



## Abstract

The project MUSICA (MULTi-platform remote Sensing of Isotopologues for investigating the Cycle of Atmospheric water) integrates tropospheric water vapour isotopologue remote sensing and in-situ observations. This paper presents a first empirical validation of MUSICA's H<sub>2</sub>O and  $\delta$ D remote sensing products (generated from ground-based FTIR, Fourier Transform InfraRed, spectrometer and space-based IASI, Infrared Atmospheric Sounding Interferometer, observation). As reference we use well calibrated in-situ measurements made aboard an aircraft (between 200 and 6800 m a.s.l.) by the dedicated ISOWAT instrument and on the island of Tenerife at two different altitudes (at Izaña, 2370 m a.s.l., and at Teide, 3550 m a.s.l.) by two commercial Picarro L2120-i water isotopologue analysers.

The comparison to the ISOWAT profile measurements shows that the remote sensors can well capture the variations in the water vapour isotopologues and the scatter with respect to the in-situ references suggests a  $\delta$ D random uncertainty for the FTIR product of much better than 45‰ in the lower troposphere and of about 15‰ for the middle troposphere. For the middle tropospheric IASI  $\delta$ D product the study suggests a respective uncertainty of about 15‰. In addition, we find indications for a positive  $\delta$ D bias in the remote sensing products.

The  $\delta$ D data are scientifically interesting only if they add information to the H<sub>2</sub>O observations. We are able to qualitatively demonstrate the added value of the MUSICA  $\delta$ D remote sensing data by comparing  $\delta$ D-vs.-H<sub>2</sub>O curves. First, we show that the added value of  $\delta$ D as seen in the Picarro data is similarly seen in FTIR data measured in coincidence. Second, we document that the  $\delta$ D-vs.-H<sub>2</sub>O curves obtained from the different in-situ and remote sensing data sets (ISOWAT, Picarro at Izaña and Teide, FTIR, and IASI) consistently identify two different moisture transport pathways to the subtropical north eastern Atlantic free troposphere.

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## 1 Introduction

The water cycle (continuous evaporation, transport, and condensation of water) is closely linked to the global energy and radiation budgets and has thus fundamental importance for climate on global as well as regional scales. Understanding the different, strongly coupled, and often competing processes that comprise the tropospheric water cycle is of prime importance for reliable climate projections. Since different water cycle processes differently affect the isotopic composition of atmospheric water, respective measurements are potentially very useful for tropospheric water cycle research. For instance, they can help to investigate cloud processes (Webster and Heymsfield, 2003; Schmidt et al., 2005), rain recycling and evapotranspiration (Worden et al., 2007), or the processes that control upper tropospheric humidity (Risi et al., 2012).

In the following, we express  $\text{H}_2^{16}\text{O}$  and  $\text{HD}^{16}\text{O}$  as  $\text{H}_2\text{O}$  and  $\text{HDO}$ , respectively; and  $\frac{\text{HD}^{16}\text{O}}{\text{H}_2^{16}\text{O}}$  in the  $\delta$ -notation (with the Vienna standard mean ocean water, VSMOW =  $3.1152 \times 10^{-4}$ , Craig, 1961):

$$\delta\text{D} = \frac{\text{HD}^{16}\text{O}/\text{H}_2^{16}\text{O}}{\text{VSMOW}} - 1 \quad (1)$$

Tropospheric water isotopologue data obtained by space- and ground-based remote sensing techniques are particularly useful, since they can be produced continuously and at global scale. Schneider et al. (2012) present tropospheric  $\text{H}_2\text{O}$  and  $\delta\text{D}$  remotely-sensed from the ground at ten globally distributed FTIR (Fourier-Transform Infrared) stations of the NDACC (Network for the Detection of Atmospheric Composition Change, <http://www.acd.ucar.edu/irwg/>). Space-based remote sensing observations of tropospheric  $\delta\text{D}$  are possible by thermal nadir sensors (Worden et al., 2006; Schneider and Hase, 2011; Lacour et al., 2012) and sensors detecting reflected solar light in the near infrared (Frankenberg et al., 2009, 2013; Boesch et al., 2013).

While there have been efforts for theoretically assessing the quality of the remotely-sensed  $\delta\text{D}$  products (e.g. Schneider et al., 2006b, 2012; Worden et al., 2006;

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Schneider and Hase, 2011; Lacour et al., 2012; Boesch et al., 2013), so far no really convincing empirical quality assessment has been presented. The few existing studies consist of very few indirect comparisons (Worden et al., 2011) or use a  $\delta D$  reference that itself is not comprehensively validated (Schneider and Hase, 2011; Boesch et al., 2013). This situation is rather unsatisfactory: since  $\delta D$  remote sensing measurements are very difficult and the nature of the data is very complex, there is urgent need to support the theoretical quality assessment studies by convincing empirical validation exercises.

In this context the main objective of our paper is the empirical validation of tropospheric water vapour isotopologue remote sensing products. To do so we use tropospheric  $\delta D$  reference data obtained by continuously calibrated in-situ instruments. The study is made for the Izaña observatory and the surroundings of the island of Tenerife, where tropospheric water isotopologues have been measured in coincidence from different platforms and by different techniques: (1) ground-based FTIR remote sensing within NDACC, (2) space-based remote sensing with the sensor IASI aboard METOP, (3) continuous in-situ measurements with commercial Picarro instruments from ground at two different altitudes, and (4) aircraft in-situ measurements with the dedicated ISOWAT instrument. All these data have been generated within the project MUSICA ([www.imk-asf.kit.edu/english/musica](http://www.imk-asf.kit.edu/english/musica)). The Izaña observatory and the surroundings of Tenerife comprise the principle water vapour isotopologue reference area of MUSICA and allow an empirical validation with dedicated aircraft campaign data as well as with long-term data sets.

Section 2 briefly presents the in-situ observations that we use as reference. In Sect. 3 we briefly describe the investigated ground- and space-based remote sensing data. In Sects. 4 and 5 the inter-comparisons are shown and discussed. Section 6 resumes the study.

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## 2 The in-situ reference observations

Compared to the remote sensing measurements, the in-situ techniques have the great advantage that they can be continuously calibrated against an isotopologue standard. In the following we briefly describe the in-situ instruments as operated in the Tenerife area.

### 2.1 Aircraft-based ISOWAT

The ISOWAT instrument is a tunable diode laser spectrometer specifically designed for the use aboard research aircraft (Dyroff et al., 2010). The first prototype has been successfully used within the project CARIBIC (Civil Aircraft for the Regular Investigation of the atmosphere Based on an Instrument Container, <http://www.caribic-atmospheric.com/>). For the validation campaign, a second prototype of ISOWAT was installed aboard a CASA C-212 aircraft of INTA (Instituto Nacional de Técnica Aeroespacial, <http://www.inta.es/>).

ISOWAT measures  $\delta D$  in water vapour by means of laser-absorption spectroscopy. Ambient air is extracted from the atmosphere and is pumped through a multi-reflection measurement cell. The beam of a diode laser is guided into the cell where it is reflected back and forth between two mirrors to form an optical path of 32 m. The emission wavelength of the laser is continuously tuned across individually resolved absorption lines of the  $H_2^{16}O$  and  $HD^{16}O$  isotopologues at a frequency of 10 Hz. Absorption spectra are averaged over 1 s, which defines the maximum temporal resolution of the measurements.

During the campaign ISOWAT was calibrated before and after each flight performing measurements of a known isotope standard. The standard was provided by a custom designed bubbler system that was used to humidify dry synthetic air to  $\delta D$  of about  $-130\text{‰}$  at humidity ranging from about 200 ppmv to  $> 25\,000$  ppmv. One of the key strengths of ISOWAT is its in-flight calibration. While substantial calibration measurements were performed on the ground, we perform in-flight calibrations in order to

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absorption signal of the air mass is determined by the ring-down time of the laser light intensity. In order to get an absorption spectrum the ring-down time is measured for different laser frequencies between 7183.5 and 7184 cm<sup>-1</sup>. For more details please refer to the website of Picarro (<http://www.picarro.com>).

5 In March 2012 we installed a Picarro L2120-i water isotopologue analyzer at the Izaña Observatory at 2370 m a.s.l. The measured H<sub>2</sub>O and  $\delta$ D time series is depicted in the left graphs of Fig. 2. Between October 2012 and March 2013 we had some instrumental problems and the instrument was sent to the manufacturer for repair. There are no measurements during this period. In June 2013 we installed an additional Picarro L2120-i analyzer at a small observatory located close to the cable car summit station of the Teide mountain (at 3550 m a.s.l.). The Teide H<sub>2</sub>O and  $\delta$ D time series is depicted in the right graphs of Fig. 2. The time series document that the atmospheric water vapour fields are rather variable already on hourly time scales.

