



Chlorophyll
fluorescence
monitoring from
TROPOMI

L. Guanter et al.

This discussion paper is/has been under review for the journal Atmospheric Measurement Techniques (AMT). Please refer to the corresponding final paper in AMT if available.

Potential of the TROPOspheric Monitoring Instrument (TROPOMI) onboard the Sentinel-5 Precursor for the monitoring of terrestrial chlorophyll fluorescence

L. Guanter¹, I. Aben², P. Tol², J. M. Krijger², A. Hollstein¹, P. Köhler¹, A. Damm³, J. Joiner⁴, C. Frankenberg⁵, and J. Landgraf²

¹Helmholtz Center Potsdam, German Research Center for Geosciences (GFZ),
Telegrafenberg A17, 14473 Potsdam, Germany

²SRON Netherlands Institute for Space Research, Sorbonnelaan 2, 3584 CA Utrecht,
the Netherlands

³Remote Sensing Laboratories, University of Zurich, Winterthurerstrasse 190,
8057 Zurich, Switzerland

⁴NASA Goddard Space Flight Center, Greenbelt, MD, USA

⁵Jet Propulsion Laboratory, California Institute of Technology, Pasadena, California, USA

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Received: 9 September 2014 – Accepted: 24 November 2014 – Published: 15 December 2014

Correspondence to: L. Guanter (guanter@gfz-potsdam.de)

Published by Copernicus Publications on behalf of the European Geosciences Union.

AMTD

7, 12545–12588, 2014

**Chlorophyll
fluorescence
monitoring from
TROPOMI**

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Abstract

Global monitoring of sun-induced chlorophyll fluorescence (SIF) can improve our knowledge about the photosynthetic functioning of terrestrial ecosystems. The feasibility of SIF retrievals from spaceborne atmospheric spectrometers has been demonstrated by a number of studies in the last years. In this work, we investigate the potential of the upcoming TROPOspheric Monitoring Instrument (TROPOMI) onboard the Sentinel-5 Precursor satellite mission for SIF retrieval. TROPOMI will sample the 675–775 nm spectral window with a spectral resolution of 0.5 nm and a pixel size of 7 km × 7 km. We use an extensive set of simulated TROPOMI data in order to assess the uncertainty of single SIF retrievals and subsequent spatio-temporal composites. Our results illustrate the enormous improvement in SIF monitoring achievable with TROPOMI with respect to comparable spectrometers currently in-flight, such as the Global Ozone Monitoring Experiment-2 (GOME-2) instrument. We find that TROPOMI can reduce global uncertainties in SIF mapping by more than a factor 2 with respect to GOME-2, which comes together with an about 5-fold improvement in spatial sampling. Finally, we discuss the potential of TROPOMI to accurately map other important vegetation parameters, such as leaf photosynthetic pigments and proxies for canopy structure, which will complement SIF retrievals for a self-contained description of vegetation condition and functioning.

1 Introduction

Sun-induced chlorophyll fluorescence (SIF) is an electromagnetic signal emitted by the chlorophyll *a* of assimilating plants: part of the energy absorbed by chlorophyll is not used for photosynthesis, but emitted at longer wavelengths as a two-peak spectrum roughly covering the 650–850 nm spectral range (Porcar-Castell et al., 2014). The SIF signal originates at the cores of the photosynthetic machinery and responds instantaneously to perturbations in environmental conditions such as light and water stress,

Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



is the basis of principal components describing the variability in solar irradiance and atmospheric transmittance ($\sum_{j=1}^{n_v} \alpha_j \mathbf{v}_j \sim I_{sc} \cdot \mathbf{T}_{\downarrow\uparrow}$), F_s is SIF at a given reference wavelength (normally the center of the fitting window), \mathbf{h}_F is a fixed spectral function normalized at the reference wavelength, which accounts for the spectral shape of SIF, \mathbf{T}_{\uparrow}^e is an effective upward transmittance, n_p is the order of the polynomial used to represent spectrally-smooth terms, and n_v is the number of principal components representing high spectral frequency variations in $I_{sc} \cdot \mathbf{T}_{\downarrow\uparrow}$. The \cdot symbol represents an element-wise product of vectors.

The state vector elements are \mathbf{a} , $\boldsymbol{\alpha}$, and F_s , whereas $\boldsymbol{\lambda}$, \mathbf{v} , \mathbf{h}_F and \mathbf{T}_{\uparrow}^e are model parameters. Regarding these, $\boldsymbol{\lambda}$ is known for each spectrum, \mathbf{v} is derived from principal component analysis of real measurements as described in Joiner et al. (2013); Köhler et al. (2014), and the spectral function \mathbf{h}_F can be extracted from spectral libraries, which is justified in Guanter et al. (2013). As for \mathbf{T}_{\uparrow}^e , we estimate it from an effective $\mathbf{T}_{\downarrow\uparrow}$, which is derived from the normalization of the measured radiance spectra by I_{sc} and the spectral continuum. Although \mathbf{T}_{\uparrow}^e differs slightly from the real \mathbf{T}_{\uparrow} over vegetated areas due to the in-filling of atmospheric lines by SIF, we assume that this effect is negligible because most of the information for the retrieval is provided by the Fraunhofer lines.

It must be remarked that the estimation of atmospheric transmittance from TOA radiance spectra normalized by the continuum implies that $\mathbf{T}_{\downarrow\uparrow}$ and hence \mathbf{T}_{\uparrow}^e are normalized to 1 in continuum regions. Therefore, neither $\sum_{j=1}^{n_v} \alpha_j \mathbf{v}_j$ nor \mathbf{T}_{\uparrow}^e take into account varying atmospheric absorption in the continuum. This can low-bias the retrieval for e.g. tilted illumination/observation geometries and high atmospheric optical thickness like in the presence of clouds.

Following Guanter et al. (2013), the forward model in Eq. (2) is further simplified so that the number of state vector elements is reduced in order to minimize the risk of overfitting. This is achieved by only convolving the most significant \mathbf{v} s with the poly-

mial in wavelength, leading to

$$\mathbf{F}'(\mathbf{a}, \boldsymbol{\alpha}, F_s) = \mathbf{v}_1 \sum_{i=0}^{n_p} a_i \boldsymbol{\lambda}^i + \sum_{j=2}^{n_v} \alpha_j \mathbf{v}_j + F_s \mathbf{h}_F \cdot \mathbf{T}_{\uparrow}^e, \quad (3)$$

which is the final form of the forward model used for the sensitivity analysis presented in this work. It has a total of $n_p + n_v + 1$ elements to be inverted. We set n_p to values between 2 and 4 depending on the width of the fitting window, whereas an ad hoc threshold of 0.05 % on the percentage of the variance of the training set explained by each singular vector is used to select n_v . It typically takes values between 4 and 10 depending on the width of the fitting window and the amount of solar and atmospheric lines contained in it.

