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# The CU 2-dimensional MAX-DOAS instrument – Part 1: Retrieval of NO<sub>2</sub> in 3 dimensions and azimuth dependent OVOC ratios

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## Abstract

We present an innovative instrument telescope, and describe a retrieval method to probe 3-D distributions of atmospheric trace gases that are relevant to air pollution and tropospheric chemistry. The University of Colorado (CU) two dimensional (2-D) Multi-AXis-Differential Optical Absorption Spectroscopy (CU 2D-MAX-DOAS) instrument measures nitrogen dioxide ( $\text{NO}_2$ ), formaldehyde (HCHO), glyoxal (CHOCHO), oxygen dimer ( $\text{O}_2\text{-O}_2$ , or  $\text{O}_4$ ) and water vapor ( $\text{H}_2\text{O}$ ); also nitrous acid (HONO), bromine monoxide (BrO), iodine monoxide (IO) among other gases can in principle be measured. Information about aerosols is derived through coupling with a radiative transfer model (RTM). The 2-D telescope has 3 modes of operation: (mode 1) measures solar scattered photons from any pair of elevation angle ( $-20^\circ < \text{EA} < +90^\circ$  or zenith; zero is to the horizon) and azimuth angle ( $-180^\circ < \text{AA} < +180^\circ$ ; zero being North), (mode 2) measures any set of AA at constant EA (almucantar scans); and (mode 3) tracks the direct solar beam via a separate view port. Vertical profiles of trace gases are measured, and used to estimate planetary boundary layer height (PBL). Horizontal distributions are then derived using PBL and parameterization of RTM (Sinreich et al., 2013).  $\text{NO}_2$  is evaluated at different wavelengths (350, 450, and 560 nm), exploiting the fact that the effective path length varies systematically with wavelength. The area probed is constrained by  $\text{O}_4$  observations at nearby wavelengths, and has an effective radius of 7.5 to 20 km around the instrument location; i.e., up to  $1250 \text{ km}^2$  can be sampled near-instantaneously, and with high time resolution. The instrument was deployed as part of the Multi Axis DOAS Comparison campaign for Aerosols and Trace gases (MAD-CAT) in Mainz, Germany from 7 June to 6 July 2013. We present first measurements (modes 1 and 2 only) and describe a four-step retrieval to derive (a) boundary layer vertical profiles of  $\text{NO}_2$  and PBL; (b) near-surface horizontal distributions of  $\text{NO}_2$ ; (c) range resolved  $\text{NO}_2$  horizontal distribution measurements using an “onion peeling” approach; and (d) the ratios HCHO-to- $\text{NO}_2$  ( $R_{\text{FN}}$ ), CHOCHO-to- $\text{NO}_2$  ( $R_{\text{GN}}$ ), and CHOCHO-to-HCHO ( $R_{\text{GF}}$ ) at 14 pre-set azimuth angles distributed over

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showed measurements with four azimuth viewing angles with a fixed EA to retrieve surface mixing ratios with a 2-dimensional (2-D) axis system. On the other hand, direct sun irradiance measurements with a 2-D telescope have been introduced in Herman et al. (2009). The development of a 2-D instrument with maximally flexible viewing directions and of retrieval strategies to obtain a full representation of air masses is timely and currently missing.

Different inversion strategies have been developed for the quantitative retrieval of trace gases from MAX-DOAS measurements. These inversion algorithms have the goal of converting the primary output of the DOAS analysis, called differential Slant Column Density (dSCD), into comparable information such as vertical concentration profiles, which are not dependent on the measurement geometry or the state of the atmosphere. The retrieval strategies can be divided into (1) full inversion approaches, for instance, Optimal Estimation (OE) (Rodgers, 2000, 1990) for the retrieval of vertical profiles accomplishing 2–3 degrees of freedom (DOF) (Schofield et al., 2004; Frieß et al., 2006; Clémer et al., 2010; Irie et al., 2011; Hendrick et al., 2014), and (2) parameterization methods which simplify the transfer model, and provide fast results with less computational effort (Li et al., 2010; Wagner et al., 2011; Sinreich et al., 2013).

In this work, the characterization of the University of Colorado (CU) 2D-MAX-DOAS instrument is described. The capabilities of the CU 2D-MAX-DOAS include (1) the traditional off axis (EA scan), at any AA, (2) the AA scan, at any single EA (almucantar), and (3) direct sun observations. The different modes of measurements maximize sampling of the horizontal and vertical distribution of trace gases with a single instrument and with fast time resolution. The aim of this study is to use data from modes 1 and 2 to present an innovative retrieval of 3-D distributions (further development based on Sinreich et al., 2013). Our retrieval of  $\text{NO}_2$  combines full inversion and parameterization approaches with “onion peeling”. Section 3 introduces the complete retrieval strategy of  $\text{NO}_2$  in 3 dimensions. To our knowledge these are the first attempts by passive remote sensing to retrieve range resolved horizontal distributions of  $\text{NO}_2$  covering  $360^\circ$  around the measurement site. We show that 2-D analysis of trace gas ratios can be used to

pinpoint and distinguish VOC emission types (biogenic and/or anthropogenic) and O<sub>3</sub> production hot spots. Finally in Sect. 4 results are presented and we compare the NO<sub>2</sub> obtained with the spatial scale probed by 2D-MAX-DOAS at different wavelengths and retrieved with the Ozone Monitoring Instrument (OMI) measurements.

## 2 Experimental

### 2.1 The CU 2D-MAX-DOAS instrument

The CU 2D-MAX-DOAS instrument is a further development of the 1-D CU MAX-DOAS instrument (Coburn et al., 2011). For a detailed characterization of variations of the instrument line shape with wavelength, temperature, integration times and noise limitations see Coburn et al. (2011). In the following, we present a short description of the CU 2D-MAX-DOAS system, with emphasis on the 2-D telescope. Similar to the 1-D device, the CU 2D-MAX-DOAS was designed to exhibit a very low residual error in both the UV and Visible spectral ranges. This allows the detection of trace gases (e.g., IO, BrO, HCHO, CHOCHO, NO<sub>2</sub>, H<sub>2</sub>O, and HONO) in addition to the oxygen collision pair O<sub>2</sub>-O<sub>2</sub> (O<sub>4</sub>). The setup consists of at least two spectrograph/detector units located indoors, a 2-D telescope located outdoors, and an instrument control laptop that is also used for data acquisition and storage.

#### 2.1.1 2-D telescope description

The 2-D telescope allows EA scans (−20 to +90°) at any AA and azimuth scans from −180 to +180° at any EA. This arrangement in particular enables direct sun measurements (using a separate entrance view port). The design of this new telescope is compatible with existing spectrometers/detectors at the University of Colorado (Coburn et al., 2011; Baidar et al., 2013; Dix et al., 2013). A sketch of the 2-D system and the entrance optics of the telescope are shown in Fig. 1. The telescope is designed in two tiers. The upper tier contains the optics and a motor (Intelligent Motion Systems Inc.

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MDrive17) to rotate the housing with the prism for the EA mode, and the lower tier contains a stronger motor (MDrive34) for moving the upper tier to address different AA. There are two view ports, one for the measurement of scattered solar photons, and the other to observe the direct solar beam. The active one can be chosen by opening a shutter just behind the sapphire windows. The telescope field of view (FOV) of the scattered light view port was determined to have an opening angle of  $0.6^\circ$  (full angle) both in the horizontal and vertical dimension. The direct solar light is collected into an integrating sphere with a diameter of 2.54 cm. This sphere serves to homogenize the light, and correct for the possibility of pointing inaccuracies and atmospheric lens effects; this manuscript does not use any data from the sphere, which will be the subject of a separate publication (Ortega et al., 2014). The photons are directed onto an f/4 2.54 cm lens via the same optical axis; the lens focuses the light into the mono-fiber. In order to merge the light from both viewports onto a common optical axis, a hole (0.64 cm diameter) was drilled in the prism which is used for the direct sun beam; the scattered light is reflected by  $90^\circ$  along the long side of the prism. The exit port of the integrating sphere is coupled via the hole and both beams merge past the prism. A specialized software package has been designed allowing to choose between different scan modes described below.

### 2.1.2 Telescope capabilities

The capabilities of the CU 2D-MAX-DOAS instrument include three different modes of measurement (1) the traditional off axis (EA) scan at any AA, (2) the AA scan, at any EA, and (3) direct sun observations. The different modes of measurement significantly enhance the information that is accessible about trace gases and aerosol properties that can be retrieved simultaneously. A summary of the three different modes of measurement is shown in Table 1. The particular focus in developing the azimuth scanning capabilities is to enable the measurement of chemical gradients surrounding the measurement site in near-surface air (Part 1 – this work). Part 2 is dedicated to measure-





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alignment is shown in Fig. 2a. In this figure normalized radiances ( $L$ , black) are plotted as a function of solar relative azimuth angles (SRAA). Negative SRAA represent measurements on the left side (anti-clockwise) and positive values are right side of the sun (clockwise). A Gaussian fit applied to this example yields the deviation around the center of the sun, which is around  $0.06^\circ$ . The variation of the AA offset over the course of the day is characterized by means of solar almucantar measurements (mode 2). In this mode, solar scattered photons at high time resolution ( $\sim 1$  s) are collected for  $SRAA > 5^\circ$  typically in steps of  $5^\circ$  up to  $180^\circ$  for left and right side of the solar disk. Using these measurements a Gaussian function is fitted under homogenous and cloud free conditions. Figure 2b shows the diurnal average azimuth offset obtained in steps of  $5^\circ$  solar zenith angles (SZA). The initial alignment of the EA housing is performed using a spirit level. To characterize the absolute EA along the line of sight as viewed by the optics, we measure radiances as a function of EA across a remote object (e.g. the horizon). The gradients across the target are used to determine the EA offset, which is then accounted for by software. Figure 2c shows the normalized radiances measured during the MAD-CAT campaign (see Sect. 2.2), with the 2-D telescope pointing at an AA of  $242^\circ$  towards a hilltop that was chosen as a target because of its well-known geometric EA of  $1.1^\circ$  (J. Remmers, personal communication, 2013). The derivative of the radiances ( $dL/dEA$ ) gives the instantaneous gradients with EA with a typical Gaussian shape; a Gaussian shaped fit is then applied to the derivative of radiance data to obtain quantitatively the EA offset. The EA offset for the example in Fig. 2c is around  $0.35^\circ$ . In order to know the EA offset along different azimuth views we used a manual digital level. Figure 2d shows the tilt measurements as a function of the AA, here the EA offset is smaller than the resolution of the encoder accuracy of  $0.17^\circ$  for most of the AA.

## 2.2 The MAD-CAT measurement site

The CU 2D-MAX-DOAS instrument was deployed as part of the Multi Axis DOAS – Comparison campaign for Aerosols and Trace gases (MAD-CAT). MAD-CAT took place



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in Mainz, Germany, on the roof of the Max-Planck Institute for Chemistry (MPIC). The measurement site was located in the Western part of the Rhine–Main area surrounded by Frankfurt and several smaller cities. The intensive measurement phase was from 7 June until 6 July 2013, when 11 different MAX-DOAS groups deployed their instruments on the MPIC roof to retrieve trace-gas dSCDs side by side. The aim of the campaign was to further develop retrieval methods for trace gases and aerosols with and without the presence of clouds, and compare dSCD of trace gases. A description of the MAD-CAT measurement campaign can be found at MPIC, 2013 – [http://joseba.mpch-mainz.mpg.de/mad\\_cat.htm](http://joseba.mpch-mainz.mpg.de/mad_cat.htm). This work does not discuss comparison results, but focuses on the method description and retrievals from a cloud-free case study on 17 June 2013.

