



Validation of first
GLORIA chemistry
mode retrieval results

W. Woiwode et al.

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Validation of first chemistry mode retrieval results from new limb-*imaging* FTS GLORIA with correlative MIPAS-STR observations

W. Woiwode¹, O. Suminska-Ebersoldt¹, H. Oelhaf¹, M. Höpfner¹, G. V. Belyaev², A. Ebersoldt³, F. Friedl-Vallon¹, J.-U. Grooß⁴, T. Gulde¹, M. Kaufmann⁴, A. Kleinert¹, M. Krämer⁴, E. Kretschmer¹, T. Kulesa⁵, G. Maucher¹, T. Neubert⁶, C. Piesch¹, P. Preusse⁴, M. Riese⁴, H. Rongen⁶, C. Sartorius¹, G. Schardt⁶, A. Schönfeld⁴, D. Schuettemeyer⁷, M. K. Sha¹, F. Stroh⁴, J. Ungermann⁴, C. M. Volk⁸, and J. Orphal¹

¹Institute for Meteorology and Climate Research, Karlsruhe Institute of Technology, Karlsruhe, Germany

²Myasishchev Design Bureau, Zhukovsky-5, Moscow Region, Russia

³Institute for Data Processing and Electronics, Karlsruhe Institute of Technology, Karlsruhe, Germany

⁴Institute of Energy and Climate Research – Stratosphere (IEK-7), Forschungszentrum Jülich, Jülich, Germany

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⁵Central Institute for Engineering, Electronics and Analytics – Engineering and Technology (ZEA-1), Forschungszentrum Jülich, Jülich, Germany

⁶Central Institute for Engineering, Electronics and Analytics – Electronic Systems (ZEA-2), Forschungszentrum Jülich, Jülich, Germany

⁷European Space Agency, Mission Science Division, Noordwijk, the Netherlands

⁸Department of Physics, University of Wuppertal, Wuppertal, Germany

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Correspondence to: W. Woiwode (wolfgang.woiwode@kit.edu)

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Abstract

We report first chemistry mode retrieval results from the new airborne limb-*imaging* infrared FTS (Fourier transform spectrometer) GLORIA and comparisons with observations by the conventional airborne limb-*scanning* infrared FTS MIPAS-STR. For GLORIA, the flights aboard the high-altitude research aircraft M55 *Geophysica* during the ESSenCe campaign (ESa Sounder Campaign 2011) were the very first in field deployment after several years of development. The simultaneous observations of GLORIA and MIPAS-STR during the flight on 16 December 2011 inside the polar vortex and under the conditions of optically partially transparent polar stratospheric clouds (PSCs) provided us the unique opportunity to compare the observations by two different infrared FTS generations directly. The retrieval results of temperature, HNO₃, O₃, H₂O, CFC-11 and CFC-12 show reasonable agreement of GLORIA with MIPAS-STR and collocated in-situ observations. For the horizontally binned hyperspectral limb-images, the GLORIA sampling outnumbered the horizontal cross-track sampling of MIPAS-STR by up to one order of magnitude. Depending on the target parameter, typical vertical resolutions of 0.5 to 2.0 km were obtained for GLORIA and are typically by factors of 2 to 4 better compared to MIPAS-STR. While the improvement of the performance, characterisation and data processing of GLORIA are subject of ongoing work, the presented first results already demonstrate the considerable gain in sampling and vertical resolution achieved with GLORIA.

1 Introduction

Passive infrared limb-emission observations from airborne, balloon-borne and spaceborne platforms allow detailed observations of temperature, chemical composition and cloud properties in the Upper Troposphere and Lower Stratosphere (UTLS) region (Ofermann et al., 1999; Fischer et al., 2008; references therein). Observations by the airborne limb-sounding FTS MIPAS-STR (Michelson Interferometer for Passive Atmo-

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spheric Sounding – STRatospheric aircraft; Piesch et al., 1996) and the airborne limb-sounder CRISTA-NF (Cryogenic Infrared Spectrometers and Telescopes for the Atmosphere – New Frontiers; Kullmann et al., 2004) have shown that filamentary structures and clouds in the UTLS can be resolved with high horizontal and vertical resolution (Spang et al., 2008; Ungermann et al., 2012, 2013; Woiwode et al., 2012, and references therein).