The  $\delta$ D calibration procedure for the Picarro instruments is based on a standard delivery module (SDM). It consists of two-syringe-pumps and allows injecting two different standards into the vaporizer where a constant dry air flow sustains immediate evaporation of the liquid in the air stream (for more details see also Aemisegger et al., 2012). The calibration is made every 8 h. It uses 2 different  $\delta$ D standards (at -142.2‰ and -245.3‰) that are analysed by the instrument at 3 different humidity levels covering the typical humidity range at Izaña and at Teide (during summer).

20 The precision of our 0.6 Hz measurements was 13.5 and 2‰ at 500 and 4500 ppmv, respectively. This noise almost completely averages out in the 10 min averages (our final data product). The random uncertainty of the individual calibrations (performed every 8 h) was 0.5 and 0.2‰ at 500 and 4500 ppmv, respectively, which also averages out on longer timescales. Systematic uncertainties of the used standard water and uncorrected humidity dependence were 1.7 and 0.7‰ at 500 and 4500 ppmv, respectively.

25 Our Picarro humidity data are calibrated with respect to humidity data measured by standard meteorological sensors. The humidity values measured by the Picarro and

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the meteorological sensors are very strongly correlated and for the calibrated data we estimate a precision and accuracy of better than 2 %.

A more detailed description of the MUSICA Picarro in-situ measurements at Tenerife island is subject of a dedicated paper, which is currently in preparation (González et al., 2014).

### 3 The investigated remote sensing products

The characteristics of the water vapour isotopologue remote sensing products is rather complex. Schneider et al. (2012) introduce a proxy state concept that allows for reasonably characterising the interesting products, namely humidity (or H<sub>2</sub>O) and  $\delta$ D (or HDO/H<sub>2</sub>O). They identify two product types. Product type 1 is a vertically reasonably resolved H<sub>2</sub>O profile and product type 2 is for isotopologue studies. Product type 2 provides H<sub>2</sub>O and  $\delta$ D data that are representative for the same airmass and assures that the  $\delta$ D product is optimally independent on H<sub>2</sub>O. In the following we use this proxy state concept for briefly discussing the characteristics of the MUSICA remote sensing products that are available for the area of Tenerife island.

#### 3.1 Ground-based NDACC/FTIR

Ground-based FTIR remote sensing measurements have been performed at Izaña since 1999 (e.g., García et al., 2012). The experiment is situated in its own measurement laboratory (a shipping container equipped with electricity, air-conditioning, internet, etc.) and just about 50 m away from the Observatory's main building. Typically we measure on about 2–3 days per week. The number of measurement days is mainly limited by manpower, which is needed for operating the instrument. Ground-based FTIR systems measure high resolution mid-infrared solar absorption spectra. The spectra contain absorption signatures of many different atmospheric trace gases. Therefore, the technique can simultaneously monitor many different atmospheric trace

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gases. Continuous developments of sophisticated retrieval algorithms allow also the retrieval of tropospheric water vapour isotopologues (for retrieval details please refer to Schneider et al., 2006b, 2010b).

The  $\text{H}_2\text{O}$  and  $\delta\text{D}$  apriori values applied for these isotopologue retrievals are depicted in Fig. 1 as gray line. The MUSICA FTIR retrieval works with several spectral microwindows between 2655 and 3025  $\text{cm}^{-1}$  and with HITRAN 2008 (Rothman et al., 2009) spectroscopic parameters, whereby the  $\text{H}_2\text{O}$  and HDO parameters have been adjusted for speed-dependent Voigt line shapes (Schneider et al., 2011), which affects pressure broadening parameters but also line intensities. For  $\text{H}_2\text{O}$  the adjustment has been made taking coincident  $\text{H}_2\text{O}$  profile observations as the reference. For HDO the adjustment is more uncertain, since the atmospheric HDO state was calculated from the measured  $\text{H}_2\text{O}$  state assuming standard  $\delta\text{D}$  profiles (there were no HDO measurements available).

The left panel of graphs in Fig. 3 shows typical averaging kernels for the product type 1  $\{\text{H}_2\text{O}, \delta\text{D}\}$ -state. The panel consists of 4 graphs. The upper left graph demonstrates how actual atmospheric  $\text{H}_2\text{O}$  affects retrieved  $\text{H}_2\text{O}$ , the upper right graph how actual atmospheric  $\delta\text{D}$  affects retrieved  $\text{H}_2\text{O}$ , the bottom right graph how actual atmospheric  $\text{H}_2\text{O}$  affects retrieved  $\delta\text{D}$ , and the bottom left graph how actual atmospheric  $\delta\text{D}$  affects retrieved  $\delta\text{D}$ . Product type 1 offers vertically reasonably resolved  $\text{H}_2\text{O}$  profile (FWHM, full width at half maximum, of kernels is 2 km in the lower troposphere, 4 km in the middle troposphere, and 6–8 km in the upper troposphere, Schneider et al., 2012), which have been empirically validated in a variety of previous studies (e.g., Schneider et al., 2006a, 2010a; Schneider and Hase, 2009). A further validation of product type 1 is not the subject of this paper.

Product type 2 is for isotopologue studies and shall be investigated in this paper. The type 2 product is calculated with the aposteriori correction method as presented in Schneider et al. (2012). The respective  $\{\text{H}_2\text{O}, \delta\text{D}\}$ -state averaging kernels are depicted as the graphs in the right panel of Fig. 3. The aposteriori correction significantly reduces the vertical resolution of the retrieved  $\text{H}_2\text{O}$  state (compare upper left graph

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between the type 1 and type 2 panels). This sensitivity reduction is mandatory in order to assure that the  $\text{H}_2\text{O}$  product is representative for the same airmass as the  $\delta D$  product (compare upper left and bottom right graph in the type 2 panel). In addition, the a posteriori correction reduces the dependency of the retrieved  $\delta D$  on humidity, i.e., the cross dependency on humidity (compare the bottom left graphs between the type 1 and type 2 panels).

The Izaña FTIR product type 2 offers a degree of freedom for the signal (DOFS) for  $\text{H}_2\text{O}$  and  $\delta D$  of typically 1.75. The lower tropospheric kernels have an FWHM (Full Width at Half Maximum) of about 2 km and the middle tropospheric kernels an FWHM of about 5–6 km. In Schneider et al. (2012) the lower and middle tropospheric random uncertainty has been estimated to be smaller than 2% for  $\text{H}_2\text{O}$  and 25% for  $\delta D$ , respectively, whereby measurement noise, spectral baseline uncertainties, and assumed tropospheric a priori temperature are the leading error sources. The systematic uncertainty can reach 10% for  $\text{H}_2\text{O}$  and 150% for  $\delta D$ . It is dominated by uncertainties in the spectroscopic parameters (intensity and pressure broadening). The a posteriori correction method reduces the  $\delta D$  cross dependency on humidity to less than 10–15%.

### 3.2 Space-based METOP/IASI

IASI is a Fourier transform spectrometer flown aboard the METOP satellites. It combines high temporal, horizontal, and spectral resolution (covers the whole globe twice per day, measures nadir pixels with a diameter of only 12 km, and records thermal radiation between 645 and 2760  $\text{cm}^{-1}$  with a resolution of 0.5  $\text{cm}^{-1}$ ). IASI measurements are assured until 2020 on three different METOP satellites, whereby the first two are already in orbit: MetOp-A has been launched in October 2006, MetOp-B in September 2012. For our study we consider spectra measured by the IASI instruments aboard both satellites. Each IASI instrument has two Tenerife overpasses per day (about 10:30 and 22:30 UT). For MUSICA we work so far only with overpasses that observe clear sky scenes. We use very strict cloud screening conditions and therefore work with only about 10% of all possible overpass spectra.





## 4 Assessing random uncertainty and bias

Remote sensing data do not represent a single altitude. Instead they reflect the atmospheric situation as averaged out over a range of different altitudes (the kind of averaging is documented by the averaging kernels of Figs. 3 and 4). Such data can only be quantitatively compared to reference data that are available as vertical profiles. Here we compare the NDACC/FTIR and METOP/IASI products (product type 2, see Schneider et al., 2012) to the in-situ profiles as measured by ISOWAT between 200 and 6800 m a.s.l.

