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The Heidelberg Airborne Imaging DOAS Instrument (HAIDI) – a novel Imaging DOAS device for 2-D and 3-D imaging of trace gases and aerosols

S. General¹, D. Pöhler¹, H. Sihler¹, N. Bobrowski¹, U. Frieß¹, J. Zielcke¹, M. Horbanski¹, P. B. Shepson², B. H. Stirm³, W. R. Simpson⁴, K. Weber⁵, C. Fischer⁵, and U. Platt¹

 ¹Institute of Environmental Physics, University of Heidelberg, Heidelberg, Germany
 ²Purdue University, Dept. of Chemistry, West Lafayette, IN, USA
 ³Purdue University, Dept. of Aviation Technology, West Lafayette, IN, USA
 ⁴Department of Chemistry and Biochemistry, University of Alaska, Fairbanks, AK, USA
 ⁵University of Applied Sciences, Environmental Measurement Techniques, Düsseldorf, Germany

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Correspondence to: S. General (stephan.general@iup.uni-heidelberg.de)

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Abstract

Many relevant processes in tropospheric chemistry take place on rather small scales (e.g. tens to hundreds of meters) but often influence areas of several square kilometer. Thus, measurements of the involved trace gases with high spatial resolution are of

great scientific interest. In order to identify individual sources and sinks and ultimately to improve chemical transport models, we developed a new airborne instrument, which is based on the well established DOAS method. The Heidelberg Airborne Imaging Differential Optical Absorption Spectrometer Instrument (HAIDI) is a passive imaging DOAS spectrometer, which is capable of recording horizontal and vertical trace gas
 distributions with a resolution of better than 100 m. Observable species include NO₂, HCHO, C₂H₂O₂, H₂O, O₃, O₄, SO₂, IO, OCIO and BrO.

Here we report a technical description of the instrument including its custom build spectrographs and CCD detectors. Also first results from measurements with the new instrument are presented. These comprise spatial resolved SO_2 and BrO in volcanic

¹⁵ plumes, mapped at Mt. Etna (Sicily, Italy), NO₂ emissions in the metropolitan area of Indianapolis (Indiana, USA) as well as BrO and NO₂ distributions measured during arctic springtime in context of the BROMEX campaign, which was performed 2012 in Barrow (Alaska, USA).

1 Introduction

To date there are a series of remote sensing techniques which allow the retrieval of two dimensional trace gas distributions in the atmosphere on various scales. Satellite observations (e.g. GOME, GOME-2, OMI, SCIAMACHY) provide two-dimensional distribution patterns on a global scale; however, the resolution is still rather coarse (several tens of km). On smaller scales (several km) tomographic inversion methods have been applied (Laepple et al., 2004; Pöhler, 2010). The resolution of this method depends on the number of light paths, therefore a high spatial resolution can only be achieved



by installing a large number of instruments (Hartl et al., 2006). Another possibility are airborne measurements.

During recent decades a variety of airborne DOAS-measurements were reported (e.g., Wahner et al., 1990; Pfeilsticker and Platt, 1994; McElroy et al., 1999; Petritoli
et al., 2002; Melamed et al., 2003; Bruns et al., 2004, 2006; Heue et al., 2005, 2008; Wang et al., 2005, 2006; Dix et al., 2009; Merlaud et al., 2011, 2012; Prados-Roman et al., 2011; Baidar et al., 2013) taking place at different flight altitudes and regions of the globe. The aim of these measurements ranged from studies of stratospheric chemistry to tropospheric point source emissions, but only a few of them applied imaging instrumentation to achieve 2-dimensional spatially resolved trace gas distributions (e.g., Heue et al., 2008). The achievable measurement accuracy and spatial resolution of such Imaging-DOAS (I-DOAS) instruments varies significantly, depending on the particular instrument and application. Here we present the new Heidelberg Airborne Imaging Differential Optical Absorption Spectrometer Instrument (HAIDI), which can

- improved accuracy. Also vertical profiles of trace gases and aerosols can be derived, allowing a three-dimensional reconstruction of the trace gas distribution. Moreover, a series of species can be observed simultaneously, e.g. NO_2 , SO_2 , BrO, IO, HCHO, $C_2H_2O_2$, H_2O , O_3 and O_4 . Based on the observed patterns of trace gas distributions,
- sources and sinks of these species can be quantified and chemical processes including conversion rates and atmospheric lifetimes may be analyzed. I-DOAS instrumentation has already been successfully used in the past. For instance, BrO formation in volcanic plumes has been studied using ground-based I-DOAS measurements (e.g., Louban, 2005; Bobrowski et al., 2007). On a larger scale satellite data have been used to quan-
- tify the strength of ship emissions based on SCIAMACHY NO₂ distribution patterns in the Indian Ocean (Beirle et al., 2004) or emission from cities (Beirle et al., 2011). Due to the high spatial resolution of our HAIDI instrument, independent sources located close to each other may be resolved and quantified separately. The results can be used for the validation of chemical transport models. Moreover, the variability of



trace gas concentrations within a satellite pixel is one of the major issues that can be addressed with the new imaging DOAS instrument.

In the following the general outline and principle of operation of HAIDI is presented. Furthermore different configurations of the system are shown, which were employed to

- ⁵ adapt the system to a range of aircraft types. Up to now HAIDI was used on smaller aircrafts (ultralight and twin-engine propeller plane) for a total of 18 measurement flights. Some exemplary results from these flights are presented here, including the distribution of SO₂ and BrO in the emission plume of Mt. Etna (Sicily, Italy), the NO₂ distribution over the metropolitan area of Indianapolis (Indiana, USA) as well as BrO and NO₂ in
- the polar boundary layer around Barrow (Alaska, USA), measured in the context of the BRomine, Ozone, and Mercury EXperiment (BROMEX) campaign (see Table 1). For future measurements it is planned to use HAIDI on the German research aircraft HALO (modified businesses jet), which flies at an altitude of up to 15 km. The instrumental setup for HALO is also presented here.

15 2 The Heidelberg Airborne Imaging DOAS Instrument (HAIDI)

The HAIDI system was build with the intention to take hyperspectral images (images with two or three spatial dimensions, where each pixel contains additional spectral information) of large areas with high spatial resolution and in the shortest possible time, to capture also high dynamic processes. Therefore the requirements to an instrument

- ²⁰ carrier are best fulfilled by an aircraft, which is not bound to roads and not restricted by physical features of the ground, like woods, rivers or hills. It can cover large distances in relatively short time and its high flight altitude allows to overview greater areas at once. At the same time the flight altitude is still low enough to achieve a high spatial resolution compared to satellite measurements. By using the DOAS method (Platt and
- Stutz, 2008), the spectral information within each pixel of the hyperspectral images can be translated into the column density of one or several trace gases. This data can subsequently be assembled into maps to reveal the spatial distribution of the trace





gases along various dimensions in space, depending on the choice of the instruments viewing geometry.

2.1 Remote sensing techniques for hyperspectral imaging

Various methods exist to obtain hyperspectral images from remote sensing observations on satellites or aircrafts. Two commonly used are the *whiskbroom* and the *pushbroom* scanning technique (Schowengerdt, 2006). Both techniques are based on the detection of light dispersed by a prism or grating in order to obtain the spectral information of the images. However, the usage of the detector and the way images are acquired is very different. Each pixel of such a hyperspectral image is in principle a 3-dimensional dataset with two spatial dimensions and a third spectral one. Thus, the acquired data can be illustrated by a so-called hyperspectral cube. Figure 1 illustrates the measure-

- ment principle of the two techniques by means of the hyperspectral cube. The displayed cube is spanned by two spatial axes (x, y) and a third spectral one $(I(\lambda))$. To acquire a hyperspectral image the full cube has to be scanned, i.e. information about each el-
- ement of this 3-dimensional space has to be collected. The whiskbroom scanner uses its whole detector to record spectral information only (no information along *x* or *y* axis), therefore every pixel of the hyperspectral image has to be taken separately. The two missing spatial dimensions are acquired via scanning. In this case the scanning in one dimension is achieved by the motion of the instrument carrier (aircraft, satellite, etc.)
- and the other dimension by a scanning prism or mirror that changes the instrument's viewing direction perpendicular to the flight track (e.g. nadir scanner in Fig. 2). The pushbroom technique instead requires a 2-dimensional detector (e.g. CCD-Array) because here the detector records spectral information along with one spatial dimension (*x* or *y*) simultaneously. The remaining spatial dimension is then also acquired through
- the motion of the instrument. This means that the pushbroom scanner can take a whole row of pixel, also called a swath, at once (e.g. forward telescope in Fig. 2).

2.2 Measurement principle of HAIDI

In practice, both scanning techniques (whiskbroom and pushbroom) described in Sect. 2.1 have their advantages and disadvantages (see Table 2). Therefore it depends on the particular application and possible restrictions which of these techniques is suited best for the measurement of trace gas distributions. HAIDI is designed in such a way that it can be used with both techniques. This allows to reach a maximum of flexibility. In total HAIDI can operate up to three fully independent passive DOAS instruments working with either the whiskbroom or the pushbroom technique. Each instrument features a telescope system to analyze scattered solar radiation between 300 nm and 407 nm (shiftable to 350 nm–457 nm) with a spectral resolution of about 0.5 nm. To cover a preferably large area with one overflight the HAIDI system always uses a whiskbroom scanner in nadir direction, as the scanning prism can cover a very wide field of view (FOV) across track without requiring a large or complex entrance optics. Moreover, the field of view can be easily changed by software according to the

- ¹⁵ requirements of a particular mission (see Sect. 2.3). A drawback of the whiskbroom technique is that the spatial resolution varies with the scan angle of the system. This is caused by an increasing distance between entrance optics and ground towards the ends of a swath. Because of the resulting shape of the swath this is called the bow tie effect. The pushbroom technique does not suffer from this effect (other than caused by the sumpture of the Earth) because here the variant distances is compensated by
- ²⁰ by the curvature of the Earth) because here the varying distance is compensated by a likewise changing distance between the optical center of the lens and the individual fibers in the fiber column on the telescope side (see Fig. 5).