2.3 Retrieval random error

The retrieval error covariance matrix \mathbf{S}_e is given by

$$\mathbf{S}_e = \left(\mathbf{J}^T \mathbf{S}_0^{-1} \mathbf{J} \right)^{-1}, \quad (4)$$

where \mathbf{S}_0 is the measurement error covariance matrix (in our case, instrumental noise in the measurement) and \mathbf{J} is the Jacobian matrix consisting of the terms $\mathbf{J}(a_i) = \mathbf{v}_1 \boldsymbol{\lambda}^i$, $\mathbf{J}(\alpha_j) = \mathbf{v}_{2-n_v}$ and $\mathbf{J}(F_s) = \mathbf{h}_F \mathbf{T}_{\uparrow}^e$. The diagonal of the \mathbf{S}_e matrix contains the random error of the retrieved state vector elements $(\mathbf{a}, \boldsymbol{\alpha}, F_s)$. Because of the linear nature of the forward model, the Jacobian functions do not depend on the state vector elements, but only on model parameters. The only model parameter that changes for each measured spectrum is \mathbf{T}_{\uparrow}^e , but it is generally close to 1 for fitting windows devoid of strong atmospheric lines.

As a result, the measurement noise error in the retrieved SIF does not explicitly depend on at-sensor radiance or on SIF itself, but only on a set of scalars and the measurement noise. For photon-noise driven instruments such as GOME-2, SCIAMACHY

Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



or TROPOMI, the measurement noise does scale with the incoming at-sensor radiance, so the single-retrieval 1σ error grows with the at-sensor radiance level. Therefore, the retrieval precision is lower for bright areas and high illumination levels. This may be counterintuitive, as the measurement SNR is maximized for those measurements conditions. However, since the retrieval error only scales with the measurement noise, which in turns increases with $(L_{\text{TOA}})^{1/2}$, higher L_{TOA} implies higher measurement noise error irrespective of the SNR.

3 Simulation setup and sensitivity analysis

We have simulated a large ensemble of TROPOMI-like spectra in order to estimate retrieval uncertainties (precision and accuracy) for different retrieval configurations. We have also simulated the global distribution of instrumental noise and total number of clear-sky observations for typical acquisition scenarios with the purpose of investigating the uncertainty of spatio-temporal composites of SIF retrievals from TROPOMI. We have also done the simulations for GOME-2 for inter-comparison with TROPOMI. GOME-2 is chosen because it is the instrument with the most similar spectral and spatial sampling characteristics to TROPOMI.

3.1 Spectrum-based simulations

Our spectrum-based simulations comprise surface and atmospheric models common to TROPOMI and GOME-2 as well as sensor-specific instrumental models. The surface model consists of a set of top-of-canopy (TOC) reflectance and SIF spectra (500–800 nm) derived with the FluorSAIL leaf+canopy radiative transfer model (Miller et al., 2005) from a combination of 4 values of chlorophyll content (C_{ab}) (5, 10, 20, $40 \mu\text{g cm}^{-2}$) and 5 values of leaf-area index (LAI) (0.5, 1, 2, 3, 4). The resulting 20 SIF spectra were in turn scaled by a factor 2 chosen arbitrarily in order to extend the range of SIF values covered by the simulations. This gives a total of 20 reflectance and 40

SIF spectra. Exemplary TOC reflectance and SIF spectra from 4 combinations of Cab and LAI are displayed in Fig. 2.

The resulting TOC reflectance and SIF spectra were converted into TOA radiance spectra following Eq. (1). The atmospheric radiative transfer simulations consisted of 2 temperature profiles (midlatitude summer and midlatitude winter), 5 aerosol optical thicknesses at 550 nm (0.05, 0.12, 0.20, 0.30, 0.40), 3 aerosol heights (600, 700, and 800 hPa), a fixed continental aerosol model, 4 surface pressure values (955, 980, 1005, and 1030 hPa), 4 water vapour column contents (0.5, 1.5, 2.5, 4.0 gcm⁻²), 2 view zenith angles (0 and 15°, simulating quasi-nadir observations) and 4 sun zenith angles (15, 30, 45, 70°). Simulations were performed with the Matrix Operator Model (MOMO) radiative transfer code (Fell and Fischer, 2001), run monochromatically with a spectral sampling of 0.005 nm. The combination of this ensemble of 3840 atmospheric conditions with the 40 surface cases leads to total of 153 600 simulated TOA radiance spectra. This simulated data set is to a large extent consistent with the one used in Joiner et al. (2013) and Köhler et al. (2014).

Concerning the instrument model, a Gaussian spectral response function with a 0.5 nm FWHM is used for both TROPOMI and GOME-2. The spectral sampling interval (SSI) is 0.1 nm for TROPOMI and 0.2 nm for GOME-2. Instrumental noise is added on the spectra as Gaussian, spectrally-uncorrelated noise after spectral convolution. Input curves of SNR vs. radiance at 758 nm are used for this purpose. The SNR curve for GOME-2 was provided by EUMETSAT (R. Lang, EUMETSAT, personal communication, 2014). The SNR of TROPOMI is simulated according to the mission requirement that SNR = 500 at 758 nm for $L_{TOA} = 4.5 \times 10^{12}$ photons s⁻¹ cm⁻² sr⁻¹ nm⁻¹, as

$$SNR(\lambda) = 500 \sqrt{\frac{L_{TOA}(\lambda)}{4.5 \times 10^{12}}}, \quad (5)$$

based on Sanders and de Haan (2013). It must be stated, though, that the actual SNR expected for TROPOMI largely exceeds this requirement. The SNR-radiance curves for TROPOMI and GOME-2 are displayed in Fig. 3. The mean and standard deviation of

Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



bins, as TROPOMI performs 216 measurements in 1 s with 7 km × 7 km spatial resolution and GOME2 has 4 meas. in 1 s with 80 km × 40 km.

End-to-end retrieval simulation results for several fitting windows are displayed in Fig. 8. The 725–758 nm window in Fig. 8a samples the second peak of the SIF spectrum. It is a wide spectral window containing a large number of Fraunhofer lines as well as some water vapour lines in 725–740 nm. Retrieval precision is high due to the relatively large number of spectral measurements, although also small deviations from the 1 : 1 line are observed. These are most likely due to difficulties to model smooth non-linear spectral variations by the polynomial in wavelength in the forward model (Eq. 3). Oppositely, the 745–758 nm case in Fig. 8b represents a pure Fraunhofer line-based retrieval, as this window contains no atmospheric lines (see Fig. 1). The retrieval for this narrow fitting window is very accurate, which is mostly due to the simpler modeling of the spectral continuum discussed above. The sensitivity to noise for this fitting window is relatively high because of the lower amount of spectral points. As previously discussed, the window 735–758 nm in Fig. 6 is selected as the best compromise between precision and window width.

The longer wavelength part of the SIF spectrum is sampled by the 745–772 nm window containing the O₂ A-band (Fig. 8c). Despite the complexity of modeling atmospheric transmittance inside the O₂ A-band, the results in Fig. 8c confirm that our retrieval approach can also be applied in that spectral range, which is relevant for instruments in which NIR measurements are restricted to the O₂ A band.

