^{2/3}\right) dk$$



(1)

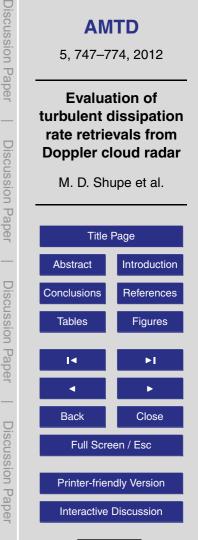
(2)

where the turbulent energy spectrum is $S(k) = A\varepsilon^{2/3}k^{-5/3}$, *A* is the Kolmogorov constant which is assumed to be 0.5¹ (Sreenivasan, 1995), ε is the turbulent dissipation rate, *k* is the wavenumber, and *L* is the length scale given by $L = 2\pi/k$. Two length scales of interest are L_s , which is the length of the scattering volume for the 1-s radar dwell time including larger eddies passing through the observation volume, and L_1 , which represents the larger eddies passing through the effective sample volume that results from averaging radar observations over 60 s. Following Taylor's frozen turbulence hypothesis (Taylor, 1935), these length scales can be related to the sampling volume geometry and the horizontal wind speed by $L = Ut + 2R\sin(\theta/2)$, where *U* is the sample time (1 or 60 s), *R* is the range to the pulse volume, and θ is the radar beamwidth. Rearranging Eq. (2) provides a simple equation for the dissipation rate as

$$\varepsilon = 2\pi \left(\frac{2\sigma_{\rm vm}^2}{3A \left(L_{\rm I}^{2/3} - L_{\rm s}^{2/3} \right)} \right)^{3/2}$$

Fundamental assumptions used to derive these equations are that the length scales
of the turbulent eddies observed by the radar (i.e., *L*₁ and *L*_s) reside within the inertial subrange of the turbulence spectrum and that turbulent air motions, as opposed to variability in particle terminal fall speeds due to cloud microphysical properties, are the dominant contribution to variability of the mean Doppler velocity in Eq. (1) on the scales of interest. These assumptions have been shown to hold for ice clouds and drizzling stratocumulus (Bouniol et al., 2003; O'Connor et al., 2005), both of which have some properties that are similar to mixed-phase stratocumulus. In a more detailed study, Lothon et al. (2005) used focused aircraft in situ measurements in drizzling stratocumulus to show that the variance due to hydrometeor fall speeds is an order of

¹Note that the dissipation rates presented in Shupe et al. (2008b) are moderately different from those presented here due to a different assumed value for the Kolmogorov constant.



(3)



magnitude smaller than the total variance of the mean Doppler velocity. Their measurements also indicate that while there is significant covariance between vertical air motions and hydrometeor fall speeds, as has also been observed for Arctic mixedphase stratocumulus (Shupe et al., 2008c), the covariance acts on scales that are predominantly larger than the scales important for dissipation of turbulence. Thus, it is expected that the primary assumptions will hold unless the radar is sampling eddies, via L_1 , that are larger than the turbulent inertial subrange (O'Connor et al., 2005) or if the correlation scales between vertical motions and microphysical properties become

small (Lothon et al., 2005).

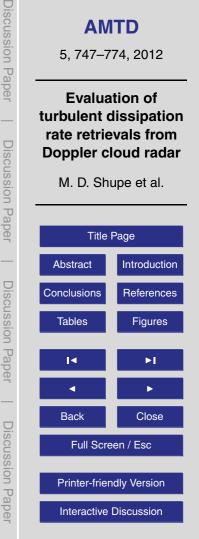
10 2.2 Evaluation measurements and methods

Before introducing specific data sets, it is important to note that because the dissipation rate values considered here occur over as many as five orders of magnitude, the logarithms (base 10) of the dissipation rates are used in most figures, tables, and discussions. This logarithmic perspective more clearly reflects the differences and/or

¹⁵ uncertainties in the data relative to their magnitude over a very wide range. To illustrate this point, a factor of 2 difference at a dissipation rate of 1 × 10⁻⁶ m² s⁻³ is much smaller than a factor of 2 difference at a dissipation rate of 1 × 10⁻³ m² s⁻³, while in a relative sense they are equivalent. This perspective should be kept in mind when interpreting the information provided in the following discussions. For example, a root mean squared (RMS) difference of 1.0 between two logarithmic data sets implies differences of an order of magnitude in the raw data, while an RMS of 0.6 implies differences of

a factor of 4 (i.e., $10^{0.6} = 4.0$).

During ASCOS, a tethered SkyDoc aerostat balloon was flow from a station on the sea-ice approximately 160 m from the icebreaker. Hanging 10 m below the balloon was ²⁵ an instrument package that included a Gill Instruments Windmaster sonic anemometer housed in an aerodynamic enclosure along with purpose-built control and data acquisition electronics (hereafter referred to as the "tethersonde"). A serial communications





interface allows the system to be configured from a laptop in the field, while data is recorded internally on a 1-GB micro-SD data card. Power is provided from an external, rechargeable 12-V battery pack, allowing rapid replacement between flights and almost continuous operation. The sonic anemometer measures the 3 components of the turbulent wind at 40 Hz then internally averages these to 10 Hz before recording.

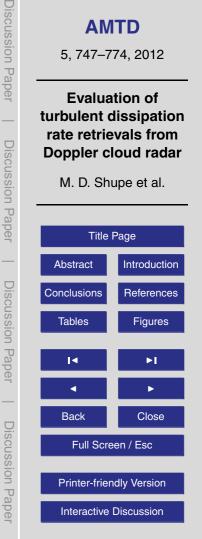
5

On a moving platform, measured wind components are contaminated by the motion and changing orientation of the sensor. Dissipation rate can be determined from the measured power spectral density S without motion correcting the wind measurements by utilizing a portion of the inertial subrange at frequencies higher than those that characterize the platform motion (e.g., Valland et al., 1994). Here, the particular

- that characterize the platform motion (e.g., Yelland et al., 1994). Here, the portion of the spectrum used in these calculations is restricted to frequencies greater than 2 Hz. For a large fraction of its flight time, the tethersonde was operated in a profiling mode ascending or descending at a mean rate of approximately 0.3 m s⁻¹. The averaging period used to calculate the dissipation rate is thus a tradeoff between minimizing the uncertainty in each individual estimate (longer averaging times) and localizing the esti-
- mate vertically (shorter averaging times). A 2-min averaging period has been adopted here, providing a vertical resolution of approximately 36 m during profiling, comparable to the 45-m vertical resolution of the radar.

