



**Autofluorescence of
atmospheric
bioaerosols**

C. Pöhlker et al.

Autofluorescence of atmospheric bioaerosols – spectral fingerprints and taxonomic trends of native pollen

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Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Abstract

Primary biological aerosol particles (PBAP) are important factors in atmospheric cycling, climate, and public health. Pollen is a major fraction of PBAP and is receiving increasing attention due to its high allergenic potential and the associated severe impacts on personal life quality and economy. Recently, autofluorescence-based techniques have proven to be valuable tools for real-time, in-situ quantification and classification of PBAP. First studies suggest that the autofluorescence of pollen may be sufficiently selective to be utilized for an automated and real-time monitoring of pollen in ambient air. However, the degree of selectivity autofluorescence can provide is still in question and actively debated.

This study addresses the origin, properties, and selectivity of autofluorescence from native pollen (undamaged and chemically untreated) by providing fluorescence microscopy and spectroscopy measurements along with a systematic synthesis of related literature. We show that dry, native pollen reveals characteristic and reproducible autofluorescence signatures which are shaped by cell wall associated fluorophores, such as phenolic compounds and carotenoid pigments. In addition, fluorescence signals from proteins and chlorophyll *a* were observed occasionally. The abundance and intensity of the individual fluorescence signals show certain taxonomic trends and allow systematic differentiation from bacteria and fungal spores due to the lack of protein fluorescence from the grain surface. Principal component analysis was used to explore the discrimination potential of pollen autofluorescence and revealed a differentiation of pollen on family level. Our results help explore the levels of selectivity that autofluorescence-based techniques can provide to PBAP analysis and will support the development and application of autofluorescence-based detectors for monitoring of allergenic pollen in the atmosphere.

AMTD

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Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



1 Introduction

1.1 Primary biological aerosol particles and atmospheric relevance

Primary biological aerosol particles (PBAP)¹, also called bioaerosols, consist of a complex mixture of small biogenic particles, which are directly released from the biosphere into the atmosphere (Després et al., 2012). The major constituents of PBAP are microorganisms (e.g., bacteria and algae), reproductive units (e.g., pollen, fungal and bacterial spores), as well as fragments and excretions of various organisms (e.g., plant debris and bacterial vesicles) spanning a wide size range from a few nanometers to hundreds of micrometers (e.g., Kuehn and Kesty, 2005; Elbert et al., 2007; Burrows et al., 2009). Bioaerosols are globally ubiquitous and can dominate the coarse aerosol burden in certain ecosystems (e.g., Pöschl et al., 2010). PBAP have received increased attention in atmospheric science due to their impact on atmospheric chemistry and physics (Pöschl, 2005; Möhler et al., 2007; Deguillaume et al., 2008), their important role in biogeochemical (Gorbushina and Broughton, 2009; Mahowald et al., 2011) and hydrological cycling (Morris et al., 2008; Huffman et al., 2013; Prenni et al., 2013; Tobo et al., 2013), as well as their influence on public and agricultural health (D'Amato, 2000; Bernstein et al., 2004).

Pollen is the male gametophyte in the life-cycle of sexually reproducing plants and thus plays a crucial role in plant reproduction and ecology (Nepi and Franchi, 2000; Taiz and Zeiger, 2010). Pollen development in the plant stamen, its maturation and release as well as pollen-stigma recognition and pollen tube growth represent a highly specialized developmental process (e.g., Bedinger et al., 1994; Pacini, 2000; Boavida et al., 2005). Plants rely on either abiotic (i.e., wind-driven, called *anemophilous*) or biotic (i.e., insect mediated, called *entomophilous*) pollination methods (Sofiev et al., 2009). Pollen which are dispersed *anemophilously* are “optimized” for atmospheric transport (i.e., small physical and aerodynamic grain size, low density e.g., due to air bladders,

¹A list of frequently used acronyms can be found in Table A1.

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



lacking sticky coating) and account for $\sim 10\%$ of all pollen species. *Anemophilous* pollen (range 10–100 μm , average 20–30 μm) mark the upper size limit of airborne biological material with typical number concentrations around $\sim 10\text{ m}^{-3}$ in ambient air (Wilson et al., 1973; Sofiev et al., 2006, 2009). Though generally less abundant in number than other classes of atmospheric bioaerosols, such as fungal spores at $\sim 10^3$ – 10^4 m^{-3} (Fröhlich-Nowoisky et al., 2009) or bacteria at $\sim 10^4$ – 10^5 m^{-3} (Burrows et al., 2009), pollen concentrations can increase to 10^3 m^{-3} during strong pollination events (Siljamo et al., 2008). The number concentration of small PBAP could be underestimated in some cases, due to the fact that pollen can swell and burst after taking up water, releasing 10^2 – 10^3 particles (Taylor et al., 2007). Despite their relatively large physical diameter and high sedimentation velocities, intact pollen grains frequently undergo long distance dispersal (up to $\sim 10^3\text{ km}$) (e.g., Kellogg and Griffin, 2006; Sofiev et al., 2006; Kuparinen et al., 2009), and thus may impact the biology of the destination and atmospheric properties *en route*. Thus, potential changes in pollination patterns due to climate change are discussed as a major uncertainty regarding biodiversity and ecosystem stability (Tylianakis et al., 2008; Zhang et al., 2013).

During the last few decades, pollen have received increased attention due to their extreme allergenicity and severe impacts on human health (e.g., Franze et al., 2005; Reid and Gamble, 2009; Sofiev et al., 2009). Between 10 and 25 % of the European population is affected by seasonal allergic rhinitis causing substantial impact to personal life quality and to national economies as a result of lost work time (Traidl-Hoffmann et al., 2003; Scharring et al., 2006; Diethart et al., 2007). Therefore, substantial technical, financial and scientific effort has been invested in developing reliable aeroallergen monitoring and forecasting systems (e.g., Kalman et al., 1997; Ranzato et al., 2007; Scheifinger et al., 2013). However, quantification and identification of pollen is a demanding task due to highly diverse and variable pollen concentrations in the atmosphere, as well as influence of environmental conditions (e.g., relative humidity) and air pollutants (e.g., ozone and nitrogen oxides) (e.g., Franze et al., 2005; Shiraiwa et al., 2012). In particular, the role of small and respirable allergenic entities – so called

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



daughter allergens or *paucimicronic particles* – which are released from the pollen surface and/or from the cytosol upon pollen grain bursting is poorly understood (e.g. D’Amato, 2000; Taylor et al., 2007; Wang et al., 2012). Furthermore, observations suggest that the atmospheric abundances of intact pollen and allergenic submicron particles, after pollen burst, are frequently “decoupled”, thus complicating efforts to develop a coherent allergen monitoring strategy. This suggests that a combination of direct-counting and immunodetection techniques may be necessary to adequately predict airborne allergen levels (Razmovski et al., 2000).

In addition to health related effects, pollen may impact atmospheric cycling and cloud micro-physics (Möhler et al., 2007; Prenni et al., 2009; Hoose and Möhler, 2012). Pope (2010) showed that pollen can act as efficient cloud condensation nuclei (CCN), however, their low atmospheric number concentration prevents them from being important on a global scale. In contrast, many studies have reported the high ice nucleation activity (INA) of various pollen species and highlight their potential importance for mixed-phase clouds in biologically-influenced environments (Dingle, 1966; Diehl et al., 2001, 2002; von Blohn et al., 2005; Pummer et al., 2012). Although pollen are assumed to account only for a small fraction of IN on a global scale, their local and regional impact on cloud micro-physics could be substantial (Hoose et al., 2010), especially when considering the large increase in pollen particle number as a result of grain rupture.

1.2 Autofluorescence in bioaerosol detection

The term *autofluorescence*, or *intrinsic* fluorescence, denotes fluorescent light emission from a material based on the presence of fluorophores, for example cell constituents such as proteins and coenzymes (Pöhlker et al., 2012; Andrade-Eiroa et al., 2013). The term is to be distinguished from *extrinsic* fluorescence achieved through the use of fluorescent stains applied to otherwise non-fluorescent material, such as biological cells allowed to interact with fluorescent dyes (e.g., Hawe et al., 2008). Among a large variety of techniques for the investigation of atmospheric PBAP, autofluorescence-based instruments have received increasing attention in the last few

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



decades (e.g., Ho, 2002; Kaye et al., 2005; Hill et al., 2009; Sivaprakasam et al., 2009; Bundke et al., 2010). Such instruments utilize the emission of laser/light induced fluorescence (LIF) from biological material, providing a quantitative, non-destructive, and *in situ* detection of atmospheric biological particles in real-time. Thus, LIF instruments overcome certain drawbacks in traditional PBAP analysis, such as high labor cost, low time resolution and lack of quantitative information (Burrows et al., 2009).

The application of LIF to bioaerosol detection relies on the basic assumption that the intrinsic fluorescence within the measured spectral range of biological material exceeds that of potentially interfering non-biological matter. This may be a valid assumption in many cases, suggesting that LIF techniques can, to a large degree, identify many types of biological aerosol particles on top of a complex and variable mixture of other atmospheric aerosol types. However, the exact relationship between the fraction of detected fluorescent biological aerosol particles (FBAP) and the fraction of all PBAP remains unclear and is surely dependent on specific instrument parameters and the sampled aerosol types. One reason is, that the fluorescence properties of biological and non-biological materials are not separated by a clear offset, but rather show overlapping properties (Hill et al., 2009; Huffman et al., 2012). Biological particles exhibiting weak fluorescence will not be counted by LIF instrumentation (Huffman et al., 2012; Pöhlker et al., 2012). As a further complication, small particles are prone to escape LIF detection because fluorescence intensity, as a function of fluorophore abundance in the cell, depends strongly on particles size (e.g. Sivaprakasam et al., 2004; Healy et al., 2012b). Thus, it has been suggested that FBAP number is, in many cases, a lower limit of PBAP number (Huffman et al., 2010), but further work is needed to explore and quantify this relationship. It is also expected, for example, that certain non-biological aerosols could show elevated fluorescence (e.g., polycyclic aromatic hydrocarbons, PAH and some secondary organic aerosol, SOA) and would thus represent false-positive counts (Bones et al., 2010). The concentration of PAH is expected to be low at particle sizes > 1 µm and would not contribute significantly to fluorescent particle number. Huffman et al. (2012) also showed that during a measurement campaign in the

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Amazon, that diurnal SOA patterns were clearly distinct from those of FBAP and that influence from SOA to fluorescence was thus likely to be minimal. In contrast, however, Gabey et al. (2013) suggest that for a remote French mountain site that non-biological aerosol, including possible SOA, may explain an important fraction of fluorescence aerosol signal. Certain types of mineral dust and humic-like substances (HULIS) could also be detected as fluorescent, and this will undoubtedly confound FBAP interpretation. Comparing FBAP size distributions from a commercially available bioaerosol LIF instrument with contemporaneous filter samples analyzed via microscopy, Huffman et al. (2012) concluded that the LIF instrument was reliably able to provide lower-limit values of PBAP in a pristine rainforest location, but that more work was necessary to apply the same conclusions more broadly.

