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# Characterization and verification of ACAM slit functions for trace gas retrievals during the 2011 DISCOVER-AQ flight campaign

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## Abstract

The Airborne Compact Atmospheric Mapper (ACAM), an ultraviolet/visible/near-infrared spectrometer, has been flown on board the NASA UC-12 aircraft during the DISCOVER-AQ (Deriving Information on Surface Conditions from Column and Vertically Resolved Observations Relevant to Air Quality) campaigns to provide remote sensing observations of tropospheric and boundary layer pollutants from its radiance measurements. To improve the trace gas retrieval from ACAM measurements, we perform detailed characterization and verification of ACAM slit functions. The wavelengths and slit functions of ACAM measurements are characterized for the air quality channel ( $\sim 304\text{--}500\text{ nm}$ ) through cross-correlation with a high-resolution solar irradiance reference spectrum after necessarily accounting for atmospheric gas absorption and the Ring effect in the calibration process. The derived slit functions, assuming a hybrid combination of asymmetric Gaussian and top-hat slit functions, agree very well with the laboratory-measured slit functions. Comparisons of trace gas retrievals between using derived and measured slit functions demonstrate that the cross-correlation technique can be reliably used to characterize slit functions for trace gas retrievals.

## 1 Introduction

Distinguishing near-surface pollution from satellite observations of total column abundances is one of the most challenging problems in Earth observations from space. The NASA Earth Venture DISCOVER-AQ (Deriving Information on Surface Conditions from Column and Vertically Resolved Observations Relevant to Air Quality) project was funded to tackle this challenge, relating column observations to surface concentrations for aerosols and key trace gases such as ozone ( $\text{O}_3$ ), nitrogen dioxide ( $\text{NO}_2$ ), and formaldehyde ( $\text{CH}_2\text{O}$ ) so as to improve the interpretation of satellite observations to diagnose near-surface conditions relating to air quality. The Airborne Compact Atmospheric Mapper (ACAM) is an Ultraviolet (UV)/visible/near-infrared spectrometer

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covering the spectral range 304–910 nm (Kowalewski and Janz, 2009). It has been flown on board the NASA UC-12 aircraft in both nadir-viewing mode and much less frequently in zenith-sky mode during the DISCOVER-AQ campaigns as the primary airborne instrument to provide remote sensing column abundances of O<sub>3</sub>, NO<sub>2</sub>, and

5 CH<sub>2</sub>O and explore O<sub>3</sub> profile observations (Liu et al., 2005a).

To accurately retrieve trace gases from ACAM measurements, it is important to perform accurate wavelength calibration and characterize the slit function. For satellite observations, wavelength and slit function calibrations are typically performed through cross-correlation of the measured solar irradiance at the top of the atmosphere (TOA)

10 (for both slit function and wavelength calibration) and the measured Earth-shine radiance (for wavelength calibration only) with a high resolution solar irradiance reference spectrum (Caspar and Chance, 1997; Chance, 1998; Chance and Kurucz, 2010) especially when accurate laboratory measurements of slit functions are not available. This cross-correlation technique has been applied to airborne or ground-based measurements

15 (e.g., Roscoe et al., 2010; Merlaud et al., 2012; Popp et al., 2012) and is applied to ACAM measurements to characterize the slit functions and wavelength registration as a function of wavelength. Unlike satellite instruments, airborne or ground-based instruments do not measure the extraterrestrial TOA solar irradiance but radiances or direct irradiances that go through atmospheric absorption and/or Rayleigh scattering.

20 Therefore, it might be important to include trace gas absorption and filling in of the solar Fraunhofer lines through Raman scattering (called the Ring effect in atmospheric spectroscopy) in the cross-correlation procedure especially when atmospheric absorption is significant (e.g., in the O<sub>3</sub> Hartley and Huggins bands). The inclusion of Ring effect and trace gas absorption spectra for wavelength calibration was used in performing OMI radianc

25 e wavelength calibration (Voors et al., 2006), but how the derived slit functions or wavelength shifts are affected by the exclusion of Ring effect and trace gas absorption has not been presented in literature. Recently, ACAM slit functions have been measured using lasers in the laboratory, at the National Institute of Standards and Technology (NIST) and results became available after we developed the cross-correlation

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procedure for ACAM data. These measured slit functions are very valuable not only for improving trace gas retrievals but also verifying the results from the cross-correlation technique. If the cross-correlation technique (Caspar and Chance, 1997) is demonstrated to work well, this method can be reliably applied to many ground-based or 5 airborne measurements when accurate measurements of slit functions as a function of wavelength are not available. Furthermore, the cross-correlation technique can complement the measured slit functions to monitor the in-flight variation of these parameters caused by thermal-induced variation and instrument degradation.

The main purposes of this paper are to perform ACAM wavelength and slit function 10 calibrations, compare derived and laboratory-measured slit functions for improving trace gas retrievals from ACAM measurements during the 2011 Baltimore-Washington DC DISCOVER-AQ campaign. It is organized as follows: the ACAM instrument, its characterization of slit functions and pre-mission spectral calibration, and data analysis 15 are described in Sect. 2. Section 3 focuses on the wavelength and slit function calibration, their comparison with laboratory-measured slit functions, and evaluation of different slit functions on trace gas retrievals. Section 4 summarizes this study.