We report retrieval results from observations by the new airborne limb-*imaging* FTS GLORIA (Gimballed Limb Observer for Radiance Imaging of the Atmosphere) (Friedl-Vallon et al., 2014; Riese et al., 2014, and references therein) associated to its first deployment in the field during the ESSenCe campaign. GLORIA performed its maiden flights aboard the high-altitude research aircraft *Geophysica* (for details about the *Geophysica* see Stefanutti et al., 1999) and probed the early Arctic polar vortex in December 2011 (Kaufmann et al., 2014). Although the GLORIA deployment during ESSenCe had a technical focus, several of the first observations show already a high quality and allowed for accurate retrievals of atmospheric parameters.

GLORIA combines a Michelson interferometer with an imaging detector array, resulting in a much higher spatial sampling than it can be achieved with conventional limb-*scanning* single-pixel FTS. The GLORIA spectrometer has been operated in two different modes, each with different scientific aims: the chemistry mode observations are characterised by a high spectral resolution in combination with a medium (though considerably higher compared to conventional techniques) horizontal cross-track sampling, whereas the dynamics mode observations are characterised by a medium spectral and extremely high horizontal cross-track sampling. First results of GLORIA dynamics mode observations are reported by Kaufmann et al. (2014). An overview on the ESSenCe campaign and first GLORIA results are given by Kaufmann et al. (2013).

Here we present GLORIA chemistry mode retrieval results for the ESSenCe flight on 16 December 2011 and validate them with observations by the conventional limb-*scanning* FTS MIPAS-STR. Since both instruments observed the same air masses, direct comparisons of the retrieval results from both instruments of two different gen-

erations are possible. In Sects. 2 and 3, we briefly introduce GLORIA and MIPAS-STR and compare the characteristics of the instruments. In Sect. 4, we discuss the meteorological conditions and sampling during the flight on 16 December 2011. In Sect. 5, we present comparisons between the GLORIA and MIPAS-STR as well as available collocated in situ observations, and our results are summarised in Sect. 6.

2 Overview GLORIA

GLORIA is a cryogenic limb-*imaging* FTS based on a cube corner Michelson linear slide interferometer and covers the spectral range from 780 to 1400 cm⁻¹ within one single spectral channel. The instrument concept is introduced by Friedl-Vallon et al. (2014) and scientific objectives for GLORIA are discussed by Riese et al. (2014). Selected technical details and characteristics of GLORIA are summarised in Table 1. The GLORIA detector consists of a cryogenic 256 × 256 pixel HgCdTe large focal plane array. The spectrometer housing that contains the optical components is cooled to about 220 K to reduce instrumental background radiation. The spectrometer is mounted in a gimbal that allows for compensation of aircraft movements and for horizontal scanning in yaw direction from 45 to 135° as well as for nadir observations. The observations discussed here were performed in limb-mode pointing at 90° in yaw direction (right side vs. aircraft nose, i.e. perpendicular to the aircraft heading) and vertically fixed vs. the horizon.

During each interferometer sweep (i.e. hyperspectral image acquisition), DC-coupled interferograms are recorded for each individual pixel. GLORIA records double-sided interferograms. In the ESSenCe configuration, a subset of 128 vertical and 48 horizontal pixels was used for data acquisition. For the chemistry mode retrieval discussed in this context, the horizontal pixel rows were binned to reduce the measurement noise. A typical noise-equivalent spectral radiance (NESR) of $\sim 15 \times 10^{-9} \text{ W cm}^{-2} \text{ sr}^{-1} \text{ cm}$ was obtained for the binned spectra. For the ESSenCe chemistry mode observations, an optical path difference of 8 cm was employed. This corresponds to a spectral sampling of

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sion module KOPRAFIT (Höpfner et al., 2001). A constrained global fit was applied to the combination of the binned spectra of each individual hyperspectral limb-image (i.e. cloud- and quality-filtered vertical set out of 128 binned spectra). The issued atmospheric and instrumental parameters were combined into a vector and were determined by a Quasi-Newton method. The iteration process minimised the residuals between the measured spectra and synthetic spectra associated to the applied initial guess atmosphere (Rodgers, 2000). For the retrieval of the atmospheric parameter profiles, a first order regularisation approach was applied (Tikhonov, 1963; Phillips, 1962), constraining the resulting profile towards the shape of a defined a-priori profile in case of low information content in the measurements.

