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8	The Identification and Tracking of Volcanic Ash using the Meteosat Second
9	Generation (MSG) Spinning Enhanced Visible and Infra-Red Imager (SEVIRI)
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#### 43 Abstract

44 In this paper, we develop an algorithm based on combining spectral, spatial, and temporal 45 thresholds from the geostationary Spinning Enhanced Visible and InfraRed Imager 46 (SEVIRI) daytime measurements to identify and track different aerosol types, primarily 47 volcanic ash. Contemporary methods typically do not use temporal information to identify ash. We focus not only on the identification and tracking of volcanic ash during 48 49 the Eyjafjallajökull volcanic eruption period beginning 14 April to 17 May 2010 but a 50 pixel level classification method for separating various classes in the SEVIRI images. 51 Three case studies on **13 May**, 16 May, and 17 May are analyzed in extensive detail with 52 other satellite data including the Moderate Resolution Imaging Spectroradiometer 53 (MODIS), Multi-angle Imaging Spectroradiometer (MISR), and Facility for Airborne 54 Atmospheric Measurements (FAAM) BAe146 aircraft data to verify the aerosol spatial 55 distribution maps generated by the SEVIRI algorithm. Our results indicate that the 56 SEVIRI algorithm is able to track volcanic ash when the solar zenith angle is lower 57 than about 65°. Furthermore, the BAe146 aircraft data shows that the SEVIRI algorithm 58 detects nearly all ash regions when AOD > 0.2. However, the algorithm has higher 59 uncertainties when AOD is < 0.1 over water and AOD < 0.2 over land. The ash spatial 60 distributions provided by this algorithm can be used as a critical input and validation for 61 atmospheric dispersion models simulated by Volcanic Ash Advisory Centers (VAACs). 62 Identifying volcanic ash is an important first step before quantitative retrievals of ash 63 concentration can be made. 64 65 66 67 68 69 70 71 72

#### 74 **1. Introduction**

75 The Eyjafjallajökull volcano located on the southern coast of Iceland (63.6°N, 76 19.6°W) began emitting ash into the atmosphere on 14 April 2010. Although only a mid-77 size eruption (Gudmundsson et al., 2013), the volcano had a tremendous impact on air 78 traffic as the strong atmospheric winds transported the ash southeasterly towards Europe 79 (Ansmann et al., 2010a). By 16 April 2010, an ash plume was observed across Central 80 Europe by Aerosol Robotic Network (AERONET) Sun photometers and ground based 81 lidars (Ansmann et al., 2010b). The presence of ash caused nearly a week-long stoppage 82 in air travel over many parts of Europe since volcanic ash can have damaging effects 83 on commercial airplanes (Casadevall, 1992). Flight cancellations that occurred over 84 the ensuing week proved extremely costly to the airline industry as monetary losses were 85 over 1 billion U.S. dollars (Christopher et al., 2012). Therefore, it is critical that we 86 accurately track volcanic ash during an eruption period.

87 To track the spatial distribution of volcanic ash, satellite remote sensing is 88 important as the spatial distribution of ash varies strongly especially after an eruption. 89 Ground based stations are inadequate for understanding the spatial distribution as they 90 only provide point measurements. Satellites are also an important tool for verifying 91 models that predict ash concentrations and spatial distributions (Millington et al., 2012). 92 These models are usually high resolution dispersion models that predict height dependent 93 ash concentrations used by Volcanic Ash Advisory Centers (VAACs). Although polar 94 orbiting satellites such as the Moderate Resolution Imaging Spectroradiometer (MODIS) 95 can provide high spatial resolution of volcanic ash plumes (Sigmundsson et al., 2010), 96 their temporal resolution is insufficient to track ash plumes being transported long 97 distances over relatively short time scales. Thus, geostationary satellite sensors such as 98 the Spinning Enhanced Visible and InfraRed Imager (SEVIRI) are critical for assessing 99 the spatial distributions of ash due to their high temporal resolutions (Prata and Kerkmann, 2007, Christopher, et al., 2012). 100

101 Ultimately it is important to know the vertical distribution of ash concentrations
102 before important decisions can be made regarding commercial flights during eruptions.
103 However the first task is to detect the volcanic ash on a pixel-by-pixel basis. The first
104 limitation to note is the SEVIRI cannot detect ash below **thick** clouds which is a common

105 issue for passive satellite data sets that operate in the visible to the infrared part of the 106 electromagnetic spectrum. However the repeated temporal information and the large 107 spatial coverage make SEVIRI an excellent tool for understanding the spatial 108 **distribution of volcanic ash over large areas.** One common method is to simply assign 109 separate channels to the red, green, and blue and visually **examine** the ash by looking for 110 certain colors. This is often problematic since clouds can be confused as ash and not all 111 aerosols appear to have the same color; and therefore, it is important to develop an 112 algorithm that separates an image into various classes, such as cloud and aerosol, for 113 further studies that may involve calculation of ash concentrations.

114 Prata (1989) presented a very commonly used technique that exploits the 115 brightness temperature difference (BTD) between the 11 and 12 µm channels (BTD 11-116 12). The limitations with this simple technique are well known and discussed in Prata et 117 al. (2001) where one major limitation is that high water vapor amounts can mask the 118 negative BTD signal which the technique relies on ash detection. Pergola et al. (2004) 119 developed a more sophisticated ash detection technique that compares a measured 120 satellite signal to a reference field computed from long-term historical records. In 121 particular, they use three channels centered at approximately 3.75, 11.0, and 12.0 µm 122 from the Advanced Very High Resolution (AVHRR) to compute the reference fields and 123 they show that this Robust AVHRR Technique (RAT) is more accurate in detecting 124 volcanic ash than the simple BTD technique presented in Prata (1989). However, this 125 approach requires multiple years of data over a region to compute the reference fields. 126 Pavolonis et al. (2006) developed a four channel ash detection algorithm that utilizes the 127 0.65, 3.75, 11.0, and 12.0 µm channels and does not rely on a reference field but instead 128 uses spectral tests and a spatial filtering routine. They showed that this four channel 129 algorithm is much better at detecting volcanic ash regions compared to the BTD approach 130 with less false detections. We take a different approach by developing an algorithm 131 using SEVIRI measurements that exploits temporal thresholds along with spectral and 132 spatial thresholds to classify each pixel into various classes (e.g. cloud, land, and 133 aerosol). This algorithm uses seven different SEVIRI channels to produce detailed 134 spatial distribution maps of cloud and aerosol.

135 Although the SEVIRI instrument is not equipped with near ultraviolet (UV) 136 channels, it is important to note the ability of the near-UV channels in detecting volcanic 137 ash. Torres et al. (1998) used the near-UV channels of 340 and 380 nm from the Total 138 Ozone Mapping Spectrometer (TOMS) instrument to detect volcanic ash, and they found 139 that these two channels have great success in detecting ash over snow/ice or above 140 clouds. This is an important advantage of using the near-UV channels as detection 141 techniques using channels from the visible to infrared spectrums, such as the RAT and 142 our SEVIRI algorithm, do not possess the same capability of detecting ash over snow/ice 143 or above clouds (Pergola et al., 2004). In addition, Krotkov et al. (1999) showed that the 144 near-UV channels of the TOMS instrument can detect the optically opaque, very fresh 145 ash which is often missed by the visible and infrared techniques.