In the context of ambient bioaerosol detection, three major fields of LIF application can be distinguished: (i) the detection of biological warfare agents (BWA), (ii) the analysis of PBAP in atmospheric science, and (iii) the selective online monitoring of aeroallergens. (i) The development of LIF instruments for BWA detection has mainly been conducted by military research facilities. Their aim is to develop early warning systems for BWA threats, which requires a quick, reliable recognition of potentially harmful organisms (e.g., Jeys et al., 2007). (ii) Commercialization of LIF instruments has triggered their application in the atmospheric science community for field-based PBAP analysis. Here, LIF is utilized to explore the concentration, composition, temporal and spatial variability as well as characteristic size and emission patterns of PBAP in different environments. A growing number of studies has been published which provide new and important insights into the PBAP cycling in the ambient atmosphere (e.g., Gabey et al., 2010; Huffman et al., 2010, 2012; Pöschl et al., 2010; Gabey, 2011; Toprak and Schnaiter, 2013). Currently, applications in atmospheric science are designed primarily to quantify the total PBAP burden rather than to differentiate individual classes or species. (iii) The development of a reliable monitoring infrastructure for major aeroallergens, such as pollen and molds, is a concern of high medical and societal interest (e.g., Scharring et al., 2006). LIF techniques feature real-time detection capability and

5699

AMTD

certain taxonomical selectivity. Therefore promising efforts have begun to utilize LIF techniques for pollen monitoring (Ronneberger et al., 2002; Mitsumoto et al., 2009, 2010).

The quality of the discrimination ability between biological and non-biological aerosol particles is strongly depended on the spectral design of the LIF bioaerosol detector (i.e., excitation wavelengths and emission detection bands). Thus, the application of LIF for bioaerosol quantification and classification requires a sound knowledge of the fluorescence properties of the target bioaerosol particles. Accordingly, a number of studies have been conducted in the laboratory to characterize the LIF detection process and to understand it on a molecular level. One strategy utilizes well-defined, laboratory-generated standard bioaerosols to analyze the corresponding response of online LIF instruments (Agranovski et al., 2003; Kanaani et al., 2008; Healy et al., 2012a). Such experiments provide important information about the sensitivity and selectivity of instruments and their optical configurations. Another strategy uses offline techniques to measure and characterize the general autofluorescence signature of selected bioaerosol types. In some studies fluorescence microscopy is used to understand general fluorescence patterns and fluorophore locations in bioaerosol proxies (e.g., Roshchina et al., 2004; Herbrich et al., 2012). Other studies have applied fluorescence spectroscopy to understand characteristic emission signatures (e.g., O'Connor et al., 2011). Particularly, excitation-emission-matrices (EEMs) can be a useful tool for a systematic characterization of steady-state autofluorescence signatures (Satterwhite, 1990; Wlodarski et al., 2006; Hill et al., 2009; Mularczyk-Oliwa et al., 2012; Andrade-Eiroa et al., 2013; Saari et al., 2013). They can be used as “roadmaps” to identify spectral regions with high fluorescence levels, good signal-to-noise ratios and high degrees of selectivity (Pan et al., 2007).

1.3 Scope and aim of this study

This paper follows our recent study on bioaerosol autofluorescence and therefore the studies can be regarded as part I (Pöhlker et al., 2012) and part II (this study). Part

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



I provides a detailed introduction into the field of autofluorescence for PBAP detection and analysis. It addresses the question of whether the complex autofluorescence signals from bioaerosols can be traced back to individual biofluorophores on a molecular level. Therefore, part I provides a systematic summary of literature knowledge on (bio)fluorophores and a fluorescence spectroscopic characterization of the most important fluorophores in PBAP and non-biological interferences. Part I operates on the simple level of pure, individual biofluorophores and uses EEMs as an appropriate offline tool. Metrics, such as spectral properties, intensity and fluorophore abundance in PBAP, are utilized to explore bioaerosols autofluorescence on a molecular basis.

This part II study operates with the concepts introduced in part I and is motivated by the same scientific questions. However, here we extend the analytical scope from pure fluorescent molecules to whole biological particles, thus adding a layer of complexity. As outlined in the introduction, atmospheric PBAP is a very diverse mixture of suspended biological material. Ultimately, it is an open question of what type of information autofluorescence techniques may provide in atmospheric PBAP analysis. The present study aims to help to reduce the uncertainty associated with LIF applications in PBAP analysis, by means of a systematic analysis of standard biological particles. Here, we focus on pollen as an adequate bioaerosol type. We suggest that this systematic investigation (i) gives a clear and general picture on the autofluorescence properties of native pollen and (ii) illustrates, by means of *one* standard particle type, how offline fluorescence techniques can support the application of LIF in ambient air.

The use of pollen as a model PBAP type is motivated here by two main reasons. First, from an experimental point of view, we found that pollen represent ideal test particles because of their strong and diverse fluorescence signatures. Moreover, the large grain size supports microscopic analysis and allows the resolution of cellular details and autofluorescent “fine structure”. Therefore, within this first explorative study, pollen is appropriate to illustrate the scope and limits of the techniques. The second reason is that pollen represents atmospheric particles of high relevance, particularly due to its high allergenic potential with severe social and economic impacts.

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Therefore, a reliable, selective, and automated pollen monitoring infrastructure which can be operated in real-time is highly desirable, but technically not yet practicable. Autofluorescence-based techniques have proven to be highly valuable tools for the analysis of atmospheric bioaerosols. Thus, autofluorescence is regarded as a promising candidate for a selective in situ monitoring of allergenic pollen in the air. Here, we aim to contribute to this discussion by systematically exploring the autofluorescence properties of pollen and to assess their applicability for ambient measurements.

2 Materials and methods

2.1 Chemicals and materials

Most pollen samples were purchased from commercial vendors: Allergon AB (Ängelholm, Sweden), Sigma Aldrich (St. Louis, MO, USA), Thermo Scientific (Waltham, MA, USA) and Polyscience (Niles, IL, USA) (see Table 1 for detailed information). On inquiry, the providers assured that their marketed standard pollen samples can be regarded as *native* which means that no chemical (e.g., dewaxing) or comparably harsh treatment was applied after harvest and that the pollen were stored under cool and dry conditions². The purity of the purchased pollen samples was carefully checked by microscopy. In addition to standard pollen from commercial providers, various further samples were collected freshly in a local park in Mainz, Germany, during spring pollination season – three of these samples are included in this study for comparison (see Table 1). In the course of the manuscript, the term *native* pollen is used for both, freshly harvested and commercially obtained samples, which were collected

² The only exception is *A. stolonifera*. In this case, dewaxing with acetone was conducted after harvesting. No substantial differences in morphology and fluorescence to the untreated pollen samples were observed. Thus, *A. stolonifera* is included in the analysis, however, treated carefully.

without any post-processing, except sieving. All other chemicals were purchased from Sigma Aldrich and Merck (Darmstadt, Germany) and used as delivered.

The consistency of the pollen fluorescence properties across different sources was carefully evaluated. Two pollen species (*B. papyrifera* and *A. artemisiifolia*) were each obtained from two different providers, and EEMs were shown to be identical, irrespective of commercial source (Fig. S1 in the Supplement). Moreover, fresh and purchased pollen showed similar appearance in fluorescence microscopy analysis, further confirming their comparability (Fig. 2). In addition, the EEM of freshly collected *S. nigra* pollen (Fig. S2m) resembles the general fluorescence signatures of the commercial pollen samples. Based on these crosschecks we assume in following that the fluorescence properties of commercially obtained pollen are consistent with results from freshly harvested samples. Fresh pollen samples were collected by shaking plant flowers over a clean glass surface.

2.2 Fluorescence microscopy

Fluorescence microscopy images were taken on a BZ-9000 Fluorescence Microscope (Keyence, Inc., Osaka, Japan). The instrument was equipped with a super-high-compression mercury lamp (120 W) and a 2/3 inch, 1.5 mega pixel monochrome CCD. The following fluorescence filters were used to take images in different spectral ranges: OP-66834 DAPI-BP ($\lambda_{\text{ex}} = 360/20\text{ nm}$, $\lambda_{\text{Dichroic}} = 400\text{ nm}$, $\lambda_{\text{Absorp}} = 460/25\text{ nm}$), OP-66836 GFP-BP ($\lambda_{\text{ex}} = 470/20\text{ nm}$, $\lambda_{\text{Dichroic}} = 495\text{ nm}$, $\lambda_{\text{Absorp}} = 535/25\text{ nm}$), OP-66838 TexasRed ($\lambda_{\text{ex}} = 560/20\text{ nm}$, $\lambda_{\text{Dichroic}} = 595\text{ nm}$, $\lambda_{\text{Absorp}} = 630/30\text{ nm}$). Filter specifications are represented as mode wavelength and peak width (λ/FWHM ; FWHM = full width half max). The spectral characteristics of the microscope filters are illustrated in Fig. 1.

For microcopy analysis the pollen samples were placed between a specimen holder and a cover slide and fixed with one of the two following mounting media: (i) glycerol gelatin (Sigma Aldrich), which is an aqueous mounting medium, or (ii) Eukitt[®] (Sigma

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Aldrich), which is a polymethacrylate-based and quickly hardening medium. A small amount of pollen was placed on the specimen holder and one drop of the mounting medium was added. Glycerin gelatin was diluted with $\sim 40\%$ (vol) of water and heated to $\sim 60^\circ\text{C}$ to decrease its viscosity for easy handling. Pollen and mounting medium were carefully homogenized with a spatula and a cover slide was placed on top of the mixture. After ~ 20 min of hardening the samples were used for microscopy analysis. The aqueous medium of the glycerol gelatin allows the investigation of pollen grains in a *moist* state. This is due to the fact that dry pollen mounted in contact with glycerol gelatin quickly take up water and will thus swell within a matter of minutes before analysis (Reitsma, 1969; Praglowski, 1970). In contrast, the Eukitt[®] medium preserves the *dry* state of the pollen grains. Preparation in moist state was applied for most samples, whereas dry state preparation was chosen occasionally as outlined in Sect. 3.1. Glycerol gelatin also introduces weak background fluorescence in all three channels (mostly in blue), whereas no background was observed for Eukitt[®].

