



## Abstract

Clouds are one of the main reasons of uncertainties in the forecasts of weather and climate. In part, this is due to limitations of remote sensing of cloud microphysics. Present approaches often use passive spectral measurements for the remote sensing of cloud microphysical parameters. Large uncertainties are introduced by three dimensional (3-D) radiative transfer effects and cloud inhomogeneities. Such effects are largely caused by unknown orientation of cloud sides or by shadowed areas on the cloud. Passive ground based remote sensing of cloud properties at high spatial resolution could be improved crucially with this kind of additional knowledge of cloud geometry. To this end, a method for the accurate reconstruction of 3-D cloud geometry from cloud radar measurements is developed in this work. Using a radar simulator and simulated passive measurements of static LES model clouds, the effects of different radar scan resolutions and varying interpolation methods are evaluated. In reality a trade-off between scan resolution and scan duration has to be found as clouds are changing quickly. A reasonable choice is a scan resolution of 1 to 2°. The most suitable interpolation procedure identified is the barycentric interpolation method. The 3-D reconstruction method is demonstrated using radar scans of convective cloud cases with the Munich miraMACS, a 35 GHz scanning cloud radar. As a successful proof of concept, camera imagery collected at the radar location is reproduced for the observed cloud cases via 3-D volume reconstruction and 3-D radiative transfer simulation. Data sets provided by the presented reconstruction method will aid passive spectral ground-based measurements of cloud sides to retrieve microphysical parameters.

## 1 Introduction

Clouds strongly influence earth's radiation budget. Most radiative processes connected to clouds are extremely sensitive to cloud microphysics. Measurements of these processes are either direct but limited to small snippets, i.e., in-situ measurements from

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aircraft or they are indirect, i.e., from active or passive remote sensing. Remote sensing techniques are by themselves limited to spatial and temporal snapshots of the whole microphysical process within a cloud – but their advantages lies in their speed and in their mostly instantaneous acquisition of multidimensional datasets. For instance, an active cloud radar is well suited to derive cloud macrophysics (e.g. their 3-D geometry), but for the most part insensitive to small cloud droplets and therefore only provides limited information on cloud particle formation (Hobbs et al., 1985; Miller et al., 1998). On the other hand passive solar techniques can derive cloud particle characteristics very well (Nakajima and King, 1990; Twomey and Cocks, 1989), but only for the part of the cloud oriented towards sun and sensor.

For this reason the combination of different remote sensing techniques becomes increasingly important. In this work we describe one step towards such a combination: how an active cloud radar can provide the cloud geometry needed by passive techniques using reflected solar radiation.

Although this information is valuable for every passive technique, it is essential for techniques working on high spatial resolution. Cloud side remote sensing as proposed by Martins et al. (2011); Marshak et al. (2006) and Zinner et al. (2008) aims for the retrieval of vertical profiles of cloud microphysics from cloud edges observed from a ground, air or space perspective. Especially the complex-shaped cloud sides pose a problem for the passive retrieval of cloud microphysics at a spatial resolution of 100 m or less due to the unknown cloud surface orientation. In an effort to set up ground based cloud side remote sensing, the presented 3-D cloud reconstruction technique will alleviate the problem for the ground-based perspective if a cloud radar is available.

Not only this specific application but basically every remote sensing technique, especially passive, can benefit from such a volume reconstruction. The accuracy of satellite retrievals and their dependence on cloud geometry can be tested using cloud reconstructions. These volume reconstructions can furthermore serve as a validation dataset for cloud resolving modelling. Fielding et al. (2013) also use cloud radar data in order to consider cloud geometry in radiation closure studies.



Consider the radar reflectivity factor  $z$  in units of  $\text{mm}^6/\text{m}^3$ .

$$z = 2^6 \int_0^{\infty} N(r)r^6 dr \quad (1)$$

With a constant droplet radius  $r_0$ , this simplifies to:

$$z = 2^6 N r_0^6, \quad (2)$$

5 where  $N$  stands for the number of particles per volume. Because values of  $Z$  can span many orders of magnitude they are normally expressed in form of the logarithmic radar reflectivity  $Z$  in units of dBZ:

$$Z = 10 \log_{10} \left( \frac{z}{1 \text{ mm}^6/\text{m}^3} \right). \quad (3)$$

10 The simulation of a radar scan is obtained from a single LES time step (at  $t = 32\text{h}$  simulation time), i.e., not only frozen turbulence assumption is applied but also the cloud motion is neglected during the radar scan.

Radar reflectivities  $Z$  are determined along a number of beams in radial distances from the radar with a cloud radar range gate length of 60m. The scan pattern is determined by specifying a number of consecutive beam directions in terms of elevation and azimuth angles ( $\Theta$  and  $\Phi$ ). This way measurement points in spherical coordinates are obtained. A ray tracer finds the LES grid box that contains each of the points. The radar reflectivity  $Z$  of this grid box is returned as simulated measurement value.