## 2 ACAM instrument and data analysis

### 2.1 ACAM instrument

The ACAM flown on board the NASA UC-12 aircraft was designed and built at the 20 NASA Goddard Space Flight Center (GSFC). Its science objective is to provide remote sensing observations of tropospheric and boundary layer pollutants (e.g., O<sub>3</sub>, NO<sub>2</sub>, CH<sub>2</sub>O, aerosols), coastal ocean color and water vapor, and visible imagery for cloud and surface information. These observations help to serve as a basis to support the planning of future air quality and ocean science missions such as the Geostationary 25 Coastal and Air Pollution Events (GEO-CAPE) mission (Fishman et al., 2012) recommended by the National Research Council's (NRC) Earth Science Decadal Survey

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(NRC, 2007), and the first Earth Venture Instrument (EVI) project TEMPO (Tropospheric Emissions: Monitoring of Pollution) (Chance et al., 2013) which is a pathfinder for GEO-CAPE. The engineering objectives of ACAM are to determine the detection limits of commercially available miniature spectrometers and to improve in-field absolute calibration of UV/visible measurements to the sub-5 % accuracy level (Kowalewski and Janz, 2009).

ACAM includes two Czerny–Turner miniature spectrometers, the Air Quality (AQ) spectrometer and the Ocean Color (OC) spectrometer, and a high-definition video camera ( $2592 \times 1936$  pixels). The AQ spectrometer covers the spectral region 304–520 nm with a spectral resolution of  $\sim 0.8$  nm Full Width at Half Maximum (FWHM) and a spectral sampling of  $\sim 0.1$  nm, optimized for the measurement of tropospheric pollutants. The OC spectrometer covers the spectral region 460–910 nm with a spectral resolution of  $\sim 1.5$  nm FWHM and spectral sampling of  $\sim 0.19$  nm, optimized for ocean color and aerosols. ACAM can operate in two viewing modes, nadir mode, through a nadir port, and zenith-sky mode, through a zenith fiber port. In nadir mode, ACAM scans across the track up to angles of  $\pm 22.5^\circ$  with an angular sample dimension of  $3.6^\circ \times 5.6^\circ$  during a single integration period. Prior to the 2011 DISCOVER-AQ flight campaign, the ACAM instrument participated in 4 flight campaigns with  $\sim 150$  flight hours of successful operations.

During the 2011 Baltimore-Washington DC DISCOVER-AQ campaign (1–29 July 2011), ACAM was flown on the UC-12 platform together with the High Spectral Resolution Lidar (HSRL) for 13.5 flight days, remaining fully operational without any anomalies or downtime. Each flight day has a morning and an afternoon flight of  $\sim 4$  h each. ACAM measurements covered various very clean to polluted conditions. Weather conditions were primarily cloud free except for several days with 30–40 % cloud cover. The UC-12 aircraft typically flies at an altitude of 8.5 km with average ground speed of  $110 \text{ m s}^{-1}$ . ACAM mainly operates in nadir mode and takes a zenith-sky measurement every 20–30 min. In nadir mode, it scans across the track in 10 steps, with a native spatial sampling of  $\sim 0.75$  km across the track by  $\sim 0.5$  km

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along the track at the 8.5 km flight altitude. The along-track sample distance during one complete scan is typically 1.1 km. In this study, we use the step-pair average data, which gives a spatial sampling cadence of  $\sim 1.5 \text{ km} \times 0.5 \text{ km}$ .

ACAM slit functions were measured at NIST using a solid-state tunable laser source over the spectrometer's full spectral range in 5 nm steps at 0.1 nm accuracy. The laser produced pulses at a repetition rate of 1 kHz and 0.1 nm line width. These pulses entered a 6" internal diameter polytetrafluoroethylene (PTFE) integrating sphere mounted at the instrument's nadir view angle. The instrument was operated at flight temperatures and manually adjusted integration times in order to maximize sensitivity to the wings of the slit function.

Pre-mission spectral calibration was performed by diffusely illuminating the instrument field of view with multiple spectral line sources. Measurements of discrete spectral lines from mercury-argon and cadmium high-pressure discharge lamps were fit using skewed Gaussian line profiles. The area-weighted centroid of the line profiles was then fit to a third order polynomial as a function of detector pixel in order to characterize the spectrometer's dispersion. The uncertainty in the laboratory spectral registration is approximately 0.03 nm, based on the standard deviation (SD) of the dispersion fit residuals. Small wavelength shift can occur between shipment and flights as have been seen in previous ACAM missions, for example Costa Rica Aura Validation Experiment in 2006 (CRAVE, 2006). The one time wavelength shift can be caused by a number of things, like rough shipment to the hangar before integration or a small settling in the spectrometer optics. Post-mission spectral calibration can be similarly conducted to check the pre-mission spectral calibration.

## 2.2 Data analysis algorithm

The GOME/OMI O<sub>3</sub> profile retrieval algorithm (Liu et al., 2005b, 2010) is adapted to analyze ACAM data, performing wavelength and slit function calibrations and fitting trace gases. The O<sub>3</sub> profile algorithm is based on the SAO Basic Optical Absorption Spectroscopy (BOAS) trace gas fitting algorithm, using a nonlinear least-squares (NLLS)

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inversion technique (Chance, 1998), and adds the  $O_3$  profile retrieval capability to perform radiative calculations and retrieve  $O_3$  profile using the Optimal Estimation (OE) inversion technique. Both trace gas fitting and  $O_3$  profile algorithms minimize the differences between simulations and observations. We use the BOAS algorithm for the ACAM data analysis, in which simulation is iteratively done using an empirical model described below.