Pressure and temperature profiles from the ECMWF (European Centre for Medium-Range Weather Forecasts) reanalysis were used as initial guess profiles for the calculation of the synthetic spectra. The same ECMWF temperature profiles served as a-priori profiles for the inversion of temperature. Initial guess and a-priori profiles for the trace gas retrievals were taken from the Polar Winter Profiles for MIPAS (Remedios et al., 2007). Retrieval parameters were a line-of-sight offset correction, temperature and the trace gas volume mixing ratios of HNO₃, O₃, H₂O, CFC-11 and CFC-12. The spectral microwindows applied for the GLORIA retrieval are listed in Table 2. Spectral line and cross-section data were taken from the MIPAS database (Flaud et al., 2006; references therein). Additional retrieval parameters were spectral shift for each microwindow as well as radiometric offset, wave number-independent background-continuum and volume mixing ratios of interfering species (in different combinations, depending on the target parameter). A vertical grid with a spacing of 0.25 km in the discussed vertical range was applied for the GLORIA retrievals.

3 Overview MIPAS-STR

MIPAS-STR is a conventional cryogenic limb-scanning FTS using a double pendulum interferometer (a modified version of the Michelson interferometer; Fischer and Oel-

section data were used as for GLORIA. In Table 2, the spectral microwindows used for the MIPAS-STR retrieval are compared with the microwindows used for the GLORIA retrieval. It should be noted that the GLORIA and MIPAS-STR observations were processed independently and therefore the retrieval setups differ from each other.

The MIPAS-STR retrieval was performed sequentially. First, temperature and trace gases with spectral signatures only weakly disturbed by spectral signatures of non-target gases were retrieved. Keeping the profiles of the previously retrieved species constant, gases with spectral signatures significantly affected by the previously determined gases were retrieved. In each retrieval step, spectral shift and a wavenumber-independent background continuum were determined as additional parameters for each microwindow. No radiometric offset was retrieved. To better account for contributions from Polar Stratospheric Clouds (PSCs) observed during this flight (see Sect. 4), wavenumber-independent background continuum was retrieved logarithmically. A vertical grid with a spacing of 0.5 km in the considered vertical range was applied for the MIPAS-STR retrievals.

4 Campaign and meteorological situation

The ESSenCe field campaign was based in Kiruna, Sweden (68° N/20° E). During the *Geophysica* flight on 16 December 2011, the polar vortex had already consolidated and showed low core temperatures allowing for the existence of PSCs. Figure 1 shows the flight path of the *Geophysica* together with the tangent points of the GLORIA and MIPAS-STR observations. The entire flight was performed inside the polar vortex according to the definition of Nash et al. (1996) (i.e. potential vorticity higher than 20 PVU at the potential temperature level of 430 K). The flight was performed in a clockwise pattern, and GLORIA as well as MIPAS-STR pointed towards the centre of the flight path. The dense pattern of the tangent points of the GLORIA observations indicates the considerable gain in sampling compared to MIPAS-STR.

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Figure 2 shows the vertical flight profile of the *Geophysica* together with the tangent points of the MIPAS-STR observations and the interpolated cloud index according to Spang et al. (2004). The GLORIA observations presented in the following were performed between 14:30 and 14:50 UTC during the end of the ascent phase and within the first flight phase at a constant altitude of ~ 16.8 km (beginning of section a). Around 15:30 UTC, the *Geophysica* further climbed to second phase at a constant altitude of ~ 17.7 km. The descent phase was entered after 17:00 UTC.