15 Additionally, an option to simulate finite radar sensitivity is included. If turned off, every  $Z$  value is accepted. Alternatively a threshold is used to set  $z = 0$  for measurements smaller than a threshold. The distance-dependent threshold  $Z_{\min}$  is set according to the minimal detectable radar reflectivity following Doviak and Zrnic (1993) and

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geometry, the effective radar reflectivity factors provided by the METEK data processing software were used (Bauer-Pfundstein and Görsdorf, 2007).

### 3 Development of reconstruction procedure

The procedure for cloud geometry reconstruction from radar measurements is illustrated in Fig. 2. Radar reflectivities collected during a scan are remapped from their original, spherical coordinates to Cartesian coordinates (distance from radar to the “east” ( $x$ ), distance from radar to the “north” ( $y$ ) and height above ground ( $z$ )). A correction of the horizontal wind drift is applied, based on radiosonde wind data. For the further application it is necessary to interpolate the inhomogeneously distributed measurements to a regular grid. This step concludes the reconstruction itself. This step is followed by the quality test (see Sect. 2.2), which consists of the comparison of a synthetic radiance image for the LES data, or a real camera picture recorded during the scan, and radiance simulations based on the reconstructed cloud volume. The individual steps and reconstruction parameters and methods analysed during testing are presented in more detail in the following.

#### 3.1 Scan strategies

Scan pattern and scan resolution are the first parameters to be chosen. It turns out that for the reconstruction of isolated clouds the so called sector range-height-indicator (S-RHI) scan pattern is probably best suited. It consists of consecutive elevation scans for stepwise changing azimuths. This scan strategy allows best measurement of specific cloud side geometry for collocated near simultaneous passive spectral measurements. S-RHI is favoured over S-PPI (sector plane-parallel-indicator), a horizontal azimuth scan for stepwise changing elevation angle, because it can be used to partially correct for the cloud motion component tangential to the radar position.

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A second, critical question is the choice of the scan resolution. While high resolution leads to higher spatial accuracy, the scan takes more time and thus exhibits larger deviations from the ideal instantaneous, frozen cloud snapshot. The beam width of  $0.6^\circ$  defines the upper limit of the scan resolution. Figure 3b–f shows the results for the tests of different radar scan resolutions for the cloud situation shown in Fig. 1.

In this figure comparisons camera image simulations at 870 nm of LES based cloud reconstructions are shown for different scan resolutions between  $1^\circ$  and  $5^\circ$ . It can be seen in Fig. 3 that details of brightness gradients and general contrast of the data is widely lost at around  $4^\circ$  and above. Scans with resolutions coarser than approximately 2 to  $4^\circ$  have to be avoided as these scans do no longer allow detailed reconstruction. Detailed results of the root-mean-square error (RMSE) between interpolated and original cloud can be found in Table 2.

The specific trade-off between scan resolution and scan duration depends on the distance of the cloud (the larger the distance, the higher the angular resolution has to be) and the settings that determine the time to measure one profile (pulse repetition frequency, spectral averaging of spectra). In addition, the evolution time-scale and motion speed of a specific cloud has to be taken into account (turbulent convective vs. more static). In view of these constraints the scan resolution of a cloud radar can never reach the high spatial resolution of a passive imaging radiometer, which is only limited by sensor resolution and optics. For a cloud 5 km away the anticipated horizontal resolution of the front-facing cloud side lies in between 100–200 m. Choices for scan speed and scan resolution will be shown in Sect. 4 for specific applications on miraMACS data.

### 3.2 Remapping radar data to Cartesian space considering cloud motion

The measured data points are stored in spherical coordinates, the distance  $d$  from the radar together with the elevation angle ( $\Theta$ ) and the azimuth angle ( $\Phi$ ) of the beam. They are then remapped to Cartesian coordinates  $(x, y, z)$ .

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The time period for a scan with adequate resolution and scan speed is in the order of minutes. Depending on the atmospheric conditions, the cloud can change position significantly during this period. A complete consideration of this 3-D motion including turbulence is impossible. Nonetheless the main horizontal wind direction tangential to the radar position can be corrected. To this end the wind profile from a nearby atmospheric sounding can be used. Let  $t_0$  be the central time of a scan period. Each radar measurement has a time  $t_i$  and a location  $(x(t_i), y(t_i), z(t_i))$ . According to the wind speed  $u$  in  $x$  direction and  $v$  in  $y$  direction taken from a radiosonde profile,  $x(t_i)$  and  $y(t_i)$  are shifted to their approximate position at time  $t_0$  (using  $z(t_i)$  to select best vertical level in the sounding):

$$x(t_0) \approx x(t_i) + u \cdot (t_0 - t_i) \quad (7)$$

$$y(t_0) \approx y(t_i) + v \cdot (t_0 - t_i). \quad (8)$$

Thus, early measurements are shifted downwind, later measurements upwind.

