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# Intercomparison of daytime stratospheric NO<sub>2</sub> satellite retrievals and model simulations

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## Abstract

This paper evaluates the agreement between stratospheric NO<sub>2</sub> retrievals from infrared limb sounders (MIPAS and HIRDLS) and solar UV/VIS backscatter sensors (OMI, SCIAMACHY limb and nadir) over the 2005–2007 period and across the seasons. The observational agreement is contrasted with the representation of NO<sub>2</sub> profiles in 3-D chemical transport models such as the Whole Atmosphere Community Climate Model (SD-WACCM) and TM4. A conclusion central to this work is that the definition of a reference for stratospheric NO<sub>2</sub> columns formed by consistent agreement among SCIAMACHY, MIPAS and HIRDLS limb records (all of which agree to within  $0.25 \times 10^{15}$  molecules cm<sup>-2</sup> or better than 10 %) allows us to draw attention to relative errors in other datasets, e.g.: (1) the WACCM model overestimates NO<sub>2</sub> densities in the extratropical lower stratosphere, particularly over northern latitudes by up to 35 % relative to limb observations, and (2) there are remarkable discrepancies between stratospheric NO<sub>2</sub> column estimates from limb and nadir techniques, with a characteristic seasonal and latitude dependent pattern. We find that SCIAMACHY nadir and OMI stratospheric columns show overall biases of  $-0.6 \times 10^{15}$  molecules cm<sup>-2</sup> (-20 %) and  $+0.6 \times 10^{15}$  molecules cm<sup>-2</sup> (+20 %) relative to limb observations. It is highlighted that biases in nadir stratospheric columns are not expected to affect tropospheric retrievals significantly, and that they can be attributed to errors in the total slant column density, either related to algorithmic or instrumental effects. In order to obtain accurate and long time series of stratospheric NO<sub>2</sub>, a critical evaluation of the currently used Differential Optical Absorption Spectroscopy (DOAS) approaches to nadir retrievals becomes essential, as well as their agreement to limb and ground-based observations, particularly now that limb techniques are giving way to nadir observations as the next generation of climate and air quality monitoring instruments pushes forth.

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## 1 Introduction

Nitrogen dioxide ( $\text{NO}_2$ ) is a major air pollutant in the troposphere produced mainly from fossil fuel burning, but also from biomass burning, microbial soil activity and lightning (Lamarque, 1996). In the stratosphere,  $\text{NO}_2$  is a major ozone depleting substance produced primarily from the oxidation of nitrous oxide ( $\text{N}_2\text{O}$ ), which in turn arises from biogenic sources in soils, oceans and cultivated areas. In contrast, stratospheric  $\text{NO}_2$  also acts as a protection against halogen driven ozone loss by converting reactive chlorine, bromine and hydrogen compounds into stable reservoir species such as  $\text{ClONO}_2$ ,  $\text{BrONO}_2$  and  $\text{HNO}_3$  (Wennberg, 1994). Denitrification, or the removal of stratospheric  $\text{NO}_2$  through formation and deposition of polar stratospheric ice particles, thus becomes a key microphysical process in the formation of polar ozone holes (Farman, 1985). However, the representation of denitrification remains unrealistic in current chemical transport models (CTMs) during cold winters (WMO, 2003). Also for long-term studies, stratospheric  $\text{NO}_2$  remains subject to changes in Br and Cl loadings, and a trend in  $\text{N}_2\text{O}$  emissions of 2.5%/decade that could lead to further changes in stratospheric ozone concentrations (Ravishankara, 2009). The maintenance of a reliable and accurate system for the monitoring of stratospheric  $\text{NO}_2$  is thus justified, and this work purports to draw attention to the extent to which current observation systems are consistent with one another (Hegglin and Tegtmeier, 2014).

The monitoring of stratospheric  $\text{NO}_x$ , which began with the early work of Noxon (1979), straddled into the satellite era with the first vertically resolved profiles from LIMS (Limb Infrared Monitor of the Stratosphere) and the longer continuous datasets from solar occultation instruments like SAGE, HALOE, POAM and ACE-FTS. Other limb emission and scattering instruments have followed more recently like OSIRIS, HIRDLS, MIPAS and SCIAMACHY, along with the nadir UV/Vis backscattering observations from GOME, SCIAMACHY and OMI, and the lunar occultations from GOMOS. The role of the NDACC network of ground-based stations (Network for the Detection of Atmospheric Composition Change) as a reference for stratospheric  $\text{NO}_2$  validation

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also deserves to be mentioned, just as dedicated modeling evaluation efforts such as SPARC CCMVal (Eyring, 2010) to obtain a better understanding of stratospheric chemistry and its relation to the long-term evolution of the ozone layer.