The interpolated vertical cross-section of the MIPAS-STR cloud index exhibits low values at all observed altitudes and during the entire flight. Cloud index values higher than 4 (i.e. cloud-free conditions according to Spang et al., 2004) are found between flight altitude and ~ 13 km at the beginning of flight section a, the end of the section c and in section d (i.e. in the eastern part of the flight, cf. Fig. 1). Except for the last limb-scans in section c, also in these sections cloud index values lower than 4 are found for the observations directly below flight altitude. Cloud index values close to one are approached at altitudes below 10 to 11 km and indicate the tropospheric cloud top. The only exception is the narrow time window around 16:20 UTC, showing partially transparent conditions down to altitudes below 5 km.

During the entire flight, typical cloud index values around 2 are found at flight altitude. The low cloud index values indicate an extended partially transparent PSC. A weak minimum of the cloud index, corresponding to increased cloud influence, is located close to flight altitude around 15:20 UTC. During this flight, MIPAS-STR and the *Geophysica* temperature sensor observed temperatures mostly below 198 K at flight altitude (see Sect. 5). The observed values are close to the existence temperature of nitric acid trihydrate (NAT), which is typically between about 194 and 198 K (depending on the actual partial pressures of H_2O and HNO_3 ; see Peter and Grooß, 2012; references therein). Thus, the low cloud index values and the observed temperatures are compatible with a PSC containing NAT.

5 Comparisons between GLORIA, MIPAS-STR and collocated in situ observations

Figure 3 shows the retrieval results for temperature (T) and the volume mixing ratios (VMR) of HNO_3 , O_3 , H_2O , CFC-11 and CFC-12 retrieved from GLORIA and MIPAS-STR together with collocated in situ observations aboard the *Geophysica*. The in situ temperature measurements were provided by a commercial Rosemount sensor. In situ measurements of total water were provided by FISH (Fast In situ Stratospheric Hygrometer; Zöger et al., 1999). The in situ observations of CFC-11 and CFC-12 were provided by HAGAR (High Altitude Gas AnalyzeR; Riediger et al., 2000; Werner et al., 2010). It has to be reminded that the in situ observations were restricted to the flight trajectory, while GLORIA and MIPAS-STR integrated radiation along their viewing direction. But due the fact that the both the in situ observations and the remote sensing observations were located inside the polar vortex (cf. Fig. 1) and the close match between the observations, meaningful comparisons are possible here.

Depending on the target parameter, 39 to 42 vertical profiles were retrieved successfully from the 68 GLORIA hyperspectral limb-images. From MIPAS-STR, 9 vertical profiles were retrieved successfully from 9 limb-scans in approximately the same time interval. Accordingly, a factor of ~ 4.5 more profiles were provided by GLORIA compared to MIPAS-STR in the considered time interval (locally by up to a factor of ~ 8).

In the left panels of Fig. 3a–f, all individual profiles from GLORIA and MIPAS-STR are presented for the different target parameters. The shown in situ observations include all data points recorded during the entire flight from the ascent to the descent phase. Altitudes higher than the approximate flight level of the *Geophysica* in the time interval of the GLORIA observations (mostly ~ 16.8 km) are shaded in grey. At these altitudes, only very limited vertical information is obtained from the limb-observations. Furthermore, the retrieval in this range is very sensitive to calibration uncertainties due to the weak spectral signatures observed under high viewing angles. For MIPAS-STR, the retrieval results at flight altitude and directly above are still relatively reliable due

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a higher spectral resolution and a very accurate radiometric offset calibration using zenith view observations. For GLORIA the resulting uncertainties are higher due to the lower spectral resolution and higher calibration uncertainties.

The middle panels in Fig. 3a–f show the residuals between the mean profiles of GLORIA and MIPAS-STR as well as the residuals between the mean profiles of GLORIA and the in situ profiles. For the calculation of the residual profiles between GLORIA and MIPAS-STR, all GLORIA profiles of the considered parameter were averaged. The resulting average profile was smoothed with the mean averaging kernel matrix of the corresponding MIPAS-STR profiles according to Rodgers (2000). The residual profile between the smoothed GLORIA mean profile and the corresponding MIPAS-STR mean profile was calculated on the MIPAS-STR retrieval grid.