146 This study tracks the ash plumes emitted from the Eyjafjallajökull volcano from 147 its initial eruption on 14 April until the end of the eruption period on 23 May using the 148 high temporal resolution measurements of SEVIRI onboard the Meteosat Second 149 Generation (MSG-2) satellite. Since we use the visible along with the infrared channels 150 of SEVIRI, the algorithm developed in this study can only track the ash plumes during 151 the daylight periods for volcanic ash in cloud-free conditions. We present results from 152 the SEVIRI algorithm throughout the eruption period but place special emphasis on six 153 days in May 2010 when the Facility for Airborne Atmospheric Measurements (FAAM) 154 BAe146 research aircraft measurements was available (Johnson et al., 2012). We use the 155 FAAM BAe146 aircraft measurements as validation for the SEVIRI algorithm developed 156 in this study. Other sources of verification data used in this study to assess the spatial 157 distribution of the aerosols detected by the SEVIRI algorithm include the MODIS, and the 158 Multi-angle Imaging SpectroRadiometer (MISR).

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#### 160 2. Data

161 The goal of the paper is to develop a pixel level algorithm from SEVIRI 162 reflectance and temperature measurements using temporal threshold tests along with 163 spatial and spectral threshold tests. It is important to note that the retrieval of ash 164 concentrations and aerosol particle size information is beyond the scope of this study. 165 We have already noted that the use of temporal thresholds and some of the spatial

166 thresholds used in this paper is not routinely done by standard algorithms (i.e. Prata, 167 1989). After classifying the volcanic ash pixels, we need to determine the accuracy of 168 the algorithm but this is a difficult task to accomplish. We have chosen to intercompare 169 the SEVIRI algorithm results with MODIS and MISR products by making the 170 assumption that their identification is correct. We take this a step further by comparing our results with aircraft data but not many data points can be obtained with such a 171 172 comparison. This is not a unique problem to our study since all validation methods have 173 to use a verification source and then provide results and analysis.

Table 1 shows the SEVIRI channels with the center, minimum, and maximum
wavelengths for each channel. These channels have a sampling distance of 3 km at subsatellite point (Schmetz et al., 2002). The channels used to develop the SEVIRI
algorithm are highlighted while the channels ignored are primarily used for water vapor,
ozone, and carbon dioxide detection. Thus, the SEVIRI algorithm uses three channels in
the solar spectrum and four channels in the infrared spectrum.

180 The MODIS onboard the Terra and Aqua polar orbiter satellites have 36 channels 181 over the spectral range from 0.4-14.4  $\mu$ m with spatial resolutions of 250 m, 500 m, and 1 182 km (Savtchenko et al., 2004). A Level 2 aerosol optical thickness (AOT) operational 183 product over both ocean and non bright land surfaces is provided by MODIS at a spatial 184 resolution of 10 km (at nadir) by comparing measured reflectances to a lookup table of 185 computed reflectances from a radiative transfer model (Remer, et al., 2005). The 186 reported uncertainties over ocean and non bright surfaces are  $\pm 0.03 \pm 0.05\tau$  and  $\pm 0.05 \pm$ 187  $0.15\tau$ , respectively, where  $\tau$  is aerosol optical depth (AOD) or AOT (Remer, et al., 2005). 188 Additionally, the MODIS Deep Blue Algorithm provides AOT values over deserts and 189 other bright surfaces where the reported uncertainties are approximately 20-30% (Hsu et 190 al., 2006). The Multi-angle Imaging SpectroRadiometer (MISR) instrument onboard the 191 Terra satellite measures upwelling shortwave radiance in four spectral channels (446, 192 558, 672, and 867 nm) with nine view angles and spatial resolutions of about 250 m to 193 1.1 km. To produce the MISR Level 2 product (MIL2SAE, F12, 22) with a spatial 194 resolution of 17.6 km, top-of-atmosphere radiances from 16 x 16 pixel areas of 1.1 km 195 resolution are analyzed (Diner et al. (1999). The multispectral and multiangle instrument 196 retrieves accurate AOT values, even over bright deserts (Christopher and Wang, 2004,

197 Kahn et al., 2005), with expected uncertainties of  $\pm 0.05$  for AOT<0.5 and  $\pm 10\%$  for 198 AOT>0.5 (Martonchik et al., 1998). We use the aerosol spatial distribution from MODIS 199 and MISR to help verify the SEVIRI results that we have developed in this paper. 200 A valuable validation data set used in this study is from the FAAM BAe146 201 research aircraft data that retrieves detailed volcanic ash measurements from the 202 Leosphere 355 nm Lidar, the Passive Cavity Aerosol Spectrometer Probe (PCASP), and 203 the Cloud and Aerosol Spectrometer (CAS) (Marenco et al., 2011). The FAAM BAe146 204 aircraft flew on six days in May 2010 where aerosol extinction and AOTs at 355 nm were

retrieved along with ash mass concentrations and size distributions (Marenco et al.,
2011). This study focuses on 16 May and 17 May since the volcanic ash was associated
with higher AOTs on these days. We utilized the AOT measurements at 355 nm
retrieved from the lidar which samples the atmosphere from 2 km above the surface to

209 300 m below the aircraft. Thus, the lidar AOTs exclude any boundary layer contribution, 210 except for the 17 May case where boundary layer aerosols contribute less the 0.05 to the 211 AOT. After integrating the AOT measurements over every minute, each retrieved AOT 212 value corresponded to an along-track distance of 8-10 km. Note that AOT can still be 213 derived in the presence of clouds by using the instruments onboard the BAe146 aircraft to 214 detect and mask the cloud contaminated areas in the vertical column of air beneath the 215 aircraft. The usefulness of BAe146 aircraft measurements has been shown in a number 216 of papers where the aircraft measurements were analyzed along with satellite 217 measurements (Johnson et al., 2012, Christopher et al., 2009, Naeger et al., 2013).

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### 219 **3. Methodology**

220 There is a rich heritage of classification algorithms with the most common ones 221 using the concept of spectral signatures where for example clouds 'look different' based 222 on spectral signatures in some wavelengths when compared to aerosols and land. A 223 classic paper by Saunders and Kriebel (1988) used spectral and some spatial signatures to 224 separate pixels into cloud-free, partly cloudy, or overcast scenes. Using spectral 225 thresholds alone can cause uncertainties in image classification since there could be 226 spectral overlap between and among classes. Thus, it is not possible to accurately 227 separate various classes based on limited information from spectral signatures alone

228 (Ackerman et al., 2008). For example, Fig. 1 is a SEVIRI RGB image on 17 May 2010 229 at 1330 UTC over Europe and the Atlantic Ocean where we carefully hand picked 28 230 samples representing volcanic ash, cloud, and clear sky ocean and land surfaces. 231 We do not show a typical dust RGB (e.g. Francis et al., 2012) in Fig. 1 because 232 regions of cloud can be difficult to visually separate from the underlying surface in 233 the dust RGB. Instead, the RGB image in Fig. 1 was produced by assigning the 234 BTD 12.0-10.8 values as the red component, the 0.6 µm reflectance as the green 235 component, and the BTD 10.8-8.7 as the blue component. By using the 0.6 µm 236 channel we could more easily see where small scale clouds were located over both 237 land and ocean allowing us to pick better samples. Note that we also hand picked 28 238 samples on two other days during the Eyjafjallajökull volcanic eruption period, 7 239 May at 1100 UTC and 18 May at 1600 UTC. Overall, we hand picked 18 samples of 240 ash over water, 6 samples of ash over land, 30 samples of cloud, and 30 samples of 241 clear sky ocean and land. Fig. 2a is a wavelength versus reflectivity plot for the three 242 SEVIRI reflectivity channels showing the mean along with minimum and maximum 243 reflectance for the 80 extracted samples where the ocean is blue, land is green, ash 244 over water is red, ash over land is pink, ash above cloud is light blue, and cloud is 245 **black.** Fig. 2b is the same as Fig. 2a except wavelength versus temperature for four 246 SEVIRI temperature channels is displayed. For the reflectivity channels, the **cloudy** 247 samples generally have a much higher reflectivity than the ocean while the mean 248 reflectivity of ash over water is only about 5-10% higher than the ocean. However, 249 note the large variation in the reflectance of the cloud and ash samples that make it 250 difficult to use spectral tests alone to separate these features. Nonetheless, in 251 general, the reflectance is staying rather constant or increasing for the cloudy 252 samples when moving from the 0.6 to 1.6 µm channels while the reflectance is 253 **decreasing for the ash over water samples.** The mean ash reflectivity drops to only 254 about 6% at 1.6  $\mu$ m which is mostly due to the fact that the majority of ash particles are 255 generally smaller than this channel wavelength (Weber et al., 2012). Thus, spectral 256 tests using the difference of two reflectivity channels can be used to better separate 257 features. When analyzing the temperature trend between the 10.8 and 12.0 µm channels 258 in Fig. 2b, the temperature generally increases with wavelength for ash but decreases for