## 2 Methodology

### 2.1 Satellite observations

Global and daily maps of tropospheric and stratospheric NO<sub>2</sub> amounts are provided routinely by satellite remote sensors. Limb sounders, like HIRDLS, MIPAS or SCIAMACHY (in limb mode), collect infrared thermal or UV/Vis solar backscattered radiation arising from the Earth's horizon to provide records of vertical trace gas profiles across the stratosphere. Limb sounders, however, have difficulty observing the tropospheric NO<sub>2</sub> component due to the extremely long optical paths that arise in the limb geometry. The optical path through the troposphere is minimized in nadir geometry, so that UV/Vis nadir sounders like OMI, SCIAMACHY (in nadir mode) and GOME are the only satellite sensors currently capable of providing information about the tropospheric NO<sub>2</sub> component. The difficulty with nadir measurements, however, lies in their low vertical resolution, which is related to the inability to separate the stratospheric and tropospheric contributions, particularly when more than 90 % of the observed NO<sub>2</sub> column resides in the stratosphere, as over unpolluted regions (Dirksen, 2011).

#### 2.1.1 Limb sounders

##### SCIAMACHY limb

The UV/Vis spectrometer SCIAMACHY (Scanning Imaging Absorption Spectrometer for Atmospheric Cartography, Bovensmann, 1999) was launched aboard the ESA satellite ENVISAT in a sun-synchronous orbit with a 10 a.m. local solar time (LST) at the descending node. The limb retrieval from SCIAMACHY (SCIA-Arc data Version

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3.1, <http://www.iup.uni-bremen.de/scia-arc>) is performed by IUP Bremen in the 420–470 nm wavelength range with a vertical resolution of 3–4 km using ratios of radiance spectra referenced to a common tangent height around 40 km. The retrieval takes into account the absorption by NO<sub>2</sub> (Bogumil, 2003), ozone and O<sub>2</sub>-O<sub>2</sub>, the Ring effect, undersampling and stray light corrections, and a third order polynomial – which accounts for smooth spectral features arising from surface albedo, and Rayleigh and Mie scattering/absorption contributions. A constant surface albedo and a background stratospheric aerosol scenario are included in the forward model. The explicit temperature dependence of the cross-sections is considered via ECMWF profiles (Rozanov, 2008).

### MIPAS

The limb sounder MIPAS (Michelson Interferometer for Passive Atmospheric Sounding, Fischer, 2008) is a Fourier infrared spectrometer flying aboard the ESA satellite ENVISAT in a sun-synchronous orbit with a 10 a.m. LST at the descending node. Infrared limb sounders like MIPAS and HIRDLS measure the thermal emission that arises from the atmosphere to yield the concentration of a specific absorber/emitter along the limb path. This type of retrieval requires knowledge of the layer temperature and pressure, which is solved preliminarily using channels that target gases with known mixing ratio, like CO<sub>2</sub>. The NO<sub>2</sub> volume mixing ratio (VMR) profile is retrieved using three narrow band channels (about 3 cm<sup>-1</sup>) centered about the NO<sub>2</sub> ν<sub>3</sub> band (6.2 micron) with a vertical resolution of 3–5 km. The retrieval takes into account interfering contributions from H<sub>2</sub>O and CH<sub>4</sub> (IMK-IAA version 4.0, von Clarmann, 2003; Funke, 2005) and MIPAS temperature and pressure profiles retrieved from multiple narrow channels located on the high frequency side of the main 15 micron CO<sub>2</sub> band.

## HIRDLS

The limb sounder HIRDLS (High Resolution Dynamics Limb Sounder, Gille, 2003) is an infrared radiometer flying aboard the NASA EOS satellite Aura in a sun-synchronous orbit with 1.45 p.m. LST at the ascending node. The NO<sub>2</sub> VMR profile is retrieved using a single wide band channel (about 30 cm<sup>-1</sup>) centered about the NO<sub>2</sub> ν<sub>3</sub> band (6.2 micron) with a vertical resolution of 1 km, and taking into account contributions from H<sub>2</sub>O, CH<sub>4</sub> and the O<sub>2</sub> pressure induced continuum (Lambert, 1999). As with MIPAS, temperature and pressure profiles are retrieved using multiple channels located on the low frequency side of the main 15 micron CO<sub>2</sub> band. A radiometric correction algorithm has been applied as detailed in Gille (2008) to account for the radiative contamination (background biases and drifts) arising from a piece of thermal insulation that became detached during launch and partially blocked the instrument aperture. Because of the partial blockage, the daytime HIRDLS measurements are collected at 3 p.m. LST over the equator. The present HIRDLS data release is Version 7 (Gille, 2012a, b).