The microscopy investigation was initiated immediately (< 30 min) after sample preparation and pollen grains were exposed to the excitation radiation for as little time as possible (\sim seconds) to minimize photo-bleaching effects. The exposure time in all channels was adjusted to a maximum dynamic range by increasing the signal to just below the detector saturation threshold. Raw images were processed with software: BW Analyzer (Keyence, Inc.) and Adobe Photoshop (Adobe Systems Inc., San Jose, CA, USA). Fluorescence overlay images were prepared by merging individual images from three fluorescence channels. Histogram equalization was performed for all channels by manually adjusting the dynamic range between the pixel of maximum brightness and the background which was set to black zero level. This procedure corrects for photo-bleaching-related intensity decrease. For low background fluorescence levels, this does not change the image's color balance.

Pollen grain sizes and axis aspect ratios in Table 1 were obtained as follows: under the bright-field microscope, a certain number of dry, separated pollen grains (50–150) was imaged and sizing was performed with the software BW Analyzer. The given

diameters are the arithmetic mean values for all imaged grains, averaged over grain dimensions in x and y direction. Aspect ratios correspond to the measured major and minor axes of the pollen. Note that the orientation of individual grains on the specimen slide is not uniform and that the given aspect ratios are therefore approximate.

2.3 Fluorescence spectroscopy

Fluorescence spectra were recorded on a LS 45 Luminescence Spectrometer (Perkin Elmer, Inc., Waltham, MA, USA) and a detailed instrument description is given in our part I paper (Pöhlker et al., 2012). EEMs are measured in a spectral area of 220–650/270–700 nm ($\Delta\lambda_{\text{ex}}/\Delta\lambda_{\text{em}}$) which covers most biofluorophores relevant to atmospheric PBAP and the detection ranges of most LIF bioaerosols detectors (Pöhlker et al., 2012). Figure 1 displays a conceptual overview-EEM illustrating the spectral zones of interest, elastic scattering interferences, and instrumental parameters. The dry pollen samples were analyzed with a Front Surface Accessory (Perkin Elmer, Inc.). Several milligrams of powder were placed onto the sample holder each in a thin, homogeneous layer. The resulting EEMs were processed and normalized as described in part I.

2.4 Principal component analysis

Principal component analysis (PCA) was used as a statistical tool to visualize taxonomic trends in pollen autofluorescence. PCA was performed using Origin 8.6 (Origin-Lab Corp., Northampton, Ma, USA) based on fluorescence spectroscopy data from 25 pollen species (Table 1). The following pollen features were used as PCA input data: (i) intensities of the main fluorescence modes A ($\lambda_{\text{ex}} = 280/\Delta\lambda_{\text{em}} = 440\text{--}460$), B (355/440–460), and C (460/510–530). Mode intensities are normalized to total fluorescence intensity. (ii) Total fluorescence intensity, as average of fluorescence from modes A–C. (iii) Pollen grains size as given in Table 1.

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



3 Results and discussion

Pollen grains exhibit strong emission of autofluorescent light originating from both, their cytosol (intra-cell components) and their complex, multi-layered cell wall (e.g., Asbeck, 1955; Driessen et al., 1989; Castro et al., 2010). The natural fluorescence of pollen has been used as a valuable tool for quick and non-invasive in situ analyses of fresh and fossil pollen in diverse scientific fields, such as atmospheric science (e.g., Ronneberger et al., 2002; Mitsumoto et al., 2010; Pan et al., 2011), geology and palynology (e.g., Phillips, 1972; Yeloff and Hunt, 2005), as well as plant physiology and botany (e.g., Roshchina, 2003, 2008; Grienberger et al., 2009; Roshchina, 2012). The following sections characterize the autofluorescence of native pollen using fluorescence microscopy and spectroscopy.

3.1 Fluorescence microscopy

Common white light (or bright field) microscopy is an important and wide-spread technique for pollen characterization and counting (i.e., for routine pollen monitoring), and therefore a large body of pollen-related microscopy data³ is available. Fluorescence microscopy, in contrast, has been applied only occasionally for the characterization of pollen. In addition to size and shape, it provides information about surface texture and internal structures as well as spectral properties (e.g., Asbeck, 1955; Driessen et al., 1989; Ronneberger et al., 2002; Roshchina et al., 2004; Scharring et al., 2006; Mitsumoto et al., 2009; Castro et al., 2010). Morphologically, it allows to localize the cellular origin and to estimate the relative contributions of fluorescence emission from different cellular regions (i.e., cell wall, organelles, cytosol). It also provides spectroscopic information about the predominant excitation and emission ranges and allows a pollen classification based on specific emission intensity ratios (Mitsumoto et al., 2009; Castro

³<http://www.polleninfo.org;> [http://pollen.usda.gov/Light_Micrographs/LMicro.htm;](http://pollen.usda.gov/Light_Micrographs/LMicro.htm)
[http://oldweb.geog.berkeley.edu/ProjectsResources/PollenKey/pollen.html;](http://oldweb.geog.berkeley.edu/ProjectsResources/PollenKey/pollen.html) [http://www.geo.arizona.edu/palynology/nsw/index.html.](http://www.geo.arizona.edu/palynology/nsw/index.html)

Title Page

AbstractIntroduction

ConclusionsReferences

TablesFigures

◀▶

◀▶

BackClose

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



et al., 2010; Mitsumoto et al., 2010). Analyses utilizing micro-spectroscopic approaches even allow the analysis of fluorescence spectra for single pollen grains (e.g., Roshchina et al., 2004).

5 Previous studies have reported that the complex and multilayered pollen cell wall (sporoderm) is the main origin of pollen autofluorescence (e.g., Driessen et al., 1989; Roshchina et al., 1997; Grienberger et al., 2009; Castro et al., 2010). The sporoderm is a unique feature of pollen and generally consists of two components: exine and intine. The intine forms the internal part of the sporoderm; it comprises cellulose and related compounds and it is chemically similar to the primary cell wall of plants
10 (Bedinger et al., 1994). The exine is a chemically and morphologically unique biopolymer and comprises the outermost layer of the sporoderm showing a species-specific sculptured morphology (Brooks and Shaw, 1978; Scott, 1994). It consists of the exceptionally resistant biopolymer sporopollenin whose complex chemical composition is not fully characterized. Its biosynthesis is assumed to be based on a mixture of phenolic,
15 fatty acid and probably carotenoid precursors (Bedinger et al., 1994). Moreover, the exine is usually coated with an oily substance called pollenkit (up to 10–15 % of total pollen mass), containing a mixture of lipids as well as carotenoid, flavonoid, and phenolic pigments (Wiermann and Vieth, 1983; Pacini and Hesse, 2005). The complexity of the pollen's sporoderm reflects the plurality of its functions, such as protection against
20 harsh environmental conditions (Boavida et al., 2005), pollen-stigma interaction and recognition (Piffanelli et al., 1998), and regulation of the pollen's hydration state (Dickinson, 1995). One major function is UV-light shielding to avoid radiative damage to the DNA and physiological processes in the cell (Rozema et al., 2001; Jacobs et al., 2007). The UV-light reduction occurs via light reflection, absorption as well as fluorescence light conversion from UV to the visible spectral range and is mainly based on
25 sporoderm pigments (Hoque and Remus, 1999).

Figures 2 and 3 show selected fluorescence microscopy images of pollen grains from different species. Figure 2 exhibits overview images of 12 selected pollen samples, providing a visual impression of their diverse autofluorescence appearance. Figure 3

**Autofluorescence of
atmospheric
bioaerosols**

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



focusses on individual grains from 6 species and shows cytological details with the highest resolution accessible for full-field light microscopy. Our aim in this fluorescence microscopy section is to highlight intra-cellular autofluorescent structures. We found that pollen in *moist* state are most appropriate for microscopy analysis, because cellular components are thus most visible, and the majority of pollen samples was prepared, accordingly (see Sect. 2.2). In few cases, *dry* sample preparation was preferred to highlight specific morphological aspects. We are aware the water uptake changes the pollen's morphology due to grain swelling (Diehl et al., 2001; Castro et al., 2010; Griffiths et al., 2012). This water effect has important atmospheric implications, but is beyond the scope of this study.

In the course of our microscopy analysis, we made the following general observations: (i) grains from all pollen species show fluorescent emission with cell wall *and* cytosolic contributions (e.g., Fig. 2g, h). (ii) The relative emission intensities of individual pollen grains of the same species can vary significantly, with fluorescence from few individual grains being much higher than of the majority (e.g., Fig. 2c, l; also Fig. 3e). (iii) Differences in emission wavelengths among pollen grains of the same species are common and qualitatively observable as different colors in the fluorescence overlay images (e.g., Fig. 2e, g; also Fig. 3e). (iv) In several cases, the fluorescence overlay images provide better contrast and “perceptibility” of the pollen microarchitecture (i.e., patterns in the cell wall and internal cytosolic structures) than the corresponding brightfield images (e.g., Figs. 2i and 3a, c).

(i) Our results confirm that the pollen sporoderm contributes substantially to the overall fluorescence emission. As typical examples, the high-resolution images of *P. sylvestris*, *B. fontinalis* and *A. artemisiifolia* in Fig. 3a, c, e exhibit a thick exine ($\sim 1\text{--}2\text{ }\mu\text{m}$) which shows pronounced fluorescence. In these cases, the cell wall fluorescence occurred in the green-to-red range of the visible spectrum, however, the diversity of the cell wall appearance is high. In addition, many species show fluorescence contributions from other cell parts, such as the cytosol (e.g., Fig. 2g, j; also Fig. 3c, d), specific organelles (e.g., Fig. 3a, e), and the air bladders in *P. sylvestris* (Fig. 3a, b). The blue,

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



sometimes red tinted, cytosolic fluorescence is observed for many pollen species. In many cases the cytosol emission appears homogeneously distributed (Fig. 2h, k) and for other samples contrasts with the embedded non-fluorescent vesicular bodies (e.g., Fig. 3c) which are usually filled with oils (mostly in *entomophilous* pollen) or starch (mostly in *anemophilous* pollen) and serve as energy reserve for germination (Piffanelli et al., 1998).

