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Recent developments in high resolution, time-of-flight chemical ionization mass spectrometry (HR-ToF-CIMS) have made possible the direct detection of atmospheric organic compounds in real-time with high sensitivity and with little or no fragmentation, including low volatility, highly oxygenated organic vapors that are precursors to secondary organic aerosol formation. Here, for the first time, we examine gas-phase O₃ and OH oxidation products of α -pinene and naphthalene formed in the PAM flow reactor with an HR-ToF-CIMS using acetate reagent ion chemistry. Integrated OH exposures ranged from 1.2×10^{11} to 9.7×10^{11} molec cm⁻³ s, corresponding to approximately 1.0 to 7.5 days of equivalent atmospheric oxidation. Measured gas-phase organic acids are similar to those previously observed in environmental chamber studies. For both precursors, we find that acetate-CIMS spectra capture both functionalization (oxygen addition) and fragmentation (carbon loss) as a function of OH exposure. The level of fragmentation is observed to increase with increased oxidation. We present a method that estimates vapor pressures of organic molecules using the measured O/C ratio, H/C ratio, and carbon number for each compound detected by the CIMS. The predicted condensed-phase SOA average acid yields and O/C and H/C ratios agree within uncertainties with previous AMS measurements and ambient CIMS results. While acetate reagent ion chemistry is used to selectively measure organic acids, in principle this method can be applied to additional reagent ion chemistries depending on the application.

1 Introduction

Oxygenated organics are an abundant class of compounds in the atmosphere, representing significant fractions of the total organic mass in the gas, particle, and cloud droplet phases (Goldstein and Galbally, 2007; Zhang et al., 2007; Jimenez et al., 2009). Much of the oxygenated organic mass is secondary in origin, generated from the

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5 elemental composition (Jayne et al., 2000; Canagaratna et al., 2007; DeCarlo et al., 2006; Aiken et al., 2007). The harsh electron ionization of the HR-ToF-AMS limits its speciation capability and introduces uncertainty in its elemental ratio calculation (Chhabra et al., 2011; Farmer et al., 2010; Aiken et al., 2008). Thus, improvements to

10 high-resolution mass spectrometry of atmospherically relevant high molecular weight compounds have sought to combine fast online detection with soft ionization sources (Zahardis et al., 2011).

Recently, the TOFWERK HTOF has been paired with various chemical ionization sources to allow for the sensitive detection of organic compounds at high time res-
15 olutions (≤ 1 s) with little to no molecular fragmentation. Chemical ionization sources employ specific reagent ions to initiate reactions that ionize analyte species; different reagent ions tend to be selective to different classes of compounds. The use of acetate chemical ionization mass spectrometry (acetate-CIMS) as a way to quantitatively mea-
20 sure organic acids was first demonstrated by Veres et al. (2008) using a quadrupole mass spectrometer. Veres et al. (2010) used the acetate-CIMS technique to measure acids of carbon number (n_C) 1 to 4, benzenediols, and inorganic acids from biomass burning, and Veres et al. (2011) used acetate-CIMS to measure small organic acids produced in urban air. Bertram et al. (2011) first described the use of a low mass resolution ($R = 900$) time-of-flight CIMS which, unlike the quadrupole mass spectrom-
25 eter, could acquire whole mass spectra at high time resolutions. Yatavelli et al. (2012) demonstrated the potential of a high mass resolution (HTOF, $R = 4000$) acetate-CIMS to measure a large range ($1 \geq n_C \geq 30$) of organic acids in both particle and gas phases from α -pinene ozonolysis and subsequently used it in a remote forest atmosphere (Yatavelli et al., 2014). Aside from traditional organic acids the technique has also been used to measure water-soluble organics compounds (WSOC) generated from α -pinene ozonolysis (Aljawhary et al., 2013) and nitrophenols from biomass burning (Mohr et al., 2013). The ability of the HTOF to acquire whole mass spectra at high time and mass resolutions represents a substantial improvement over quadrupole technology.

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As soft ionization time-of-flight mass spectrometry techniques become more widely used to study gas- and aerosol-phase organics, methods will be needed to relate the many different species depicted in complex mass spectral data to the physical properties of the detected species. Volatility, expressed as vapor pressure, p^0 , or saturated mass concentration, c^* , is an important property that governs whether a species partitions into the condensed phase. There has been much effort to relate HR-AMS data to volatility; thermal denuder measurements and dilution experiments with the AMS have been used to constrain the volatility of organic aerosol (Cappa and Jimenez, 2010; Cappa, 2010; Huffman et al., 2009). The lack of carbon number information from AMS data, a key input in volatility prediction models, may produce uncertainty. Soft ionization high-resolution mass spectrometry retains full molecular information in its spectra, i.e. the chemical formula, $C_xH_yO_z$, of unfragmented parent molecules can be obtained. Thus it has the potential to provide the inputs for more accurate volatility estimations.

In this study, we explore the high-resolution acetate-CIMS spectra of photochemically produced organic acids and predict the volatility of the species detected. Acids are of particular interest because carboxylic acid functionality dramatically reduces the vapor pressure of its parent molecule and also represents the oxidative endpoint for a terminal carbon before fragmentation. Carboxylic acids also contribute a significant portion of total SOA mass (Ng et al., 2011; Vogel et al., 2013). We choose to examine the α -pinene, and naphthalene systems because their gas-phase compositions and mechanisms have been studied in detail, and various acids have been identified in each system (Yu et al., 1999; Claeys et al., 2013; Kautzman et al., 2009). Using the Van Krevelen diagram (hydrogen-to carbon ratio, H/C, plotted against the oxygen-to-carbon ratio, O/C) and Kroll diagram (oxidation state, OS_C plotted vs. carbon number, n_C), we identify small organic acids and tracer compounds and examine the changing distributions of carbon as a function of OH-exposure for the first time using the Potential Aerosol Mass (PAM) flow reactor. We note that while the HR-ToF-CIMS can detect many different mass-to-charge ions, the exact quantification of the concentration of these identified compounds requires the calibration for many individual species which

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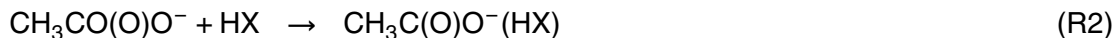
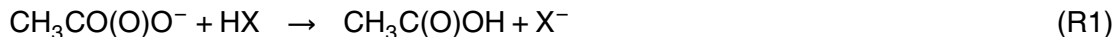
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can be impractical. This will be an on going developmental issue with the HR-ToF-CIMS systems. Our approach is to use a sensitivity value and the uncertainty it introduces will be discussed. Additionally, we develop an algorithm to estimate the volatility of the species detected in each system and discuss the implications of the results.

2 Experimental method and data analysis

2.1 Acetate-CIMS

The Aerodyne HR-ToF-CIMS, hereby referred to as the CIMS, using acetate reagent ion chemistry has been described in detail in previous publications (Bertram et al., 2011; Yatavelli et al., 2012). Sample from the PAM reactor is drawn through a critical orifice at 2.0 L min^{-1} into the ion-molecular reaction (IMR) chamber. Acetate reagent ions are generated by bubbling approximately 200 sccm of N_2 through a reservoir of acetic anhydride, diluting the flow to 2 L min^{-1} with N_2 and passing it through a commercial ^{210}Po alpha emitter (P-2021, NRD) before introducing it into the IMR orthogonally to the sample flow (Veres et al., 2008). Within the IMR, acetate ions abstract protons from acids having gas-phase acidities greater than that of acetic acid or cluster with gas-phase species to form adduct ions, as shown in Reactions (R1) and (R2).



The IMR (100 mbar) is coupled to the HTOF mass analyzer (1×10^{-7} mbar) by a series of differentially pumped stages that includes DC and RF focusing optics (AP interface). The first pumping stage contains a segmented, RF-only quadrupole operated at 2 mbar. Voltages in this quadrupole can be tuned to transmit (weak field) or dissociate (strong field) or non-covalent clusters. In these experiments, voltages were adjusted in order to minimize the clustering, as indicated by the by the signal at m/z 119 (acetic

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acid acetate cluster, $C_4H_7O_4^-$). As a result, during normal operation, the ratio between m/z 59 (acetate reagent ion, $C_2H_3O_2^-$) and m/z 119 was approximately 5 to 1.