With the whiskbroom scanner applied in nadir direction the horizontal distribution of trace gases can be determined. To get also the vertical profile of tropospheric absorbers, one of the following approaches can be used:

 Multiple whiskbroom scanners: the installation of HAIDI aboard the HALO research aircraft (see Sect. 4.3) is intended to use a set of three whiskbroom scanners pointed to different downward directions and thus to retrieve the vertical trace



gas distribution by tomographic inversion methods applied to the different lines of sight (e.g. Heue, 2005). Because HALO flies at high altitude, much higher than the investigated air masses, this setup is most suitable to obtain vertical profile information. Whiskbroom scanners have furthermore the advantage of a constant spectral property for all viewing directions. Since the CCD detector is not operated in imaging mode, a whiskbroom scanner also does not need a mechanical shutter or equivalent techniques like Frame Transfer CCDs, which will reduce the sensitive area of the detector.

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– Pushbroom scanner: if vertical profile information is required for trace gases and aerosols at, or close to, the flight altitude with high vertical resolution, the setup with three nadir looking whiskbroom scanners is not suited. Instead one system is looking in forward direction, covering a range of elevation angles around 0°. For this purpose a pushbroom scanner is applied in limb geometry. In principle also a whiskbroom scanner can be used for the limb direction, but this has so far not been implemented because of space restrictions. A pushbroom scanner can be more compact due to the lack of motorized optics and in addition it is beneficial for the later profile retrieval that it measures all elevation angles at the same time (no need to compensate for different aircraft positions and orientations). However, since the detector of a pushbroom scanner records spectral and spatial information at the same time it takes much longer (up to 300 ms) to read out the CCD compared to a whiskbroom scanner. In this time the detector is not sensitive to incident light (closed shutter), which reduces the achievable signal-to-noise ratio.

The general measurement principle and geometry of the HAIDI system is illustrated in Fig. 2. This setup uses both scanning techniques (one whiskbroom scanner in nadir direction and one pushbroom scanner in forward direction) and is especially used for smaller, low flying aircrafts. An overview to the different instrumental setups of the HAIDI system can be found in Sect. 4. Independent of the used scanning technique, all setups are working on the same principle. Solar radiation with the intensity $I_0(\lambda)$ is



passing Earth's atmosphere and is thereby partly absorbed by different trace gases and aerosols. This light is then reflected on the ground or scattered in the atmosphere by Rayleigh and Mie scattering before it is collected by HAIDI's telescopes with the remaining intensity $I(\lambda)$. As can be seen in Fig. 2, the instantaneous field of view (IFOV)

- ⁵ of the telescopes differs for the two scanning techniques. Due to the reasons discussed above, the whiskbroom scanner has to record all pixel sequentially in order to obtain hyperspectral images. Because of the aircraft's motion during the scan this leads to a diagonal strip pattern of the observed area which is typical for the whiskbroom technique. Each strip is called a swath and currently consists of up to 128 scans which are
- taken while the whiskbroom scanner moves the viewing direction of the telescope along one swath. The IFOV of the forward-looking telescope covers all viewing directions at the same time instead, but has only a narrow total FOV due to the restrictions of the pushbroom technique. In case of the nadir observations the results from the following DOAS analysis (Sect. 3.1) can then be plotted into spatial maps by reconstructing the path taken by the light from the sun to the telescopes (Sect. 3.2).

Beside the entrance optics, HAIDI features a temperature stabilized spectrographdetector unit (Sect. 2.5) for each whiskbroom or pushbroom scanner in order to disperse and detect the gathered light. These are stored in a special instrument rack inside the aircraft and are connected to the entrance optics by a fiber-optical system of accurate mater length. In the following special the different components of the HAIDI

²⁰ of several meter length. In the following sections the different components of the HAIDI system are described in detail, beginning with the entrance optics for the whiskbroom and pushbroom scanners.

2.3 The nadir scanner (whiskbroom)

The entrance optics of the nadir scanner is usually pointed to the downward direction of the aircraft in order to scan the ground beneath. Light collected by its telescope is therefore mainly ground-scattered (see Sect. 3.2.1). The technical setup (Fig. 3) essentially consists of a servo actuated prism that sweeps the viewing direction of the instrument perpendicular to the flight direction and a telescope that couples the



collected light into a fiber optics cable. The fiber optics cable then transfers the light to a temperature stabilized spectrograph inside the aircraft (see Sect. 2.5).

Viewed from the telescope side, the first part of the fiber optics cable consist of a mono fiber (single optical fiber) with a core diameter of $1250\,\mu m.$ Attached to this

- ⁵ mono fiber is a fiber bundle with a length of 5 m via standard F-SMA (SMA 905) fiber optic connector. The bundle consists of 31 individual fibers with a core diameter of 150 μm which are arranged as a circular bundle with maximum density at the coupling and as a column at the spectrograph side, forming its entrance slit. The mono fiber is connected upstream to the bundle in order to mix the incident light from the telescope,
- so that all fibers at the spectrographs entrance slit are illuminated homogeneously with the same spectral information during the measurements. This is necessary because the instrument's slit function is not constant over the height of the detector and a varying weighting of individual detector parts due to an inhomogeneous illumination of the slit would cause changes in the overall instrumental slit function. This in turn would result in residual structures during the DOAS analysis, when comparing the Fraunhofer
- reference spectrum to a measurement spectrum (Sect. 3).

The nadir scanner uses a quartz prism instead of a mirror to redirect incident light into its telescope, because the reflectivity of metallic surfaces (mirror) changes as a function of polarization direction. As the sunlight becomes partly polarized by scattering in

the atmosphere (Liou, 2002), a mirror can act as a polarization filter and thus affect the sensitivity of the system to different components in the incident radiation. The total internal reflection of a prism instead is polarization-independent. Only the reflectivity of the prism surfaces varies slightly with polarization direction due to Fresnel reflection. However, Fresnel reflection can significantly be reduced by the application of anti-reflection coatings.

In order to reach a maximum light throughput and thus a high signal-to-noise ratio at short integration times (see Sect. 2.5.4) the numerical apertures (NA) of HAIDI's optical components are adapted to give the best matching ($NA_{lens} = 0.25$, $NA_{fiber} = 0.22$, $NA_{spectrograph} = 0.16$). The nadir scanner's telescope has furthermore a focal length of



48.1 mm at 350 nm, which yields an instantaneous field of view (IFOV) of $\alpha_{inst} = 1.5^{\circ}$ (see Fig. 2) with the core diameter of the mono fiber (1250 µm). Thus, for an assumed flight altitude of 1500 m, the ground projected instantaneous field of view (GIFOV) of the telescope will be about 40 m × 40 m. The total angle α_{tot} covered by the whiskbroom scanner during one swath is called its field of view (FOV) and is typically set to 50°. Therefore the swath width equals approximately the flight altitude above ground level (a.g.l.). Because of the motion of the aircraft and the prism during a scan, the actual spatial resolution achieved by the instrument depends on several factors, like integration time, aircraft speed, prism rotation speed and number of co-added scans. The size of one ground pixel (scanned area according to the number of co-added scans) can approximately be given by the following equations:

$$P_{\parallel} = (n_{\text{swath}} - 1) \times t_{\text{int}} \times n_{\text{scan, total}} \times v_{\text{air}} + \tan\left(\frac{\alpha_{\text{inst}}}{2}\right) \times h_{\text{agl}} \times 2$$
(1)

$$P_{\perp} = \left(\tan\left(\frac{\alpha_{\text{tot}}}{2}\right) \times \frac{n_{\text{scan}}}{n_{\text{scan, total}} - n_{\text{scan, back}}} + \tan\left(\frac{\alpha_{\text{inst}}}{2}\right) \right) \times h_{\text{agl}} \times 2$$

¹⁵ where P_{\parallel} is the pixel size in flight direction (Fig. 2), P_{\perp} the pixel size perpendicular to the flight direction, n_{swath} the number of co-added swaths, n_{scan} the number of coadded scans within a swath, $n_{scan, total}$ the total amount of scans taken during one swath (typically 128), t_{int} the integration time of a single scan, $n_{scan, back}$ the quantity of scans taken during back sweep ($n_{scan, back} = \frac{t_{back}}{t_{int}}$, $t_{back} \approx 250$ ms), h_{agl} the altitude of the aircraft above ground level and v_{air} the aircraft speed.

The exact ground projected field of view (GFOV) of the whiskbroom scanner, considering perspective distortion and flight parameters like pitch and roll, can noticeably differ from this estimate and only be determined by a much more complex algorithm. Figure 4 illustrates such a simulated GFOV for HAIDI's whiskbroom scanner pointed to the nadir direction. The plots contain two consecutive swaths each, marked in red (1st swath) and blue (2nd swath) to show the areal coverage of the scanner. To get the best areal coverage, the gap between consecutive swaths should be as small as

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(2)

possible. The distance between two swaths depends on a number of parameters, e.g. flight altitude above ground level, aircraft speed, integration time and number of scans per swath. However, in general the distance covered by the aircraft during the time for one swath should be equal to the extend of the GIFOV in flight direction, d_{GIFOV} , in order to minimize the gap and avoid overlap:

 $v_{\rm air} \times t_{\rm int} \times n_{\rm scan, \ total} \stackrel{!}{\approx} d_{\rm GIFOV}$

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Because the aircraft speed usually cannot be influenced and the integration time per scan depends on the intensity of incident light, the distance between two swaths is typically adjusted by choosing a different number of scans per swath (1 to 128).

For later plotting of the measured data into spatial maps, it is important to know the exact deflection angle β (see Fig. 2) of the whiskbroom scanner's prism for each single scan of a swath. For this reason, the PWM signal that controls the motion of the servo motor is synchronized with the recording of the scans (Sect. 2.5.2). Together with information from the aircrafts GPS (latitude, longitude, altitude) and inertial measurement unit (pitch, roll and heading angles) the measured data can then be plotted in a map at the position of the particular GFOV with high spatial accuracy.

