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Improved information about the vertical location and extent of cloud layers from POLDER3 measurements in the oxygen A band

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Abstract

This paper describes new advances in the exploitation of oxygen A band measurements from POLDER3 sensor aboard PARASOL, satellite platform within the A-Train. These developments result from a better account of the dependence of POLDER oxygen parameters to cloud optical thickness τ and to the scene's geometrical conditions, but also and more importantly from the finer understanding of the sensitivity of these parameters to cloud vertical extent. This sensitivity is made possible thanks to the multidirectional character of POLDER measurements. In the case of monolayer clouds that represent most of cloudy conditions, new oxygen parameters are obtained and calibrated from POLDER3 data colocalized with the measurements of the two active sensors of the A-Train, CALIOP/CALIPSO and CPR/CloudSat. From a parameterization that is (μ_s, τ) dependent, with μ_s the cosine of the solar zenith angle, a cloud top oxygen pressure (CTOP) and a cloud middle oxygen pressure (CMOP) are obtained which are estimates of actual cloud top and middle pressures. The performance of CTOP and CMOP are presented for the most numerous ISCCP cases in 2008. The coefficient of the correlation between CMOP and the actual cloud middle pressure is 0.81 for cirrostratus, 0.79 for stratocumulus, 0.75 for deep convective clouds. The coefficient of the correlation between CTOP and the actual cloud top pressure is 0.75, 0.73, and 0.79 for the same cloud types respectively. The score obtained by CTOP, defined as the confidence in the retrieval for a particular range of inferred value and for a given error, is higher than the one of MODIS CTP. For liquid and ice clouds, the score reaches 50 and 70 % respectively for bin value of CTP superior in numbers and accepted errors of 30 and 50 hPa. From the difference between CTOP and CMOP, a first estimate of the cloud vertical extent H is possible. Then, the correlation between the angular standard deviation of POLDER oxygen pressure $\sigma_{P_{O_2}}$ and the cloud vertical extent is described in detail in the case of liquid clouds. The correlation is shown to be spatially and temporally robust, excepted for clouds above land during winter months. The study of the correlation's dependence to cloud optical thickness and to the scene's

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geometrical conditions leads to parameterizations which provide a second way for retrieving H for this type of clouds. For liquid water clouds above ocean in 2008, the mean difference between the actual cloud vertical extent and the one retrieved from $\sigma_{P_{O_2}}$ (from the pressure difference) is 5 m (–12 m). The standard deviation of the mean difference is close to 1000 m for the two methods. The score of 50 % confidence for the retrieval of H corresponds to an error of 20 and 40 % for ice and liquid clouds respectively over ocean. These promising results need to be validated outside of the CALIPSO/CloudSat track.

1 Introduction

Cloud amount and the vertical distribution of cloud properties are key parameters of the climate system through their major influence on the incoming solar radiation and the outgoing thermal radiation. Heating and cooling rates within the atmosphere, fundamental driver in the climate system (Stephens, 1978; Li and Min, 2010), cannot be well estimated without a good description of the vertical cloudiness structure. Thus, among all the microphysical and macrophysical cloud properties, the cloud top pressure (CTP) and the layer geometrical thickness (H) represent very desired parameters to be retrieved. For climate studies those parameters must be provided on a global scale and satellites are the most appropriate tool. Active sensors as lidar (Winker and Trepte, 1998; Winker et al., 2007) or radar (Mace et al., 2009) have the inherent ability to provide fairly accurately the base and cloud top altitudes of cloud layers, but they suffer from poor spatial coverage. It would be very interesting and valuable to get the same information from space instruments having a large field of view like most passive instruments.

Different methods using passive measurements have been developed to infer the cloud top level from space. The most common one is the measurement of the brightness temperature at 11 μm to obtain cloud top temperature (Rossow and Schiffer, 1999). The cloud top temperature is then converted to cloud top height (CTH) or cloud

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Various studies have shown their capabilities to retrieve an apparent cloud pressure (Vanbauce et al., 1998; Koelmeijer et al., 2002; Fournier et al., 2006; Lindstrot et al., 2006; Preusker et al., 2007; Yang et al., 2012) using different sensors with narrow bands centered on the oxygen absorption, with different spectral characteristics and different radiative inversion model.

As it was stated very early (Yamamoto and Wark, 1961; Saiedy et al., 1965), multiple scattering within cloud layers enhances absorption of radiation by oxygen (Bennartz and Preusker, 2006) and thus affects the relevance and accuracy of the retrieved cloud pressure from A band measurements. It partly explains the gap between the apparent and the actual cloud top pressure, which has been largely recognized for the different measurement approaches described previously. It leads to a systematic overestimation of cloud top pressure (underestimation of cloud top height) (Vanbauce et al., 1998) and the apparent cloud pressure is actually close to the middle-of-cloud pressure (Vanbauce et al., 2003; Wang et al., 2008; Sneep et al., 2008; Ferlay et al., 2010). In the case of low cloud deck that evidently have a thin geometrical thickness, the bias is relatively small and the CTP can be fairly well determined, for example within 25 hPa with MERIS O₂ A band technique (Lindstrot et al., 2006).

Referring to van de Hulst (1980), Ferlay et al. (2010) simulated photon transport and radiative transfer inside cloudy atmospheres, and showed that vertical photon penetration within cloud layers depends mainly on the cloud geometrical thickness H , with an angular dependence, and so did the difference between POLDER cloud apparent pressure and actual cloud top pressure. They further analyzed that, thanks to the multiangular character of POLDER instrument, POLDER oxygen pressure product and H were potentially strongly correlated. A first intensive intercomparison of cloud layer altitudes inferred from CloudSat/CALIPSO collocated with POLDER/PARASOL measurements confirmed this correlation. Thus, the sensitivity of measurements in the oxygen A band to the unknown cloud geometrical thickness H could be exploited in order to retrieve H instead of being the most important source of errors when deriving the cloud top pressure (Preusker and Lindstrot, 2009). The present paper pursues the Ferlay

et al. (2010) study. Based on the same understanding of the sensitivities of POLDER oxygen pressure and on the same database, we show here how we can gain further information about unbiased cloud pressures and vertical extent.

This paper is organized as follows. In Sect. 2, we present the POLDER oxygen pressure data and algorithm and we recall the known bias and sensitivity of POLDER oxygen pressure products. In Sect. 3, we present the other A-Train data used in this study and the limitation of our dataset. In Sect. 4, we explain the principle for getting unbiased cloud top and middle pressures and the associated results. In Sect. 5, the strength and characteristics of the correlation between the angular standard deviation of POLDER oxygen pressure $\sigma_{P_{O_2}}$ and the cloud geometrical thickness H are studied. Then in Sect. 6, we compare the results obtained for the H retrieved from our two methods.

2 POLDER oxygen pressure

2.1 POLDER cloud oxygen pressure principle and algorithm

In this study, we exploit data from POLDER3 sensor on PARASOL, platform within the Afternoon Train Atmospheric Observatory (A-Train; Stephens et al., 2002). PARASOL has been launched in 2004. PARASOL orbit has been lowered a first time in December 2009, then in November 2011, such that PARASOL does not perform as many measurements coincident with other A-Train satellites, though POLDER3 sensor still works perfectly.

POLDER cloud oxygen pressure is inferred from multidirectional (up to 14) measurements in two channels located in the oxygen A band, at around 763 and 765 nm, whose full width at half maximum (FWHM) are respectively 10 and 40 nm. Figure 1 illustrates the spectral variability of the atmospheric absorption in this domain as well as the POLDER response filters for the two channels.

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The oxygen pressure algorithm principle is based upon the fact that O_2 absorption indicates the penetration depth of radiation within the atmosphere and therefore, the cloud top height can be estimated. The oxygen transmittance T_{O_2} , from the top of the atmosphere to a level pressure P then back to space, has been pre-calculated, assuming a perfect reflector located at pressure P . The oxygen pressure algorithm then relates the reflectance ratio measurements in the two POLDER O_2 absorption bands to T_{O_2} . Finally a cloud oxygen pressure can be determined.

