

**Critical evaluation of  
cloud contamination  
in the MISR aerosol  
products**

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# Critical evaluation of cloud contamination in the MISR aerosol products using MODIS cloud masking products

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Received: 1 October 2013 – Accepted: 18 October 2013 – Published: 20 November 2013

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Published by Copernicus Publications on behalf of the European Geosciences Union.

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## Abstract

For the first time, using the Terra Moderate Resolution Imaging Spectroradiometer (MODIS)-based cloud screening methods, we have evaluated the impacts of cloud contamination on the Terra Multi-angle Imaging Spectroradiometer (MISR) aerosol optical depth (AOD) product. Our study, based on one year of collocated MISR and MODIS data, suggests that cloud contamination exists in both over-water and over-land MISR AOD data with heavier cloud contamination occurring over the high latitude Southern hemispheric oceans. On average globally, our study shows that thin cirrus cloud contamination introduces a possible  $\sim 0.01$  high bias for the over-water MISR AOD retrievals. Over the mid to high latitude oceans and Southeast Asia, this number increases to 0.015–0.02. However, biases much larger than this mean value are found in individual retrievals. This study suggests that cloud-clearing methods using observations from MISR alone, which has only visible and near infrared channels, may not be sufficient. Measurements from MODIS can be applied to assist cloud-clearing of the MISR aerosol retrievals. Cloud screening algorithms based on multi-sensor approaches are feasible and should be considered for current and future satellite aerosol studies.

## 1 Introduction

The Multi-angle Imaging Spectroradiometer (MISR) instrument has been successfully applied to observe and study atmospheric aerosols for over a decade (e.g., Kahn et al., 2005). Featuring nine unique camera angles, MISR observations have been used to retrieve aerosol optical properties over most surface types, including bright surfaces, which thwart many other passive sensors (Diner et al., 1998; Kahn et al., 2010). One of the known issues for satellite aerosol products, including the MISR aerosol products, is cloud contamination (e.g., Zhang et al., 2005; Kahn et al., 2010). Although extensive research efforts have been attempted to study the impacts of cloud artifacts and cloud

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products and evaluate different methods for eliminating cloud contamination from MISR aerosol products.

## 2 Data and methodology

### 2.1 Aerosol Robotic Network (AERONET) sun photometer data

5 The Aerosol Robotic Network (AERONET) is a federated network of sun photometer instruments deployed in several hundred locations across the globe. The AERONET sun photometers measure solar irradiance at multiple wavelengths, and can be used for very accurate estimates of AOD, as well as additional aerosol optical properties. With a reported uncertainty of 0.015, the AERONET AOD data are commonly used  
10 as a benchmark for validating satellite aerosol retrievals (Holben et al., 1998). For this study, 7 yr (2001–2007) of Level 2 AERONET data were used.

### 2.2 MISR aerosol products

MISR measures radiance in 4 spectral bands in the visible and near infrared (446.4, 557.5, 671.7, and 866.4 nm), with 9 cameras pointed at different angles along the sub-satellite track. Version 22 MISR aerosol products include AOD, aerosol particle size  
15 and shape, as well as other ancillary data at a spatial resolution of 17.6 km × 17.6 km. Validated against AERONET data, uncertainties in the MISR AOD product are on the order of 0.05 or  $0.2 \times \text{AOD}_{\text{AERONET}}$  (e.g., Kahn et al., 2005). Cloud contamination in the MISR AOD data has been mentioned (Kahn et al., 2010), but has not been fully  
20 investigated using means like the MODIS cloud masking data. The baseline quality assurance steps (referred as “self-QAed” hereafter) in this study are based on data included in the MISR aerosol product. The following filters are used for the “Self-QAed” datasets:

- The Retrieval Applicability Mask flag (= 0) is used to exclude cloudy pixels.

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- The Regional Classification Indicator (= 0) is used for selecting retrievals above clear background region.
- The Aerosol Retrieval Success Flag (= 7) is used to ensure successful retrievals.
- The Regional Surface Type Indicator is used to separate over-land from over-water retrievals. We also used the Regional Surface Type Indicator to exclude potential problematic regions such as shallow/coastal waters and Polar Regions.