259 the other features which is due to the unique characteristic of the ash imaginary refractive 260 index being higher at 12.0 than at 10.8  $\mu$ m causing the slightly lower temperatures at 12.0 261 μm (Prata, 1998). However, once again a large variation in the temperatures of the 262 various features exists which makes it difficult to use only spectral tests for 263 developing an accurate classification algorithm. Martins et al. (2002) showed the 264 utility of using spatial (textural) measures to separate aerosols from clouds over oceans 265 due to the mean and standard deviation for a group of aerosol pixels being different than 266 clouds. Spatial measures are a form of texture identification where a group of aerosol 267 pixels appear different than clouds due to several measures and one example being their 268 homogeneity. Therefore, combining spectral and spatial information reduces the 269 frequency of misclassifications within an image.

270 In this paper, we take this a step further by using temporal information along with 271 spectral and spatial information as the high temporal resolution of geostationary satellite 272 sensors permits the use of these tests, but only a handful of studies have actually used 273 temporal tests (Calle et al., 2006, de Wildt et al., 2007). Calle et al. (2006) proposed a 274 fire detection technique that utilized temporal information from the 3.9 µm SEVIRI 275 channel and showed that false alarm rates were lower than when detecting fires without 276 using any temporal information. Typically the temperature from the 3.9 µm channel does 277 not encounter large variations with time, but Calle et al. (2006) found that large increases 278 occur with the onset of fires which helps better detection of fires. Cloud detection can 279 also be improved when using temporal information since the temporal variation of the 280 reflectance and temperature of a pixel is usually greatly impacted by the presence of 281 clouds. For example, when analyzing the reflectance of the  $0.6 \,\mu m$  SEVIRI channel for a 282 pixel over a period of time, the variation in the reflectance will be minimal in most clear 283 sky cases but rather large for most cases where clouds are present since clouds are 284 typically much more heterogeneous than the underlying land surface. Then, de Wildt et 285 al. (2007) developed temporal tests using reflectance and temperature channels from 286 SEVIRI and found that these tests helped mask clouds and cloud shadows which 287 ultimately led to more accurate detection of snow cover. Although the temporal tests 288 detected most clouds due to their heterogeneity, they had to rely on the spectral tests to 289 detect the water clouds that were rather homogeneous since the reflectance and

290 temperature channels showed little temporal variation. Another issue that often arises 291 when using temporal techniques is the overestimation of cloud cover especially in areas 292 near cloud edges and in areas over broken clouds where a pixel may be cloud free in the 293 current time-step but cloudy in the previous one. This situation can cause a significant 294 increase in the variation of reflectance and temperature with time for a cloud free pixel. 295 Furthermore, freshly emitted volcanic ash may be detected as cloud when using 296 temporal techniques since the reflectance and temperature of the pixel can vary 297 significantly with time. Therefore, even though temporal techniques have been used 298 successfully for detecting fires and clouds, they also encounter problems that are 299 investigated further in this study. For instance, freshly emitted volcanic ash plumes 300 can cause large temporal variations

#### soo can cause large temporal variation

# **301 3.1 General flow of algorithm**

302 For our algorithm, we first identify pixels that are land (or over land) and pixels 303 that are water (or over water) to make the algorithm efficient and save computational 304 time. This is necessary since the thresholds used to identify aerosols and clouds are 305 different over water than over land. Classification methods are usually easier over water 306 since water has a low visible reflectance and warmer infrared temperatures when 307 compared to aerosols and clouds. However, over land spectral tests pose challenges since 308 the surface reflectance and temperatures can be highly variable. After separating land 309 and water pixels, we identify feature pixels through a temporal test over all surfaces 310 along with a spectral test over only water. Feature pixels are simply pixels that are 311 contaminated with any type of aerosol or cloud. Then, all pixels labeled as feature are 312 fed into the second part of the algorithm that identifies cloudy pixels through spectral, 313 spatial, and temporal tests. If the feature pixel passes any one of these tests, then it is 314 labeled as cloud. If the pixel fails all of these tests, then the pixel is labeled as aerosol. 315 Since the aerosol spatial distribution maps can be produced every 5 minutes when using 316 SEVIRI, they can provide near real-time information on the location of volcanic ash 317 which is a major aviation concern (Casadevall, 1992). Also, understanding the spatial 318 distribution of aerosol and cloud is very important as this is the first step to accurately 319 quantifying the cloud and aerosol radiative forcing (Kaufman et al., 2002).

## 320 **3.2 Input data for algorithm**

321 The U.S. Geological Survey (USGS) global land cover characteristics database 322 version 2.0, SEVIRI viewing and solar zenith angles, and the SEVIRI channels 323 highlighted in Table 1 are input into our algorithm. SEVIRI viewing and solar zenith 324 angles are primarily used for masking sun glint regions while the SEVIRI channels 325 provide the critical reflectivity and temperature values for each pixel. The USGS global 326 land cover data is used immediately in the algorithm to separate land and water pixels 327 and to find bright (e.g. desert) and non-bright (e.g. vegetation) pixels over land since 328 certain threshold tests are not valid over bright surfaces with high reflectivity. Next, we 329 develop a clear sky reflectance map by finding the minimum top of atmosphere (TOA) 330 0.6 µm reflectance for each pixel over a 14 day period (Jolivet, et al., 2008). In order 331 for this algorithm to be used operationally, the 14 days prior to the time of interest 332 is used to find the minimum TOA reflectance for a pixel. For example, if analyzing a 333 1300 UTC SEVIRI image on 19 April 2010, then we find the minimum 0.6 µm 334 reflectance from **5** April until **19** April at 1300 UTC for each pixel which generates the 335 clear sky reflectance map. For bright surfaces determined by the USGS global land cover 336 map, we find the highest 10.8 µm temperature during the 14 day period and then extract 337 the 0.6  $\mu$ m reflectance from this particular pixel. Dust over desert regions can reduce the 338 observed TOA reflectance below the actual clear-sky reflectance since dust is slightly 339 absorbing at 0.6 µm (Patadia et al., 2009).

#### 340 3.3 Algorithm Over Land

341 After generating the clear sky reflectance maps, the algorithm over land begins 342 with a snow detection scheme (not shown in Table 2) so that these bright pixels can be 343 ignored throughout the remainder of the algorithm. This snow detection scheme uses the 344 normalized difference snow index (NDSI), which takes advantage of snow being more 345 reflective at 0.6  $\mu$ m than at 1.6  $\mu$ m (Riggs and Hall, 2004), along with other temporal 346 tests. For all the temporal tests used in Table 2, the standard deviation ( $\sigma$ ) of three 347 successive 15 minute SEVIRI images centered on the current image is computed for the 348 highlighted channels in Table 1. Temporal tests help reduce the frequency of falsely 349 detected clouds as snow (Riggs and Hall, 2004), and for this study we use the  $\sigma$  of the 1.6 350 and 10.8 µm as the reflectance and temperature of snow generally varies slowly with time 351 (de Wildt et al., 2007). However, we will not go any further into the specifics of the

snow detection scheme because it is not critical to the main goal of the algorithm and theresults of this paper.