In the BOAS algorithm, simulation of radiance  $I_s(\lambda)$  in both wavelength/slit function calibration and trace gas fitting can be generically described by the following equation:

$$I_s(\lambda) = \left[ \left( A I_0(\lambda + \Delta\lambda) + \sum_i a_{1,i} X_{1,i}(\lambda) \right) \times \exp \left( \sum_i n_i \sigma_i(\lambda) \right) + \sum_i a_{2,i} X_{2,i}(\lambda) \right] \\ P_s^m(\lambda) + P_b^m(\lambda), \quad (1)$$

$I_0(\lambda)$  is the reference spectrum,  $A$  is the scaling parameter for  $I_0$ , and  $I_0(\lambda + \Delta\lambda)$  indicates the process of wavelength calibration/registration (e.g., shift and squeeze used in this study, or polynomial).  $X_{1,i}(\lambda)$ ,  $\sigma_i(\lambda)$ , and  $X_{2,i}(\lambda)$  are the basis functions in initial add-on, Beer's law, and second add-on modes, respectively, and  $a_{1,i}$ ,  $n_i$ , and  $a_{2,i}$  are the corresponding coefficients. The basis functions can include trace gas cross sections, Ring effect, undersampling spectra, and common residual spectra. Each basis function is typically included in only one of the modes (e.g., cross sections as  $\sigma_i$ , Ring effect (Chance and Spurr, 1997) as  $X_{1,i}$  and common mode as  $X_{2,i}$ ).  $I_0$  is either the reference spectrum (e.g., for trace gas fitting) or can be derived from a high-resolution solar irradiance reference spectrum  $I_{h0,\lambda'}$  convolved with slit functions  $S_\lambda$  when doing wavelength and slit function calibrations as:

$$I_0(\lambda) = \int_{\lambda'} I_{h0,\lambda'} S_{\lambda'} d\lambda' / \int_{\lambda'} S_{\lambda'} d\lambda'. \quad (2)$$

In this study, we use the recently published high-resolution solar reference spectrum by Chance and Kurucz (2010), which is radiometrically calibrated to an absolute solar

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reference spectrum from ATLAS shuttle flights measured at coarser spectral resolution (Thuillier et al., 2004).  $\sigma(\lambda)$  are the effective cross sections at instrument resolution. They can be derived by convolving high resolution cross sections  $\sigma_{h,\lambda'}$  with instrument slit functions in a way correcting for the solar- $I_0$  effect (Aliwell et al., 2002):

$$5 \quad \delta(\lambda) = -\frac{1}{m} \ln \left[ \int_{\lambda'} \exp(-m\delta_{h,\lambda'}) I_{h0,\lambda'} S_{\lambda'} d\lambda' \left/ \int_{\lambda'} I_{h0,\lambda'} S_{\lambda'} d\lambda' \right. \right], \quad (3)$$

where  $m$  is selected as the typical column amount corresponding to each trace gas as its value only slightly affects the correction of the solar- $I_0$  effect (Aliwell et al., 2002).  $P_s^m(\lambda)$  and  $P_b^m(\lambda)$  are the scaling and baseline  $m$ th-order polynomials, respectively, defined as:

$$10 \quad P^m(\lambda) = \sum_{i=0}^m P_i (\lambda - \lambda_{\text{avg}})^i, \quad (4)$$

where  $P_i$  is coefficient for each order,  $\lambda_{\text{avg}}$  is the average wavelength of the fitting window. For previous GOME, OMI, GOME-2, satellite instruments, we have typically assumed slit functions  $S_\lambda$  to be Gaussian or asymmetric Gaussian (Chance, 1998; Liu et al., 2005b, 2010; Cai et al., 2012). Initial comparison with measured slit functions at some wavelengths suggests that the measured slit width is slightly broader near the top and slightly narrower near the bottom, so we implement a broadened Gaussian shape slit function, i.e., a hybrid combination of asymmetric Gaussian  $S_{g,\lambda}$  and top-hat  $S_{t,\lambda}$  slit functions weighted by  $1 - f_t$  and  $f_t$  ( $f_t$  ranges from 0 to 1) respectively, defined as follows:

$$20 \quad S_\lambda = S_{g,\lambda}(1 - f_t) + S_{t,\lambda}f_t = \exp \left\{ - \left[ \frac{\Delta\lambda}{h_g(1 + \text{sgn}(\Delta\lambda)a_g)} \right]^2 \right\} (1 - f_t) \\ + \exp \left\{ - \left[ \frac{\Delta\lambda}{h_t(1 + \text{sgn}(\Delta\lambda)a_t)} \right]^4 \right\} f_t, \quad (5)$$

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where  $h_g/a_g$  and  $h_t/a_t$  are the half-width at  $1/e$  intensity/asymmetric factor for each slit function, respectively, and  $\Delta\lambda$  is the wavelength difference from the wavelength to be weighted to the slit center,  $\text{sgn}$  is the sign function. Equation (5) can be used to represent pure/asymmetric Gaussian/top-hat slit functions if some variables are fixed.

