

1 We thank Professor Darrel Baumgardner for his review our AMTD manuscript (Cai et al., 2013;
2 C2013). Baumgardner asserts that our PCASP size calibration has little relevance to investigations of
3 atmospheric aerosols. Specifically, Baumgardner contends that the diameter shifts we report, for
4 polystyrene latex (PSL) test particles, are small relative to the shifts due to variation of particle refractive
5 index. Herein we conclude that Baumgardner's assertion is overstated.

6 Our empirically-based diameter shifts are evident in Tables 1 and 2 (C2013). Expressed as a
7 relative shift, and ranked from smallest to largest, these are as large as 0.01 parts in 0.14 (7%) (mid-gain),
8 0.02 parts in 0.10 (20%) (high-gain) and 0.14 parts in 0.34 (41%) (low-gain).

9 In C2013 we speculated that changes to the PCASP optical system, through time, were
10 responsible for the diameter shifts we documented. Calculations in Rosenberg et al. (2012) (R2012)
11 support this speculation. We refer the reader to the left panel of Figure 9 (R2012). Here the Rosenberg et
12 al. examine the effect of moving the sample/laser intersection point along the laser axis. For the range of
13 particle diameter in Baumgardner's critique of C2013 (0.3 μm to 0.5 μm), the relative variation of particle
14 size, evaluated at fixed cross-section, is $\sim 10\%$. Indeed, Rosenberg's calculation establishes that a
15 reasonable variation of the scattering geometry can induce shifts of PCASP-derived particle diameter
16 comparable to the diameter shifts we documented in C2013.

17 Now we compare the diameter shifts in C2013 (7% to 41%), and in R2012 ($\sim 10\%$), with those
18 predicted by Baumgardner's refractive index model. For the latter we consider a particle composed of
19 sulfate and black carbon and compare its actual diameter to that derived using a PSL-calibrated PCASP.
20 The diameter shift is 0.04 parts in 0.30 (13%) (0.34 μm sulfate/BC particle) and 0.10 parts in 0.40 (25%)
21 (0.50 μm sulfate/BC particle). We see that our empirically-based diameter shifts (7% to 41%; C2013)
22 and the shifts documented in R2012 ($\sim 10\%$; their Figure 9) are comparable to the shifts due to variation
23 of refractive index (13% to 25%). Also, we note that this assessment contradicts Baumgardner's critique
24 of C2013 where he contends that "The evaluation avoids the more relevant issue and that is that we don't
25 measure PSLs in the atmosphere so that the very small shifts in the sizing calibration curve have not made
26 any real improvement in the accuracy of the sizing." In support of our conclusion we note that Liu et al.
27 (1992) showed that PCASP sizing of PSL particles differed by as much as 25% from the manufacturer's
28 recommendation.

29 Baumgardner also comments that the paper by Pinnick et al. (2000) supports his conclusion that
30 sensitivity to refractive index dominates our correction of the manufacturer-recommended sizing. We
31 don't read it that way, rather, we note that Pinnick et al. were emphatic in their recognition that models of
32 scattering require empirical backup: "Again, we emphasize that a single normalization factor for each
33 probe was determined by doing a weighted fit of the polystyrene latex measurements to the theoretical
34 response", Pinnick et al. (2000).

35 Finally, we are puzzled by Baumgardner's comment that "...there will be particles larger than
36 0.35 μm but less than 0.5 μm that will likely get lost if they fail to exceed the minimum ACD threshold of
37 the low gain." It is our finding that particles may be assigned to an incorrect PCASP channel, depending
38 on the particular setting of the baseline reference voltage (C2013), but we have no evidence that particles
39 are being "lost." If this effect was substantial it would be an alarming revelation to the folks that have
40 conducted the many successful optical closure studies using a PCASP.

41 In summary, we return to what we said in response to Dr. Rosenberg’s evaluation of C2013:
42 “Our size-calibration method derives an offset for each of the probe’s three gain stages. This represents a
43 relatively simple first-order correction for particle sizing performed by the PCASP. Motivating this is the
44 requirement that we deploy aircraft instrumentation whose laboratory-response characteristics are
45 documented, that we provide a correction to the manufacturer’s calibration, when needed, and that we
46 provide a history for each deployment.”