Results for fitting windows sampling the first peak of the SIF spectrum are presented in Fig. 8d–f. Non-negligible systematic errors are observed for all three fitting windows, which can be attributed to both interference of atmospheric absorption lines and errors in the representation of non-linear surface reflectance by the forward model (the case of the 681–686 nm window, devoid of atmospheric lines). However, despite those biases we consider these results to be of high interest, as they show the potential for red SIF retrievals with TROPOMI. We also acknowledge that these simulations do not include

Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



~ 09:00 overpass time of GOME-2 (the SNR is however generally larger for GOME-2 than for TROPOMI at the same radiance level, see Fig. 3).

The standard error of the mean for the spatio-temporal binning of single clear-sky retrievals in the 735–758 nm fitting window is estimated with Eq. (6), n_m in the equation being the total number of clear-sky measurements in Fig. 10. Results are shown in Fig. 11 for the same conditions as those in Fig. 10, except that the measurement time for GOME-2 is set to 13:00 in the simulations in order to compare with TROPOMI under the same illumination conditions. Substantial improvements in TROPOMI can be observed by comparing the resulting standard errors, which are generally about half as large as those for GOME-2 for the configuration selected for these simulations. It can be observed that the standard error is below $0.2 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$ (about ~ 10% of the peak SIF values observed globally) which can be considered a desirable error threshold for global composites of SIF.

The maps in Fig. 12 show the standard errors for TROPOMI and GOME-2 composites of 7 days and a cloud fraction < 50 %, and additionally also for 3 days and < 20 % cloud fraction for TROPOMI, over a region in Western Europe in July. These results illustrate the substantial improvement in spatial resolution by TROPOMI and that it will enable clear-sky composites with a more strict cloud screening and smaller temporal bins than GOME-2.

It must be remarked that the aim of these global simulations is to show the potential of TROPOMI for global SIF monitoring with respect to GOME-2, rather than to provide absolute uncertainty figures. These are highly driven by n_m in Eq. (6), and hence by the particular definition of clear-sky conditions. We find it difficult to link the MODIS cloud fraction data used in this study (see Sect. 3.2) with a total measure of cloud contamination. In addition, we are working with a conservative SNR curve for TROPOMI, so the results in Figs. 10–12 only represent a worst case scenario regarding instrumental noise.

Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



5 Discussion

5.1 Validation of SIF retrievals: intercomparison with OCO-2

Despite the fact that TROPOMI will measure with a much higher spatial resolution than comparable instruments such as GOME-2 and SCIAMACHY, the validation of SIF retrievals in TROPOMI's 7 km × 7 km pixels through direct comparison with ground-based measurements (typical footprints of the order of 1 m) is still challenging. Very homogeneous sites and careful measurement protocols will be needed for such validation experiments, ideally combined with airborne spectroscopic measurements which allow to bridge the scaling gap between ground-based measurements and satellite-based data sets (Guanter et al., 2007; Rascher et al., 2009).

As a complement, intercomparisons of SIF products derived from different spaceborne instruments can provide useful information on the consistency of the derived data sets. By the time TROPOMI will fly and collect data, GOME-2, GOSAT and OCO-2 data should be available for direct satellite inter-comparisons. In particular, OCO-2 provides a unique opportunity for cross-validation of observed SIF radiances at 758 nm from TROPOMI as local overpass times are almost identical. TROPOMI will fly in loose formation with JPSS-1 at an altitude of 824 km and a local overpass time of 1:30 p.m. In this context, OCO-2 can be considered a benchmark for two reasons. First, the high spectral resolution (0.04 nm FWHM) allows the application of very accurate SIF retrievals using micro-windows and physics-based retrieval algorithms (Frankenberg et al., 2011a; Joiner et al., 2011; Guanter et al., 2012). Second, OCO-2 has a much higher spatial resolution (approx. 3 km² ground pixel area) such that multiple OCO-2 retrievals can be averaged for comparisons against the 7 km × 7 km TROPOMI footprint. This is an important aspect as single-measurement noise for SIF retrievals is relatively high and averaging is needed to reduce the standard error.

The comparison procedure will include averaging of OCO-2 to the TROPOMI spatial resolution, followed by a statistical comparison of the two, using OCO-2 as benchmark as it will have been validated against airborne data and will exhibit a much better stan-

Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



dard error because spatial averaging will have been performed (the OCO-2 footprint area is about 16 times smaller, this averaging reduces precision errors by a factor 4). This validation exercise will be performed throughout the time when the two missions coexist in order to exclude instrumental drifts. In addition, barren surfaces with varying reflectances will be used as additional validation standard to study whether any non-linearities of dark current offsets within the instrument cause variable fluorescence values over non-fluorescing targets. Furthermore, non-fluorescing targets will be used to also validate the uncertainty estimates by comparing expected with actual standard deviations in retrieved SIF.

5.2 Towards a global representation of vegetation structure and gross primary production from TROPOMI measurements

TROPOMI will be the first imaging spectrometer providing a continuous and dense spectral sampling of the red and NIR spectral region at global scale with moderate spatial resolution. Together with the spectral information used for retrievals at the two peaks of the SIF emission spectrum, additional spectral bands are located in the blue (405–495 nm) and short-wave infrared (2305–2385 nm). This spectral setting allows deriving additional geophysical variables, complementary to SIF, and moving towards a holistic representation of vegetation canopies and a self-contained description of global photosynthesis.

The capability to derive additional vegetation variables such as chlorophyll and water content or LAI is interesting as these variables are important indicators for plant health (Sampson et al., 2003), phenology (Delbart et al., 2005), and facilitate estimates of exchange processes between terrestrial vegetation and the atmosphere (Buermann et al., 2001). Biochemical and structural vegetation variables are also critical to relate SIF observations and gross primary production (GPP, the output from photosynthesis). Although significant relations between SIF and GPP were reported recently (Damm et al., 2010; Frankenberg et al., 2011b; Guanter et al., 2014), they were found to be biome specific (Guanter et al., 2012). This indicates the existence of additional factors

Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



impacting these relationships, e.g., canopy structure (Guanter et al., 2012), or stress effects and related interferences of plant protection mechanisms (Van der Tol et al., 2009).

The chlorophyll content of vegetation canopies can be extracted from atmospherically corrected surface reflectance data by exploiting contrasting wavelengths in the red-edge spectral region, including the red that is sensitive to chlorophyll absorption and the unaffected NIR. The high spectral sampling of TROPOMI in the red-edge region allows applying various approaches proposed in literature, for example (i) two- or multiband spectral indices (Zarco-Tejada et al., 2004), (ii) spectral integral approaches (Malenovsky et al., 2013) explicitly requiring high spectral resolution in the spectral interval between 650 and 720 nm, (iii) the position and shape of the red edge (Filella and Peñuelas, 1994), or (iv) machine learning approaches relying mainly on the 680–730 nm wavelength range for Cab estimates (Verrelst et al., 2013).