Those calculations were realized with a line-by-line model (Scott, 1974) using the spectroscopic parameters from HITRAN 2004 (Rothman et al., 2005). In these simulations, the atmosphere is assumed to be a purely absorbing medium and the cloud acts as a perfect solid reflector. Thus only O_2 absorption above the cloud level is taken into account. Various geometrical conditions and standard atmospheric models have been used and a regression was performed to output a set of coefficients linking the oxygen transmittance T_{O_2} and the air-mass factor, to the reflector pressure level. As MERIS sensor, POLDER has only two large spectral bands in the oxygen A band. The oxygen transmission T_{O_2} , which cannot be measured directly, is derived from the ratio of the reflectance measured in a channel strongly influenced by oxygen absorption (narrow spectral band centered at 763 nm, FWHM of 10 nm) to the reflectance measured in a less influenced one (wide spectral band centred at 765 nm, FWHM of 40 nm). Contrary to MERIS which has two separate bands, POLDER narrow band is totally included in the wide band (see Fig. 1). Thus to infer the oxygen transmission, POLDER wide band must be first corrected for the band percentage where the oxygen lines are located (see Buriez et al., 1997 for details). In the operational algorithm, the measured reflectances at 763 and 765 nm are also corrected for gaseous absorption by water vapour and ozone.

With its CCD sensor, POLDER acquires up to 14 quasi-simultaneous observations of the same elementary pixel ($6 \times 7 \text{ km}^2$) with different viewing geometries. In the Level-2 POLDER operational algorithm, the cloud pressure value affected to a super-pixel ($18 \times 21 \text{ km}^2$) is determined for each viewing direction from the spatial averaging of the

results obtained for each elementary cloudy pixel with spherical albedo larger than 0.3 (i.e. an optical thickness τ of 2 for liquid water clouds and 3.5 for ice clouds). An additional correction is made over land surface to take into account the increase of the photon path length due to multiple scattering between the cloud and the surface (see Vanbauce et al., 2003 for details). The angular values are then averaged accounting for cloud fraction – the mean is noted P_{O_2} – and the associated angular standard deviation $\sigma_{P_{O_2}}$ is calculated. For technical reasons as well as question of cloud pressure accuracy, the averaged cloud pressure is finally rounded to the nearest 5 hPa and the angular standard deviation to the nearest 2.5 hPa.

2.2 Known bias and sensitivity to cloud vertical extent

Real clouds do not act as perfect reflecting boundaries. A consequence of it is the gap between calculated cloud oxygen pressure and actual cloud top pressure (CTP), due to the part of absorption by oxygen along photon path within cloud layers. It is particularly true for POLDER oxygen pressure estimate whose retrieval algorithm is based on that hypothesis. For example comparisons between POLDER apparent pressure and the cloud top pressure derived from METEOSAT infrared measurements showed a mean difference of 180 hPa (Vanbauce et al., 1998). Similar comparisons between POLDER apparent pressure and the International Satellite Cloud Climatology Project (ISCCP) cloud top pressure showed a bias of 140 hPa (Parol et al., 1999). More precisely, cloud oxygen pressure appears close to the pressure of the geometrical middle of cloud layer. It has been observed several time with SCIAMACHY data (Wang et al., 2008) and with POLDER data (Vanbauce et al., 2003; Sneep et al., 2008; Ferlay et al., 2010).

Actually, Ferlay et al. (2010) studied in detail the vertical photon penetration within cloud layer (noted $\langle Z \rangle$), its dependence and its angular variation for different upwelling outgoing directions. For clouds optically thick enough, Monte Carlo results showed the strong dependence of $\langle Z \rangle$ on the cloud geometrical thickness H , with a weaker dependence on the cloud optical thickness τ and cloud microphysical properties.

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It confirmed the asymptotic relation $\langle Z \rangle = \mu_s \mu_v H$ from van de Hulst (1980), with μ_s and μ_v the cosines of the solar and viewing zenith angles respectively.

Because the cloud oxygen pressure P_{O_2} is affected by $\langle Z \rangle$, it varies accordingly: first, P_{O_2} hangs on with the upwelling outgoing directions (as illustrated in Fig. 2). Then, for clouds optically thick enough, P_{O_2} – CTP depends on the cloud geometrical thickness H , and the angular standard deviation of POLDER pressure $\sigma_{P_{O_2}}$ is potentially highly correlated with H . Using a large set of POLDER data coincident with CloudSat and CALIPSO measurements, Ferlay et al. (2010) confirmed the small bias between P_{O_2} and the cloud middle pressure (CMP) for monolayer clouds, and proposed a way to reduce it. They confirmed also, thanks to the sensitivity of $\sigma_{P_{O_2}}$ to H , the possible inversion of H from $\sigma_{P_{O_2}}$ for optically thick enough clouds, ie the feasibility of retrieving cloud geometrical thickness from multidirectional measurements in the oxygen A band. Simulations with liquid and ice clouds showed the importance of the account of cloud thermodynamical phase in this inversion process.

To summarize, Ferlay et al. (2010) confirmed the closeness between POLDER oxygen pressure and cloud middle pressure. But more, their main result is the strong dependence of oxygen product on cloud geometrical thickness H . From this dependence, we can investigate further and plan to improve the significance of the retrieved cloud pressure, and invert the geometrical thickness of cloud from POLDER oxygen product. To reach these goals, we need to analyze the sensitivity of POLDER oxygen pressures and of the correlation $(\sigma_{P_{O_2}}, H)$ to cloud optical thickness τ , and to the geometrical conditions of the scene's observation. It is the purpose of the following Sects. 4, 5 and 6.

3 A-Train dataset used

In the next section we present new inferences obtained from POLDER cloud oxygen pressure Level2 parameters P_{O_2} and $\sigma_{P_{O_2}}$: new cloud pressures, and an estimate

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(2000), and may be explained by the height of the land surface which is about 500 m from the mean sea level and the highest occurrence of low-level cloudiness over ocean.

4 New POLDER oxygen pressures

Thanks to their analysis of the vertical penetration of photons within cloud layer as well as the distance between POLDER oxygen pressures and actual cloud top pressure, Ferlay et al. (2010) have allowed to get a better significance of the inferred oxygen pressures. Here, we pursue this effort by accounting for the double dependence of cloud inferred pressures to cloud optical thickness and solar zenith angle (or its cosine μ_s). We show that we can obtain unbiased estimates of cloud middle and top pressures.

To evaluate the relevance of these pressures, we make use of the International Satellite Cloud Climatology Project (ISCCP) definitions (Rossow and Schiffer, 1999) to distinguish high clouds (CTP < 440 hPa), midlevel clouds (440 hPa < CTP < 680 hPa) and low clouds (CTP > 680 hPa). High clouds can be further separated into cirrus ($\tau < 3.6$), cirrostratus ($3.6 < \tau < 23$) and deep convective clouds ($\tau > 23$). Midlevel clouds are separated into altocumulus ($\tau < 3.6$), altostratus ($3.6 < \tau < 23$) and nimbostratus ($\tau > 23$). At last, among low clouds, we find cumulus ($\tau < 3.6$), stratocumulus ($3.6 < \tau < 23$) and stratus ($\tau > 23$). In this study, we work on clouds for which $\tau > 5$, consequently cirrus, altocumulus and cumulus are not represented here. Low and middle clouds are also classified according to their thermodynamical phase although all high clouds are ice.

4.1 Unbiased estimate of cloud middle pressure: principle and results

Ferlay et al. (2010) studied the difference between P_{O_2} and the pressure of the cloud's midlevel (CMP). Here we keep making the distinction between liquid and ice clouds, and we go further by accounting for the dependence, not only on cloud optical thickness, but also on the solar zenith angle. Figure 5 shows the difference on average

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between POLDER oxygen pressures and actual cloud middle pressure (CMP) obtained from CloudSat/CALIPSO, for liquid clouds (panel a) and ice clouds (panel b).