47

48 **References -**

49 Cai, Y., J.R. Snider and P. Wechsler, Calibration of the passive cavity aerosol spectrometer probe
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51 Liu, P. S. K., Leaitch, W. R., Strapp, J. W., and Wasey, M. A.: Response of Particle Measuring
52 Systems airborne ASASP and PCASP to NaCl and latex particles, *Aerosol Sci. Technol.*, 16, 83-95, 1992

53 Pinnick, R.G., J.D.Pendleton and G.Videen, Response characteristics of the Particle Measuring
54 Systems Active Scattering Aerosol Spectrometer Probes, *Aerosol Science and Technology*, 33, 334-352,
55 2000

56 Rosenberg, P. D., Dean, A. R., Williams, P. I., Dorsey, J. R., Minikin, A., Pickering, M. A., and
57 Petzold, A., Particle sizing calibration with refractive index correction for light scattering optical particle
58 counters and impacts upon PCASP and CDP data collected during the Fennec campaign, *Atmos. Meas.*
59 *Tech.*, 5, 1147-1163, 2012

60

61 We thank Reviewer-1 for this careful review our AMTD
62 manuscript.

63
64 p.4125. L2. Diameter plural. Insert that after diameters.

65 Change made.

66
67 p. 4129. L8. Flight plural.

68 Change made.

69
70 L11. Add ed to conduct

71
72 L12. Are to were

73
74 L14. Is to are

75
76 L18. Though for different sizes

77
78 L22. Variation plural.

79
80 L26. Not the last column but the sixth column

81
82 L28. Is this shown in Table 1?
83

84 We have reflected on these comments. Here is our corrected
85 version of the three critiqued paragraphs:

86 After establishing the flowrate calibration, we verified that the
87 PCASP reports a very small concentration ($< 5 \text{ cm}^{-3}$) while sampling
88 filtered air. Also, we conducted tests with the PCASP operating in
89 parallel with the CPC while both were sampling electrostatically-
90 classified PSL spheres. Results from one test are illustrated in Figs. 2a,
91 b. Here the “plateaus” correspond to electrostatically-classified PSL
92 spheres, and the “valleys” to periods when we were switching the PSL
93 hydrosol. We note that there is good agreement between the CPC- and
94 PCASP-derived concentrations, over a range extending from 40 cm^{-3} to
95 460 cm^{-3} , and that the concentration variability is larger for the PCASP.
96 We also note that these tests evaluated four samples of mobility-selected
97 PSL particles and that concentration varied inversely with particle size.

98 We find that the concentration variability, expressed as a
99 standard deviation, is four times larger for the PCASP compared to the
100 CPC (Fig. 2b). Moreover, we note that the concentration variability is
101 consistent with variations attributable to the different aerosol flowrates in
102 these instruments and Poisson counting error. This assertion is
103 substantiated in Appendix A.

104 Table 1 summarizes aerosol flowrate calibrations we obtained
105 for several King Air projects, for both PCASP-1 and PCASP-2. The sixth
106 column has the flowrates for different calibrations evaluated at a fixed
107 flow sensor signal voltage (2.7 V). Relative to measurements made in

108 2006 (PCASP-1) and in 2010 (PCASP-2), the maximum shifts of the
109 calibrations are 6% and 18%, respectively.

110
111
112
113 p. 4131. L12. A calibration does not produce. It indicates. The word
114 increase implies time, i.e., growth, which is not the case here. This
115 is merely a comparison that is independent of time. The laboratory
116 calibration shows sizes that are larger or smaller than the
117 manufacturer calibration.

118
119
120 L13-14. This does not make sense. Why not just compare the same rows
121 of the two columns as they are written? There is no 0.30 or 0.28 or
122 0.40 in the last column and there is no 0.27, 0.29 or 0.34 in the
123 column before the last column.

124
125
126 L14-15. This is not evident in Table 1. Please explain.

127
128 L16-17. Note row 6 of Table 1.