The additional SWIR bands of TROPOMI provide the capability to estimate the water content of vegetation canopies. The retrieval of canopy water content is based on atmospherically corrected surface reflectance data in the SWIR, strongly affected by liquid water absorption, and the NIR spectral region being relatively insensitive. Two band indices as for example the Normalized Difference Water Index (NDWI) (Gao, 1996), are applicable to TROPOMI data to obtain the canopy water content in addition to SIF.

The amount of photosynthetic active leaves in a canopy, expressed as LAI, typically impacts the radiative transfer of the 400–2500 nm wavelength range. Several established LAI retrieval approaches focus on the red-edge spectral region instead, while suggested approaches that are potentially applicable for TROPOMI data include (i) empirical indices (Haboudane et al., 2004) based on broader spectral bands around 670 and 800 nm, e.g., the Soil Adjusted Vegetation Index (SAVI) (Huete, 1988) or its derivative MSAVI (Qi et al., 1994) and SARVI (Kaufman and Tarré, 1992), (ii) generic indices located in the red-edge using spectral bands at 674 and 712 nm (Delegido et al., 2013), or (iii) machine learning approaches being sensitive for spectral bands around 471,

Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



511, 725, and 997 nm (Verrelst et al., 2012), while TROPOMI covers most of these suggested bands.

Canopy structure impacts the radiative transfer in vegetation canopies and was discussed as a dominant factor driving variations in surface reflectance (Lewis and Disney, 2007). Neglecting structural effects can lead to mis-interpretations of reflectance and SIF signals and to spurious correlations between canopy reflectance and estimated geophysical variables (Knyazikhin et al., 2012; Ustin, 2013). Recently, Knyazikhin et al. (2012) proposed the directional area scattering factor (DASF), a remote sensing variable solely related to canopy structure that is even applicable for dense vegetation canopies where reflectance tends to saturate. The application of the DASF provides means to compensate the structural sensitivity of surface reflectance and SIF and provides a strategy to derive vegetation variables with minimized sensitivity to canopy structure. The high spectral resolution of TROPOMI in the 710–775 nm spectral region allows retrieving the DASF and with this, additional information on directional scattering effects required for the interpretation of retrieved SIF (Guanter et al., 2014) and structural correction of geophysical variables can be provided (Weyermann et al., 2014).

Geophysical variables discussed above are critical to interpret and relate retrieved SIF to GPP and allow moving to a self-contained capability of TROPOMI to globally map GPP. The complexity of interferences between physiological effects and environmental factors (Porcar-Castell et al., 2014), however, requires adequate strategies to consistently assimilate and interpret such information sources. In this context, process based models provide a flexible framework for data assimilation, e.g., the Soil Canopy Observation of Photosynthesis and the Energy balance model (SCOPE) (Van der Tol et al., 2009) or the National Center for Atmospheric Research Community Land Model version 4 (NCAR CLM4) (Lawrence et al., 2011). Observation of SIF and other vegetation variables can be potentially used to (i) directly parameterize sub-components of these models using values or their respective ranges, (ii) constrain model predictions, (iii) or re-calibrate internal functions.

Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



The self-contained capability of TROPOMI to map photosynthesis will additionally benefit from the envisaged formation flying with NASA's Suomi-NPP (National Polar-orbiting Partnership) mission, since this tandem concept provides further critical environmental variables e.g., surface temperature from the VIIRS (Visible Infrared Imaging Radiometer Suite) instrument.

6 Conclusions

In this paper we have discussed the potential of the upcoming S5P/TROPOMI for global monitoring of SIF. By means of a sensitivity analysis we have shown that TROPOMI will enable a substantial improvement in SIF retrieval with respect to existing spaceborne instruments such as GOME-2 and SCIAMACHY, especially thanks to the finer spatial resolution, the much larger number of measurements per day and the higher retrieval sensitivity.

The standard error of the mean values in global SIF composites is defined by the number of clear-sky measurements per spatio-temporal bin. In general, a $0.2 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$ value (about 10 % of the peak SIF values observed globally) could be used as an orientative error threshold for the definition of spatio-temporal bins. For TROPOMI, we arbitrarily choose a 0.1° latitude/longitude grid to sample the $7 \text{ km} \times 7 \text{ km}$ pixels of TROPOMI. In the time dimension, our simulations show that temporal bins from 3 to 5 days can bring the uncertainty below the $0.2 \text{ mW m}^{-2} \text{ sr}^{-1} \text{ nm}^{-1}$ signal threshold for most of the regions on the planet. However, this is only a worst case scenario because of the conservative, mission requirement-based SNR curve used for TROPOMI in this study.

We have demonstrated in Sect. 4.2 that most of the SIF signal retrieved from TROPOMI is preserved for moderate levels of cloud contamination, which is in line with the findings by Frankenberg et al. (2012) for higher spectral resolution spectrometers such as GOSAT and OCO-2, and that SIF is less sensitive to cloud contamination than reflectance-based vegetation indices. Cloud fraction thresholds $< 40\text{--}50\%$ are being

Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



currently used for cloud screening of GOME-2 retrievals (Joiner et al., 2013; Köhler et al., 2014). In principle, lower levels of cloud contamination would be desirable in order to minimize confounding effects in the interpretation of the resulting SIF products (e.g. region-specific dampings of the seasonal cycle). Our results suggest that a more restrictive cloud screening can in fact be allowed for TROPOMI without a drastic increase of the standard error thanks to the much higher number of clear-sky retrievals and the higher retrieval sensitivity.

Another important aspect is the wide spectral window covered by TROPOMI, which facilitates a self-contained description of the canopy physiological and structural condition. On the one hand, we have shown that TROPOMI enables retrievals at different spectral windows covering the two peaks of the SIF emission, which can potentially enhance the physiological information provided by SIF. On the other hand, measurements of spectral reflectance in the so-called vegetation red-edge between the red and NIR regions of the spectral window sampled by TROPOMI are known to provide key information for the characterization of leaf photosynthetic pigment contents and canopy structure. Reflectance-based measurements are much more sensitive to cloud contamination than SIF retrievals, which reinforces the need for strictly cloud-free measurements from TROPOMI and justifies the fact that such parameters are not being derived globally from GOME-2 and SCIAMACHY, for which completely cloud-free observations are in practice not available because of the large pixels.

The launch of S5P/TROPOMI early 2016 will be very timely given the international Earth Observation scenario for SIF monitoring in the coming years. In addition to the synergy with OCO-2 discussed in this paper, TROPOMI will be the precursor for upcoming spaceborne instruments with similar spectral coverage and resolution. This includes the TEMPO (Tropospheric Emissions: Monitoring of Pollution) and Sentinel-4 geostationary missions (launch scheduled towards the end of the decade in both cases), the Sentinel-5 mission (planned for launch in 2020) with similar capabilities as TROPOMI, and the ESA Earth Explorer Candidate Mission FLEX (Drusch and FLEX

Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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**Chlorophyll
fluorescence
monitoring from
TROPOMI**

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Table 1. Relevant characteristics of current and near-future spaceborne atmospheric instruments suitable for SIF retrievals. The improvement in spatial resolution and number of clear-sky observations per day from Sentinel-5 Precursor/TROPOMI are highlighted. NIR stands for near-infrared. It must be noted that GOME-2 on MetOp-A has been operating in a reduced swath mode since 15 July 2013 with a reduced pixel size of 40 km × 40 km.