354 The first test in Table 2, which uses the 0.6 µm clear sky reflectance maps to 355 determine whether a pixel is a feature, is the most important to the success of the 356 algorithm. If the difference between the 0.6 µm reflectance for the current SEVIRI pixel 357 and its clear sky reflectance is greater than 1.5% (i.e.  $|0.6 \ \mu m_{cur} - 0.6 \ \mu m_{chr}| > 1.5\%$  in 358 Table 2), then the pixel is classified as a feature. The 0.6  $\mu$ m<sub>cur</sub>-0.6  $\mu$ m<sub>clr</sub> test detects 359 features well since in the presence of an atmospheric feature such as ash where the 0.6 360 µm reflectance is typically higher than in clear sky conditions. Fig. 3 shows bispectral 361 plots for the SEVIRI channels of most interest to this study from the samples used to 362 produce Fig. 2. The colors represent the same features as in Fig. 2. Fig. 3a shows the 363 0.6 µm<sub>cur</sub>-0.6 µm<sub>clr</sub> values on the x-axis and the BTD10.8-12.0 values on the y-axis. 364 The ocean (blue) and land (green) samples have 0.6 µm<sub>cur</sub>-0.6 µm<sub>clr</sub> values mostly 365 less than 1.5%. There are a few land pixels with values slightly higher than 1.5% 366 which is likely due to some cloud contamination occurring within the land samples 367 as the persistent cloud cover over land made it difficult to hand pick completely 368 clear sky samples. Nonetheless, there is quite good separation between the clear sky 369 samples and the atmospheric feature samples when analyzing the 0.6  $\mu$ m<sub>cur</sub>-0.6  $\mu$ m<sub>clr</sub> 370 values alone which gives us confidence in using this test. However, there is some 371 significant overlap between the ash (red and pink) and cloud (black) samples which 372 is why this test is only used to separate clear sky and atmospheric feature pixels. 373 Note that we introduce the absolute value in this first test in order to account for 374 scenarios over bright land surfaces where the higher 0.6 µm clear sky reflectance over 375 these surfaces can completely mask the cloud or aerosol signal. In fact, the presence of 376 an absorbing ash or dust layer over a bright surface can actually reduce the 0.6 µm 377 reflectance below the clear sky reflectance.

The second test in Table 2 which uses the BTD between the 8.7 and 10.8 μm
channels (BTD 8.7-10.8) along with BTD 10.8-12.0 has been shown to detect ice clouds
quite accurately (Zhang et al., 2006). Thus, this test is specifically used to detect
clouds in our study over all pixels including the feature pixels just detected by the
first test. Fig. 3b shows the BTD 8.7-10.8 on the x-axis and BTD 10.8-12.0 on the y-

axis where there is not as good separation between the various sample types as in
Fig. 3a. However, these tests have some utility in separating atmospheric features as
the samples with BTD 8.7-10.8 > -2 K and BTD10.8-12.0 > 0 K are nearly all cloud
pixels. As a result, we use these threshold values in this test to detect clouds. Also,
note that the ash samples (red) that are associated with BTD 8.7-10.8 > -2 K have
BTD 10.8-12.0 values primarily less than 0 K which means most ash pixels will not
be classified as cloud by this test.

390 Next, the algorithm separates the feature pixels as cloud or aerosol by using a 391 series of cloud detection tests. If a feature pixel is not labeled as cloud by the cloud 392 detection tests, then the pixel is labeled as aerosol. The first cloud detection test 393 labels pixels as cloud when the 10.8  $\mu$ m < 240 K and BTD 10.8-12.0 > -0.5 K. 394 Freshly emitted volcanic ash can have a temperature that is closely related to its 395 height so it is possible that the 10.8 µm temperature can be less than 240 K for ash. 396 Therefore, we also include the BTD 10.8-12.0 µm test since freshly emitted volcanic 397 ash will typically have strongly negative values. Fig. 3b shows an example of the 398 strongly negative BTD 10.8-12.0 values that can occur with freshly emitted ash 399 where the fresh ash samples have a BTD 10.8-12.0 µm around -1 K and BTD 8.7-400 10.8 near 0 K. Then, we apply a test that labels a pixel as cloud if the 1.6  $\mu$ m > 30% 401 and BTD 10.8-12.0 > -0.5 K. This study found that even the thickest ash regions will 402 typically have 1.6  $\mu$ m < 30% after picking samples of the freshly emitted ash nearby 403 the Eyjafjallajökull volcano on 7 May. is a scatter plot with the 1.6 µm on the x-axis 404 and BTD 10.8-12.0 on the v-axis which clearly shows that all our samples with 1.6 405  $\mu$ m > 30% are cloud contaminated. The next cloud test simply labels the pixel as 406 cloud if the BTD 10.8-12.0 > 1.5 K. Fig. 3c shows the utility of this test as ash 407 samples all have BTD 10.8-12.0 < 1.5 K while the cloudy samples are dominant 408 above this threshold. Thin ash (AOD < 0.2) can have very similar BTD 10.8-12.0 as 409 the land surface since areas of thin ash will have minimal impact on terrestrial 410 radiation. Consequently, thin ash regions can potentially be labeled as cloud by this 411 test but only if the  $|0.6 \ \mu m_{cur}$ -0.6  $\mu m_{clr}|$  test labels it as a feature. These thin ash 412 regions do not pose a threat to aviation so it is not a major issue if thin ash is missed 413 by our algorithm.

414 The remaining cloud detection tests in Table 2 utilize either spatial or 415 temporal techniques. In this study, the temporal tests take three successive 15 416 minute SEVIRI scans and calculate the  $\sigma$  for each pixel which is referred to as a  $\sigma T$ 417 test throughout the remainder of the paper. We decided to use only 3 successive 418 SEVIRI images to calculate  $\sigma$  because using more successive images increases the 419 likelihood that both aerosol and cloud could be included in the  $\sigma$  computation for a 420 pixel where aerosol and cloud reside nearby, and we want to limit these scenarios as 421 much as possible. Also, by using only 3 successive images, this algorithm can be 422 used in time sensitive situations, such as volcanic ash plumes interfering with air 423 traffic, that require real-time decision making. We use  $\sigma T$  tests with the 1.6  $\mu m$ 424 channel where the appropriate thresholds were chosen based on analyzing the 425 scatter plot in. All the ash over land samples (pink) were associated with  $\sigma T$  1.6  $\mu m$ 426 < 1.5% while cloudy samples were dominant above this threshold. Ash plumes are 427 generally more homogeneous than clouds which is the reason for the fairly good 428 separation between ash and cloud samples in Fig. 3d. However, a portion of the 429 cloud samples have very low  $\sigma T$  1.6  $\mu m$  and cannot be labeled as cloud by this test. 430 The next test in Table 2 uses the  $|0.6 \ \mu m_{cur}$ -0.6  $\mu m_{chr}|$  technique along with BTD 10.8-431 12.0 since we observed good separation between the ash and cloud samples in this 432 multi-spectral space as revealed by Fig. 3a. This test labels clouds when the |0.6 433  $\mu m_{cur}$ -0.6  $\mu m_{clr}$  > 3.5% and BTD 10.8-12.0 > 0 K. We include the BTD 10.8-12.0 434 technique in this test since moderate (AOD > 0.5) and thick (AOD > 1.0) ash regions 435 with BTD 10.8-12.0 < 0 K can have  $|0.6 \ \mu m_{cur}$ -0.6  $\mu m_{clr}| > 3.5\%$ . Thus, by including 436 the BTD 10.8-12.0 technique the moderate and thick ash plumes will generally not 437 be labeled as cloud. In fact, Fig. 3a shows that as the |0.6 µm<sub>cur</sub>-0.6 µm<sub>clr</sub>| increases 438 beyond 3.5% the BTD 10.8-12.0 primarily decreases with increasing |0.6 μm<sub>cur</sub>-0.6 439  $\mu m_{clr}$ . Fig. 3a suggests that this test can be quite powerful in accurately labeling 440 atmospheric features (e.g. cloud and dust) correctly.