129
130 We have reflected on these comments. Confusion seems to
131 have resulted because we did not specify the columns of Table 2 with the
132 relevant diameters. Here is our corrected version of the two critiqued
133 paragraphs:

134 For the mid-gain and low-gain channels (Figs. 3b and 3c), we
135 find that the manufacturer's calibration (dotted black line connecting
136 diamonds) does not precisely define the PSL sphere diameter. The
137 derived diameter shifts are $\Delta D=0.00 \mu\text{m}$ (high gain), $\Delta D= -0.01 \mu\text{m}$ (mid
138 gain) and $\Delta D= -0.06 \mu\text{m}$ (low gain). Our most recent determination of
139 the calibrated threshold-diameter for PCASP-1 is provided in Table 2.

140 Results presented in the final column of Table 2 demonstrate that
141 the most recent PCASP-1 calibration has a positive increment from the
142 diameter of the last channel of the mid-gain stage ($0.29 \mu\text{m}$) to the
143 diameter of the first channel of the low-gain stage ($0.34 \mu\text{m}$). A positive
144 increment at this particular gain boundary is evident for all of our
145 calibrations (result not shown). For the particular case – the most recent
146 PCASP-1 calibration - this can be verified by adding the manufacturer's
147 diameters at channels #14 and #15 ($0.30 \mu\text{m}$ and $0.40 \mu\text{m}$; Table 2) to the
148 mid- and low-gain shifts ($-0.01 \mu\text{m}$ and $-0.06 \mu\text{m}$) from the fifth row of
149 Table 1.

150
151
152 L18. There is no diameter increase. There is a difference between
153 the manufacturer and the laboratory calibration.

154
155 L22. Evaluate is not the best word. Indicate would be better.

156

157 L23. Explain reversal.
158

159 We have reflected on these comments. Here is our corrected
160 version of the critiqued paragraph:

161 In contrast to the positive increment at the mid- to low-gain
162 boundary, our calibration produces ambiguity at the high- to mid-gain
163 boundary. This is made evident both in the last column of Table 2
164 (Calibrated Diameter), and in Figs. 3a and 3b. In the table, and in the
165 two figures, the calibrated diameter of the last channel of the high-gain
166 stage and the first channel of the mid-gain stage both indicate 0.14 μm .
167 At this gain stage boundary, a sizing overlap, or even a sizing reversal
168 occurs. In the case of the PCASP-1 in the CUPIDO project the reversal
169 can be verified by adding the manufacturer's diameters at channels #4
170 and #5 (0.14 μm and 0.15 μm ; Table 2) to the high- and mid-gain shifts
171 (0.02 μm and -0.01 μm) from the second row of Table 1.
172

173
174 P4134. L22. Is to was.
175

176 No change made.

177
178 P4135. L8. Former to non-spherical.

179
180 L9. Latter to spherical. Former and latter do not same that much
181 space.
182

183 We have reflected on these comments. Here is our corrected
184 version of the critiqued paragraph:
185

186 Using scattering phase functions reported by Mishchenko et al.
187 (1997), Collins et al. concluded that the sizing difference, for a non-
188 spherical versus a sphere-equivalent particle, would be 5% with the non-
189 spherical sizing smaller than the spherical.

190
191
192 P4137. L5. Shift plural.

193 Change made.

194
195 L7. Size plural.

196 No change made.

197
198 P4145. Threshold units?

199 The following footnote was added:

200 ^a The thirty thresholds are internal electronic representations of
201 the channel boundaries. A digitized pulse height is compared to the
202 thresholds to infer the channel a particle is classified into.