2.4 The forward-looking telescope (pushbroom)

The entrance optics of the forward-looking instrument collects scattered sunlight from directions close to the horizon (Fig. 2). This viewing geometry yields very long absorption paths, giving the system a high sensitivity to absorbing trace gases, especially close to the flight altitude (see Sect. 3.2.3). For this reason the forward-looking telescope is suited best for the profile retrieval of tropospheric absorbers on smaller, low flying aircrafts.

²⁵ Due to space restrictions on these aircrafts, the entrance optics of the forwardlooking system has to be compact and lightweight. This could be achieved with the pushbroom technique, where no motorized scanning mechanism is required



(3)

(Sect. 2.2). With the pushbroom technique spectral information is recorded along the rows of the CCD array, while the column-direction of the detector is used to image the different elevation angles of the instrument simultaneously. Thus, unlike the whiskb-room technique, the pushbroom technique requires a fiber optical cable that preserves

- spatial information and a spectrograph-detector unit with imaging capabilities. Therefore, the fibers of the fiber optics bundle are arranged into columns at both ends with corresponding fiber ends having the same position in the column. In total the fiber optics bundle has a length of 5 m and consists of 31 individual fibers with a core diameter of 150 μm each (diameter with cladding: 190 μm). Also in this case the exit end of the
- fiber bundle directly acts as the entrance slit of the imaging spectrograph. The other end is placed in the focal point of the telescope. Due to the different distances of the fibers to the optical axis of the telescope, this results in 31 different viewing directions/elevation angles. As the height of the fiber columns is about 5.9 mm ($31 \mu m \times 190 \mu m$), the telescope (lens: d = 25 mm, f = 48.1 mm) yields a total vertical viewing angle of about 7°.
- ¹⁵ The horizontal viewing angle of the telescope is $\sim 0.2^{\circ}$ and corresponds to the vertical viewing angle of a single fiber. The exact orientation of the instrument depends on the scientific question. If plumes are investigated close to the flight altitude (campaign I in Table 1), it is adjusted approximately to the horizon for the expected average pitch angle during flight. For the campaigns II and III more sensitivity at low altitudes was required, thus the range was set to elevation angles between -5° and $+2^{\circ}$.

2.5 Spectrometer and detector

HAIDI's imaging spectrometers and CCD detectors are of identical design and can be used with the whiskbroom scanner setup and the pushbroom setup as well. In the current state up to three spectrometers and detectors can be housed in HAIDI's instru²⁵ ment rack (Fig. 6), which is a modified 19-inch aluminum rack with a height of 6 rack units (19 in × 20 in × 10.5 in). In addition to spectrograph mountings that withstand load factors of at least 9 times the acceleration due to gravity, the instrument rack also features a temperature-stabilized section for the spectrographs and DC-to-DC converters



to power the nadir scanner servo motors. The temperature stabilization is realized by an Air-to-Air Peltier (PE-127-14-11-S from Supercool) system controlled by a custom build electronics for heating and cooling. This system uses four temperature sensors (ADT7410 from Analog Devices) to monitor the internal air and spectrograph tempera-

- ⁵ ture. In order to minimize the heat transfer into the temperature stabilized volume, the internal air temperature is normally set to a value close to ambient temperature. Furthermore the temperature stabilized compartment of the housing (see Fig. 6) is lined with a 10 mm layer of PE-foam (MP15FR from Wulfmeyer) for thermal insulation and includes only the spectrographs and no heat producing electronics. Thus, the temper-
- ature stabilization can usually work on low power consumption (< 10W). Due to the high thermal capacity of the aluminum spectrographs (~ 2.7kJK⁻¹ per spectrograph) it takes some time to equilibrate the spectrograph temperature to the air temperature inside the rack (about 1 h for a temperature difference of 5 K) but this makes the temperature stabilization also relatively immune to short interruptions in the power supply at the same time.

2.5.1 Spectrograph characteristics

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The imaging spectrographs used by HAIDI were developed especially for the purpose of an airborne imaging DOAS instrument, because no commercially available spectrograph fulfilled the requirements regarding size, light throughput, spectral resolution and imaging capabilities at the same time. HAIDI's spectrographs are based on a diecast aluminum box (BOPLA A140 SI) which was complemented with a detector flange, a mount for the diffraction grating and some light traps.

The imaging spectrographs use a diffraction grating of type 523 00 080 (1200 lines mm⁻¹) from Jobin Yvon. This is a concave holographic grating with a focal length of 21 cm and a f-number of 3.2, which matches the f-numbers of the telescopes and the optical fibers quite well (Sect. 2.3). Due to the focusing properties of concave gratings, additional optical elements are not required by the system. This design has several advantages. On the one hand it makes the spectrographs very easy to adjust



in comparison to Czerny–Turner setups which have many more degrees of freedom for adjustment (Neumann, 2013). On the other hand light loss due to additional optical surfaces is avoided. An other important advantage for airborne applications is that fewer optical compounds make the system less sensitive to vibrations.

- Apart from mechanical benefits, concave holographic gratings offer some imaging properties which cannot be achieved with ruled gratings. Most aberration effects of the optical system are reduced significantly by a special groove pattern of the holographic grating. In the same way the imaging on flat surfaces is improved (flat-field correction), resulting in an almost homogeneous imaging quality over the whole surface of the
- chip (see Fig. 8b). Concave holographic gratings have furthermore the advantage of producing less stray light than ruled gratings (Palmer and Loewen, 2005) and that the zero order of the diffracted light can easily be guided to a light trap with small aperture, because it is also focused. Stray light in the spectrograph is further reduced to less than 0.5 % (for a spectral range of 300 nm to 407 nm, measured with a halogen lamp)
- ¹⁵ of the original light intensity by covering the inside with Krylon ultraflat black painting which has a very low reflectivity in the infrared wavelength region (Wan et al., 1999) and additional light traps for higher order diffracted light (see Fig. 7).

Usually the wavelength range of the spectrographs is set from 300 nm to 407 nm. However, the spectrometers also allow to rotate the grating to shift the observable

wavelength range to 350 nm-457 nm. The optical resolution achieved by the system within this range is about 0.5 nm (~ 5 pixel), as can be seen in Fig. 8b. A list with the characteristics of the spectrographs can be found in Table 3.

2.5.2 Detector characteristics

Also the readout electronics and housings of HAIDI's CCD detectors are custom made in cooperation with khs-instruments (Munich, Germany). Compared to similar commercially available detectors, our detector system is only half the size and one third of weight, which makes it possible to install the whole system also on very small aircrafts, e.g. ultralight planes. The detectors feature CCD30-11 chips from e2v (Chelmsford,



England), which have 1024 pixel \times 256 pixel (pixel size: 26 µm \times 26 µm) and are back illuminated for enhanced sensitivity in the UV wavelength region (quantum efficiency of 50 to 65% between 300 and 400 nm). Additional specifications of the detectors can be found in Table 4. Apart from the compact design the advantage of such a custom

made detector is that its readout electronics can also generates the PWM signal which 5 controls the motion of the nadir scanner's servo motor. This ensures that the recording of scans is synchronized with the scan angle β of the instrument (see Sect. 2.3), which is therefore known precisely for each scan of a swath. Also the signal for the mechanical shutter is generated by the electronics, when the detector is operated in 2-D (Full Frame Readout) mode. 10

In order to reduce the dark current signal of the detector at higher integration times and warmer environments, the detector is equipped with a thermoelectric cooling (Peltier element) and its housing is filled with Argon to reduce thermal conductivity and avoid water condensation. The Peltier element is able to cool the chip down to 40 K below ambient temperature. Normally the detector is operated at a temperature of 0 °C, where the typical dark current signal is in the order of 80 e⁻ pixel⁻¹ s⁻¹ \approx $5 \text{ counts pixel}^{-1} \text{ s}^{-1}$. This is sufficiently low for measurements with high sensitivity.

2.5.3 Linearity

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An important characteristics of a CCD detector is its linearity in response to incident light. Ideally the signal gained from a pixel (countsms⁻¹) should be linear with respect 20 to the amount of incident photons over the full intensity range, but normally this is not the case. Instead most detectors show a decreasing sensitivity to incident photons when approaching the full well capacity of the individual pixels. Since nonlinearities in the intensity of recorded spectra can lead to residual structures in the DOAS analysis (Sect. 3) it is mandatory to know the linear range of the used detector. 25

To test the linearity of HAIDI's CCD detectors, a large number of spectra from a temperature stabilized LED were taken with slowly increasing exposure time. In this way the intensity range of the CCD could be sampled with high accuracy. Afterwards the



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Figures

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normalized signal (in counts per millisecond) was calculated for each pixel and plotted vs. the corresponding intensity level. Figure 9a and b show the result of the test for the different readout modes of the detector. As can be seen the response of the detector to incident photons is almost linear in the range between 0 and 40 000 counts (ADC

^₅ resolution: 16-bit ⇒ max. 65 536 counts). For higher intensity levels the sensitivity to incident photons decreases quickly and should therefore be avoided. Recorded spectra in the range between 0 and 40 000 counts can be corrected subsequently since the linearity in this region can be well described by a second-order polynomial. After linearization with this polynomial, the remaining nonlinearity in the measured counts per millisecond is less than 5 × 10⁻³ (see Fig. 9c–f).

2.5.4 Signal-to-noise ratio

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The total noise in recorded spectra arises from photon or "shot" noise, optical noise and also instrumental noise. For a good DOAS instrument the photon noise should be the limiting factor in the achievable signal-to-noise ratio. In comparison to the other noise sources, photon noise is purely statistical, and can therefore be reduced by accumulating more photons or co-adding multiple scans.