### 3.3 Interpolation methods

The interpolation of the scattered radar data on a dense regular grid is the central reconstruction step. The reconstructed LWC field is necessary for all subsequent steps (radiance simulation, 3-D display of the cloud, application in passive cloud side remote sensing).

The sparse and inhomogeneously distributed data makes the interpolation challenging. In addition, the sensitivity limit with respect to small cloud droplets leads to some uncertainty in the definition of cloud boundaries. In order to consider these challenges, several interpolation methods and parameters were tested in the controlled environment of the LES cloud case: nearest-neighbour interpolation, Shepard method (Shepard, 1968), barycentric interpolation (Möbius, 1976), and natural neighbour interpolation (Sambridge et al., 1995). The results of all methods when applied to the same, synthetic measurement are shown in Fig. 4.

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From the visual impression of Fig. 4, some disadvantages of the different interpolation methods are already visible. The nearest-neighbour interpolation (Fig. 4a) produces box structures which become clearly visible when doing 3-D radiative transfer calculations. Though smoother in appearance the Shepard method leads to several artefacts as well, which are caused by its tendency to include data points further away. By eye, the deviation in radiance fields of the reconstructed cloud compared to the original model cloud seems lowest for barycentric and natural neighbour interpolation. The root-mean-square errors (RMSE) between the radiance fields of the reconstructed cloud and the original cloud does not clearly show these differences (see Table 3).

The interpolation artefacts can be seen more clearly in the liquid water content field. In Fig. 5 a horizontal cross-section (Fig. 5a) of an artificial cloud was sampled using the spatial resolution of the proposed S-RHI scan pattern (Fig. 5b). The following panels (Fig. 5c–f) show the results of the different interpolation methods. The grid box structure (Fig. 5d) of the nearest-neighbour method and the ripple pattern (Fig. 5e) of the Shepard method in-between the radar beams strongly distort the shape of the cloud boundary. In contrast the barycentric (Fig. 5f) and natural neighbour interpolation (Fig. 5c) yield very similar results. Both methods give a good reconstruction of the shape of the cloud boundary and the overall liquid water content field. Both of them tend to result in a slightly blurry reconstruction, especially at the cloud edges.

A more comprehensive analysis of the different methods can be made when the LWC fields are compared in the frequency domain. In Fig. 6 the power spectral density (PSD) of the LWC fields are shown for the different reconstruction methods when given the radar data with a scan resolution of  $2^\circ$ . Naturally, all reconstructed LWC fields fall short in reproducing the small scale LWC fluctuations. While the nearest-neighbour method produces too strong gradients, the returned fields for all other interpolation methods are too smooth. This behaviour becomes dominant at scales below the spatial sampling frequency (which varies between 50–250 m as a function of the radial distance). The natural neighbour interpolation field becomes too smooth while the barycentric and Shepard method reproduce the original PSD the best. Since the Shepard method did

not perform as well as the other two methods in the reconstruction of the radiance field (Fig. 4) and also showed problematic artefacts in the synthetic LWC field (Fig. 5) it is not taken into consideration. The tendency of the natural neighbour method to produce fields which are too smooth becomes more pronounced towards the 5° scan resolution.

5 This is shown in Fig. 7 where the variation of the PSD between 1–5° is plotted for the natural neighbour (red) and barycentric (green) method. It is evident that the PSD for the barycentric interpolation is less affected by scan resolution compared to the PSD for the natural neighbour interpolation.

10 Following these findings and due to its superior numerical stability (Berrut and Trethethen, 2004; Higham, 2004) the barycentric interpolation is chosen here. In the following, the S-RHI scan strategy with a resolution of 1 to 2° and the barycentric interpolation is used to reconstruct the 3-D cloud geometry.

### 3.4 Sensitivity to detection threshold

15 In cloud radar science there is always the question to which extent cloud boundaries measured by radar are equivalent to the ones found by optical means, e.g., lidar or human eye. This can be explained with the low sensitivity with respect to small droplets and to small droplet number concentrations (see Eq. 2). This leads to microwave signals which are too small to be detected, even though the backscattered signal at shorter, optical wavelengths is well measurable.