203

204 P4149. No a or b in fig. Maybe not necessary?

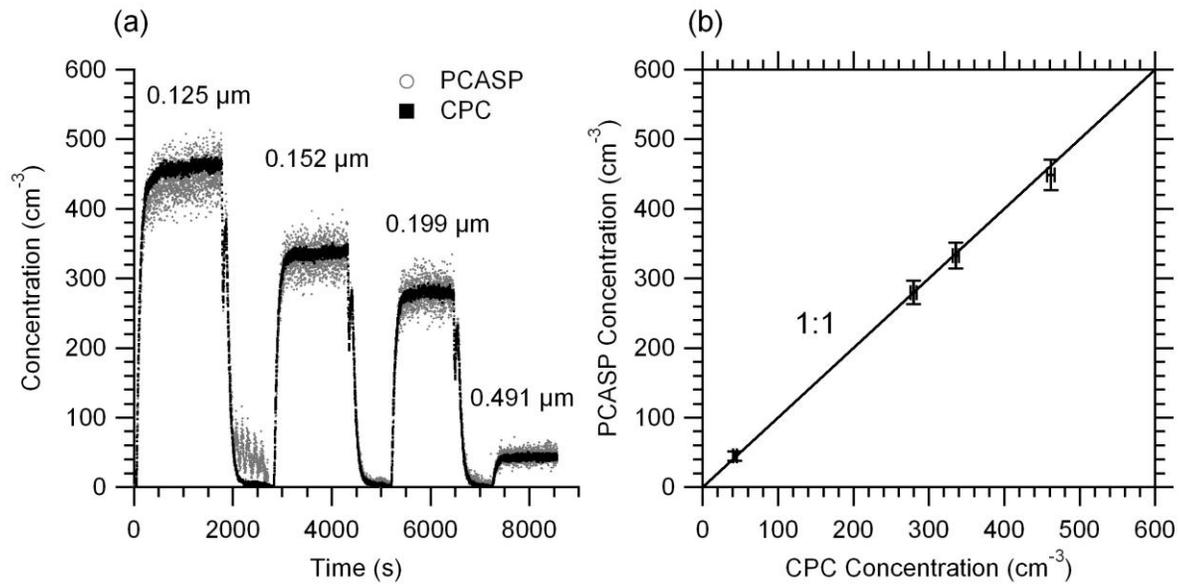
205

Change made; see next page.

206

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208



209
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213