Since the detection limit of a DOAS instrument usually depends on the achievable signal-to-noise ratio (see Sect. 3), it is important to know whether and up to what degree the noise in the spectra follows photon statistics. This can be determined by recording a large number of scans of a spectrally stable light source (e.g. halogen lamp) with the instrument. The scans should have the same exposure time and a sat-

- uration level which is preferably constant in time and over the considered part of the spectrum. Here we use scans with an integration time of 40 ms each and an average signal of 20 000 counts, which approximately corresponds to 5×10^5 photons for the
- used detector. In order to access higher photon numbers, the recorded scans are coadded. The noise of two spectra I_1 , I_2 with k co-added scans is then calculated as



follows:

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Noise
$$[k] = \left[\ln \frac{l_1}{l_2} \right]_{\text{HPF}=1000}$$
,

where a binomial high pass filter (HPF) with 1000 iterations is applied to the logarithm in order to remove broadband structures. For co-adding the scans two different methods are used. In the first one (large dots, labeled "sequential" in Fig. 10) the number of photons in the spectra is increased by adding consecutive recorded scans S_n :

$$I_1 = \sum_{n=1}^k S_n, \quad I_2 = \sum_{n=1}^k S_{k+n}$$

- ¹⁰ As can be seen in Fig. 10, in this case the root mean square (RMS) of the noise scales with photon statistics $\frac{1}{\sqrt{N}}$ (red curve) first, where *N* is the number of incident photons. Starting at about 10 000 scans or 5 × 10⁹ photons other noise sources (e.g. instrument noise) become dominant and the noise in the spectra can not be decreased any further. In this method, however, time-dependent effects play an important role. ¹⁵ Since the spectra I_1 and I_2 consist of *k* sequentially co-added scans, the time interval
- ¹⁵ Since the spectra l_1 and l_2 consist of k sequentially co-added scans, the time interval between the spectra equals the time to take k scans, which is about 6.7 min for k =10000 and 4.4 h for k = 400000. Within this time the instrument properties can slightly change due to e.g. temperature changes. This effect can also be seen in Fig. 11, which shows the development of the noise with increasing temporal distance between spectra with k = 1000.
 - In a second evaluation a different method for co-adding the recorded scans is performed to minimize these effects. Here, one spectrum consists of odd scans, while the other one encompasses the even scans:

$$I_1 = \sum_{n=1}^k S_{2n}, \quad I_2 = \sum_{n=1}^k S_{2n-1},$$

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AMTD 7, 2187-2257, 2014 Paper **HAIDI Imaging DOAS** S. General et al. **Discussion** Paper **Title Page** Introduction Abstract Conclusions References Tables Figures **Discussion** Paper Back Close Full Screen / Esc Printer-friendly Version **Discussion** Pape Interactive Discussion

(4)

(5)

(6)

thus the average time difference between the two spectra equals the time to record a single scan (40 ms). The result can also be seen in Fig. 10 (small crosses, labeled "alternating"). With this method the noise becomes much smaller at large numbers of co-added scans, since it is still following photon statistics up to the max. measured amount of 400 000 scans or 2×10^{11} photons.

3 Data analysis

3.1 The DOAS evaluation

The spectral data was analyzed for trace gas absorptions by using the DOAS method (Platt and Stutz, 2008), which is implemented in the DOASIS software (Kraus, 2006). ¹⁰ For the analysis a Fraunhofer reference spectrum (FRS) and absorption cross sections of several trace gases are fitted simultaneously to the measurement spectra in a given wavelength region by a nonlinear least-squares fitting algorithm. The amount and type of fitted absorbers (Table 5) differ between the measurement campaigns due to different temperatures and different target trace gases. An overview of the used wavelength intervals and fitted absorbers can be found in Table 6. Apart from the listed absorbers a Ring spectrum calculated from the FRS by the DOASIS software (Grainger and Ring, 1962), an inverse FRS, an additional Ring spectrum that is multiplied by λ^4 (Wagner et al., 2009), and a polynomial are fitted to the measured spectra.

In order to minimize the temporal distance between the reference and the actual

- 20 measurement spectra, the FRS is normally taken for each flight, if possible. Thereby the recording procedure differs for the two scanner types of the HAIDI system. While the whiskbroom scanner uses a normal in-flight measurement taken in a presumably clear area as reference, the forward-looking telescope uses a spectrum taken at the ground where sunlight is guided to the telescope with a sand blasted teflon plate. Be-
- cause each viewing direction of a pushbroom scanner has a slightly different slit function, the forward-looking telescope uses a separate FRS for each elevation angle. The



whiskbroom scanner instead has the same slit function for all viewing directions and therefore uses a single FRS for the analysis of the spectral data.

All measurement flights up to now were done in smaller, unpressurized aircrafts and HAIDI's spectrographs were also not pressure stabilized during these flights. Therefore

- ⁵ a wavelength shift of the measured spectra in dependency of the flight altitude could be observed, due to pressure-induced changes in the refractive index of air. The observed values for the shift are linear with respect to the measured change in ambient pressure and are in the order of 1×10^{-4} nmmbar⁻¹, which is in very good agreement with the theoretical value of 0.988×10^{-4} nmmbar⁻¹ at 350 nm. During the BROMEX
- ¹⁰ campaign, flight altitudes of up to 3300 m were reached with a pressure difference of about 380 mbar in total, which means a shift of approx. 0.04 nm compared to spectra taken close to the ground. In order to obtain better initial conditions for the DOAS fit, each measurement spectrum is previously shifted according to its pressure difference from the FRS. Since an initial wavelength calibration of the two instruments is done with
- the help of mercury emission lines on the ground but the reference spectrum is taken somewhere in flight, the Fraunhofer reference is re-calibrated using a high resolution solar spectrum (Kurucz et al., 1984) at the beginning of the evaluation procedure.

The residual spectrum of a DOAS analysis indicates the quality of the measured spectra and the spectral analysis (Stutz, 1996). Some exemplary fit results with corre-

- ²⁰ sponding residual spectra are illustrated in Figs. 12 and 13. For a DOAS analysis free of systematic effects the size of the residual structures should mainly depend on the number of incident photons and therefore on the number of co-added spectra. The calculated photon noise in Fig. 10 is therefore the best achievable value for the RMS of the residual. However, for atmospheric measurements the size of the residual structures
- will usually deviate earlier from the values predicted by photon noise. This can be due to varying reasons, a not perfectly uniform illuminated entrance slit, spectral structures caused by different ground surfaces and viewing directions, a suboptimal fit scenario or imperfect reference spectra. In Table 7 the size of the residual structure as well as the achieved detection limit is listed for different numbers of co-added scans. The co-added



scans are thereby taken from a flight on 31 March 2012, from the same time interval as the exemplary fit results shown in Figs. 12 and 13. Since increasing the number of co-added scans will degrade the spatial resolution of the HAIDI system, a compromise between detection limit and spatial resolution has to be made depending on the optical density of the trace gas of interest.

3.2 Geometrical approximation of the light path

5

Because the DOAS analysis compares measured spectra against a reference spectrum, the retrieved results are so-called differential slant column densities (dSCDs), S'. The dSCDs give the concentration of the observed trace gases integrated along a particular light path through the atmosphere minus the concentration already existing in the Fraunhofer reference spectrum. Since the light path through the absorber depends on several factors, like e.g. measurement geometry, solar zenith angle (SZA), θ , or weather conditions, measured dSCDs cannot be directly compared with measurements from other DOAS instruments (e.g. satellite measurements) or even in-situ measurements. Thus, to make the results more comparable, slant column densities are usually converted to vertical column densities (VCDs), which give the concentration along a vertical path through the atmosphere instead and are therefore independent of the used viewing direction. For this, the actual path taken by the photons through

- the absorber must be known. This is commonly achieved by applying radiative transfer models (RTM). However, for particular circumstances the light path of a measurement can also be estimated by a more simple geometric approximation. This is especially true for the whiskbroom scanner used in nadir direction, when flying at relatively low altitudes (≤ 3000m) over areas with high surface albedo (e.g. ice, snow). In the following the light paths seen by the different viewing directions of the HAIDI system are
- ²⁵ described by geometrical considerations. In addition an approach is given to convert dSCDs, measured by HAIDI's nadir scanner, to tropospheric vertical column densities (VCD_{trop}), V_{trop}, on the basis of these considerations. Even if the geometric approximation is a strong simplification and RTM could give more precise results, it still has



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some advantages. It is very fast and relative simple to calculate, it does not depend on a priori values and gives a robust output.

3.2.1 Nadir observations

Under the assumption that the majority of the detected photons are only once scattered on the ground (or very close to it) before entering the instrument and that the tropospheric absorber layer is horizontally homogeneously distributed on scales of a few hundred meters, there are three possible measurement scenarios for the nadir scanner. Either the aircraft is located above the absorber layer (Fig. 14a), within the absorber layer (Fig. 14b) or below the absorber layer (Fig. 14c). In each of these cases the length

- ¹⁰ of the light path changes as a function of solar zenith angle θ , scan angle β and aircraft roll angle φ (see Fig. 14). The factors A_1 and A_2 thereby express the extension of the actual light path relative to to a vertical one and thus represent a proportionality factor between observed slant column densities and VCDs, the so-called air mass factor (AMF), $A = \frac{S}{V}$.
- A_1 and A_2 are given by the following equations:

$$A_{1} \approx \frac{1}{\cos \theta}$$

$$A_{2} \approx \frac{0..1}{\cos(\beta - \varphi)}$$
(7)
(8)

The numerator of A_2 depends on the position of the aircraft in relation to the absorber layer and ranges from 1 (above the absorber) to 0 (below the absorber). The total extension of the light path in the troposphere is then given by the following equation:

 $A_{\rm trop} = A_1 + A_2$

The scenario where the aircraft is located above the absorber (Fig. 14a) can be applied in good approximation to the measurement campaigns II and III (see Table 1). Here,



(9)

the trace gas layers were expected to be within the planetary boundary layer and thus below the typical flight altitude of the aircraft equipped with HAIDI. This could also be confirmed by the data from the forward-looking DOAS system. Hence, the tropospheric AMF assumed for the measurements presented in Sect. 6.3 was calculated as follows:

$${}_{5} \quad A_{\text{trop}} = \frac{1}{\cos\theta} + \frac{1}{\cos(\beta - \varphi)}$$

For the flights at Mt. Etna instead, where the absorber layer was not located close to the ground, this is not generally the case. Here, one has to distinguish between measurements taken above the emission plume and those taken below. Due to the smaller AMF (see Eq. 8) measurements from below the plume generally show smaller dSCDs. In addition there can be a spatial offset in dependency on the solar zenith and azimuth angles. Thus, for a better comparability, maps presented from Mt. Etna in Sect. 6.1 show only data for flight altitudes greater than the expected height of the emission plume.