In order to check the validity of the tethersonde dissipation rate estimates, they are compared with those determined from a similar Gill sonic anemometer mounted at 15 m on a meteorological tower installed on the sea-ice surface approximately 275 m from the balloon launch site. All data points included in this comparison are for the tethersonde at altitudes within 15 m of the tower-mounted anemometer. The general correspondence of tethersonde and 15-m dissipation rates is good with a correlation

²⁵ coefficient of 0.73 (Fig. 1). Spread in the comparison is likely due to both the horizontal separation of the two sensors and vertical averaging of the tethersonde data in a near-surface environment that can have large vertical gradients in dissipation rate. Most of the statistical measures in Table 2 indicate that the agreement between these measures becomes somewhat worse at the highest observed values. The RMS difference





for the full dataset is $0.78 \log(m^2 s^{-3})$, which indicates that the RMS is on the order of a factor of 6 times the dissipation rate at any given value.

For point-to-point comparisons and statistics, the ASCOS radar retrievals are simply averaged over the same 2-min time periods as the tethersonde measurements since the latter were always made within a few hundred meters of the vertical radar beam. This approach has previously been used with the same tethersonde to evaluate dissipation rates from a ground-based Doppler lidar (O'Connor et al., 2010).

During MPACE, turbulent dissipation rate was derived from pitot pressure measurements at both nose and wing locations onboard the University of North Dakota Citation research aircraft. Turbulence calculations assume isotropy and are based on fluctua-

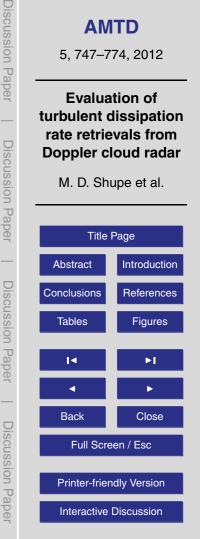
- tions in airspeed over running 10-s (~ 800 m) windows reported every 1 s (e.g., Shupe et al., 2008b). Comparisons of turbulent dissipation rates derived from identical measurements at the nose and the wing show high consistency with a RMS difference of $0.23 \log(m^2 s^{-3})$, or less than a factor of 2 at any given dissipation rate, and a correla-
- tion coefficient of 0.92. Observations were filtered to remove time periods when icing affected the pressure ports. For comparisons made here, only observations within 20 km laterally of the NSA radar are included and are typically labeled with their distance from the radar. To account for some of the spatial differences, all point-to-point comparisons and statistics are conducted using 15-min medians of the radar retrievals
 surrounding each aircraft observation.

3 Results

10

25

An example time-height plot of the radar-derived turbulent dissipation rate is shown in Fig. 2a for a mixed-phase stratocumulus cloud occurring on 28 August 2008 during ASCOS. The basic structure of the cloud consisted of a 300–400 m thick layer of super-cooled liquid water ($T \approx -10$ °C) within which ice crystals formed and then fell down towards the surface. This structure is typical of Arctic mixed-phase stratocumulus (e.g., Shupe et al., 2006). On this day there is a distinct transition in the turbulent





structure at about 06:00 UTC associated with a moderate lifting of the cloud layer as weak easterly winds flow under the otherwise northerly winds associated with the cloud layer. During this time period the tethered balloon was flown through a series of three ascent-descent patterns up to heights of 500–600 m. Dissipation rates measured by

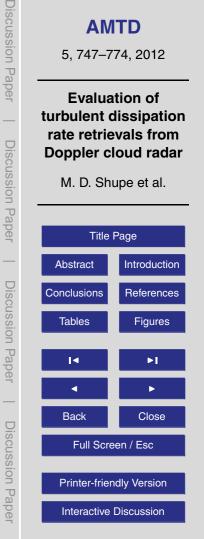
the tethersonde system are shown in Fig. 2c, while radar-based retrievals subsampled at the same heights and 2-min time windows as the tethersonde measurements are given in Fig. 2b. The two independent measures of dissipation rate show broad agreement both in magnitude and in vertical and temporal changes observed during this case. Additionally, the full time-height display of radar retrievals reveals a wealth of detail that is not obtainable from the tethersonde measurements.

More detailed vertical profile comparisons in a range of conditions further reveal that the radar-based retrievals are able to capture the vertical turbulent structure with some integrity. On 5 and 6 October 2004, the Citation aircraft flew multiple spiraling profiles near the NSA facility, for no more than one hour on each day, which offer

- ¹⁵ a good opportunity for comparison with ground-based retrievals. Similarly, two cases were selected from the ASCOS experiment with multiple tethered-balloon soundings. The time periods for these cases on 27–29 August 2008 were chosen such that the dissipation rate profiles were relatively consistent in time for up to 12 h according to the radar retrievals, with no major transitions such as the one shown in Fig. 2. For
- all of these cases, radar-derived dissipation rates sampled at all heights over the time periods when in situ observations were made are statistically represented in each panel of Fig. 3.

It is noteworthy that nearly all tethersonde and aircraft samples during these cases lie within the range (5th to 95th percentile) of retrieved dissipation rates. On 5 Octo-

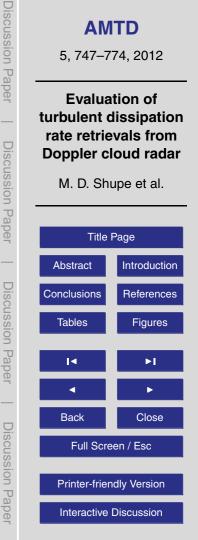
²⁵ ber 2004 and 27–28 August 2008 the retrievals indicate relatively little vertical change in turbulence distributions at altitudes below about 900 m, consistent with the in situ observations. On the other hand, during 6 October 2004 the retrievals successfully represent the observed turbulence minimum in the middle of the cloud layer while on 5 October 2004 the retrievals capture an observed increase and then decrease with





ascending height near the cloud top. Lastly, while there were a few individual tethersonde measurements in disagreement, the radar suggests a diminishing amount of turbulence from 400 m down to the lower limit of the radar data at 100 m that is consistent with most of the observations on 29 August 2008.