5 For example, if  $f_t$  is fixed to be 0/1, the slit function becomes asymmetric Gaussian/top-hat; if  $f_t$  is 0/1 and  $a_g/a_t$  is 0, the slit function becomes pure Gaussian/top-hat. A similar hybrid slit function has been used to parameterize OMI laboratory-measured slit functions (Dirksen et al., 2006). The main differences are that we introduce the use of asymmetric factors to represent the slit asymmetry, and we do not include offsets from slit center (i.e., slit always peaks at  $\Delta\lambda = 0$ ) as the offsets are highly correlated with wavelength shift parameters so that the fitting is difficult to converge.

10 The above equations describe the model to simulate radiances for doing wavelength and slit function calibrations. If slit functions are known, either pre-determined from fitting or laboratory measurements, the above algorithm can be used to do wavelength calibration after convolution with the known slit functions. The trace gas fitting is almost 15 the same except that the irradiance reference spectrum and slit function parameters are pre-determined.

### 3 Instrument wavelength and slit function calibration

#### 3.1 Impacts of including absorption and Ring effect

20 To investigate whether it is necessary to account for trace gas absorption and Ring effect in the calibration process, we compare fitting residuals, fitted slit functions (broadened slit function) and wavelength shifts with and without accounting for the absorption and Ring effect. Figure 1 presents the average relative fitting residuals of wavelength and slit function calibration for the two cases in the  $\text{O}_3$  and  $\text{NO}_2$  fitting windows. As 25 expected, the inclusion of these effects significantly reduces fitting residuals especially in the  $\text{O}_3$  fitting window due to very strong ozone absorption. The relative fitting Root

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Mean Square (RMS) of the residuals are reduced from  $\sim 1.7\%$  to below  $0.2\%$  in the  $O_3$  fitting window, and from  $0.73$  to  $0.34\%$  in the  $NO_2$  fitting window.

To compare the derived slit functions and wavelength shifts throughout the ACAM AQ channel, we perform calibrations in windows of 201 spectral pixels ( $\sim 20\text{ nm}$ ) with 5 3-pixel increment sliding through the channel for a selected ACAM ground pixel. All slit parameters and wavelength shifts (derived from shift and squeeze parameters) from initial wavelength assignment in ACAM data for all windows including a particular wavelength are averaged to derive the parameters for that wavelength. Due to the use of five parameters in the slit function, we only compare the slit full widths at half maximum (FWHMs) derived from the slit parameters. Figure 2 compares the derived slit FWHMs and wavelength shifts in the ACAM AQ channel ( $\sim 304\text{--}500\text{ nm}$ ) for the two cases. Without the absorption and Ring effect, the slit FWHMs show more wavelength-dependent variation and are typically overestimated by  $0.01\text{--}0.06\text{ nm}$ . The large overestimation of  $\sim 0.05\text{ nm}$  at  $\sim 400\text{ nm}$  is due to neglecting the filling in of Calcium II H and K Fraunhofer lines, and the overestimation of  $\sim 0.02\text{--}0.05\text{ nm}$  around  $430\text{--}480\text{ nm}$  is mainly due to the neglect of Ring effect,  $O_2\text{-}O_2$ , and  $O_3$  absorption. The derived slit functions are also less stable and vary more from ground pixel to pixel especially in these two regions around  $400$  and  $450\text{ nm}$ . Finally, the wavelength shift is less sensitive to the inclusion of absorption and the Ring effect but can differ by up to  $\sim 0.08\text{ nm}$  15 especially around  $370\text{--}440\text{ nm}$ . These significant differences indicate that atmospheric gas absorption and the Ring effect should be considered in the slit function calibration of ACAM radiances.

20

### 3.2 Derivation of ACAM wavelength registration and slit functions

Figure 3 presents time series of derived slit FWHMs (assuming broadened Gaussian) 25 for  $O_3$  ( $318\text{--}335\text{ nm}$ ) and  $NO_2$  ( $430\text{--}486\text{ nm}$ ) fitting windows for all the ACAM ground pixels on 21 July 2011. There are variations of up to  $0.08\text{ nm}$  after the aircraft take-off while the sensor and detector temperature take up to 45 min to stabilize. The slit parameters then become relatively more stable, with smaller fluctuations of  $\sim 0.01\text{--}0.02\text{ nm}$

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likely due to a combination of thermal-induced variations and uncertainties in the derived slit parameters. Those fluctuations indicate that we need to average results from more ACAM ground pixels to derive accurate ACAM slit functions for temperature-stabilized operating conditions. For retrievals from pixels during the take-off, it might be 5 important to account for the temporal variation of the slit functions.