For the calculation of the residuals between GLORIA and the in situ observations, all available in situ data points were sorted by altitude. The dense observations by the *Geophysica* temperature sensor and the dense H₂O observations by FISH were furthermore subjected to a 10-times running mean in order to avoid biases from local atmospheric fine-structures or outliers. All in situ observations were interpolated to the GLORIA retrieval grid, and the corresponding residuals were calculated using the unsmoothed mean profiles from GLORIA.

In the right panels of Fig. 3a–f, the vertical resolutions of the individual profiles retrieved from GLORIA and MIPAS-STR are shown. The vertical resolutions were calculated using the diagonal elements of the averaging kernel matrices according to Purser and Huang (1993).

The comparison of the retrieved vertical profiles of temperature (Fig. 3a) shows that the GLORIA profiles mostly scatter within a few K around the MIPAS-STR profiles and the in situ observations. The higher scattering in the GLORIA observations might indicate small-scale temperature variations not covered by the in situ measurements and not resolved by MIPAS-STR. Another explanation might be oscillations in the GLORIA retrieval results (e.g. due to variable calibration uncertainties or a weak retrieval con-

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straint). The latter is supported by the fact that the MIPAS-STR profiles and the in situ observations are very consistent and more compact.

The absolute residual values between the GLORIA and MIPAS-STR mean profiles are within ± 2 K below flight altitude. For GLORIA, systematically colder temperatures are found below about 15 km and higher temperatures above. Except for differences between about 12 and 13.5 km as well as at 16.5 km and above, the residual values between GLORIA and the *Geophysica* temperature sensor show a similar pattern. For the temperature profiles retrieved from GLORIA, a typical vertical resolution of about 0.5 to 1.0 km is obtained below flight altitude. Depending on the altitude, this is typically by a factor of 2 to 4 higher compared to MIPAS-STR (typically around 2.0 km).

The vertical profiles of HNO_3 (Fig. 3b) retrieved from GLORIA and MIPAS-STR each show a compact pattern. The overall shapes of the HNO_3 profiles obtained from MIPAS-STR are reproduced to a satisfying degree by GLORIA. The GLORIA mean profile shows systematically lower values by up to 1 ppbv above 13.0 km compared to MIPAS-STR. The maximum relative residual value of approximately -30% at 14 km is still relatively small in context of the steep gradient in the HNO_3 mixing ratios and the low absolute mixing ratios. The GLORIA observations furthermore show consistently a local maximum peaking at 13.25 km, which is attributed to a vertical fine-structure not resolved by MIPAS-STR. The vertical resolution of the GLORIA profiles is typically 0.5 to 1.0 km and by a factor of ~ 2 higher compared to MIPAS-STR (often slightly better than ~ 1.5 km, coarser resolution towards lower altitudes).

The O_3 profiles (Fig. 3c) retrieved from GLORIA are scattered around the MIPAS-STR profiles below flight altitude. The residual of the mean profiles indicates small systematic differences of less than -0.1 ppmv and mostly within -10% . Beside the good agreement for O_3 , the residual values indicate a weak systematic bias of the GLORIA profiles towards lower mixing ratios. The typical vertical resolution obtained for GLORIA is between 0.5 and 1.0 km, which is by a factor of ~ 3 higher than for the MIPAS-STR results (slightly coarser than ~ 1.5 km, coarser resolution towards lower altitudes).

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vertical resolutions of about 0.5 to 1.5 km are obtained for GLORIA, which is by a factor of ~ 2 higher compared to MIPAS-STR (typically about 1.5 to 2.5 km).