It shows that the higher the sun, the higher is the POLDER oxygen pressure P_{O_2} . This is because the pathlength of photons within cloud layers is enhanced when the sun is high, or equivalently that they penetrate more within the clouds. Figure 5 shows also the sensitivity of the pressure's difference to cloud optical thickness τ . This sensitivity is low for liquid clouds while high for ice clouds. For liquid clouds on average, $P_{O_2} - \text{CMP}$ does not depend much on cloud optical thickness for $\tau \geq 20$. For ice clouds, the absolute value of $P_{O_2} - \text{CMP}$ is smaller than 50 hPa when $\tau \geq 40$, and much larger for lower values of τ .

The principle for obtaining an estimate of CMP from POLDER oxygen pressures P_{O_2} is the following: if $P_{O_2} - \text{CMP} = f(\tau, \mu_s)$, then $P_{O_2} - f(\tau, \mu_s)$ should provide a good estimate of CMP. Hereafter, we note CMOP the quantity $P_{O_2} - f(\tau, \mu_s)$, that stands for cloud middle oxygen pressure. We obtained CMOP after a fit of the functions shown in Fig. 5 with 3rd-order polynomials.

Figure 6 shows the comparison between CMOP and CloudSat/CALIPSO CMP for the four most numerous ISCCP cases in 2008. CMOP was obtained from a parameterization based on 2008 data. Correlations for the years 2007, and 2009–2010, are close to the ones shown here. Also not shown is the comparison for stratus liquid clouds, for which the correlation is around 0.747. The best results are obtained for ice clouds with high correlation, small bias and regression's slope close to unity. Comparisons for liquid low level clouds show a larger bias, that might be due to the effect of Rayleigh scattering above the cloud layers. The result is worse for mid-level clouds with a correlation of 0.54.

4.2 Unbiased estimate of cloud top pressure: principle and results

Because the difference between P_{O_2} and the actual cloud top pressure CTP is mainly a function of the cloud geometrical thickness H , and because H is potentially strongly correlated with $\sigma_{P_{O_2}}$ (Ferlay et al., 2010) as will be shown in detail in Sect. 5, we though

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larger above land compared with ocean. But the number of cases above land is ten times smaller compared with ocean, that limits the comparison.

To summarize, we obtained new pressures – CMOP and CTOP – which are statistically not so far from unbiased estimates of actual cloud middle and top pressures of monolayer liquid as well as ice cloud covers. From the difference between these two new pressures, we shall obtain a first estimate of the cloud vertical extent H . In Sect. 6, we evaluate the quality of this estimate of H . We shall see that the biases of CMOP and CTOP will compensate while calculating the estimate of H from their difference between CMOP and CTOP for liquid clouds over ocean, but less over land.

5 Correlation between $\sigma_{P_{O_2}}$ and the cloud vertical extent

As recalled in Sect. 2.2, the angular standard deviation $\sigma_{P_{O_2}}$ of POLDER oxygen pressure is sensitive to the cloud geometrical thickness H , and consequently there is a potential to retrieve H from $\sigma_{P_{O_2}}$ for optically thick enough clouds. Ferlay et al. (2010) showed this potential for liquid water clouds from simulations and measurements. In this section, we go further and show the strength of the correlation between $\sigma_{P_{O_2}}$ and H with a spatial and temporal study of the relation $H-\sigma_{P_{O_2}}$. We also study the complex sensitivity of the relation between H and $\sigma_{P_{O_2}}$ to the cloud's optical thickness and to the scene's geometrical conditions. The detailed study of this sensitivity will lead to improved retrieval of H from $\sigma_{P_{O_2}}$. While the correlation exists also theoretically in the case of ice clouds, we show here results for liquid clouds only as the correlation observed between H and $\sigma_{P_{O_2}}$ is not straightforward for ice clouds. This is certainly due to their more complex microphysics, and their enhanced heterogeneities along thousands of vertical meters.

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5.1 Spatial variability of the correlation

In a first step, we study the spatial variability of the correlation between $\sigma_{P_{O_2}}$ and H at the global scale. To realize that, (i) data are sorted in bins of 10° of latitude, 20° of longitude and 1 km width of geometrical thickness. The spatial grid ($10 \times 20^\circ$) and the size of geometrical thickness class have been determined in order to optimize the correlation; (ii) for each area and for each geometrical thickness class, the average and the standard deviation of $\sigma_{P_{O_2}}$ are calculated; (iii) these two quantities are used in the *pearsn* routine (Press et al., 1992) that provides for each area the correlation coefficient r and the slope S of the linear regression between $\sigma_{P_{O_2}}$ and the center of each geometrical thickness bin.

Figure 10 represents the spatial distribution of the correlation coefficient r between $\sigma_{P_{O_2}}$ and H for monolayered liquid water clouds in 2008. It shows that r is high for most areas in both hemispheres: r is higher than 0.8 for 162 over 283 cases. The correlation coefficient can be however very low in several areas, in particular over land, over the Asian continent, Australia and for very high latitudes. We also see an anti-correlation (r close to -1) over the North-East of Africa. To summarize, for liquid clouds, the correlation between $\sigma_{P_{O_2}}$ and H is high for most meshes over oceans, and for half of the cases over land. This figure underlines the importance of distinguishing the oceans from the continents for following studies. Not shown here, an other map of correlation coefficient for monolayered ice clouds has been realized, however the correlation is globally low in this case: r is higher than 0.8 only for 46 over 277 regions for which it was defined (in some areas, as for high latitudes, there were not enough clouds corresponding to our criterias to calculate the correlation coefficient). This is the reason why the present section focuses only on liquid water clouds.

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5.2 Temporal variability of the correlation

In a second step, we study the temporal variability of the relation between $\sigma_{P_{O_2}}$ and H . For three years of data (2007 to 2009), we calculated the monthly mean correlation coefficient r with the same procedure as previously explained, and the slope S of the linear regression between $\sigma_{P_{O_2}}$ and H when $r > 0.8$. Figure 11 shows the temporal evolution of r and S for monolayered liquid clouds over 2007–2009 while distinguishing land surfaces from oceans in each hemisphere. Panel (a) demonstrates that the correlation is temporally robust over ocean all over the years. It also shows that the correlation stays high over land surfaces except in the winter months of each hemisphere. This decreasing of the correlation can be explained by the effect of brighter land surfaces not well accounted for by the POLDER algorithm, and smaller number of liquid cloud cases over land in winter. It can explain the weak correlation that we observe over land in Fig. 10, particularly over Asian continent at high latitudes. Panel (b) of Fig. 11 shows the temporal variability of the slope of the linear regression between $\sigma_{P_{O_2}}$ and H . Slopes are on average around 3 hPa km^{-1} , with a weak temporal variability over ocean and a higher one over land. This higher temporal variability can be explained by the stronger inter-annual variability of clouds over land than over ocean (Stubenrauch et al., 2006). These temporal variations in the slope, for most cases not far from the value 3.2 hPa km^{-1} found by Ferlay et al. (2010), suggest that a retrieval of H from $\sigma_{P_{O_2}}$ based on a unique inversion obtained at global scale should lead to better results over ocean than land, and should account for the surface type. It suggests also the robustness and the universality of the statistical relation between $\sigma_{P_{O_2}}$ and H . However, to go further, it is important to account for the dependence of this relation to cloud and other scene parameters.

5.3 Angular and cloud optical thickness dependences

The two previous subsections showed the spatial and temporal characteristics of the correlation between the cloud geometrical extent and the angular standard deviation of the oxygen pressure. It explains why a previous study by Ferlay et al. (2010) leads to an acceptable technique of inversion for the ensemble mean of H . However, previous simulations and results of Sect. 4 have shown the influence of cloud optical thickness and solar zenith angle on cloud oxygen pressure. These parameters affect also the relation between $\sigma_{P_{O_2}}$ and H , and this dependence has to be accounted for to reach the goal of an improved retrieval of H from oxygen A band measurements.

Figure 12 shows the amplitude of this dependence and the complexity of the relation between $\sigma_{P_{O_2}}$ and H for monolayered liquid water clouds in 2008 over ocean: for a given value of $\sigma_{P_{O_2}}$, several H are observed on average for various classes of cosine of solar zenith angle μ_s and cloud optical thickness τ . For example, an optically thin cloud with small vertical extent will lead to the same $\sigma_{P_{O_2}}$ as an optically thick cloud with large vertical extent.