441 The final two tests utilize spatial techniques along with the BTD 10.8-12.0 442 technique once again. The spatial techniques (i.e.  $\sigma$ s tests) compute the  $\sigma$  over a 3 X 443 **3 pixel region.** For the first test, if  $\sigma$ s 12.0 µm > 1.5 K and the BTD 10.8-12.0 > 0 K, 444 then the center pixel of the 3 X 3 pixel group is classified as a cloud. The  $\sigma$ s and  $\sigma$ T tests 445 work on the similar principles of cloud typically being more heterogeneous than aerosol 446 except that the  $\sigma$ s test operates in space instead of time. This is demonstrated in Fig. 3e 447 where the cloudy samples tend to have higher  $\sigma$ s 12.0 µm values than shown for the 448 ash pixels, but there is considerable overlap between a portion of the cloudy samples 449 and the ash samples. Consequently, we introduce one more spatial test that has the 450 ability to detect many of these cloudy samples that went undetected by the  $\sigma$ s 12.0 451  $\mu$ m test. This second spatial test labels cloud when the  $\sigma$ s 1.2  $\mu$ m > 1.2% and BTD 452 10.8-12.0 > 0 K, and the scatter plot that shows the separation of the various 453 samples in this multi-spectral space is displayed in Fig. 3f. We see that the cloudy 454 samples that were associated with very low  $\sigma$ s 12.0 µm and BTD 10.8-12.0 near 0 K 455 in Fig. 3e are detectable when using the  $\sigma$ s 1.6 µm technique. There is more scatter 456 with the ash samples in Fig. 3f but these ash samples with  $\sigma s 1.6 \mu m > 1.2\%$  have 457 mostly BTD 10.8-12.0 < 0 K which means that they will not be labeled as cloud by 458 this test. These are likely thick ash plumes (AOD > 1.0) that tend to be more 459 heterogeneous. However, we do notice that a few ash samples will be incorrectly 460 labeled as cloud since they have BTD 10.8-12.0 > 0 K and  $\sigma$ s 1.6  $\mu$ m > 1.2%. These 461 ash samples were actually taken near the boundaries of thick ash plumes which 462 means this spatial test can encounter problems due to strong boundaries occurring 463 in SEVIRI imagery. Lastly, the feature pixels that fail all of the final cloud 464 detection tests are labeled as aerosol. Note that we do show ash above cloud samples 465 (light blue) in the panels in Fig. 3, but we do not discuss them in the preceding 466 paragraphs. The main point for showing the ash above cloud samples is to stress 467 that it is extremely difficult to separate the ash above cloud from the ash-free cloud 468 samples. The other possible way to separate the ash above cloud from the ash-free 469 cloud samples is by using the BTD 10.8-12.0 technique which our algorithm is using 470 in nearly all the tests. Therefore, this algorithm is capable of detecting ash above 471 cloud samples only if the ash influences a negative BTD 10.8-12.0 value. We will 472 show examples of our algorithm detecting ash above cloud in Section 4.

473 **3.4 Over Water Algorithm** 

We briefly discuss the over water algorithm since it has many similarities to the
over land algorithm. The only differences between the land and water algorithms are

476 the slightly lower thresholds that are used to detect clouds for the  $\sigma$ s 1.6 µm and  $\sigma$ s 12 477  $\mu$ m techniques and the inclusion of the 1.6  $\mu$ m – 0.6  $\mu$ m technique. The threshold is 478 lowered to 1% for the  $\sigma$ s 1.6  $\mu$ m test and 1.0 K for the  $\sigma$ s 12  $\mu$ m test due to the 479 relative homogeneity of the water. The  $1.6 \,\mu m - 0.6 \,\mu m$  test can be quite powerful 480 over the homogeneous water surface as indicated in Fig. 3g where  $1.6 \mu m - 0.6 \mu m$  is 481 on the x-axis and the BTD 10.8-12.0 on the y-axis. The clear-sky ocean samples 482 (blue) and ash over water samples (red) have very similar  $1.6 \mu m - 0.6 \mu m$  values 483 ranging mostly from -7% to -3%. Even the thick ash samples with BTD 10.8-12.0 484 near -2.0 K have 1.6 µm – 0.6 µm values no larger than -3%. Nevertheless, we 485 include the BTD 10.8-12.0 technique in this test to ensure that thick ash does not get 486 labeled as cloud. The pixel is labeled as cloud by this test when the 1.6  $\mu$ m – 0.6  $\mu$ m 487 > -2% and BTD 10.8-12.0 > -1 K. Similar to the over land algorithm, after applying 488 all the cloud detection tests to the feature pixels, the pixels that fail all the tests and 489 remain as features are labeled as aerosol.

490

491 **4. Results and Discussion** 

492 **4.1 13 May 2010 Case** 

493 Fig. 4a is a SEVIRI dust RGB image on 13 April 2010 at 1200 UTC when a 494 substantial amount of ash was being emitted from the Eyjafjallajökull volcano. The 495 dust RGB image was produced by assigning the BTD 12.0-10.8 values as the red 496 component, the BTD 10.8-8.7 as the green component, and the BTD 10.8  $\mu$ m as the 497 blue component. The volcanic ash is identified in the SEVIRI dust RGB image by 498 the reddish colors extending eastward from Iceland. Fig. 4b is a SEVIRI 0.6 µm 499 visible image where the clouds appear white against a dark background. The visible 500 image shows extensive cloud coverage across the domain with clouds evident in the 501 location of the volcanic ash plume. This ash plume is primarily associated with BTD 502 10.8-12.0 < 0 K with strongly negative values of -4 K within the core of the plume 503 (i.e. Fig. 4c). Note that a substantial amount of pixels not associated with the main 504 ash plume also possess negative BTD 10.8-12.0 as revealed by the reddish colors in 505 Fig. 4c. According to the SEVIRI dust RGB and the visible image, these pixels are 506 primarily cloudy pixels that do not appear to be associated with any ash. For

507 instance, the clouds to the southwest of Iceland have a BTD 10.8-12.0 as low as -0.4 508 K. The final results of the SEVIRI algorithm are in Fig. 4d with the pixels labeled 509 as clear sky (white), cloud (gray), and aerosol (orange). Our algorithm is able to 510 identify the ash plume even though clouds reside beneath it since many of the cloud 511 tests in Table 2 include the BTD 10.8-12.0 technique. Also, the ash free cloudy 512 pixels that were associated with the negative BTD 10.8-12.0 in Fig. 4c are labeled as 513 cloud by our algorithm. Therefore, overall the algorithm performs well for this 514 particular case.