In Fig. 4, we present vertical cross-sections of HNO_3 retrieved from GLORIA and MIPAS-STR. Figure 4a shows the HNO_3 cross-section retrieved from the MIPAS-STR observations during the entire flight. The black dots represent the retrieval grid applied for MIPAS-STR. The horizontal spacing indicates the horizontal sampling. The cross-section shows a relatively homogenous HNO_3 distribution along the flight track and the absence of prominent filamentary structures. In the region around the first turn (around 15:25 UTC), lower mixing ratios of HNO_3 are found around flight altitude when compared to the other flight sections and hint on a dynamical structure.

The blue box indicates the region corresponding to the vertical HNO_3 cross-section that was retrieved from GLORIA (left panel in Fig. 4b), which is shown together with the corresponding subsection from MIPAS-STR below (right panel in Fig. 4b). Both GLORIA and MIPAS-STR show the steady overall increase of the HNO_3 volume mixing ratios towards flight altitude. While the MIPAS-STR observations show a relatively smooth HNO_3 distribution in the whole vertical range, the GLORIA cross-section shows some weak fine-structures between ~ 12.5 km and flight altitude that hint on patterns not resolved by MIPAS-STR. The faint maxima located in the GLORIA cross-section around 13.25 km are also identified in the corresponding vertical profiles in Fig. 3b.

6 Conclusions

The *Geophysica* aircraft campaign in December 2011 provided us with the unique opportunity to directly compare observations of two infrared FTS generations: the new limb-*imaging* FTS GLORIA and the conventional limb-*scanning* FTS MIPAS-STR. During the discussed flight phase on 16 December 2011, the achieved horizontal cross-track sampling of the GLORIA chemistry mode hyperspectral limb-images was by up to a factor of ~ 11 higher compared to the MIPAS-STR limb-scans. From the presented GLORIA observations, locally by up to a factor of ~ 8 more vertical profiles were re-

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trieved successfully compared to MIPAS-STR in the same time step. The first GLORIA chemistry mode retrieval results show reasonable agreement with those from MIPAS-STR and collocated in situ observations, having in mind that this was the very first deployment of a complex new instrument developed over several years.

The low cloud index values of the MIPAS-STR observations and the low temperatures observed by MIPAS-STR as well as the *Geophysica* temperature sensor indicate the presence of an optically partially transparent PSC. GLORIA reproduces the overall shapes of the vertical profiles determined from MIPAS-STR and the in situ observations well. Below flight altitude, the mean residuals between GLORIA and MIPAS-STR as well as GLORIA and the in situ observations are mostly within ± 2 K for temperature and within a few 10% for trace gas volume mixing ratios. Systematic differences found between the retrieval results from GLORIA and MIPAS-STR hint at calibration uncertainties in the presented GLORIA data (e.g. systematic uncertainties in radiometric calibration and line-of-sight knowledge). Further improvement of the performance and the calibration of the GLORIA observations is subject of ongoing work.

The significantly higher scattering in the GLORIA profiles compared to MIPAS-STR and the in situ observations is attributed to random uncertainties in the GLORIA observations (e.g. spectral noise, variable radiometric uncertainties or short-time line-of-sight variations) and might also indicate random-like fine-structures. Faint and vertically narrow maxima and minima in the GLORIA results for HNO_3 that extend over several profiles are attributed to fine-structures not resolved by MIPAS-STR. Dependent on the retrieval parameter, typical vertical resolutions of 0.5 to 2.0 km are obtained for the profiles retrieved from the GLORIA observations. This is typically by a factor of 2 to 4 higher compared to MIPAS-STR.

The presented results confirm the good performance of GLORIA during its very first airborne deployment and indicate potential for further improvements in both hardware and data processing. Bug-fixing and dedicated upgrades in both the instrument setup as well as the calibration and characterisation procedure have meanwhile led to significant improvements in instrument performance and data quality in subsequent cam-

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paigns. A further simultaneous airborne deployment of the two instruments would thus be extremely helpful to check the behaviour of the consolidated GLORIA instrument over a longer flight time and under variable atmospheric conditions.

The GLORIA observations presented here and more extensive atmospheric measurements during recent High Altitude and Long Range Research Aircraft (HALO) campaigns (Riese et al., 2014) demonstrate the high gain in information accessible by the limb-*imaging* technique.