	GOSAT	GOME-2	SCIAMACHY	OCO-2	TROPOMI
Data since/from	June 2009	January 2007	2002–2012	July 2014	Beginning 2016
Overpass time	Midday	Morning	Morning	Midday	Midday
Red/NIR spectral coverage	757–775 nm	650–790 nm	650–790 nm	757–775 nm	675–775 nm
Spectral resolution at 750 nm	~ 0.025 nm	~ 0.5 nm	~ 0.5 nm	~ 0.05 nm	~ 0.5 nm
Type of spatial sampling	Sparse	Continuous	Continuous	Sparse	Continuous
Spatial resolution of single measurements	10 km diam.	40 km × 80 km	30 km × 240 km	1.3 km × 2.25 km	7 × 7 km²
Typical resolution of global composites	2°	0.5°	1.5°	1°	0.1°
Approx. number of NIR clear-sky observations over land per day	600	2800	900	~ 129 900	~ 544 300

Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

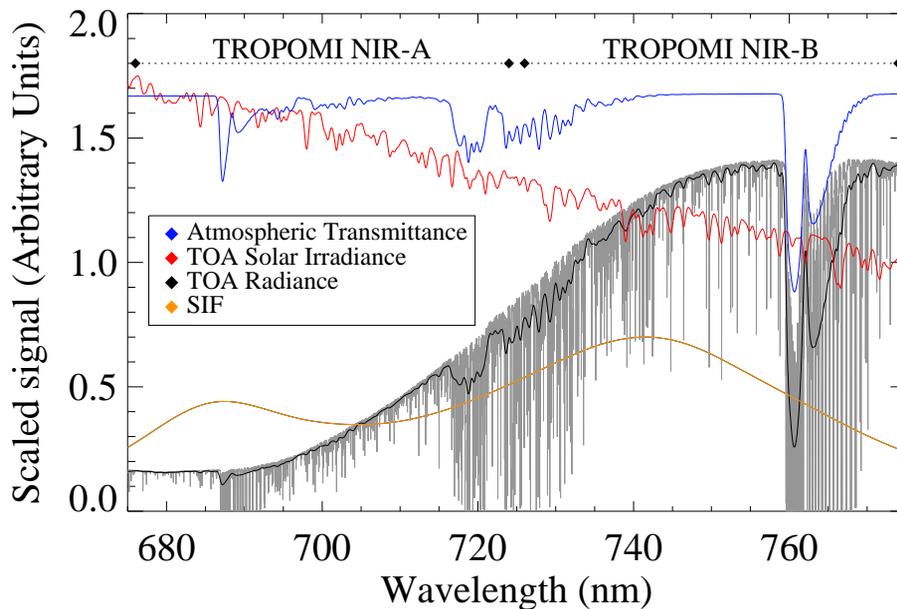
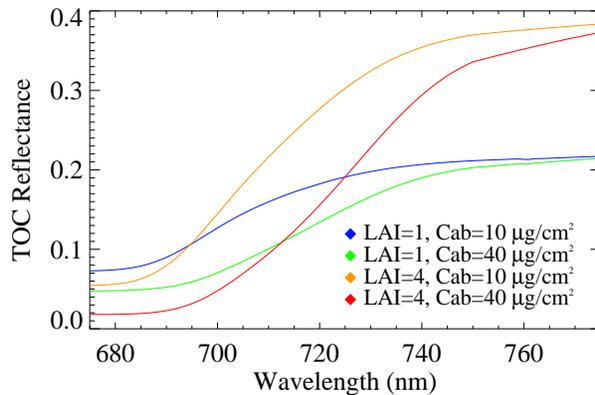


Figure 1. Normalized spectra of sun-induced fluorescence (SIF), atmospheric transmittance, top-of-atmosphere (TOA) solar irradiance and TOA radiance from a green vegetation target. The spectra are presented at the 0.1 nm spectral sampling and 0.5 nm resolution of TROPOMI. The TOA radiance spectrum is also plotted at the 0.005 nm input resolution (grey shade).

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Chlorophyll
fluorescence
monitoring from
TROPOMI

L. Guanter et al.



(a)

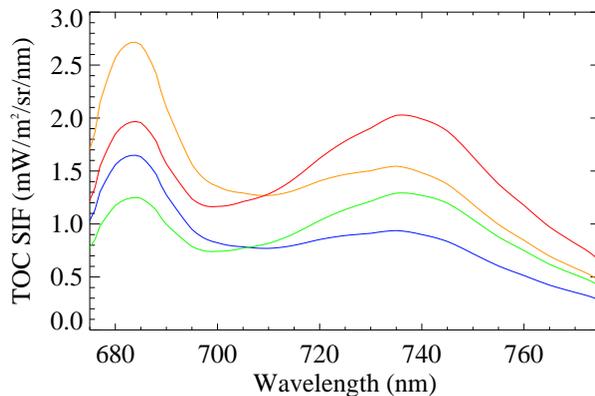


Figure 2. Top-of-canopy (TOC) surface reflectance **(a)** and SIF **(b)** spectra as a function of leaf-area index (LAI) and chlorophyll content (Cab) in the 675–775 nm spectral range covered by the NIR-A and NIR-B spectral bands of TROPOMI.

Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

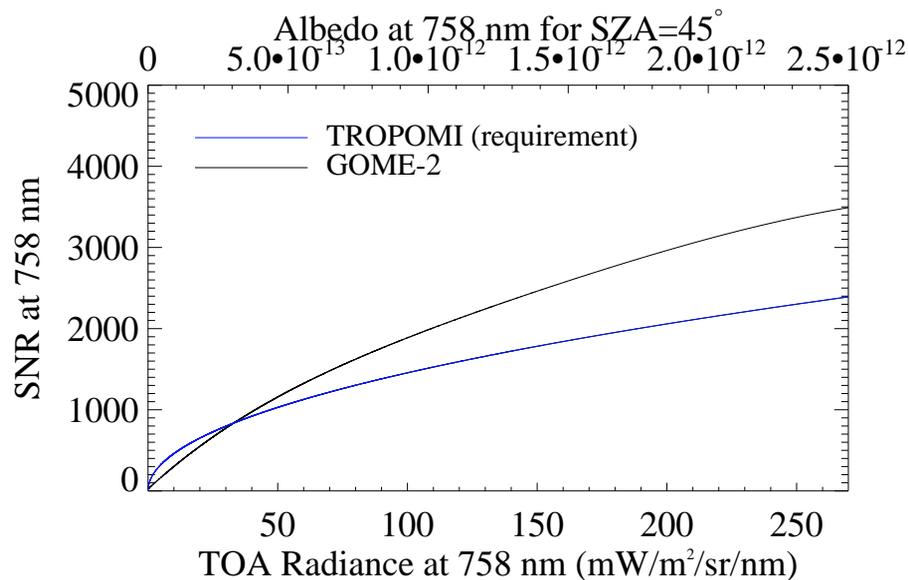


Figure 3. Curves of signal-to-noise ratio (SNR) vs. top-of-atmosphere (TOA) radiance at 758 nm for GOME-2 and TROPOMI. The SNR curve for TROPOMI is derived with Eq. (5) and reflects mission requirements. It represents a lower boundary for the actual TROPOMI SNR.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