To average the derived slit and wavelength parameters, we perform calibrations for 53 ACAM ground pixels from 21 July 2011 after the UC-12 aircraft is cruising at a nearly constant flight altitude (i.e., after the ACAM sensor temperature becomes stable). Figure 4a–c compares the average derived slit functions assuming asymmetric/broadened 10 Gaussian slit functions with laboratory measurements at three wavelengths (325, 340, and 460 nm, representing the O<sub>3</sub>, CH<sub>2</sub>O, and NO<sub>2</sub> fitting windows, respectively). The derived asymmetric Gaussian slit functions generally agree well with measured slit functions. However, the widths are generally narrower around the centers and wider near the wings. The derived broadened Gaussian slit functions clearly match the measurements better around both the centers and wings for all the wavelengths, although 15 there are still some notable differences especially around the wings. Figure 4d compares the derived and measured slit FWHMs. The derived broadened Gaussian slit FWHMs correlate with the measured slit FWHMs (with a linear correlation  $R$  of 0.94) better than the asymmetric Gaussian slit FWHMs ( $R$  = 0.84) with smaller SDs of the differences, although their differences from the measured slit FWHMs are larger by 20 ~ 0.02 nm on average. The larger derived FWHMs assuming broadened Gaussian slit function are likely due to the shape differences between measured and derived slit functions, the lack of sensitivity to pull out the wing structures so that the algorithm weights the core more than the wings, and small changes in slit widths. Based on the 25 comparison, the broadened Gaussian slit function is selected to represent the ACAM slit function.

Figure 5 shows the derived slit parameters (assuming broadened Gaussian slit function), as well as wavelength shifts as functions of wavelength for the 53 ground pixels. All the ground pixels show significant yet similar spectral variations in the slit

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parameters. There are some slight variations from ground pixel to pixel as expected from Fig. 3. The relatively larger variation in individual slit parameters around 400 and 480 nm is due to larger uncertainties in the competitive determination of slit parameters for asymmetric Gaussian and asymmetric top-hat functions although the combined overall slit functions show less variation. Due to the variation among pixels, we use the average wavelength-dependent slit parameters (black lines) to represent ACAM slit functions under stabilized operating conditions. The wavelength calibration shows large wavelength shifts of up to  $-0.4$  nm from the pre-mission wavelength registration. This shift has been verified in post-mission calibration and is likely due to one time wavelength shift between shipments and flights as mentioned in Sect. 2.1. To reduce high-frequency fine structures in the wavelength dependence of spectral intervals, we fit a 6th-order polynomial to the average wavelength shifts and add it to the initial wavelength grid to define the new wavelength grid. The derived slit FWHMs and wavelength shifts are similar and typically within 0.015 nm on different days, so we use these slit parameters and this new wavelength grid for all the ACAM data during the 2011 DISCOVER-AQ campaign except for data during the aircraft take-off and landing, which are skipped in current processing.

Despite the use of  $\sim 50$  pixels in the calibration, we found that the derived slit parameters from an average radiance spectrum are very similar to the average slit parameters from many pixels, suggesting that significant speedup can be achieved in the slit function and wavelength calibration process. In addition, the time consuming process of performing calibration in 545  $\sim$  20 nm windows sliding through the channel in 3-pixel increments is to characterize the wavelength dependence of the slit functions. For spectral regions with less spectral variation in the slit functions, the calibration process can be quickly done using the retrieval fitting window on the ground pixel level (e.g., results in Fig. 3), accounting for the variation of slit functions from pixel to pixel.

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### 3.3 Evaluation of different slit functions on trace gas retrievals

To evaluate the quality of derived slit functions, we compare the O<sub>3</sub>, CH<sub>2</sub>O, and NO<sub>2</sub> retrievals using the derived asymmetric and broadened Gaussian slit functions with those using the measured slit functions. The fitting windows are optimized to 318–335, 5 324–357, 430–486 nm, respectively. For all the slit functions, we use the average slit functions over the fitting windows. Average radiance spectra over selected ground pixels are used as reference spectra in the fittings so Differential Slant Column Densities (DSCDs) are derived. Figure 6 compares the fitted DSCDs and the DSCD differences between using derived and measured slit functions. Using the derived slit 10 functions leads to comparable fitting precisions with those using the measured slit functions except for clear improvement in CH<sub>2</sub>O fitting precision. The mean biases and SDs of the differences of using both derived slit functions are much smaller than the CH<sub>2</sub>O and NO<sub>2</sub> fitting precisions. Using both derived slit functions produces similar O<sub>3</sub> DSCDs relative to those using measured slit functions, with small mean biases and 15 SDs of  $\sim -1.2 \text{ DU} \pm 1.1 \text{ DU}$ . These values are about half of the mean fitting precision of  $\sim 2.3 \text{ DU}$ , although the retrieved DSCDs show systematic biases varying with solar zenith angle (time) from  $\sim 1$  to  $\sim -2.5 \text{ DU}$ . The retrieval evaluations demonstrate that the cross correlation technique provides a reliable alternative to characterize ACAM slit functions for trace gas retrievals.

## 20 4 Summary

The Airborne Compact Atmospheric Mapper (ACAM), an Ultraviolet (UV)/visible/near-infrared spectrometer, was flown on board the NASA UC-12 aircraft during the DISCOVER-AQ campaigns as the main airborne instrument to provide remote sensing column observations of several key trace gases including O<sub>3</sub>, NO<sub>2</sub>, and CH<sub>2</sub>O. To 25 improve the trace gases retrievals from ACAM radiance spectra, we perform detailed wavelength and slit function calibrations for the air quality channel ( $\sim 304\text{--}500 \text{ nm}$ )

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through cross-correlation of ACAM nadir radiances to a high-resolution solar irradiance reference spectrum by assuming asymmetric Gaussian and broadened Gaussian (i.e., a hybrid combination of asymmetric Gaussian and top-hat) slit functions. As ACAM measurements always contain signatures from atmospheric trace gas absorption and