### 2.3 Configuration during MAD-CAT

During MAD-CAT we deployed two Acton SP2150 Imaging Czerny–Turner spectrometers with a highly precise temperature stabilization of  $0.005^{\circ}\text{C}$  (peak to peak variation). Each spectrometer was coupled to a Princeton Instrument PIXIS 400 back illuminated CCD detector. These spectrometer/detector units are identical to the ones described in Baidar et al. (2013); see also Dix et al. (2013); Oetjen et al. (2013) for airborne MAX-DOAS applications. The wavelength range of one of the spectrometers was set from 329 to 472 nm with a representative optical resolution of 0.78 nm (full width at half maximum, FWHM). The second spectrometer was set to a wavelength range of 432 to 679 nm covering a large spectral range in the Visible with an optical resolution of 1.65 nm FWHM, which easily allows a retrieval of  $\text{NO}_2$  and  $\text{O}_4$  at several wavelengths. In order to know the actual optical resolution and slit functions of wavelength we use a representative Hg or Kr emission line. The 2-D telescope is connected to the spectrometers via an optical cable, which in our case consists of a  $15\text{ m} \times 1.7\text{ mm}$  long optical mono-fiber that is attached to a 1 m bifurcated fiber bundle consisting of  $72 \times 145\text{ }\mu\text{m}$  fibers that efficiently distributes the light to the two spectrometer/detector units.

Since an essential part of MAD-CAT was the comparison of results of the different instruments from the MAX-DOAS groups, a specific measurement geometry was de-

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terminated and applied to all instruments. A “standard” AA of  $50.8^\circ$  with eleven specific EA was defined as the primary 1-D measurement geometry (see Table 1 and Fig. 1). This azimuth direction enabled an unobstructed view for the smallest EA. The comparison of the retrieval of trace gases and aerosol properties for all instruments deployed during MAD-CAT had special interest in the EA scan sequence, which has been performed in previous inter-comparison campaigns (Roscoe et al., 2010; Pinardi et al., 2013). For the instruments with 2-D capabilities, every 2 h an AA sequence scan was also recommended. In order to investigate the horizontal distribution of trace gases we continuously measured the EA sequence at the standard AA immediately followed by the AA sequence scan with 14 angles covering the  $360^\circ$  around the measurement site, which resulted in a higher time resolution (see Table 2). The increase in time resolution could be achieved by the low photon shot noise spectrometer/detector system and a fast telescope response, so that the integration time of each acquired spectrum was set to 30 s. Considering the time for the motors movement, the EA scan sequence took 6 min, an AA scan sequence took about 7 min to complete; the resulting full measurement cycle was repeated every 13–14 min.

### 3 Retrieval method

#### 3.1 DOAS analysis of $\text{NO}_2$ , HCHO, CHOCHO, and $\text{O}_4$

The spectra collected at different AA and EA were analyzed using the DOAS approach as implemented in the WinDOAS software package (Fayt and Van Roozendael, 2001). The DOAS method uses the specific narrow band absorption of trace gases ( $< 5 \text{ nm}$ ) in the ultraviolet–visible light and separates them from broadband molecule and aerosol extinction (Platt and Stutz, 2008). The list of trace gas cross sections used in the analysis of  $\text{NO}_2$ ,  $\text{O}_4$ , HCHO, and CHOCHO is given in Table 3 and the summary of the DOAS analysis settings is listed in Table 4. Most of the absorption cross sections used here are the same as those implemented in previous field campaigns (Roscoe et al.,

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2010; Pinardi et al., 2013), however we have employed the H<sub>2</sub>O cross section from the HITEMP data base, which combines the HITRAN 2010 data base with theoretical calculations (Rothman et al., 2010). Also, we used the O<sub>4</sub> cross section described in the recent work of Thalman and Volkamer (2013). The zenith spectrum measured at the end of each EA sequence is used as a reference spectrum in the analysis of all trace gases in this study. Thereby, we eliminate stratospheric contributions. In addition a Ring cross section is calculated from each reference spectrum and included in the fit to account for the “filling in” of Fraunhofer lines due to rotational Raman scattering (Grainger and Ring, 1962). The primary product of the DOAS analysis is dSCD as the measured spectra are analyzed with respect to a reference spectrum.

NO<sub>2</sub> and O<sub>4</sub> are retrieved at three different wavelengths (see Table 3) in order to probe different spatial ranges with the optical path length wavelength dependence. We followed the settings given in Roscoe et al. (2010) for the analysis of NO<sub>2</sub> and O<sub>4</sub> in the range of 338–490 nm, however we used the 445–490 nm window range instead of 425–490 nm recommended in Roscoe et al. (2010) since neither of our spectrometers described in Sect. 2.3 do completely cover the wider range. Additionally we evaluated NO<sub>2</sub> in the range of 540–588 nm combined with the O<sub>4</sub> strong band at 577 nm. The analysis of HCHO and CHOCHO was carried out with the higher resolution spectrometer. The fitting window for HCHO (336.5–359 nm) and most of the settings presented in Table 3 were adapted from the recent HCHO dSCD inter-comparison study described in Pinardi et al. (2013). Sensitivity studies of the spectral window chosen for the fit of HCHO were performed (see Supplement Fig. S1), and confirm that this spectral window is stable for different polynomial degrees, and minimizing the residual and cross correlation with BrO. The fitting window of 434–460 nm was used in order to analyze glyoxal. Similar analysis settings have been used in the past (Sinreich et al., 2010). This interval includes the dual strong absorption of glyoxal at 440 and 454 nm. Figure 3 shows spectral proof examples of all the windows that were analyzed and used in this work.

## 3.2 Retrieval strategy

The objective of obtaining range-resolved NO<sub>2</sub> horizontal distribution measurements is based on an “onion peeling” approach of NO<sub>2</sub> measured at multiple wavelengths; it consists of four main steps: (1) aerosol extinction profiles are retrieved at multiple wavelengths by means of a non-linear inversion method; we employ the approach as described in Prados-Roman et al. (2011) for aircraft MAX-DOAS, (2) multiple wavelength retrieval of NO<sub>2</sub> boundary layer vertical profiles using a linear optimal estimation scheme (Rodgers, 2000), and estimation of the PBL, (3) 360° multiple wavelength azimuth horizontal near-surface average box mixing ratios using the parameterization approach introduced by Sinreich et al. (2013), and (4) applying an “onion peeling” approach, making use of different viewing ranges (distance from the site) at different wavelengths to obtain NO<sub>2</sub> as a function of distance from the measurement site. To probe the different spatial scales we apply the steps above for three wavelengths (350, 450 and 560 nm). A detailed sketch of the inversion scheme is illustrated in Fig. 4 and explained briefly in the next sections.

### 3.2.1 Aerosol extinction vertical profile inversion

The retrieval of multi-wavelength aerosol extinction profiles is based on logarithmic radiance ratios at a given wavelength, similar to the inversion described in Prados-Roman et al. (2011) for aircraft MAX-DOAS measurements. The idea behind the retrieval builds on the minimization of the cost function in Eq. (1) via the non-linear Levenberg–Marquardt approach.

$$\|\mathbf{y}_L - F_L(\mathbf{x}_L, \mathbf{b})\|_{\mathbf{S}_e}^2 \quad (1)$$

In this equation,  $\mathbf{y}_L$  are the measured logarithmic radiance ratios  $\mathbf{y}_L = \ln\left(\frac{L_i(\lambda)}{L_{ref}(\lambda)}\right)$ ,  $F_L(\mathbf{x}, \mathbf{b})$  is the logarithmic radiance ratios simulated with the input parameters  $\mathbf{b}$  and the aerosol profile  $\mathbf{x}_L$ , and  $\mathbf{S}_e$  is the diagonal covariance measurement error matrix.

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The full spherical Monte Carlo Radiative Transfer Model (McArtim) has been used for this work. It has been shown in Deutschmann et al. (2011) that McArtim is a suitable algorithm to represent the atmospheric radiative transfer in the UV/Vis/IR wavelength range. The pressure and temperature profiles were taken from the US Standard Atmosphere and the retrieval grid was chosen to be 300 m thick between 0 and 3.3 km to represent the boundary layer. Typical aerosol optical parameters for urban atmosphere were used in the RTM (Dubovik et al., 2002). Assumptions for all the input parameters  $\mathbf{b}$  (absorption cross section, aerosol optical properties, atmospheric conditions etc.) used in the forward model are considered in the error propagation.

The wavelengths chosen to calculate the aerosol extinction profiles are 350, 450, and 560 nm which are representative for the window intervals where NO<sub>2</sub> is retrieved (see Table 3). These wavelengths prevent significant interferences from strong absorbers such as H<sub>2</sub>O and O<sub>3</sub>. The normalized radiance ratios are calculated with the 90° EA as the reference angle in order to use lower EA and maximize the information in the PBL. The aerosol extinction convergence criteria are achieved when the residuals fall into a global minimum.

### 3.2.2 NO<sub>2</sub> vertical profile and boundary layer height

Once the aerosol extinction profiles have been derived they are used to constrain the linear inversion of NO<sub>2</sub> vertical profiles using the EA scan at standard AA. A set of NO<sub>2</sub> dSCD from the EA scan are related to the trace gas vertical profile  $\mathbf{x}$  in the following equation:

$$\mathbf{y}_{\text{tg}} = \mathbf{K}\mathbf{x} + \boldsymbol{\varepsilon} \quad (2)$$

where  $\mathbf{K}$  is the weighting function matrix that expresses the sensitivity of measurement  $\mathbf{y}_{\text{tg}}$  to the true profile  $\mathbf{x}$ , and  $\boldsymbol{\varepsilon}$  represents the measurement and radiative transfer errors. The maximum a posteriori solution to the above problem using OE is given by

$$\mathbf{x} = \mathbf{x}_a + \left( \mathbf{K}^T \mathbf{S}_\varepsilon^{-1} \mathbf{K} + \mathbf{S}_a \right)^{-1} \mathbf{K}^T \mathbf{S}_\varepsilon^{-1} (\mathbf{y}_{\text{tg}} - \mathbf{K}\mathbf{x}_a) \quad (3)$$

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where  $\mathbf{x}_a$  is the a priori profile used to constrain the ill-posed inversion problem (Rodgers, 2000), and  $\mathbf{S}_\varepsilon$  and  $\mathbf{S}_a$  are the measurement error and a priori error covariance matrices respectively.  $\mathbf{S}_\varepsilon$  is built using the square of the DOAS fit error as the diagonal elements, and the non-diagonal elements were set to 0 assuming the errors are uncorrelated. As an a priori profile we use an exponentially decreasing  $\text{NO}_2$  profile with a fixed scale height of 0.5 km and  $5 \times 10^{15}$  molecules  $\text{cm}^{-2}$  vertical column density (VCD) at the ground, similar to the approach used in Hendrick et al. (2014). This a priori profile was found to have a low residual and DOF in an expected range. In a linear case an ad hoc covariance matrix ( $\mathbf{S}_a$ ) with diagonals equal to the variance and off diagonals correlated through the altitudes ( $z$ ) with a well-used exponential Gaussian decay function (Barret et al., 2003; Clémer et al., 2010):

$$\mathbf{S}_a = \sqrt{\mathbf{S}_a(i,i)\mathbf{S}_a(j,j) \exp \left[ -\ln(2) \left( \frac{z_i - z_j}{\gamma} \right)^2 \right]} \quad (4)$$

The wavelengths used to retrieve  $\text{NO}_2$  vertical profiles are the same as for the aerosol extinction profiles (350, 450 nm, and 560 nm).