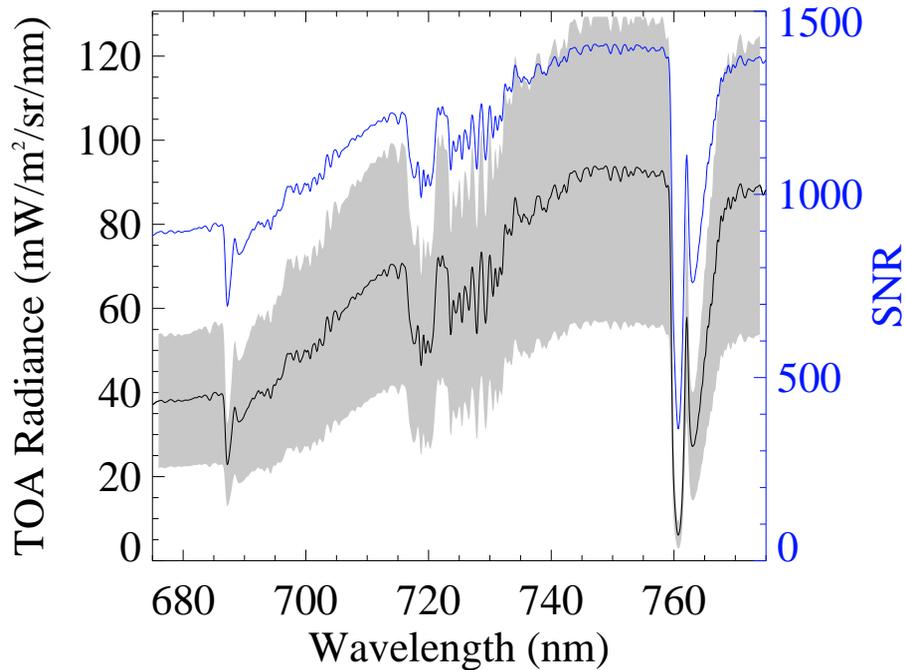


Figure 4. TROPOMI-like top-of-atmosphere (TOA) spectral radiance and signal-to-noise ratio (SNR). The black curve depicts the mean from the 153 600 simulated TOA spectra, and the shaded area shows the standard deviation. The SNR estimated from the mean TOA radiance spectrum and the TROPOMI SNR curve in Fig. 3 is plotted in blue.

**Chlorophyll
fluorescence
monitoring from
TROPOMI**

L. Guanter et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



**Chlorophyll
fluorescence
monitoring from
TROPOMI**

L. Guanter et al.

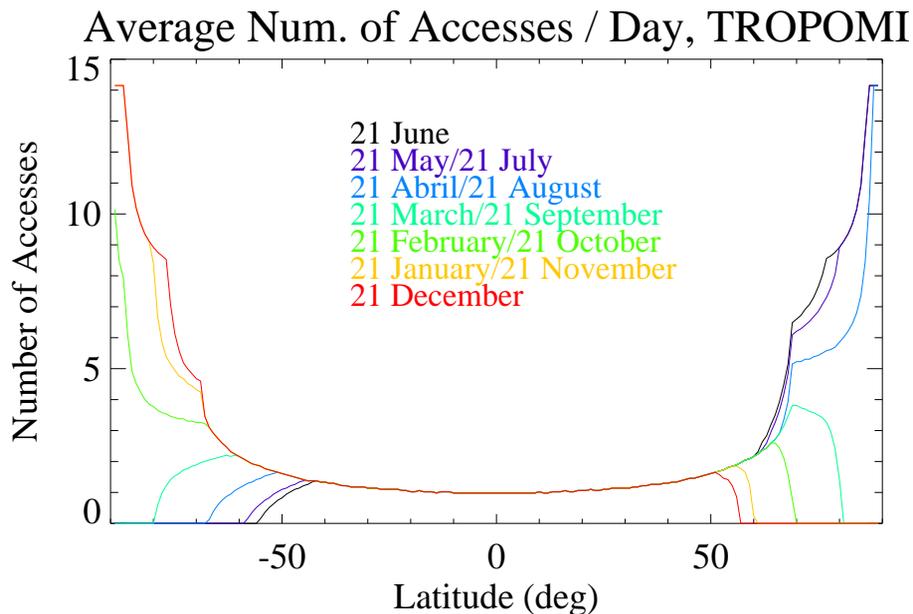


Figure 5. Average number of accesses per day by TROPOMI as a function of latitude and month. The calculations are for $\text{SZA} < 80^\circ$, a sun-synchronous orbit and 13:30 Equatorial crossing time as appropriate for Sentinel-5 Precursor.

Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

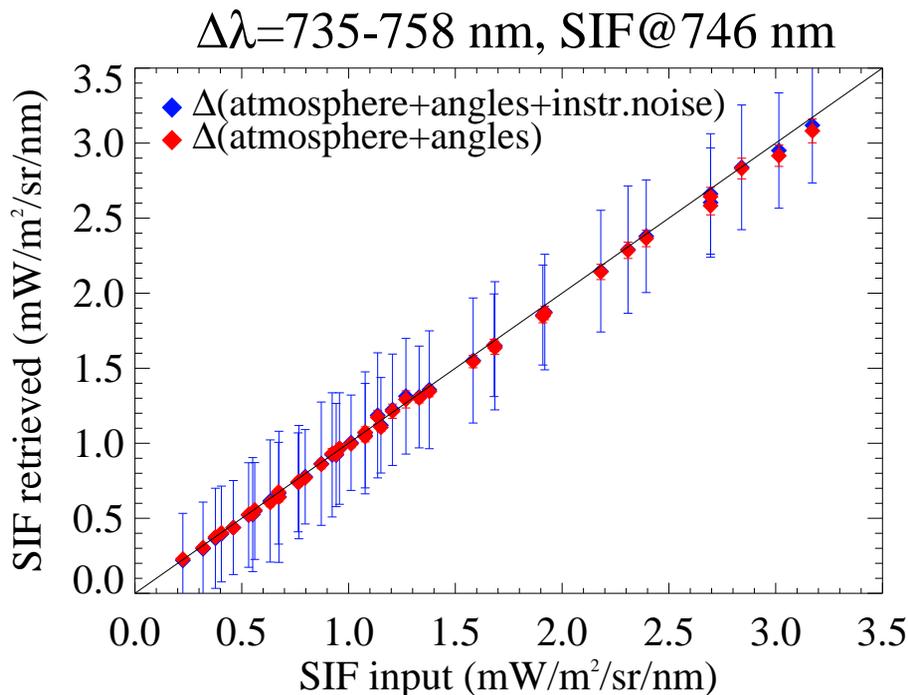


Figure 6. End-to-end SIF retrieval simulations from TROPOMI in the 735–758 nm fitting window for a total of 153 600 TOA radiance spectra. Diamond symbols and error bars represent, respectively, the mean and standard deviation of all the retrievals for each vegetation type and range of atmospheric conditions and illumination/observation angles. The simulations are done either with or without instrumental noise (error bars for the noise-free case are mostly invisible).