15 3.2.2 Conversion of dSCDs to tropospheric VCDs

In order to convert dSCDs to tropospheric VCDs, one has to consider that the observed signal does not only arise from tropospheric absorbers. Many of the fitted trace gases are also present in the stratosphere. Since the flight altitude of an unpressurized aircraft will not reach the height of stratospheric absorbers, the stratospheric AMF remains unchanged for all three measurement scenarios described in the previous section. The stratospheric AMF, A_{strat} , therefore only depends on the SZA and can be approximated similar to A_1 in the troposphere:

$$A_{\text{strat}} = \frac{1}{\cos\theta}$$

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Before A_{trop} and A_{strat} can be used to convert the measured dSCDs, S', to tropospheric VCDs, one first has to make clear that the column density in the measurement spectra



(10)

(11)

is composed as follows:

$$S' = S'_{trop} + S'_{strat}$$

= $\underbrace{S_{trop} - S_{Ref, trop}}_{S'_{trop}} + \underbrace{S_{strat} - S_{Ref, strat}}_{S'_{strat}}$

⁵ where S_{trop} is the tropospheric SCD in the measurement, $S_{\text{Ref, trop}}$ the tropospheric SCD in the Fraunhofer reference, S_{strat} the stratospheric SCD and $S_{\text{Ref, strat}}$ the stratospheric part of the SCD in the Fraunhofer reference. The relative change in stratospheric SCD, S'_{strat} , can then be expressed by the stratospheric AMF:

$$S'_{\text{strat}} = S_{\text{strat}} - S_{\text{Ref, strat}}$$

$$= V_{\text{strat}} \times A_{\text{strat}} - V_{\text{Ref, strat}} \times A_{\text{Ref, strat}}$$

By assuming that the stratospheric VCD remains relatively constant during the flight (be aware that this assumption is not valid for all trace gases) and thus V_{strat} and $V_{\text{Ref, strat}}$ are identical, one obtains:

¹⁵
$$S'_{\text{strat}} = V_{\text{strat}} \times (A_{\text{strat}} - A_{\text{Ref, strat}})$$
 (14)

and thus:

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25

$$S' = V_{\text{trop}} \times A_{\text{trop}} - \underbrace{V_{\text{Ref, trop}} \times A_{\text{Ref, trop}}}_{\approx 0} + V_{\text{strat}} \times (A_{\text{strat}} - A_{\text{Ref, strat}})$$
(1)

²⁰ With a careful selection of the Fraunhofer reference spectrum, $V_{\text{Ref, trop}}$ can be assumed to be 0. This selection can be e.g. if the reference spectrum was taken upwind of an emission source or if additional measurements like from the forward-telescope approve a zero VCD. The above equation can finally be rearranged to V_{trop} :

$$\Rightarrow V_{\text{trop}} = \frac{S' - V_{\text{strat}} \times (A_{\text{strat}} - A_{\text{Ref, strat}})}{A_{\text{trop}}}$$
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(12)

(13)

5)

(16)

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The required stratospheric VCD of the absorber can then be obtained from model calculations or reference data, if available.

3.2.3 Limb observations

For a telescope looking towards the horizon (limb geometry) the geometrical AMF would lead to significant deviations, because in contrast to the nadir observations it cannot be assumed here that the majority of the collected light is scattered at a given location. Instead light is scattered into the telescope from somewhere along its line of sight (LOS). Under clear sky conditions most direction changes of light are induced by Rayleigh scattering on air molecules. Thus, due to typical Rayleigh extinction lengths of about 14 km at 350 nm, very long light paths can be obtained within horizontally extended absorbers.

Limb observations performed at different flight altitudes are particularly well suited for a reconstruction of trace gas and aerosol profiles at high vertical resolution. This is usually done in a two-stage process using inverse modeling of the atmospheric radia-

- ¹⁵ tive transfer (e.g. Sinreich et al., 2005; Wagner et al., 2004, 2011; Frieß et al., 2006; Irie et al., 2008; Clémer et al., 2010). First, the aerosol extinction profile is retrieved from the absorption of the oxygen collision complex O_4 , which has a constant atmospheric concentration (apart from pressure variations) and therefore serves as a proxy of the light path which is affected by aerosols. Second, the trace gas vertical profile
- is retrieved using the measured trace gas dSCDs together with the aerosol profile retrieved in the first step. Our retrieval algorithms are based on the well known optimal estimation method (Rodgers, 2000) and uses the SCIATRAN (Rozanov et al., 2001) and McArtim (Deutschmann et al., 2011) radiative transfer code as forward models for the aerosol and trace gas retrievals, respectively. This method has been applied e.g.
- to ground-based MAX-DOAS measurements of BrO and aerosols in the scope of the OASIS Barrow 2009 campaign (Frieß et al., 2011).

A key quantity that describes the performance of the profile retrieval is the weighting function $\mathbf{K} = \partial y / \partial x$, which represents the sensitivity of the measurement y to



variations of the state vector (i.e., aerosol or trace gas vertical profile) x. In case of trace gas profiles, the weighting function is equal to the box airmass factor, i.e. the derivative of the measured slant column density with respect to the partial vertical column in an altitude layer $[z, z + \Delta z]$. Figure 15 shows BrO box AMFs calculated at

- ⁵ a wavelength of 350 nm for a small aerosol extinction of 0.01 km⁻¹ between surface and 1 km altitude and zero above for the viewing geometry of the HAIDI limb telescope. The calculations were performed for flight altitudes of 0 km, 0.5 km, 1 km and 1.5 km. As expected, the highest sensitivity for trace gases is achieved directly at the flight altitude when looking towards the horizon (elevation angle of 0°), here the box-AMF
- ¹⁰ reaches values of more than 100. However, with viewing directions covering $\pm 3^{\circ}$, the range where measurements are sensitive is restricted to about 1 km around the flight altitude. Thus, measurements with the forward-telescope (pushbroom) are especially suited for the observation of volcanic plumes close to the flight altitude (Vogel et al., 2011), or to investigate boundary layer absorbers when flying low. A reconstruction of
- the whole tropospheric profile (surface to 10 km) is only possible when measurements during a whole ascent or descent of the airplane are used as input for the retrieval.

4 Measurement platforms

HAIDI was developed for operation on the new German research aircraft HALO (High Altitude and Long Range Research Aircraft), where an instrumental setup with three
whiskbroom scanners (Sect. 2.3) pointed to different directions will be used. This setup is optimized for high altitude flights. However, besides applications on HALO the system can also be operated on other aircrafts. Measurement flights on smaller aircrafts were performed in order to optimize the system in preparation of the installation on HALO. These aircrafts fly at lower altitude and usually do not offer sufficient space and power to operate three whiskbroom scanners simultaneously. Furthermore a setup with three whiskbroom scanners will not allow to retrieve accurate altitude information of absorbers when flying too low. For these reasons the instrumental setup was modified in



such a way that it requires less space and power, but still provides the possibility to retrieve horizontal distributions and vertical profiles. This altered setup uses only a single whiskbroom scanner in nadir direction and in addition a forward-looking telescope, using the pushbroom technique (Sect. 2.4). In the following the particular instrumental setups used for the different aircrafts are described.

4.1 Setup for Flight Design CTLS Ultralight Aircraft

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The first measurement flights with the HAIDI system were performed in July 2011 on Sicily (Italy) with a small ultralight aircraft (Flight Design CTLS) operated by the FH Düsseldorf. Because of the compactness and weight limitations of such an aircraft there was only very limited storage space available for the installation of the system. In order to save as much space as possible an instrument rack was omitted here. Instead the spectrographs were directly put in a temperature stabilized case of PE-foam (MP15FR from Wulfmeyer) and placed in the baggage compartment of the aircraft.

- Because of the forward-looking viewing direction and the lack of optical viewports,
 HAIDI's entrance optics had to be placed somewhere outside the aircraft. For this reason a steel frame, holding a carbon fiber tube of 20 mm diameter, was mounted inside the aircrafts's baggage compartment. The tube went through a hole in the baggage compartment door on the starboard side (see Fig. 16) and protruded about 45 cm from the aircraft fuselage. The forward-looking telescope and a webcam (resolution)
- of 640 pixel × 480 pixel) were attached at the end of that tube in order to get a clear line of sight, past the propeller. The nadir scanner instead was located close to the aircraft fuselage in an aerodynamic housing, to keep the load on the carbon tube as small as possible. Due to the short distance between spectrograph and entrance optics of the nadir scanner, the mono fiber used here was only 19 cm in length. Beside
- the spectrographs and detectors, also a netbook for system control and data storage as well as a Li-Po battery pack (20 Ah, 14.8 V) for power supply were placed in the baggage compartment of the aircraft, as no on-board power supply was available. The



average power consumption of the HAIDI system in this configuration is \sim 100W at 12V operating voltage, so the batteries could power the system for about two hours.

4.2 Setup for Beechcraft Model 76 Duchess

HAIDI was also installed on the Airborne Laboratory for Atmospheric Research (ALAR),
which is a twin-engined aircraft (Beechcraft Model 76 Duchess) operated by the Purdue University. The Beechcraft Model 76 Duchess has a normal capacity of one pilot and three passengers. However, in ALAR the rear seats were removed in order to create sufficient space to install scientific instrumentation like HAIDI's instrument rack (Sect. 2.5). Also in this case an external housing for the entrance optics was constructed. It is based on a Bopla diecast aluminum box (A 127 SIL) with the dimensions of 18 cm × 10 cm. The box is mounted below the aircraft, on the left hand side of the lower shell (Fig. 17b), close to the location of the instrument rack. Directly above the box is a small aperture in the fuselage of the aircraft to guide the fiber optics and elec-

- tric cables into the plane. For general protection and to reduce the aerodynamic drag,
 the box was surrounded by a fairing. The aluminum box contains the forward-looking telescope with shutter, a nadir scanner, a webcam for each viewing direction (resolution of 640 pixel × 480 pixel), PE-foam for thermal insulation and a heating (Fig. 17). The heating (Cirrus 40/1 60 W) automatically turns on by a regulator if the temperature inside the box falls below a given value (usually +10 °C), to avoid freezing of the windows.
- Each detector inside of HAIDI's instrument rack is connected to a netbook via USB. The netbooks store the recorded spectra, save the images from the two webcams, control the temperature of the CCD's and also log the spectrograph temperatures.