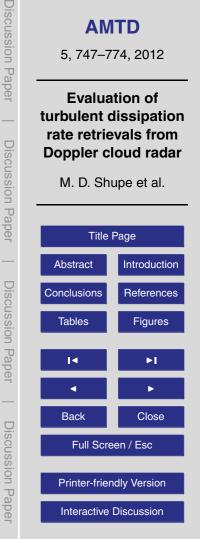
- Point-to-point comparisons between radar retrieved and in situ observed dissipation rates demonstrate reasonable correspondence in a mean sense with the primary clusters of points falling along the one-to-one lines (Fig. 4). These comparisons will be explored in more depth with the aid of scatterplots (Fig. 4), histograms (Fig. 5), and statistical summaries (Tables 3 and 4). The scatterplots and tables contain statistito cal information characterizing subranges of the observed dissipation rates, while the
- ¹⁰ Car mormation characterizing subranges of the observed dissipation rates, while the histograms and tables contain statistical information characterizing the full comparison data sets. RMS differences, mean absolute differences (MAD), and relative biases are computed according to the equations given in the caption for Table 2 using the logarithm (base 10) of the dissipation rates.
- Starting with the MPACE comparison, it is apparent that the retrievals have a tendency to overestimate low, and mildly underestimate high, dissipation rates (Fig. 4a). Both data sets exhibit multi-modal distributions that have peaks at nearly identical values (Fig. 5a). However, the radar retrievals are distributed over a narrower range, failing to produce the highest and lowest observed dissipation rates. This bi-modal shape
- ²⁰ may be due to the presence of both weak, persistent background turbulence and more periodic but strongly forced turbulence regimes (e.g., Tjernström et al., 2009). RMS and MAD calculations indicate relative consistency across the range of values, with a typical RMS around 0.6 log(m² s⁻³), indicating general agreement in the raw data to within about a factor of 4. When considering the full dataset, the relative bias is very
- small and the correlation coefficient is 0.67. Relative to the comparison between the two probes mounted on the same aircraft, the radar-aircraft comparison shows RMS values that are more than a factor of two larger; however, some component of this additional variability is certainly due to variations in spatial sampling that are not an issue for the two aircraft probes.





The ASCOS data sets reveal a somewhat different story. First, the tethersonde observations follow a bi-modal distribution (Fig. 5b). Remarkably, the dissipation rates of the two modes correspond quite well with the two primary modes observed by the aircraft during MPACE. However, the occurrence frequencies for the two modes are

- ⁵ substantially different; the MPACE aircraft observations do not show as many large values and have more of a tail towards smaller values relative to the ASCOS tethersonde measurements. Both in situ observations and radar retrievals indicate that the mean and maximum dissipation rates are larger for these subsets of observations at ASCOS relative to MPACE.
- In contrast to the MPACE comparison, ASCOS radar retrievals have an overall spread in values that is larger than the in situ measurements on both the high- and low-turbulence ends (Fig. 5b). Radar retrievals appear to be biased low in low-turbulence conditions (Fig. 4b), but many of the points responsible for this bias occurred during a few hours at the end of 29 August and beginning of 30 August 2008. Closer inspec-
- tion of the tethersonde data at this time shows power spectra that do not have the expected -5/3 slope in the inertial subrange, suggesting that the true dissipation rates are below the effective measurement limit leading to an overestimation. If this case is removed from consideration the radar retrievals have little bias at low dissipation rates. In higher-turbulence conditions, the retrievals well represent the mean observed
- ²⁰ dissipation rates, with a very small positive bias, but still have a significant spread (Table 4). The RMS generally decreases with increasing dissipation rate from values around 1.0 log(m² s⁻³) at the smallest rates to 0.5 log(m² s⁻³) at the largest. This trend is diminished somewhat, although still present, if the 30 August 2008 case is removed from consideration. Relative to the MPACE comparison, the ASCOS RMS values are
- ²⁵ larger in all ranges of dissipation rate except for the highest. For the whole ASCOS data set, the RMS is 0.75 log(m² s⁻³), which indicates differences of about a factor of 5.5 for the raw data. These differences are similar to, and even slightly smaller than, the observed differences between the tethersonde and 15-m tower measurements using the same type of sensor. Only at low dissipation rates is the RMS between the radar





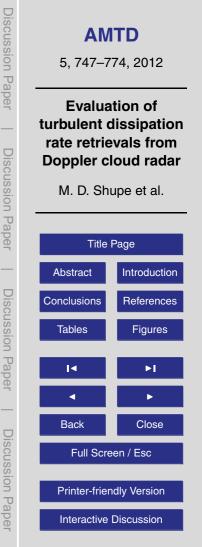
and tethersonde data sets significantly larger than the RMS between the tethersonde and 15-m tower data sets, although some portion of this spread is due to apparent overestimates by the tethersonde at low dissipation rates.

4 Conclusions

 Retrievals of turbulent dissipation rate from the time-variance of velocities measured by Doppler cloud radars in Arctic mixed-phase stratocumulus clouds have been evaluated against in situ measurements. This evaluation takes advantage of two experiments. In the first, an instrumented tethered balloon was flown above a radar operated from the deck of an icebreaker embedded within the Arctic sea-ice pack. For the second, a re search aircraft flew a number of horizontal legs and spiral profiles near a ground-based radar operated in Barrow, Alaska, USA. These comparison data sets offer a unique opportunity to evaluate dissipation rate retrievals in Arctic clouds.