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Table 1. Comparison of instrumental and measurement characteristics between GLORIA and MIPAS-STR. Data for GLORIA correspond to chemistry mode observations in ESSenCe configuration (CM = chemistry mode).

	GLORIA (CM)	MIPAS-STR
Technique	limb- <i>imaging</i> FTS	limb- <i>scanning</i> FTS
Spectral sampling	0.0625 cm ⁻¹	0.0360 cm ⁻¹
Spectral range	1 channel from 780 to 1400 cm ⁻¹	4 channels within 725 to 2100 cm ⁻¹
Detector	256 × 256 pixel HgCdTe ^a	Si:As-blocked-impurity-band
Typical NESR	~ 15 × 10 ⁻⁹ W cm ⁻² sr ⁻¹ cm ^b	~ 10 × 10 ⁻⁹ W cm ⁻² sr ⁻¹ cm
Characteristic vertical sampling (limb geometry)	~ 140 m @ 12 km ^c	~ 1 km
Typ. horizontal sampling (cross-track)	1 hyperspectral limb-image/~ 2.3 km	1 limb-scan/~ 28 km ^c

^a 128 vertical × 48 horizontal pixel used in ESSenCe configuration.

^b binned row of quality filtered pixels.

^c corresponding to flight altitude of ~ 16.8 km.

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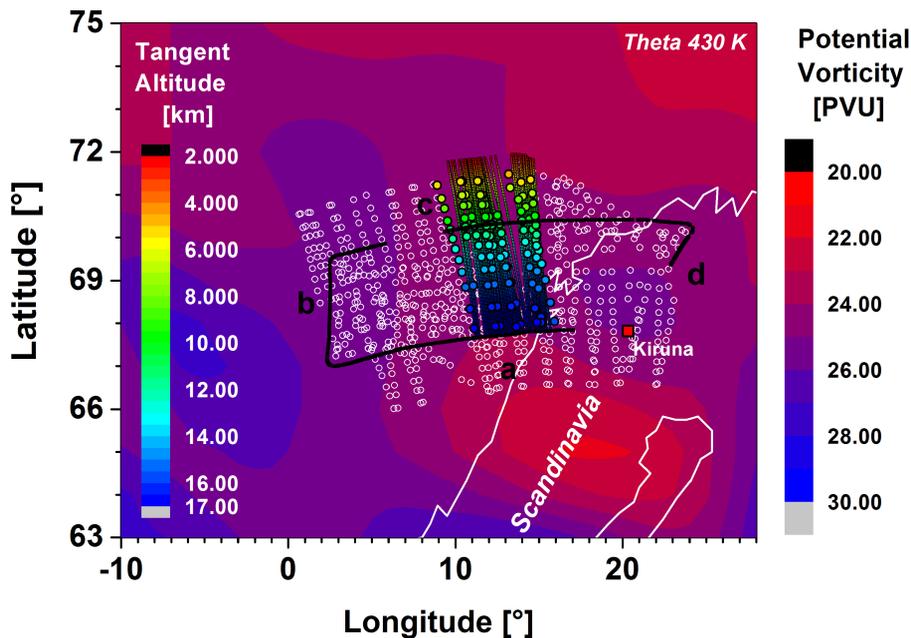


Figure 1. Flight path of the *Geophysica* (black line) during the flight on 16 December 2011. Tangent points of the MIPAS-STR observations during the entire flight (open white circles) and observations selected for comparison with GLORIA (solid circles, color-coded with altitude). Tangent points of GLORIA observations (solid dots, color-coded with altitude). Contour: Potential vorticity at the potential temperature level of 430 K (approximately 18 km altitude).