Note that within the MISR AOD product, a retrieval applicability mask is available at a 1.1 km resolution, for 9 camera angles and 4 spectral bands. Only the red and near IR bands are used for over water aerosol retrievals (Martonchik et al., 1998). This mask is in a much finer resolution than the 17.6 km AOD retrievals and includes environmental conditions such as “clear”, “glitter-contaminated”, “cloudy” and “topographically obscured”. Using the clear indicator in the retrieval applicability mask, a clear flag fraction (CFF) can be calculated for each of the MISR AOD retrievals by taking the ratio of clear vs. total flags for a total of  $16 \times 16 \times 9$  flags (9 angles, 16 by 16 at 1.1 km MISR pixels). Witek et al. (2013) discussed the possibility of using the MISR CFF (use CFF > 60 %) as a means of removing cloud contaminated MISR AOD retrievals. In this study, we also compared the MODIS-based MISR cloud screening method developed from this study, with the method included in Witek et al. (2013). The results are shown in Sect. 3.

The impacts of cloud contamination on the MISR aerosol product were evaluated using seven-years (2001–2007) of collocated AERONET, MODIS and MISR data sets. One year of collocated MODIS and MISR products (2007) were also used for evaluating various cloud masking methods spatially. MISR AOD values were collocated with AERONET data following the method presented in Zhang et al. (2006). Pairs of observations were recorded when the spatial distance between the MISR and AERONET data is within  $0.3^\circ$  (latitude/longitude), and the temporal difference is within  $\pm 30$  min. The collocated MISR and AERONET data were further collocated with MODIS cloud mask data for the cloud clearing analysis for the MISR aerosol products (see Sect. 2.4).





### 3 Results: a case study

An example of potential cloud contamination in the MISR aerosol products is shown in Fig. 1, over remote southern oceans ( $\sim 44^\circ$  to  $52^\circ$  S and  $124^\circ$  to  $136^\circ$  W, on 3 January 2007), where a pristine marine environment is expected. Figure 1a shows the RGB image constructed using nadir-viewing MISR near IR, green and blue bands. Cloudy and clear regions are observed in the bottom and upper parts of Fig. 1a, respectively. Figure 1b is the corresponding MISR self-QAed AOD plot, with AOD values ranging from near zero to over one. The near homogeneous low AODs of less than 0.1 are found from cloud free oceans. Near cloud edges and within cloudy regions, AODs of 0.2–0.3 are more typically found. To better illustrate the relative location between cloud edges and the retrieved AODs, Fig. 1c was created by overlaying Fig. 1a (in aqua color) and Fig. 1b (in red color) in a false-color composite. Bright red colors indicate high AOD values. Most of the highest AOD retrievals (AODs greater than 0.3) are located within cloudy regions and higher AOD values of around 0.2 to 0.3 are found near the edge of clouds. Figure 1d shows the MODIS brightness temperature (BT). Retrievals that have AOD values above 0.8 are found within regions that have BT values lower than 255 K, a clear indication of cloud contamination. Figure 1e shows the MODIS cloud mask product at the 1 km resolution with each pixel flagged as one of the four cloudy conditions: CD, UC, PC, and CC. Regions with high AOD values are mostly associated with pixels that have PC, UC, or CD cloud flags. This concept is further demonstrated from Fig. 1f–i. Figure 1f shows the fraction of MODIS cloud mask data that are free from thin cirrus cloud contamination ( $F_{\text{cirrus\_free}} = 100\%$ ), averaged in the MISR AOD resolution. Most thin cirrus cloud free regions ( $F_{\text{cirrus\_free}} = 100\%$ ) are associated with low MISR AOD values of  $\sim 0.15$  or less. Figure 1g is similar as Fig. 1f but was created using the PC flag. High AOD values of 0.2–0.3 are still observed when  $F_{\text{pc}}$  is set to above 0.8, suggesting that the PC flag may not be a good cloud-free-sky indicator. Using strict cut values of  $F_{\text{cd}}$  and  $F_{\text{uc}}$  ( $F_{\text{cd}} < 10\%$  and  $F_{\text{uc}} < 20\%$ ), Fig. 1h shows that most of the AODs larger than 0.3 were removed, although there are still some AODs