When comparing point-to-point measurements for both experiments, there is significant scatter as might be expected due to drastically different sample volumes that are sometimes in significantly different locations. The absolute uncertainty in radarbased retrievals is difficult to determine because the in situ observations themselves contain substantial, sometimes uncharacterized, uncertainties. In the case of the aircraft observations, comparisons between two similar sensors operated in tandem on the same platform indicate RMS differences of nearly a factor of two, which might

- ²⁰ be representative of the uncertainty associated with identical measurements made in near identical locations. However, this does not provide any information on the additional uncertainties that might be caused by spatial separation or sampling differences. Dissipation rates derived using nearly identical sonic anemometer measurements on a tethersonde and a 15-m tower at a spatial distance of 275 m during ASCOS showed
- RMS differences of a factor of six, much of which is likely attributed to spatial inhomogeneities in the turbulence field between the two measurements. Thus, while the relative magnitudes of observational uncertainties from different sources are not known





absolutely, these comparisons of in situ measurements provide some important context for the evaluation of radar-based retrievals.

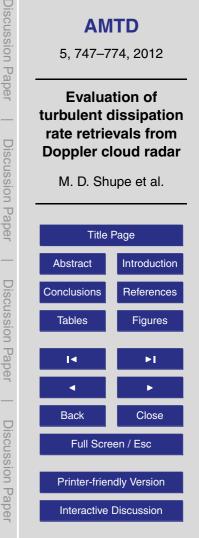
Comparisons between the radar retrievals and in situ measurements offer insight into how well the retrievals are able to capture the basic structure and magnitude of ⁵ turbulent dissipation rates relative to the more traditional measures of the quantity. In some cases, the comparisons paint inconsistent pictures. For example, under lowturbulence conditions, radar retrievals appear to overestimate the dissipation rate relative to nearby aircraft measurements but possibly underestimate these rates relative to tethersonde measurements. For the full comparison data sets, the retrievals also show a much wider range of values than the tethersonde, but a narrower range than the aircraft, in spite of having very small net biases in both cases. The comparison data sets have RMS differences (for logarithmic data) of 0.61 and 0.75 log(m² s⁻³) for the aircraft and tethersonde comparisons, respectively. These values are consistent with variability among techniques on the order of a factor of 4–6 for non-logarithmic dissi-

pation rates. For context, these differences are no larger than those observed between the two, spatially separated, sonic-anemometer-based estimates of dissipation rate at ASCOS.

There are some considerations that might partially explain why radar retrievals could be biased relative to other measurements. For cases of apparent underestimation, such as for the highest dissipation rates observed at MPACE and the lowest rates observed at ASCOS, this underestimate might be related to the radar sample time being too long such that the effective sampling length, L_1 , extends beyond the scales asso-

20

- ciated with the inertial subrange. In this case the dissipation rate calculation might include contributions from velocity variance at larger scales that do not follow the Kol-
- ²⁵ mogorov form (e.g., O'Connor et al., 2010). However, when the same retrievals are performed with smaller sampling windows for both ASCOS and MPACE cases, the resulting dissipation rate statistics remain similar (results not shown) suggesting that that the sample interval used in the radar retrievals typically remained within the inertial subrange (e.g., Bouniol et al., 2003). Furthermore, some component of the bias in



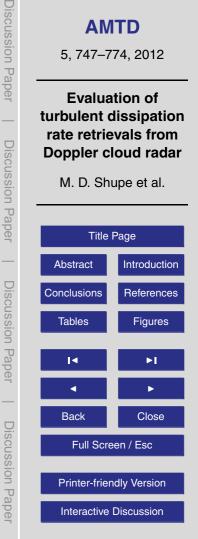


these cases may be due to the in situ measurements (e.g., the 30 August 2008 case at ASCOS).

On the other hand, erroneously large dissipation rates might be inferred if the variance of Doppler velocity measurements is larger than the variance due solely to turbulence. As noted by Lothon et al. (2005) and exhibited in Eq. (1), additional variance could be due to contributions from microphysics and/or covariance between turbulent motions and microphysics. However, in their analyses of drizzling stratocumulus, Lothon et al. (2005) found that the microphysics-turbulence correlations actually lead to an underestimation of the dissipation rate. Further differences are likely caused by spatial separation in measurements and the averaging time applied to the different measures, although it is most likely that these contributions would lead to additional scatter in the comparisons rather than biases.

In spite of the differences that are observed, two primary results can be drawn from this evaluation. First, the differences between radar-based estimates of dissipation rate appear to be no larger than differences between rates derived from two different sonic anemometer measurements at similar spatial separations. Second, it is clear

- that the radar-based retrievals contain important qualitative information on the vertical structure of turbulent dissipation rate within Arctic mixed-phase stratocumulus clouds. For example, the retrievals are able to reveal the presence, or lack thereof, of vertical
- turbulence gradients. Additionally, a strength of the radar retrieval approach relative to the in situ approaches is that it provides continuous, coordinated profiling of both turbulence and cloud microphysical properties within the cloudy atmosphere. This information has implications for the ability to statistically characterize and understand processes within these clouds. Specifically, knowledge of turbulence profiles offers in-
- ²⁵ sight into the source of turbulent energy to the cloud layer, which interacts with cloud and thermodynamic properties and ultimately plays a key role in the cloud life cycle.





Acknowledgements. This research was supported by the Office of Science (BER), US Department of Energy (grant DE-SC0007005), the US National Science Foundation (grant ARC1023366), and the UK Natural Environment Research Council (grants NE/E010008/1 and NE/H02168X/1). Barrow and MPACE data were obtained from the Atmospheric Radiation Mea-

- ⁵ surement Program archive. Thanks go to the MPACE project and aircraft leaders, Hans Verlinde and Michael Poellot. ASCOS was supported by the Knut and Alice Wallenberg Foundation, the DAMOCLES Integrated Research Project from the European Union 6th Framework Program, the US National Science Foundation, and the UK Natural Environment Research Council. The ASCOS cloud radar was provided by the US National Oceanic and Atmospheric Administra-
- tion. The tethered balloon was provided by the NERC National Centre for Atmospheric Science. Special thanks go to the ASCOS team for collecting the turbulence datasets and overall project implementation, particularly Cathryn Birch, Paul Johnston, Caroline Leck, Thorsten Mauritsen, Sarah Norris, Ola Persson, Joseph Sedlar, and Michael Tjernström.