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Chlorophyll
fluorescence
monitoring from
TROPOMI

L. Guanter et al.

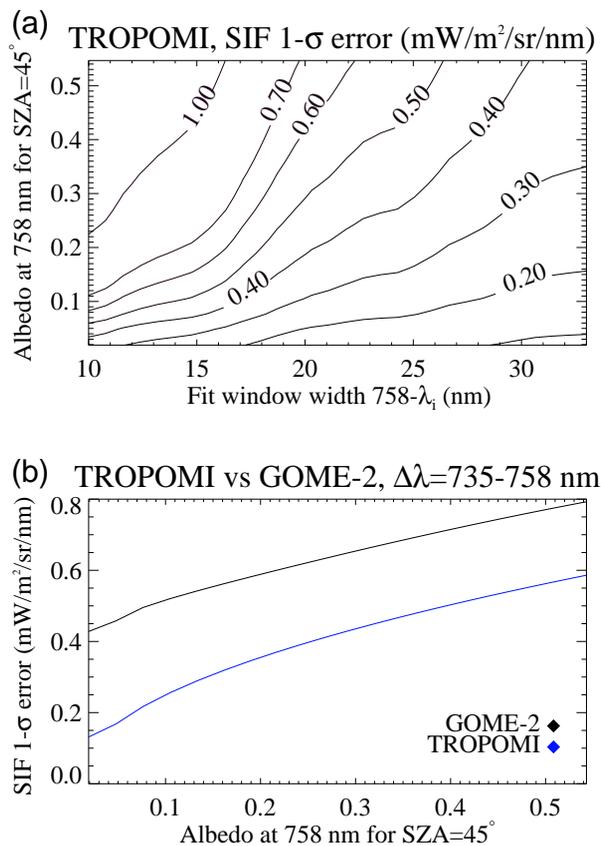


Figure 7. Precision of SIF retrieval from TROPOMI as a function of surface albedo at 758 nm for the reference sun zenith angle (SZA) of 45°. The dependence on the width of the spectral fitting window in the range between 725 and 758 nm is displayed in (a), and the comparison with GOME-2 for the 735–758 nm fitting window is shown in (b).

Chlorophyll
fluorescence
monitoring from
TROPOMI

L. Guanter et al.

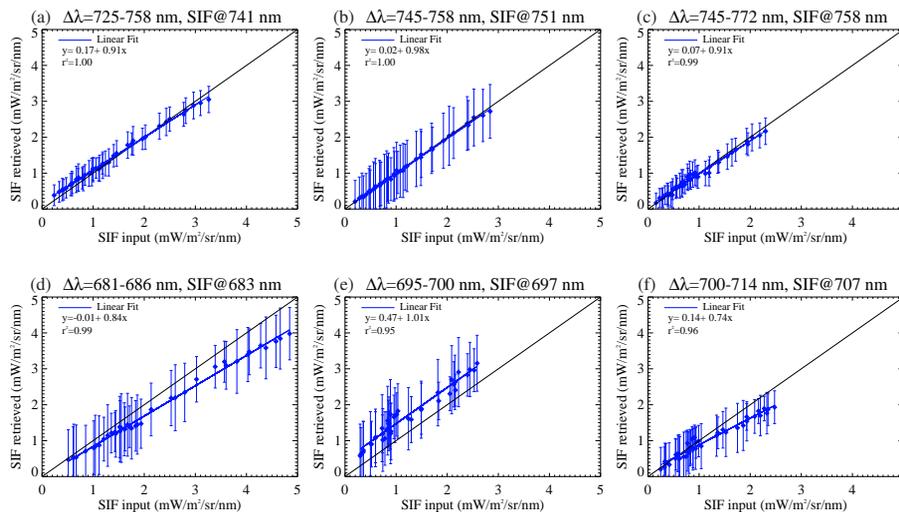


Figure 8. Similar to Fig. 6, but for different spectral fitting windows sampling the two peaks of the SIF emission. Simulations include instrumental noise. All the plots have the same axes.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

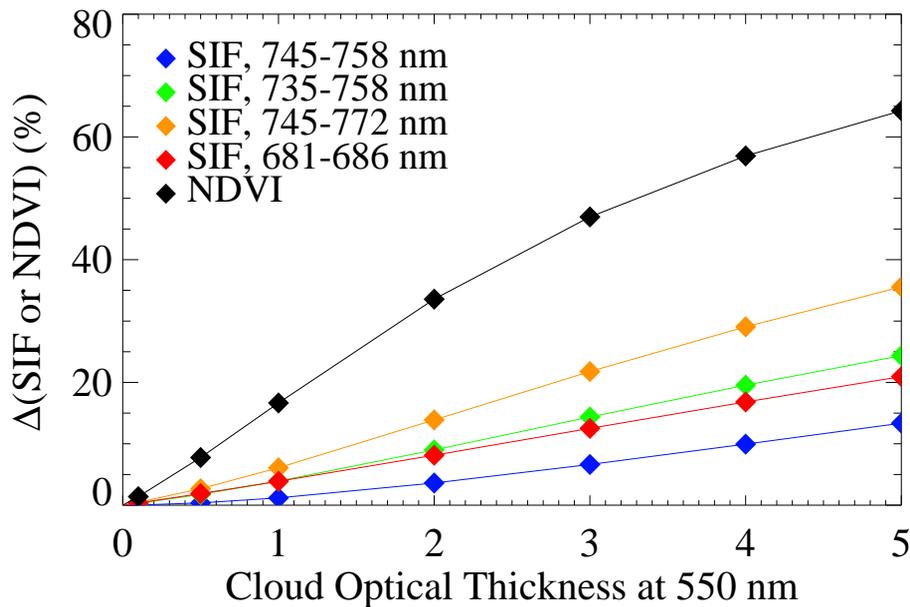


Figure 9. Simulated effect of cloud contamination (represented by cloud optical thickness at 550 nm) on retrieved SIF and NDVI. Different retrieval fitting windows are tested in the case of SIF. The Δ symbol refers to the underestimation of SIF and NDVI under cloudy conditions with respect to the clear-sky case.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