### 3.2.3 $\text{NO}_2$ near-surface horizontal distribution

We apply the parameterization approach introduced in Sinreich et al. (2013) to the AA scan to obtain the horizontal near-surface mixing ratios of  $\text{NO}_2$ . The multiple wavelength retrieval averages  $\text{NO}_2$  over different path lengths along any given line of sight. The near-surface volume mixing ratios of  $\text{NO}_2$  can be calculated by applying the following equation (Sinreich et al., 2013):

$$\text{VMR}_{\text{NO}_2} = \frac{1}{f_c} \frac{\text{dSCD}_{\text{NO}_2} \cdot C_{\text{O}_4}}{\text{dSCD}_{\text{O}_4}} \frac{1}{\text{CF}} \quad (5)$$

where  $\text{dSCD}_{\text{NO}_2}$  and  $\text{dSCD}_{\text{O}_4}$  are the measured dSCD for  $\text{NO}_2$  and  $\text{O}_4$ , respectively, CF is the conversion factor from the concentration to the VMR,  $C_{\text{O}_4}$  the concentration



of  $O_4$ , and  $f_c$  the correction factor which adjusts for the differences in the  $NO_2$  and the  $O_4$  profile shapes. The correction factor is calculated by the following equation.

$$f_c = \frac{dAMF_{NO_2} \cdot PBL \cdot C_{O_4}}{dAMF_{O_4} \cdot VCD_{O_4}} \quad (6)$$

where  $dAMF_{NO_2}$  and  $dAMF_{O_4}$  are the differential Air Mass Factors (AMF) for  $NO_2$  and  $O_4$ , respectively, and  $VCD_{O_4}$  is a typical  $O_4$  VCD. The dAMF is defined as the difference in AMF (light path enhancement in the atmosphere relative to vertical path through the atmosphere) between the measured and reference viewing geometry. Generally, dAMFs are calculated with a RTM at low uncertainty, however the calculation of dAMFs depends on the state of the atmosphere (aerosol load), geometry of the measurements, and wavelength (Wagner et al., 2007). Although the correction factors and the sensitivity were presented in Sinreich et al. (2013), and applied in Wang et al. (2014), these studies explored a limited subset of geometries and wavelength ranges. In this work we expand significantly the number of AA and wavelength ranges. This requires a more detailed description of the correction factors and their dependency on input parameters to the RTM. In order to know the variability of the correction factors we change the magnitude of different input parameters such as the aerosol asymmetry parameter ( $g$ ), single scattering albedo (SSA), surface albedo (SA), and  $NO_2$  as well as aerosol load and vertical extent. Table 5 shows the main input parameters to calculate  $f_c$  and its variability for the three wavelengths. A good starting point for the aerosol optical properties is using the aerosol column properties derived from the co-located AERosol RObotic NETwork (AERONET) sun photometer as shown in Table 5. Barnard et al. (2008) showed that the SSA at UV wavelengths can be significantly lower than in the visible due to light absorption by “brown-carbon” aerosols. The discrete wavelengths used by AERONET do not cover 350 nm, hence we use a lower limit of SSA to calculate the variability in the UV (Table 5). The RTM is parameterized by using the aerosol load determined in Sect. 4.1.1. In contrast, the diurnal geometry of the mea-

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surements, i.e the SZA, and SRAA are known parameters. The magnitude of  $f_c$  and its variability are shown in Sect. 4.2.

### 3.3 Range resolved NO<sub>2</sub>: “onion peeling”

The effective path length ( $L_{\text{eff}}$ ), defined as the path length from the effective scattering event to the telescope corrected by the difference in the O<sub>4</sub> and NO<sub>2</sub> profiles shapes in the boundary layer, is calculated with the equation:

$$L_{\text{eff}} = \frac{\text{dSCD}_{\text{O}_4}}{C_{\text{O}_4}} f_c \quad (7)$$

In order to obtain range resolved NO<sub>2</sub> mixing ratios we exploit the fact that  $L_{\text{eff}}$  and sensitivity depend on the atmospheric and scattering conditions. The strong positive wavelength dependence of scattering means that the shorter the wavelength the shorter the path length. The “onion peeling” approach is applied for the azimuth scan in order to derive NO<sub>2</sub> mixing ratios related to different air masses along the same azimuth viewing angle. A graphical representation of the onion peeling method is shown in Fig. 5. The azimuth scan is divided into different horizontal layers determined by the effective path length at each wavelength. The radii of the blue, green and red circles represent the effective path length realized at 350 ( $L_{\text{eff},350}$ ), 450 ( $L_{\text{eff},450}$ ), and 560 nm ( $L_{\text{eff},560}$ ) over the full azimuth scan, respectively. The “onion peeling” defines three different rings, or layers,  $L_1$ ,  $L_2$ , and  $L_3$ , and the objective is to obtain the respective average volume mixing ratios  $\text{VMR}_1$ ,  $\text{VMR}_2$ , and  $\text{VMR}_3$  within each of these layers.  $L_1$  is directly identified as the retrieval at 360 nm.  $L_2$  and  $L_3$  are determined as the differences between the 450–360 nm ( $L_{\text{eff},450} - L_{\text{eff},360}$ ) and 560–450 nm ( $L_{\text{eff},560} - L_{\text{eff},450}$ ),

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respectively. The average mixing ratios are calculated using the following equation:

$$\text{VMR}_2 = \frac{[\text{VMR}_{450} \cdot L_{\text{eff},450} - \text{VMR}_{360} \cdot L_{\text{eff},360}]}{L_2}; \quad (8)$$

$$\text{VMR}_3 = \frac{[\text{VMR}_{560} \cdot L_{\text{eff},560} - \text{VMR}_{450} \cdot L_{\text{eff},450}]}{L_3}$$

where  $\text{VMR}_2$  and  $\text{VMR}_3$  represent the differences in VMR in each circle weighted by the effective path length in  $L_2$  and  $L_3$ , respectively.

### 3.4 Azimuth trace gas ratios: metric for anthropogenic/biogenic influence and $\text{O}_3$ formation

Prior studies have illustrated the use of the HCHO-to- $\text{NO}_2$  ( $R_{\text{FN}}$ ) ratio as a metric to understand  $\text{O}_3$  production (Duncan et al., 2010) and VOC emission types by means of the CHOCHO-to-HCHO ratio ( $R_{\text{GF}}$ , Vrekoussis et al., 2010; DiGangi et al., 2012). The application of the ratios to the azimuth scan enables the identification of hot spots and inhomogeneities around the instrument location. Additionally the CHOCHO-to- $\text{NO}_2$  ratio ( $R_{\text{GN}}$ ) was calculated. For the calculation of the ratios we use dSCD.  $R_{\text{FN}}$  and  $R_{\text{GN}}$  are calculated with the dSCD obtained in the same wavelength window, hence the optical path lengths are very similar and they are not expected to carry a high uncertainty due to differences in scattering events along the light path. However,  $R_{\text{GF}}$  needs special attention since HCHO and CHOCHO retrievals employ different wavelengths during the DOAS analysis (Table 3), and thus reflect different optical paths. In order to account for the different spatial scales probed at UV and Visible wavelengths we use the  $\text{O}_4$  dSCDs measured at wavelengths that closely resemble those of the OVOCs to derive a correction factor. The  $R_{\text{GF}}$  used in this work is calculated by applying the following equation:

$$R_{\text{GF}} = \left( \frac{\text{CHOCHO}_{\text{dSCD}}}{\text{HCHO}_{\text{dSCD}}} \right) \cdot R_{\text{O}_4} \quad (9)$$

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where  $R_{O_4}$  is equal to the  $O_4$  dSCD ratio in the UV divided by those of the Visible. The important advantage which arises from using dSCD is that no complex and laborious RTM is necessary, resulting in a fast retrieval to determine real time air mass chemistry.

## 4 Results and discussions

### 4.1 Boundary layer vertical profiles

#### 4.1.1 Aerosol extinction: comparison of AOD with AERONET

Figure 6 shows the multi-wavelength aerosol extinction diurnal vertical distribution using the standard AA of  $50.8^\circ$ . The aerosol extinction follows the typical wavelength dependence where it increases as the wavelength decreases. The integrated extinction profiles over altitude, known as aerosol optical depth (AOD), are compared with the AOD retrieved with the co-located AERONET sensor to partially demonstrate aerosol homogeneity around the site. The AERONET sun photometer uses discrete fixed wavelengths which are not the same as the wavelengths we applied here, hence the aerosol wavelength dependence (Angstrom exponent) is used to interpolate the AOD at the wavelengths of interest. Even though the directionality of AERONET (a solar tracker), and the standard EA scan of the 2D-MAX-DOAS measurements are different, they show a generally good multi-wavelength AOD agreement with a slope ranging from 0.77 to 0.93 ( $\pm 0.03$ ) from the visible to the UV. The agreement between the two instruments points out that the aerosol load around the city of Mainz most likely is homogeneous. Furthermore, observations of the 2-D analysis of  $O_4$  dSCD (EA of  $2^\circ$ ) do not show a significant difference with respect to aerosol azimuth distribution.

#### 4.1.2 $NO_2$ vertical profile

The diurnal variation of  $NO_2$  in the boundary layer is shown in Fig. 6, and indicates an increase of  $NO_2$  in the early morning followed by a decrease in the evening. The vertical

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extent of  $\text{NO}_2$  in the boundary layer for the three wavelengths is very similar: most of the  $\text{NO}_2$  is located in the layer below 1 km. Small differences in the  $\text{NO}_2$  vertical distribution are found for the three wavelengths and these may be related to the smoothing in the retrieval and some extent to the sensitivity range for each wavelength.

5 A critical parameter for the calculation of  $f_c$  in Eq. (6) is prior knowledge of the PBL height (Sinreich et al., 2013). In this work we estimate the PBL height using  $\text{NO}_2$  as a tracer for the vertical extent of mixing. In general, the estimation of the altitude sensitivity in the vertical profile retrieval is well represented by the averaging kernels, and eigenvectors which are derived in optimal estimation (Rodgers, 2000; Frieß et al., 10 2006). According to the averaging kernel of the  $\text{NO}_2$  vertical profile (not shown) the first two kilometers are well constrained by the measurements. The number of DOF is 2–2.5 for the three wavelengths, with the highest sensitivity close to the surface (0–200 m layer).  $\text{NO}_2$  is a good tracer for PBL height, because it has a relatively short effective lifetime (limited to few hours by  $\text{OH} + \text{NO}_2$  reactions). The PBL height can be 15 described as the depth of the atmosphere in which the  $\text{NO}_2$ , emitted mostly as NO and formed within this layer, is dispersed almost uniformly as a result of turbulent vertical mixing processes (Emeis et al., 2006). Usually  $\text{NO}_2$  decreases strongly above this mixing height as chemical reactions remove  $\text{NO}_2$  as a result of photochemistry and hydrolysis of  $\text{N}_2\text{O}_5$  at the surface of wet aerosols (Baidar et al., 2013; Ryerson et al., 20 2013). In this work we approximate the PBL height as the altitude over which the  $\text{NO}_2$  mixing ratio decreases to  $1/(2e)$  of the near-surface value. The gray circles in the  $\text{NO}_2$  vertical distribution plot of Fig. 6 represent the PBL determined by this definition. As can be seen, the PBL height varies as a function of the time of day. There is some sensitivity to a residual layer in the early morning. Around 10:00 local time (LT) the PBL starts to increase due to the development of the convective boundary layer and efficient mixing. The small PBL variation for the different wavelengths may be caused 25 by the smoothing factor in the  $\text{NO}_2$  vertical profile retrieval, though a mountain terrain effect may also play a role at the longer wavelengths. The mean PBL value is used for

the calculation of the correction factors in Eq. (6) and the standard deviation is used to estimate the error associated with the PBL.