References

20

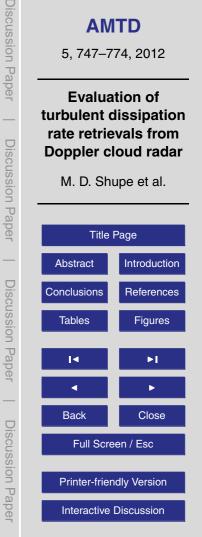
- ¹⁵ Banakh, V. A. and Smalikho, I. N.: Estimation of turbulent energy dissipation rate from data of pulse Doppler lidar, Atmos. Ocean. Opt., 10, 957–965, 1997.
 - Bouniol, D., Illingworth, A. J., and Hogan, R. J.: Deriving turbulent kinetic energy dissipation rate within clouds using ground based 94 Ghz radar, Preprints, 31st Conf. on Radar Meteorology, Seattle, WA, Am. Meteor. Soc., 193–196, available online at: http://ams.confex.com/ams/ pdfpapers/63826.pdf, 2003.
 - Bretherton, C. S., Uttal, T., Fairall, C. W., Yuter, S. E., Weller, R. A., Baumgardner, D., Comstock, K., Wood, R., and Raga, G. B.: The EPIC 2001 Stratocumulus Study, B. Am. Meteorol. Soc., 85, 967–977, 2004.

Brewster, K. A. and Zrnic, D. S.: Comparison of eddy dissipation rates from spatial spectra of

²⁵ Doppler velocities and Doppler spectrum widths, J. Atmos. Ocean. Tech., 3, 440–452, 1986. Caughey, S. J., Wyngaard, J., and Kaimal, J.: Turbulence in the evolving stable boundary layer, J. Atmos. Sci., 36, 1041–1052, 1979.

Chen, W. Y.: Energy dissipation rates of free atmospheric turbulence, J. Atmos. Sci., 31, 2222–2225, 1974.

³⁰ Clothiaux, E. E., Ackerman, T. P., Mace, G. G., Moran, K. P., Marchand, R. T., Miller, M. A.,





and Martner, B. E.: Objective determination of cloud heights and radar reflectivities using a combination of active remote sensors at the ARM CART sites, J. Appl. Meteorol. Clim., 39, 645–665, 2000.

Cohn, S. A.: Radar measurements of turbulent eddy dissipation rate in the troposphere: a comparison of techniques, J. Atmos. Ocean. Tech., 12, 85–95, 1995.

Comstock, J. M., D'Entremont, R., DeSlover, D., Mace, G. G., Matrosov, S. Y., McFarlane, S. A., Minnis, P., Mitchell, D., Sassen, K., Shupe, M. D., Turner, D. D., and Wang, Z.: An intercomparison of microphysical retrieval algorithms for upper-tropospheric ice clouds, B. Am. Meteorol. Soc., 88, 191–204, 2007.

5

15

20

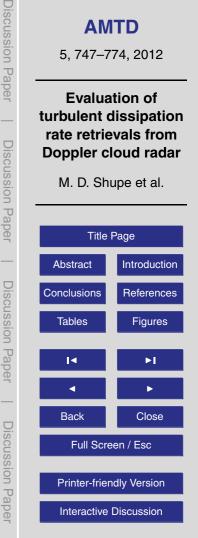
¹⁰ Deng, M. and Mace, G. G.: Cirrus microphysical properties and air motion statistics using cloud radar Doppler moments. Part I: Algorithm description, J. Appl. Meteorol. Clim., 45, 1690–1709, 2006.

Fairall, C. W., Markson, R., Schacher, G. E., and Davidson, K. L.: An aircraft study of turbulence dissipation rate and temperature structure function in the unstable marine atmospheric boundary layer, Bound.-Lay. Meteorol., 18, 453–469, 1980.

- Frisch, A. S. and Clifford, S. F.: A study of convection capped by a stable layer using Doppler radar and acoustic echo sounders, J. Atmos. Sci., 31, 1622–1628, 1974.
 - Frisch, A. S. and Strauch, R. G.: Doppler radar measurements of turbulent kinetic energy dissipation rates in a Northeastern Colorado convective storm, J. Appl. Meteorol., 15, 1012– 1017, 1976.
- Gossard, E. E., Snider, J. B., Clothiaux, E. E., Martner, B., Gibson, J. S., Kropfli, R. A., and Frisch, A. S.: The potential of 8-mm radars for remotely sensing cloud drop size distributions, J. Atmos. Ocean. Tech., 14, 76–87, 1997.

Illingworth, A. J., Hogan, R. J., O'Connor, E. J., Bouniol, D., Brooks, M. E., Delenoe, J.,
 Donovon, D. P., Eastment, J. D., Gaussiat, N., Goddard, J. W. F., Haeffelin, M., Klein Baltink, H., Krasnov, O. A., Pelon, J., Piriou, J.-M., Protat, A., Russchenberg, H. W. J., Seifert, A., Tompkins, A. M., van Zadelhoff, G.-J., Vinit, F., Willen, U., Wilson, D. R., and Wrench, C. L.: Cloudnet – continuous evaluation of cloud profiles in seven operational models using ground-based observations, B. Am. Meteorol. Soc., 88, 883–898, 2007.

Kollias, P., Albrecht, B. A., Lhermitte, R., and Savtchenko, A.: Radar observations of updrafts, downdrafts, and turbulence in fair-weather cumuli, J. Atmos. Sci., 58, 1750–1766, 2001.
 Kollias, P., Miller, M. A., Luke, E. P., Johnson, K. L., Clothiaux, E. E., Moran, K. P., Widener, K. B., and Albrecht, B. A.: The Atmospheric Radiation Measurement Program





764

cloud profiling radars: second-generation sampling strategies, processing, and cloud data products, J. Atmos. Ocean. Tech., 24, 1199-1214, 2007.