4.3 Setup for High Altitude and Long Range Research Aircraft (HALO)

In the near future HAIDI will furthermore be installed on HALO. The DLR research aircraft HALO is a modified Gulfstream G550 ultra-long range business jet and differs from the other aircrafts especially in terms of flight altitude and cruise speed. HALO



can reach flight altitudes up to 15.5 km and a maximum speed of 1054 km h⁻¹ (DLR, 2013). No forward-looking telescope is applied here, as typical flight altitudes are too high for the investigation of tropospheric trace gases with a limb system. Instead the HALO setup uses three whiskbroom scanners pointed to different directions, one in nadir direction and the other two tilted by 45° into and against the flight direction. This setup allows to retrieve vertical profiles of trace gas plumes and aerosols without the need of a forward viewing direction. As a result HAIDI's whole entrance optics can be installed inside the pressurized part of the aircraft on a so-called instrument plate,

which is a standardized HALO instrument support structure. The plate is located on the lower shell of the aircraft and features a single quartz window in its center as viewing aperture for the telescopes. Calculations have shown that the window diameter and therefore its necessary thickness becomes minimal when arranging the three scanners as illustrated in Fig. 18a.

5 Measurement software

- ¹⁵ Because of the custom-made readout electronics in HAIDI's CCD detectors, a software had to be written, that is able to communicate with the hardware. This software allows to controls the thermoelectric cooling of the detector, the movement of the nadir scanner (whiskbroom) and other parameters of the camera like the integration time or co-adding of scans. Moreover, it reads out the recorded spectra from the electronics via
- ²⁰ USB connection and stores them in a specific directory structure for later easy access. The current version of the software is written in LabVIEW 2013 and can be used with Windows XP or higher.

To handle the high rate of spectra generated by the nadir scanner (up to 100 spectra per second), all spectra taken during one swath are buffered inside the camera elec-²⁵ tronics first. After the last spectrum of a swath is taken, the measurement software starts to read out the data and stores it into a single file per swath. This significantly



reduces the access frequency of the data storage device (HDD or SD-card). While the data is transfered to the computer, the scanner can already start to record a new swath.

6 Sample measurement results

In the following we present a series of sample applications of the HAIDI system, mostly intended to demonstrate its usefulness in a wide variety of studies on chemical and dynamical processes in the atmosphere.

6.1 Mapping of volcanic emission plumes

The first measurement campaign (campaign I in Table 1) with the HAIDI system was conducted in July 2011 on Sicily, Italy. For these flights the system was installed on
a Flight Design CTLS ultralight aircraft with the setup described in Sect. 4.1. In total four flights to Mt. Etna and Mt. Stromboli were performed from a small airport (37.800° N, 15.228° E) close to Calatabiano in the northeast of Mt. Etna. The flight presented here took place between 08:00 and 10:30 UTC (10:00–12:30 LT) on 9 July. This day was particularly interesting because of the high volcanic activity. Only a few hours after the measurements a paroxysm occurred at Mt. Etna's New South East Crater (NSEC), which led to a temporal closure of the nearby Catania airport.

The measurements on Sicily mainly focused on the spatially high-resolved imaging of trace gases like BrO and SO_2 in the volcanic emissions with the nadir scanner of the system. Topographic maps showing the spatial distribution of the above-mentioned

trace gases are illustrated in Fig. 19. Because of the reasons discussed in Sect. 3.2 only measurements taken above the expected height of the plume, which is in good approximation at the height of the summit (3300 m), are displayed in these maps. Thus, the FOV of the nadir scanner is not projected to the ground in this case but rather to a horizontal plane at the expected height of the plume. Each plotted pixel thereby consists of 32 scans (2 × 16). As can be seen from Fig. 19, the flight track crosses



several times the emission plume, where SO₂ dSCDs of up to 4.6×10^{18} molec cm⁻² could be observed along with BrO dSCDs of 4.1×10^{14} molec cm⁻². A more detailed discussion of the measurement results, regarding the chemical evolution of the plume in terms of plume age, measurement location and time, can be found in General et al. (2014).

6.2 Mapping of air pollution in urban regions

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The next measurement presented here is from a campaign in Indiana, USA, which took place in fall 2011 (campaign II in Table 1). During this campaign HAIDI was installed on a twin-engined Beechcraft Duchess from Purdue University (see Sect. 4.2) and performed a three measurement flights to the metropolitan area of Indianapolis. The scientific objectives focused on the observation of air pollution over larger urban areas. In particular, the distribution of tropospheric NO₂ was investigated. NO₂ mainly originate from anthropogenic sources such as the burning of fossil fuels and can cause enhanced ground level ozone, the so-called photosmog, during sunny days. Tropospheric NO₂ also contributes to acid rain, resulting from its oxidation to HNO₃.

The flights in Indiana were conducted from Purdue university airport (40.412° N, 86.937° W), which is located in West Lafayette, Indiana (USA), about mid-way between the metropolitan areas of Indianapolis and Chicago. In the following, particularly the flight on 24 October should be addressed in more detail. Like all other flights of the campaign, it went to the urban area of Indianapolis, where several traverses were flown downwind of the city in order to investigate its emissions. The flight started at 16:31 UTC (12:31 LT) and lasted for about two and a half hours. Figure 20 shows a part of the measured data plotted into a map of the area around Indianapolis. As can be seen, NO₂ dSCDs of up to 2.4 × 10¹⁶ moleccm⁻² could be observed by HAIDI's nadir scanner. The spatial distribution furthermore reveals that the highest NO₂ dSCDs were found south-east of the city core, following the wind direction from 310° at



 $8 \,\mathrm{m \, s^{-1}}$. A part of these NO₂ emissions is caused by the coal-burning power plants of

Indianapolis. The two largest of them, Harding Street Generating Station (nameplate capacity: 1170 MW) and Perry K Plant (nameplate capacity: 20 MW) are located close to the center of the city (see Fig. 20). While the transect to the southeast follows the power plant plume, one can see the more diffuse mobile source NO_2 emission in the transects that go perpendicular to the wind direction.

In addition to the visualization of the spatial distribution, measurement data from traverses flown downwind of the city can also be used to determine the approximate NO₂ emission of Indianapolis (Ibrahim et al., 2010). For this purpose, the measured dSCDs were converted to tropospheric VCDs using a simple geometrical approximation for the airmass factor (Eq. 16). Assuming a stratospheric NO₂ VCD of 2.0×10^{15} molec cm⁻² (Wenig et al., 2004) and no NO₂ transport towards Indianapolis, the total NO₂ emission

¹⁰ airmass factor (Eq. 16). Assuming a stratospheric NO₂ VCD of 2.0×10^{10} molec cm⁻² (Wenig et al., 2004) and no NO₂ transport towards Indianapolis, the total NO₂ emission of the probed area calculates to 3.95(62) th⁻¹.

6.3 Study of reactive bromine release processes in the Arctic

In March 2012 the HAIDI system was again installed on the Beechcraft Duchess from
 Purdue University (campaign III in Table 1). This time, the flights were conducted from an airport in Barrow, Alaska, USA (71.291° N, 156.789° W), in the framework of the BRomine, Ozone, and Mercury EXperiment (BROMEX) campaign (NASA, 2012). The instrumental setup for the campaign was very similar to the one used in Indiana and contains only some minor improvements. To account for the bright light conditions, the
 webcams for nadir and forward direction were equipped with additional UV and neutral

density filters. During the BROMEX campaign a total of 11 measurement flights were done from which the one on 31 March 2012 is presented here.

Scientific objectives of the BROMEX campaign concentrate on the understanding of the chemical processes which release bromine to the polar atmosphere during spring-

time. These processes are responsible for an almost complete destruction of lower tropospheric ozone in polar regions and for the deposition of atmospheric mercury. Also the impact of Arctic sea ice reduction on these mechanisms was a subject of



investigation. The HAIDI system contributed to BROMEX with airborne measurements of BrO and $\mathrm{NO}_2.$

The presented flight from 31 March was conducted at Prudhoe Bay, far to the east of Barrow. Prudhoe Bay is adjacent to the largest oil field in North America and also

- ⁵ hosts one of the world's largest industrial complexes with an approximate annual NO_x emission of $12(4) \times 10^3$ t (Jaffe et al., 1995). NO₂, which is produced by the combustion of fossil fuels, acts as a sink for BrO by forming BrONO2. Thus, interesting anticorrelations between the distribution of BrO and NO₂ could be observed on that day. Due to the large expansion of the flight track in east-west direction, the maps in Fig. 22
- ¹⁰ show only a detail of the flight with the area of Prudhoe Bay. A comparison of measurement data from nadir and forward viewing directions has shown that the tropospheric columns of NO₂ and BrO in the used reference spectra can be neglected. Therefore Eq. (16) was used to convert the displayed data in the maps to tropospheric VCDs by assuming stratospheric BrO and NO₂ VCDs of 5×10^{13} moleccm⁻² (Choi, 2010) and
- ¹⁵ 2.5×10^{15} molec cm⁻² (Wenig et al., 2004), respectively. As can be seen in the maps the highest BrO column densities were seen offshore on that day. The nadir scanner measured BrO dSCDs of up to 1.7×10^{14} molec cm⁻² (VCD_{trop} $\approx 3.7 \times 10^{13}$ molec cm⁻²) and also the forward-looking telescope shows clearly increasing column densities in the same area. At the location of enhanced BrO, the observed NO₂ column densities were
- ²⁰ always close to zero. The opposite effect was found further to the south (①, ③), where high NO₂ dSCDs of about 5.0×10^{16} molec cm⁻² (VCD_{trop} $\approx 8.2 \times 10^{15}$ molec cm⁻²) could be seen by the nadir scanner together with a local BrO minimum. This location is also shown with a higher spatial resolution (binning: $1 \times 8 \Rightarrow$ resolution: $39m \times 137m$) in the magnification view of Fig. 22b. Here, one can clearly see that the NO₂ plume, originating from the industrial complexes of Prudboe Bay, propagates with the wind
- ²⁵ originating from the industrial complexes of Prudhoe Bay, propagates with the wind along the ENE–WSW direction.