For a quantitative comparison we have to adjust the vertically highly-resolved ISOWAT profiles ( $x_{IW}$  as depicted in Fig. 1) to the modest vertical resolution of the remote sensing profiles. For this purpose we first perform an adjacent averaging towards the altitude grid of the remote sensing retrieval's forward model. The averaging is done for absolute number densities and ensures that the partial columns between two grid points is conserved. The adjacent averaging can be described by  $\mathbf{M}x_{IW}$ , whereby  $\mathbf{M}$  is a  $v \times w$  matrix with  $v$  and  $w$  being the numbers of the grid points of the forward model and of the vertically highly-resolved ISOWAT profile, respectively. In a second step we convolve  $\mathbf{M}x_{IW}$  with the remote sensing averaging kernel  $\hat{\mathbf{A}}$ :

$$\hat{x}_{IW} = \hat{\mathbf{A}}(\mathbf{M}x_{IW} - x_a) + x_a \quad (2)$$

Here  $x_a$  is the apriori profile used by the remote sensing retrieval. When using the  $\{\text{H}_2\text{O}, \delta\text{D}\}$ -proxy state averaging kernels as depicted in Figs. 3 and 4 we have to make sure that  $\mathbf{M}x_{IW}$  in Eq. (2) is in the  $\{\text{H}_2\text{O}, \delta\text{D}\}$ -proxy state. The result of this convolution is an ISOWAT profile ( $\hat{x}_{IW}$ ) with the same vertical resolution as the remote sensing profile. In the following we will use the “hat”-index for marking parameters or amounts that result from convolution calculations according to Eq. (2).

The ISOWAT profiles are limited to 200–6800 m altitude, whereas the FTIR and IASI remote sensing instruments are also sensitive to altitudes above 7000 m (and IASI is also very weakly sensitive for altitudes below 200 m). For the comparison study we

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respectively:

$$\hat{\sigma}_{\text{DIFF}} = \frac{1}{n} \sum_{i=1}^n \sqrt{\hat{\epsilon}_{\text{RS},i}^2 + \hat{\epsilon}_{\text{IW},i}^2} \quad (5)$$

For comparison we also calculate a parameter, which is the scatter in the convolved ISOWAT data:

$$\hat{\sigma}_{\text{IW}} = \sqrt{\frac{1}{n} \sum_{i=1}^n (\hat{x}_{\text{IW},i} - \hat{\mu}_{\text{IW}})^2} \quad (6)$$

whereby  $\hat{\mu}_{\text{IW}} = \frac{1}{n} \sum_{i=1}^n \hat{x}_{\text{IW},i}$ . The  $\hat{\sigma}_{\text{IW}}$  parameter informs about the random uncertainty of the water vapour state when no measurements are available (apriori uncertainty).

The values that result from these calculations for the comparison of FTIR and ISOWAT H<sub>2</sub>O data are depicted in Fig. 7. In the left graph, the scatter between FTIR and ISOWAT (parameter  $\hat{\sigma}_{\text{DIFF}}$  according to Eq. 4) is shown as black triangles, together with the predicted scatter ( $\hat{\epsilon}_{\text{DIFF}}$  according to Eq. (5), red dashed line). We observe a scatter of about 28 % and 20 % for the lower and middle troposphere, respectively. In the lower troposphere the observed scatter is significantly larger than the scatter predicted from the combined uncertainties, which might be caused by the fact that the two instruments observe similar, but not the same airmasses. Especially for the lower troposphere where the FTIR airmass might be affected by local thermally driven small scale mixing processes. In this context the observed scatter can be interpreted as a rather conservative empirical estimation of the FTIR's random uncertainty. The blue solid line depicts the scatter between the six ISOWAT profiles when smoothed with the FTIR kernels (the  $\hat{\sigma}_{\text{IW}}$  values according to Eq. 6). It is much larger than the scatter in the difference with the FTIR profiles meaning that the FTIR well follows the ISOWAT reference, which confirms previous empirical validation exercises made for H<sub>2</sub>O (e.g., Schneider et al., 2006a, 2010a; Schneider and Hase, 2009). Please note that the depicted red dashed line represents the combined uncertainty of the convolved ISOWAT

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data and the FTIR data. The pure ISOWAT uncertainty (uncertainty of ISOWAT if not convolved with the FTIR kernel,  $\epsilon_{IW}$ ) is much smaller and depicted as blue dashed line.

The mean difference between FTIR and ISOWAT and the respective standard error of the mean ( $\hat{\delta}_{DIFF}$  and  $\hat{\sigma}_{DIFF}/\sqrt{n-1}$ , according to Eqs. 3 and 4, respectively) are shown in the right graph of Fig. 7 as black triangles and error bars. The red area depicted around the zero line indicates the zone where a bias might be observed accidentally due to the combined random uncertainties of ISOWAT and FTIR (this area is calculated as  $\hat{\epsilon}_{DIFF}/\sqrt{n-1}$ , see Eq. 5). The observed differences overlap well with this area meaning that there is no significant bias between the FTIR and the ISOWAT data.

The coincident  $\delta D$  profiles are shown in Fig. 8. Here the predicted uncertainties are clearly discernable. The results of the statistical analysis of the  $\delta D$  comparison are presented in Fig. 9. In the left graph we observe a scatter ( $\hat{\sigma}_{DIFF}$ ) of about 45% and 15% for the lower and middle troposphere, respectively, which can be interpreted as a conservative empirical estimation of the FTIR's random uncertainty. In the middle troposphere this observed scatter is clearly smaller than the predicted one ( $\hat{\epsilon}_{DIFF}$ ) indicating that our theoretical ISOWAT and/or FTIR error estimations might be too conservative. In particular the ISOWAT estimates are rather conservative since we assumed that on a single day all  $\delta D$  errors that occur at different altitudes and during ascend and descent are fully correlated. Allowing for  $\delta D$  errors that are not fully correlated (for instance positive errors at 2 km altitude and negative errors at 5 km altitude) would strongly decrease the error as estimated for the ISOWAT data, since through the convolution with the kernel a large part of the error would cancel out. The comparison of the observed scatter with the blue solid line (scatter between the six convolved ISOWAT profiles,  $\hat{\sigma}_{IW}$ ) demonstrates that the FTIR  $\delta D$  product well captures the atmospheric variations as seen by the ISOWAT reference data.

The right graph of Fig. 9 reveals a clear systematic difference between the FTIR and the ISOWAT  $\delta D$  data. At and above 3 km altitude this difference lies outside the uncertainty area and is thus significant. It is about 25% in the lower troposphere and 70% in the middle troposphere.

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In Fig. 10 we show a correlation plot for the H<sub>2</sub>O and δD data as observed in the middle troposphere (altitude of 5 km) by ISOWAT and FTIR. This plot can serve as a summary of the comparison exercise: (1) H<sub>2</sub>O and δD signals are similarly observed by both instruments (good correlation), (2) there is no significant difference between the H<sub>2</sub>O data, and (3) there is a significant positive bias in the FTIR δD data.

## 4.2 METOP/IASI

The black circles in Fig. 5 show all the IASI pixels for morning overpasses that have been declared cloud free (according to EUMETSAT level 2 data) within a 250 km × 250 km area around the aircraft's flight tracks. In order to assure that IASI and ISOWAT observe similar airmasses we only work with IASI observations that are made not farer away than 50 km from the typical location of the aircraft. This yields 13 coincidences made on four different days: 130724, 130725, 130730, and 130731. The respective pixels are marked by red filled circles in Fig. 5.

IASI can hardly measure the vertical distribution of δD (see right panel of Fig. 4). For this reason we can limit the validation exercise to a single altitude level where IASI has typically good sensitivity. This is the case for the altitude of 5 km, which typically represents the atmospheric situation between 2 and 8 km altitude.

Figure 11 shows the same as Fig. 10 but for the IASI instead of the FTIR data. It depicts the correlation between the ISOWAT data (convolved with IASI kernels) and the IASI data. For H<sub>2</sub>O the data group nicely around the 1 : 1 diagonal (except for three outliers on day 130731, which are marked by gray edges). For δD we also observe a nice correlation but there is a significant systematic difference. All the IASI data show rather consistently about 60‰ less HDO depletion than ISOWAT and this bias is outside the predicted uncertainty range, i.e., it is significant. In this context it is very interesting that for the FTIR data we observe almost the same systematic difference, which confirms the work of Schneider and Hase (2011) and Wiegele et al. (2014), where no systematic differences between IASI and FTIR are reported.

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data and our remote sensing  $\delta D$  product is statistically significant. It is between 1 % and 7 % for the FTIR (see right panels in Figs. 9 and 10) and about 6 % for the IASI data (see right panel of Fig. 11). However, these findings rely on very few comparisons made within a few days at a single location. In order to get a more reliable global estimate of the bias and for globally correcting the bias, further ISOWAT profile measurements at different sites and during different seasons would be desirable.