20 For a radar scan leading to a successful cloud geometry reconstruction, certain microphysical conditions have to be met. To this end, some further studies were conducted for the LES cloud data. As before, the radar simulator was situated in the lower left corner, but this time with varying values of droplet radius ( $r_0$  which affects the radar reflectivities (Eq. 2) and a varying distance between radar and cloud. All simulated radar scans were performed with 2° resolution in elevation and azimuth angle. Interpolation is done with the barycentric neighbour method. In Fig. 8 results are shown for  $r_0$  ranging from 1 to 10  $\mu\text{m}$  and for a distance between radar and closest cloud side of about 3 (top) and 10 km (bottom). As one would expect from Eq. (2), the cloud is

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time of 0.5 s, this results in a  $0.5^\circ$  resolution in elevation direction. A simple estimation for the spatial resolution ( $\Delta r$ ) in dependence of the distance  $d$  to the radar and the resolution in degree  $\Delta\alpha$  is given by  $\Delta r = 2 \cdot d \cdot \sin(\Delta\alpha)$ . With the closest cloud side at a distance of about 13 km to the radar, this leads to a resolution of about 300 m in azimuth and about 110 m in elevation direction. The radial resolution (determined by the radar range gate length) is 60 m.

Applying the described method (Sect. 3), a field of regularly spaced ( $\Delta x = \Delta y = \Delta z = 100$  m)  $z$  values was reconstructed. These radar reflectivity factors were converted to a field with values of LWC and droplet radius according to Sect. 2.2. This time the droplet radius was set constant to  $r_0 = 7.5 \mu\text{m}$ , the  $Z_e$ -cut-off was chosen to be at  $-25$  dBZ, corresponding to a maximal LWC of  $0.35 \text{ gm}^{-3}$ . A MYSTIC 3-D simulation provides a colour image (by simulating red, green and blue channels, Fig. 9, right) with field-of-view and spatial resolution matching the resolution of the installed camera. The images compare reasonably well (cf. Fig. 9, right).

The second case that is presented here is based on a scan on 25 July 2013 that took place between 15:23–15:27 UTC. This scan covered a range of  $30^\circ$  in azimuth and  $28^\circ$  in elevation direction (see camera picture in Fig. 10, left) and took about 4 min to scan the cloud. With a scan speed of  $2^\circ \text{ s}^{-1}$  and an averaging time of 0.5 s, a resolution of  $1^\circ$  was reached on the elevation axis. On the azimuth axis, also a  $1^\circ$  resolution was obtained. Since the wind speed was much higher on this day the cloud motion correction was even more important. The distance of the cloud, between 17 and 27 km, was partly beyond the range of the radar. Interpolation was done at an equidistant 100 m grid, leading to a field of  $Z_e$  values and related microphysical parameters ( $r_0 = 7.5 \mu\text{m}$ ,  $Z_e$ -cut-off at  $-25$  dBZ, max. LWC =  $0.35 \text{ gm}^{-3}$ ). The observed and simulated radiance image is shown in Fig. 10. The result again compares well to the camera picture.

As the radar provides unique capabilities, it is impossible to get a more objective verification for these reconstruction results. Nevertheless, for the intended application in combination with passive cloud side observations, the presented tool shows promising





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3. The subsequent interpolation of the scattered measurements from the consecutive radar profiles on a regular 3-D grid turns out to be challenging, due to the data sparseness. Different interpolation methods were examined. Among the tested interpolation methods (nearest-neighbour, Shepard method, barycentric interpolation and natural neighbour interpolation), the barycentric interpolation scheme yields the best result.

These steps were tested by means of a synthetic test bed of simulated cloud data from an LES model (the “truth”), cloud side radiance images (cloud “photos” parallel to the radar observation as quality measure), and derived radar scan data. The latter step assumes stationarity and a simplified conversion of equivalent radar reflectivity into microphysical parameters. On this synthetic radar data the techniques were applied to find necessary spatial (angular) resolution and best interpolation technique. Quality of reconstruction is always examined based on a comparison of simulated cloud side radiance images of true and reconstructed cloud geometry.

The reconstruction of 3-D cloud geometry is based on radar measurements which have their own set of limitations which are not main object of this work, but have to be considered. Situations exist when a cloud volumes radar reflectivity is below the radar sensitivity threshold. To characterise the microphysical situation in which radar data allows for reasonable reconstruction, the LES based radar simulations including an approximation of the radar detection threshold were used (Eq. 4). Based on given estimates from Metek GmbH<sup>1</sup> of the sensitivity of the miraMACS cloud radar, a minimum droplet radius of 3 μm (resp. 5 μm) for a cloud distance of 3 km (resp. 10 km) is required for a successful reconstruction. In reality the droplet radius even needs to be larger due to the broadening of the Doppler-spectrum caused by turbulence. The theoretical threshold values can be calculated for individual velocity bins lying within the receiver bandwidth corresponding to the inherent receiver noise characteristics. When

<sup>1</sup>Specification sheet available at <http://metekgmbh.dyndns.org/mira36x.html>.

turbulence causes differential radial velocities between cloud droplets the backscattered signal power gets spread over multiple velocity bins of the receiver bandwidth.