515 A few pixels are labeled as aerosol outside of the main ash plume which we 516 further investigate by analyzing the MISR and MODIS Aqua AOD around the time 517 of interest (~1300 UTC) on 13 May. Note that MISR has limited spatial coverage 518 due to its limited field of view, but the MISR transect occurring near the center of 519 the domain passes over the eastern section of the ash plume (Fig. 4e). The MISR 520 fails to retrieve any significant area of AOD for the ash plume due to the fact that 521 the retrieval algorithm recognizes the plume as mostly cloud. The MODIS also has difficulty retrieving any AOD for the ash plume due to the extensive cloud coverage 522 523 in this region. The lack of MISR and MODIS AOD retrievals of the ash plume is 524 not surprising since their algorithms attempt to retrieve AOD for cloud-free regions 525 only. However, the MODIS retrieves AOD where our SEVIRI algorithm labels 526 clear sky pixels across much of the domain. Much of the MODIS AOD across the domain is less than about 0.15 which suggests that the aerosol concentrations are 527 very low. Volcanic ash with low concentrations (< 0.2 g m<sup>-2</sup>) pose no threat to 528 529 aviation. Therefore, the fact that our algorithm is not detecting these areas of low 530 AOD is not problematic. Some limited areas of AOD > 0.2 appear just south of 531 Great Britain and southeast of Iceland in the MODIS AOD image which our 532 algorithm mostly identifies as cloud or clear sky. The fact that some of these areas 533 of AOD > 0.2 reside among clouds as seen in the SEVIRI dust RGB and visible 534 image suggest that these may be bad retrievals. For instance, the AOD > 0.2 to the 535 southeast of Iceland is retrieved in a dominantly cloudy region. The retrievals of 536 AOD > 0.2 just south of Great Britian are also occurring either among cloud or 537 adjacent to clouds. It is known that the MODIS AOD tends to have a high bias

538 when the retrievals are adjacent to clouds (Zhang et al., 2006). Nonetheless, a close 539 inspection of the SEVIRI dust RGB reveals pinkish colors over the ocean just to the 540 south of Great Britain which implies that some ash may be present here. The fact 541 that our algorithm labels some pixels as aerosol in this same location suggests that 542 the MODIS AOD > 0.2 in this particular region may be real. Fig. 4d also reveals 543 that our algorithm may falsely detect aerosols along cloud edges. These false 544 detections are difficult to see in Fig. 4d but there are a few occurrences among the 545 cloud edges to the south of Iceland.

546 **4.2 16 and 17 May 2010 Case** 

547 Figs. 5a-f are similar to Figs. 4a-f except that the former pertain to the 17 May 548 2010 case study at 1300 UTC where a significant area of volcanic ash resided over the 549 North Sea around 56°N and 7°W (Turnbull et al., 2012). This ash plume is not as 550 apparent on the SEVIRI dust RGB due to the fallout of ash particles during its 551 transport from Iceland. In fact, it is difficult to decipher the ash plume from the low 552 level clouds (vellowish colors) across the domain. Analyzing both the dust RGB and visible image along with the BTD 10.8-12.0 map (i.e. Fig. 5c) helps better understand 553 554 where the potential ash regions are located. The pink to yellow colors associated 555 with the ash plume in the dust RGB appear darker than the whiter clouds in the 556 visible image across the North Sea. By this time, the ash plume has become only 557 slightly more reflective than the background ocean. There are some clouds among 558 the ash plume that are only noticeable when closely inspecting the visible image 559 which shows the utility of analyzing both the dust RGB and visible image. The BTD 560 10.8-12.0 map shows a considerable area over the North Sea and Norwegian Sea 561 that has BTD 10.8-12.0 < -1 K suggesting that ash is present across the area. Our 562 algorithm is easily able to identify these areas where the BTD 10.8-12.0 < -1 K and 563 thick ash is likely present. According to the dust RGB and visible image, our algorithm 564 successfully disregards cloud contaminated areas within the ash plume region over the 565 North Sea. For example, clouds are shown off the coast of the Netherlands (~56°N, 5°E) 566 and this area is labeled as cloud by our algorithm. **Overall, our algorithm appears to** identify clouds very well across the domain which is critical as the final aerosol 567 568 spatial distribution maps depend on the success of the cloud detection. Moreover,

569 our algorithm identifies aerosol in locations across the North Sea that have BTD 570 10.8-12.0 > 0 K and appear to be cloud-free. These results are in fairly good 571 agreement to the spatial distribution of MODIS AOD across the North Sea which 572 implies that our algorithm is performing accurately on this day. MODIS retrieves 573 AOD primarily ranging from 0.2 to 0.4 across the North Sea with the exception of a 574 few higher AOD regions where values near 0.7 are present. The location of the 575 higher AOD regions coincide with BTD 10.8-12.0 < -1 K while the AOD from 0.2 to 0.4 coincide with near zero to positive BTD 10.8-12.0 values. The MISR AOD 576 577 agrees fairly well with MODIS in the limited locations of MISR availability over the 578 North Sea and Norwegian Sea which gives us better confidence that the MODIS 579 retrievals are good on this day. Although our algorithm is able to detect these areas 580 of optically thinner ash identified by MODIS and MISR, it is likely that they are below the mass concentration threshold of  $0.2 \text{ g m}^{-2}$  and do not pose a threat to 581 582 aviation (Francis et al., 2012; Prata and Prata, 2012). Again, our algorithm mostly 583 misses the very low AOD regions below about 0.2 that are detected by MODIS and 584 MISR. For example, our algorithm labels the area just north of Great Britain as 585 clear sky while the MISR and MODIS retrieves AOD around 0.15. Finally, note 586 that our algorithm is able to detect the thick ash over the Norwegian Sea (~64°N, 587  $0^{\circ}$ E) even though it is above a considerable area of clouds according to the SEVIRI 588 visible image. Once again, this shows the ability of our algorithm to detect thick ash 589 above cloud which can pose a threat to aviation.

590 The FAAM BAe146 aircraft flights on 16 and 17 May are very helpful for 591 verifying the proposed SEVIRI algorithm. Fig. 6c is a SEVIRI RGB image on 16 May at 592 1500 UTC with the intricate BAe146 aircraft flight track shown in white. The BAe146 593 aircraft took off in southeast England (52.1°N, 0.3°W) at approximately 1255 UTC and 594 landed in northwestern France (47.7°N, 2.1°W) at about 1810 UTC. Fig. 6a has 355 nm 595 AOD from the BAe146 aircraft in red with the corresponding AOD scale on the right y-596 axis and SEVIRI BTD10.8-12.0 in black with its scale on the left y-axis. The dots along 597 the black line indicate the results from the SEVIRI algorithm along the aircraft flight with 598 green, blue, and red denoting clear, cloud, and aerosol, respectively. Fig. 6b shows 599 SEVIRI BTD10.8-12.0 again in black along with 0.6 µm reflectivity in blue with its scale on the y-axis from 0 to 50%. A nearest pixel approach is used to collocate SEVIRI to the
BAe146 aircraft in space while we find the closest SEVIRI overpass time to each point
along the BAe146 aircraft track to collocate in time. Thus, 15 minute SEVIRI scans
beginning 1130 UTC and ending 1700 UTC were used to produce Fig. 6a-b even though
only the 1500 UTC SEVIRI RGB imagery is in Fig. 6c.

605 The aircraft flight began in cloudy conditions across southeastern England and 606 then headed northwest into an ash plume with scattered clouds as shown by Fig. 6c where 607 the ash is highlighted by the pinkish colors and clouds by the green and yellowish colors. 608 Since clouds were the dominant feature in southern England, AOD was not reported but 609 as the aircraft tracked northwestward the AOD jumped to about 0.2 until thick ash was 610 measured at about 55°N and 4.3°W with an AOD of nearly 0.9. The SEVIRI algorithm 611 accurately classifies clouds in southern England, but then classifies a mix of clear skies, 612 clouds, and aerosols where the low AOD of 0.2 is measured which again suggests that the 613 SEVIRI algorithm has uncertainties in detecting optically thin aerosol regions. However, 614 Fig. 6b shows several significant increases in  $0.6 \,\mu m$  reflectivity in the low AOD region 615 which hints at cloud contamination. Furthermore, the aerosol extinction coefficient 616 profiles from the BAe146 aircraft on 16 May shown in Marenco et al. (2011) reveal some 617 low level clouds in the low AOD region which suggests the SEVIRI algorithm is 618 classifying clouds properly in this region. When the AOD reaches nearly 0.9, the 619 SEVIRI algorithm classifies nearly all aerosol pixels adequately except for a few pixels 620 which are associated with 0.6  $\mu$ m > 40% indicating possible cloud contamination. The 621 aerosol extinction coefficient profiles in Marenco et al. (2011) also indicate low level 622 cloud contamination below the thick ash. Thus, according to the BAe146 aircraft data, 623 the SEVIRI algorithm is accurate in labeling a few cloud pixels among the ash. Then, 624 another region of low AOD is measured by the aircraft before flying over thicker ash 625 around 55.2°N and 3.9°W with an AOD of about 0.7. The aerosol is almost entirely 626 missed by the SEVIRI algorithm in this low AOD region as the algorithm classifies 627 mostly clouds. The highly varying 0.6 µm reflectivity among the low AOD suggests that 628 clouds are a dominant feature in this region. In Marenco et al. (2011), low level clouds 629 are revealed all along this section of the BAe146 flight track further hinting at the 630 accuracy of the SEVIRI algorithm. The algorithm classifies some aerosol pixels in the