## 5 Proofing the added value of $\delta D$

### 5.1 The added value of $\delta D$ observations

In Fig. 12 we plot all the in-situ data that have been measured so far in the lower or middle troposphere within the project MUSICA. These data represent different altitudes and two different locations (subtropics and mid-latitudes). We observe a strong correlation between the logarithm of  $H_2O$  concentrations and  $\delta D$  (linear correlation coefficient  $R^2$  of about 80 %), which suggests that  $\delta D$  and  $H_2O$  contain similar information thereby relativising the results as presented in Sect. 4: in Fig. 9 and Table 1 we show that the remote sensing measurements can correctly detect a large part of the actual  $\delta D$  variations. However, we do not show if the remote sensing  $\delta D$  measurements are precise enough for detecting the small part of the lower/middle tropospheric  $\delta D$  variations that are complementary to  $H_2O$ .

In this context, we have to be aware that the remote sensing retrievals provide  $\delta D$  data that are slightly dependent on the atmospheric  $H_2O$  state (see the bottom left graphs in the type 1 and 2 panels of Figs. 3 and 4), meaning that the remote sensing  $\delta D$  data might be correlated to the in-situ  $\delta D$  data only via its dependency on  $H_2O$  and not because  $\delta D$  is correctly retrieved. The fact that in Sect. 4 we convolve the in-situ reference data with the remote sensing averaging kernels further increases this risk of misinterpreting the  $\delta D$  remote sensing data quality: by convolving the in-situ data with the averaging kernels we create a  $\delta D$  in-situ reference that has a similar dependency

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on H<sub>2</sub>O as the remote sensing data and the good correlation between the remote sensing and the convolved in-situ  $\delta$ D data might partly be a result of the convolution calculations according to Eq. (2).

For these reasons it is rather important to complement the quantitative validation exercises as shown in Sect. 4 by a qualitative study demonstrating that the  $\delta$ D remote sensing measurements add new information to the H<sub>2</sub>O remote sensing data. This added value of  $\delta$ D can be examined by analysing  $\delta$ D-vs.-H<sub>2</sub>O diagrams (we face a two dimensional validation problem!). In this section we work with pure in-situ data (not convolved with the remote sensing averaging kernels). This does not allow a quantitative study, but it ensures that our in-situ reference remains completely independent from the remote sensing data.

## 5.2 NDACC/FTIR vs. Picarro

For the analysis of  $\delta$ D-vs.-H<sub>2</sub>O plots we need a large number of datapoints, that represent different atmospheric situations. Unfortunately, at the moment the number of ISOWAT profiles is very limited. As shown in Sect. 4 only six profiles coincide with ground-based FTIR observations and only four profiles with IASI measurements. Due to the small number of available ISOWAT reference data we cannot use the ISOWAT observations for validating the added value of the  $\delta$ D remote sensing measurements.

Instead we work with the in-situ reference data measured continuously by the Picarro L2120-i analyzers at Izaña and Teide. The airmasses detected by these instruments during nighttime/early morning are well representative for the free tropospheric conditions above Tenerife island (Rodríguez et al., 2009). The FTIR instrument is able to resolve the layer between Izaña and about 4 km above the island (see bottom right graph in the type 2 panel of Fig. 3). Hence, we should be able to qualitatively compare the early morning measurements of the Picarro and the FTIR instrument. IASI cannot resolve a layer above the island (see bottom right graph in the type 2 panel of Fig. 4) and thus we cannot compare Picarro and IASI observations.

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layer which hinders mixing of planetary boundary layer (PBL) air into the FT. Under such situation the FT air mass is transported from higher latitudes and/or higher altitudes (Galewsky et al., 2005; Barnes and Hartmann, 2010; Cuevas et al., 2013).

In addition the summertime FT of the northern subtropical Atlantic is frequently affected by air masses that are advected from the African continent (e.g., Prospero et al., 2002; Rodríguez et al., 2011; Andrey et al., 2014). At that time of the year there are strong and extended heat lows over the African continent at 20–35° N. These heat lows modify the transport pathways to the FT and enable mixing from the PBL to the FT (e.g., Valero et al., 1992).

These two different transport pathways can be observed at our reference site (island of Tenerife and surroundings) during the summer season, and we can use these circumstances for validating the added value of the  $\delta D$  signal.

### 5.3.1 Aerosol data as proxy for transport pathways

Saharan dust aerosols measured in the FT around Tenerife can be used for identifying an air mass that has experienced vertical transport over the African continent. This shall be demonstrated by Fig. 15, which shows that at Izaña and in summer high aerosol concentrations ( $PM_{10} > 25 \mu g m^{-3}$ ) are clearly correlated to air masses that have been advected from the African continent. The  $PM_{10}$  aerosol data is the aerosol mass concentration considering all particles with aerodynamic diameter smaller than 10  $\mu m$ , and at Izaña,  $PM_{10}$  is, by far, dominated by Saharan dust particles (Rodríguez et al., 2011). For the calculation of the backward trajectories we use HYSPLIT (Hybrid Single-Particle Lagrangian Integrated Trajectory, some examples and explanations of the HYSPLIT calculations are given in Cuevas et al., 2013).

In the following we use low aerosol concentrations as proxy for a FT air mass that has been mainly transported from higher latitudes and/or higher altitudes (clean conditions, no vertical mixing from the PBL to the FT). High aerosol concentrations are our proxy for air masses influenced by vertical mixing over the African continent (dust conditions). We investigate the isotopologue data measured by the different instruments under the

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different conditions. For each instrument we need aerosol data that are representative for a similar airmass as the isotopologue data.

For ISOWAT we use the  $V_{10}$  aerosol data observed in-situ during the flights. The  $V_{10}$  aerosol data is the aerosol volume concentration considering particles with a diameter smaller than  $10\ \mu\text{m}$ . The vertical resolution of these measurements is  $100\ \text{m}$ . The ISOWAT data are much higher resolved. We calculate  $100\ \text{m}$  averages from the ISOWAT data in order to make them representative for the same layers as the aerosol data. An airmass with  $V_{10} < 1\ \mu\text{m}^3\ \text{cm}^{-3}$  is defined as a clean airmass and an airmass with  $V_{10} > 10\ \mu\text{m}^3\ \text{cm}^{-3}$  is defined as dust airmass. For more details on the aircraft aerosol data please refer to the appendix and Fig. A1.

The Izaña Picarro data are paired with Izaña's  $\text{PM}_{10}$  in-situ aerosol measurements. As definition for clean conditions we use  $\text{PM}_{10} < 2\ \mu\text{g}\ \text{m}^{-3}$  and for dust conditions  $\text{PM}_{10} > 25\ \mu\text{g}\ \text{m}^{-3}$ . More information about this data is given in the appendix (Fig. A2). We only work with nighttime data. Thereby we can well exclude data that are affected by local mixing between the MBL and the FT.

At Teide there are no continuous in-situ aerosol observations and we pair the Teide Picarro data with the Izaña AERONET (<http://aeronet.gsfc.nasa.gov>) remote sensing data. The AERONET photometers analyse the direct sunlight and report the aerosol optical depth (AOD) for the whole atmosphere above Izaña, i.e., they are reasonably representative for the altitudes around Teide. For an AOD value at  $500\ \text{nm}$  below  $2.5 \times 10^{-2}$  we assume clean conditions and above  $5 \times 10^{-2}$  dust conditions. In order to avoid influences from local vertical mixing we only work with morning data. Please note that we cannot work with nighttime data, since the AERONET photometers need direct sunlight.

Izaña's ground-based FTIR remote sensing system has the capability to resolve the first few kilometers above Izaña. The Izaña AERONET data are representative for similar airmasses and can be used for classifying the FTIR data. We use the same threshold levels as for the the classification of the Teide Picarro data. We only work with measurements made in morning hours (solar elevation of below  $30^\circ$ ).

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at Izaña provides a very large data set (it has been continuously operating in summer 2012 and 2013), hence these findings are very robust.

The two lowermost rows of graphs show the respective plots for the FTIR and IASI datasets, which are representative for several years. We work with FTIR data measured during summertime morning hours between 2005 and 2013, where we have a good overlap with the AERONET data. We use IASI-A data measured between October 2007 and the end of July 2013 as well as with a few 2013 IASI-B data (from June/July 2013). All remote sensing datasets reveal the different transport pathways similarly to the in-situ datasets. This qualitative similarity well demonstrates that the MUSICA FTIR and IASI  $\delta D$  remote sensing data add scientifically useful information to the respective  $H_2O$  measurement.