## 4.2 Azimuth distribution of NO<sub>2</sub> VMR

### 4.2.1 Correction factors and effective path length

5 The results of the azimuthal diurnal variation of the correction factors are shown in the form of a polar plot in Fig. 7a. The radii of the polar plots shown in this section represent the local time and the color code the magnitude of the parameters. The values of  $f_c$  represent the mean values of the sensitivity studies shown in Sect. 3.2.3 and summarized in Table 5. A value of  $f_c = 1.00$  means that the radiation field is equally  
10 sensitive to NO<sub>2</sub> and O<sub>4</sub>; a value of  $f_c < 1$  that the sensitivity increases towards detecting O<sub>4</sub> with respect to NO<sub>2</sub>. A detailed description of the variability and geometry dependency is given in Sinreich et al. (2013), and shown in Fig. S2 in the Supplement. In short,  $f_c$  does not change drastically with the variability of  $g$ , SSA, and SA (Sinreich et al., 2013). In contrast, the PBL can have a larger impact. We estimate the variability  
15 of  $f_c$  to be on the order of 5–8 %. This low error is possible since we estimate the PBL height and its variation using NO<sub>2</sub> vertical profiles. The most surprising effect is the clear SRAA dependence where  $f_c$  increases for SRAA close to the sun, especially for SZA between 40–60° (Fig. S2). This behavior is due to low O<sub>4</sub> dAMFs obtained with the RTM. For these cases  $f_c$  can in fact exceed unity, however the O<sub>4</sub> dSCD do not  
20 show a significant SRAA dependence. This behavior in calculated O<sub>4</sub> dAMFs is currently not understood. However, we note that it is consistent with earlier observations that measured O<sub>4</sub> dSCD under certain conditions can exceed those calculated by RTM (Clémer et al., 2010; Wagner et al., 2011; Irie et al., 2011; Merlaud et al., 2011). Recent testing of measured O<sub>4</sub> dSCD from aircraft found agreement within 2–3 % with those  
25 from other geometries (Spinei et al., 2014). For lack of a physical explanation, for the cases when  $f_c > 1$  we set  $f_c$  to be unity.

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Figure 7b shows the diurnal variation of  $L_{\text{eff}}$  calculated with Eq. (7). The results indicate a strong wavelength dependence which is exploited in the “onion peeling” approach introduced in Sect. 4.3. The 350 nm  $L_{\text{eff}}$  displays values between 5–10 km; the 450 nm one can reach horizontal distances of 10–20 km; and the 560 nm one shows sensitivity to horizontal distances of 18–30 km. In the early morning the  $L_{\text{eff}}$  is larger, likely due to low  $\text{O}_4$  dAMF, and is more evident for the East view due to the low SRAA angles. In general, there is not a significant AA dependence, indicating to some extent similar aerosol load homogeneity as stated in Sect. 4.1.1.

The diurnal variation of near-surface mixing ratios of  $\text{NO}_2$  is presented in Fig. 7c. The  $\text{NO}_2$  azimuth inhomogeneity is apparent from this figure wherein most of the  $\text{NO}_2$  is located in the West, especially in the early morning ( $\sim 7\text{--}8$  a.m.) and when the traffic increases and  $\text{NO}_2$  accumulates ( $\sim 9\text{--}11$  a.m.). This is surprising, considering that the industrial complex and cities close to the site are located North and North-East, and may indicate that mobile sources continue to be significant contributors to ambient  $\text{NO}_x$  during morning rush-hour. The wind direction and speed can provide further information about transport of the air masses. The daytime values, provided by the MAD-CAT team, are shown in Fig. 8. The main wind direction is North-East with typical wind speed around  $2\text{--}4\text{ m s}^{-1}$ , hence possible transport to the South-West. Another remarkable observation to emerge from the data is that the average  $\text{NO}_2$  near-surface mixing ratios at 350 nm are greater than the 450 and 560 nm ones which is exploited in the “onion peeling” approach (Sect. 4.3).

To quantitatively assess azimuth inhomogeneity, the relative azimuth asymmetry (RAA) is introduced here. The RAA is defined as  $(1 - [\text{NO}_{2,\text{min}}/\text{NO}_{2,\text{max}}])$  where  $\text{NO}_{2,\text{min}}$  and  $\text{NO}_{2,\text{max}}$  are the minimum and maximum mixing ratios of  $\text{NO}_2$  obtained within a period of time. The RAA calculated for the early morning, midday, afternoon, and evening are  $68 \pm 7\%$ ,  $34 \pm 4\%$ ,  $63 \pm 4\%$ , and  $28 \pm 6\%$  respectively. This variability is independent of the wavelength and reflects that similar changes are probed by the three different effective path lengths, most likely due to changes in  $\text{NO}_2$  emissions close to the city of Mainz. Similarly, the relative longitudinal asymmetry (RLA) is de-

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5 fined as  $(1 - [\text{NO}_{2,\text{min}}/\text{NO}_{2,\text{max}}])$ , but with the  $\text{NO}_{2,\text{min}}$  and  $\text{NO}_{2,\text{max}}$  taken with a constant AA and for a period of time of 1.5–2 h. For example, the RLA for the standard AA is 41 % (360 nm), 13 % (450 nm), and 11 % (560 nm) during the early morning (07:30–09:00 LT). In this case there is a strong wavelength dependence confirming  
10 that major emissions are released close to the city of Mainz and transported to the South-West. In contrast to the early morning, midday values of RLA are lower than 7 % for the three wavelengths, hence air masses are moer homogenous along the same line of sight. Although the RLA may vary slightly for different AA the same behavior described before was found for all of the AA for the same period of time.

### 10 4.2.2 Uncertainties of $\text{NO}_2$ vertical profiles and near-surface VMR

The error in the horizontal near-surface  $\text{NO}_2$  VMR retrieved with the parameterization approach is estimated by an error propagation of the inputs to Eq. (5). There are two main factors contributing to the final error: (1) error in the analysis of  $\text{NO}_2$  and  $\text{O}_4$  dSCD, and (2) error in the correction factor  $f_c$ . The typical root mean square (RMS) residual  
15 achieved in the fit of  $\text{NO}_2$  and  $\text{O}_4$  is on the order of  $4.5 \times 10^{-4}$ ,  $3.5 \times 10^{-4}$ , and  $3 \times 10^{-4}$  for the 360, 450, and 560 nm fits, respectively; lower RMS was observed on other days when the  $\text{NO}_2$  concentrations were lower. These noise levels correspond roughly to 2–3 times the fit error in the DOAS analysis. We use 2-sigma fit error for the error propagation in the dSCD of  $\text{NO}_2$  and  $\text{O}_4$  in Eq. (5). As mentioned in Sect. 3.2.3 the error  
20 in the correction factor takes into account the variations due to uncertainties in the PBL height and aerosol optical properties. Table 5 shows the main input parameters that are varied in order to estimate the variability of the correction factor. Figure S2 shows the  $\text{O}_4$  dSCD,  $\text{O}_4$  dAMF, and  $f_c$  binned by SRAA for different SZA. In this figure the error bars represent the uncertainty estimated using the overall range of variability derived from the above sensitivity studies. The uncertainty of the correction factors is below  
25 10 % most of the time and for the three wavelengths there is a systematic pattern visible in that the strongest SRAA effects occur at SZA between 40–70°. Similar findings were



observed in Sinreich et al. (2013). The error is normally greater in the 360 nm data than in the data for visible wavelengths. The final error of the VMR is between 12–15 %.

### 4.3 Range resolved NO<sub>2</sub> azimuth dependencies (“Onion peeling”)

In order to distinguish the NO<sub>2</sub> from different air masses we applied the “onion peeling” approach introduced in Sect. 3.3. The azimuthal diurnal cycles of NO<sub>2</sub> calculated with Eq. (7) for the different distances are shown in Fig. 9. The NO<sub>2</sub> close to the site (VMR<sub>1</sub>) is determined with the 350 nm values; the difference in VMR calculated between the 450 and 350 nm values, and 560 and 450 nm values weighted by their path lengths are denoted with VMR<sub>2</sub> and VMR<sub>3</sub> (see Eq. 8). Note that the color code scales are different for each range to better visualize the azimuthal distribution. The range resolved NO<sub>2</sub> VMR shows a definitive azimuth inhomogeneity for the three different distances probed. As mentioned before there is an enhancement of NO<sub>2</sub> VMR<sub>1</sub> in the West quadrant which may be associated with the local NO<sub>2</sub> transport from the cities of Wiesbaden and Mainz in agreement with the wind patterns in Fig. 8, especially in the morning. Surprisingly this enhancement is not seen in the VMR<sub>2</sub> and VMR<sub>3</sub> in the morning, instead they increase in the afternoon due to transport. The overall error is obtained using the error propagation in Eq. (8) (see Fig. S3).

The RAA and RLA (Sect. 4.2.1) were estimated for each ring accessible in the “onion peeling” approach. In this case, the RAA for the inner ring (RAA<sub>VMR1</sub>) in the early morning is the same as in Sect. 4.2.1 ( $68 \pm 7\%$ ). On the other hand, the RAA in the second ring (RAA<sub>VMR2</sub>) is  $76 \pm 6\%$ , a slightly higher value than the inner ring. Interestingly, the RAA of the outermost ring (RAA<sub>VMR3</sub>) decreases with a value of  $38 \pm 15\%$  confirming transport from the city of Mainz and Wiesbaden to the second ring and dilution in the third ring. Additionally, the RLA is estimated for each ring at fixed AA (at the same time). For example, the RLA between ring 2 and 3 (RLA<sub>VMR2-3</sub>) is only  $11 \pm 5\%$  for the  $50.8^\circ$  AA, however the RLA<sub>VMR2-3</sub> is  $71 \pm 6\%$  for the  $281^\circ$  AA in the early morning. In general these data indicate that emission sources from the city of Mainz are being transported to the South-west in the early morning.