(ii) Previously, Castro et al. (2010) reported heterogeneous fluorescence intensity of individual pollen grains and stated that this variability is caused by different hydration states. In our study a heterogeneous intensity has been found for several species, in which some pollen grains show strongly increased cytosolic fluorescence compared with the majority of grains (i.e., Figs. 2j, l and 3e). Alternatively, differences in metabolic state can also explain this observation. Roshchina et al. (1997, 2003) reported a three-fold increased intensity for pollen which have lost their viability ($\lambda_{\text{ex}} = 360\text{--}380\text{ nm}$). They further suggested utilizing this relationship as a quick and non-invasive in vivo diagnosis of the pollen cell state. Interestingly, a very similar effect has been observed for the fluorescence properties of fungal spores, which also show strongly increased emission intensities for non-viable compared to viable cells ($\lambda_{\text{ex}} = \text{ultraviolet}$) (Wu and Warren, 1984b,a).

(iii) In addition, the remarkable differences in emission wavelengths (visible as color differences) among grains of the same species may also be associated with differences in metabolic and maturation state, as reported by Roshchina et al. (2003). Some species show grains with very heterogeneous appearance (e.g., Fig. 2e, g), whereas others reveal more uniform properties (e.g., Fig. 2k). *Phleum pratense* in Fig. 3e represents a characteristic example with highly diverse fluorescence properties among grains which cannot be distinguished in the brightfield image. It has been shown that during their development and maturation the fluorescence properties of pollen undergo changes due to chemical and physiological transformations of the cell (Roshchina et al., 1997). Accordingly, the heterogeneity of pollen grains under the fluorescence microscope can be regarded as a visible reflection of such metabolic and maturational

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



differences. This aspect is important for ambient PBAP detection as highlighted by Pinnick et al. (2013) because single particle fluorescence may substantially differ from bulk fluorescence of the same material. Accordingly, bulk fluorescence spectra, such as the EEMs presented in this study, provide an average characterization of fluorescent materials, however, differences on the level of individual cells (e.g., metabolic differences) are smeared.

(iv) The images in Fig. 3 are recorded with the maximum resolution of a full-field fluorescence microscope. They show individual pollen grains in great detail and allow cytological and histological insights. The high contrast of the overlay fluorescence images reveals much more fine features of the pollen micro-architecture than the corresponding bright field images. In particular, the pollen's cytosol reveals a complex internal structure with membranes, vacuoles, organelles and non-fluorescent vesicular bodies. For example, the central spot of bluish-white fluorescence in the cytosol of *P. sylvestris* probably reveals the location of the (vegetative) nucleus (Fig. 3a). Moreover, in Fig. 3b blue fluorescence shows a “foam-like” skeleton inside the air bladders of *P. sylvestris* and a thin red fluorescing membrane around them. In addition, details of the sporoderm are also resolved, such as an increased red fluorescence at the aperture in *P. sylvestris* (Fig. 3a) and a thickened cell wall at the apertures of *B. fontinalis* (Fig. 3c) with strong green fluorescence. *Ambrosia artemisiifolia* in Fig. 3f represents an interesting example of sporoderm fluorescence with a thick red tinted outer layer and a thin internal layer emitting bluish fluorescent light.

3.2 Fluorescence spectroscopy

The previous section discussed that fluorescence microscopy is a valuable technique to explore the morphological autofluorescence properties of individual pollen grains. The following section provides a spectral characterization of the steady-state autofluorescence properties of pollen and explains observed spectral signatures by assignment of individual fluorophores. EEMs were recorded for 25 different pollen species and Fig. 4 exhibits selected examples (for further EEMs see Fig. S2 in the Supplement). Pollen

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



show pronounced fluorescence within a wide spectral range with strongest excitation at $\lambda_{\text{ex}} = 220\text{--}550\text{ nm}$ and with corresponding emission at $\lambda_{\text{em}} = 380\text{--}600\text{ nm}$ (Fig. 4). The presence of multiple distinguishable, but overlapping, modes indicates fluorescent emission mixed from different fluorophores. The general fluorescence mode *signature* in the EEMs appears to be reproducible and characteristic across all analyzed pollen samples, as outlined below, suggesting a relatively few, but dominant, fluorophores common across most pollen species.

Among all dry pollen samples studied, three fluorescence modes appear most prominent: (A) a mode at $\sim 280/450\text{ nm}$ ($\lambda_{\text{ex}}/\lambda_{\text{em}}$), (B) a mode at $\sim 355/450\text{ nm}$, and (C) a mode at $\sim 460/520\text{ nm}$. In addition to the modes A–C, two further signals at $\sim 280/340\text{ nm}$ (D) and at $\sim 350\text{--}650/675\text{ nm}$ (E) were observed for a smaller number of samples. Table 2 provides a summary, including fluorophore assignment, which is discussed in detail in the following paragraphs. As a first, coarse classification, the 25 pollen samples can be subdivided into two groups: a first group with 10 species, each showing a strong mode C with rather weak or even non-detectable modes A and B (e.g., *B. fontinalis*, Fig. 4d; *J. nigra*, Fig. 4g) and a second group with 15 species, each exhibiting clear, strong modes A, B, and C (e.g., *A. vulgaris*, Fig. 4c; *L. perenne*, Fig. 4h). In addition to the major signals, five samples show also mode D which appears for *C. betulus* (Fig. 4f) as a pronounced peak and for other species as a weak shoulder (e.g. *P. pratense*, Fig. 4i). Six samples show the very weak and multimodal signal E (e.g., *A. vulgaris*; Fig. 4c).

Based on all EEMs in Fig. 4 and Fig. S2 (Supplement), a general autofluorescence signature for native dry pollen was extracted and is shown in Fig. 5a. Here, the red markers represent the maxima of all clearly resolved modes in the individual pollen EEMs. The close clustering of the markers in the previously defined areas A–E indicates that the measured fluorescence is caused by a similar set of fluorescent compounds across the analyzed species. Moreover, a direct comparison with results from previous studies (Satterwhite, 1990; Wlodarski et al., 2006; Hill et al., 2009) underlines the characteristic clustering, particularly in the areas A–D (Fig. 5a). These

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



results support the idea that native dry pollen show consistent, *fingerprint-like* fluorescence signatures which can be used for fluorophore assignment (Pöhlker et al., 2012). Roshchina et al. (e.g., 1997, 2003, 2004) conducted a number of studies to analyze the autofluorescence of pollen and other secretory plant cells. Their experiments indicated that pollen fluorescence is dominated by sporoderm fluorophores and that phenolics ($\lambda_{\text{em}} = 440\text{--}490\text{ nm}$), azulenes ($\lambda_{\text{em}} = 440\text{--}460\text{ nm}$) and carotenoids ($\lambda_{\text{em}} = 500\text{--}560\text{ nm}$) constitute the main classes.

In our experiments, emission at $\sim 450\text{ nm}$ (modes A and B; Fig. 5c, d) and $\sim 520\text{ nm}$ (mode C; Fig. 5b, c) represent the most obvious and reproducible fluorescence features. Fluorophores usually show rather sharp and characteristic emission wavelengths, whereas excitation can occur over a comparably wide spectral range (Pöhlker et al., 2012). Thus, we assume that the modes A and B are caused by the same fluorophore type which is excited over a wide range, but most efficiently at 280 and 350 nm (Fig. 5f). The emission at 450 nm is consistent with phenolic fluorescence which is observed in most plant cell walls (e.g., Harris and Hartley, 1980; Lang et al., 1991; Hutzler et al., 1998). In addition, various studies, including real-time LIF ambient measurements, reported a similar and characteristic signal at $\sim 450\text{ nm}$ for pollen, confirming its ubiquitous role (Hill et al., 1999; Roshchina et al., 2004; Kiselev et al., 2011; O'Connor et al., 2011; Pan et al., 2011). Phenolic compounds represent the most abundant class of secondary plant metabolites in nature and typical subclasses in plant tissue and pollen are: (i) hydroxylated cinnamic acid derivatives (e.g., ferulic, caffeic, *p* coumaric and chlorogenic acid), (ii) flavonoid compounds (e.g., kaempferol and quercetin), and (iii) anthocyanins (e.g., cyanidin, malvidin) (Li et al., 2010; Taiz and Zeiger, 2010). The molecular skeleton of all these compounds comprises closely related conjugated structures of strongly oxygen-functionalized phenolic moieties. The structural and electronic similarity across these phenolic subclasses explains similar excitation (i.e., UV-range) and emission (i.e., blue spectral range) properties. Many cinnamic acids (i.e., ferulic and caffeic acid) are covalently bound to the cell walls (Lichtenthaler and Schweiger, 1998), whereas other phenolic fluorophores (e.g., trihydroxyferuloyl spermidine and

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



many flavonoids in the pollen coat) are easily removed by washing (Wiermann and Vieth, 1983; Grienenberger et al., 2009). The large diversity of phenolic products in plants suggests that the pollen modes A and B are probably based on a mixture of fluorescent phenolic derivatives which exhibit similar emission at 450 nm and are “distributed” over a wide excitation range. However, Lichtenthaler and Schweiger (1998) suggest that among all fluorescent phenolics, ferulic acid plays a key role.

Mode C shows strong emission at ~ 520 nm which is consistent with carotenoid fluorescence (Roshchina, 2003). Carotenoid pigments are widespread in nature, such as in plant photosynthesis, where they act as light-harvesting pigments (Taiz and Zeiger, 2010). In cell walls (e.g., in pollen) they are part of the “natural sunscreen” providing UV-radiation protection and they also act as reactive oxygen species scavengers (Barrell et al., 2010). The pollen sporoderm is known as an accumulation site for carotenoid pigments, such as α - and β -carotene and lutein (e.g., Prah et al., 1985; Kano and Hamaguchi, 2006). Together with flavonoids they cause the typical yellow to orange color and their abundance in the pollen coat shows taxonomic specificity (Schulte et al., 2009). The reported absorption maximum of carotenoids is located at ~ 480 nm (here $\lambda_{\text{ex, max}} = \sim 460$ nm) and therefore mode C is inefficiently excited by UV-light (Sufra et al., 1977). Accordingly, dual- or multi-wavelength excitation is most appropriate to address the carotenoid *and* phenolic features of pollen fluorescence. In contrast, single-wavelength excitation, usually in the UV-range, misses the main peak of the pollen carotenoid emission (compare Fig. 5e).