A more profound scientific examination of these different transport regimes is out of the scope of this technical validation paper and shall be addressed in an extra paper which is currently in preparation (González et al., 2014).

## 6 Conclusions

Global remote sensing of tropospheric  $\delta D$  in addition to  $H_2O$  can open up novel opportunities for tropospheric water cycle research. However, the interesting signal of the added value of  $\delta D$  is small. Its detection by remote sensing techniques is a difficult task and prior to a scientific application of the data, their characteristics and uncertainties should be well understood. In this context Schneider et al. (2012) and Wiegele et al. (2014) show detailed feasibility and error assessment studies for MUSICA's NDACC/FTIR and METOP/IASI water vapour isotopologue products. In this paper those efforts are complemented by an empirical validation exercise taking high quality in-situ measurements as the reference.

For a quantitative validation of the random uncertainty and the bias of the remote sensing data we need in-situ observations of well-resolved vertical profiles. During an aircraft campaign in July 2013 we produce six of such profiles using the dedicated

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with a strong inversion layer that hinders mixing from the PBL and prevailing moisture transport from higher latitudes.

Our study proves that the MUSICA H<sub>2</sub>O and  $\delta$ D remote sensing data are a reliable tool for water vapor isotopologue studies. However, we have to be aware that there is very likely a bias in the  $\delta$ D values. For a better understanding of this bias further research on the spectroscopic line parameters as well as more high quality aircraft profiles and thus further campaigns and validation efforts would be desirable.

We would like to propose using the approaches as presented in this paper, in Schneider et al. (2012), and in Wiegele et al. (2014) as guideline for best practices when validating water vapour isotopologue remote sensing datasets.

## Appendix A: The aerosol datasets

The INTA aerosol instrument provides the aerosol number density for different particle sizes, whereby different particle sizes ranging from 0.5 to 50  $\mu$ m diameter can be distinguished. From these data we calculate the aerosol volume concentration for all the aerosols with a diameter smaller than 10  $\mu$ m (this volume concentration is called  $V_{10}$ ), whereby we assume spherical aerosols. The respective data measured during the six flights above the boundary layer (above 1500 m a.s.l.) are plotted in Fig. A1. Please note that there are many occasions with  $V_{10} = 0 \mu\text{m}^3 \text{cm}^{-3}$  (aerosol number density below the detection limit) that are not visible in the graph.

The Izaña PM<sub>10</sub> data are hourly averaged mass concentration data and given as aerosol mass per air volume. Figure A2 shows the 2012–2013 time series. The mass concentrations are determined from the continuously measured aerosol size distributions (applying the instrument APS, TSI<sup>TM</sup>, model 3321). First the aerosol volume concentrations ( $V_{10}$ ) are calculated. From  $V_{10}$  the mass concentration (PM<sub>10</sub>) is obtained by using an empirically estimated aerosol effective density using the methods described by (Rodríguez et al., 2012). This density estimation consists in correlating the measured aerosol volume concentrations to mass concentrations measured by the

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gravimetric method. For the gravimetric method  $PM_{10}$  aerosols are collected in microfibre quartz filters. This collection needs several hours and requires a careful treatment of the filters, hence the gravimetric method cannot be performed continuously. The Izaña programme for in-situ aerosol characterization was audited by the World Calibration Centre for Aerosol Physics (WCCAP; Institute for Tropospheric Research, Leipzig) in November 2006. For more details on these  $PM_{10}$  data please refer to Rodríguez et al. (2011).

The Aerosol Robotic Network (AERONET) provides atmospheric aerosol properties at several wavelengths (Holben et al., 1998). The Cimel CE-318 sun photometer is the standard instrument in the network. It measures direct sun irradiance (every 15 min) with a  $1.2^\circ$  full field of view that is used to compute aerosol optical depth (AOD) for each wavelength available on each photometer type. Three quality levels of AERONET data are available: level 1.0 (real time raw data), level 1.5 (real time cloud screened data), and level 2.0 (quality assured data). Figure A3 shows the time series of AOD at 500 nm as measured at the Izaña observatory.

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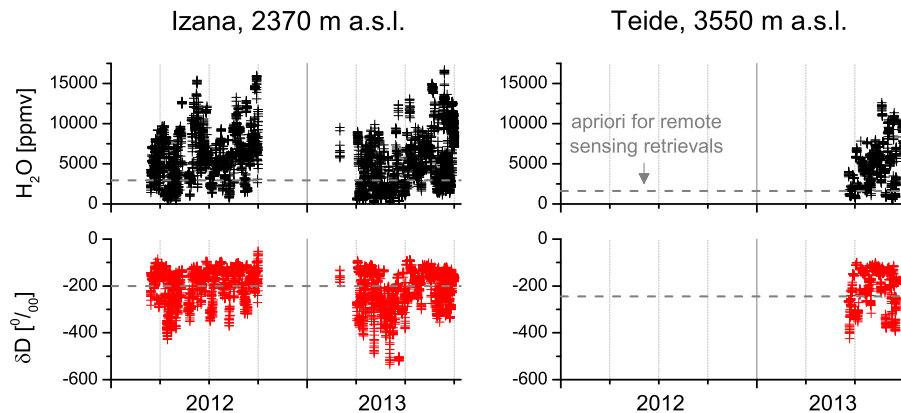
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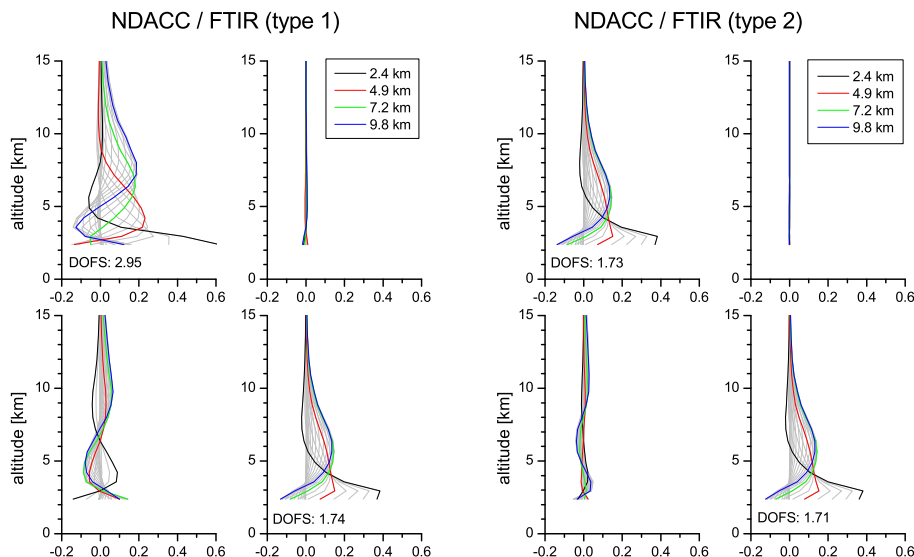
**Figure 2.** H<sub>2</sub>O (upper graph) and  $\delta D$  data (bottom graph) as measured by the Picarro instruments on Tenerife between 06:00 and 08:00 UT. The depicted data are 10 min averages. For comparison we also depict the a priori values used for the MUSICA NDACC/FTIR and METOP/IASI remote sensing retrievals (gray dashed lines).

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**Figure 3.** Typical row kernels for the  $\{H_2O, \delta D\}$ -proxy states as retrieved from Izaña's ground-based NDACC/FTIR spectra. Left panel of graphs: product type 1 kernels; right panel of graphs: product type 2 kernels. The upper graphs of each panel display how the retrieved  $H_2O$  is affected by actual  $H_2O$  variations (left graph) and by actual  $\delta D$  variations (right graph). The lower graphs of each panel display the same for the retrieved  $\delta D$ . For more details on the proxy state kernels please refer to (Schneider et al., 2012).