P4150. Threshold units?

214

The following was added to the figure caption:

215

The thirty thresholds are internal electronic representations of
the channel boundaries.

216

217

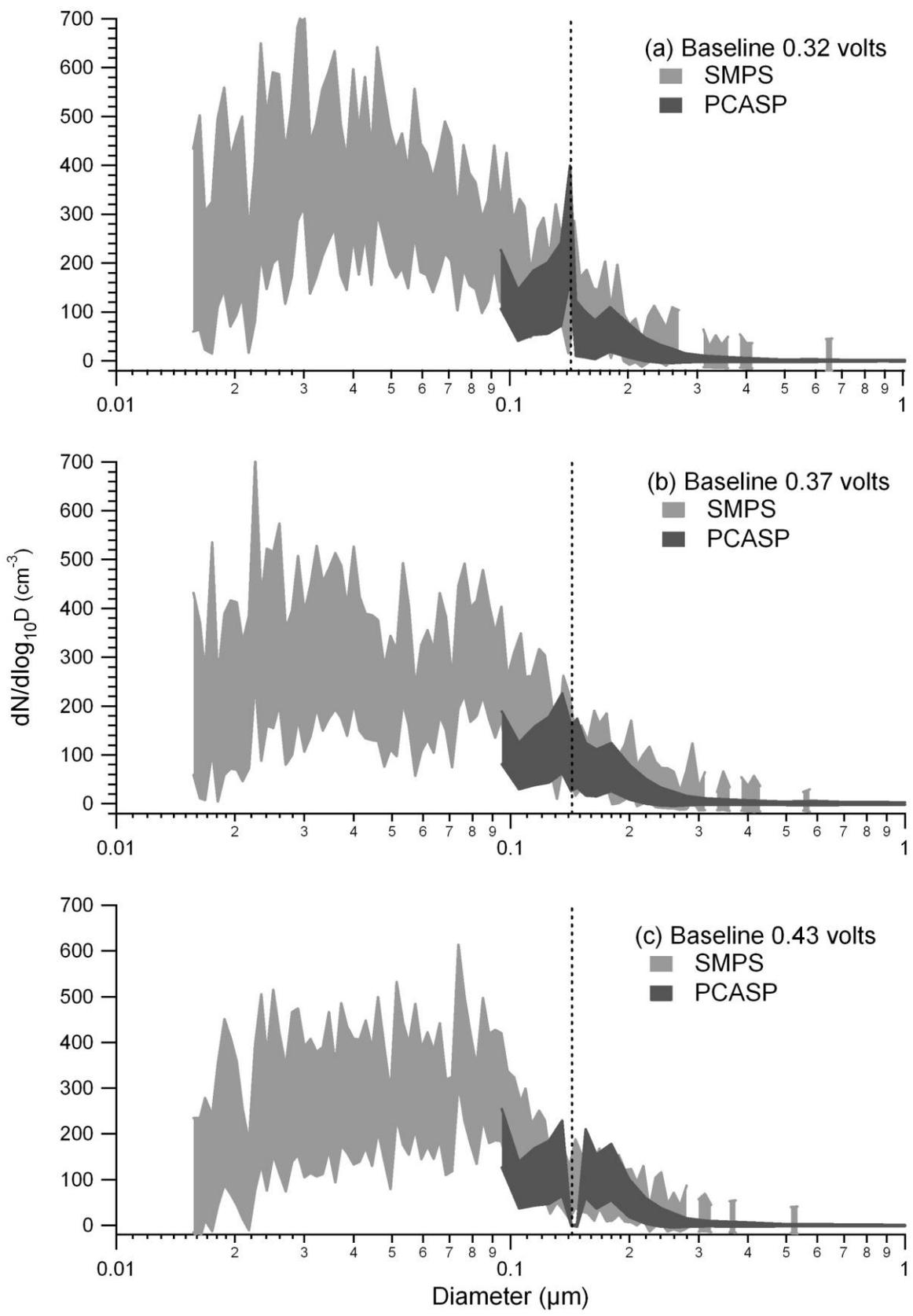
218 P4151. No a, b or c on fig.