Through these limitations parts of the cloud volume stay undetected by the standard radar processing schemes. In some cases whole clouds are invisible to the radar at Munich. For example, this is the case for freshly formed cumuli and rather aerosol burdened situations, both facts leading to small droplets. Especially for the pure cloud detection and geometry reconstruction necessary for the presented methods, sensitivity could probably be improved if data quality requirements needed for more advanced evaluations are relaxed. Nonetheless, for specific cases reconstruction is successfully working, as presented for two cases of convective clouds. A comparison between the reconstructed clouds, in the form of simulated radiance images, and real pictures recorded during the scans show clear similarity of the lateral cloud edge contours as well as of 3-D features oriented towards the ground observations.

Thus, the planned combination with hyperspectral images of cloud sides in the Munich Aerosol Cloud Scanner project (MACS) may soon yield profiles of microphysical quantities.

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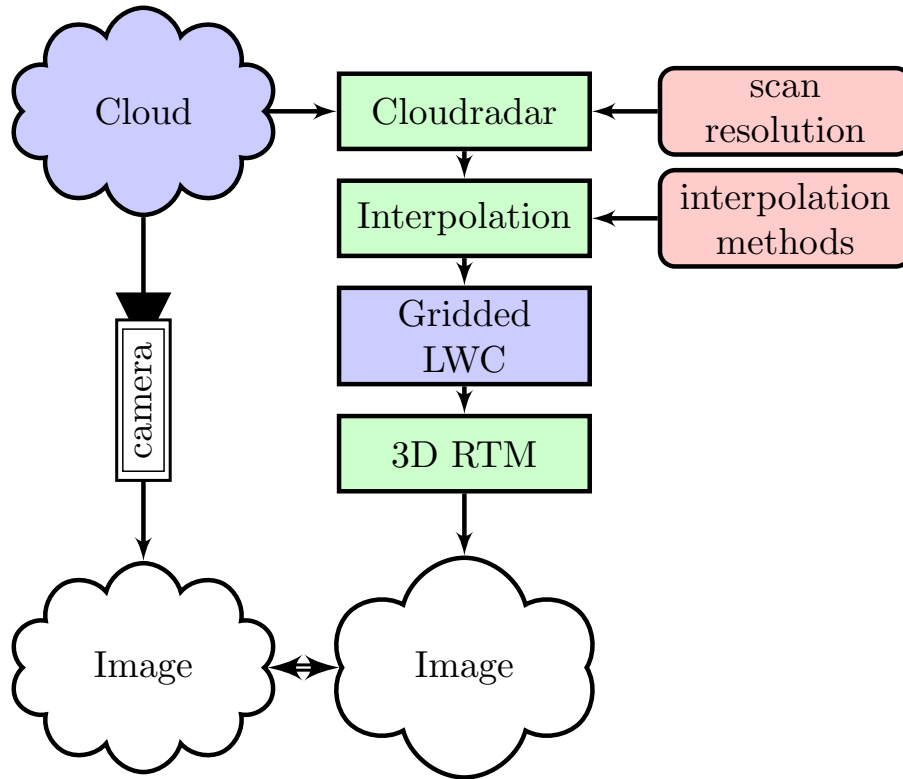












