

1 We thank Dr. Rosenberg for his recommendations and for his reflection on Rosenberg et al.  
2 (2012) (R2012) and its relation to our AMTD manuscript (C2013).

3 Before responding to Dr. Rosenberg's comments we feel that it is important to overview what  
4 C2013 accomplishes, and what it does not. Our size-calibration method derives an offset for each of the  
5 probe's three gain stages. This represents a relatively simple first-order correction for particle sizing  
6 performed by the PCASP. Motivating this is the requirement that we deploy aircraft instrumentation  
7 whose laboratory-response characteristics are documented, that we provide a correction to the  
8 manufacturer's calibration, when needed, and that we provide a history for each deployment. Our method  
9 is simpler than that described in R2012, but it represents what is feasible given constraints on the time  
10 that we can dedicate to this particular airborne measurement system. We acknowledge that our PCASP  
11 size-calibration is not comprehensive as Dr. Rosenberg's.

12 Further, we note a misunderstanding. On P4130-L25 we stated that the number of particles  
13 counted, during a 300 s testing interval, was between  $10^4$  and  $10^5$ . Dr. Rosenberg interpreted this as a  
14 range of particle concentration, i.e.,  $10^4$  to  $10^5$   $\text{cm}^{-3}$ . In fact, in our testing, concentration values never  
15 exceeded  $500$   $\text{cm}^{-3}$ . The concentration variation (test-to-test) is stated in C2013 (P4127-L22) and  
16 representative concentration values are provided in the Figures 2a-2b (P4129). We are certain that  
17 particle coincidence is not a source of ambiguity for our investigations.

18 1) The methods described here differ greatly from the methods discussed in R2012. In  
19 R2012 the instrument is calibrated in terms of the particle scattering cross section, because the  
20 instrument responds linearly to this property. Here the instrument is calibrated in terms of particle  
21 diameter. There are two potential issues here

22 a. The instrument response to diameter is nonlinear and for particles larger than  $1$   $\mu\text{m}$  is  
23 non-monotonic, see Fig C1.

24 b. The authors use 1 calibration point to apply an offset to the manufacturer's specification.

25 As can be seen in Fig. C1 if the particles used happen to fall on a spike or trough on the  
26 curve they can significantly bias the results. In this case the calibration particles used are all of  
27 diameters less than  $1$  micron, where the response is not so spiky. From  $0.3 - 1.0$   $\mu\text{m}$  one could  
28 even consider it close to linear. If this is by design then the authors should report so and comment  
29 on the uncertainty based on extrapolation. It should be noted that PSLs are available over the  
30 whole range of the instrument even if the SMPS range is limited.

31 Even if the response to diameter was linear it is not clear that an offset is the appropriate  
32 correction. There are potential sources of offset in the instrument, however there are sources of  
33 sensitivity variation as well. For example, the location of the sample in the laser beam and  
34 dirtying of the optics would change the instrument response by a common multiplicative factor.  
35 Given the points a and b above it would be good to see at least two data points per gain stage for  
36 calibration regions less than  $1$  micron – the minimum for a linear fit – and preferably more. This  
37 would at least allow an offset and a sensitivity correction to be derived. It would also be good to  
38 see a comparison with the R2012 methods to see if the results differ significantly.  
39

40 As we show in Figure 3 (C2013) the diameter-threshold relationship (response) is non-linear for  
41 all three gain stages. In this comment, Dr. Rosenberg recommends at least two PSL sizes for each gain  
42 stage, and determination of the slope and intercept of the threshold-cross-section response function. We  
43 note that this would be possible if we had adopted his approach of translating diameter to cross-section –  
44 and thus linearizing the response. We did not do that.