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from an unknown scene to a 100 % high (low) confident clear scene. In Fig. 2a, the mean MISR AODs show a decreasing trend as  $F_{cc}$  (e.g., percentage of clear regions) increases. In comparison, Fig. 2d shows an increasing trend in MISR AOD as  $F_{cd}$  (confident cloudy fraction) increases. Both Fig. 2a and d shows similar features as found in the MODIS Dark Target (DT) AOD data, a feature identified by Zhang et al. (2005) as cloud contamination in the MODIS DT aerosol products. Mixed information is shown in Fig. 2b ( $F_{pc}$ ) and c ( $F_{uc}$ ) when the detection of cloud and clear scenes is less certain, indicating that PC and UC flags are not good for use in cloud masking of MISR data. Figure 2e–h shows a similar analysis as Fig. 2a–d but for the over-land case. Again, decreasing/increasing trends are found for the  $F_{cc}/F_{cd}$  cases. Comparing the over-land mean MISR AOD at a confident clear sky ( $F_{cc} = 100\%$ , Fig. 2e) with the similar scenario for the over water case (Fig. 2a), a higher mean AOD value of 0.18 is found for the over-land case. In comparison, increasing  $F_{uc}$  and  $F_{cd}$  percentages to 100 % raises the over-land MISR AOD to values over 0.3 and 0.4, a clear indication of cloud contamination in the MISR AOD data. Suggested from Fig. 2, it is feasible to use  $F_{cc}$  for cloud filtering of the MISR aerosol products.

Using seven years of collocated MODIS, MISR and AERONET data (2001–2007), a sensitivity study was conducted to investigate different cloud filtering methods using  $F_{cd}$ ,  $F_{uc}$ ,  $F_{pc}$ , and  $F_{cc}$ . Tables 1 and 2 show the Root Mean Square Errors (RMSEs) and the Mean Absolute Error (MAE) of MISR AOD (validated against AERONET data), the fraction of data within the expected uncertainty range ( $0.05$  or  $0.2 \times AOD_{AERONET}$ ) (e.g., Kahn et al., 2010), as well as the data loss rates for nine cloud-filtering steps for over-ocean and -land cases respectively.

The RMSE is defined as:

$$RMSE = \sqrt{\frac{1}{n} \sum_n (AOD_{AERONET} - AOD_{MISR})^2}. \quad (1)$$







oceans and 0.015–0.02 over the mid to high latitudes and Southeast Asia. This study suggests that additional cloud screening methods are needed for using the MISR aerosol products for future studies.

2. New MISR cloud screening methods such as the MISR CFF method (Witek et al., 2013) have been developed to reduce cloud contamination in the MISR aerosol retrievals. However, with the use of only visible and near IR channels from MISR, such methods may still have difficulty in identifying thin cirrus clouds, even while excluding a substantial fraction of the observations. The MODIS cloud masking data can be effectively used for reducing cloud contamination in the MISR aerosol retrievals, and is more effective in removing thin cirrus cloud contaminated cloudy MISR aerosol retrievals in comparison with cloud screening methods using only MISR observations.
3. Cloud masking using MODIS data introduces some potential problems. For example, it is possible that some of the high AODs are misidentified as cloudy pixels and are removed by the MODIS-based cloud filtering methods when strict thresholds are used. A regional based cloud screening method may be needed for rescuing these misidentified heavy aerosol polluted scenes, through the combined used of MODIS and MISR data at the radiance level.
4. This project demonstrated that data from one sensor (MODIS) can be applied to another (MISR) for the development of an improved product. Thus, the farsighted developers of systems such as on Terra and within the A-train were correct in that the sensor combinations can result in improvements over any single sensor algorithm. This will pave the way for future algorithms, or even systems (such as NPP and EarthCARE), which require multiple sensors feeding single algorithms.

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*Acknowledgements.* This research was funded by the Office of Naval Research Code 322 and the NASA Interdisciplinary Science Program. Yingxi Shi is funded by the NASA Earth and Space Science Fellowship Program. We also appreciate the MISR aerosol team and the NASA Langley Atmospheric Science Data Center for the MISR aerosol data. We thank the AERONET program for establishing and maintaining the AERONET sites used in this study.