The ion source is coupled to a TOFWERK HTOF, identical to the mass spectrometer of the Aerodyne HR-ToF-AMS (DeCarlo et al., 2006; Canagaratna et al., 2007). The HTOF is capable of running in both positive and negative polarities but only the negative polarity was operated due to the reagent ion scheme. As with the Aerodyne HR-ToF-AMS (henceforth referred to as the AMS), the CIMS can be operated in a higher sensitivity, lower resolution “V-mode”, or a lower sensitivity, higher resolution “W-mode”. All experiments presented here were performed in “V-mode”, except for isoprene ozonolysis which was performed in “W-mode”. Although the HTOF is identical, the effective resolution of the CIMS is better than the AMS since the ion source is cooler in the former. In these experiments, the achieved resolution was approximately 4000.

A formic acid sensitivity calibration of the acetate-CIMS was conducted by flowing N_2 over a constant temperature permeation tube which itself was calibrated using an Aerodyne Quantum Cascade Laser Tracer Gas Monitor (Herndon et al., 2007). We determined a sensitivity of 5.5 Hz ppt^{-1} at an extraction frequency of 16.7 kHz, similar to the sensitivity 3 Hz ppt^{-1} at an extraction frequency of 25 kHz found by Yatavelli et al. (2012).

2.2 Organic vapor and aerosol production

Figure 1 shows a schematic of the experimental setup. Oxidized organic vapors were generated in a Potential Aerosol Mass (PAM) flow reactor (Kang et al., 2007; Lambe et al., 2011a). The PAM reactor is a horizontal 13.3 L glass cylindrical chamber through which a carrier gas of N_2 and O_2 flowed at rates of 8.5 and 0.5 L min^{-1} , respectively. Four mercury lamps (BHK Inc.) with peak emission intensity at $\lambda = 254 \text{ nm}$ are mounted in teflon-coated quartz sleeves inside the chamber and are flushed continuously with N_2 . The CIMS was connected to the outlet of the PAM reactor with 0.635 cm (1/4 in.) OD, 0.476 cm (3/16 in.) ID PFA tubing, approximately 0.46 m (1.5 ft.) long and was

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heated to 200 °C. These conditions were chosen to maintain laminar flow and to minimize condensation of organic vapors within the tube.

OH radicals were produced via the reaction $\text{O}_3 + h\nu \rightarrow \text{O}_2 + \text{O}(^1\text{D})$ followed by the reaction $\text{O}(^1\text{D}) + \text{H}_2\text{O} \rightarrow 2\text{OH}$. O_3 was generated by irradiating O_2 in the carrier gas flow with a mercury pen-lamp ($\lambda = 185 \text{ nm}$) before it entered the PAM reactor. Ozone concentrations were measured using an O_3 monitor (2B Technologies); O_3 ranged between 5 ppm at the highest OH exposures to 6 ppm for ozonolysis experiments. Water vapor ($\sim 30\%$) was introduced by humidifying the carrier N_2 flow using a Nafion membrane humidifier. OH exposure was varied by changing the voltage applied to the PAM reactor lamps between 0 and 110 V. The OH exposure was calculated by measuring the decay of (^2H)formic acid (measured at $m/z = 46$, as deuterated formate ion DCOO^-) introduced into the PAM reactor with a permeation tube assuming $k_{\text{OH}} = 4.62 \times 10^{-13} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ (Wine et al., 1985).

Typical OH exposures ranged from 1.2×10^{11} to $9.7 \times 10^{11} \text{ molec cm}^{-3} \text{ s}$ which is equivalent to 1.0–7.5 days of atmospheric oxidation assuming an average atmospheric OH concentration of $1.5 \times 10^6 \text{ molec cm}^{-3}$ (Mao et al., 2009). Ozonolysis experiments were performed by turning the UV lamps off. OH exposures in units of $\text{molec cm}^{-3} \text{ s}$ and equivalent atmospheric exposure times in “OH Days” are listed in Table 1. Before each experiment, the PAM flow reactor was conditioned with OH radicals until a particle background of less than 10 particles cm^{-3} was achieved.

The VOC precursors investigated in this study were α -pinene and naphthalene. α -pinene was prepared in compressed gas cylinders at known concentrations and introduced into the PAM reactor at controlled rates using a mass-flow controller. Naphthalene vapor was introduced by flowing N_2 over solid naphthalene placed in a Teflon tube (Chan et al., 2009). Naphthalene concentrations listed in Table 1 are estimated from equilibrium vapor pressures at 25 °C. The VOC concentrations that were used resulted in particle mass loadings $\leq 7 \mu\text{g m}^{-3}$ (assuming SOA density of 1.4 g cm^{-3}), or approximately $\sim 3\%$ of the total organic carbon. Thus, the influence of slowly evaporating organic aerosols in the heated sample line after the PAM chamber on total

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gas-phase CIMS measurements is likely minimal under these conditions. Additional uncertainties in the measurements due to PAM-specific issues, such as direct photochemistry, wall effects, and high OH concentrations, have been discussed in detail in Lambe et al. (2011a, 2012).

2.3 Data analysis

Spectral data were analyzed using the Tofware (TOFWERK and Aerodyne) toolkit, developed for the IGOR Pro 6.x analysis software (Wavemetrics, Lake Oswego, OR, USA). Similar to the Squirrel and PIKA toolkits developed for Aerodyne AMS data, the Tofware software can index, preprocess (m/z calibration, baseline correction, and peak interpretation) and integrate raw mass spectra to produce unit mass resolution (UMR) data or take advantage of the high resolution capability of the HTOF to assign signal contributions to individual ions with different elemental compositions. The latter method allows for the calculation of bulk elemental ratios ($\overline{O/C}$, $\overline{H/C}$), carbon numbers ($\overline{n_C}$), and carbon oxidation states ($\overline{OS_C}$) (Kroll et al., 2009). Here, we calculated $\overline{O/C}$, $\overline{H/C}$, $\overline{OS_C}$, and $\overline{n_C}$ values assuming all measured ions are (1) produced from reactions with the acetate reagent ion (Reaction R1 and R2) and (2) have the same ionization efficiency as formic acid.

All HR mass spectra were blank corrected using measurements of the PAM reactor outflow in the absence of VOC precursors. Spectra were normalized to the acetate reagent ion signal at m/z 59 to account for variations in the source and analyte loadings. We assumed that all identified peaks were molecular anions formed from R1 (M^-) or cluster ions formed from R2 ($CH_3C(O)O^-(MH)$); the latter were corrected using the method described below. Since no chemically labile nitrogen was added to the experiments, it was assumed no nitrogen containing molecules were generated in the PAM. Thus, ions with even nominal masses were not included in the fitted peak list except for even-mass isotopes of odd-mass parent ions, constrained by their natural abundances. We estimate that less than 7% of the signal at even masses are organic ions. Using

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these criteria, approximately 350 molecular ions were fitted in each system. Of the total number of fitted ions, only those which had a blank subtracted signal greater than one standard deviation (1σ) of the time-averaged signal were included in the analysis, approximately 100–200 ions.