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#### 4.4 Azimuth distribution of $R_{\text{FN}}$ , $R_{\text{GN}}$ , and $R_{\text{GF}}$

We investigate the azimuthal diurnal distribution of  $R_{\text{FN}}$ ,  $R_{\text{GN}}$ , and  $R_{\text{GF}}$  which can be used in order to know the chemical state of the atmosphere (photochemical radical production,  $\text{O}_3$  production rates, VOC vs.  $\text{NO}_x$  limitation in  $\text{O}_3$  production rates). The variation of the ratios in the boundary layer is obtained using dSCD measured with an EA of  $2^\circ$ . The results are presented in Fig. 10. Duncan et al. (2010) characterized the relationship between  $R_{\text{FN}}$  and  $\text{O}_3$  production in Los Angeles, and suggested three different regimes: the VOC limited regime when the  $R_{\text{FN}} < 1$ , the  $\text{NO}_x$  limited regime for  $R_{\text{FN}} > 2$  and the transition regime between 1 and 2. This was determined by means of modeling studies in the troposphere and confirmed by tropospheric HCHO and  $\text{NO}_2$  vertical column density ratios from the OMI satellite instrument. During MAD-CAT, the morning values for  $R_{\text{FN}}$  are typically lower than 1 for all directions, followed by a sharp increase reaching values larger than 1. Interestingly, only in the South values up to 2 are observed. The variability in  $R_{\text{FN}}$  indicates that 2D-MAX-DOAS can provide useful information to constrain the azimuthal gradients in the rate of  $\text{O}_3$  production.

In reviewing the literature, no data was found on the association of CHOCHO-to- $\text{NO}_2$  ratios ( $R_{\text{GN}}$ ) as indicator of photochemical radical production. The  $R_{\text{GN}}$  diurnal variation is shown in Fig. 10b, and  $R_{\text{GN}}$  is found to exhibit a similar behavior to  $R_{\text{FN}}$ . Hence, we hypothesize that information of radical production and  $\text{O}_3$  formation rates is also constrained by  $R_{\text{GN}}$ . From the data in Fig. 7c, it is apparent that there is a decrease in the  $\text{NO}_2$  in the South at the same times; therefore the enhancements observed in  $R_{\text{FN}}$  and  $R_{\text{GN}}$  are probably largely driven by the decrease in  $\text{NO}_2$ .

The  $R_{\text{GF}}$  ratio has been suggested as an indicator for the relative contribution of biogenic and/or anthropogenic VOC sources to  $\text{O}_3$  and aerosol formation (Vrekoussis et al., 2010; DiGangi et al., 2012). Figure 10c shows the azimuthal diurnal variation of  $R_{\text{GF}}$ . Contrary to the diurnal pattern of  $R_{\text{FN}}$  and  $R_{\text{GN}}$ , the  $R_{\text{GF}}$  does not show a diurnal cycle and no apparent sharp increase in the early afternoon. For most of the daytime the  $R_{\text{GF}}$  remains steady with values lower than 0.02. Interestingly, changes in HCHO

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and CHOCHO do not affect the ratio, likely indicating similar sources from primary emissions and secondary (photochemical) sources. Satellite studies such as Vrekousis et al. (2010) reported that for  $R_{GF}$  lower than 0.04 the air mass is associated with anthropogenic VOCs emission sources while indices above 0.04 might relate to biogenic emission sources. Recently, DiGangi et al. (2012) reported some discrepancies with satellites where  $R_{GF}$  values below 0.04 were calculated in environments dominated by biogenic emissions. Our results in this work, based on urban measurements carried out in Mainz, Germany, show agreement with values reported by satellites, and indicate the dominance of anthropogenic influences in Mainz, Germany.

#### 4.5 Comparison with OMI NO<sub>2</sub> VCD

The variability in spatial scales probed by the different wavelengths at variable AA provides a novel perspective on the comparison with satellites. The sensitivity in the apparent NO<sub>2</sub> VCD as seen from space to the ground resolution is well documented (Beirle et al., 2004; Boersma et al., 2007; Fioletov et al., 2013); the maximum NO<sub>2</sub> VCD increases strongly as the spatial scales that are being probed approach the sizes of cities (e.g., Beirle et al., 2004, 2011). Questions about the inhomogeneity in NO<sub>2</sub> VCD near urban hotspots pose challenges to assessing the meaning of satellite measurements; a lack of measurements to constrain these distributions further pose challenges to compare measurements and atmospheric model predictions.

We have calculated the average NO<sub>2</sub> vertical column densities (VCD) in the azimuth sectors located to the North and South of the measurement site. The average VMR obtained with the full azimuth scan and the three different wavelengths are converted into VCD using the PBL calculated previously. During the OMI overpass (12:20 LT) there are two pixels of 15 km × 30 km that can be used for the comparison, South-East and North-West sections (see Fig. S3). Figure 11 shows the NO<sub>2</sub> VCD comparison retrieved at three different wavelengths and for the two pixels probed with the OMI NO<sub>2</sub> VCD from DOMINO version 2.0. The comparison shows a better agreement using the 560 nm VCD rather than using 450 nm, and 350 nm. On top of Fig. 11 the area of the to-

tal azimuth scan and the two pixels from OMI are shown. The spatial scales covered at the three different wavelengths represent approximately 10 % (350 nm), 44 % (450 nm), and 140 % (560 nm) of the footprint probed under near nadir geometries by the OMI instrument onboard the EOS-Aura satellite (Boersma et al., 2007). The agreement shows a strong dependence on the spatial resolution. Differences larger than a factor of 2 at 350 nm are greatly reduced at the longer wavelengths, and agreement is better than 20 % at 560 nm. Notably, the best agreement is observed when the spatial scales most closely resemble each other, reflecting the importance of matching spatial scales.

Oetjen et al. (2013) compared an extensive dataset of highly spatially-resolved tropospheric NO<sub>2</sub> VCD measured with the CU airborne MAX-DOAS instrument (CU AMAX-DOAS; about 1 km resolution, 22 000 individual measurements) with coincident OMI VCD over California. They showed that better correlations are observed upon filtering their data for conditions when a larger area, and more meaningful fraction of the OMI ground pixel had been sampled. However, for large OMI pixels that included relatively large unpolluted areas, they found a tendency for underestimation in the OMI measurements. Furthermore, past NO<sub>2</sub> VCD comparisons have shown an underestimation (up to 40 %) by satellites with respect to ground based MAX-DOAS (Brinksma et al., 2008; Ma et al., 2013; Kanaya et al., 2014). Brinksma et al. (2008) have shown that MAX-DOAS measurements with a single azimuth angle might not be appropriate for comparison with satellites since the representation of the air mass may be different. For this particular case study, Fig. 11 shows that the directionality and footprint achieved with 2D-MAX-DOAS can be used to better compare with satellites. Efficient means to sample and assess spatial gradients/inhomogeneities and horizontal/vertical distributions are increasingly important as future satellite missions from geostationary orbit (TEMPO, Sentinel 4 etc.) will track the chemical composition with high temporal and spatial resolution.

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## 4.6 Challenges with validating 3-dimensional measurements

The gradients in NO<sub>2</sub> identified in this work pose the question of how 3-D measurements can be best validated. Figure 11 emphasizes the relevance of this question in context of comparable instrument footprint and satellite ground pixel size. Our measurements show that NO<sub>2</sub> near surface mixing ratios vary by a factor of larger than 3 in different azimuth directions, and by up to a factor of 1.5–2 at fixed AA (Sect. 4.2.1). The true NO<sub>2</sub> variability is likely higher, since our measurements inherently average over between 5 and 30 km horizontal distance (Sect. 4.2). The 3-D mapping of the true NO<sub>2</sub> variability in an air volume of ~ 1000 km<sup>3</sup> that is probed by the CU 2D-MAX-DOAS instrument near instantaneously by means of in situ sensors on aircraft would require a fast and flexible airplane. A challenge consists of the fact that the atmospheric state of NO<sub>2</sub> is likely changing on the time-scales needed to map such an air volume with in situ sensors on aircraft. At a typical airspeed of small aircraft, it would take 3–4 h to map a box of dimensions 32 km × 32 km × 1 km (~ 1000 km<sup>3</sup>) by traverses at distances that are 1 km apart and are flown at a single altitude; however, the variations in the atmospheric state are likely significant as times exceed 30 min (M. Trainer, personal communication, 2010). It would therefore be desirable to have multiple aircraft. Multiple aircraft would have the added benefit that independent vertical profile information could be obtained effectively. The complementary validation by highly time resolved NO<sub>2</sub> column observations from cars (Shaiganfar et al., 2011) and aircraft (Oetjen et al., 2013) provide cost effective means to access larger air volumes. The retrievals are reasonably straightforward, robust, and have been successfully employed for satellite validation (Oetjen et al., 2013). Imaging spectrometers on the ground (Lohberger et al., 2004), and pushbroom/whiskbroom scanner techniques on aircraft (Kowalewski and Janz, 2009; Heue et al., 2008; General et al., 2014) hold great promise to provide information on finer scales. While imaging techniques greatly increase the amount of information that can be obtained from a single instrument/platform, the quantification of these data is not free of challenges that warrant independent assessments. Ideally,

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future attempts to validate 3-D distributions will use a combination of multiple aircraft, a combination of active and passive remote sensing of NO<sub>2</sub>, including networks of ground-based remote-sensing and in situ sensors (Ryerson et al., 2013), and were further coordinated with measurements from mobile platforms including in situ measurements from vans and aircraft, car MAX-DOAS, NADIR mapping and push-broom imaging from aircraft, and NO<sub>2</sub> lidars (Volten et al., 2009; Vlemmix et al., 2011). Such efforts should be supported by atmospheric models to inform the best sampling strategy, and bridge between the various spatial scales probed by these diverse available measurements to probe 3-D distributions of NO<sub>2</sub>. State-of-the-art atmospheric models currently predict NO<sub>2</sub> with a resolution of 4 km × 4 km, which is sufficient to represent broad spatial features such as those measured by 2-D-MAX-DOAS. However, such resolution would be insufficient to resolve the spatial gradients in NO<sub>2</sub> that can be expected near the edges of street canyons or highways, which can pose challenges if the complementary information derived from in situ sensors and column observations is to be integrated by models.

The location for future attempts to validate 3-D distributions of NO<sub>2</sub> should include homogeneous, yet variable NO<sub>2</sub> for best results. We refer the reader to our independent validation data shown in Fig. 7a of Sinreich et al. (2013) for first attempts to compare near surface NO<sub>2</sub> VMRs from the RTM parameterization in three different azimuth views by means of two co-located Long Path (LP-) DOAS instruments (facing in opposite directions) in Mexico City. In that particular case, there was a generally good agreement of NO<sub>2</sub> between the two methods, although differences were found when the air mass probed was different for the two LP-DOAS instruments.

## 5 Summary and conclusions

We introduce the CU 2D-MAX-DOAS instrument, and present a retrieval to conduct measurements of NO<sub>2</sub> near the surface in three dimensions, as well as measure azimuth dependences in trace gas ratios that are indicators for the rate of O<sub>3</sub> and aerosol

formation. Our retrieval builds on and improves upon Sinreich et al. (2013). We conclude:

- the uncertainty from parameterization of radiative transfer (RT) is greatly reduced by knowledge of the planetary boundary layer (PBL) height, which is estimated by means of the vertical distribution of NO<sub>2</sub>. We present a comprehensive mapping of the geometric dependence of the correction factors, and estimate that uncertainties are currently limited by knowledge of how well RT models (RTM) represent O<sub>4</sub> differential Air Mass Factors (dAMF) under certain conditions, which warrants further investigation.
- We present the first fast 2D-MAX-DOAS measurements that cover a flexible (software defined) and complete 360° azimuth view of atmospheric trace gases. Our measurements document changes in the azimuthal distributions of NO<sub>2</sub>, HCHO, CHOCHO with good time resolution which is sufficient to document atmospheric composition change due to transport (here 14 min).
- Range resolved azimuthal distributions of NO<sub>2</sub> have been derived for the first time to our knowledge using a 2D-MAX-DOAS technique, and hold potential to map NO<sub>2</sub> horizontal distributions with sub-ppb detection accuracy over spatial scales that range from a few kilometers up to 20 km distance from the measurement site.