The NO₂-enriched air masses at Prudhoe Bay were also seen by GOME-2 (MetOp), which passed over the area only a few hours before HAIDI. Despite this temporal offset



measurements from GOME-2 and HAIDI show a very good agreement in the spatial distribution of NO_2 (see Fig. 23).

7 Conclusions

The newly developed Heidelberg Airborne Imaging DOAS Instrument (HAIDI) has proved to be a powerful tool for atmospheric measurements. Its specially designed 5 spectrographs cover a broad spectral region, ranging from 300 nm to 450 nm (instantaneous coverage for a fixed grating position: 107 nm), with a resolution of about 0.5 nm. This allows to detect a large number of important trace gases like e.g. NO₂, SO₂, BrO, OCIO and O₄ at the same time. Due to the possibility to operate HAIDI with different kinds of entrance optics (pushbroom and whiskbroom scanner), the system can 10 be installed on a variety of aircrafts. Both entrance optics as well as spectrometer and detector are optimized in terms of light throughput and spatial resolution. Thus, by combining multiple viewing directions (e.g. three whiskbroom scanners or one whiskbroom and one pushbroom scanner) the system can map the spatial distribution of the abovementioned trace gases in two and three dimensions on a large scale and with high 15 resolution. For example, when flying at an altitude of 10000 m, the nadir whiskbroom scanner will cover an area of almost 10 km width with a resolution of up to 300 m.

In a total of 18 measurement flights up to now, realized with two different aircrafts, the HAIDI system could yield first valuable measurement results. During a campaign in July 2011 the volcanic emission plume of Mount Etna (Sicily, Italy) was mapped, show

July 2011 the volcanic emission plume of Mount Etna (Sicily, Italy) was mapped, showing BrO/SO₂ ratios of about 1.5 × 10⁻⁴. This value is in good agreement with prior measurements by e.g. Vogel (2011) or Bobrowski et al. (2007). Further flights were conducted in the metropolitan area of Indianapolis (Indiana, USA) in fall of the same year. Here the trace gas mapping could reveal NO₂-enriched air masses originating
 from the city. In addition the approximate NO₂ emission of Indianapolis could be determined to 3.95(62) th⁻¹ on the basis of these measurements. Moreover the HAIDI system participated in the BROMEX campaign in March 2012. During several flights



large-scale BrO distributions could successfully be observed around Barrow, Alaska. Also clear anti-correlations in the spatial distribution of BrO and NO₂ were seen. These measurements can make a significant contribution to improve the general knowledge about polar ODEs.

- ⁵ Future measurements on the research aircraft HALO are planed to be accomplished in 2015. The considerably higher flight altitude and faster cruise speed of HALO in comparison to the here presented flights will allow the HAIDI system to cover much larger areas. This will especially be important to validate and complement global satellite measurements.
- Acknowledgements. The development of HAIDI was funded by the Deutsche Forschungsgemeinschaft (DFG) within the Priority Program (SPP) No. 1294 "HALO" (DFG PF-384 7/1 and 7/2), which is gratefully acknowledged. We acknowledge financial support by Deutsche Forschungsgemeinschaft and Ruprecht-Karls-Universität Heidelberg within the funding programme Open Access Publishing. Furthermore, we are very thankful to the following people for general support and for making the presented measurement campaigne possible:
- ¹⁵ for general support and for making the presented measurement campaigns possible:

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AMTD 7, 2187-2257, 2014 **HAIDI Imaging DOAS** S. General et al. **Discussion** Paper **Title Page** Abstract Introduction Conclusions References Tables Figures Back Close Full Screen / Esc Printer-friendly Version Interactive Discussion

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Table 1. List of conducted measurement campaigns to date, with corresponding time period and number of flights.

Campaign	Base airport	Date of first flight	Date of last flight	Total number of flights
I	Calatabiano, Sicily (Italy)	7 Jul 2011	9 Jul 2011	4
II	West Lafayette, Indiana (USA)	23 Oct 2011	25 Oct 2011	3
III	Barrow, Alaska (USA)	13 Mar 2012	31 Mar 2012	11

Table 2. Advantages and disadvantages of the different remote sensing techniques used by the HAIDI system.

		Y	1,2101-2	2014
Advantages Disadvantages				
Whiskbroom scanner			HAIDI Ima	ging DOAS
		—	S. Gene	eral et al.
 can cover a very wide swath without 	 requires moving parts (scanner) 			
complex entrance optics	 pixel distortion (bow tie effect) 	Scu		
 swath can easily be changed by soft- ware 	 requires more space for installation 	ssion	Title	Page
 no mechanical shutter required 	(motonzed optics)	Pa	Abstract	Introduction
	 movement of instrument and optics 	Iper	Conclusions	References
 system has the same spectral slit function for all viewing directions 	ing geometry more complex	_	Tables	Figures
Pushbroom	scanner	Scu		▶1
		ssio	•	•
- all pixel of a swath are taken at the	 typically requires mechanical shutter 	n P		
same time and under same condi- tions	- readout time (closed shutter) is lost	ape	Back	Close
	for recording signal	-	Full Scre	een / Esc
- no pixel distortion	 separate slit function for each view- 			
- narrow swath can be realized with	ing direction		Printer-frier	ndly Version
optics	 change of swath only possible with change of optics 	SCUSS	Interactive	Discussion

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- no moving parts (scanner)

 Table 3. Characteristics of HAIDI's imaging spectrographs.

Spectrograph characteristics				
Dimensions	24 cm × 20.5 cm × 9.5 cm			
Weight	3.0 kg			
Grating Type	flat field concave holographic			
Configuration	quasi-Littrow			
Grating Diameter	70 mm			
Groove Density	1200 lines mm ⁻¹			
Spectral Range	300 nm to 407 nm (350 nm to 457 nm)			
Dispersion	$4 \mathrm{nmmm^{-1}}$			
Focal length	21 cm			
f-Number	3.2			
typ. Resolution	~ 0.5 nm			



 Table 4. Characteristics of HAIDI's custom-build CCD detectors.

Detector characteristics			
Dimensions	13.0cm × 13.0cm × 12.0cm		
Weight	1.5 kg		
CCD	e2v CCD30-11 (back-illuminated		
Resolution	1024 pixel × 256 pixel		
Image Area	26.6 mm × 6.7 mm		
Cooling	max. 40K below ambient temp.		
Min. Int. Time	10 ms (Full Vertical Binning)		
	297 ms (Full Frame Readout)		
typ. Readout Frequ.	1 MHz		



 Table 5. List of trace gas references used for the DOAS analysis.

No.	Molecule	Reference
1	SO ₂ (298 K)	Vandaele et al. (2009)
2	BrO (298 K)	Fleischmann (2004)
3	BrO (243 K)	Fleischmann (2004)
4	NO ₂ (246 K)	Voigt (2002)
5	NO ₂ (293 K)	Voigt (2002)
6	O ₃ (223 K)	Voigt (2001)
7	O ₃ (246 K)	Voigt (2001)
8	O ₃ (293 K)	Voigt (2001)
9	O ₄ (293 K)	Hermans et al. (1999)
10	HCHO (298 K)	Meller and Moortgat (2000)
11	OCIO (293 K)	Kromminga et al. (1999)



Table 6. List of fitted absorbers and selected wavelength intervals. In Italy two different fit ranges
for SO ₂ were applied, due to non-linearity at high optical densities (Kern et al., 2010; Bobrowski
et al., 2010).

Torract trace and	Wavelength	Fitted abaarbar	Polynomial	Compoint
larget trace gas	range in nm	Fitted absorber	order	Campaign
$SO_2 (c < 5 \times 10^{17})$	310.0322.0	1, 2, 5, 6, 8, 10	3	
$SO_2 (c > 5 \times 10^{17})$	314.8326.8	1, 2, 5, 6, 8, 10	3	Italy 2011
BrO	332.8364.0	1, 2, 5, 6, 8, 9, 10, 11	3	
NO ₂	358.0387.0	1, 5, 9, 11	3	
NO ₂	358.0387.0	5, 9	3	USA 2011 (Indiana)
BrO	336.0365.0	3, 4, 6, 7, 9, 10	3	USA 2012
NO ₂	358.0387.0	4, 9	3	(Barrow)



Table 7. Influence of scan binning on the spatial resolution and detection limit of the nadir scanner (whiskbroom). The first value of the binning is the amount of included swaths, while the second value gives the number of co-added scans within each of these swaths. The spatial resolution $(P_{\parallel} \times P_{\perp})$ is calculated by Eqs. (1) and (2) for average flight conditions ($h_{agl} = 1500$ m, $v_{air} = 55 \text{ m s}^{-1}$). The spectra for determining the detection limits were taken from the same time intervals as the fits shown in Figs. 12 and 13.

Trace	Scan	Spatial resolution	Total integration	RMS of	Detection limit
gas	binning	per pixel in m	time in s	residual	in molec cm ⁻²
BrO	1 × 8	39 × 137	0.2	5.41×10^{-4}	7.04 × 10 ¹³
BrO	2 × 16	181 × 234	0.6	2.78×10^{-4}	3.63 × 10 ¹³
BrO	4 × 16	465 × 234	1.3	2.24×10^{-4}	2.93 × 10 ¹³
BrO	4 × 32	465 × 429	2.6	1.89×10^{-4}	2.47 × 10 ¹³
NO ₂	1 × 8	39 × 137	0.2	6.08×10^{-4}	5.60 × 10 ¹⁵
NO ₂	2 × 16	181 × 234	0.6	3.77×10^{-4}	3.47 × 10 ¹⁵
NO ₂	4 × 16	465 × 234	1.3	3.34×10^{-4}	3.07 × 10 ¹⁵
NO_2	4 × 32	465 × 429	2.6	3.06×10^{-4}	2.81 × 10 ¹⁵





Table 8. List of flights from which data is presented in this article.