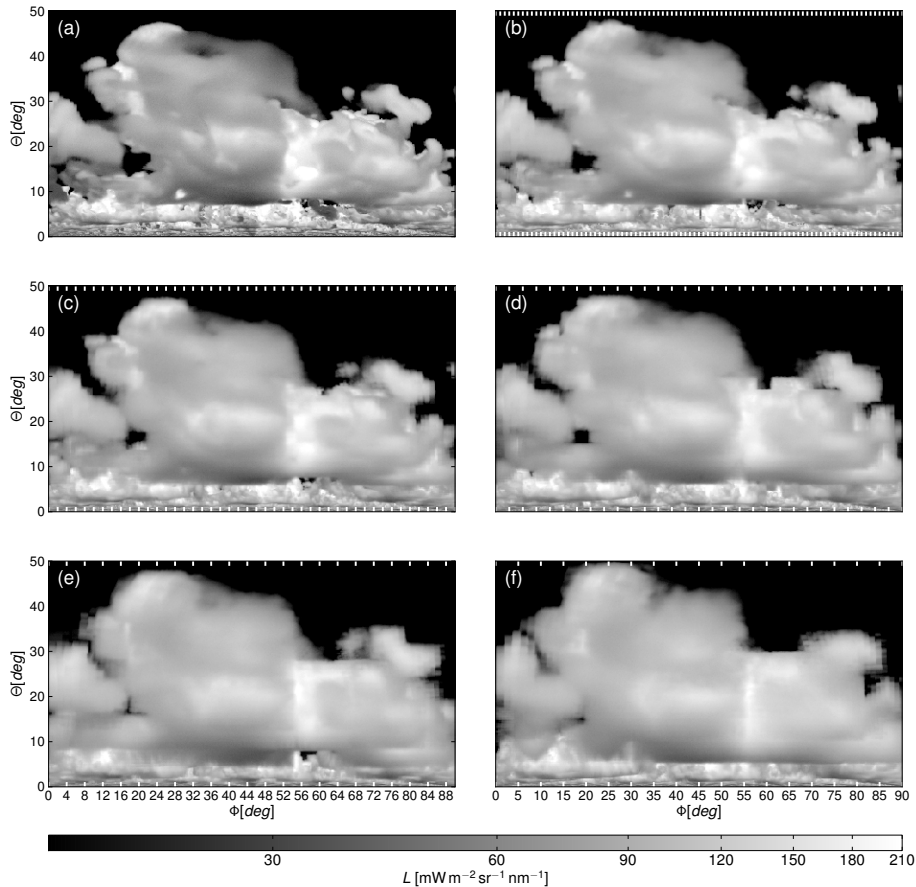
**Figure 2.** Illustration of the process chain leading from a radar scan to the 3d cloud reconstruction. Influence of scan resolution and interpolation method is analysed by the comparison of the simulated cloud field and the camera picture of the original cloud.

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**Figure 3.** Comparison of reconstruction result for different scan resolutions. **(a)** shows the “true” high resolution radiance panorama at 870 nm. Other panels show the radiance panorama for reconstructions at elevation and azimuth angle resolution of: **(b)** 1°, **(c)** 2°, **(d)** 3°, **(e)** 4°, **(f)** 5°. Interpolation method is barycentric (cf. Fig. 4).

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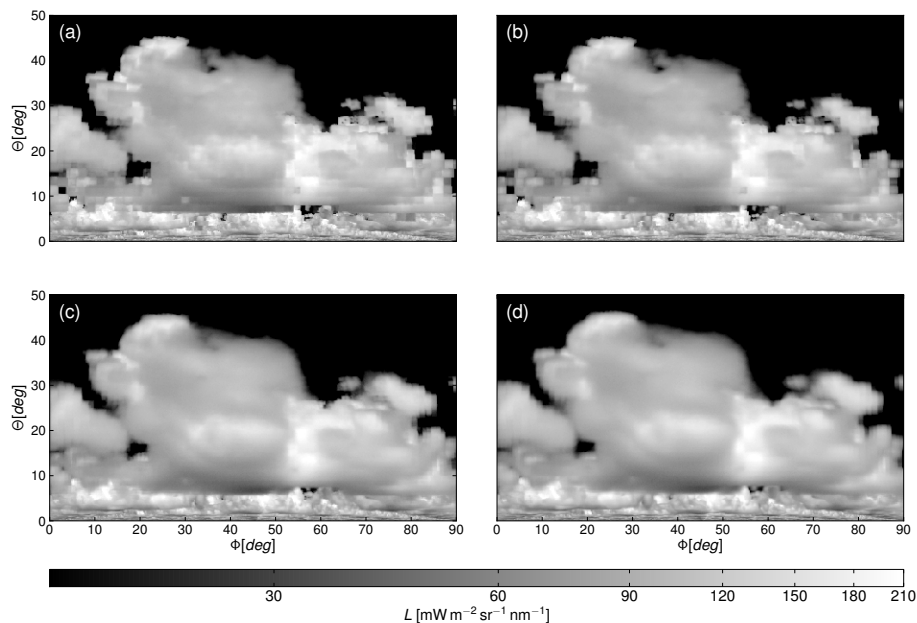
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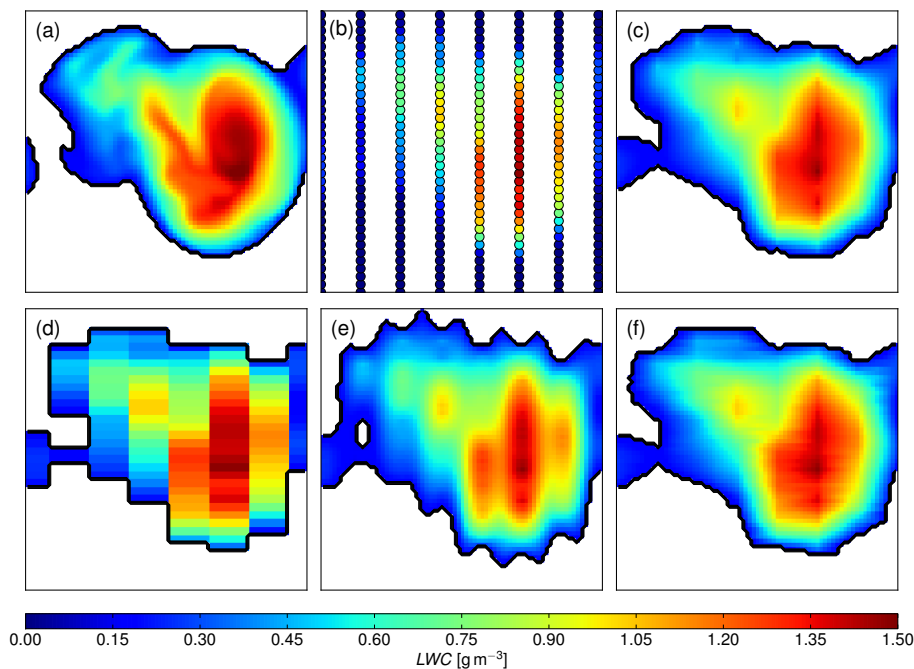