631 higher AOD region, but clouds are classified more frequently here as the 0.6 µm 632 reflectivity has a significant increase near the minimum in BTD10.8-12.0 indicating the 633 presence of clouds among the thick ash. Also, fairly thick lower level clouds are shown 634 along the aerosol extinction profiles in Marenco et al. (2011) with this thicker ash region. 635 Next, the aircraft encounters very thin ash along its track as AOD drops to near zero 636 values. As expected the SEVIRI algorithm fails to detect any of this ash and classifies 637 mostly clear skies along this portion of the aircraft track. The aircraft flies over one more noteworthy ash region as AOD jumps to about 0.4 and then quickly drops to 0.2 at about 638 639 53.8°N and 2.2°W. The ash associated with the AOD of 0.4 is successfully detected by 640 the algorithm which appears to be cloud-free from analyzing the 0.6  $\mu$ m reflectivity and 641 aerosol extinction profiles in Marenco et al. (2011). However, immediately as the AOD 642 decreases clouds become an issue once again as the 0.6 µm reflectivity jumps to about 643 35%.

644 The 17 May BAe146 aircraft flight is overlaid in white on the SEVIRI RGB 645 image from 1400 UTC on that same day in Fig. 7c. However, for this flight, the aircraft 646 started in northwestern France at 1126 UTC and landed in southeast England at 1658 647 UTC. As seen in the RGB image, the aircraft encountered the main ash plume over the 648 North Sea while scattered clouds impacted the flight over England and Scotland. Fig. 7a-649 b are the same as Fig. 6a-b except the aircraft AOD and SEVIRI measurements from 17 650 May are shown. The times when the aircraft were above the scattered clouds over land 651 are clearly seen in Fig. 7b by the very significant increases in 0.6  $\mu$ m reflectivity, and the 652 SEVIRI algorithm successfully classifies these regions as cloud. After the first period of 653 scattered clouds over land, the aircraft flies over ocean (~53°N, 2.5°W) before making a 654 west to east path over land. When the aircraft is over the ocean, the SEVIRI algorithm 655 classifies mostly clear skies with a mix of some cloud and aerosol. At this time, 355 nm 656 AOD from the aircraft is very low with most values being less than 0.1 which suggests 657 the SEVIRI algorithm has difficulty detecting aerosol over water when the AOD is < 0.1. 658 The aircraft measures AOD near 0.2 during its brief west-east transect over land, but the 659 SEVIRI algorithm classifies cloud in this region, and the algorithm appears to be correct 660 according to the strong peak in 0.6 µm reflectivity and the BAe146 aerosol extinction 661 profiles along this section of the aircraft track in Marenco et al. (2011). After traversing

662 land, the aircraft immediately encounters the main ash plume when flying over the North 663 Sea as indicated by the large increase in 355 nm AOD to about 0.6 in Fig. 7a. However, 664 the aircraft then descends beneath the ash plume which is why the AOD drops to zero 665 while the SEVIRI algorithm detects aerosols. When the aircraft ascends, it measures the 666 ash plume again as the AOD increases to nearly 0.4 before descending and measuring zero AOD the remainder of its flight path. From analyzing the SEVIRI 0.6 µm 667 668 reflectivity along with the SEVIRI RGB image, it appears that cloud contamination is 669 very minimal across the main ash plume region. Thus, the algorithm performs very well 670 over the ash plume region as only one cloud pixel is detected amongst the aerosol pixels.

## 671 4.3 Validation experiment

672 In order to obtain a better understanding of the accuracy of our SEVIRI 673 algorithm, we perform an additional experiment where we choose 28 independent 674 samples for three different days and times (i.e. 7 May at 1100 UTC, 11 May at 1300 675 UTC, and 18 May at 1600 UTC) during the Eyjafjallajökull volcanic eruption 676 period. An example of the 28 samples chosen for the 7 May at 1100 UTC case is shown in Fig. 8a where boxes 1-4 are clear sky ocean, 5-12 are volcanic ash, and 13-677 678 28 are clouds. We had very limited clear sky land pixels available on this day which 679 explains why we did not choose any samples of clear sky land. The 84 samples taken 680 on these three days represent the truth. Then, we run our SEVIRI algorithm for 681 these three cases and compare the results against truth samples. Overall, we picked 682 30 ash over water samples on these three days which gave a total of 1080 individual 683 ash pixels to compare against our algorithm results as the size of the each sample 684 spanned 6 by 6 boxes. According to the truth samples, the algorithm performed 685 very well as 936 of the 1080 pixels were accurately labeled as ash by our algorithm 686 giving a success rate of 87%. Not surprisingly, our algorithm performed even better 687 with identifying clouds. Overall, the 60 samples of clouds that we picked provided 688 us with 2160 individual cloud pixels as truth. Our algorithm successfully labeled 689 2127 of these truth pixels as cloud which gives a 98% success rate for cloud 690 identification. Of course, when performing a validation experiment where we are 691 carefully hand picking truth samples, it is easy to make an algorithm appear more 692 accurate than reality by choosing samples that should be easy for the algorithm to

693 handle. For this validation experiment, we chose samples that, in our opinion,

694 would be easy to very difficult for the algorithm to identify. Finally, we present the

695 SEVIRI algorithm results for the 7 May at 1100 UTC case where the majority of the

ash plume is accurately labeled by the algorithm. This is another case where ash

697 resided above clouds which can make it difficult to identify the ash due to the

698 presence of the cloud. In fact, our algorithm is not able to identify the full extent of

699 the ash plume since the BTD 10.8-12.0 values increase to near or above 0 K. As a

700 result, our algorithm recognizes parts of the ash plume as cloud.

# 701 **5. Conclusions**

702 In this study we have developed a unique algorithm combining spectral, spatial, 703 and temporal threshold tests using SEVIRI measurements to separate between clear skies, 704 clouds, and aerosols. The algorithm is capable of detecting both dust and ash, but for this 705 paper we only focus on the Eyjafjallajokull volcanic eruption period during April and 706 May 2010 where substantial ash was transported from the volcano to over the North Sea 707 and Europe. Aerosol (e.g. ash) spatial distribution maps were generated every hour 708 during the daytime beginning with the initial eruption on 14 April and ending on 23 May. 709 In this paper we focus specifically on the daytime volcanic ash cases on 13 May, 16 May, 710 and 17 May when numerous sources of validation data were available. By using MODIS, 711 MISR, and BAe146 aircraft data as verification data, we show that the algorithm is 712 capable of generating accurate aerosol spatial distribution maps for solar zenith angles < 713 65°. First, the SEVIRI aerosol spatial distribution maps show important similarities to 714 the MODIS and MISR AOD products which suggests that the proposed algorithm works 715 well. Second, the BAe146 aircraft shows that the SEVIRI algorithm detects nearly all 716 ash regions over both land and water when AOD > 0.2. However, the MODIS, MISR, 717 and BAe146 aircraft data suggests that the algorithm may encounter some problems 718 detecting ash when AOD < 0.1 over water and AOD < 0.2 over land. We noticed that at 719 solar zenith angles  $> 65^{\circ}$  the aerosol plumes that were once identified by our 720 algorithm begin converting to cloud. Another major limitation of this algorithm is 721 that it can only be applied during daytime, and for these high latitude regions 722 daytime hours can be severely limited.