In order to retrieve H from $\sigma_{P_{O_2}}$, we built parameterizations taking into account the cloud optical thickness τ and the cosine of the solar zenith angle μ_s . We sorted cloudy pixels into 10 classes over ocean (as illustrated in Fig. 12) and 6 ones over continents. Fits of fifth order were obtained and they provide the set of coefficients linking $\sigma_{P_{O_2}}$ to H for each $(\mu_s; \tau)$ classes. Exploitation of the $(\sigma_{P_{O_2}} - H)$ fits and value of the inferred vertical geometrical thickness are discussed in Sect. 6.

6 Information about cloud vertical extent: synthesis

We have described two ways to retrieve cloud vertical extent from POLDER3 data. The first one takes advantage of unbiased estimates of cloud pressures, illustrated in Sect. 4. Indeed, cloud top and middle oxygen pressures (CTOP and CMOP) can

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be converted to altitudes and their difference provides in principle half of the cloud vertical extent. This method is applied here for both liquid and ice clouds. The second method takes advantage of the correlation between the angular standard deviation of the oxygen pressure and the cloud geometrical thickness. As described in Sect. 5.3, a $(\mu_s; \tau)$ parameterization makes possible the retrieval of H from $\sigma_{P_{O_2}}$. At the present time, this second method is only applied to liquid water clouds.

In the following, we will note the vertical extent retrieved from the difference of pressures $H_{\Delta P}$ and the vertical extent retrieved from $\sigma_{P_{O_2}}$ H_σ . For liquid water cloudy pixels H_{mean} stands for the average of $H_{\Delta P}$ and H_σ .

Figure 13 shows the histograms of the difference between CloudSat/CALIPSO H and the retrieved one for clouds over ocean in 2008.

For liquid water clouds, the histogram of $\Delta H_\sigma = H_\sigma - H$ is almost centered on zero: $\overline{\Delta H_\sigma} = 5$ m with a standard deviation $SD = 964$ m and a median $MD = 180$ m. The histogram of $\Delta H_{\Delta P} = H_{\Delta P} - H$ is slightly off-centered: $\overline{\Delta H_{\Delta P}} = -12$ m, $SD = 1193$ m, but the median is lower $MD = -21$ m. For ΔH_{mean} , $\overline{\Delta H_{\text{mean}}} = -17$ m, $SD = 983$ m and $MD = 73$ m, which shows that the vertical extents retrieved by the two methods are consistent pixel by pixel. Results are synthetized in Table 2.

For liquid clouds over land, histograms are not shown here but characteristics of the estimates are also given in Table 2. The averages of the differences are quite different: $\overline{\Delta H_\sigma} = 23$ m and $\overline{\Delta H_{\Delta P}} = -272$ m. Defining an average estimate H_{mean} appears in that case not very relevant as $\overline{\Delta H_{\text{mean}}}$ equals -138 m, much away from zero than ΔH_σ . For ice clouds over ocean, $\overline{\Delta H_{\Delta P}} = 1580$ m with $SD = 5803$ m and $MD = -26$ m. These values are very high compared to the liquid water cloud ones, but ice clouds have generally a much larger vertical extent than liquid water clouds and consequently the difference are relatively less important in front of the ice clouds vertical extents. For ice clouds over land, histogram of $\Delta H_{\Delta P}$ is sharper than over ocean. It is partly due to the population of ice clouds over land that contains more clouds with vertical extent below 7000 m and less clouds with H above 10 000 m (see Fig. 4).

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Figure 13 showed the annual difference ΔH between the retrieved cloud vertical extent and the actual CloudSat/CALIOP one over the year 2008. In order to analyze the robustness of our retrieval, we studied the temporal evolution of the monthly mean of ΔH . Figure 14 shows the mean differences of ΔH_{σ} (solid lines) and $\Delta H_{\Delta P}$ (dashed lines) month by month from 2007 to 2009 above land (red curves) and ocean (blue curves).

For liquid water clouds (panel a), the monthly mean $\overline{\Delta H_{\sigma}}$ is low over the three years over ocean and land with values between -100 m and $+100$ m. Averages are -7 m and -9 m over ocean and land respectively and the standard deviation is close to 1000 m whatever the surface. During the same period, the monthly mean $\overline{\Delta H_{\Delta P}}$ is low over ocean with values between -100 m and $+100$ m but more away from zero over land where the values range from -200 m and -400 m. The averages are -20 m over ocean and -294 m over land and the standard deviation is higher (1500 m) over land than over ocean (1100 m). These observations are consistent with Fig. 13. The low performance of the pressures method to retrieve H for liquid clouds over land can be explained by the bias in inference of CMP and CTP for low level clouds mentioned in Sect. 4.2; this type of clouds representing the majority of liquid clouds, their characteristics influence the statistic. For ice clouds (panel b of Fig. 14), differences observed in 2008 are also observed month by month: they are higher compared with liquid clouds, $\overline{\Delta H_{\Delta P}} = 1375$ m above ocean, and $\overline{\Delta H_{\Delta P}} = 936$ m above land. The standard deviation is almost the same during the three years and whatever the surface, it is close to 5000 m. However, contrary to what we observe for liquid clouds, there is no important difference in the performance of $H_{\Delta P}$ over ocean and land. This could be explained by the fact that surface effects are smaller in the case of ice clouds (clouds are on average thicker and at higher altitudes). There is a clear trend in the difference for ice clouds with higher values in 2007 down to lower values in 2009. This trend is questionable. It might be due to the fact that the parameterization for retrieving H has been learned in 2008, and applied over 2007 and 2009. Not shown here, we observe also that, while

the CloudSat/CALIPSO monthly mean of H for ice clouds are stable over three years, the ones we retrieve decrease slightly.

This first analysis of the biases of cloud vertical extent estimates leads to the choice of H_{σ} as estimate of H for liquid water clouds, while for ice clouds, H is estimated by $H_{\Delta P}$.

A further analysis of the POLDER estimates of the liquid water cloud vertical extents shows an overestimation of H for some of the thinnest clouds, and an underestimation for some of the thickest. These tendencies are not surprising considering the physical principle of the retrieval. For ice clouds, the vertical extent of the thickest clouds (more than 12 km) are either underestimated, or overestimated as for some of the thinnest ice clouds. These results are illustrated on Fig. 15 that shows histograms of cloud geometrical thickness for which the retrieval of H is close to the actual one (blue line), and far from it (thin dark green and black lines), the distance criteria being the standard deviation given in Table 2.

As for cloud top pressure estimates, we computed the score obtained by the estimate of H . It is defined as the occurrence of H estimates less than a given percent away from the actual value of H given by CALIPSO/CloudSat. The score corresponds thus to the confidence in the cloud vertical extent's retrieval for a given accuracy. Global scores for liquid water and ice clouds are shown in Fig. 16 for different accuracies between 5% and 100%. Scores are higher for ice clouds. The fact that H for ice clouds are often much larger than for liquid water clouds explains mainly this difference. Scores are also lower over land. Figure 16 shows for example that scores obtained by POLDER estimates of cloud vertical extent, for a 30% retrieval error, are around 70, 60, 40 and 30% for respectively ice clouds over ocean, over land, liquid water clouds over ocean and land. Alternatively, Fig. 16 shows that scores equal to 50% come with a retrieval error of 20, 26, 42 and 53% for respectively ice clouds over ocean, over land, liquid water clouds over ocean and land.

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The perspective of retrieving the vertical location of cloud cover, ie both their top altitude (or pressure) and vertical extent from satellite passive measurements is challenging and very interesting for a broad range of applications in atmospheric sciences.

5 Ferlay et al. (2010) showed the potential of POLDER oxygen A band measurements to reach this goal. Pathlength of solar reflected photons within clouds varies with the viewing zenith angle and so does consequently their absorption by oxygen. This leads to an angular variation of POLDER oxygen pressure, quantified by its angular standard deviation $\sigma_{P_{O_2}}$, which is correlated with the cloud geometrical thickness.