Location	Date	Flight duration (UTC)	Flight duration (LT)	SZA in $^\circ$
Mt. Etna, Sicily (Italy)	9 Jul 2011	08:00-10:30	10:00-12:30	4217
Indianapolis, Indiana (USA)	24 Oct 2011	16:31-19:00	12:31-15:00	5456
FILUTIOE Day, Alaska (USA)	31 IVIAI 2012	00.25-03.45	10.20-19.40	0902



Fig. 1. The hyperspectral cube is an often used schematic to visualize the different recoding techniques in hyperspectral imaging. Two dimensions of the cube represent the spatial dimensions of the image (x, y), while the third dimension is the included spectral information $(I(\lambda))$. For spectral images the whole cube has to be scanned. As can be seen, the whiskbroom technique can only acquire one pixel at a time with spectral information. So, scanning in two spatial dimensions is necessary to take spectral images. The pushbroom technique records a whole swath with spectral information at once and needs only scanning in one spatial dimension. A camera equipped with band-pass filters can take both spatial dimensions at once but will only contain spectral information from a very narrow wavelength range.





Fig. 2. Schematic showing the general measurement principle of the HAIDI system using a whiskbroom scanner in nadir direction and a pushbroom scanner in forward direction. The instantaneous field of view of the two telescopes (α_{inst} , γ_{inst}) is marked in red. While the whiskbroom scanner uses a sweeping prism to rotate the viewing direction of the nadir telescope by angle β across track direction, resulting in the total FOV α_{tot} (green), the forward-looking telescope observes multiple viewing angles at once.





Fig. 3. Setup of HAIDI's nadir scanner (whiskbroom scanner). A sweeping prism guides the light from different viewing directions to the telescope of the system. From there the light passes through a fiber optic cable to the spectrograph. Hardware control and data acquisition is done by a computer which is connected via USB.





Fig. 4. Simulation of the nadir scanners GFOV for two sequent swaths. The direction of the scan is from right to left (along the green line) while the aircraft travels in the direction of the positive y-axis at x = 0 (dashed line). Each swath is subdivided in several segments, which indicate the area covered by the scanners GIFOV during the integration time of a single scan. Since there is no delay between scans, the GFOV of succeeding scans overlaps (displayed in darker colors). The areal coverage can be adapted to the flight conditions by altering the total number of scans per swath $n_{\text{scan, total}}$. The GFOV in the lower subfigure is calculated for a total number of 32 scans per swath while the upper one uses the maximum of 128 scans per swath. All remaining parameters are the same for both figures: $v_{\text{air}} = 55 \,\text{ms}^{-1}$, $h_{\text{agl}} = 1500 \,\text{m}$, $t_{\text{back}} = 250 \,\text{ms}$, $t_{\text{int}} = 20 \,\text{ms}$.





Fig. 5. Setup of HAIDI's forward-looking telescope (pushbroom scanner). The individual fibers of the fiber optics bundle, which connects the telescope with the imaging spectrograph, are arranged to a slit on both sides. This leads to 31 simultaneous viewing directions/elevation angles. A mechanical shutter protects the detector from incident light during the readout process. Hardware control and data acquisition is done by a computer which is connected via USB.







Fig. 6. Schematic of the HAIDI instrument rack with three spectrographs and detectors installed. The Air-to-Air Peltier temperature stabilization controls the temperature inside the gray shaded volume. Since the detectors have a separate cooling for the CCD, which produces considerable amounts of heat, they are located outside the temperature stabilized section.



Fig. 7. Opened HAIDI imaging spectrograph showing the diffraction angles of the grating for different wavelengths (300 nm (violet), 400 nm (blue), 500 nm (green)) and orders. Due to the concave grating the spectrograph works on the basis of the Rowland circle. For an optimized imaging on flat surfaces (e.g. CCD) the shape of the Rowland circle is slightly altered by a special groove pattern of the grating (flat-field correction). This is why the entrance slit is not located exactly on the illustrated circle. Installed light traps are marked in red.





Fig. 8. Mercury emission lines between 300 nm and 407 nm taken by HAIDI's detector in full frame readout mode: **(a)** Intensity plot showing the image of the entrance slit for different emission lines. **(b)** Mercury lines plotted for different rows of the CCD (see markers in **a**). The full width at half maximum (FWHM) of the individual lines is given in the upper right corner of the plots. As can be seen, the peak width increases slightly towards the edges of the CCD, resulting in a lower spectral resolution. This is due to Petzval field curvature (Kidger, 2002). However, the double emission line 312.57 nm/313.17 nm can still be resolved for all CCD rows.





Fig. 9. Results from a linearity test of HAIDI's detectors. The shown counts ms^{-1} are normalized to a reference value at an intensity level of 30 000 counts. **(a, b)** Measured counts per millisecond in full vertical binning (left) and full frame readout mode (right). **(c, d)** Linearity of the analyzed pixel after correction by a second-order polynomial. **(e, f)** Histogram of the corrected measurements.





Fig. 10. Results from a noise test of the HAIDI system plotted on a double-logarithmic scale. Scans with an integration time of 40 ms were co-added to get a larger number of photons (approximated values). The resulting noise is given as RMS and peak-to-peak (PtP) value. For comparison also the pure photon noise (red curve) is plotted, which is given by the square root of the number of photons and represents the lowest achievable noise. The evaluation of the data was done in the wavelength region between 350 nm and 400 nm.





Fig. 11. Development of the noise for spectra with 1000 co-added scans and increasing temporal distance. Within a few hours a slight increase in noise could be observed. Since photon noise does not change with time, the increase must have its origin in a changed instrument noise. The red line shows a polynomial fit of second order.



















Fig. 14. Simple geometric approximation of the optical light path for the nadir looking whiskbroom scanner. Due to clear sky conditions and low flight altitudes ($\sim 1500 \text{ m}$) most of the collected light is scattered on the ground. The length of the optical light path is determined by the factors A_1 and A_2 .



Fig. 15. BrO box AMFs calculated for the different viewing directions of HAIDI's forward-looking telescope.



(a)



Fig. 16. Installation of HAIDI on an ultralight aircraft during the measurements on Sicily, Italy: **(a)** top view sketch of the CTLS baggage compartment with HAIDI installed. The entrance optics of the instrument are located outside the aircraft. While the nadir scanner (red) is placed close to the aircraft fuselage, the forward-looking telescope (blue) is mounted to an extension arm to get a free line of sight. **(b)** Picture of the CTLS showing the location of the entrance optics (red arrow).







(b)



Fig. 17. Instrumental setup for measurement flights with the ALAR research aircraft from Purdue University: (a) sketch showing HAIDI's instrument rack and the aluminum box with the entrance optics. Each viewing direction is equipped with additional webcams to record weather and surface conditions. (b) ALAR research aircraft with HAIDI installed. The red arrow is pointing to the box with the entrance optics of the instrument, which is connected to the spectrographs and detectors inside the aircraft via fiber optic cables going through the fuselage of the aircraft.





Fig. 18. Planed instrumental setup for operation on the German research aircraft HALO: (a) Schematic showing the three whiskbroom scanners installed on a HALO instrument plate. The whiskbroom scanners are pointed to different directions in order to enable the recording of vertical profiles; one in nadir direction and the other two tilted by $\pm 45^{\circ}$ in flight direction. HAIDI's instrument rack and additional computers for data acquisition will be installed in a HALO standard rack. (b) Picture of HALO in flight (DLR, 2013), showing the preferred location for HAIDI in the rear (red arrow).





Fig. 19. Maps showing the spatial distribution of SO₂ and BrO measured by HAIDI's nadir scanner on 9 July 2011. Only data taken at altitudes greater than 3300 m is displayed here. The wind direction was taken from sounding balloon data (UWYO, 2011). \star : position of in-flight reference spectrum, \star : location of the NSEC.





Fig. 20. Detail of the flight on 24 October 2011 showing measured NO_2 column densities plotted into a relief map of the area around Indianapolis (Indiana, USA). The displayed data was taken between 17:07 and 18:31 UTC with a binning of 1 × 128. Clearly visible is the NO_2 plume originating from Indianapolis with its coal-burning power plants blowing downwind. For a better overview only data for flight altitudes below 1000 m are plotted here.



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Fig. 21. Time series of measurement data from the flight on 31 March 2012 between 00:25 and 03:45 UTC. Shaded in gray is the section of the flight around Prudhoe Bay, Alaska, which is also shown in Fig. 22. Forward (color-coded points) and nadir (solid black lines) measurements show enhanced BrO over the sea ice, around Northstar Island (⁽²⁾) and an anti-correlation with the observed NO₂ values (⁽¹⁾, ⁽³⁾). Errors and detection limits are plotted in gray. \star : time of in-flight reference spectrum for nadir scanner.





Fig. 22. Maps showing a part of the data from the flight to Prudhoe Bay on 31 March 2012 (01:31–02:30 UTC, gray shaded section in Fig. 21), measured by HAIDI's nadir scanner. Noticeable in the observed trace gas distribution is an anti-correlation between BrO and NO₂ column densities (①, ②, ③). The background shows a true color satellite image taken from MODIS (Aqua) on the same day with a resolution of 250 m (NASA, 2012).





Fig. 23. Comparison of NO₂ VCDs around Prudhoe Bay, measured by GOME-2 (DLR, 2012) and HAIDI: (a) the GOME-2 satellite swath covered Prudhoe Bay on 30 March at 21:02 UTC, 4.5 h prior to the measurements with HAIDI. As can be seen the satellite data shows a large NO₂ plume propagating in wind direction. Measurements from HAIDI are indicated by color-coded dots. (b) The enlarged view shows that enhanced NO₂ was only seen onshore. Due to favorable pixel positions in the satellite swath this can also be confirmed by the GOME-2 measurements, which have a much lower resolution.