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**Table 1.** The RMSE, the fraction of data within the expected error (0.05 or 20 % of  $\text{AOD}_{\text{AERONET}}$ ), and the data loss rates (both for the MISR AOD data that are collocated with AERONET data and for all MISR AOD data) under nine conditions over oceans.  $F_{\text{cd}}$  is the cloudy fraction,  $F_{\text{uc}}$  is the uncertainty clear fraction, and  $F_{\text{cc}}$  is the confident clear fraction. The thin cirrus cloud filter refers to thin cirrus cloud free (set  $F_{\text{cirrus\_free}} = 100\%$ ) as detected by MODIS.

	RMSE	MAE	% within the expected error	Data loss (collocated with AERONET)	Data loss (all MISR AOD data)
Self-QAed	0.082	0.059	59 %		
$F_{\text{cd}} < 50\%$	0.080	0.056	60 %		
$F_{\text{cd}} > 50\%$	0.137	0.107	40 %		
$F_{\text{uc}} < 50\%$ ,	0.084	0.059	60 %		
$F_{\text{uc}} > 50\%$ ,	0.096	0.081	43 %		
$F_{\text{cc}} > 20\%$ + thin cirrus cloud filter	0.070	0.050	63 %	36 %	45 %
$F_{\text{cc}} > 50\%$ + thin cirrus cloud filter	0.065	0.048	65 %	44 %	53 %
$F_{\text{cc}} > 80\%$ + thin cirrus cloud filter	0.060	0.046	66 %	54 %	67 %
Thin cirrus cloud filter	0.076	0.054	62 %	22 %	21 %

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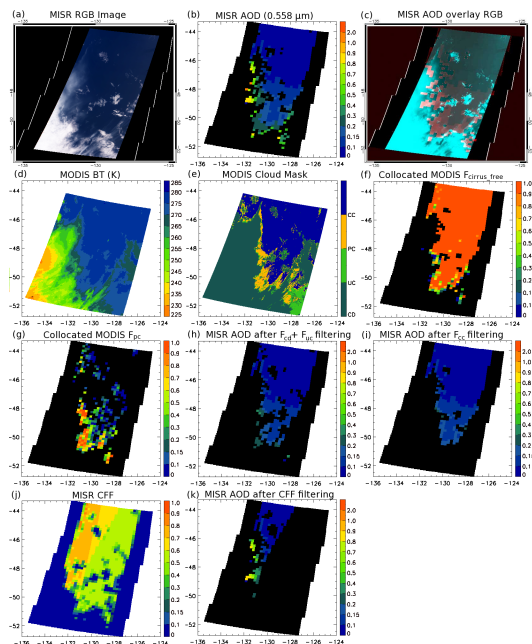


**Table 2.** Similar to Table 1 but for the over land case.

	RMSE	MAE	% within the expected error	Data loss (collocated with AERONET)	Data loss (all MISR AOD data)
Self-QAed	0.143	0.072	61 %		
$F_{cd} < 50\%$	0.136	0.136	62 %		
$F_{cd} > 50\%$	0.262	0.144	42 %		
$F_{uc} < 50\%$ ,	0.136	0.069	62 %		
$F_{uc} > 50\%$ ,	0.400	0.189	47 %		
$F_{cc} > 20\%$ + thin cirrus cloud filter	0.120	0.066	63 %	25 %	15 %
$F_{cc} > 50\%$ + thin cirrus cloud filter	0.118	0.064	64 %	28 %	18 %
$F_{cc} > 80\%$ + thin cirrus cloud filter	0.109	0.060	65 %	38 %	27 %
Thin cirrus cloud filter	0.143	0.070	62 %	20 %	10 %