Adduct ion signals in the CIMS spectra have the potential to bias the measured composition toward higher molecular weight species. However, because of the elemental composition of acetate, distinguishing adduct ion signal from true molecular ion signal is not straightforward. To estimate adduct ion contributions, we first define that a non-clustered “base” ion has a molecular formula i , and when the acetate mass is added, its formula is $i + \text{C}_2\text{H}_4\text{O}_2$. We denote the adduct ion as i' and a non-clustered ion at the same mass as j . The signal at the clustered mass, $I_{i+\text{C}_2\text{H}_4\text{O}_2}$ is equal to the sum of the adduct ion signal $I_{i'}$ and non-adduct ion signal I_j :

$$I_{i+\text{C}_2\text{H}_4\text{O}_2} = I_{i'} + I_j \quad (1)$$

We assume that across all experiments of a given precursor, the ratio of $I_{i'}$ to I_j is constant and is no more than the ratio of signal at m/z 119 to signal at m/z 59, or 0.2. The ratio of $I_{i+\text{C}_2\text{H}_4\text{O}_2}$ to I_j represents an upperbound to this value. Thus across all experiments of a given precursor, the minimum ratio of $I_{i+\text{C}_2\text{H}_4\text{O}_2}$ to I_j or 0.20, whichever is smaller, is used to approximate the ratio of $I_{i'}$ to I_j . In other words:

$$I_{i'} \approx \min \left\{ \frac{I_{i+\text{C}_2\text{H}_4\text{O}_2}}{I_j}, 0.2 \right\} \times I_j \quad (2)$$

To correct for clustering, the estimated value of $I_{i'}$ calculated from Eq. (2) is added back to I_j and subtracted from $I_{i+\text{C}_2\text{H}_4\text{O}_2}$. The effect of this correction on bulk composition values is minimal, on the order of a couple percent. Figures S1 and S2 in the Supplement illustrate how much signal in example spectra is estimated to be from clustering and redistributed to base ion signals.

CIMS spectra of oxidized organic vapors produced from naphthalene contained contaminant ion signals from the α -pinene system. To remove these ions, first an α -pinene

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spectra corresponding to the nearest exposure and normalized to the $C_9H_{14}O_3^-$ ion was subtracted from the naphthalene spectra. Subsequently the remainder of ions that could be exclusively attributed to α -pinene ($n_C \geq 6$ and $H/C > 1$, supported by the spectral separation in Van Krevelen space illustrated in Fig. 3) were removed.

2.4 Volatility estimation method

In this work, we use SIMPOL.1 (Pankow and Asher, 2008) to predict the vapor pressures of the species detected by the acetate-CIMS. The challenge in applying SIMPOL to conventional electron ionization mass spectra, e.g. AMS, is that the average carbon number ($\overline{n_C}$) and functional group composition needed as inputs are both typically unknown. This makes it necessary to calculate $\overline{n_C}$ indirectly, which introduces additional uncertainty (Daumit et al., 2013; Donahue et al., 2011; Kessler et al., 2010, 2012). Simplifying assumptions must also be made about the functional group composition and their effect on vapor pressure (Donahue et al., 2011; Cappa and Wilson, 2012). However, soft ionization techniques such as acetate-CIMS generate mass spectra that retain the unfragmented parent ions; thus, molecular formulas are known. This makes determination of the functional group composition more straightforward.

Here, we expand upon the work of Daumit et al. (2013) to determine functional group composition with additional constraints provided by high-resolution acetate-CIMS. Because acetate ionization is sensitive to acids, we assume at least one carboxylic acid functionality is present provided there are at least two oxygens in the molecule and at least one site of unsaturation. The number of sites of unsaturation is determined by the double bond equivalency (DBE, i.e. degrees of unsaturation) formula:

$$DBE = 1 + n_C - \frac{1}{2}n_H \quad (3)$$

The remaining sites of unsaturation are referred to as DBE_r (which is one less than DBE if there is an acid group present). We assume that the remaining oxygen, n_{rO} (which is two less than the total if there is an acid group present), are either contained

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3 Results

3.1 CIMS Spectra, $\overline{O/C}$ and $\overline{H/C}$ ratios, $\overline{n_C}$

Figure 2 displays representative unit mass resolution spectra obtained from α -pinene ozonolysis and naphthalene photooxidation conditions in the PAM reactor. In all cases the largest signals are observed at m/z 45, 73, 75, and 87, which correspond to formic acid, glyoxylic acid, glycolic acid, and pyruvic acid respectively. These small acids form from the oxidative fragmentation of the parent VOC and their prominence in acetate-CIMS spectra has been observed by Yatavelli et al. (2012).

Analyzing the mass spectra shown in Fig. 2 in high resolution allows for calculation of average oxygen-to-carbon and hydrogen-to-carbon ratios ($\overline{O/C}$ and $\overline{H/C}$), average carbon oxidation states ($\overline{OS_C}$), and average carbon numbers ($\overline{n_C}$). Table 1 presents $\overline{O/C}$, $\overline{H/C}$, $\overline{OS_C}$, and $\overline{n_C}$ for all of the studied conditions. In general, these parameters follow the expected trends as a function of precursor type and OH exposure. First the $\overline{n_C}$ values of α -pinene ($\overline{n_C} = 3.06\text{--}4.31$) and naphthalene ($\overline{n_C} = 2.88\text{--}5.00$) oxidation products span similar ranges with the $\overline{OS_C}$ values of naphthalene being generally higher than those of α -pinene, especially at low OH exposures. This is consistent with naphthalene itself having a higher $\overline{OS_C}$ value than α -pinene. Second, the average $\overline{OS_C}$ and $\overline{O/C}$ for α -pinene and naphthalene oxidation products increase with increasing OH exposure while the average $\overline{n_C}$ decreases with OH exposure. Third, α -pinene oxidation products have higher $\overline{H/C}$ ratios than naphthalene, consistent with previous studies (Chhabra et al., 2011; Lambe et al., 2011b).

3.2 Van Krevelen diagram

A Van Krevelen diagram can be used to plot the corresponding $\overline{H/C}$ and $\overline{O/C}$ ratios of ions presented in the mass spectra. Figure 3a shows a Van Krevelen diagram

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corresponding to the α -pinene ozonolysis spectrum presented in Fig. 2a. Markers are numbered and colored by n_C , sized by the ion signal intensity, and weighted by n_C to emphasize the distribution of carbon across the spectra. Identified ions with known chemical formulae are marked with gray circles and squares in Fig. 3a (squares: (Yu et al., 1999); circles: (Claeys et al., 2013); names and structures are given in Fig. S3 in the Supplement). Figure 3a illustrates those ions with $n_C = 1$ to 12 are measured by the acetate-CIMS for α -pinene ozonolysis. Much of the carbon ($\sim 46\%$) is characterized by molecules with $O/C = 0.3$ to 0.7 and $n_C = 7$ to 10 , including ions corresponding to well-characterized gas-phase oxidation products such as $C_{10}H_{16}O_3$ (pinic acid) and norpinonic acid ($C_{10}H_{14}O_3$), which by themselves contribute $\sim 14\%$ of the measured carbon. Other $n_C = 10$ ions are also detected, with O/C values as high as 0.7 such as $C_{10}H_{16}O_7$ and $C_{10}H_{14}O_7$. Highly oxidized ions with these formulas have been previously measured by Ehn et al. (2012) in ambient and chamber experiments. The acids identified by Yu et al. (1999) contribute approximately 20% to the measured carbon of acidic α -pinene ozonolysis products. Acids identified by Claeys et al. (2013) such as 3-methyl-1,2,3-butanetricarboxylic acid (MBTCA, $C_8H_{12}O_6$) and terebic acid ($C_7H_{10}O_4$) have smaller contributions on the order of $\sim 3\%$.

Approximately 31% of the carbon is contained in small highly oxidized acids with $n_C = 1$ to 3 and $O/C \geq 1$. Because the relative abundance of these acids increases as a function of OH exposure they are presumably formed following fragmentation of early-generation oxidation products with larger n_C . Small- n_C ions with large signals include CH_2O_2 (formic acid), $C_2H_2O_3$ (glyoxylic acid), and $C_3H_4O_3$ (pyruvic acid) which represent 26% of the total carbon measured for α -pinene ozonolysis products. We measured a formic acid molar yield of 10% , which is similar to the molar yield of $7.5 \pm 0.7\%$ reported by Lee et al. (2006).

The Van Krevelen diagram of naphthalene is shown in Fig. 3b. Measured naphthalene oxidation products that have been observed in previous chamber studies (depicted by gray square boxes, structures and names given in Fig. S4 in the Supplement) represent at most 33% of the carbon and include $C_8H_6O_3$ (phthalaldehydic acid), $C_8H_6O_4$

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(phthalic acid), and $C_{10}H_8O_3$ (formylcinnamic acid) (Kautzman et al., 2009). We measured several oxidation products with $n_C = 9$ and 10, including $C_9H_6O_4$ and $C_{10}H_8O_5$ that to our knowledge have not been previously identified. In naphthalene spectra, a strong $C_4H_5O_4$ ion signal was detected that corresponds to a previously unidentified compound. Two possible structures are (1) a diacid with two saturated, unoxygenated carbons, or (2) a mono-acid with one double bond and two hydroxyl groups, which may be more plausible.