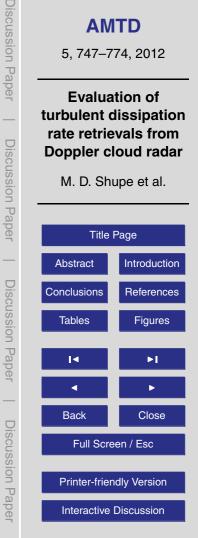
- Lothon, M., Lenschow, D. H., Leon, D., and Vali, G.: Turbulence measurements in marine stratocumulus with airborne Doppler radar, Q. J. Roy. Meteor. Soc., 131, 2063–2080, 2005.
- 5 Luke, E., Kollias, P. and Shupe, M. D.: Detection of supercooled liquid in mixed-phase clouds using radar Doppler spectra, J. Geophys. Res., 115, D19201, doi:10.1029/2009JD012884, 2010.
 - Moran, K. P., Martner, B. E., Post, M. J., Kropfli, R. A., Welsh, D. C., and Widener, K. B.: An unattended cloud-profiling radar for use in climate research, B. Am, Meteorol, Soc., 79. 443-455, 1998.
- 10
 - Muschinski, A., Frehich, R., Jensen, M., Hugo, R., Hoff, A., Eaton, F., and Balsley, B.: Find-scale measurements of turbulence in the lower troposphere: an intercomparison between a kiteand balloon-borne, and a helicopter-borne measurement system, Bound,-Lav, Meteorol., 98. 219-250, 2001.
- Nicholls, S. and Turton, J. D.: An observational study of the structure of stratiform cloud sheets: 15 Part 2. Entrainment, Q. J. Roy. Meteor. Soc., 112, 461-480, 1986.
 - O'Connor, E. J., Hogan, R. J., and Illingworth, A. J.: Retrieving stratocumulus drizzle parameters using Doppler radar and lidar, J. Appl. Meteorol., 44, 12–27, 2005.

O'Connor, E. J., Illingworth, A. J., Brooks, I. M., Westbrook, C. D., Hogan, R. J., Davis, F., and

- Brooks, B. J.: Balloon-borne in-situ evaluation of a fast method for estimating dissipation rate 20 from a vertically-pointing Doppler lidar, J. Atmos. Oceanic. Tech. 27, 1652–1664, 2010.
 - Rogers, R. R. and Tripp, B. R.: Some radar measurements of turbulence in snow, J. Appl. Meteorol., 3, 603-610, 1964.

Sedlar, J., Tjernström, M., Maurtisen, T., Shupe, M. D., Brooks, I. M., Persson, P. O. G.,

- Birch, C. E., Leck, C., Sirevaag, A., and Nicolaus, M.: A transitioning Arctic surface en-25 ergy budget: the impacts of solar zenith angle, surface albedo and cloud radiative forcing, Clim. Dynam., 37, 1643–1660, 2011.
 - Shupe, M. D.: A ground-based multiple remote-sensor cloud phase classifier, Geophys. Res. Lett., 34, L22809, doi:10.1029/2007GL031008, 2007,
- 30 Shupe, M. D., Kollias, P., Matrosov, S. Y., and Schneider, T. L.: Deriving mixed-phase cloud properties from Doppler radar spectra, J. Atmos. Ocean. Tech., 21, 660-670, 2004.
 - Shupe, M. D., Matrosov, S. Y., and Uttal, T.: Arctic mixed-phase cloud properties derived from surface-based sensors at SHEBA, J. Atmos. Sci., 63, 697-711, 2006.





Shupe, M. D., Daniel, J. S., De Boer, G., Eloranta, E. W., Kollias, P., Luke, E., Long, C. N., Turner, D. D., and Verlinde, J.: A focus on mixed-phase clouds: the status of ground-based observational methods, B. Am. Meteorol. Soc., 89, 1549–1562, 2008a.

Shupe, M. D., Kollias, P., Poellot, M., and Eloranta, E.: On deriving vertical air motions from cloud radar Doppler spectra, J. Atmos. Ocean. Tech., 25, 547–557, 2008b.

- Shupe, M. D., Kollias, P., Persson, P. O. G., and McFarquhar, G. M.: Vertical motions in Arctic mixed-phase stratiform clouds, J. Atmos. Sci., 65, 1304–1322, 2008c.
- Sreenivasan, K. R.: On the universality of the Kolmogorov constant, Phys. Fluids, 7, 2778–2784, 1995.
- ¹⁰ Stevens, B.: Entrainment in stratocumulus topped mixed layers, Q. J. Roy. Meteorol. Soc 128, 2663–2690, 2002.
 - Taylor, G. I.: Statistical theory of turbulence, P. Roy. Soc. A, 151, 421-444, 1935.

5

- Tjernström, M., Balsley, B. B., Svensson, G., and Nappo, C. J.: The effects of critical layers on residual layer turbulence, J. Atmos. Sci., 66, 468–480, 2009.
- ¹⁵ Verlinde, J., Harrington, J. Y., McFarquhar, G. M., Yannuzzi, V. T., Avramov, A., Greenberg, S., Johnson, N., Zhang, G., Poellot, M. R., Mather, J. H., Turner, D. D., Eloranta, E. W., Zak, B. D., Prenni, A. J., Daniel, J. S., Kok, G. L., Tobin, D. C., Holz, R., Sassen, K., Spangenberg, D., Minnis, P., Tooman, T. P., Ivey, M. D., Richardson, S. J., Bahrmann, C. P., Shupe, M., Demott, P. J., Heymsfield, A. J., and Schofield, R.: The Mixed-Phase Arctic Cloud Experiment (M-PACE), B. Am. Meteorol. Soc., 88, 205–220, 2007.
 - Yelland, M. J., Taylor, P. K., Consterdine, I. E., and Smith, M. H.: The use of the inertial dissipation technique for shipboard wind stress determination, J. Atmos. Oceanic Technol., 11, 1093–1108, 1994.