219 Change made; see next page.

220

221

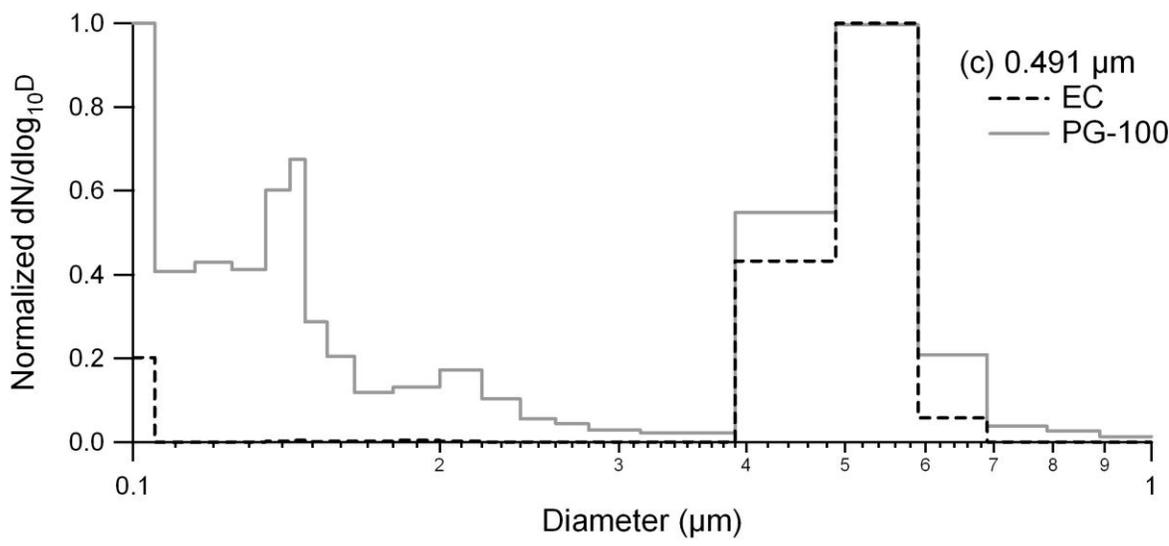
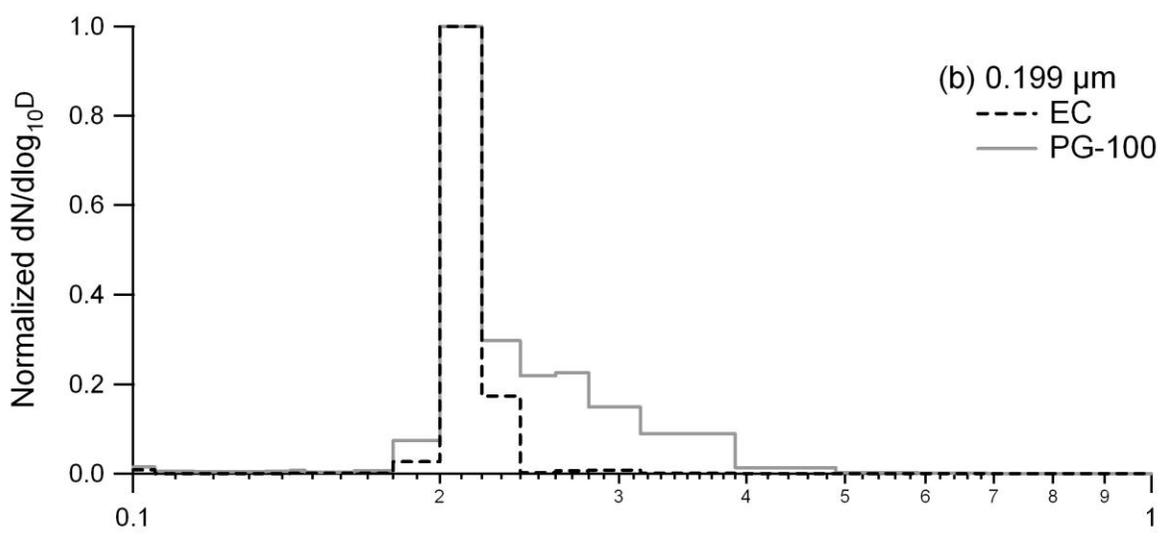
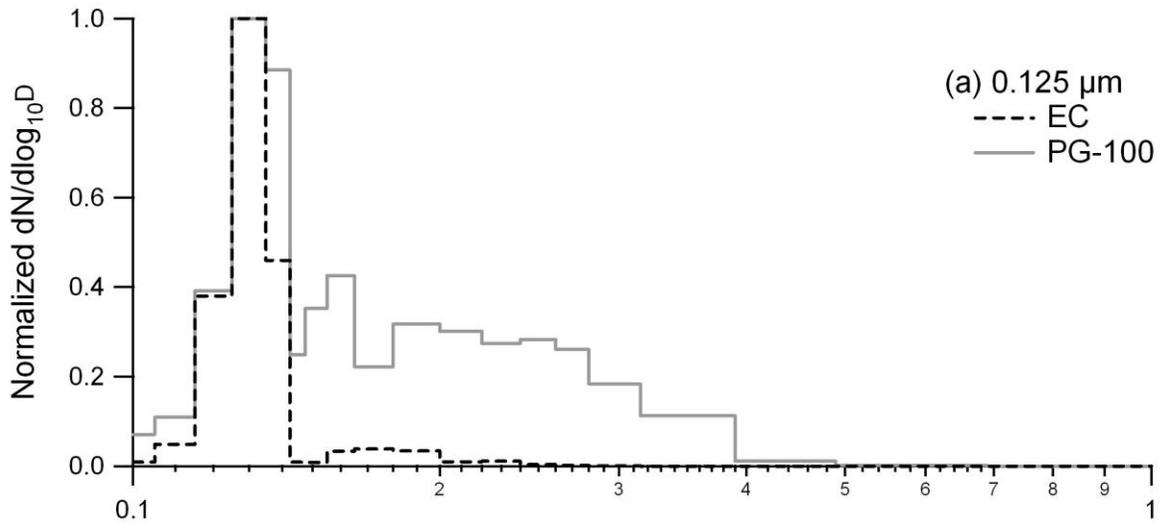
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226 P4152. No a, b or c on fig.

227 Change made; see next page.

228



Diameter (μm)