10 In the present study, we confirm the potential of POLDER measurements with a more detailed study of the complex relation between POLDER oxygen parameters, actual cloud top pressure and cloud vertical extent. This was possible thanks to the richness of the collocated and quasi-simultaneous observations from POLDER3 on PARASOL and the active sensors CPR/CloudSat and CALIOP/CALIPSO over years.

15 We show here the possibility of providing a cloud top oxygen pressure (CTOP) and a cloud middle oxygen pressure (CMOP) which are unbiased estimates of actual cloud top and middle pressures. These two new pressures are obtained from parameterizations that are $(\mu_s; \tau)$ dependent, with μ_s the cosine of the solar zenith angle and τ the cloud optical thickness. The performance of these retrievals are presented by classes of ISCCP clouds. For clouds with a high vertical extent (deep convective clouds, cirrostratus or altostratus), the results are very interesting as CTOP appears much closer to the actual CTP than P_{O_2} . For low level liquid clouds (CTP > 680 hPa), POLDER retrieval tend to slightly underestimate the actual cloud top and middle pressures. But the scores obtained by POLDER cloud top pressure estimates are interesting and high
20 where cloud populations are the highest. They reach 60 % considering a retrieval error of plus or minus 30 and 50 hPa (for liquid and ice clouds respectively). Global scores are higher for ice clouds compared with liquid water clouds for a given pressure error. However, it does not simply signify that the accuracy of the inferred cloud top altitude
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is better for liquid water compared with ice clouds. The vertical variation of the atmospheric pressure is indeed much faster at low altitude compared with high altitude (the pressure gradient at 1000 hPa is approximately twice the one at 400 hPa). Hence, the global score obtained for liquid clouds and a given error should be compared with the one for ice clouds and an half error, for the same uncertainty on the cloud top altitude.

From the difference between CMOP and CTOP, one can provide in principle a first estimate of the cloud vertical extent H , $H_{\Delta P}$, although $H_{\Delta P}$ may suffer from the add of the retrieval biases of CMOP and CTOP. A second estimate H_{σ} is obtained directly from the correlation between $\sigma_{P_{O_2}}$ and H . This correlation is shown to be complex, but also spatially and temporally robust for liquid water clouds, particularly for those over ocean. The study of this correlation lead us to establish ten ($\mu_s; \tau$) parameterizations for liquid water clouds over ocean and six over land which allow us to retrieve H from $\sigma_{P_{O_2}}$. Thus, we obtain two estimates of H , $H_{\Delta P}$ and H_{σ} for liquid clouds. Over ocean, we show that the two estimates are consistent at the pixel level with close performances. Over land, $H_{\Delta P}$ underestimates slightly on average the retrieval of H . For ice clouds, the vertical extent of clouds are estimated with $H_{\Delta P}$ only. For these clouds, the differences are in average much larger in absolute value compared with the liquid case, but the relative differences are lower.

The POLDER estimates of cloud vertical extent shown here are new and the results given here are, in a way, preliminary. The vertical extent of thin (respectively thick) liquid water clouds tends to be overestimated (underestimated), while the vertical extent of thick ice clouds tends to be underestimated) (see on Fig. 15). The case of ice clouds is more complex to handle, and so far, their vertical extent is not obtained from $\sigma_{P_{O_2}}$ but from CMOP and CTOP. This is certainly due to their more complex microphysics, from their enhanced diversity and heterogeneities along thousands of vertical meters. Despite the limits of our current retrieval, we obtain confidence scores for cloud top pressure and geometrical thickness estimates that are interesting and yet high for some cases. With CTOP and our estimate of H , CTP-H diagrams can be produced. Figure 17 shows such climatological diagram for ice clouds over ocean. The comparison with the

“true” one on the left panel of Fig. 4, case (a), shows that the main feature of the climatology is obtained.

Thus, the results presented in this study are promising and encouraging, since getting complete information about cloud vertical location from a passive instrument and consequently at global scale is new. In the future, progress in the understanding of the relation between the cloud vertical extent and the angular variation of the POLDER oxygen pressure for the ice cloud case are expected. It is also necessary to evaluate the performance of our cloud retrievals outside the CloudSat/CALIPSO track. Lastly, in order to make our retrieval methods operational, an important point is the identification of the mono/multilayer character of cloud cover over the entire POLDER swath.

Acknowledgements. This study has been financed through grants from the french research CNRS program PNTS (Programme National de Télédétection spatiale) and CNES program TOSCA (Terre, Océan, Surfaces continentales, Atmosphère). We are grateful to the ICARE centre (<http://www.icare.univ-lille1.fr/>) for providing easy access to CALIPSO-collocated PARASOL, CloudSat, and MODIS data (MULTI_SENSOR data project) as well as computing resources.



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Table 1. Level 2 A-Train data (daytime only) used in our study at 5 km horizontal sampling.

Product	Dataset	Horizontal resolution	Sensor (satellite)
RB2_v16	Cloud oxygen pressure P_{O_2} Cloud oxygen pressure angular standard deviation $\sigma_{P_{O_2}}$ Cloud cover “cc” Cloud phase Cloud optical thickness τ Cosine of the solar zenith angle μ_s Surface type index	$18 \times 21 \text{ km}^2$	POLDER3 (PARASOL)
2B-GEOPROF-LIDAR.V04	Number of cloud layers n Cloud top altitudes LAYERTOP Cloud base altitudes LAYER-BASE	5 km	CPR/CALIOP (CloudSat/CALIPSO)
MYD06_L2.C5	Cloud top pressure: MODIS CTP Cloud phase	5 km 5 km	MODIS (Aqua)

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Table 2. Statistics of the inversion of H for liquid water clouds and ice clouds in 2008 over ocean and land. Values are in meters.

Method	Liquid water clouds					
	Ocean			Land		
	ΔH	SD	MD	ΔH	SD	MD
$\sigma_{P_{O_2}}$	5	964	180	23	1146	300
ΔP	-12	1193	-21	-272	1425	-202
Mean	-17	983	73	-138	1186	61
Method	Ice clouds					
	Ocean			Land		
	ΔH	SD	MD	ΔH	SD	MD
ΔP	1580	5803	-26	857	4859	-227

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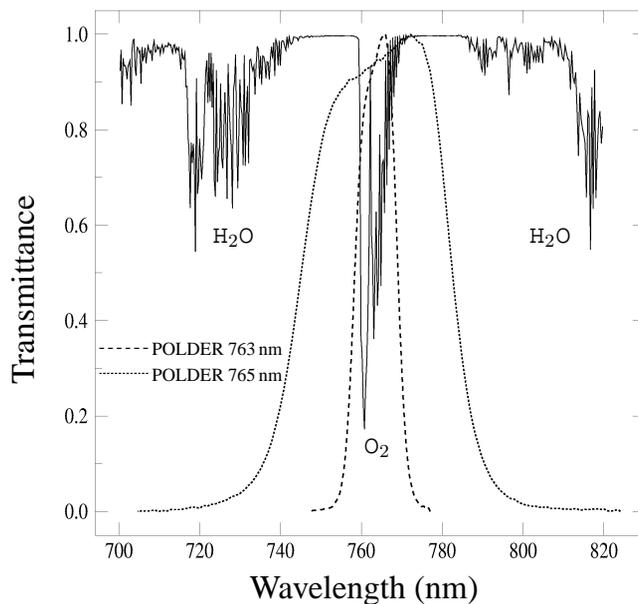


Fig. 1. Atmospheric transmission in the oxygen A band region at the resolution of 5 cm^{-1} ($\approx 0.3\text{ nm}$) (for an air mass equal to 1 and a standard midlatitude summer atmosphere). The filter's transmissions of the two POLDER O_2 bands (centered at 763 and 765 nm with a 10 nm and 40 nm FWHM) are also given in dashed lines.