3.3 Oxidation state vs. carbon number

Figure 4 displays oxidation state as a function of carbon number for α -pinene and naphthalene oxidation products as a function of OH exposure. The utility of plotting OS_C as a function of n_C (i.e. Kroll Diagram) was introduced by Kroll et al. (2011) to provide a visualization of the chemical complexity of atmospheric organics and their corresponding oxidation trajectories. The top inset panels show the fraction of carbon signal as a function of n_C . A multimodal n_C distribution is observed for α -pinene and naphthalene oxidation products (Fig. 4a and b top insets). The mode defined by $n_C = 8-10$ species can be viewed as “functionalized” products where a net addition of oxygen occurs while the carbon backbone of the precursor is mostly retained (Lambe et al., 2012; Kroll et al., 2009). Figure 4a and b shows that the fraction of acids with $n_C = 8-10$ decrease as a function of OH exposure. The fact that this decrease is directly correlated with an increase in the fraction of acids with $n_C < 4$ suggests that the $n_C < 4$ species are largely produced by fragmentation processes in which carbon-carbon bond cleavage occurs during oxidation of the $n_C = 8-10$ species.

Several other precursor-specific features are evident from Fig. 4. First, as is illustrated by the marker size in the main panel and curves in the right panel, CIMS signals peak at OH exposures of 3.7×10^{11} and 7.0×10^{11} molec cm^{-3} s for naphthalene and α -pinene oxidation products, respectively. Second, the carbon distribution of naphthalene oxidation products has negligible contributions from molecules with $n_C = 3, 5,$ and 6. This is because naphthalene is unlikely to form pyruvic acid, an $n_C = 3$ acid with

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a methyl group, given naphthalene's aromatic structure. Additionally, naphthalene oxidation is unlikely to form acids with $n_C = 5$ or 6 because fragmentation of 3 or more carbon-carbon bonds is required.

3.4 Calculation of SOA mass from CIMS spectra

We calculated effective saturation concentrations (c^*) for each species shown in Fig. 3 using the algorithm described in Sect. 2.4. Table 2 lists examples of detected species from each precursor system and their corresponding c^* values. As shown, our algorithm estimates pinic acid ($C_9H_{14}O_4$) to have a $c^* = 3.98 \mu\text{g m}^{-3}$ which is in good agreement with the $c^* = 5.34 \mu\text{g m}^{-3}$ measured by Bilde and Pandis (2001). Overall, Pankow and Asher (2008) reported that vapor pressure estimates were within a factor of 2 of experimental values. However uncertainties may be larger for lower volatility species.

To estimate the total mass concentration, M_i of each species i in $\mu\text{g m}^{-3}$ in a spectra, the formic acid sensitivity is applied to all ions using the following equation:

$$M_i = \frac{MW_i I_i}{RT S_{FA}} \times 10^{-6} \quad (12)$$

where I_i is the signal of corresponding ion i in Hz, S_{FA} is the formic acid sensitivity, MW_i is the molecular weight of species i , R is the ideal gas constant ($8.21 \times 10^{-5} \text{ atm m}^3 \text{ mol}^{-1} \text{ K}^{-1}$), T is the temperature in Kelvin. The mass fraction of species i that partitions into the condensed phase is determined using Eq. (13) (Donahue et al., 2006):

$$\xi_i = \frac{1}{1 + \frac{c_i^*}{C_{OA}}} \quad (13)$$

where C_{OA} is the organic aerosol concentration. Here, instead of being a quantity that is solved for iteratively, we assume C_{OA} to take on decadal values of 1, 10 or $100 \mu\text{g m}^{-3}$

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to determine how much of the measured spectra partitions into the condensed phase. The total mass estimated to be in the particle phase, C_{CIMS} is then calculated as:

$$C_{\text{CIMS}} = \sum M_i \xi_i \quad (14)$$

Application of Eqs. (12)–(14) allows for isolation of gas- and condensed-phase components of the CIMS spectra at a specific C_{OA} . Figure 5 plots c^* as a function of n_{C} for α -pinene ozonolysis and α -pinene photooxidation products (OH exposure = 9.7×10^{11} molec cm^{-3} s). Signals are apportioned into separate gas and particle-phase spectra assuming $C_{\text{OA}} = 10 \mu\text{g m}^{-3}$ which is representative of urban conditions (Zhang et al., 2007). Under these conditions, compounds with $c^* = 10 \mu\text{g m}^{-3}$ will partition equally between the gas and particle phases. The factor and arrow in red represents the relative mass scale between gas and particle spectra. For example $\times 10$ between panels A and C indicate that markers of equal area represent 10 times more mass in the gas-phase spectrum. Figure 5 indicates that calculated c^* values decrease with increasing n_{C} and O/C ratio. Specifically, low-volatility $n_{\text{C}} = 7$ – 10 compounds ($c^* = 1$ to $10^{-8} \mu\text{g m}^{-3}$) dominate the particle-phase spectra while higher-volatility $n_{\text{C}} = 1$ – 3 compounds ($c^* = 100$ to $10^6 \mu\text{g m}^{-3}$) dominate the gas-phase spectra. Signals in both phases shift to lower n_{C} and higher O/C ratio with increasing OH exposure. An acid of significant importance in the particle phase at high OH exposures is $\text{C}_6\text{H}_8\text{O}_6$. Though modeled as a diacid, this species may be a $-\text{CH}_2$ homologue of the triacid MBTCA. In total, the modeled particle phase represents 16.5 and 7.0 % of the measured mass and 8.7 and 3.5 % of the measured ion signal for ozonolysis and high OH conditions, respectively. Figure S5 in the Supplement shows c^* as a function of n_{C} for naphthalene oxidation products; in general, similar trends are observed as in the α -pinene system.

3.4.1 Derivation of acetate-CIMS volatility basis set and yields

Figure 6 shows the corresponding volatility basis set plot for α -pinene ozonolysis products measured with acetate-CIMS. Here, the calculated CIMS mass is normalized to

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the mass of α -pinene injected and plotted as a function of c^* (calculated c^* values are binned to the nearest c^* decade). The sum of the blue or green bars represents the aerosol mass fraction at $C_{\text{OA}} = 10$ and $C_{\text{OA}} = 1 \mu\text{g m}^{-3}$ respectively. The c^* bins at the far right of the plot ($\log c^* = 6-7$) are dominated by formic, glyoxylic, and pyruvic acids which contribute 36 % of the total mass measured by the CIMS. Additionally, pinonic acid ($\text{C}_{10}\text{H}_{16}\text{O}_3$) and norpinonic acid ($\text{C}_9\text{H}_{14}\text{O}_3$) contribute significantly to the $\log c^* = 3$ bin.