2D-MAX-DOAS can serve to better constrain and test the spatial scales over which trace gases vary in the atmosphere. This information is useful to document and better map the chemical state of the atmosphere. In particular, we observe that during MAD-CAT:

- azimuth and longitudinal distributions of NO<sub>2</sub> reveal gradients that vary as a function of time of day. The largest gradients are observed in the early morning according with the relative azimuth asymmetry (RAA) and relative longitudinal asymmetry (RLA) introduced in Sect. 4.2.1. The RAA is  $68 \pm 7 \%$ ,  $34 \pm 4 \%$ ,  $63 \pm 4 \%$ ,

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and  $28 \pm 6\%$  in the early morning, midday, afternoon, and evening, respectively. The azimuth variation in the morning (highest  $\text{NO}_2$  in the West, lowest in the East) is different from that in the afternoon (higher  $\text{NO}_2$  in the North, lowest  $\text{NO}_2$  in the South). Similar variability is found for the three different wavelengths. Similarly, the RLA is higher in the early morning, with differences of up to 1.5–2 within the same azimuth view.

- The RAA and RLA for the different areas probed with “onion peeling” confirm transport of  $\text{NO}_2$  from Mainz and Wiesbaden to the South-West reaching horizontal length of up to 20 km, but decreasing after that according to the decrease of RLA in the outermost ring.
- Generally the trace gas ratios formaldehyde to  $\text{NO}_2$  ( $R_{\text{FN}}$ ), and glyoxal to  $\text{NO}_2$  ( $R_{\text{GN}}$ ), show a more homogeneous azimuth distribution than  $\text{NO}_2$ . However we identified high differences in the afternoon where the relative azimuth asymmetry is  $53 \pm 10\%$ , which corresponds to a factor of 2 times higher ratios towards the South.
- $R_{\text{FN}}$  ratios have been suggested to inform VOC vs.  $\text{NO}_x$  control of  $\text{O}_3$  formation rates (Duncan et al., 2010). Our measurements suggest that  $R_{\text{GN}}$  is similar to  $R_{\text{FN}}$ , and holds complementary information because it constrains  $\text{O}_3$  production rates at different spatial scales (longer wavelengths). The integration with atmospheric models will benefit from design of instrument-masks that average model output to match the observations.
- OVOC ratios (glyoxal to formaldehyde,  $R_{\text{GF}}$ ) are always below 0.04 in all azimuth directions. This is indicative of anthropogenic VOC influences dominate around the site. Nevertheless, the relative azimuth asymmetry can vary by up to a factor of 2 with maximum values toward the North-East and minimum towards the West. This ratio holds potential to distinguish anthropogenic and biogenic influences on oxidative capacity,  $\text{O}_3$  production, and aerosol production rates.

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Finally, we characterize the spatial scales probed by 2D-MAX-DOAS at different wavelengths to represent an area of 95, 400, and 1250 km<sup>2</sup> at 350, 450 and 570 nm, respectively. These scales fill a gap in the spatial scales predicted by atmospheric models (e.g., 4 km × 4 km by WRF-Chem, CAMx), and probed by satellites (e.g., several 100–1000 km<sup>2</sup>). It is shown that variations in the chemical composition of the atmosphere on spatial scales smaller and larger than those probed by satellites can be tracked by 2D-MAX-DOAS. The azimuthal, and the ground-resolution variability can play an important role in the validation process of ongoing measurements onboard satellites (OMI, SCHIAMACHY, GOME-2), and future hourly satellite measurements (TEMPO). Azimuth dependent information thereby holds potential to better constrain atmospheric models and better understand the information obtained by satellites and models. This is exemplified by a case-study comparison of two ground-pixels of the OMI satellite: at the effective path length realized with the 560 nm, and averaging over a full azimuth scan, NO<sub>2</sub> VCDs show a good agreement with OMI (within 20 %). This is attributed to the similarity between the 560 nm footprint and that probed by OMI, while using the 350 nm, and 450 nm VMRs would result in an overestimation of the OMI VCD by 60 and 40 % respectively.

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**Table 1.** The CU 2D-MAX-DOAS instrument modes of operation.

Mode #	Description	Objective	Temporal and spatial resolution
Mode 1	EA scans of solar scattered photons at any AA angle	Aerosol extinction and trace gas vertical profiles	1–6 min <sup>a</sup> ~ 5–30 km <sup>b</sup>
Mode 2	AA distribution of solar scattered photons at any fixed EA, or solar EA	AA distributions of trace gases, and radiances for aerosol microphysical properties	3–15 min <sup>c</sup> 20 s <sup>c</sup>
Mode 3	Solar direct beam	Raman scattering probability (RSP)	

<sup>a</sup> Acquisition time for a vertical profile; assumption of 6 EA, and acquisition time to retrieve trace-gas dSCD of 10–60 s at each EA.

<sup>b</sup> Depending on aerosol load and wavelength.

<sup>c</sup> Acquisition time assuming 14 AA, and acquisition time to retrieve trace gas dSCD of 10–60 s, and 1 s for radiances at each AA.

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**Table 2.** Configuration of the instrument during the MAD-CAT setup.

Mode	EA (degree)	AA (degree)	Time resolution
1	1, 2, 3, 4, 5, 6, 8, 10, 30, 45, 90	50.8	30 s <sup>a</sup> (~ 6 min <sup>b</sup> )
2	2	5, 37.5, 45, 50.8, 75, 94, 130, 145, 185, 200, 227, 242, 281, 321	30 s <sup>a</sup> (~ 7 min <sup>b</sup> )

<sup>a</sup> Single measurement.<sup>b</sup> Overall time resolution to complete the cycle.

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**Table 3.** List of trace gas references used for the DOAS analysis.

No.	Molecule	Reference
1	NO <sub>2</sub> (220 K)	Vandaele et al. (1998)
2	NO <sub>2</sub> (294 K)	Vandaele et al. (1998)
3	O <sub>3</sub> (223 K)	Bogumil et al. (2003)
4	O <sub>3</sub> (243 K)	Bogumil et al. (2003)
5	O <sub>4</sub> (293 K)	Thalman and Volkamer (2013)
6	CHOCHO (298 K)	Volkamer et al. (2005)
7	HCHO (297 K)	Meller and Moortgat (2000)
8	H <sub>2</sub> O (296 K)	Rothman et al. (2010)
9	BrO	Fleischmann et al. (2004)
10	Ring	Chance and Spurr (1997)



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**Table 4.** Summary of the DOAS fitting analysis.

Target	Spectrometer resolution (nm)	Fitting window (nm)	Cross section fitted	Polynomial order
HCHO, NO <sub>2</sub>	0.78	336.5–359	1, 2, 3, 5, 7, 9, 10	3
CHOCHO, NO <sub>2</sub>	0.78	434–460	1, 2, 3, 4, 5, 7, 9, 10	5
O <sub>4</sub> , NO <sub>2</sub>	0.78	338–370	1, 2, 3, 4, 5, 8, 9, 10	5
O <sub>4</sub> , NO <sub>2</sub>	1.65	445–490	1, 2, 3, 5, 9, 10	5
O <sub>4</sub> , NO <sub>2</sub>	1.65	540–588	1, 3, 5, 9, 10	3

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**Table 5.** Sensitivity studies for the correction factor.

Parameter	360 nm	450 nm	560 nm
PBL (km)		Uncertainty derived from OE	
Asymmetry parameter (g)	0.73	0.7	0.67
	0.7 (AERONET)	0.67 (AERONET)	0.64 (AERONET)
	0.67	0.64	0.61
SSA	0.78	0.94 (AERONET)	0.93 (AERONET)
	0.95 (AERONET)	0.97	0.96
	0.98		
SA	0.04	0.05	0.06
	0.06	0.07	0.08

**Table A1.** List of frequently used abbreviations.

2-D	2-dimensional
AA	azimuth angle
AERONET	AERosol RObotic NETwork
AMF	air mass factor
AOD	aerosol optical depth
CHOCHO	glyoxal
CU	University of Colorado
dAMF	differential air mass factor
DOAS	differential optical absorption spectroscopy
DOF	degrees of freedom
dSCD	differential slant column density
EA	elevation angle
FOV	field of view
FWHM	full width at half maximum
HCHO	formaldehyde
LT	local time
MAD-CAT	multi-axis DOAS comparison campaign for aerosols and trace gases
MAX-DOAS	multi-axis DOAS
McArtim	Monte Carlo Radiative Transfer Model
MPIC	Max-Planck Institute for Chemistry
NO <sub>2</sub>	nitrogen dioxide
O <sub>4</sub>	oxygen collision pair, O <sub>2</sub> -O <sub>2</sub>
OE	optimal estimation
OMI	ozone monitoring instrument
OVOC	oxygenated volatile organic compound
PBL	planetary boundary layer
ppbv	parts per billion by volume; 1 ppbv = 2.2 × 10 <sup>10</sup>
RAA	relative azimuth asymmetry
R <sub>FN</sub>	formaldehyde (HCHO) to nitrogen dioxide (NO <sub>2</sub> ) ratio
R <sub>GF</sub>	glyoxal (CHOCHO) to formaldehyde (HCHO) ratio
R <sub>GN</sub>	glyoxal (CHOCHO) to nitrogen dioxide (NO <sub>2</sub> ) ratio
RLA	relative longitudinal asymmetry
RTM	radiative transfer model
RMS	root mean square
SA	surface albedo
SOA	secondary organic aerosol
SRAA	solar relative azimuth angle
SSA	single scattering albedo
SZA	solar zenith angle
VCD	vertical column density
VMR	volume mixing ratio
VOC	volatile organic compound

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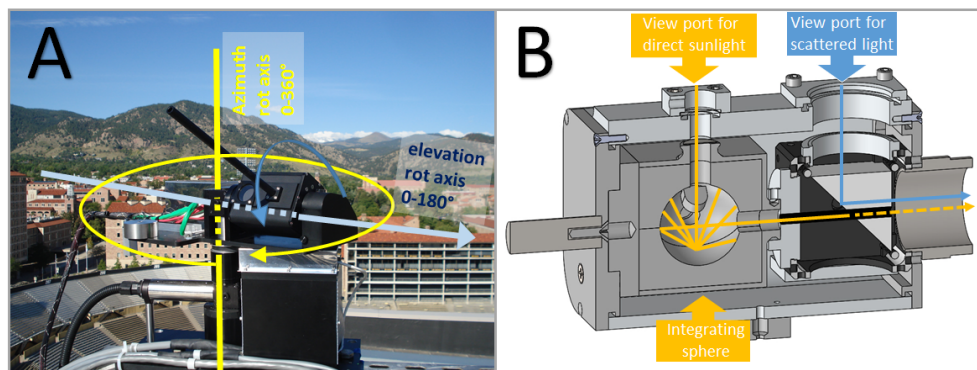
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**Figure 1.** (a) The azimuth and elevation angle scanning (2-D) telescope. The rotation axis for the AA (yellow) and the EA (blue) are marked. (b) Sketch of the entrance optics housed by the rotating upper compartment. It contains a through-hole prism to observe scattered photons (blue line), and an integrating sphere to observe direct sunlight (orange line). Two shutters (not shown) are used to block light in either or both ports. A black anodized collimator tube (not shown) is inserted in the prism hole to avoid scattering off the edges of the prism after coming out of the integrating sphere. The optical fiber is attached to the 2-D telescope and does not move; only the azimuth components move.