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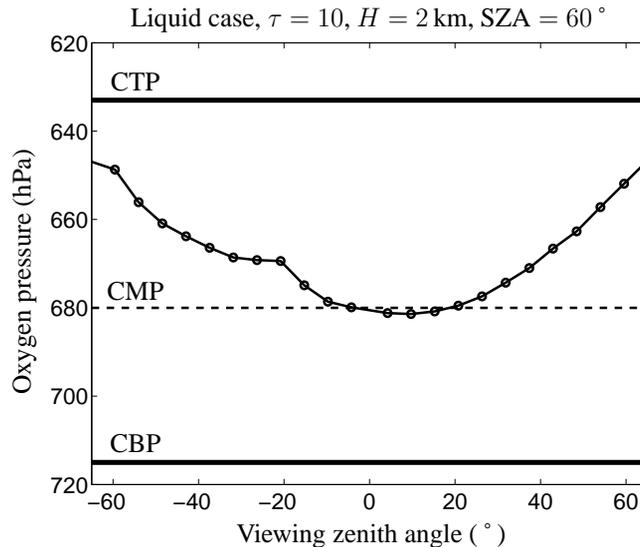


Fig. 2. Variation of simulated POLDER cloud oxygen pressure P_{O_2} with the viewing zenith angles of upwelling directions for a particular case study – Horizontal lines indicate the level of cloud top, middle, and base pressures (noted respectively CTP, CMP and CBP). The angularly averaged oxygen pressure is here 667 hPa and the angular standard deviation 11 hPa – Discontinuities of P_{O_2} signal at -60° and -20° are signatures of cloud scattering phase function.

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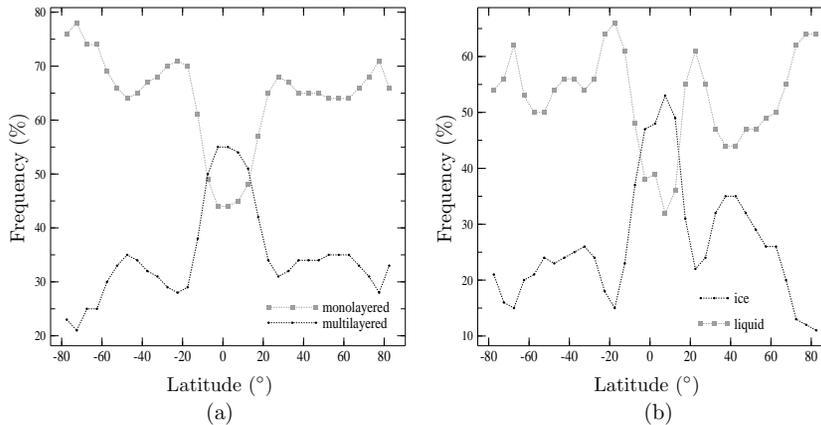
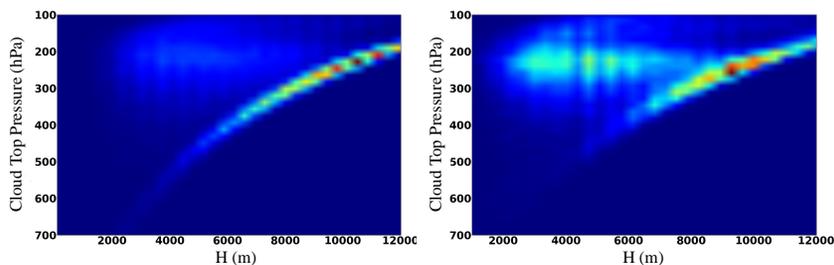


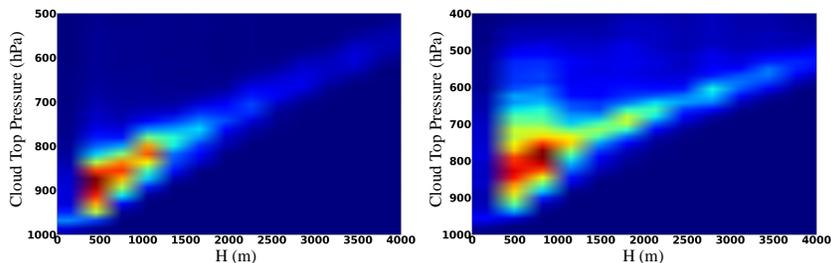
Fig. 3. Climatology of clouds in 2008: panel (a) provides the occurrences (in %) of monolayered (grey line) and multilayered (black line) clouds; panel (b) provides the occurrences (in %) of liquid water (grey line) and ice (black line) clouds among monolayered clouds.

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(a) Monolayer ice clouds (over ocean and land (left and right panel respectively))



(b) Monolayer liquid clouds (over ocean and land (left and right panel respectively))

Fig. 4. Climatology of monolayer clouds in 2008: CALIOP/CloudSat Cloud Top Pressure – Vertical extension occurrences, over ocean on the left panels, over land on the right panels.

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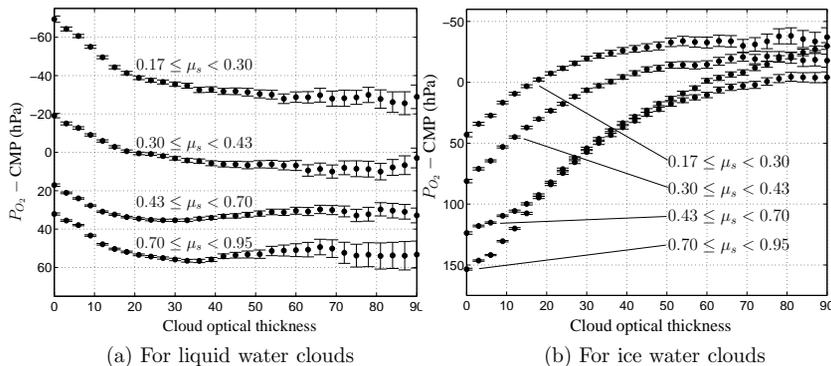


Fig. 5. Difference between POLDER oxygen pressure and actual cloud middle pressure (CMP) from CloudSat/CALIPSO as a function of cloud optical thickness, on average in 2008 and by classes of solar zenith angle's cosine. Standard deviation are indicated.

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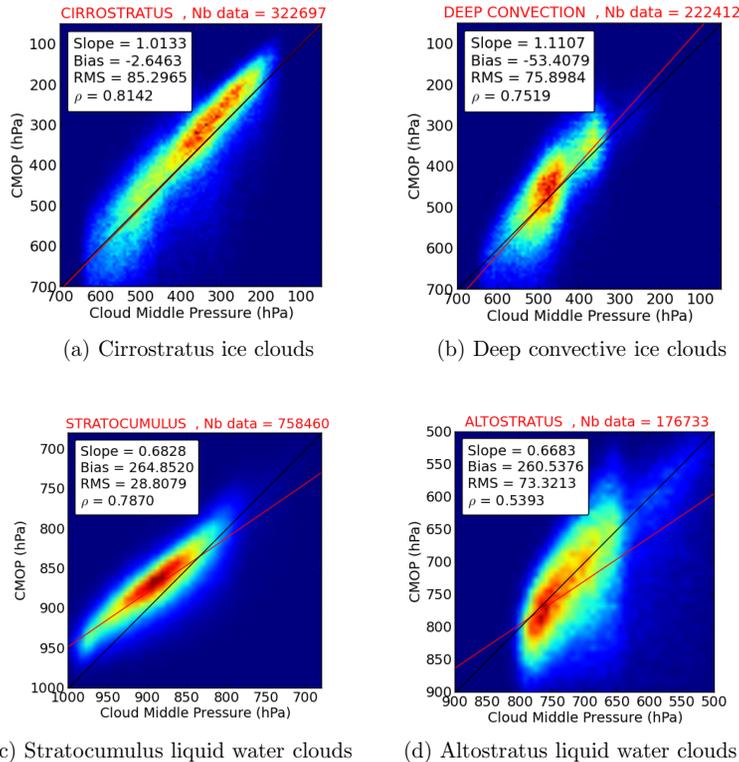


Fig. 6. Comparison between POLDER cloud middle oxygen pressure (CMOP) and Cloud-Sat/CALIPSO cloud middle pressure CMP. Cases of clouds over ocean in 2008.