5 the Ring effect, we found out that it is necessary to take them into account in the cross-correlation calibration process. Without accounting for these effects, the derived slit functions show more spectral variation and the slit widths in full width at half maximum are overestimated by  $\sim 0.01\text{--}0.06$  nm. The derived slit functions show significant temporal variation after the aircraft takeoff before the sensor and detector temperatures are  
10 stabilized and show some fluctuations afterwards, probably due to uncertainties in the calibration and thermally-induced variations, so we derive average slit functions and wavelength shifts using  $\sim 53$  pixels after the ACAM instrument becomes more stable. The derived slit functions assuming broadened Gaussian slit functions agree very well with laboratory measured slit functions, better than the assumed asymmetric Gaussian  
15 slit functions in both near the centers and the wings. The initial wavelength registration shows wavelength shifts of up to  $\sim -0.4$  nm, which are removed by fitting a 6th-order polynomial to the wavelength shifts. Trace gas retrievals of  $\text{O}_3$ ,  $\text{CH}_2\text{O}$ , and  $\text{NO}_2$  using derived slit functions agree very well with those using measured slit functions to fitting precisions, demonstrating that the cross-correlation technique can be reliably used to  
20 characterize slit function for trace gas retrievals.

In a following paper, we will apply the derived slit functions and wavelength calibration for ACAM data from the 2011 DISCOVER-AQ campaign to derive  $\text{O}_3$ ,  $\text{NO}_2$ , and  $\text{CH}_2\text{O}$  SCDs, and convert them to vertical column densities through air mass factor calculations. We will validate our retrievals with DISCOVER-AQ aircraft, ground-based  
25 and satellite measurements.

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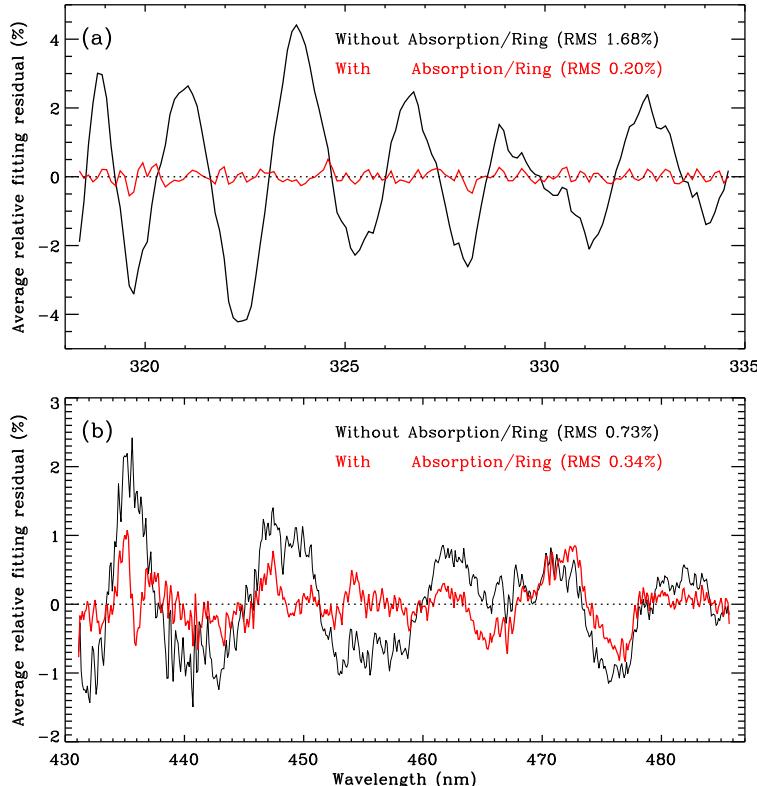
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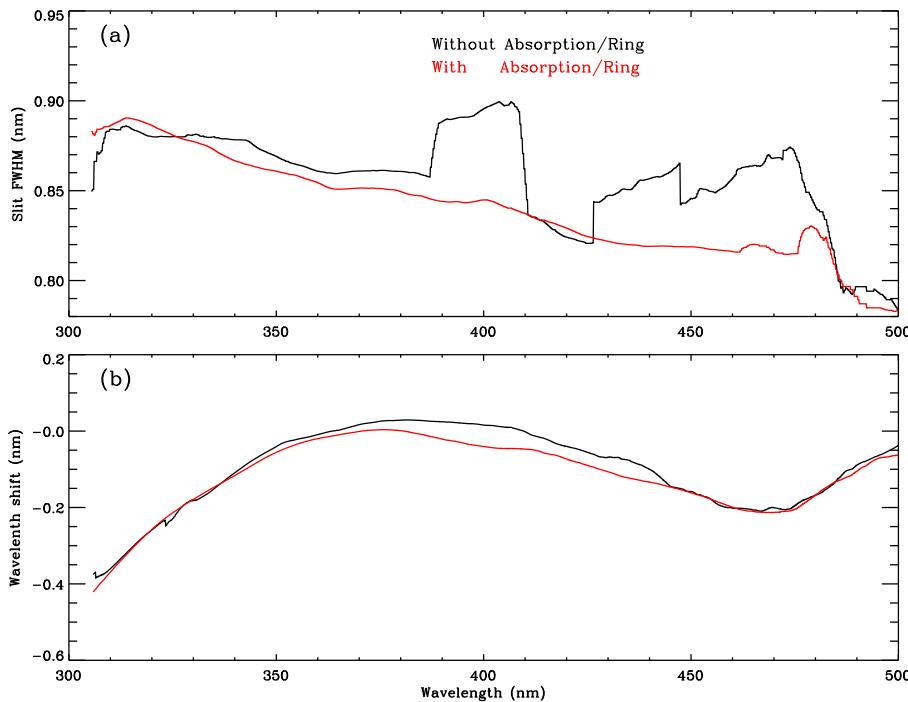