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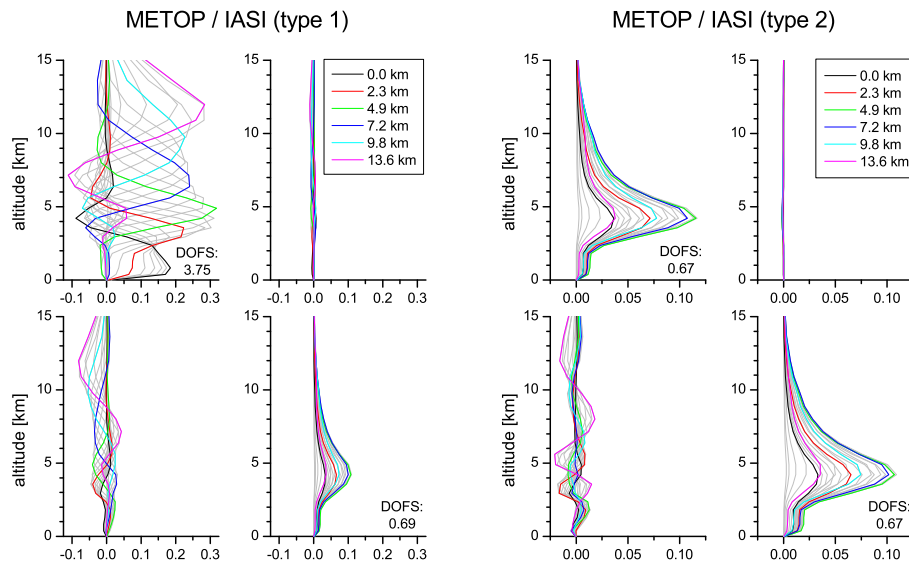
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**Figure 4.** Same as Fig. 3 but for the  $\{H_2O, \delta D\}$ -proxy states as retrieved from METOP/IASI spectra measured over the subtropical ocean. For more details on the METOP/IASI proxy state kernels please refer to Schneider et al. (2012) and Wiegele et al. (2014).

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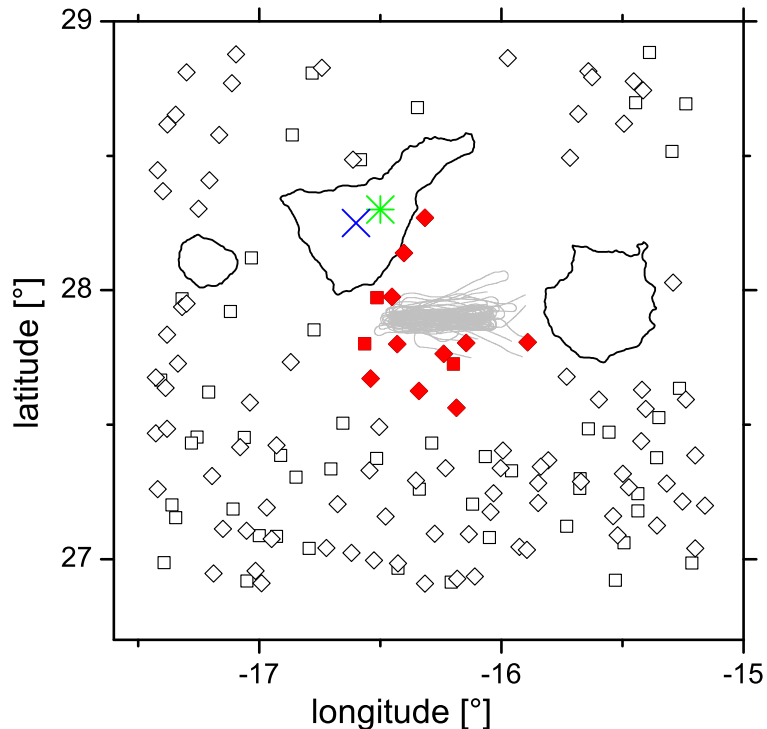
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**Figure 5.** Site map indicating the location of the different instruments and ground pixels during the aircraft campaign on six days in July 2013. Green star: Izaña observatory (location of the first Picarro and the FTIR); blue star: Teide observatory (location of the second Picarro); gray lines: aircraft flight track during ISOWAT measurements; black squares and diamonds: cloud free ground pixels of IASI-A and -B, respectively, during the six aircraft flights ; red filled squares and diamonds: pixels that fulfill our coincidence criteria for IASI-A and -B, respectively.

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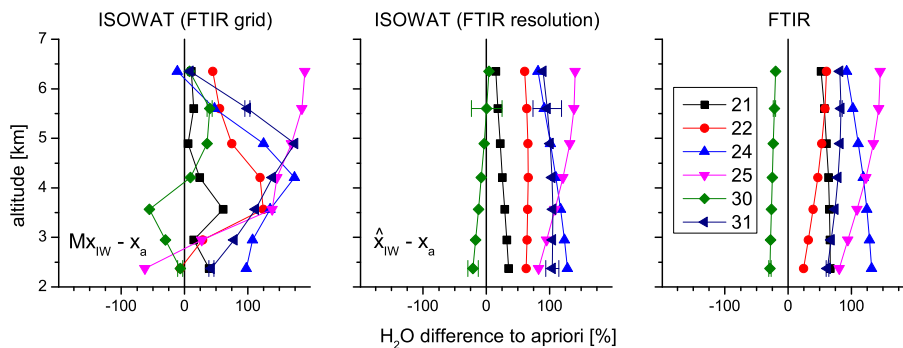
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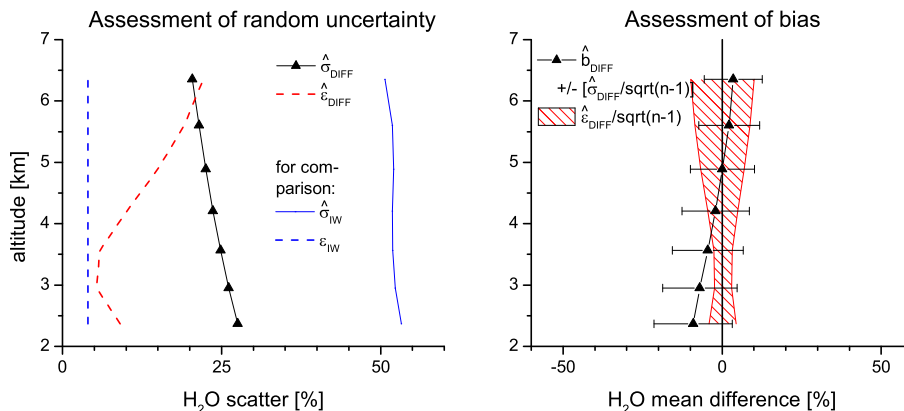


**Figure 6.** Comparison of ISOWAT and FTIR  $H_2O$  measurements. Shown are differences with respect to the a priori profiles. Left graph: ISOWAT data represented for the FTIR altitude grid; central graph: ISOWAT data convolved with the FTIR kernels, i.e., representative for the vertical resolution of the FTIR; right graph: FTIR data measured at the end of the morning when solar elevation is still  $< 30^\circ$ . Depicted are also lower and middle tropospheric error bars for day 130730 (dry conditions) and 130731 (humid conditions), which are hardly discernable, since the  $H_2O$  errors are only a few percent.

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**Figure 7.** Left graph, assessment of the random uncertainty: black symbols: the FTIR-ISOWAT scatter ( $\hat{\sigma}_{\text{DIFF}}$  value according to Eq. 4). Red dashed line: the predicted scatter ( $\hat{\epsilon}_{\text{DIFF}}$  value according to Eq. 5). Blue solid line: the scatter in the convolved ISOWAT data ( $\hat{\sigma}_{\text{IW}}$  value according to Eq. 6). Blue dashed line: the uncertainty in the not convolved ISOWAT data ( $\epsilon_{\text{IW}}$ ). Right graph, assessment of the bias: black symbols and error bars: the FTIR-ISOWAT mean difference and standard error of the mean ( $\hat{b}_{\text{DIFF}}$  and  $\hat{\sigma}_{\text{DIFF}}/\sqrt{n-1}$  values according to Eqs. 3 and 4, respectively). Red area: the predicted uncertainty range (calculated as  $\hat{\epsilon}_{\text{DIFF}}/\sqrt{n-1}$ ).

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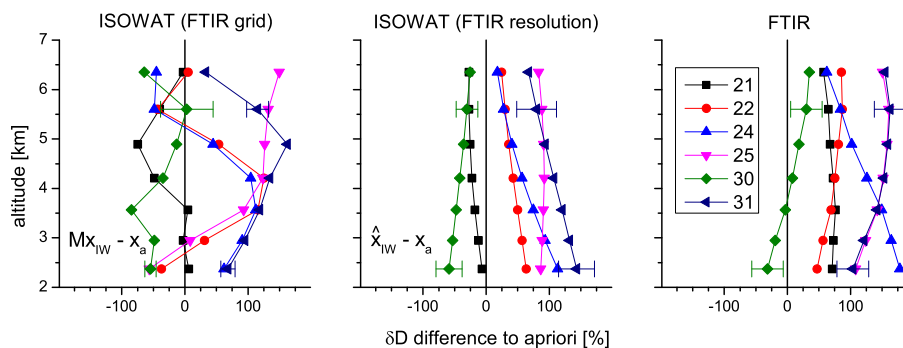
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**Figure 8.** Same as Fig. 6 but for  $\delta D$ . Here the error bars are clearly discernable.