In addition to the strong and abundant signals A–C, the modes D (280/340) and E (350–650/675) occur in some pollen species. The weak mode E is attributed to chlorophyll *a* (chl *a*) fluorescence which has been found in pollen previously (e.g., O’Connor et al., 2011). The individual chlorophyll pigment types (i.e., chl *a*, chl *b*, chl *c*, and chl *d*) show different fluorescence properties (Welschmeyer, 1994; Moberg et al., 2001). For instance, chl *a* reveals its main emission at ~ 670 nm whereas chl *b* emits at ~ 650 nm (French et al., 1956). All of them reveal comparably wide excitation ranges (Pöhlker et al., 2012). We found chl *a* fluorescence in grass and weed pollen species (e.g.,

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



extent the imparted energy is reemitted as radiative versus thermal energy (Lakowicz, 1999). Thus, in pollen grains with highly absorbing sporoderms, proteins and coenzymes which are located in the cytoplasm are not accessible to UV-excitation light. So, when measuring bulk properties of dry pollen by fluorescence spectroscopy, fluorescence from sporoderm pigments dominates EEM features, swamping any contribution from cytosolic fluorophores. In contrast, fluorescence microscopy of single pollen grains has the benefit of spatially resolving emission, thus showing the strong fluorescence from cell wall components, while also showing fluorescence from the cytosol (Sect. 3.1).

Carpinus betulus is the only exception we observed to this trend, because it shows a clear protein signal in its EEM (see Fig. 4f). The fluorescence microscopy image of *C. betulus* pollen in Fig. 6 provides a potential explanation for this “anomaly”. It can be seen that the larger pollen grains ($\sim 35\ \mu\text{m}$) which show a yellowish fluorescence occur as agglomerates with many smaller particles ($\sim 4\ \mu\text{m}$) showing strong bluish fluorescence. The fluorescence microscopic appearance of the larger *C. betulus* grains resembles the micrographs of other species in Fig. 2. Therefore, we assume that the “contaminating” small particles cause the unusual protein signals on top of the pollen-related modes in the EEM. The origin and identity of the small adhered particles is unclear. They exhibit a biological morphology with a cell wall-like structure which is the main origin of the blue emission. A contamination with microorganisms (i.e., bacteria) appears to be unlikely because of the comparably large particle size. One explanation would be the presence of many small *immature* pollen grains, associated with the larger and mature ones. It is known that pollen fluorescence properties change during grain maturation and, particularly, with increasing contents of carotenoids in the sporoderm (causing green-yellow fluorescence) which is consistent Fig. 6 (Roshchina, 2003). However, a detailed investigation of the identity of the adhered particles is beyond the scope of this study. It can be concluded that fluorescence from all analyzed pollen species lacks clear cytosol contributions (i.e., from proteins) and that the only exception to this general trend exhibits an obvious, and probably causative, morphological

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



anomaly. This strengthens our hypothesis that the fluorescence signature of pollen is exclusively shaped by a set of light-accessible fluorophores in the pollen sporoderm.

3.3 Taxonomic trends in the autofluorescence signature

The mixtures of secondary plant metabolites in the pollen sporoderm, such as phenolics and flavonoids (Bate-Smith, 1962; Molgaard and Ravn, 1988) as well as carotenoids (Schulte et al., 2009) show taxonomic specificity. Thus, it is not surprising that the sporoderm-based fluorescence also exhibits certain taxonomic trends, as observed in our experiments. The Fig. 5b–f illustrates differences in fluorescence mode abundance and intensity across pollen species for selected excitation ($\lambda_{\text{ex}} = 280, 355, 460 \text{ nm}$; Fig. 5b–d) and emission wavelengths ($\lambda_{\text{em}} = 450, \text{ and } 520 \text{ nm}$ Fig. 5f, e). The following general observations were made: (i) grass pollen belonging to the family *Poaceae* (blue) clearly show the highest intensities for both the phenolic and carotenoid fluorescence modes A–C. This is consistent with studies showing that plant tissue of *Poaceae* shows particularly high contents of phenolic compounds and elevated blue-green fluorescence, compared to other families (Lichtenthaler and Schweiger, 1998). (ii) The shrub pollen belonging to the family *Asteraceae* (red) also show comparably high intensities for all modes. (iii) Medium intensities were found for tree pollen of *Salicaceae* (yellow) and shrub pollen of *Polygonaceae* (cyan). (iv) There is a larger number of (mostly tree) pollen families (e.g. *Betulaceae* in green and *Oleaceae* in violet) which reveal low fluorescence intensities in the entire EEM range. In general, the overall intensity level (averaged intensities of modes A–C) is a distinctive feature across pollen families, with grass pollen (i.e. *Poaceae*) fluorescence being highest and tree pollen (e.g. *Betulaceae*) fluorescence being lowest. Thus, the intensities of the modes A, B, and C are linked and show a clear positive correlation ($R = 0.74\text{--}0.87$) (see Fig. S3 in the Supplement). However, in addition to this general intensity trend, the relative contributions of the individual modes A–C are variable across pollen families, as outlined in the following paragraph.

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



We utilized principal component analysis (PCA) to visualize general taxonomic trends in pollen fluorescence properties, as observed in Fig. 5. PCA reduces complex datasets to fewer dimensions and preserves most of the variability. It often provides insights into general underlying structures of the dataset in question. Figure 7 displays two PCA bi-plots which illustrate *taxonomic trends* based on fluorescence data only (Fig. 7a) and based on fluorescence data in combination with pollen grain size (Fig. 7b) (Sect. 2.4). In Fig. 7a two principal components (PC) span the fluorescence variability of all analyzed pollen species and the three eigenvectors shown (total intensity, relative intensity of mode A, and relative intensity of mode C) represent the main distinctive features. It can be seen that: (i) the intensity eigenvector spreads out the pollen species according to their overall fluorescence intensity, with *Poaceae* being highest, followed by *Asteraceae* as well as *Salicaceae*, and *Betulaceae* being lowest. (ii) The diametric eigenvectors for mode A and mode C spread the pollen according to their fluorescence mode patterns. For example, the species *J. nigra* and *B. fontinalis* are characterized by a strong fluorescence mode C and the absence of mode A (see Fig. 4d, g). In contrast, *B. papyrifera* exhibits a dominant mode A and a rather weak intensity for mode C (see Fig. 4e). (iii) In addition, a certain clustering of species, which belong to the same family, is observed in the bi-plot, however pollen families are not clearly separated. For comparison, we performed an additional PCA accounting for pollen fluorescence and grains size (Fig. 7b). The eigenvectors for total intensity, intensity of mode A, and average pollen grains size represent the distinctive features. In this PCA bi-plot, the previously observed trends are preserved, however, the clustering becomes clearer and a better separation of pollen families is obtained.

3.4 Relevance for ambient pollen measurements

Currently, pollen monitoring and forecasting is still a manual and labor-intensive business based on pollen sampling and microscopy analysis. There is common interest in improving the pollen monitoring strategy in the direction of automated real-time techniques, which can provide statistically reliable and specific pollen measurements.

Various approaches from different scientific directions are addressing this aspect (e.g., Ronneberger et al., 2002; Scharring et al., 2006; Ranzato et al., 2007; Skjoth et al., 2013). In the following section, we briefly review and discuss the applicability and limitations of autofluorescence-based techniques to real-time pollen analysis, based on the results of the present and previous studies.

The extent of selectivity that autofluorescence can provide in PBAP detection is still an open question and discussed on three selectivity levels: (i) discrimination between biological and non-biological particles (e.g., PBAP versus mineral dust), (ii) discrimination *among* bioaerosol particles and their *classification* into a certain number of meta-classes (e.g., bacteria, fungal spores, algae, and pollen), and (iii) *identification* of specific organisms on family, genus or even species level (Hill et al., 1999). It is commonly accepted that autofluorescence can reliably distinguish between biological and non-biological particles in scenarios with limited influence by anthropogenic aerosol (Pan et al., 2007). On this first level of selectivity, quantification of FBAP and monitoring of its variability in ambient air is feasible, however, mostly without information about FBAP identity (e.g., Huffman et al., 2012; Toprak and Schnaiter, 2013). In this context, single-particle LIF instruments usually rely on the detection of total (spectrally undispersed) fluorescence in comparably broad emission bands from one or two excitation wavelengths (e.g., Hairston et al., 1997; Foot et al., 2008). On the second level of selectivity, classification of bioaerosols is desired and has been addressed in many studies (e.g., Hill et al., 1999; Pinnick et al., 2004; Pan et al., 2009, 2010; Sivaprakasam et al., 2009). An increase of “resolving power” requires recording of a larger array of fluorescence information from single particles. This can be realized either on the excitation (i.e., multi-wavelength excitation) or on the emission axis (i.e., spectral dispersion). Instruments relying on spectrally dispersed fluorescence have proven to provide a stable classification of ambient FBAP with distinct spectral signatures (e.g., Pan et al., 2009, 2010), but are not commercially available and generally extremely expensive. On the third level of selectivity, bioaerosol *identification* is desired, however, several reports argue that this is beyond the scope of LIF instruments, with few exceptional cases

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

(Sivaprakasam et al., 2004; Pan et al., 2007). This can be explained by the fact that relatively few fluorophores (i.e., amino acids and coenzymes) determine the shape of the fluorescence signal of many organisms. For instance, a large number of bacterial species exhibits similar and comparably featureless fluorescence spectra and therefore cannot be discriminated (Hill et al., 1999, 2009). Thus, the abundance, diversity and light accessibility of the underlying fluorophores is a crucial and limiting factor for the selectivity of LIF-based techniques.