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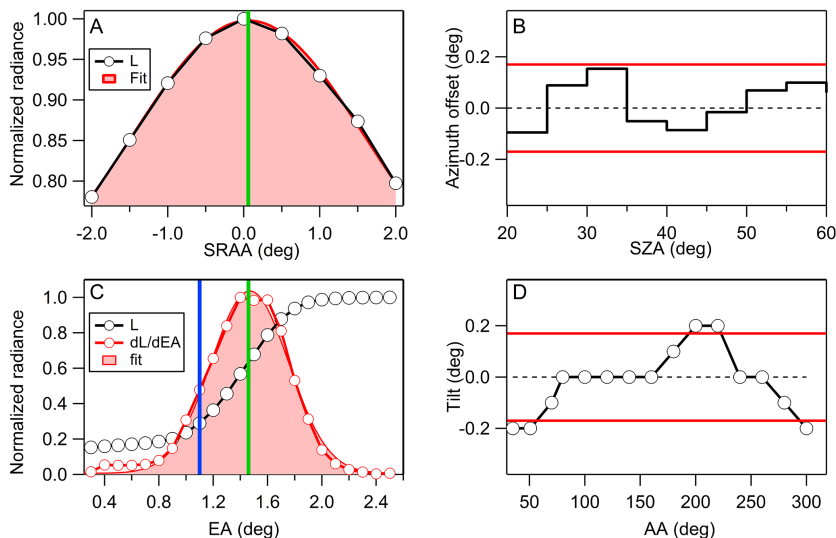
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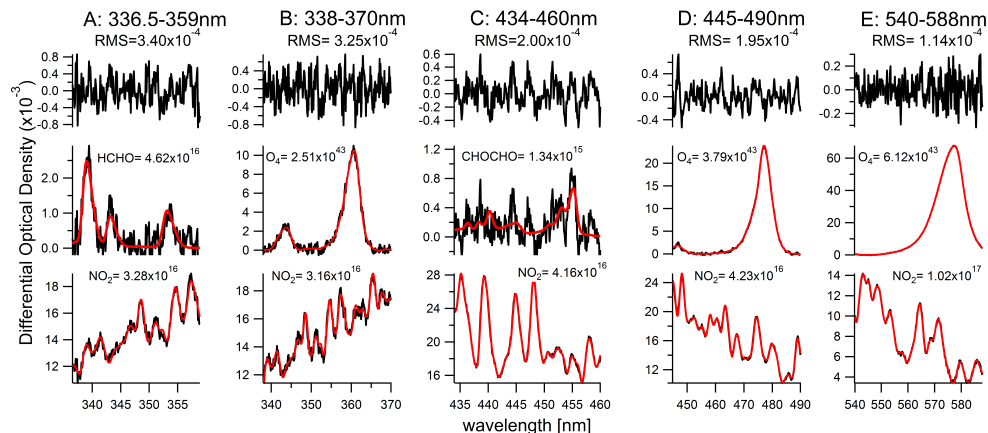




**Figure 2.** Alignment and characterization of pointing accuracy. **(a)** The AA is adjusted using the moving sun as absolute target. The AA is adjusted until symmetry is found in the aureole flux towards the left and right side of the sun. A Gaussian fit shows the center of the sun is offset by  $0.06^\circ$  (green line). **(b)** The azimuth offset (difference between Azimuth of maximum aureole radiance, and the absolute solar AA in Euler coordinates) is determined under clear skies as a function of SZA; the accuracy is always better than the resolution of the internal motor encoder (1 encoder step =  $0.17^\circ$ , red lines), and the absolute average is  $0.08 \pm 0.04^\circ$ . This particular example was performed during the Two Column Aerosol Project (TCAP, <http://campaign.arm.gov/tcap/>). **(c)** The EA offset is derived using a remote target (upslope hill) located at the solar azimuth angle of  $242^\circ$ . The black circles are the measured normalized radiances and the red circles are the derivative of the radiances as a function of EA. The theoretical geometric angle of the hill is  $1.1^\circ$  (blue line) and the EA obtained experimentally is  $1.46$  in this example (green line). **(d)** Tilt measured experimentally using a digital level (red lines, see above).

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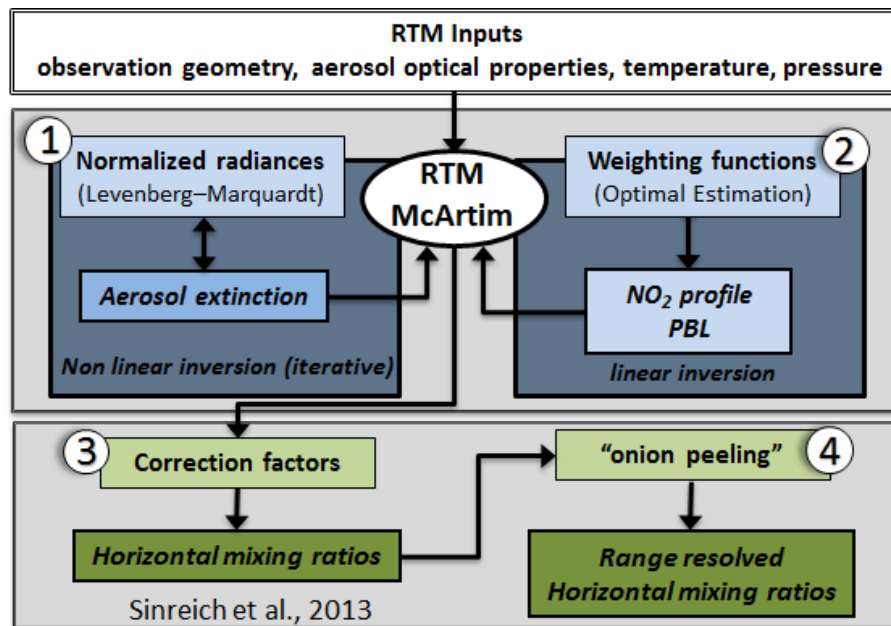
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**Figure 3.** Spectral proofs for the detection of **(a)** HCHO, **(b)**  $O_4$  at 360 nm, **(c)** CHOCHO, **(d)**  $O_4$  at 477 nm, **(e)**  $O_4$  at 577 nm and  $NO_2$  (all panels) on 06 July 2013 at 11:38 UTC,  $SAZ = 27^\circ$ ,  $EA = 2^\circ$ , and  $AA = 50.8^\circ$  from the roof of Max Planck Institute in Mainz, Germany. Black lines represent measured spectra, red lines are scaled reference cross sections in dSCD units for CHOCHO, HCHO,  $NO_2$  (molecules  $cm^{-2}$ ) and  $O_4$  (molecules $^2 cm^{-5}$ ).

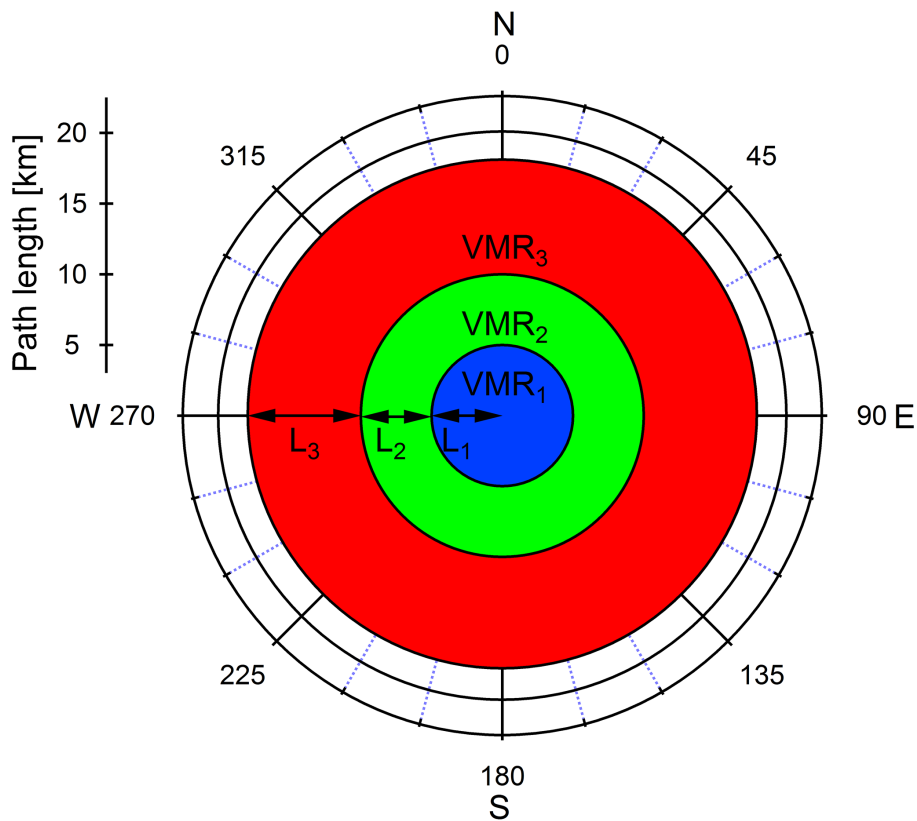
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**Figure 4.** A four-step retrieval is applied: (1) aerosol extinction is determined at  $\text{O}_4$  wavelengths by means of non-linear inversion using normalized radiances; (2) the trace gas vertical profiles are derived (see text). The center shaded area represents the inversion of the EA scan measurements using OE, from which the PBL height is determined. The lower shaded area represents parameterization of RTM that uses PBL height as input to determine (3) near-surface VMR (Sinreich et al., 2013), and (4) range resolved  $\text{NO}_2$  VMRs using an “onion peeling” approach and  $\text{NO}_2$  measurements at different wavelengths.