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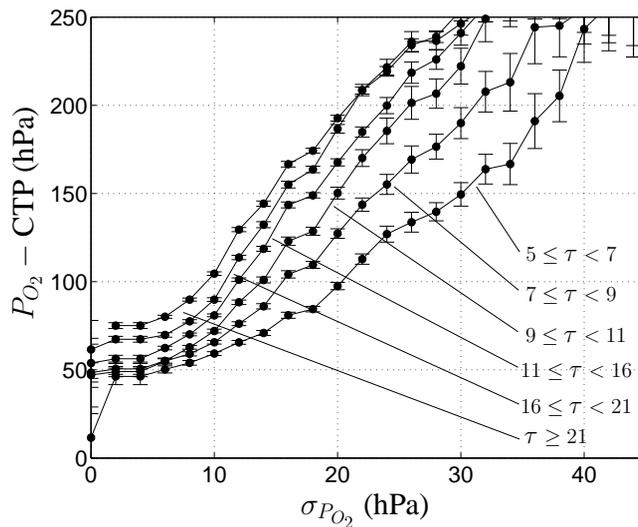


Fig. 7. Relation between $P_{O_2} - \text{CTP}$ and $\sigma_{P_{O_2}}$ on average in 2008. Case of liquid water clouds over ocean, and for particular solar conditions: $0.7 \leq \mu_s \leq 0.8$. Standard deviation are also shown.

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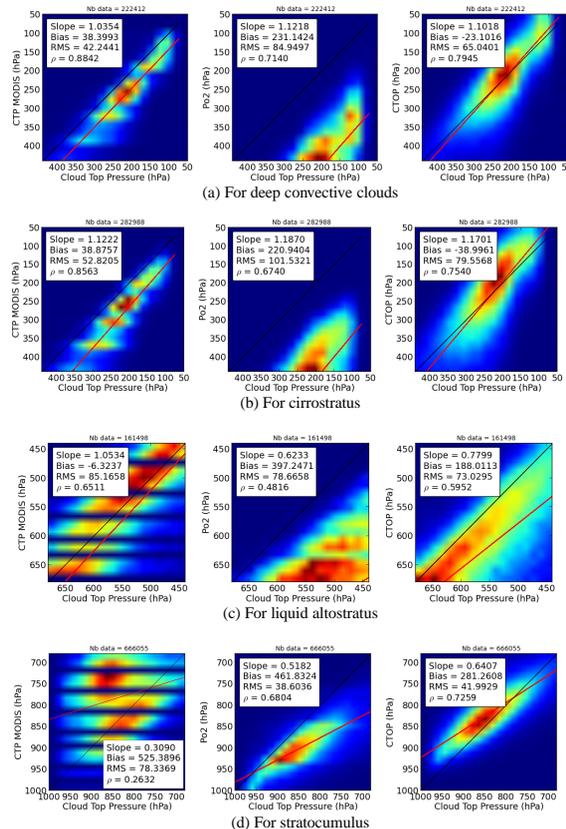


Fig. 8. Comparison above ocean in 2008 between cloud top pressure (from Cloud-Sat/CALIPSO) and POLDER pressures (historical pressure P_{O_2} and new cloud top oxygen pressure (CTOP) in the middle and right panels respectively). The left side panels show for comparison MODIS cloud top pressures.

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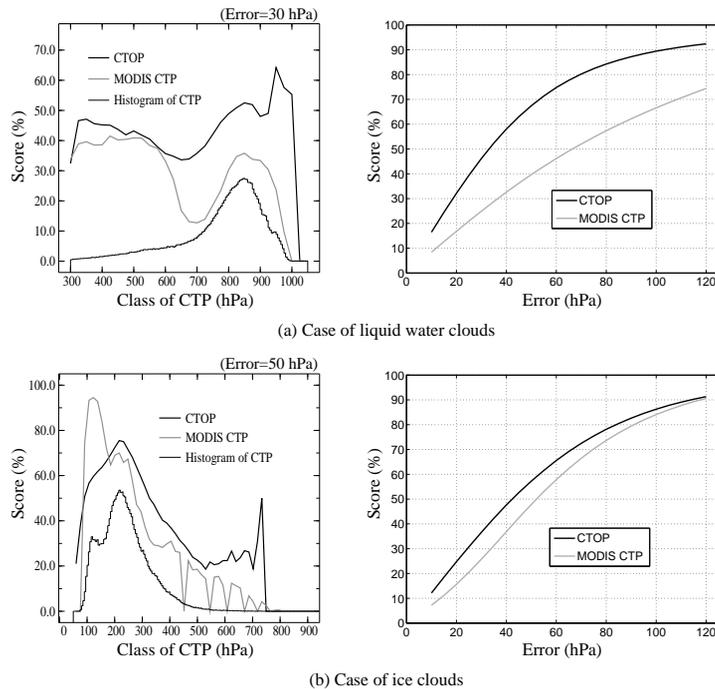
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(a) Case of liquid water clouds

(b) Case of ice clouds

Fig. 9. Scores obtained over ocean by the cloud top pressure estimates CTOP and MODIS CTP for liquid water clouds (case a) and ice clouds (case b). Scores in left panels are given per class of CTP, and correspond to an error of 30 and 50 hPa for liquid and ice clouds respectively. Right panels show global scores as a function of pressure errors. Thick black lines are for CTOP, thick grey for MODIS CTP. Histograms of CTP are also plotted in left panels (thin black lines, in arbitrary units).

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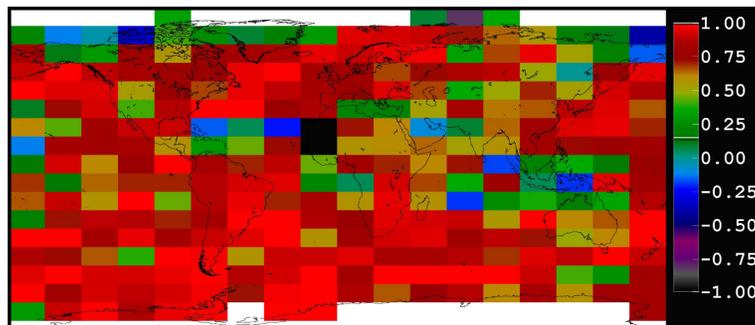


Fig. 10. Correlation coefficient between $\sigma_{P_{O_2}}$ and H by areas of 10° of latitude and 20° of longitude. Cases of monolayered liquid clouds in 2008.

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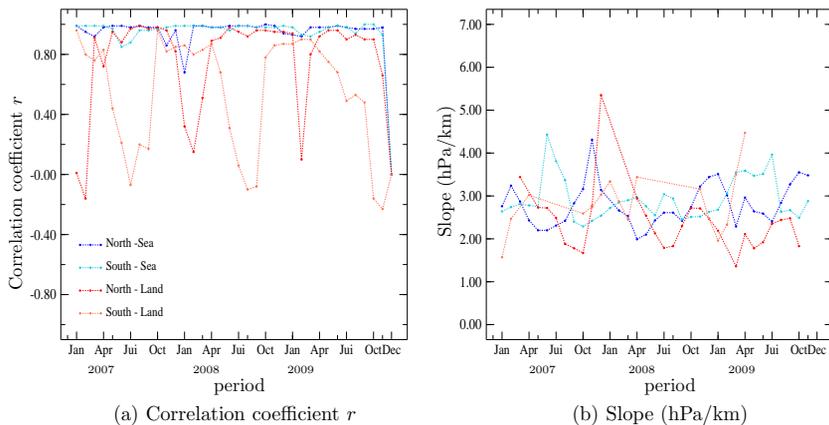


Fig. 11. Temporal evolution of the correlation between $\sigma_{P_{O_2}}$ and H month by month from 2007 to 2009. Cases of monolayered liquid clouds with $\tau \geq 5$ and $cc \geq 0.95$.