Aerosol mass fractions (i.e. yields, ξ) were calculated for α -pinene experiments listed in Table 1 and are shown in Fig. 7 as a function of OH exposure. The ξ were calculated using particle-phase acetate-CIMS signals for decadal C_{OA} values of 1 to $100 \mu\text{g m}^{-3}$:

$$\xi_{\text{CIMS}} = \frac{C_{\text{CIMS}}}{M_{\text{pre}}} \quad (15)$$

where M_{pre} is the mass concentration of the injected aerosol precursor. The CIMS yields are compared to previously published yields determined from PAM experiments (Lambe et al., 2011a; Chen et al., 2013) and smog chamber experiments (Ng et al., 2006; Shilling et al., 2008; Eddingsaas et al., 2012). PAM yields as a function of OH exposure are represented by the gray shaded region. For α -pinene ozonolysis experiments conducted in the PAM, SOA yields, ξ_{SOA} , spanned from 11 % to 24 %. These yields applied to the α -pinene precursor concentrations used in this study results in predicted SOA concentrations of 9 to $40 \mu\text{g m}^{-3}$, approximating to the CIMS yield curves with $C_{\text{OA}} = 10$ and $100 \mu\text{g m}^{-3}$. For ozonolysis conditions, our estimate of SOA yields determined by the acetate-CIMS spectra, ξ_{CIMS} , for 10 to $100 \mu\text{g m}^{-3}$ of partitioning mass range from about 2.5 % to 4 %. Since acids are a subset of the entire SOA mass created, we would expect $\xi_{\text{CIMS}} \leq \xi_{\text{SOA}}$. Using a Micro-Orifice Volatilization Impactor (MOVI) inlet with an acetate-CIMS, Yatavelli et al. (2012) estimated 11–34 % of α -pinene ozonolysis SOA mass was acidic, in agreement with measurements by Yu et al. (1999). Using the acid yields for 10 to $100 \text{ molec cm}^{-3}$ s of partitioning mass and the range of SOA yields from PAM experiments, our calculations suggest that

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acids comprise 10–36% of the measured mass of α -pinene ozonolysis SOA and are thereby consistent with the results of Yu et al. (1999) and Yatavelli et al. (2012). We also find that $\xi_{\text{CIMS}}/\xi_{\text{SOA}}$ increases systematically with increasing exposure. This observation is consistent with previous studies demonstrating an increase in acid content of SOA, indicated by the increase in the fraction of m/z 44 (f_{44}), as a function of OH exposure (Lambe et al., 2011b) suggesting that acids are an important class of compounds in aged air masses (Ng et al., 2011). ξ_{CIMS} and ξ_{SOA} increase and subsequently decrease as a function of OH exposure. This trend is consistent with a transition from functionalization- to fragmentation-dominated reaction pathways (Kroll et al., 2009; Lambe et al., 2012) and supports our interpretation of $n_{\text{C}} = 7\text{--}10$ and $n_{\text{C}} < 4$ as tracers for functionalization and fragmentation processes, respectively.

Implicit in the calculation of the CIMS yield curves shown in Fig. 7 is the assumption that the total (sum of gas and particle phases) distribution of acids generated is only a function of OH exposure and is independent of the amount of hydrocarbon injected. In reality, variations in the amount of injected aerosol precursor can introduce non-linearity in the formation of product species, for example, through competition for oxidants or bimolecular reactions of radical products. We ignore such non-linearities here but note that they should be studied in the future.

Naphthalene CIMS yields range from 1–14% with the maximum yield occurring at an OH exposure of 3.7×10^{11} molec cm^{-3} s, thus also exhibiting a transition from functionalization to fragmentation processes. Previous smog chamber studies have estimated that the acid yield of naphthalene under low- NO_x conditions is approximately 24% of the SOA mass acidic in nature (Kautzman et al., 2009). Reasons for this discrepancy are unclear at present.

Uncertainty in SOA mass calculated from CIMS measurements could come from several sources. First, acids produced in the PAM reactor may have different acetate ionization efficiencies than formic acid. Aljawhary et al. (2013) measured the sensitivity of several different acids to acetate ionization, and found they were within a factor of four. Of the acids measured, pinonic acid is the most relevant to our system and

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has a sensitivity approximately double that of formic acid. If this sensitivity is more representative of highly oxidized, lower volatility compounds extracted from acetate-CIMS spectra, then the calculated ξ_{CIMS} is lower by roughly a factor of 2.

Another uncertainty involves the SIMPOL vapor pressure estimation method.

Pankow and Asher (2008) report that calculated vapor pressures were typically within a factor of 2 of measured values. However, this variability is larger at low volatilities due to the lack of experimental vapor pressure measurements to constrain the parameterization. Compernelle et al. (2010) applied seven different vapor pressure estimation methods to a modeling study of SOA formation from α -pinene ozonolysis. They found that while there was general agreement for semi-volatile compounds, the vapor pressures estimated for low-volatility α -pinene acid tracers varied three orders of magnitude, leading to large differences in SOA yields. SIMPOL in particular tended to underestimate vapor pressures than a similar method developed by (Capouet and Müller, 2006) due to the former's equal treatment of primary, secondary, and tertiary alcohols and lower volatilities given to carbon backbones, thus overestimating α -pinene ozonolysis yields in their model. At best, model simulations of α -pinene oxidation and SOA formation have agreed with experimental yields within a factor of 2 (Capouet et al., 2008). Recently, hydroperoxide moieties have been implicated in the composition of highly oxidized, extremely low volatility organic compounds (ELVOC) (Ehn et al., 2014). In the functional group attribution method presented in Sect. 2.4, hydroperoxide moieties are indistinguishable from hydroxyl groups and one $-\text{OOH}$ group would be attributed as two $-\text{OH}$ groups. According to the SIMPOL model, hydroxy and hydroperoxide groups produce similar reductions in volatility ($b_{\text{OH}} = -2.23$ and $b_{\text{OOH}} = -2.49$ in Eq. 11) and thus the existence of hydroperoxide groups in detected molecules would lead to an underestimate in volatility and overestimate in acid yield.

Lastly, a potentially significant uncertainty is the estimation of the contribution of clustering in the high-resolution spectra. Here we set constraints on the ratio of cluster to molecular ion signals based on the assumption that the efficiency of clustering

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the previous measurements to higher OH exposures and include observations of several previously unidentified organic acids, particularly for naphthalene. Particularly they illustrate the concurrent functionalization and fragmentation processes occurring in the gas-phase yielding high and low carbon number acids, the latter dominating the spectra.

In addition, we have presented an approach to estimate the vapor pressures and gas-to-particle partitioning of select organic compounds using HR-ToF-CIMS gas-phase measurements in combination with an algorithm based on the SIMPOL group contribution parameterization method introduced by Pankow and Asher (2008). Previous applications of SIMPOL attempted to use Aerodyne AMS measurements to calculate vapor pressures of organic compounds from their measured elemental ratios (O/C and H/C). However, since functional group information is not available from AMS spectra simplifying assumptions are required which can introduce additional uncertainty (e.g. Donahue et al., 2011). Most recently Yatavelli et al. (2014) applied SIMPOL to MOVI-HR-ToF-CIMS spectra using the acetate reagent ion. They were able to capture the bulk partitioning of species by carbon number by modeling detected compounds as alkanolic acids and adding the remainder of oxygens in the form of different functional groups with hydroxyl groups giving the best agreement. Our approach builds on these studies by incorporating the DBE content of the measured species towards the goal of explicit characterization of oxygen-containing functional groups. This analysis is made possible by the high mass resolution of the TOFWERK HTOF which can resolve the chemical formulas of detected ions.

Our model performs well in predicting the acid contribution to SOA formed from α -pinene ozonolysis compared to previous measurements (Yu et al., 1999; Yatavelli et al., 2012) and to our knowledge, this is the first attempt to estimate the mass of a class of compounds from CIMS spectra using a group contribution model. Semi-explicit model simulations of α -pinene oxidation and SOA formation have agreed with experimental yields within a factor of 2 (Capouet et al., 2008) while models with more degrees of freedom can be tuned to have even greater accuracies (Cappa and Wilson, 2012). Although

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we use SIMPOL parameterizations as a case study, our method can be adapted for the use of different vapor pressure formulation methods (Nannoolal et al., 2004, 2008; Hilal et al., 1994; Moller et al., 2008). The advantage of SIMPOL is that only functional group composition and carbon number information are needed in the parameterization. Various studies have compared vapor pressure models and show that volatility estimation, and thus aerosol formation, is highly sensitive to model choice (Compernelle et al., 2010; Barley and McFiggans, 2010; Clegg et al., 2008). Additionally, different reagent chemistries (e.g. $(\text{H}_2\text{O})_n\text{H}^+$, I^- , NO_3^-) can and should be tested with our model to validate its effectiveness on different classes of compounds.