45 However, using one additional PSL size, we did validate our method. We found that the  
46 PCASP-derived size differed by no more than  $0.01$   $\mu\text{m}$  (PCASP-1), and negligibly (PCASP-2), from the

47 mobility-selected PSL test particle diameter (0.152  $\mu\text{m}$ ). These departures were evaluated as the  
48 difference between the mode of the size distribution (PCASP) and 0.152  $\mu\text{m}$ . Results for PCASP-1 and  
49 PCASP-2 are provided in the two tables attached to this document. For two of the PCASP-1 tests, and  
50 for all of the PCASP-2 tests, the difference is less than 0.005  $\mu\text{m}$ . Consistent with our paper, we round  
51 this difference to 0.00  $\mu\text{m}$ . A non-zero difference is evident for the first nine PCASP-1 tests (Difference  
52 = -0.01  $\mu\text{m}$ ), but even this is equivalent to only a one channel offset. These results were not reported in  
53 C2013.

54 2) If the diameters of the bin boundaries increase we may expect to see a change in the bin  
55 widths also due to the nonlinear instrument response. The authors do not attempt to calibrate bin  
56 widths and should comment on the uncertainties expected.  
57

58 As we stated in our introductory comments, our PCASP size calibration is a first-order correction  
59 of the manufacturer's threshold-diameter table. In general, our calibration will not alter the  
60 manufacturer's bin width. A ramification may be that a PCASP calibrated by our method will have bin  
61 widths which are not as accurately determined as those by Dr. Rosenberg's method. This is a good point,  
62 one that can be tested, but in our opinion, such testing is beyond the scope of C2013.

63 3) As mentioned in the manuscript, custom threshold tables can be provided to the  
64 PCASP. This was used in R2012 to zoom in on the region of interest during a calibration and could  
65 be utilised here where the accuracy is currently impacted by the standard resolution.  
66

67 We endeavored to develop relatively simple calibration procedures, so we feel this  
68 recommendation would take us too far away from the objectives of C2013.

69 4) The concentrations reported for use during calibration seem rather high ( $10^4$ - $10^5$   $\text{cm}^{-3}$ )  
70 At these concentrations we may expect coincidence to occur in the PCASP (i.e. two separate  
71 particles passing through the sample volume of the laser at the same time, distinct from an  
72 aggregate). Such coincidences may be responsible for some of the long tails observed which were  
73 not seen in data in R2012, see Fig C2. The PCASP reports the transit time of the particles through  
74 the laser beam meaning it should be relatively trivial for the authors to calculate the fraction of  
75 time during which particles are in the laser beam and hence the probability of coincidence.  
76

77 We address coincidence in our introductory comments.

78 5a) The report of R2012 on p4131 line 25 onwards of the manuscript is not quite correct.  
79 We found a result that seemed consistent with particles not transferring from one gain stage to the  
80 next when it appeared that they should. We did not measure pulse heights so it is not clear if the  
81 pulse heights were lower than expected or if there existed a fault or design flaw in the PCASP  
82 hardware or firmware that caused the problem.  
83

84 The term "pulse height" is used throughout R2012, but it was not used in the section of that paper  
85 relevant to this comment. Accordingly, we acknowledge that "pulse height" should not be used in our  
86 explanation of this aspect of R2012. Here is our revision:

87 "Rosenberg et al. (2012) also report on sizing calibrations of a SPP200-modified PCASP. When  
88 doing these calibrations at a particle diameter that was large enough to register in the lowest channel of an

89 adjacent smaller-gain stage, they noted that most of the counting events did not conform to their  
90 expectation. They commented that an “undocumented process” was preventing the expected counting  
91 into the smaller-gain portion of the histogram. The net result was that the width of the last channel of the  
92 larger-gain stage was broadened and that the width of the first channel of the smaller-gain stage was  
93 narrowed. We note that this narrowing is consistent with the diameter overlap we document for the high-  
94 to mid-gain transition. Rosenberg et al. proposed two workarounds for the ambiguity associated with  
95 narrowing (overlap): 1) merging the two channels (e.g., #4 and #5), to produce a size distribution with  
96 one less channel, or 2) setting the upper-limit diameter of the last channel of the larger-gain stage equal to  
97 the lower-limit of the first channel of the smaller-gain stage.”