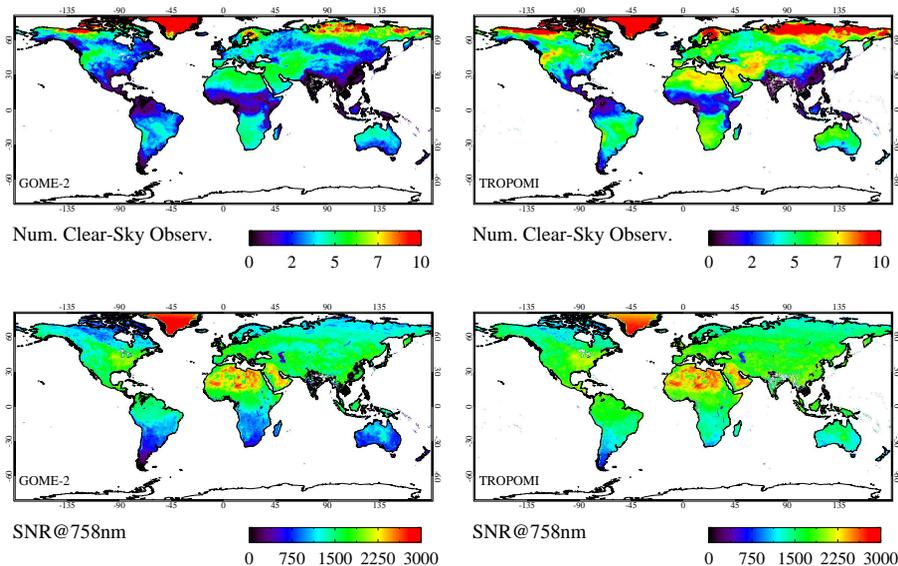


Figure 10. Global composites of total number of clear-sky observations and SNR at 758 nm for GOME-2 (left column) and TROPOMI (right column). The simulations are for 7 day time averages in July. The overpass time and the gridbox size is 13:00 and 0.1° for TROPOMI and 09:00 and 0.5° for GOME-2. Input SNR-radiance curves are shown in Fig. 3. Cloud-free gridboxes are defined as those for which the fraction of cloudy pixel area is $< 50\%$. Black gaps in the maps correspond to areas for which the number of clear-sky observations in the 7 day period is statistically < 1 .

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Chlorophyll fluorescence monitoring from TROPOMI

L. Guanter et al.

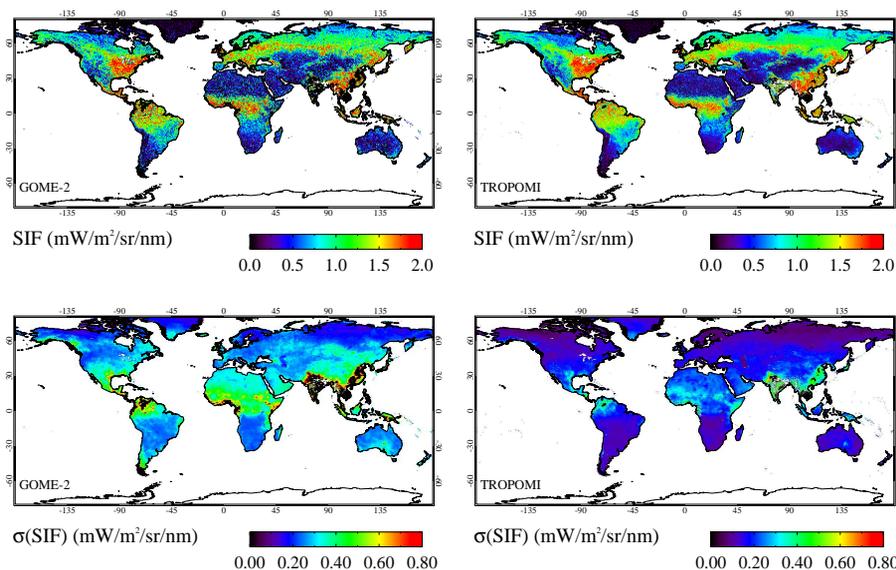
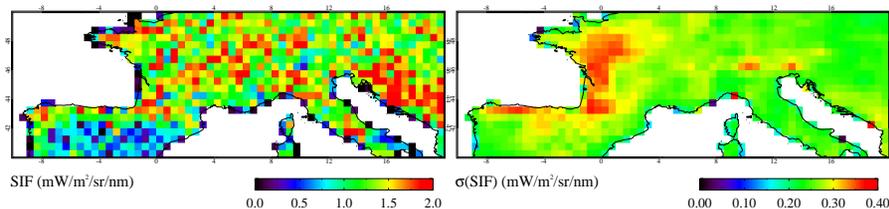


Figure 11. Global composites of mean SIF and standard error of the mean ($\sigma(\text{SIF})$) for the 735–758 nm fitting window and the same conditions of Fig. 10, except that the measurement time for GOME-2 is set to 13:00 in order to compare with TROPOMI under the same illumination conditions.

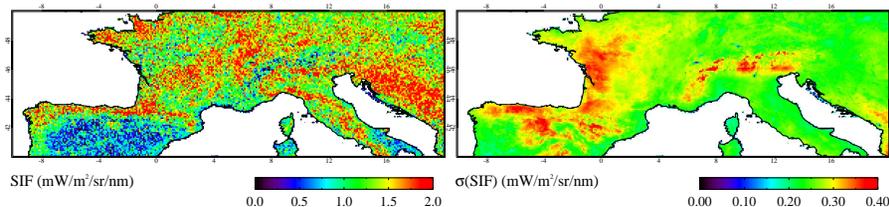
[Title Page](#)
[Abstract](#)
[Introduction](#)
[Conclusions](#)
[References](#)
[Tables](#)
[Figures](#)
[◀](#)
[▶](#)
[◀](#)
[▶](#)
[Back](#)
[Close](#)
[Full Screen / Esc](#)
[Printer-friendly Version](#)
[Interactive Discussion](#)


Chlorophyll fluorescence monitoring from TROPOMI

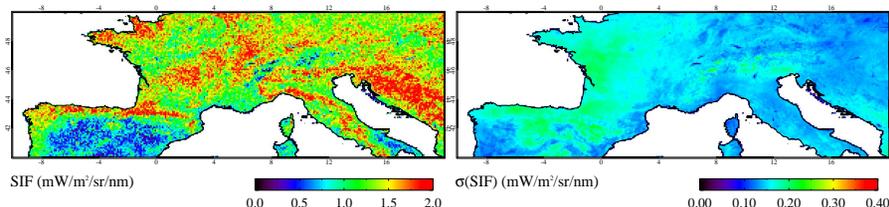
L. Guanter et al.



(a) GOME-2, 7-day composite, cloud fraction <50%



(b) TROPOMI, 3-day composite, cloud fraction <20%



(c) TROPOMI, 7-day composite, cloud fraction <50%

Figure 12. Composites of mean SIF and standard error of the mean ($\sigma(\text{SIF})$) for the 735–758 nm fitting window in July from GOME-2 and TROPOMI for an area in Western Europe (40–50° N, –10–20° E). Simulated clear-sky composites are for a 7 day average and a cloud fraction < 50 % for both GOME-2 and TROPOMI, and additionally also for a 3 day average and a cloud fraction < 20 % for TROPOMI.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