With recent advances in CIMS measurement techniques allowing for separation of gas- and particle-phase analytes (Yatavelli and Thornton, 2010; Lopez-Hilfiker et al., 2014), uncertainties in the quantification of unknown organics will have to be addressed. For instance, epoxides and peroxides have been implicated in SOA formation in low- NO_x isoprene systems. Thus our model needs to be tested against additional SOA systems and subsequently refined. In our study we assume that all detected species have the same ionization efficiency as that of formic acid. While recent studies suggest that this approximation may be acceptable (Aljawhary et al., 2013) more data is needed to constrain acetate ionization efficiencies across carbon number and oxidation state. Additionally, the variables that control clustering, including operational voltages, IMR pressure, and reagent ion and neutral concentrations in the IMR, need to be explored. Use of isotopically labeled reagent ions may aid in these investigations.

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Table 1. Experimental conditions and results.

| Expt.# | VOC System | VOC Conc. (ppb) | OH Exposure (molec cm ⁻³ s) | OH Days ^a | \bar{n}_C ^b | $\overline{O/C}$ ^c | $\overline{H/C}$ ^d | \overline{OS}_C ^e |
|--------|-----------------------------------|-----------------|--|----------------------|--------------------------|-------------------------------|-------------------------------|--------------------------------|
| 1 | α -pinene + O ₃ | 15 | – | – | 3.57 | 0.81 | 1.45 | 0.18 |
| 2 | α -pinene + O ₃ | 30 | – | – | 4.31 | 0.70 | 1.46 | –0.05 |
| 3 | α -pinene + OH | 15 | 3.7×10^{11} | 2.8 | 3.52 | 0.88 | 1.38 | 0.39 |
| 4 | α -pinene + OH | 15 | 7.0×10^{11} | 5.4 | 3.06 | 1.01 | 1.33 | 0.69 |
| 5 | α -pinene + OH | 30 | 7.0×10^{11} | 5.4 | 3.25 | 0.98 | 1.32 | 0.64 |
| 6 | α -pinene + OH | 30 | 9.7×10^{11} | 7.5 | 3.27 | 1.00 | 1.31 | 0.69 |
| 7 | α -pinene + OH | 15 | 9.7×10^{11} | 7.5 | 3.13 | 0.99 | 1.31 | 0.68 |
| 8 | naphthalene + OH | 23 ^f | 1.2×10^{11} | 0.96 | 3.57 | 0.99 | 1.29 | 0.69 |
| 9 | naphthalene + OH | 46 ^f | 1.2×10^{11} | 0.96 | 5.00 | 0.75 | 1.18 | 0.31 |
| 10 | naphthalene + OH | 46 ^f | 1.2×10^{11} | 0.96 | 4.60 | 0.84 | 1.27 | 0.40 |
| 11 | naphthalene + OH | 23 ^f | 1.9×10^{11} | 1.5 | 4.51 | 0.84 | 1.27 | 0.40 |
| 12 | naphthalene + OH | 23 ^f | 2.8×10^{11} | 2.1 | 4.54 | 0.79 | 1.16 | 0.41 |
| 13 | naphthalene + OH | 23 ^f | 3.7×10^{11} | 2.8 | 3.47 | 0.93 | 1.15 | 0.71 |
| 14 | naphthalene + OH | 23 ^f | 5.3×10^{11} | 4.1 | 2.94 | 1.06 | 1.20 | 0.92 |
| 15 | naphthalene + OH | 23 ^f | 9.7×10^{11} | 7.5 | 2.88 | 1.07 | 1.21 | 0.93 |

^a Based on a diurnally averaged OH concentration of 1.5×10^6 molec cm⁻³.

^b Average carbon number.

^c Average oxygen-to-carbon ratio.

^d Average hydrogen-to-carbon ratio.

^e Average carbon oxidation state.

^f Concentration estimated from equilibrium vapor pressure at 25 °C.

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Table 2. Examples of detected species, their double bond equivalencies ($\text{DBE} = 1 + n_{\text{C}} - \frac{1}{2}n_{\text{H}}$), and their calculated saturation concentrations based on the number of each type of functional group, n_k . Note that $\text{DBE} = n_{=\text{O}} + n_{\text{C}(\text{O})\text{OH}} + n_{\text{C}=\text{C}} + n_{\text{r}} + 4 \times n_{\text{Ar}}$. Values in parentheses are the SIMPOL group contribution coefficients for the corresponding functional group (Pankow and Asher, 2008).

| Species Formula | DBE | Constant (1.79) | n_{C} (-0.44) | $n_{=\text{O}}$ (-0.94) | $n_{-\text{OH}}$ (-2.23) | $n_{\text{C}(\text{O})\text{OH}}$ (-3.58) | $n_{\text{C}=\text{C}}$ (-0.10) | n_{r} (-0.01) | n_{Ar} (-0.68) | $\log c^*$ | c^* ($\mu\text{g m}^{-3}$) |
|--|-----|--------------------|---------------------------|----------------------------|-----------------------------|--|------------------------------------|---------------------------|----------------------------|------------|--------------------------------|
| α -pinene | 3 | | 9 | | | 2 | | 1 | | 0.60 | 3.98 |
| $\text{C}_9\text{H}_{14}\text{O}_4$ | 3 | | 9 | | | 2 | | 1 | | 0.60 | 3.98 |
| $\text{C}_{10}\text{H}_{14}\text{O}_7$ | 4 | | 10 | 1 | 2 | 2 | | 1 | | -5.24 | 5.72×10^{-6} |
| $\text{C}_8\text{H}_{12}\text{O}_6$ | 3 | | 8 | 1 | 1 | 2 | | | | -2.12 | 7.53×10^{-3} |
| naphthalene | | | | | | | | | | | |
| $\text{C}_8\text{H}_6\text{O}_3$ | 6 | | 8 | 1 | | 1 | | | 1 | 3.01 | 1.04×10^3 |
| $\text{C}_9\text{H}_6\text{O}_4$ | 7 | | 9 | 2 | | 1 | | | 1 | 1.63 | 4.28×10^1 |

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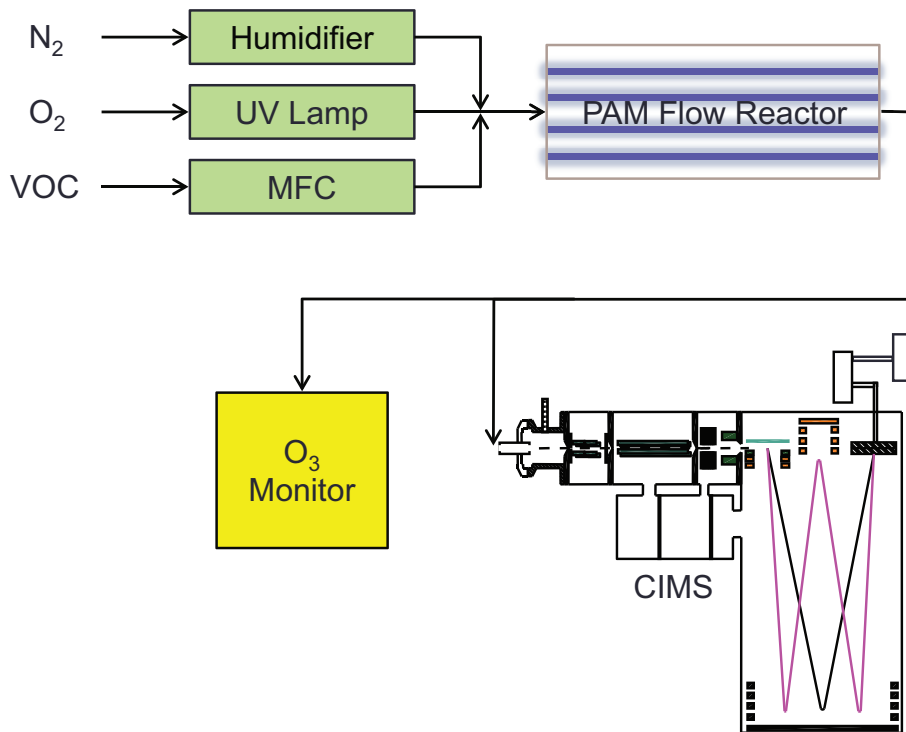


Figure 1. Schematic of the experimental setup. CIMS diagram adapted from Fig. 2 of Yatavelli et al. (2012).