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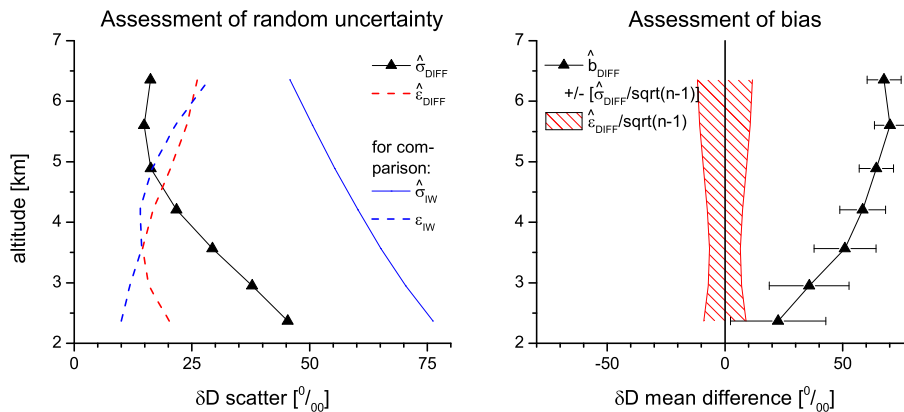
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**Figure 9.** Same as Fig. 7 but for  $\delta D$ .

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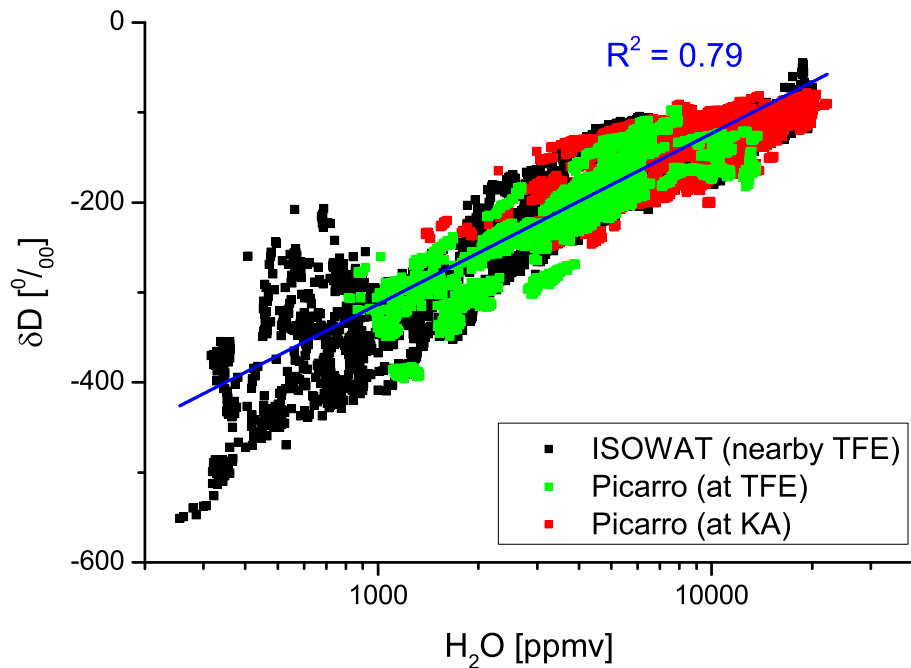
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**Figure 12.**  $\delta D$ -vs.- $H_2O$  plot using in-situ observations made from different platforms (aircraft/ISOWAT and surface/Picarro) and at different sites (mid-latitudes at Karlsruhe, KA, and subtropics at Tenerife, TFE). The blue solid line is the linear regression line as fitted to all the data points.

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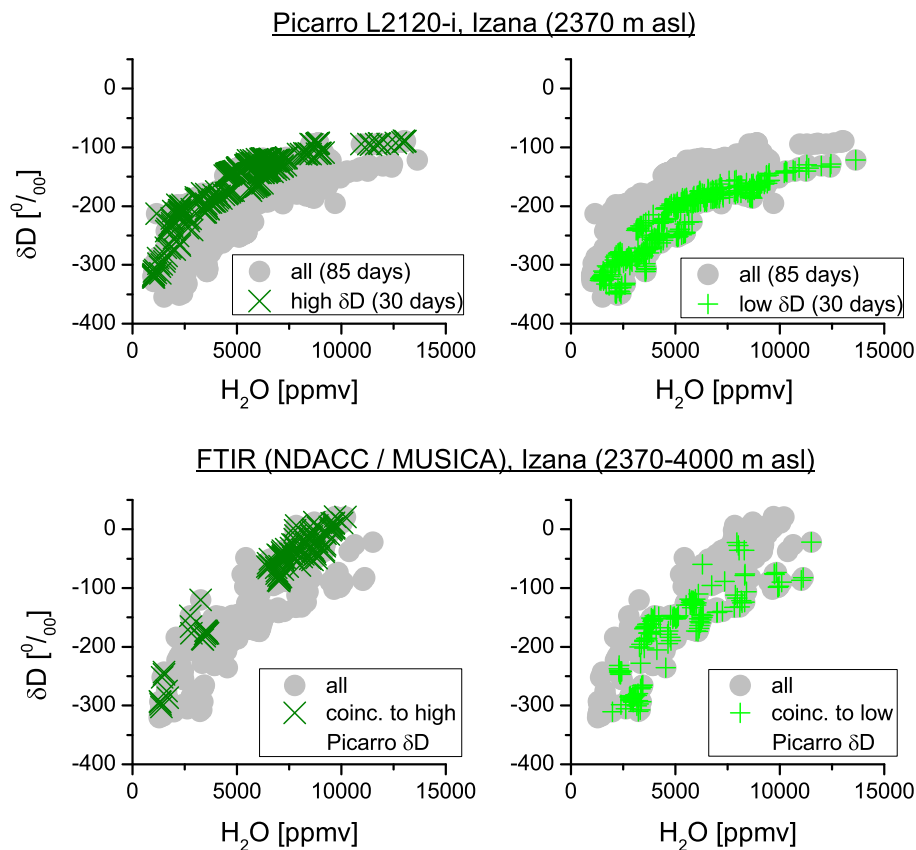
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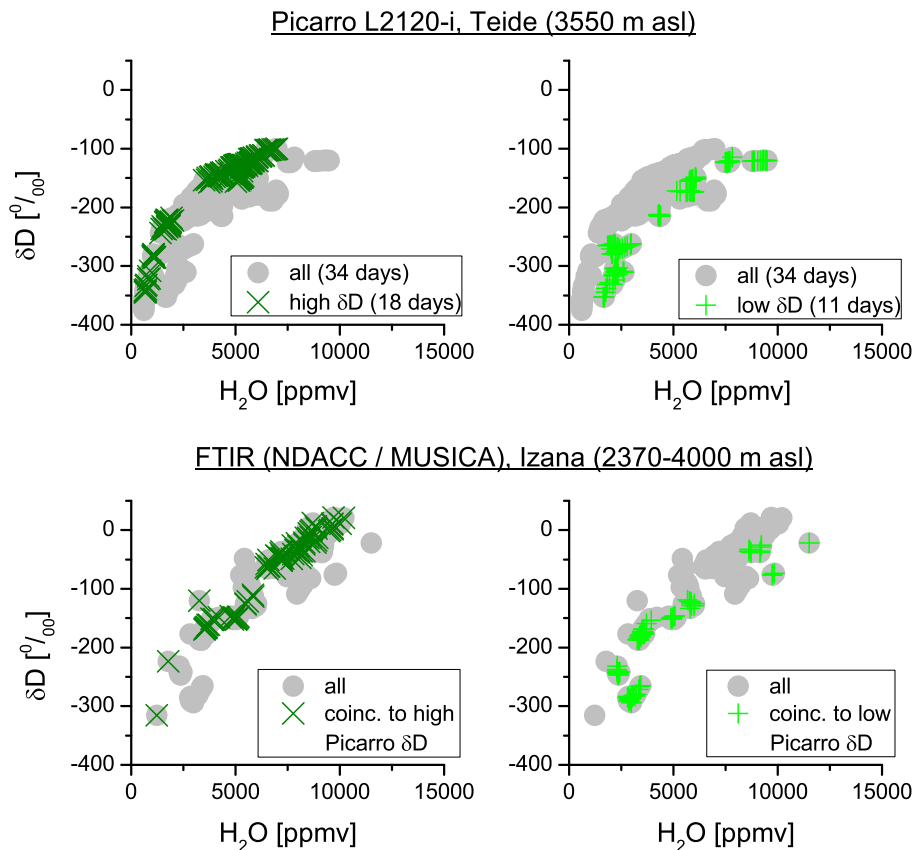


**Figure 13.**  $\delta D$ -vs.- $H_2O$  plots for Picarro data measured at 06:00–08:00 UT at Izaña (2370 m a.s.l.) and for FTIR data measured during morning hours (solar elevation  $< 30^\circ$ ) and representative for the altitudes between Izaña and  $\approx 4000$  m a.s.l.