Autofluorescence based, real-time monitoring of pollen clearly requires taxonomic selectivity for the detection of a minority population of few allergenic pollen species (approx. 10–20) on top of a complex and highly variable aerosol background. Several studies have indicated that the pollen fluorescence signal may be sufficiently specific for pollen differentiation (e.g., Willemse, 1972; Driessen et al., 1989; Satterwhite, 1997; Butkhuzi et al., 2002; O'Connor et al., 2011). Ronneberger et al. (2002) confirmed that *offline* techniques, such as high-resolution fluorescence microscopy, can provide a high pollen recognition rate (> 90 %) based on the 3D fluorescence distribution inside the pollen grains. Mitsumoto et al. (2009, 2010) were the first to confirm the *online* practicability of fluorescence-based pollen recognition for a set of five species. Their experiments are based on pollen sizing (via elastic light-scattering) and determining of the ratio of blue ($\Delta\lambda_{\text{em, blue}} = 400\text{--}550\text{ nm}$) to red ($\Delta\lambda_{\text{em, red}} = 560\text{--}700\text{ nm}$) fluorescence when excited with UV-light ($\lambda_{\text{ex}} = 350\text{--}380\text{ nm}$). Their successful pollen discrimination is consistent with Fig. 7, showing a taxonomic clustering based on grain size and the ratio of fluorescence modes. Pan et al. (2011) reported the *online* detection of emission wavelength dispersed fluorescence spectra from two excitation wavelengths ($\lambda_{\text{ex},1} = 263\text{ nm}$, $\lambda_{\text{ex},2} = 351\text{ nm}$) and showed spectral differences across twelve pollen species. Their results are in good agreement with the autofluorescence signature reported here. For instance, a dominant peak at $\sim 450\text{ nm}$ (phenolics) occurred for the majority of species and additional signals at $\sim 340\text{ nm}$ (protein) and at $\sim 520\text{ nm}$ (carotenoid) are observed occasionally. Figure 5c, d confirms that, for UV-excitation, phenolic fluorescence is predominant. Moreover, the intensities of the online spectra

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



reflect the taxonomic trends reported in Fig. 7: species of the families *Poaceae* (i.e. corn, meadow oat) and *Asteraceae* (e.g. Ragweed) were found to exhibit the highest intensities, whereas *Betulaceae* species (i.e., birch) shows substantially weaker fluorescence. Consequently, the results of these initial online LIF measurements and the offline results reported in this study are in good agreement. This underlines that offline and online instrumentation is measuring the same pollen-specific autofluorescence signature.

We suggest that our study can support the development and operation of LIF instruments for specific pollen monitoring in ambient air. Particularly, Fig. 5 can serve as a roadmap to select the most appropriate excitation wavelengths and emission bands, which provide good signal-to-noise ratios and the highest level of selectivity. In terms of ambient applicability the following aspects can be concluded: (i) pollen exhibit an autofluorescence fingerprint which is intrinsically different from bacteria and fungal spores (Hill et al., 2009), based on the fact that it does not originate from proteins and other cytosolic compounds, but rather from cell wall associated fluorophores. This enables an autofluorescence-based differentiation of pollen from other PBAP types in the atmosphere, particularly when combined with particle sizing. (ii) Moreover, a classification of different pollen species is, in principle, practicable as visualized in Fig. 7. The most distinctive features are the overall fluorescence intensity as well as the occurrence and strength of the phenolic mode A and the carotenoid mode C. In addition, differences in pollen grain size can support a taxonomic discrimination. One remarkable example is the clear separation of grass (*Poaceae*) and tree pollen species (e.g. *Betulaceae*) in Fig. 7, which both represent important aeroallergens. The separation of other pollen families is less clear and the extent of clustering versus overlap has to be addressed in further studies. (iii) It should be kept in mind that the reported EEMs represent bulk spectra, which average the specific fluorescence properties of individual pollen grains. The fluorescence microscopy analysis in this study has shown a substantial diversity on pollen grain level in terms of fluorescence intensity, intra-cellular fluorophore distribution, and emission wavelength. Therefore, ambient applications, which mostly are

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



operated as single particle detectors, must take this heterogeneity into account. Another layer of complexity involves the influence of environmental factors (e.g., relative humidity or chemical and physical aging) on pollen autofluorescence and morphology. Such phenomena are beyond the scope of the present study, however.

4 Conclusions

This study provides a characterization of the origin, properties, and selectivity of the autofluorescence from native pollen. It utilizes fluorescence microscopy and spectroscopy for the analysis of 27 pollen species. The experimental results are complemented with a synthesis of related literature knowledge. We show that full-field fluorescence microscopy is a simple and valuable tool for histological studies on single pollen grains. This allows the exploration of the intra-cellular distribution of intrinsic fluorophores in pollen. We found diverse morphological fluorescence properties across pollen species with fluorescent emission from the cell wall, the cytosol, and certain organelles. In addition, a remarkable heterogeneity of fluorescence intensity and emission wavelength across grains of the same species was observed. It can be concluded that the fluorescence micro-architecture of pollen grains is very complex and likely influenced strongly by maturation and metabolic state.

Fluorescence spectroscopy was utilized to record EEMs which exhibit a steady-state autofluorescence fingerprint of individual pollen species. The EEMs revealed a characteristic, reproducible signature of five fluorescence modes across all pollen samples which could be attributed to four different fluorophore classes, namely phenolic compounds, carotenoid pigments, proteins and chlorophyll *a*. The most characteristic fluorescence originates from cell wall associated phenolics ($\lambda_{em} = \sim 280$ and $355\text{ nm}/\lambda_{em} = \sim 450\text{ nm}$) and carotenoids ($\sim 460/520$). Weak chlorophyll *a* ($\sim 350\text{--}650/675$) and protein ($\sim 280/340$) fluorescence was observed occasionally for certain species. We found that the cell wall associated fluorophores dominate the fluorescence signatures of dry, native pollen. The abundance of fluorophores in the pollen sporoderm

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



is species-specific, and therefore, sporoderm-related fluorescence signals show certain taxonomic trends. Principal component analysis was used to explore the discrimination potential of pollen autofluorescence and revealed a differentiation of pollen on family level.

We show that the autofluorescence of pollen is intrinsically different from bacteria and fungal spores, based on the fact that it does not originate from proteins and other cytosolic compounds, but rather from cell wall associated fluorophores. Moreover, a classification of pollen species based on their EEMs is, in principle, practicable. The most distinctive spectral features are the overall fluorescence intensity as well as the occurrence and strength of the phenolic and carotenoid modes. However, fluorescence microscopy reveals that pollen can show widely variable fluorescence intensity, intracellular fluorophore distribution, and emission wavelength between individual grains. This highlights that EEM spectra are averages of bulk pollen and not necessarily representative of individual particles. Accordingly, it is important to understand and apply this heterogeneity to the analysis of techniques that utilize autofluorescence from single particles. Ultimately, we suggest that the results reported here can support the development and operation of LIF instruments for specific pollen monitoring in ambient air and further help to explore the levels of selectivity that autofluorescence-based techniques can provide in PBAP analysis.

Supplementary material related to this article is available online at:
<http://www.atmos-meas-tech-discuss.net/6/5693/2013/amtd-6-5693-2013-supplement.pdf>.

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Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



J. Fröhlich-Nowoisky and I. Müller-Germann and helpful conversation with D. R. Huffman, B. Weber, and W. Elbert.

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Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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- 30

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

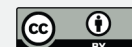
Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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AMTD

6, 5693–5749, 2013

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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AMTD

6, 5693–5749, 2013

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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AMTD

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Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Table 1. Overview of pollen species analyzed in this study. Pollen grain diameters obtained from ^a product information from pollen vendor Allergon AB, ^b microscopy measurements in this study (Sect. 2.2), or ^c database: <http://www.polleninfo.org> (last access: 23 February 2013) (SD = standard deviation). Axis aspect ratios are measured for some species in this study (Sect. 2.2). Last columns indicate if fluorescence microscopy (FM) and fluorescence spectroscopy (FS) data for certain species are shown in this manuscript.

#	Name	Family	Pollination method	Source/ Provider	Size [μm] mean ± SD	Aspect ratio major/minor axis	Analysis		
	Latin	Common					FM	FS	
1	<i>Agrostis stolonifera</i>	Creeping bentgrass	Poaceae	anemophilous	Allergon AB	23 ± 1 ^a	—	no	yes
2	<i>Alnus glutinosa</i>	Black alder	Betulaceae	anemophilous	Allergon AB	24 ± 2 ^a	—	no	yes
3	<i>Alnus incana</i>	Grey/speckled alder	Betulaceae	anemophilous	Allergon AB	24 ± 1 ^a	—	no	yes
4	<i>Ambrosia artemisiifolia</i>	Common ragweed	Asteraceae	anemophilous	Polyscience, Allergon AB	21 ± 1 ^a	—	yes	yes
5	<i>Artemisia tridentata</i>	Giant sage/sagebrush	Asteraceae	anemophilous	Sigma Aldrich	21 ± 3 ^b	25/18	yes	yes
6	<i>Artemisia vulgaris</i>	Common mugwort	Asteraceae	anemophilous	Allergon AB	19 ± 1 ^a 18 ± 2 ^b	20/17	yes	yes
7	<i>Betula fontinalis</i>	Waterbirch	Betulaceae	anemophilous	Sigma Aldrich	27 ± 3 ^b	30/26	yes	yes
8	<i>Betula pendula</i>	White birch	Betulaceae	anemophilous	Allergon AB	24 ± 1 ^a 24 ± 3 ^b	26/23	no	yes
9	<i>Brassica napus</i>	Rape	Brassicaceae	entomophilous	Allergon AB	28 ± 1 ^a	—	no	yes
10	<i>Broussonetia papyrifera</i>	Paper mulberry	Moraceae	anemophilous	Thermo scientific, Polyscience	12 ± 2 ^b	14/11	yes	yes
11	<i>Carpinus betulus</i>	Hornbeam	Betulaceae	anemophilous	Allergon AB	35 ± 2 ^a	—	yes	yes
12	<i>Corylus avellana</i>	Common hazel	Betulaceae	anemophilous	Allergon AB	23 ± 1 ^a	—	no	yes
13	<i>Cynodon dactylon</i>	Bermuda grass	Poaceae	anemophilous	Sigma Aldrich	25 ± 3 ^b	28/24	no	yes
14	<i>Juglans nigra</i>	Black walnut	Juglandaceae	anemophilous	Sigma Aldrich	37 ± 4 ^b	41/34	no	yes
15	<i>Lolium perenne</i>	Perennial ryegrass	Poaceae	anemophilous	Allergon AB	40 ± 3 ^a	—	yes	yes
16	<i>Matricaria chamomilla</i>	Chamomile	Asteraceae	entomophilous	Fresh collection	—	—	yes	no
17	<i>Olea europaea</i>	European olive	Oleaceae	anemophilous	Allergon AB	23 ± 1 ^a	—	no	yes
18	<i>Phleum pratense</i>	Timothy grass	Poaceae	anemophilous	Allergon AB	35 ± 2 ^a 34 ± 4 ^b	36/31	yes	yes
19	<i>Pinus sylvestris</i>	Scotch pine	Pinaceae	anemophilous	Allergon AB	51 ± 4 ^a	—	yes	yes
20	<i>Poa pratensis</i>	Kentucky bluegrass	Poaceae	anemophilous	Allergon AB	29 ± 2 ^a	—	no	yes
21	<i>Populus nigra italica</i>	Lombardy poplar	Salicaceae	anemophilous	Sigma Aldrich	25 ± 2 ^b	27/24	no	yes
22	<i>Populus tremuloides</i>	Aspen	Salicaceae	anemophilous	Sigma Aldrich	26 ± 3 ^b	29/24	yes	yes
23	<i>Quercus robur</i>	English oak	Fagaceae	anemophilous	Allergon AB	30 ± 1 ^a	—	yes	yes
24	<i>Rumex acetosa</i>	Common sorrel	Polygonaceae	anemophilous	Allergon AB	19 ± 2 ^a	—	no	yes
25	<i>Sambucus nigra</i>	Elder	Adoxaceae	entomophilous	Fresh collection	18 ± 1 ^c	—	no	yes
26	<i>Secale cereale</i>	Cultivated rye	Poaceae	anemophilous	Sigma Aldrich	48 ± 4 ^b	56/39	yes	yes
27	<i>Symphoricarpos albus</i>	Common snowberry	Caprifoliaceae	entomophilous	Fresh collection	—	—	yes	no