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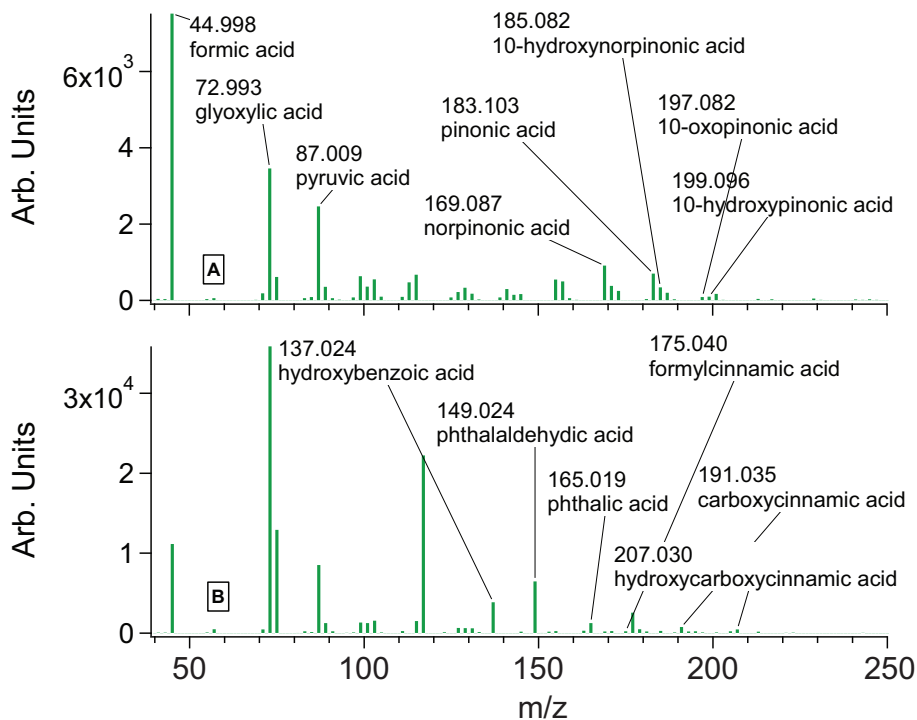


Figure 2. Acetate-CIMS unit mass resolution spectra of α -pinene ozonolysis (A, Expt. 1), and naphthalene photooxidation (B, Expt. 12). Even massed ions, reagent ions, and dominant background ions are removed, and blank spectra are subtracted. Select ions identified in previous studies are labeled (Yu et al., 1999; Kautzman et al., 2009).

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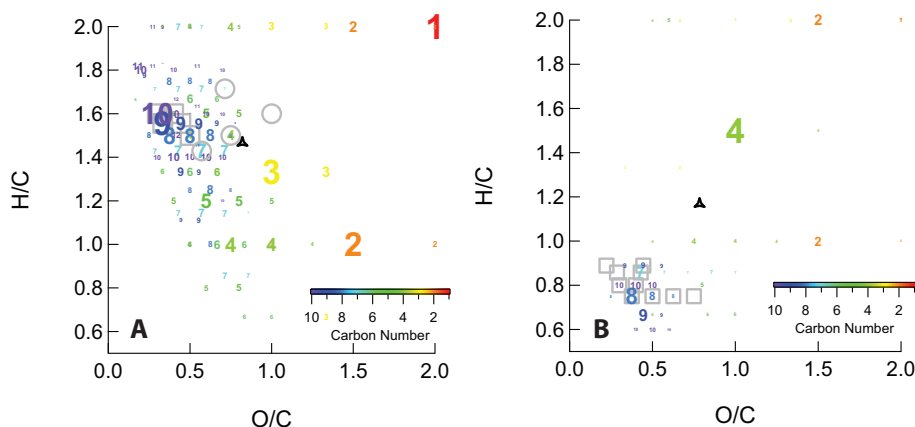


Figure 3. Modified Van Krevelen diagram of gas-phase acetate-CIMS high-resolution spectra of **(A)** α -pinene ozonolysis (Expt. 1) and **(B)** naphthalene photooxidation (Expt. 12). Each integer marker represents a fitted ion with the number representing the carbon number of the ion. The colorscale is representative of the carbon number range of the HR spectrum. The size of each number is proportional to the carbon number weighted signal of its corresponding ion; the largest markers in **(A)** and **(B)** represent 8.8% and 38% of the carbon weighted signal, respectively. Gray square markers in **(A)** indicate tracer acids identified by Yu et al. (1999) and gray circle markers indicate SOA tracer acids noted in Claeys et al. (2013). Gray square markers in **(B)** indicate tracer acids identified by Kautzman et al. (2009). Names and locations of acids on the VK are shown in Supplement, Figs. 3 and 4. The bulk O/C and H/C values are marked by black triangles.

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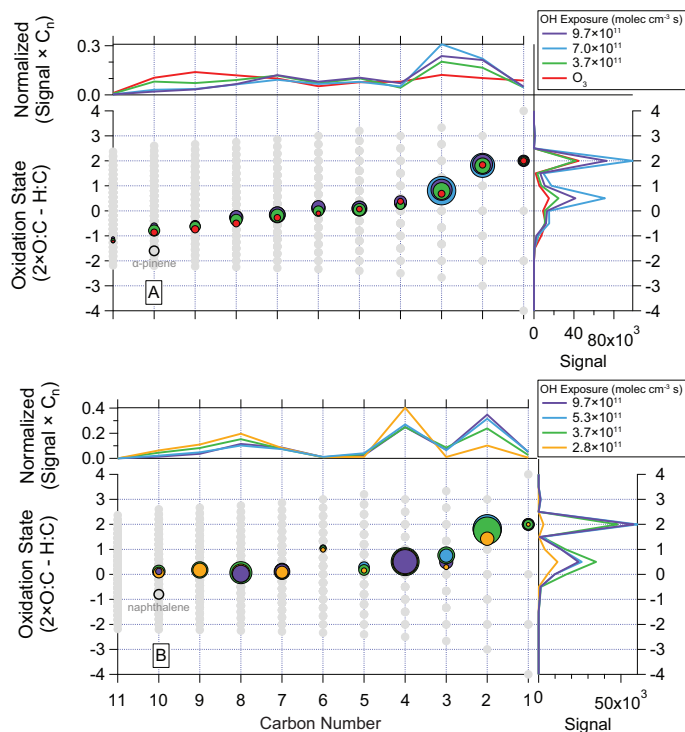


Figure 4. Kroll diagram for α -pinene (A) and naphthalene (B) oxidation experiments. The main panel displays the average OS_C per carbon number for each oxidation experiment. The area of the marker is proportional to the signal times the carbon number. The top panel plots the fraction of carbon weighted signal at each carbon number. The right panel displays the signal distribution across oxidation state.

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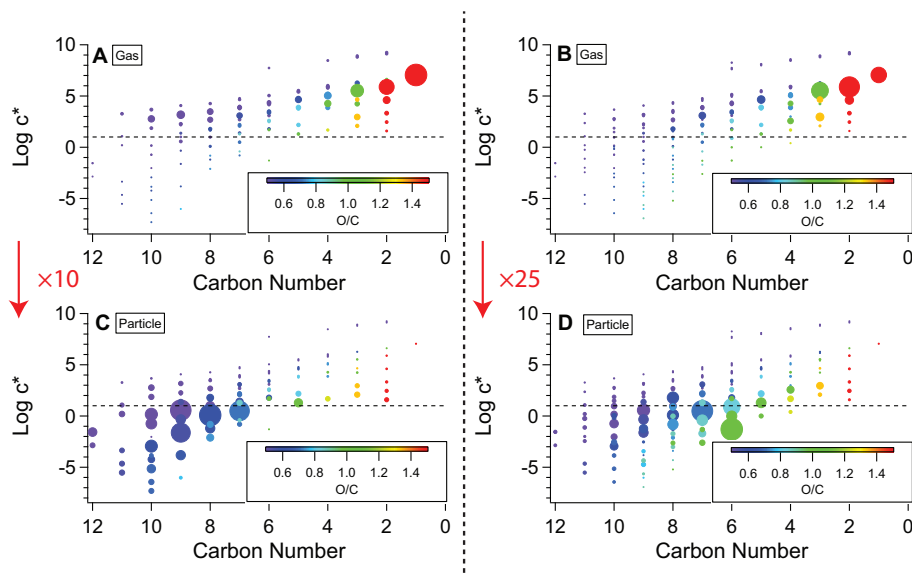


Figure 5. Estimated $\log c^*$ as a function of carbon number and O/C. **(A)** and **(C)** represent extracted gas and particle spectra of α -pinene ozonolysis (Expt. 1), respectively, and **(B)** and **(D)** represent the same of α -pinene photooxidation at high OH exposures (Expt. 7). Marker area is proportional to the fraction of mass in the depicted spectra. The factor and arrow in red represents the relative mass scale between gas and particle spectra. For example $\times 10$ between **(A)** and **(C)** indicate that markers of equal area represent 10 times more mass in the gas-phase spectrum.