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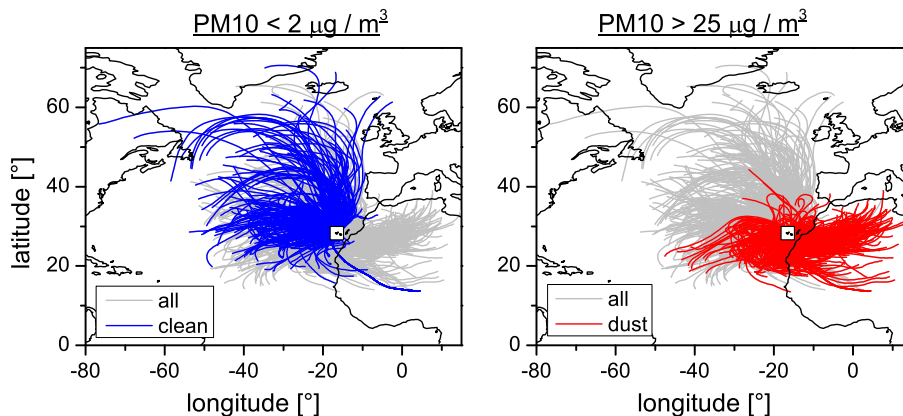
**Figure 14.** Same as Fig. 13 but for Picarro data measured at 06:00–08:00 UT at Teide (3550 m a.s.l.).

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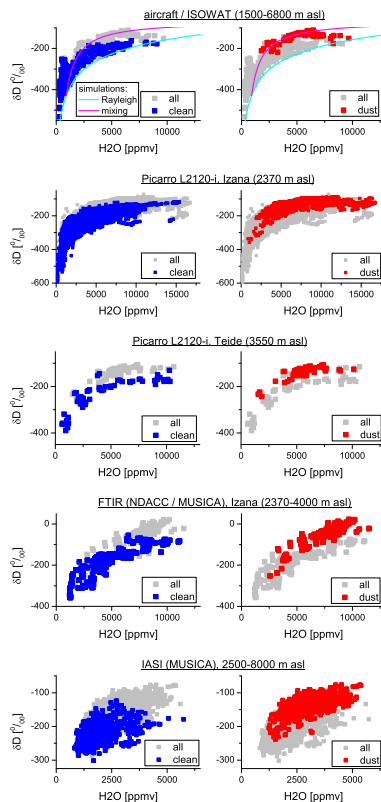


**Figure 15.** 72 h backward trajectories between May and October (2012 and 2013) for Izaña classified with respect to the  $PM_{10}$  value as measured in-situ at Izaña. Grey lines: all backward trajectories; blue lines: for  $PM_{10} < 2 \mu g m^{-3}$ ; red lines: for  $PM_{10} > 25 \mu g m^{-3}$ .

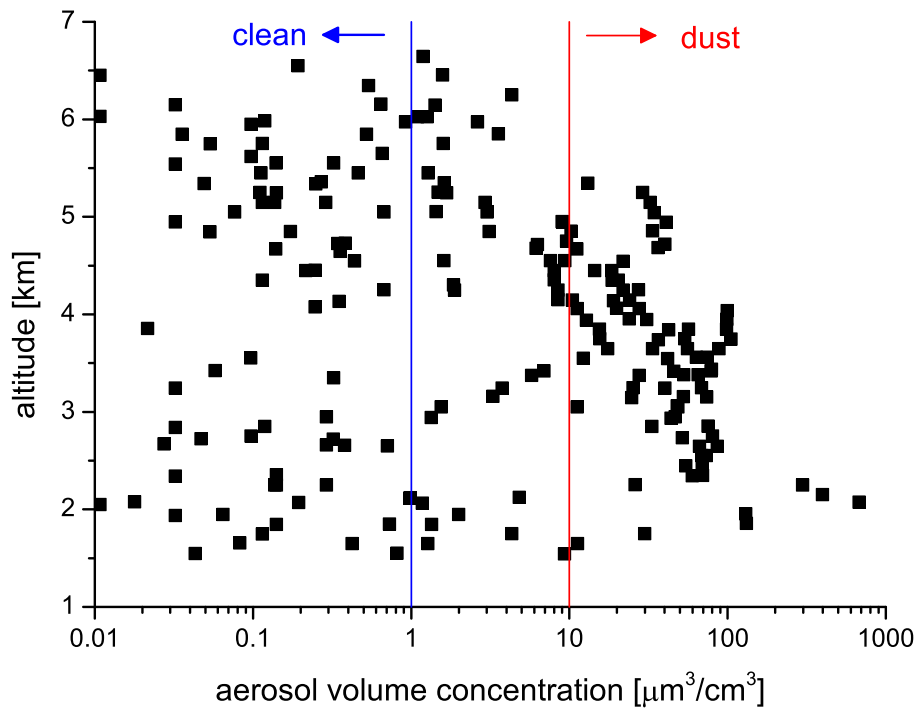
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**Figure 16.**  $\delta D$ -vs.- $H_2O$  plot observed from May to October at and close to Tenerife by different instruments. Gray dots: all data; blue dots: for airmass clearly not affected by the African continent; red dots: for airmass clearly affected by strong vertical mixing over the African continent. In addition the top panels show two simulated curves. Magenta line: mixing for  $H_2O[1] = 25000$  ppmv;  $\delta D[1] = -80$ ‰ and  $H_2O[2] = 900$  ppmv;  $\delta D[2] = -430$ ‰; cyan line: Rayleigh curve for initialisation with  $T = 25^\circ\text{C}$  and  $\text{RH} = 80$  %.



**Figure A1.** Vertical distribution of aerosol volume concentration ( $V_{10}$ ) measured above the boundary layer during the six aircraft flights.

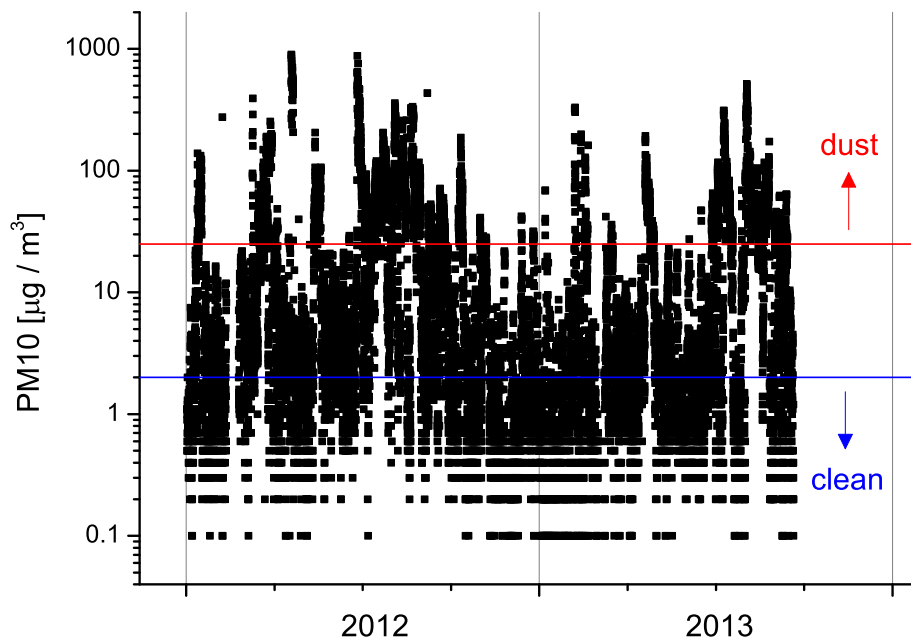
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**Figure A2.** Time series of PM<sub>10</sub> in-situ data as measured continuously at Izaña (2012–2013).

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