723	Since the damaging effects of volcanic ash to commercial airplanes can be life
724	threatening, accurately tracking ash during volcanic eruption periods is vital. Polar
725	orbiting satellite sensors do not have the temporal resolution to effectively track volcanic
726	ash. Thus, geostationary sensors, such as SEVIRI, are absolutely critical for tracking
727	volcanic ash and ensuring the safety of people onboard commercial airplanes. The
728	accurate aerosol spatial distribution maps which can be generated every 15 minutes by
729	the proposed SEVIRI algorithm can serve as an extremely important tool during volcanic
730	eruptions.
731	
732	Acknowledgements. This research is sponsored by NASA's Radiation Sciences, and
733	ACMAP programs. Special thanks to Jim Haywood, Ben Johnson, and Franco Marenco
734	for the aircraft data used in this paper.
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Fig. 2

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1004	Fig. Legends
1005	Fig. 1. SEVIRI RGB image (see text for details) on 17 May 2010 at 1330 UTC over
1006	Europe and the Atlantic Ocean where the 28 boxes indicate the location of extracted
1007	samples for various scenes of clear sky water (boxes 1-4), clear sky land (boxes 4-8),
1008	ash over water (boxes 9-14), and cloud (boxes 15-28).
1009	Fig. 2. a) Wavelength versus reflectivity plot for the three SEVIRI reflectivity channels
1010	showing the mean along with minimum and maximum reflectance for the 80
1011	extracted samples where the ocean is blue, land is green, ash over water is red, ash
1012	over land is pink, ash above cloud is light blue, and cloud is black. b) Same as panel
1013	(a) except wavelength versus temperature for four SEVIRI temperature channels is
1014	displayed.
1015	Fig. 3. Bispectral plots for the SEVIRI channels of most interest to this study from the
1016	samples in Fig. 2 where ocean is blue, land is green, ash over water is red, ash over
1017	land is pink, ash above cloud is light blue, and cloud is black. a) 0.6 $\mu m_{cur}$ -0.6 $\mu m_{clr}$
1018	versus BTD 10.8-12.0, b) BTD 8.7-10.8 versus BTD 10.8-12.0, c) 1.6 µm versus BTD
1019	10.8-12.0, d) <b>σT 1.6 μm versus BTD 10.8-12.0, e</b> ) σs 12.0 μm versus BTD 10.8-12.0, e)
1020	$\sigma s$ 0.6 $\mu m$ versus BTD 10.8-12.0, and f) 1.6 $\mu m$ – 0.6 $\mu m$ versus BTD 10.8-12.0.
1021	Fig. 4. a) SEVIRI dust RGB image on 13 May 2010 at 1200 UTC when a substantial
1022	amount of ash was being emitted from the Eyjafjallajökull volcano. The volcanic ash is
1023	identified in the SEVIRI RGB image by the reddish colors extending east of Iceland. b)
1024	SEVIRI 0.6 $\mu$ m visible image where clouds appear white against a dark background. c)
1025	BTD 10.8-12.0 map. d) Final results of the SEVIRI algorithm with the pixels labeled as
1026	clear sky (white), cloud (gray), and aerosol (orange). e) MODIS Aqua AOD results for
1027	13 May where MODIS pixels with cloud fraction larger than 80% are removed. f) MISR
1028	AOD across the region on this day.
1029	Fig. 5. Panels (a)-(f) are same as in Fig. 4 except that this is a SEVIRI RGB image on 17
1030	May 2010 at 1300 UTC where a significant area of volcanic ash resided over the North
1031	Sea around 56°N and 7°W.
1032	Fig. 6. a) 355 nm AOD from the BAe146 aircraft in red with the corresponding AOD
1033	scale on the right y-axis and SEVIRI BTD10.8-12.0 in black with its scale on the left y-

1034 axis. The dots along the black line indicate the results from the SEVIRI algorithm along

- 1035 the aircraft flight with green, blue, and red denoting clear, cloud, and aerosol,
- 1036 respectively. b) SEVIRI BTD10.8-12.0 again in black along with 0.6 μm reflectivity in
- 1037 blue with its scale on the y-axis from 0 to 50%. c) SEVIRI RGB image on 16 May at
- 1038 1500 UTC with the intricate BAe146 aircraft flight track shown in white. The BAe146
- aircraft took off in southeast England (52.1°N, 0.3°W) at approximately 1255 UTC and
- 1040 landed in northwestern France (47.7°N, 2.1°W) at about 1810 UTC.
- 1041 Fig. 7. Panels a-b) are the same as panels a-b) in Fig. 6 except the aircraft AOD and
- 1042 SEVIRI measurements from 17 May are shown here. c) The 17 May BAe146 aircraft
- 1043 flight is overlaid in white on the SEVIRI RGB image from 1400 UTC where the aircraft
- 1044 took off in northwestern France at 1126 UTC and landed in southeast England at 1658
- 1045 UTC.
- 1046 Fig. 8. a) SEVIRI RGB image on 7 May 2010 at 1100 UTC over Europe and the
- 1047 Atlantic Ocean where the 28 boxes indicate the location of extracted samples for
- 1048 various scenes of clear sky water (boxes 1-4), ash above cloud (boxes 5-12), and ash
- 1049 free cloud (boxes 13-28). b) SEVIRI algorithm results for this 7 May case.
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Channel	Center (µm)	Min (µm)	1242 <b>Max (μm)</b>
1	0.635	0.56	1244 <b>0.71</b> 1245
2	0.81	0.74	1246 0 88 <sup>1247</sup>
			1248 1249 <b>1.78</b> 1250 1.78 1251
3	1.64	1.5	1252
4	3.9	3.48	<b>4.36</b> <sup>1253</sup> <sub>1254</sub> 1255
5	6.25	5.35	<b>7.15</b> 1256
6	7.35	6.85	<b>7.85</b> 1258
7	8.7	8.3	1259 <b>9.1</b> 1260
8	9.66	9.38	1261 <b>9.94</b> 1262
9	10.8	9.8	1263 <b>11.8</b> 1264
			1265
10	12	11	<b>13</b> 1266 1267
11	13.4	12.4	<b>14.4</b> 1268

**Table 1.** SEVIRI channels with the center, minimum, and maximum wavelengths where the channels used in the SEVIRI algorithm are highlighted in red.

### Tests

## **Feature Tests**

|0.6 μm<sub>CUR</sub>-0.6 μm<sub>CLR</sub>|> 1.5%

## **Cloud Tests**

BTD 8.7-10.8 > -2 K and BTD 10.8-12.0 > 0 K 10.8  $\mu$ m < 240 K and BTD 10.8-12.0 > -0.5 K 1.6  $\mu$ m > 30% and BTD 10.8-12.0 > -0.5 K BTD 10.8-12.0 > 1.5 K oT 1.6  $\mu$ m > 1.5% and BTD 10.8-12.0 > 0 K |0.6  $\mu$ mCUR-0.6  $\mu$ mCLR|> 3.5% and BTD 10.8-12.0 > 0 K os 1.6  $\mu$ m > 2.5% and BTD 10.8-12.0 > 0 K os 12.0  $\mu$ m > 1.5 K and BTD 10.8-12.0 > 0 K

1276 1277 1278	<b>Table 2.</b> Outline of the algorithm applied over land which shows the various thresholds used for the feature tests and cloud tests.
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