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**Figure 1.** Comparison of average relative fitting residuals for ACAM data from 21 July 2011, with and without considering absorption and Ring effect for (a) the  $O_3$  fitting window, and (b) the  $NO_2$  fitting window. The values in parenthesis indicate the average Root Mean Square (RMS) of the relative fitting residuals.

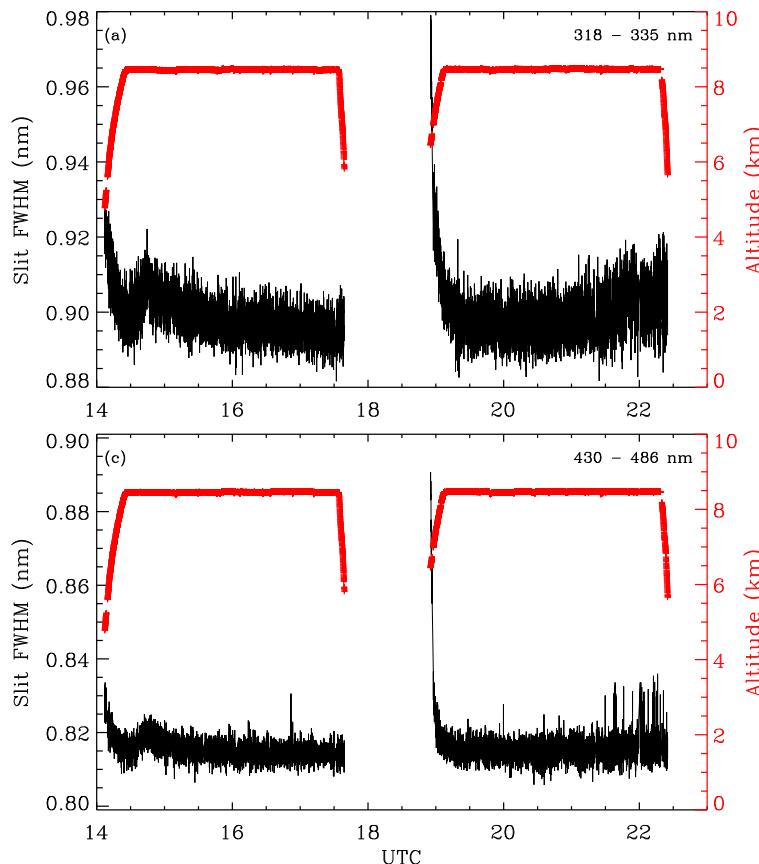


**Figure 2.** Comparison of derived **(a)** slit Full Width at Half Maximum (FWHM) and **(b)** wavelength shift, as functions of wavelength for the ACAM air quality channel (304–500 nm), with and without accounting for the absorption and Ring effect, for a pixel from 21 July 2011. The slit functions and wavelength shifts are derived over a range of 201 ACAM pixels ( $\sim 20$  nm) in 3-pixel increments sliding through the channel. The derived slit and wavelength parameters at a wavelength are the average of the corresponding parameters in all windows including this wavelength.

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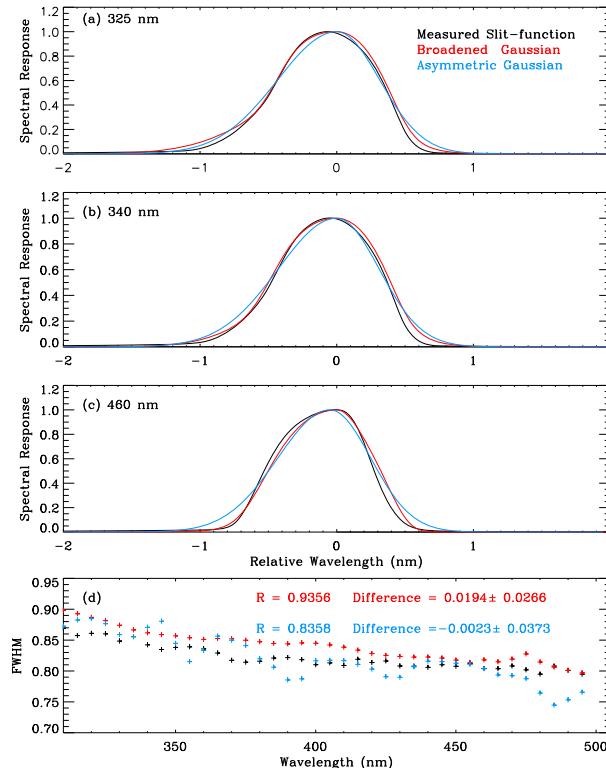
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**Figure 3.** Time series of derived slit Full Width at Half Maximum (FWHM, black line) for the  $O_3$  (318–335 nm) and  $NO_2$  (430–486 nm) fitting windows from ACAM data from 21 July 2011. The red symbols indicate the corresponding flight altitude.