98 5b) Regarding the solution provided on p4132 line 9 onwards, we feel that this is a  
99 sensible approach and the authors should highlight that this creates a single bin which spans the  
100 gain boundary (it is not currently immediately obvious). It is somewhat analogous to the merging  
101 method of R2012, but with the advantage of maintaining the 30 bin resolution.  
102

103 We agree that this is a reasonable approach; however, we do not agree with Dr. Rosenberg’s  
104 conclusion that the NCAR’s approach creates a channel that spans the gain boundary. Below is the  
105 NCAR table we obtained from Allen Schanot, June 12, 2009; it is evident that the upper-limit of channel  
106 #4 is at the top-end of the high-gain stage (threshold=4096) and that, by definition, the lower-limit of  
107 channel #5 is also at threshold=4096. There is not a channel that spans the gain boundary.

108 692, 1040, 1517, 2157, 4096, 4231, 4348, 4537, 4825, 5251, 5859, 6703, 8192, 8345, 8502,  
109 8682, 8872, 9070, 9252, 9432, 9544, 9737, 9937, 10166, 10471, 10797, 11162, 11499, 11852, 12288

110 6) Regarding the baseline reference voltage, it is not clear to the reader whether this  
111 changes the minimum limit of the gain stage, the sensitivity of the gain stage or causes a  
112 constant offset to all bins of the gain stage. A figure showing a pulse or a series of pulses (either  
113 schematic or based on oscilloscope measurements from the instrument test points) would be  
114 useful to explain this with the baseline voltage and the equivalent thresholds marked on the y  
115 axis and would facilitate advances beyond the reporting by R2012. It is still not clear to me how  
116 exactly the baselines interact, nor does it seem that the manufacturer, DMT, is able to provide  
117 much insight. It seems that this is something that will only be established through some detailed  
118 experimental work.  
119

120 Based on experimental work and conversations with DMT – both provided in Section 3.5  
121 (C2013) - we have concluded that a decrease of the baseline voltage makes the apparent analog pulse  
122 amplitude smaller, and vice versa.

123 7) Again when discussing Fig. 5 of the manuscript on p 4134 it would be good to know the  
124 concentrations recorded from the two measurements to understand coincidence effects as such  
125 broad tails are not seen in Fig. C2 reproduced from R2012. These differences should be explained  
126 and if they do not arise from coincidence then they could be due to the different model and  
127 operation of nebulisers, i.e. if a nebuliser produces larger droplets then it will give a higher  
128 number of aggregates.  
129

130 Please see our introductory comment about coincidence; we are confident the broad tails referred  
131 to here are not attributable to coincidence. Going further, we note that it is difficult to compare Fig. C2  
132 and Fig. 5 (C2013). There are two reasons for this. First, in Fig. C2 the distribution is plotted versus  
133 pulse height (threshold), while the Fig. 5 distribution is plotted versus particle size. Second, Dr.

134 Rosenberg's reprogramming of the PCASP requires an unstated factor to transform threshold to particle  
135 diameter. It is our opinion that a much more relevant comparison is the one we evaluate between the EC-  
136 produced and PG-100 distributions (Fig. 5, C2013). On P4134 we elaborate on why the PG-100-  
137 produced distribution is broader.

138 8) What type of diameter equivalence is reported in Sect 3.7? It appears to be volume  
139 equivalence but it isn't stated explicitly. If there are coincidence effects in the data as well as  
140 aggregate effects do these impact the conclusions?  
141

142 In the first two sentences of Section 3.7 we stated a common definition of the sphere-equivalent  
143 diameter, and also stated that we employ that definition in our analysis of aggregates. We encourage Dr.  
144 Rosenberg to elaborate why our definition is inadequate. Also, please see our prior comments about  
145 coincidence.

146 9) When investigating the scattering from aggregates the authors may again benefit from  
147 zooming in on the regions of interest to improve resolution. This may be particularly interesting  
148 for the three-particle aggregates where the authors discuss differences between linear and compact  
149 configurations.  
150

151 This is a good suggestion. However, in our opinion, it is beyond the scope of C2013.

152 10) There is no mention of uncertainties in the manuscript. This is important, because  
153 unless uncertainties are provided there is no indication of the value of the calibration. Given the  
154 extrapolation based on one point per gain stage it is particularly important that the effect of this  
155 extrapolation upon uncertainty is assessed.  
156

157 In our opinion, the first sentence of this comment is too categorical. We did evaluate  
158 concentration uncertainty and uncertainty due to PCASP sizing resolution. On the latter point, we do  
159 concede that R2012's reprogramming of the PCASPs diameter-threshold table is useful for resolving fine-  
160 scale features of the size distribution function. We also agree that future work should exploit that  
161 capability of the PCASP.