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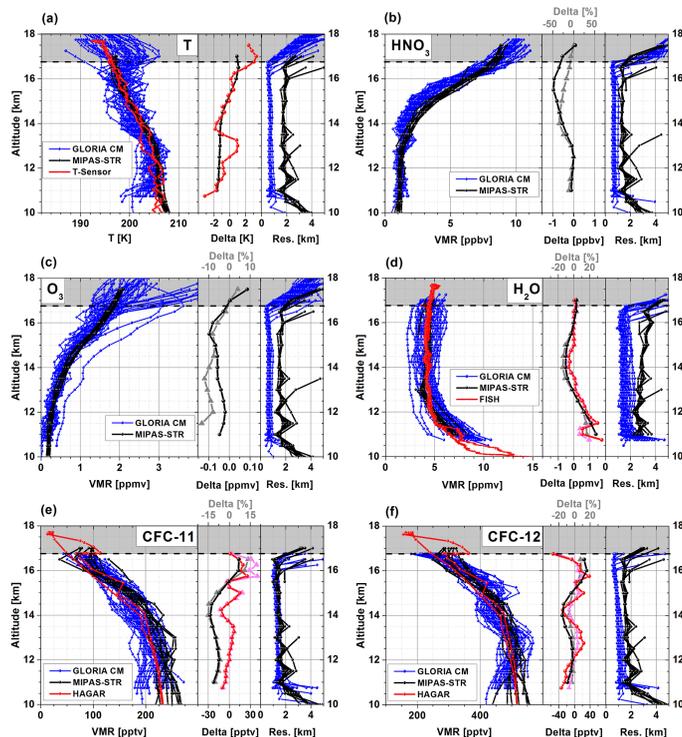


Figure 3. Comparison of retrieval results from GLORIA with MIPAS-STR and collocated in situ observations. Left panels: retrieved vertical profiles of indicated parameters from GLORIA (blue) and MIPAS-STR (black) together with available in situ profiles (red). Middle panels: absolute (black) and relative (grey) residuals between the mean profiles of GLORIA and MIPAS-STR as well as absolute (red) and relative (pink) residuals between mean profiles of GLORIA and the in situ observations. Right panels: vertical resolutions of the GLORIA (blue) and MIPAS-STR (black) profiles. Dashed horizontal black lines in all panels: approximate flight altitude during the GLORIA observations.

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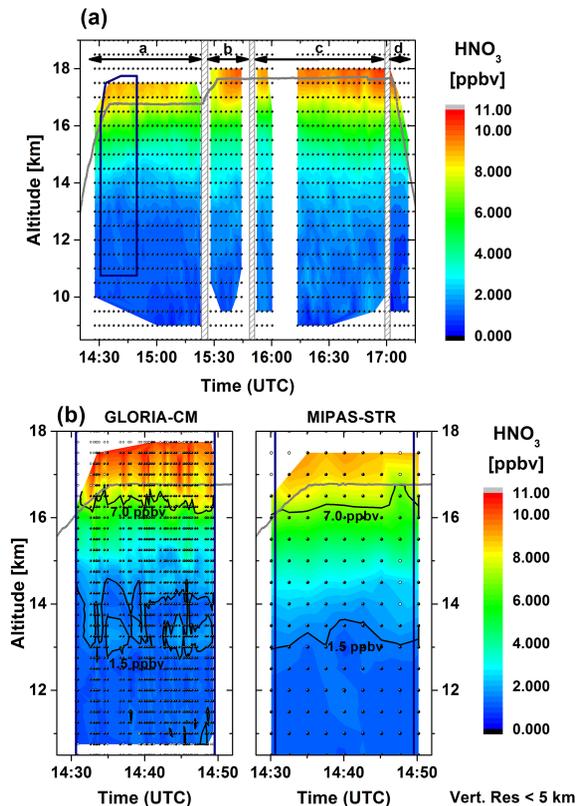


Figure 4. (a) Interpolated vertical cross-section of HNO_3 retrieved from MIPAS-STR for the entire flight. Grey hatched areas indicate turns performed by the *Geophysica* between the different flight sections. (b) HNO_3 cross-section retrieved from GLORIA and corresponding subsection of MIPAS-STR cross-section. Black dots in all panels: used retrieval grids. Open black dots in lower panels: grid points excluded from interpolation due to low vertical resolution. Solid grey lines in all panels: flight altitude of the *Geophysica*.