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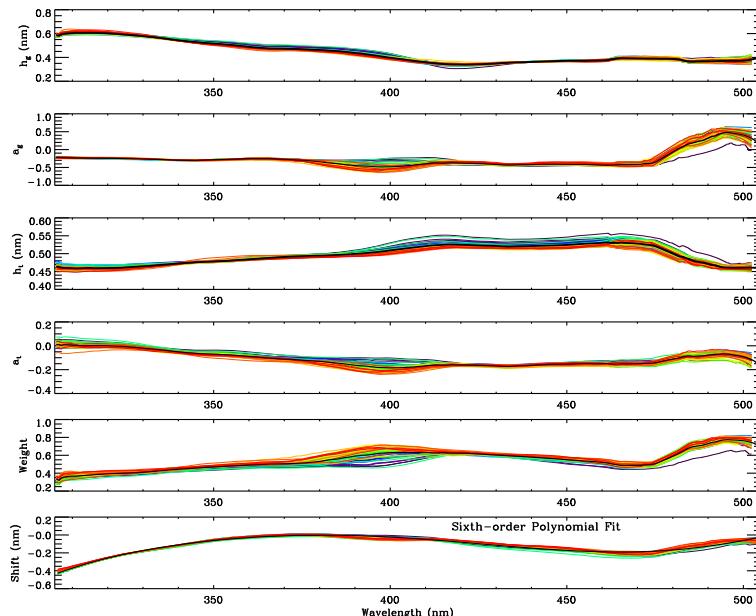
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**Figure 4.** Comparison of derived ACAM asymmetric Gaussian (blue) and broadened Gaussian (red) slit functions with laboratory-measured slit functions (black) at **(a)** 325, **(b)** 340, **(c)** 460 nm, representing the  $\text{O}_3$ ,  $\text{CH}_2\text{O}$ , and  $\text{NO}_2$  fitting windows, respectively. The measured slit function has been shifted  $-0.07$  nm in **(a)** and  $0.05$  nm in **(b)**, and the asymmetric Gaussian slit function has been shifted  $-0.05$  nm in **(c)**. **(d)** Comparison of derived slit Full Width at Half Maximum (FWHM) as a function of wavelength. The correlations, mean differences and  $1\sigma$  SDs with respect to the measured slit FWHMs are shown.

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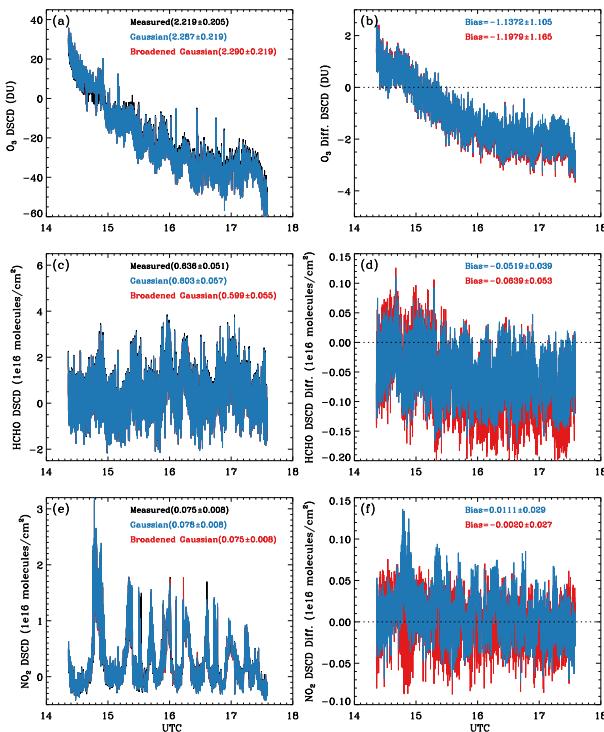
**Figure 5.** Derived asymmetric Gaussian slit half width at  $1/e$  intensity ( $h_g$ ), asymmetry factor ( $a_g$ ), asymmetric top-hat slit half width at  $1/e$  intensity ( $h_t$ ) and asymmetric factor ( $a_t$ ), the fraction of top-hat slit function, and wavelength shift from the initial wavelength assignment as functions of wavelength in the ACAM air quality channel using 53 ACAM ground pixels on 21 July 2011. Different colors are for different ACAM pixels; the black lines are the average parameters (slit parameters) or a 6th-order polynomial fit (wavelength shift). The slit parameters and wavelength shifts are derived over a range of 201 ACAM pixels ( $\sim 20$  nm) in 3-pixel increments sliding through the channel. The derived parameters at a wavelength are the average of these parameters in all windows including this wavelength.

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**Figure 6.** (left) Comparison of fittings of  $O_3$ ,  $CH_2O$ , and  $NO_2$  (left) Differential (relative to average nadir references) Slant Column Densities (DSCDs) from 21 July 2011 using derived Gaussian (blue) and Broadened Gaussian (red) and measured (black) slit functions, and (right) the DSCD differences between derived and measured slit functions (derived – measured). The legends show average fitting precisions and their SDs for left panels, and mean biases and their SDs for right panels.