**Figure 5.** Conceptual sketch of the “onion peeling” approach. See text for details.

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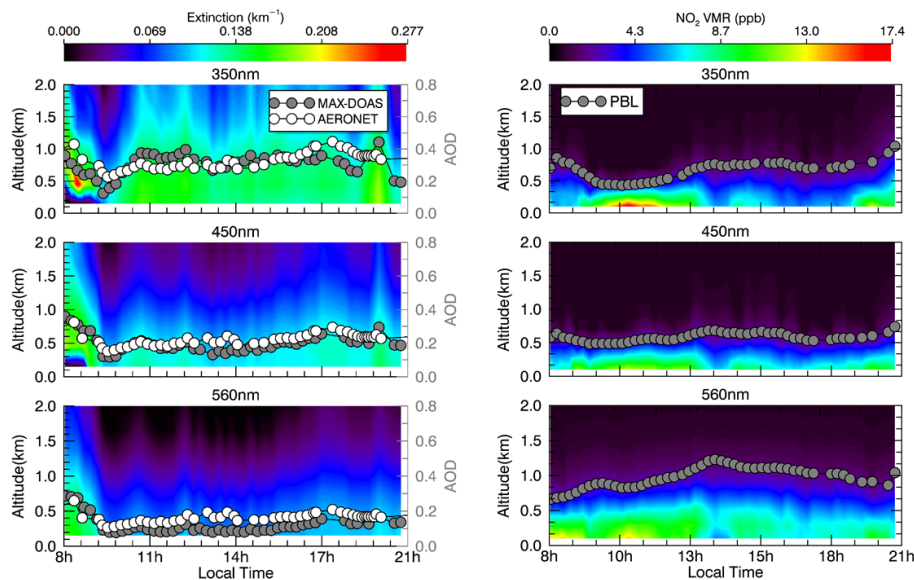
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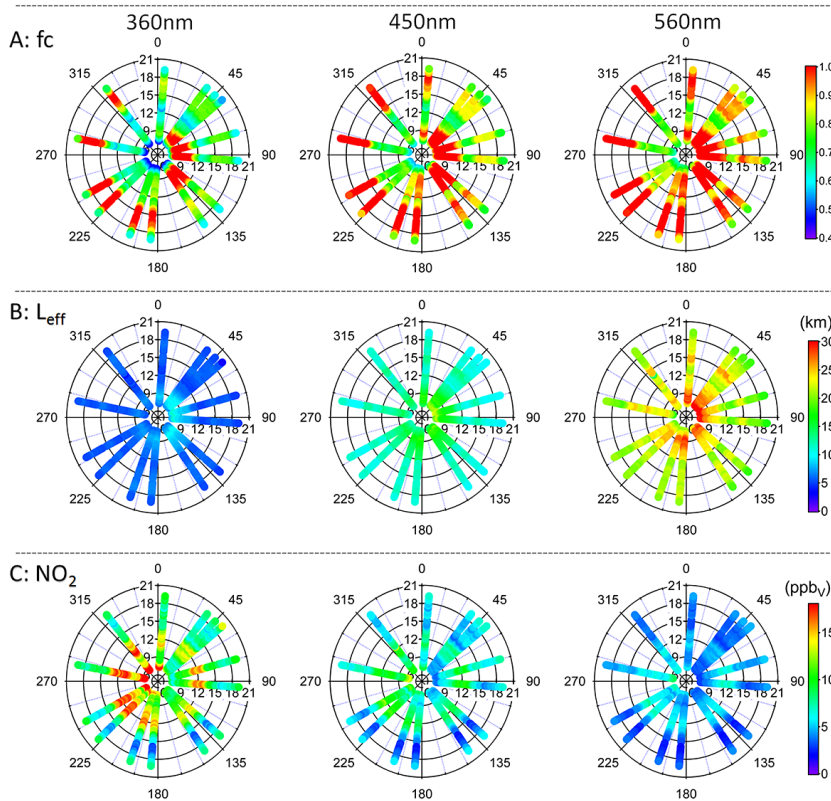


**Figure 6.** Diurnal aerosol extinction (left) and  $\text{NO}_2$  vertical profiles (right) at three different wavelengths (from top to bottom: 350, 450, and 560 nm) on Monday, 17 June 2013 above the MAD-CAT site for the standard AA of  $50.8^\circ$ . The AOD determined by MAX-DOAS at the  $\text{O}_4$  wavelengths is compared with the AOD interpolated from co-located AERONET measurements which uses a different geometry (AOD towards the direction of the solar beam). The PBL height is estimated as the  $1/(2e)$  decrease of the near-surface  $\text{NO}_2$  VMR at each wavelength.

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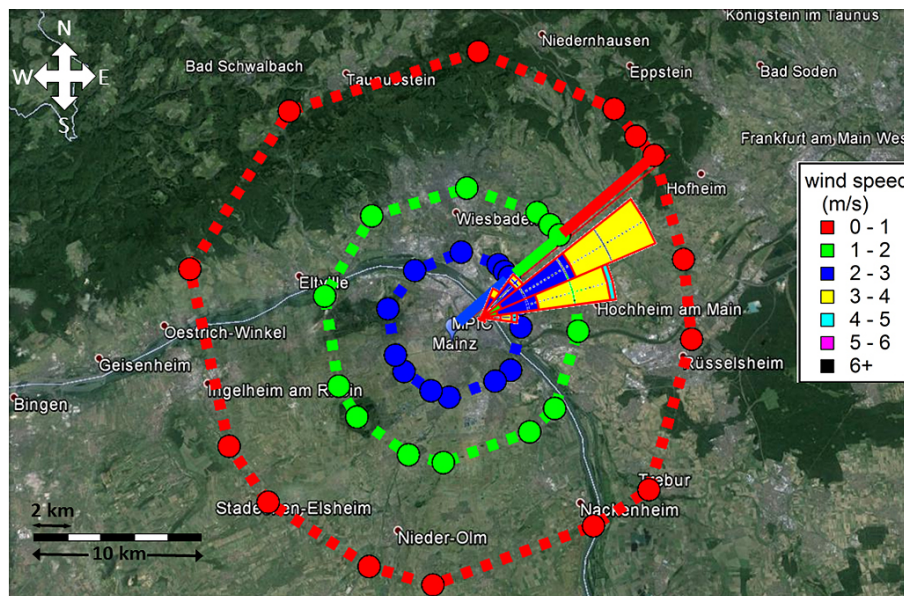
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**Figure 7.** Azimuthal diurnal variation of **(a)** correction factors ( $f_c$ ), **(b)** effective path length ( $L_{\text{eff}}$ , see Eq. 7), and **(c)**  $\text{NO}_2$  near-surface VMRs at 360, 450, and 560 nm (left to right). The radii represent the local time, and the color scale the magnitude of the  $f_c$ ,  $L_{\text{eff}}$ , and  $\text{NO}_2$  VMR; using the same color scale at the three wavelengths.

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**Figure 8.** Map of the surroundings around the city of Mainz, Germany. The circles represent the MAX-DOAS spatial scales probed in different azimuth directions, and at different wavelengths: (blue) the average  $L_{\text{eff}}$  determined for the  $2^\circ$  EA at 350 nm; (green) 477 nm, (red) 577 nm at noon on 17 June 2013. The solid line in azimuth direction of 50.8 represents the “standard” AA where the EA scans were performed. The diurnal azimuth distribution of wind-speed is also shown.

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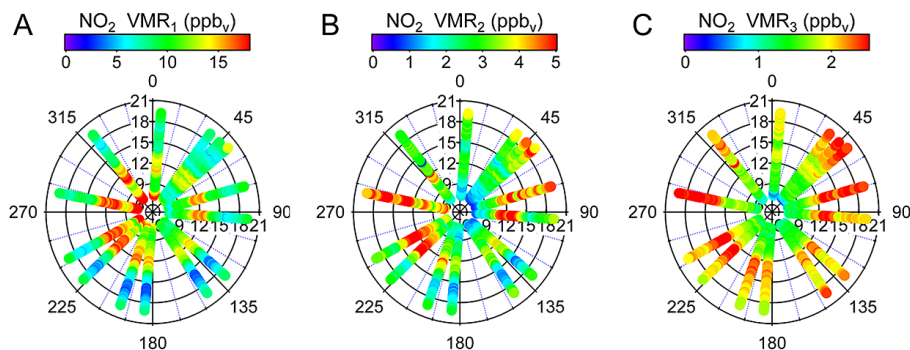


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**Figure 9.** Azimuth dependence of the  $\text{NO}_2$  VMR diurnal cycle at the 3 distances accessible to the “onion-peeling” approach. The  $\text{NO}_2$  in the vicinity of the site ( $\text{VMR}_1$ ) is determined at 360 nm; for calculations of the  $\text{NO}_2$   $\text{VMR}_2$  and  $\text{VMR}_3$  see Eq. (8), and text for details.

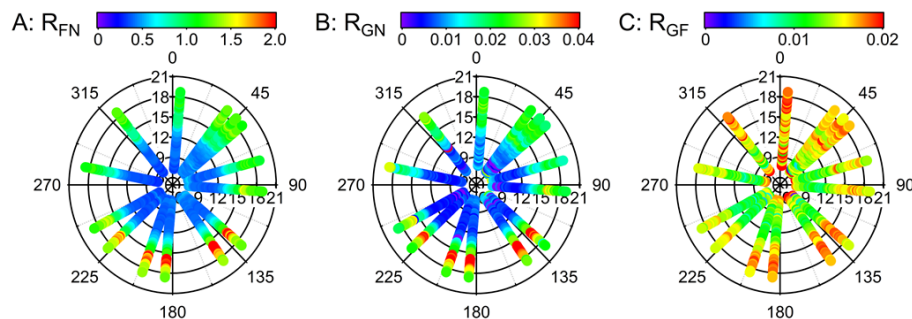
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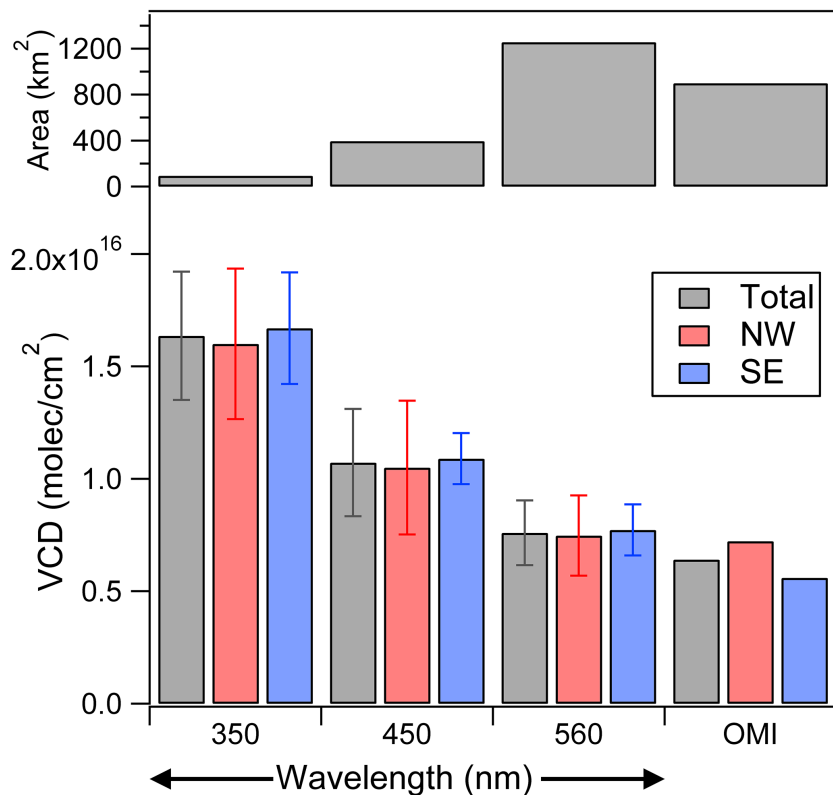
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**Figure 10.** Azimuth dependence of the time series of the trace gas ratios  $R_{\text{FN}}$ ,  $R_{\text{GN}}$ , and  $R_{\text{GF}}$ . See text for details.

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**Figure 11.** Comparison between the NO<sub>2</sub> VCD obtained at the three wavelengths with the NO<sub>2</sub> VCD measured by OMI, for two OMI pixels located to the NW and SE of the site during the OMI overpass on 17 June 2013. The area probed by the azimuth scan at each wavelength and the OMI pixels is represented on top.

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