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**Fig. 1.** A case study on 3 January 2007, over the remote oceans ( $44^{\circ}$  to  $52^{\circ}$  S and  $124^{\circ}$  to  $136^{\circ}$  W), **(a)** RGB image created using the MISR Near IR, green and blue bands, **(b)** MISR AOD over the case study region, **(c)** Overlay of **(a)** on **(b)** where the intensity of red is correlated with the magnitude of the AOD, **(d)** MODIS brightness temperature (BT), **(e)** MODIS cloud mask, **(f)** collocated MODIS thin cirrus free cloud fraction ( $F_{\text{cirrus\_free}}$ ) in MISR AOD domain, **(g)** similar to **(f)** but for the collocated MODIS probably clear fraction ( $F_{\text{pc}}$ ), **(h)** MISR AOD after passing the MODIS cloudy fraction ( $F_{\text{cd}} < 10\%$ ) and the MODIS uncertainty clear fraction ( $F_{\text{uc}} < 20\%$ ) cloud filters, **(i)** MISR AOD after passing the MODIS confident clear fraction ( $F_{\text{cc}} > 20\%$ ) cloud filter, **(j)** MISR clear flag fraction (CFF), and **(k)** MISR AOD after passing the MISR CFF  $> 60\%$  filtering.

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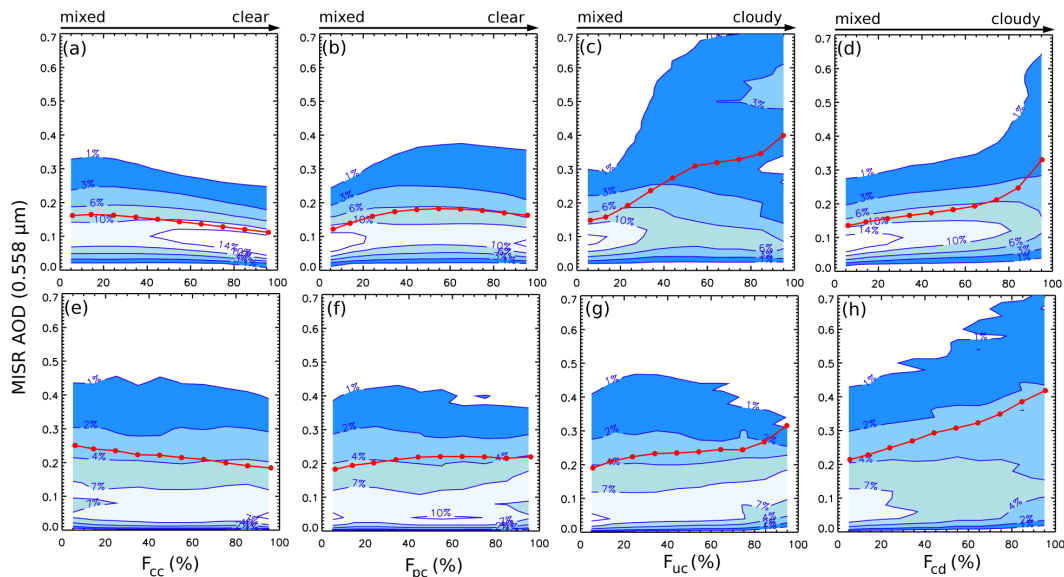
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**Fig. 2.** MISR AOD as functions of the percentage of occurrences of the cloud flags from the MODIS cloud mask products: **(a, e)** confident clear fraction ( $F_{cc}$ ), **(b, f)** probably clear fraction ( $F_{pc}$ ), **(c, g)** uncertainty clear fraction ( $F_{uc}$ ) and **(d, h)** cloud fraction ( $F_{cd}$ ). **(a–d)** are for the over-water data and **(e–h)** are for the over-land data. The color contour represents the fractional data density for the cloud fraction in 10% increments. The red dots represent the mean MISR AOD within the cloud fraction bin in 10% increments.