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Table 2. Summary of fluorescence modes in EEMs of dry and native pollen with wavelength ranges and fluorophore assignment.

Fluorescence mode	Maximum ($\lambda_{\text{ex}}/\lambda_{\text{em}}$) [nm]	Fluorophore
A	~ 280/450	Phenolics
B	~ 360/450	Phenolics
C	~ 460/520	Carotenoids
D	~ 280/340	Protein
E	~ 350–650/675	Chlorophyll <i>a</i>

[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[◀](#)
[▶](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)


Table A1. List of frequently used acronyms.

Acronym	Description
BP	band-pass
BSA	bovine serum albumin
BWA	biological warfare agent
CCD	charge-coupled device
CCN	cloud condensation nuclei
DAPI	4',6-diamidino-2-phenylindole
DNA	deoxyribonucleic acid
EEM	excitation-emission-matrix
FBAP	fluorescent biological aerosol particles
FM	fluorescence microscopy
FS	fluorescence spectroscopy
FWHM	full width half max
GFP	green fluorescent protein
IN	ice nuclei
INA	ice nucleation activity
LIF	laser/light induced fluorescence
NF	normalization factor
OVA	ovalbumin
PAH	polycyclic aromatic hydrocarbons
PBAP	primary biological aerosol particles
PC	principal component
PCA	principal component analysis
RNA	ribonucleic acid
SOA	secondary organic aerosol
UV	ultraviolet
UV-APS	ultraviolet aerodynamic particle sizer
WIBS	wide issue bioaerosol sensor

**Autofluorescence of
atmospheric
bioaerosols**

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

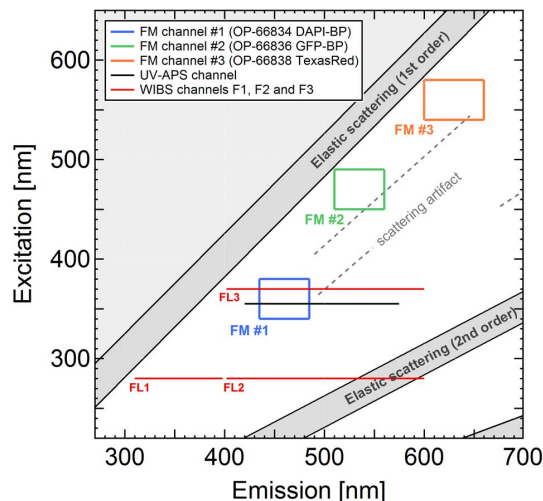


Fig. 1. Conceptual EEM showing (1) fluorescence data area (white), areas strongly influenced by elastic (i.e. Rayleigh) light scattering (grey diagonal bars), area without meaningful data (upper left triangle). Dashed lines indicate weak elastic light scattering, probably due to an instrumental artifact which occurs for all dry samples. (2) Operational range of commercially available bioaerosols detectors UV-APS and WIBS (Hairston et al., 1997; Foot et al., 2008). Length of individual lines indicates measured emission band for a certain excitation wavelength shown as sharp line. Instruments spectral specifications: UV-APS (single wavelength laser excitation, $\lambda_{\text{ex}} = 355$ nm, $\lambda_{\text{em}} = 420\text{--}575$ nm); WIBS (dual-wavelength Xe-lamp excitation, $\lambda_{\text{ex},1} = 280$, $\lambda_{\text{em},280} = 310\text{--}400$, $400\text{--}600$; $\lambda_{\text{ex},2} = 370$, $\lambda_{\text{em},370} = 400\text{--}600$). (3) Spectral range of three fluorescence microscope (FM) channels used in this study. Spectral specifications of filters: DAPI-BP ($\lambda_{\text{ex}} = 360/20$ nm, $\lambda_{\text{Dichroic}} = 400$ nm, $\lambda_{\text{Absorp}} = 460/25$ nm), GFP-BP ($\lambda_{\text{ex}} = 470/20$, $\lambda_{\text{Dichroic}} = 495$, $\lambda_{\text{Absorp}} = 535/25$), TexasRed ($\lambda_{\text{ex}} = 560/20$, $\lambda_{\text{Dichroic}} = 595$, $\lambda_{\text{Absorp}} = 630/30$). For comparison and further information refer to (Pöhlker et al., 2012; Andrade-Eiroa et al., 2013).

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

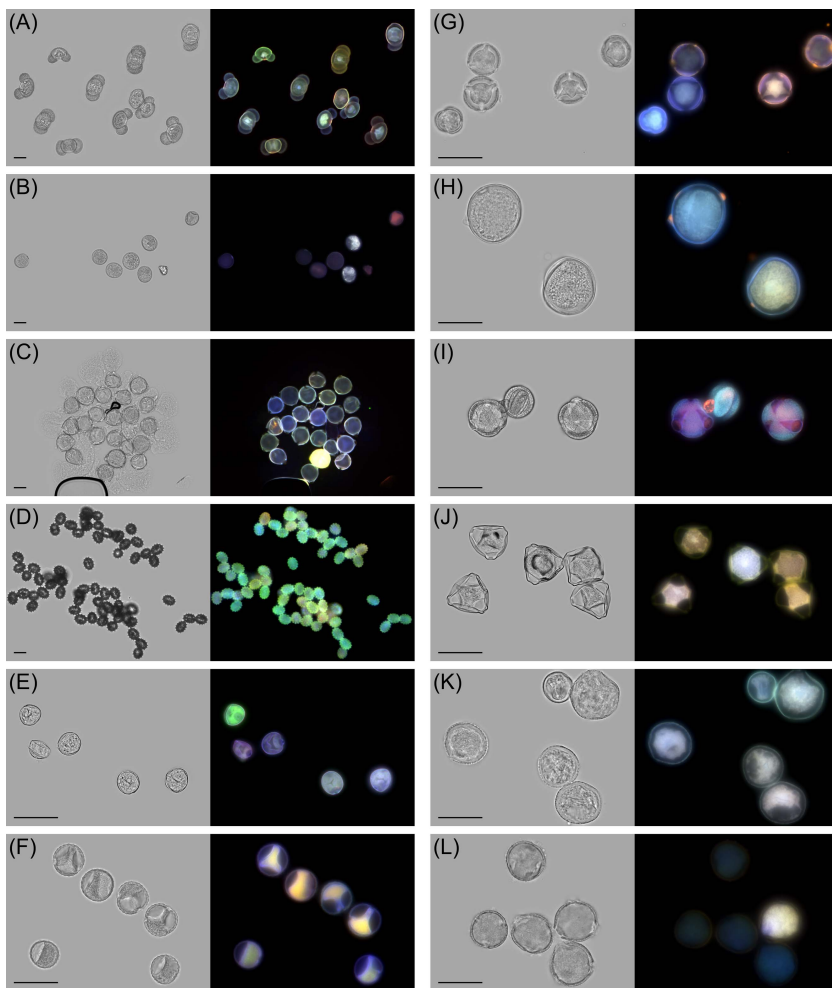
Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion





Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Fig. 2. Overview panel with fluorescence microscopy images from 12 selected pollen species, shown in bright field (left) and fluorescence mode (right). Fluorescence mode images are displayed as overlay based on three fluorescence channels. Most pollen samples are prepared in moist state, few samples in dry state (see Sect. 2.2). Individual images show: **(A)** *Pinus sylvestris* in moist state; **(B)** *Phleum pretense*, moist; **(C)** *Symphoricarpos albus*, moist, pollen grains are burst due to osmotic pressure (Pöhlker et al., 2013); **(D)** *Matricaria chamomilla*, dry; **(E)** *Broussonetia papyrifera*, moist; **(F)** *Ambrosia artemisiifolia*, dry; **(G)** *Artemisia vulgaris*, moist; **(H)** *Lolium perenne*, moist; **(I)** *Artemisia tridentata*, moist; **(J)** *Betula fontinalis*, in immersion oil; **(K)** *Populus tremuloides*, moist; **(L)** *Quercus robur*, moist. All images with focal plane through center of grains – only exception is *A. tridentata* with focal plane through upper part of cell wall. Scale bar = 30 µm in all images.