**Figure 4.** Comparison of reconstruction results for different interpolation methods on the basis of the high resolution radiance field at 870 nm. Results are shown for **(a)** the nearest-neighbour, **(b)** Shepard, **(c)** barycentric, **(d)** and natural neighbour interpolation when applied to 2° scan data.

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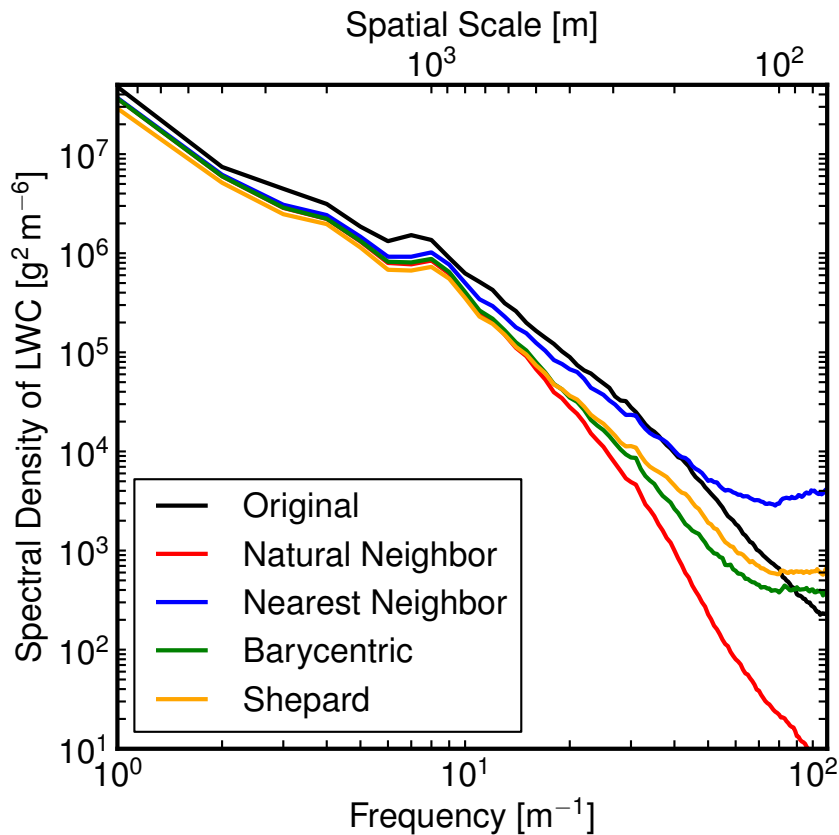
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**Figure 5.** Illustration of different interpolation techniques and corresponding artefacts: **(a)** Shows the “true” liquid water content field used, **(b)** represents scan resolution chosen for these interpolation tests. The artificial measurements in **(b)** were then used to reconstruct the original LWC field using **(c)** natural neighbour, **(d)** nearest-neighbour, **(e)** Shepard and **(f)** barycentric interpolation (cf. Fig. 4).

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**Figure 6.** Comparison of Power Spectrum Density of the reconstructed liquid water content fields (compare Fig. 4) for different interpolation methods. The black line shows the Power Spectrum Density (PSD) for the “true” LWC field. The other lines show the PSD for the reconstructions using the nearest-neighbour (blue), Shepard (yellow), barycentric (green) and natural neighbour (red) interpolation (cf. Fig. 4).