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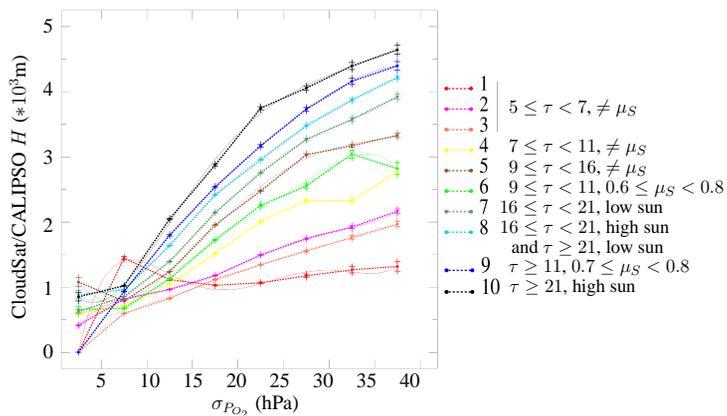


Fig. 12. Average relation $(H, \sigma_{P_{O_2}})$ and 5-order fits for classes of τ and μ_S . Cases of monolayered liquid clouds in 2008 over ocean, with $\tau \geq 5$ and $cc \geq 0.95$

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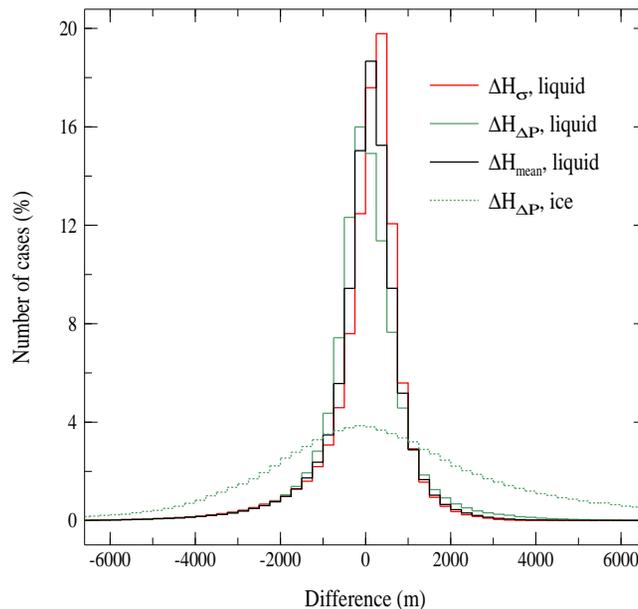


Fig. 13. Histogram of the difference between the CloudSat/CALIOP H and the retrieved H for liquid water clouds (solid line) and ice clouds (dashed line) over ocean in 2008. On the red curve, H was retrieved from $\sigma_{P_{O_2}}$, on the green one, it was retrieved from ΔP . For liquid water clouds, the black curve shows the difference between H_{mean} and H (see text for explanation).

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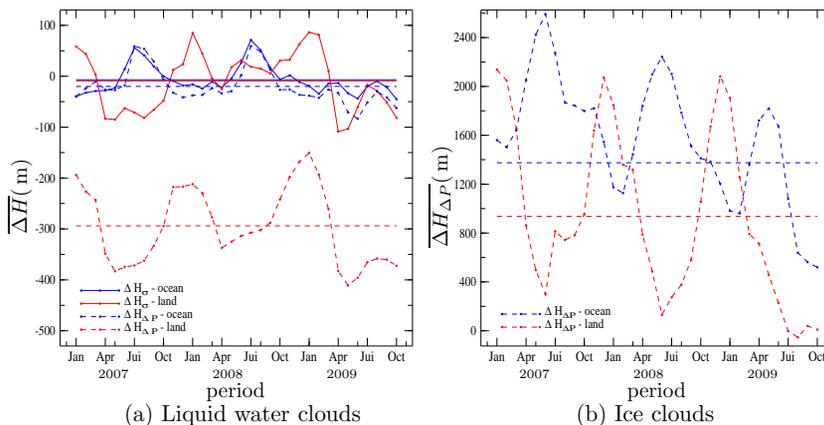


Fig. 14. Temporal evolution of the monthly average difference between CloudSat/CALIOP H and the retrieved H from 2007 to 2009 for liquid water clouds (panel **(a)**, $H_{\Delta P}$ and $H_{\Delta P}$ in solid and dashed line respectively) and ice clouds (panel **(b)**, $H_{\Delta P}$ in dashed line). In blue over ocean, and red over land. The standard deviation is not represented as it stays close to 1000 m (resp. 5000 m) for liquid (resp. ice) clouds all along the three years.

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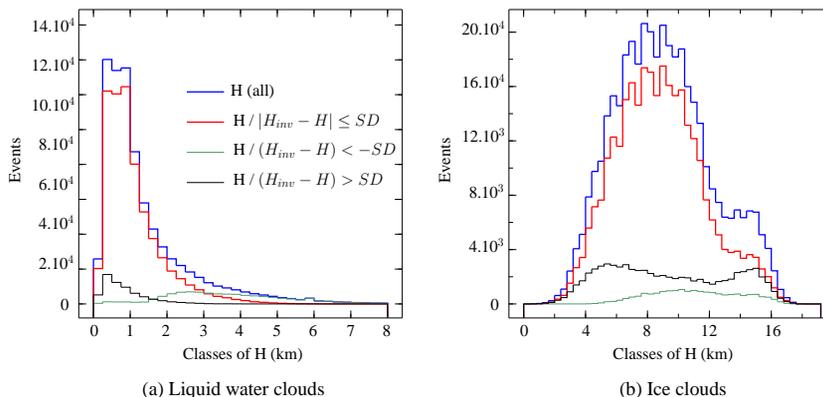


Fig. 15. Histograms of cloud geometrical thickness for monolayer liquid **(a)** and ice **(b)** clouds in 2008 over ocean: all clouds (blue line), clouds for which the retrieval of H is less biased (red line), clouds for which the retrieval underestimates H (thin dark green line) or overestimates it (thin black line). SD stands for Standard Deviation.

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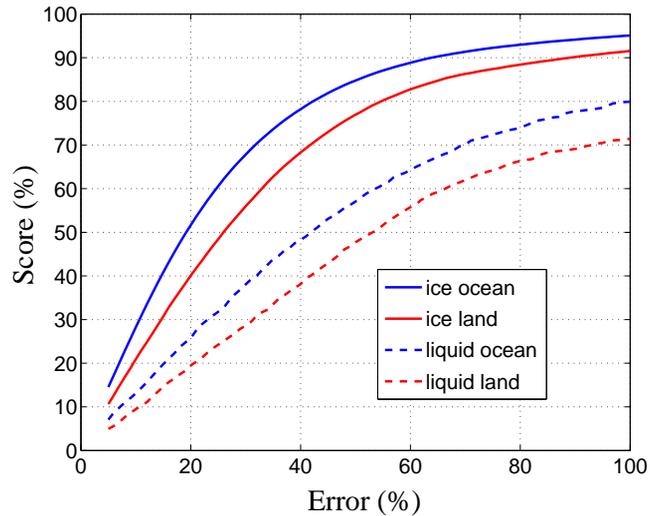


Fig. 16. Scores obtained by the estimates of cloud vertical extent H for liquid water and ice clouds as a function of retrieval errors in percent.

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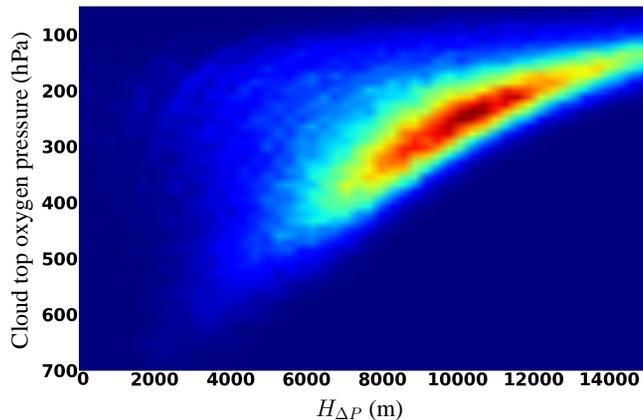


Fig. 17. POLDER based climatology of monolayer ice clouds over ocean in 2008: Cloud top oxygen pressure (CTOP) vs cloud vertical extension $H_{\Delta P}$ occurrences. To be compared with the top left panel of Fig. 4

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