162 In our response to comment #1, we presented a validation of our PCASP sizing calibration. The  
163 result is encouraging. We also acknowledge that verification with additional PSL test particle sizes  
164 would be useful.

165 In his summary, Dr. Rosenberg goes back to his earlier point about the PCASP's non-monotonic  
166 response to supermicron particles. We concur with his cautionary statement about our use of one test  
167 particle size, at  $D=0.491 \mu\text{m}$ , to set the diameter-threshold relation of supermicron particles. This point  
168 should have been stressed in C2013. Still, it is important to keep a few things in perspective. The first is  
169 that Dr. Rosenberg's Fig. C2 extends well beyond the typical upper-limit of a PCASP. Second, the  
170 ambient concentration of supermicron particles is relatively small and this can add considerable error to  
171 the PCASP's estimate of the size distribution function independent of error that results due to non-  
172 monotonic response. Third, most of the ambient particles detected by a PCASP have diameter smaller  
173 than  $0.3 \mu\text{m}$ .

174

175 **PCASP -1**  
 176  
 177

Date, mo/dy/yr	Dia. Shift High Gain, $\mu\text{m}$	Dia. Shift Mid Gain, $\mu\text{m}$	Dia. Shift Low Gain, $\mu\text{m}$	PSL, $\mu\text{m}$	PCASP, $\mu\text{m}$	Difference, $\mu\text{m}$	Channel with max spectral density
02/08/10	0.02	0.01	0.04	0.152	0.16	-0.01	5
02/08/10	0.02	0.01	0.04	0.152	0.16	-0.01	5
02/08/10	0.02	0.01	0.04	0.152	0.16	-0.01	5
04/08/10	0.02	0.01	0.04	0.152	0.16	-0.01	5
04/08/10	0.02	0.01	0.04	0.152	0.16	-0.01	5
04/20/10	0.02	0.01	0.04	0.152	0.16	-0.01	5
04/20/10	0.02	0.01	0.04	0.152	0.16	-0.01	5
07/23/10	0.02	0.01	0.04	0.152	0.16	-0.01	5
07/23/10	0.02	0.01	0.04	0.152	0.16	-0.01	5
02/02/11	0.00	-0.01	-0.06	0.152	0.15	0.00	6
02/02/11	0.00	-0.01	-0.06	0.152	0.15	0.00	6

178

179 **PCASP-2**

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Date, mo/dy/yr	Dia. Shift High Gain, $\mu\text{m}$	Dia. Shift Mid Gain, $\mu\text{m}$	Dia. Shift Low Gain, $\mu\text{m}$	PSL, $\mu\text{m}$	PCASP, $\mu\text{m}$	Difference, $\mu\text{m}$	Channel with max spectral density
02/08/10	0.00	-0.01	0.04	0.152	0.15	0.00	6
02/08/10	0.00	-0.01	0.04	0.152	0.15	0.00	6
02/08/10	0.00	-0.01	0.04	0.152	0.15	0.00	6
07/23/10	0.00	-0.01	0.04	0.152	0.15	0.00	6
07/23/10	0.00	-0.01	0.04	0.152	0.15	0.00	6
02/02/11	0.00	-0.01	0.04	0.152	0.15	0.00	6
02/02/11	0.00	-0.01	0.04	0.152	0.15	0.00	6
12/06/11	0.00	-0.01	0.14	0.152	0.15	0.00	6
12/06/11	0.00	-0.01	0.14	0.152	0.15	0.00	6
11/16/12	0.00	-0.01	0.14	0.152	0.15	0.00	6
11/16/12	0.00	-0.01	0.14	0.152	0.15	0.00	6

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