AMTD 5, 747–774, 2012							
Evaluation of turbulent dissipation rate retrievals from Doppler cloud radar							
M. D. Shupe et al.							
Title	Title Page						
Abstract	Introduction						
Conclusions	References						
Tables	Figures						
I	۶I						
•							
Back	Close						
Full Scre	Full Screen / Esc						
Printer-frier	Printer-friendly Version						
Interactive Discussion							

Discussion Paper

Discussion Paper

Discussion Paper



 Table 1. MMCR specifications for the "stratus" operational mode.

8.7 mm
0.31°
1s
4 s
45 m
$5.27{ m ms}^{-1}$

AMTD 5, 747–774, 2012						
Evaluation of turbulent dissipation rate retrievals from Doppler cloud radar						
M. D. Sh	upe et al.					
Title	Page					
Abstract	Introduction					
Conclusions	References					
Tables	Figures					
I	۶I					
•	•					
Back	Close					
Full Scre	Full Screen / Esc					
Printer-frier	Printer-friendly Version					
Interactive Discussion						

Discussion Paper

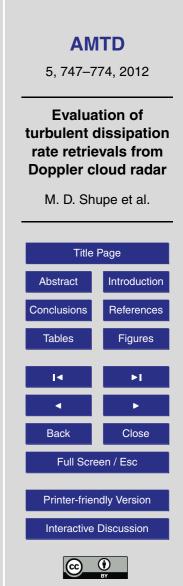
Discussion Paper

Discussion Paper



Table 2. Statistics comparing dissipation rates derived from 15-m tower and tethersonde measurements during ASCOS. Statistics are representative of 15-m tower values within specified ranges of the tethersonde-derived dissipation rates given in the left-most column. All statistics are computed using the logarithms (base 10) of the dissipation rates and include the total number of observations (*N*), median (Med), interquartile range (IQR), mean absolute difference (MAD), root mean square difference (RMS), and relative bias between data sets (Bias). For these calculations: $MAD = \sum |x - y|/N$, $RMS = (\sum [(x - y)^2]/N)^{1/2}$, and $Bias = 2.0/N \cdot \sum [(x - y)/(x + y)]$, where *x* is the tethersonde data, *y* is the 15-m data, and *N* is the number of samples. All values are in units of log (m² s⁻³) except for *N* and Bias. The latter can be thought of as a factor relative to the mean value in the range of interest. A positive bias in this case, due to the fact that the logarithms are themselves negative, indicates that the 15-m dissipation rate is larger than that from the tethersonde.

$\log(\varepsilon)$ Bin	Ν	Med	IQR	MAD	RMS	Bias
-5.7 to -5.2	20	-4.9	0.61	0.47	0.59	0.09
-5.2 to -4.7	29	-5.2	0.78	0.45	0.51	-0.02
-4.7 to -4.2	18	-5.1	1.51	0.64	0.74	-0.03
-4.2 to -3.7	59	-3.6	0.86	0.57	0.67	0.07
-3.7 to -3.2	87	-3.1	0.39	0.44	0.58	0.09
-3.2 to -1.2	158	-2.9	0.55	0.74	0.91	-0.17
All data	381	-3.2	1.17	0.62	0.78	-0.04



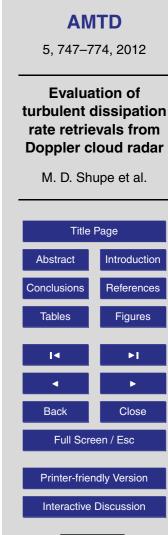
Discussion Paper

Discussion Paper

Discussion Paper

Table 3. Statistics comparing radar retrievals of dissipation rate with in situ observations from aircraft at MPACE. All details are similar to Table 2 with the statistics computed for the radar retrievals within bin ranges defined by the aircraft values. A positive bias indicates that the radar retrieval is larger than the aircraft measurement.

$\log(\varepsilon)$ Bin	Ν	Med	IQR	MAD	RMS	Bias
-5.7 to -5.2	830	-5.1	0.48	0.45	0.59	0.08
-5.2 to -4.7	936	-4.8	0.55	0.40	0.51	0.05
-4.7 to -4.2	1095	-4.6	0.70	0.44	0.55	-0.01
-4.2 to -3.7	729	-4.2	0.91	0.49	0.62	-0.06
-3.7 to -3.2	762	-3.7	0.70	0.44	0.60	-0.10
All data	4921	-4.6	1.15	0.48	0.61	0.01



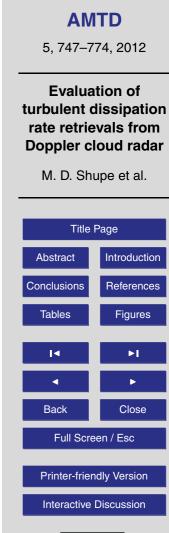
Discussion Paper

Discussion Paper

Discussion Paper

Table 4. Statistics comparing radar retrievals of dissipation rate with in situ observations from						
the tethersonde at ASCOS. All details are similar to Table 2 with statistics computed for the						
radar retrievals within bin ranges defined by the tethersonde values. A positive bias indicates						
that the radar retrieval is larger than the tethersonde measurement.						