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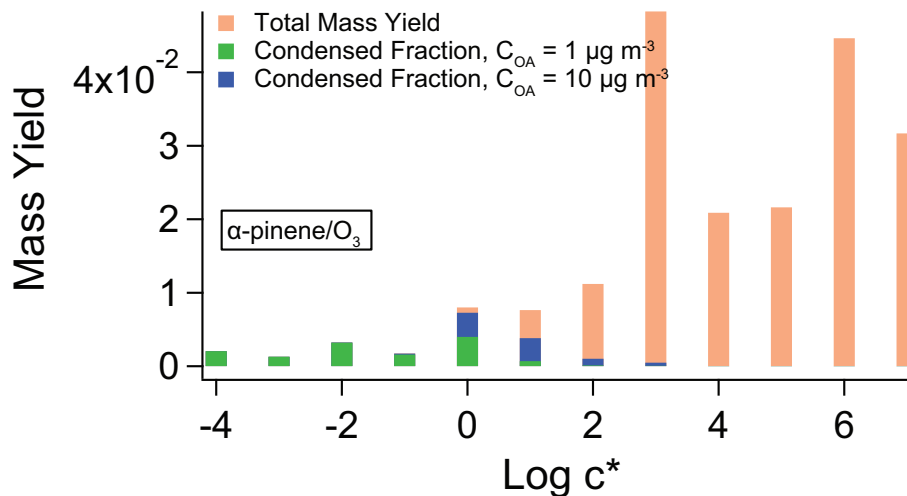


Figure 6. Estimated mass yield of compounds measured by the acetate-CIMS for α -pinene ozonolysis (Expt. 1), binned by $\log c^*$. Colored in green is the fraction that would condense with $C_{\text{OA}} = 1 \mu\text{g m}^{-3}$ and colored in blue is the additional mass that would condense with $C_{\text{OA}} = 10 \mu\text{g m}^{-3}$.

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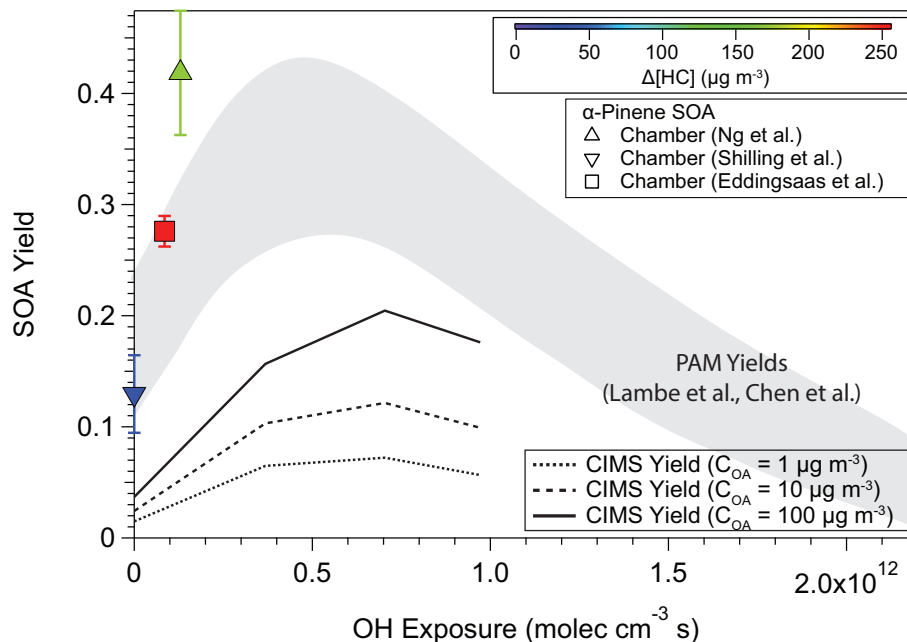


Figure 7. SOA yields estimated by the acetate-CIMS in this study and previously reported SOA yields from SMPS measurements for α -pinene oxidation systems. The dotted black lines depict CIMS yields calculated for $C_{\text{OA}} = 1, 10, \text{ and } 100 \mu\text{g m}^{-3}$. The gray shaded region represents the domain of yields determined from PAM experiments across OH exposure where the amount of α -pinene reacted ranged from 227 to 556 $\mu\text{g m}^{-3}$. Zero OH exposure corresponds to ozonolysis. Square and triangle points indicate yields determined from chamber experiments; their colors are indicative of the amount of α -pinene reacted.

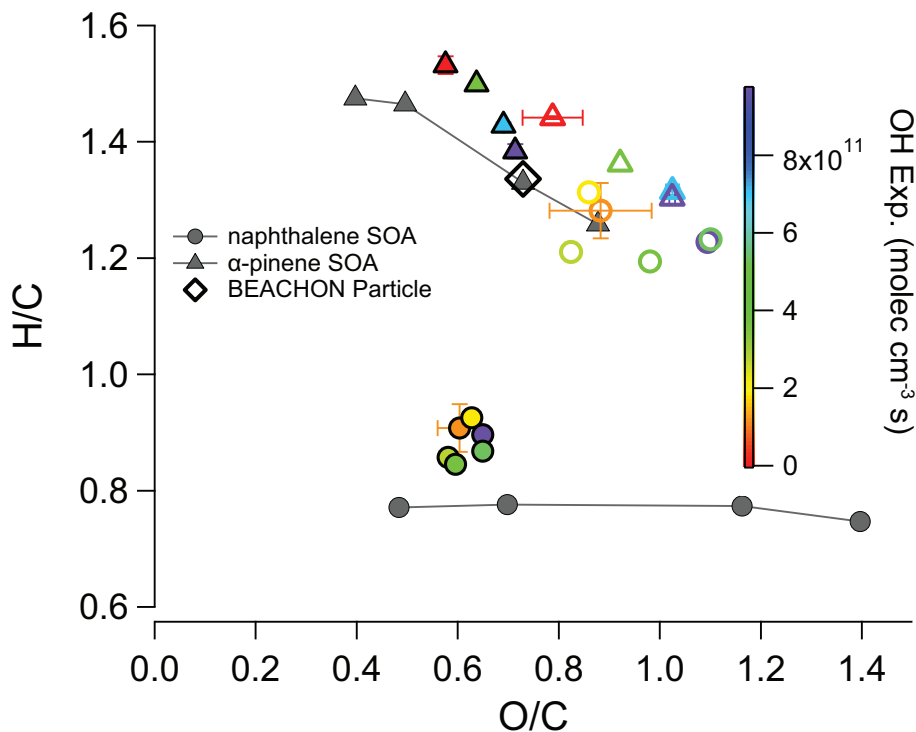


Figure 8. Average O/C and H/C ratios for extracted particle-phase (solid colored markers) and gas-phase (outlined colored markers) acetate-CIMS spectra as a function of OH exposure. Gray points represent AMS elemental ratios from Lambe et al. (2011a). Average particle-phase elemental ratios from the BEACHON-RoMBAS field campaign obtained from MOVI-HRToF-CIMS measurements are depicted by a black diamond (Yatavelli et al., 2014; Ortega et al., 2014).

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