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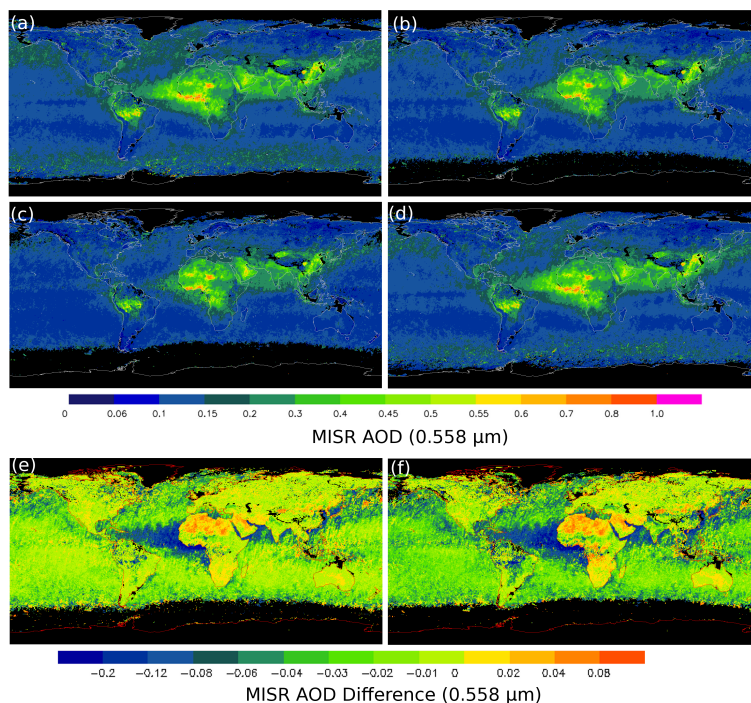
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Interactive Discussion

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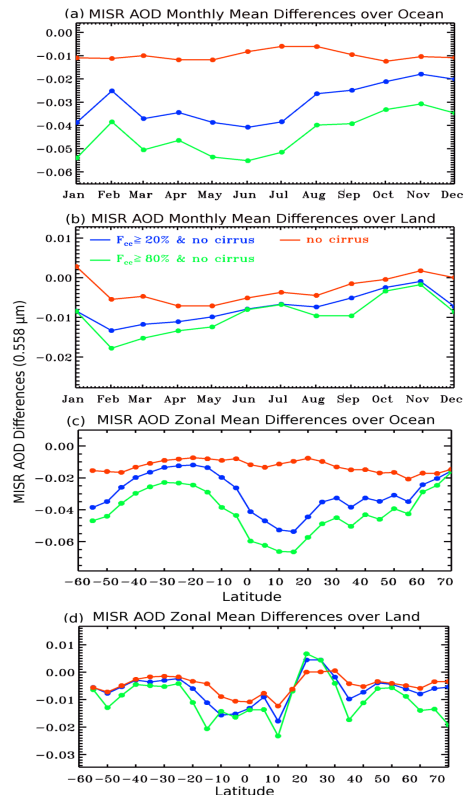
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**Fig. 3.** Spatial distribution of MISR AOD for the 2007 dataset using the half degree (Lat/Lon) gridded level 3 MISR AOD data. **(a)** for self-QAed MISR data, **(b)** for MISR data after applying the  $F_{cc} > 20\%$  and  $F_{cirrus\_free} = 100\%$  cloud filters, **(c)** for MISR data after applying the  $F_{cc} > 80\%$  and  $F_{cirrus\_free} = 100\%$  cloud filters, **(d)** for MISR data that passed the thin cirrus cloud filter ( $F_{cirrus\_free} = 100\%$ ), **(e)** AOD plot of **(b)** minus **(a)**, and **(f)** same as **(e)** but for **(c)** minus **(a)**. Color contours progressing from cold to warm represent increasing AOD values with the black color representing regions with no data.

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**Fig. 4.** MISR AOD monthly and zonal mean deviations from the self-QAed MISR AOD for 2007 (minus self-QAed). **(a)** the over-water monthly mean, **(b)** the over-land monthly mean, **(c)** the over-water zonal mean, and **(d)** the over-land zonal mean. Four data sets are plotted representing the data that passed the thin cirrus cloud filter ( $F_{\text{cirrus\_free}} = 100\%$ ) in red, data that passed  $F_{\text{cc}} > 20\%$  and  $F_{\text{cirrus\_free}} = 100\%$  filters in blue, and data that passed  $F_{\text{cc}} > 80\%$  and  $F_{\text{cirrus\_free}} = 100\%$  filters in green.

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