$\log(\varepsilon)$ Bin	Ν	Med	IQR	MAD	RMS	Bias
-5.7 to -5.2	37	-5.5	1.77	0.89	1.04	0.01
-5.2 to -4.7	125	-5.0	1.42	0.80	1.11	-0.05
-4.7 to -4.2	205	-4.7	1.08	0.70	0.96	-0.05
-4.2 to -3.7	323	-3.8	0.94	0.56	0.75	0.02
-3.7 to -3.2	556	-3.4	0.74	0.43	0.54	0.01
All data	1368	-3.8	1.18	0.55	0.75	-0.01



Discussion Paper

Discussion Paper

Discussion Paper

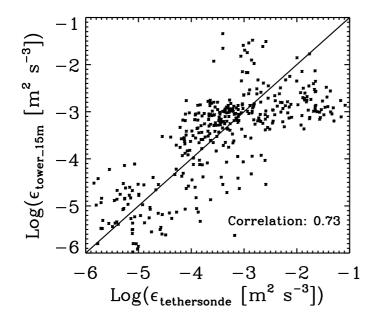
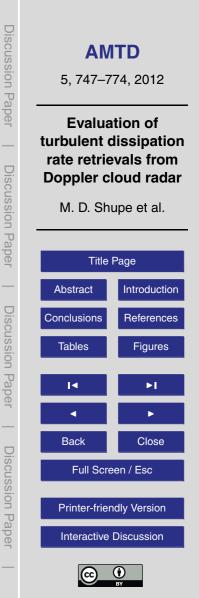
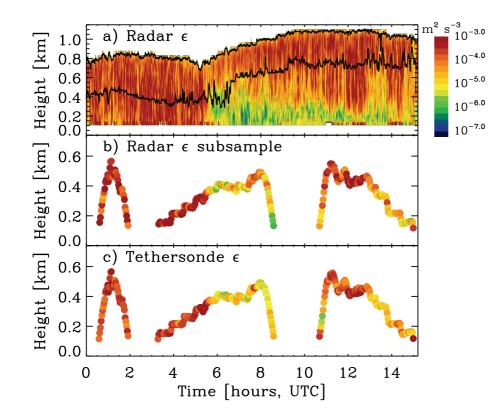
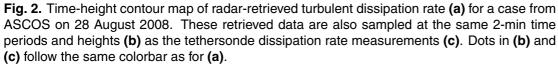
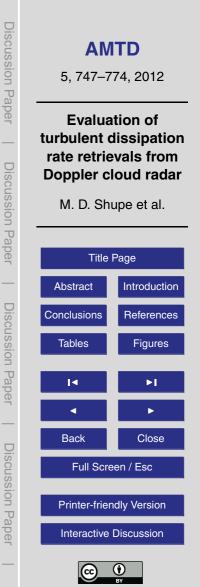


Fig. 1. Point-to-point comparisons of dissipation rate derived from the tethersonde and 15-m tower measurements at ASCOS. The one-to-one line is included.









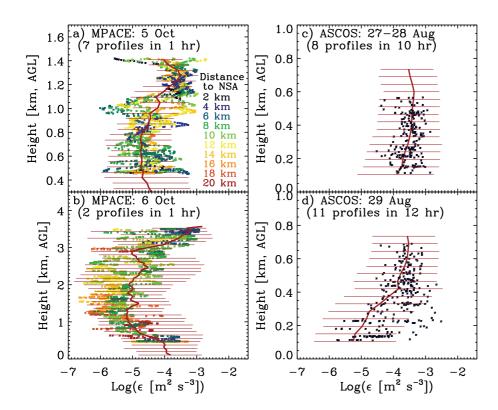
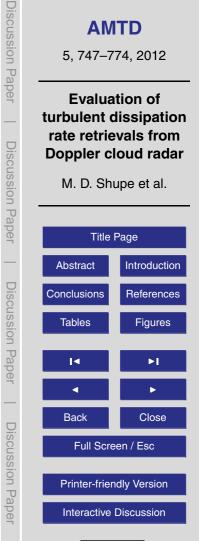


Fig. 3. Vertical profile comparisons of dissipation rate on specific days. In situ observations are given as individual points while radar retrievals are the mean and range (5th to 95th percentiles) of values derived over the time period of the in situ observations. Specific cases include: **(a)** 19:00–20:00 on 5 October 2004 at MPACE; **(b)** 19:00–20:00 on 6 October at MPACE; **(c)** 17:00 on 27 August to 05:00 on 28 August 2008 at ASCOS; and **(d)** 00:00–12:00 on 29 August 2008 at ASCOS. For **(a)** and **(b)**, the horizontal distance from the aircraft measurement to the vertical radar beam is designated by the color of each symbol.





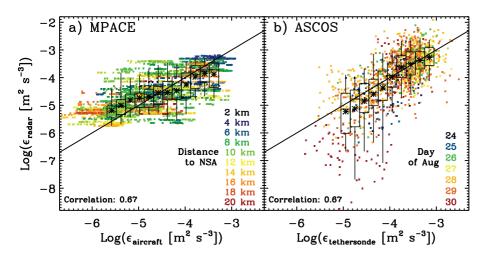
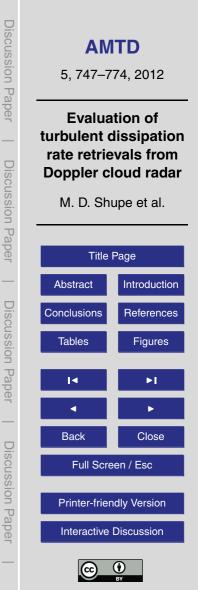


Fig. 4. Point-to-point comparisons of dissipation rate for all cases at **(a)** MPACE and **(b)** AS-COS. Statistics of the retrieved data in bins of the in situ data are given as box-and-whisker plots that show the median (middle bar), 25th and 75th percentiles (ends of box), 5th and 95th percentiles (whiskers), and the mean as a symbol. Points in **(a)** are colored according to the horizontal distance between the aircraft observation and the vertical radar beam, while points **(b)** are colored according to the day of observation during ASCOS. One-to-one lines are included in each panel.



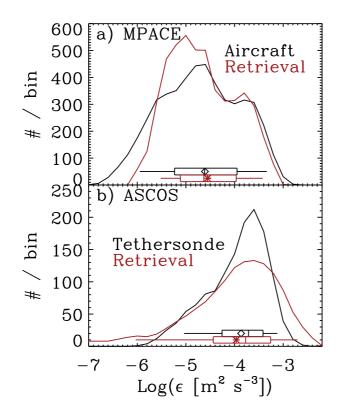


Fig. 5. Distributions of dissipation rate for all cases at **(a)** MPACE and **(b)** ASCOS. In each panel, box-and-whisker plots show the median (middle bar), 25th and 75th percentiles (ends of box), 5th and 95th percentiles (whiskers), and mean as a symbol.