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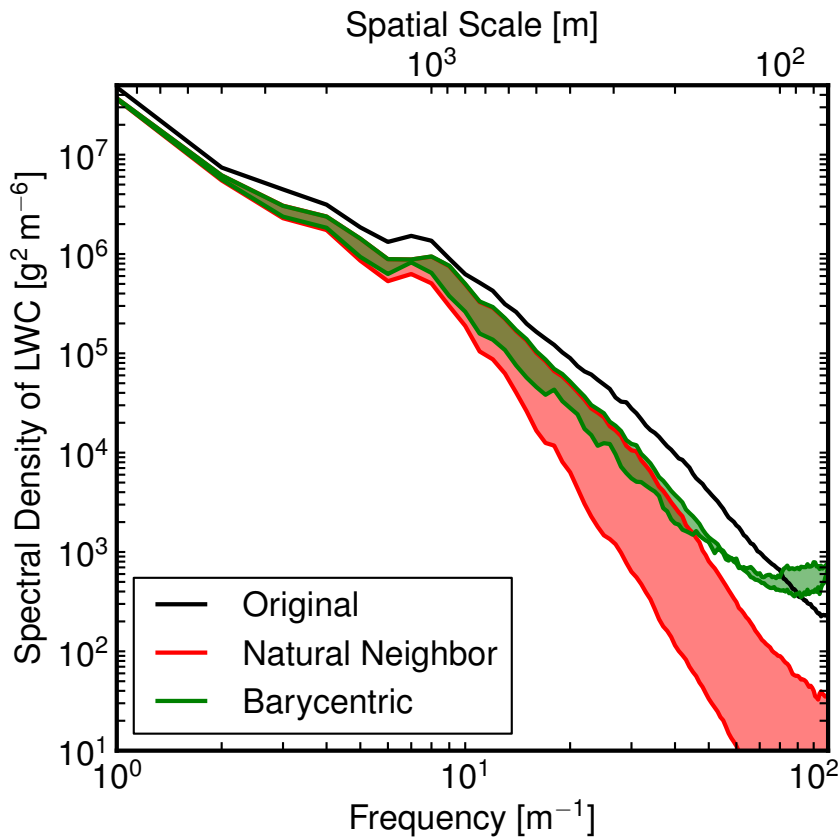
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**Figure 7.** Comparison of the variability of the Power Spectrum Density of the reconstructed liquid water content fields (compare Fig. 4) with different scan resolutions. The black line shows the Power Spectral Density for the “true” LWC field. The green shaded area encloses the PSDs of the barycentric interpolation between 1 and 5° scan resolution. The red shaded area encloses the PSDs of the natural neighbour interpolation between 1 and 5° scan resolution (cf. Fig. 3).

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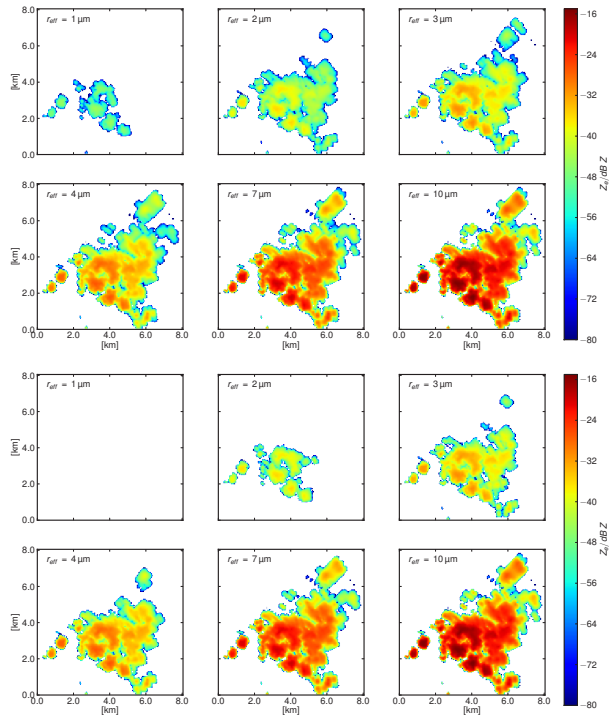
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**Figure 8.** A horizontal slice through the reconstructed volume of equivalent radar reflectivities (height = 1.7 km) shows the influence of the radar sensitivity limit on the reconstruction result. The figure illustrates the radar sensitivity limit as a function of the cloud droplet radius and the distance between the cloud and the radar. The fixed cloud droplet radius is varied from 1 to 10  $\mu\text{m}$  between the panels while the LWC is held constant. In the first six panels the radar simulator is situated at  $x = y = 0\text{ km}$  while in the last six panels the radar was moved further away at  $x = y = -7\text{ km}$  to illustrate the influence of the cloud-to-radar distance on the reconstruction result.

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