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

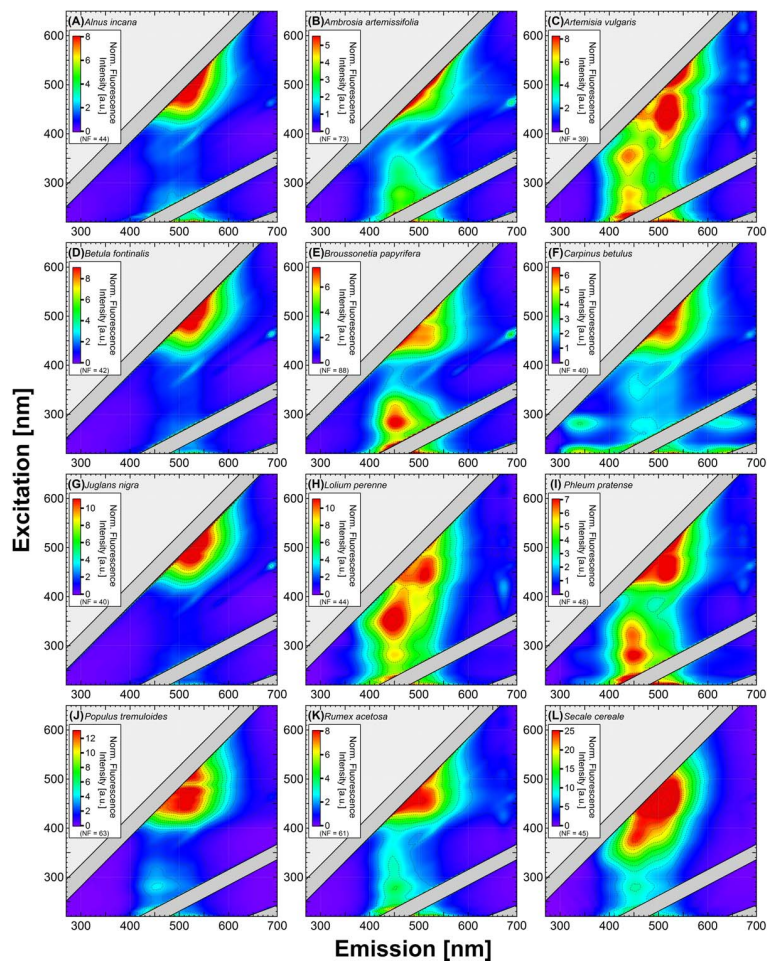
Printer-friendly Version

Interactive Discussion



Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.



Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Fig. 4. Excitation-emission-matrices (EEMs) of selected pollen species in dry and native state. Intensity color code has been adjusted to fluorescence intensity of individual samples. All EEMs are normalized as described in Pöhlker et al. (2012) and a normalization factor (NF) is reported in each panels. EEMs for further pollen species can be found in Fig. S2 (in the Supplement).

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

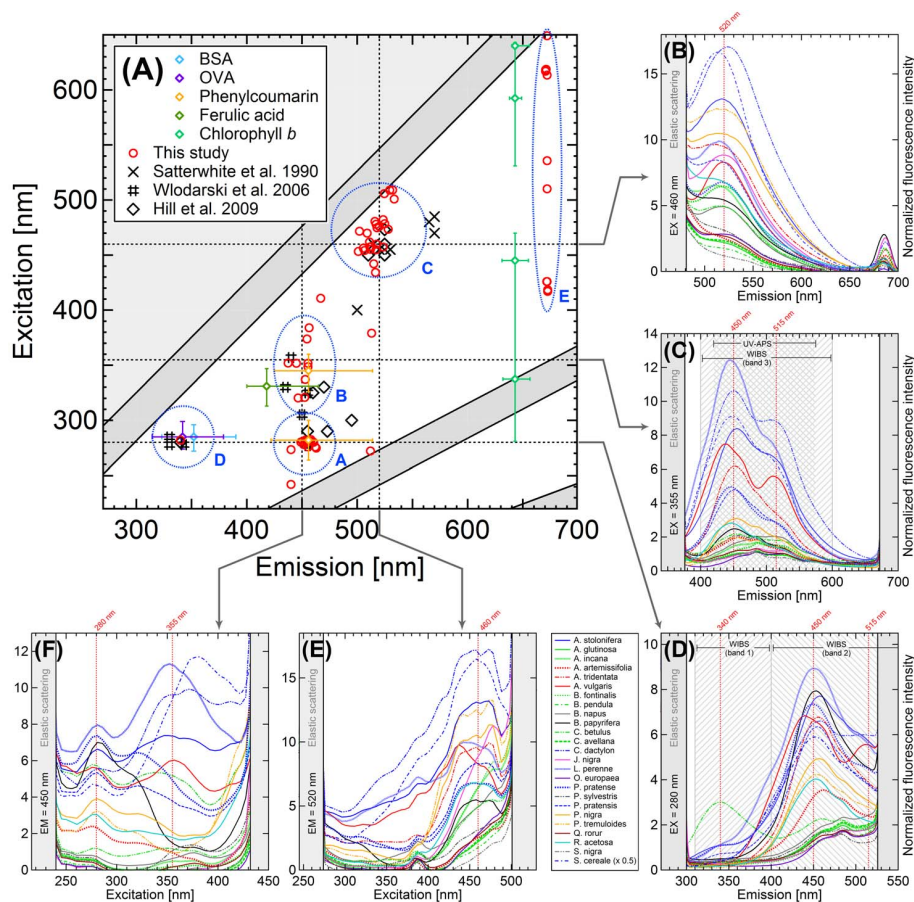
Printer-friendly Version

Interactive Discussion



Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.



Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Fig. 5. Overview figure displaying autofluorescence *fingerprint* of dry and native pollen. **(A)** EEM summarizes spectral locations of fluorescence modes for all pollen species analyzed in this study (red markers) and data from previous reports (black markers) (Satterwhite, 1990; Wlodarski et al., 2006; Hill et al., 2009). Location of fluorescence modes A–E represented by blue circles. Also shown is spectral location of selected pure fluorophores (proteins BSA and OVA; ferulic acid and phenylcoumarin as phenolic proxies; chlorophyll *b*) for direct comparison. For pure fluorophores, full width half maximum (FWHM) of emission signals is shown as horizontal and vertical bars (see also Pöhlker et al., 2012). **(B–F)** Normalized two-dimensional fluorescence spectra for selected excitation (λ_{ex} = 280, 355, and 460 nm) and emission wavelengths (λ_{em} = 450 and 520 nm). These wavelengths have been chosen because (i) they centrally cross the pollen modes A–E, (ii) they cover spectral regions of high biofluorophore density, and (iii) they represent or approximate common excitation sources for FBAP-detection instruments (Pöhlker et al., 2012). Taxonomic affiliation of pollen on family level is represented as color code (see legend here and Fig. 7). Fluorescence spectra are normalized and therefore intensities can be compared across all species. Note that intensity of *S. cereale* is divided by factor 2 in all spectra. Shaded areas in **(C)** and **(D)** represent emission bands of LIF detectors UV-APS and WIBS.

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page	
Abstract	Introduction
Conclusions	References
Tables	Figures
◀	▶
◀	▶
Back	Close
Full Screen / Esc	
Printer-friendly Version	
Interactive Discussion	



Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

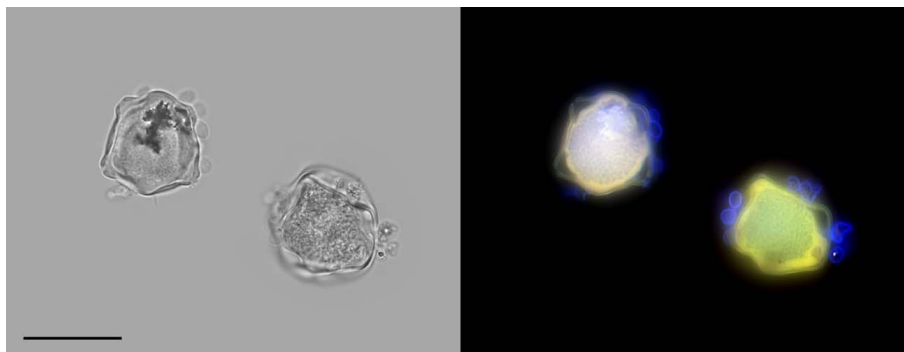
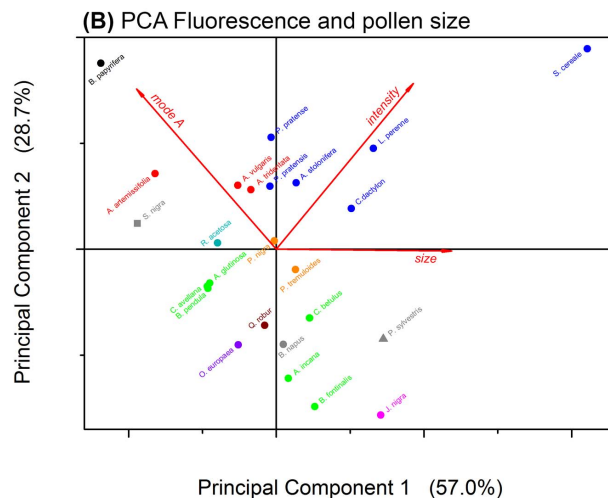
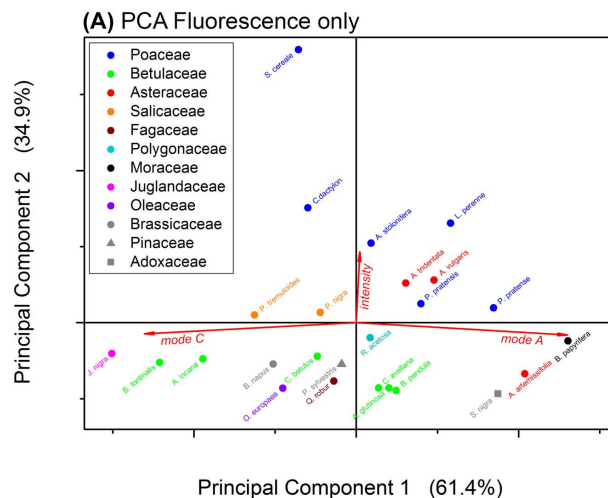


Fig. 6. Microscopy images of *Carpinus betulus* pollen in bright field (left) and fluorescence mode (right). Fluorescence image shown as overlay of three channels. Pollen were prepared in immersion oil. Micrographs illustrate small blue fluorescing particles adhering to pollen grains. Small particles shown here are representative for entire sample. Scale bar = 30 μm .

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.



Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Fig. 7. Results of principal component analysis (PCA) illustrating taxonomic trends in pollen autofluorescence. **(A)** Bi-plot with scores of PCA based on pollen fluorescence properties only. Eigenvectors (red arrows) represent relative intensities of modes A and C, as well as total intensity level, as most distinctive features. **(B)** Bi-plot with scores of PCA based on pollen fluorescence properties and grain size. Eigenvectors represent intensity of mode A, total intensity level, and grain size.

Autofluorescence of atmospheric bioaerosols

C. Pöhlker et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