### 2.1.2 Nadir sounders

UV/Vis nadir sounders like OMI and SCIAMACHY measure the solar radiation reflected back from the Earth's surface and atmosphere. The measured reflectance spectra (i.e. the ratio of top-of-atmosphere radiance to direct solar irradiance) yield the concentration of absorbing gas integrated along the effective light path through the atmosphere. The slant column density is then converted to a vertical column density using an airmass factor (AMF) derived from a radiative transfer calculation, which is based on a number of assumptions regarding the distribution of absorbers and scatterers (Burrows, 2011). The separation between stratospheric and tropospheric components is carried out with the help of a CTM model, as detailed below. The OMI and SCIAMACHY nadir products (as derived from KNMI and KNMI-BIRA slant column retrievals, respectively) are available at [www.temis.nl/airpollution/no2.html](http://www.temis.nl/airpollution/no2.html).

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## OMI

The UV/Vis spectrometer OMI (Ozone Monitoring Instrument, Levelt, 2006) was launched aboard the NASA EOS Aura, alongside with HIRDLS, in a sun synchronous orbit with a 1.45 p.m. LST at the ascending node. The nadir retrieval (KNMI DOMINO version 2.0) estimates total slant columns of NO<sub>2</sub> based on specific narrow-band absorption features in the Earth reflectance spectrum. The retrieval minimizes differences between model and observed reflectance spectra over the 405–465 nm spectral window using a spectral resolution of 0.63 nm, and taking into account the absorption by NO<sub>2</sub>, ozone, water vapor, the Ring effect and a fifth order polynomial – which accounts for smooth spectral features arising from surface albedo, and Rayleigh and Mie scattering/absorption contributions (Boersma, 2007, 2011). The NO<sub>2</sub> cross-section spectrum for 220 K is taken from Vandaele et al. (1998) and convolved with the OMI instrument transfer function (Dirksen, 2006). This retrieval uses a solar irradiance climatology established for the year 2005 as reference spectrum. A correction for the temperature sensitivity of the NO<sub>2</sub> spectrum is introduced in the airmass factor calculation using an effective column temperature derived from ECMWF temperature and CTM gas profiles (Boersma, 2004).

## SCIAMACHY nadir

The nadir retrieval (KNMI-BIRA TM4NO2A version 2.3) is effected over the 426–451 nm spectral window using a spectral resolution of 0.44 nm. It takes into account the absorption by NO<sub>2</sub>, ozone, water vapor and O<sub>2</sub>-O<sub>2</sub>, an undersampling cross-section, the Ring effect (Vountas, 1998) and a second order polynomial. The NO<sub>2</sub> cross-section for 243 K is taken from (Bogumil et al., 1999). A correction for the temperature sensitivity of the NO<sub>2</sub> spectrum is introduced in the AMF calculation using the same scheme applied to OMI retrievals (Boersma, 2004). Because of lack of usable solar spectra, the KNMI-BIRA retrievals use an earth radiance spectrum over the Indian Ocean as reference spectrum, which is corrected for the signature of an assumed  $1.5 \times 10^{15}$  molecules cm<sup>-2</sup>

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vertical stratospheric NO<sub>2</sub> column (ensuring long-term consistency with KNMI-BIRA retrievals from GOME, van der A, 2006).

## Stratospheric and tropospheric columns

The total slant column  $N_s$  retrieved from the nadir instrument (using a cross-section for NO<sub>2</sub> absorption at a fixed temperature) is transformed into a total vertical column  $N_v$  via the airmass factor  $M$  as:

$$N_v = N_s/M. \quad (1)$$

With

$$M = \sum_z m(z) \cdot c[T(z)] \cdot n_{v0}(z)/N_{v0} \quad (2)$$

Where  $m(z)$  is the scattering weighting function (Palmer, 2001, also vertically resolved sensitivity or airmass factor, usually derived from a radiative transfer calculation as a function of surface albedo and pressure, cloud fraction and pressure, and viewing geometry – independent of absorber distribution for an optically thin gas),  $n_{v0}$  is an a priori vertical trace gas profile extracted from a CTM model – with total sum  $N_{v0}$  across the layer, and  $c[T(z)]$  is a correction for the temperature sensitivity of the NO<sub>2</sub> cross-section (Boersma, 2004). The temperature correction is expressed as:

$$c(T) = \frac{N_s(T_{\text{ref}})}{N_s(T)} = (T_{\text{ref}} - 11.4)/(T - 11.4) \quad (3)$$

As a function of the reference temperature chosen for the spectral fit retrieval, namely  $T_{\text{ref}} = 243$  K for SCIAMACHY nadir and  $T_{\text{ref}} = 220$  K for OMI. The airmass factor  $M$  can be interpreted as the column weighted sensitivity of the slant measurement. The separation between stratospheric and tropospheric components is carried out via assimilation of measured slant columns into a chemical transport model (i.e. TM4 described in

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Sect. 2.3.2). The assimilation of stratospheric NO<sub>2</sub> columns from the OMI and SCIAMACHY nadir total columns proceeds as:

$$y = H \cdot x \quad (4)$$

5 Where  $y = N_s/M_{\text{geo}}$  is the measured slant column  $N_s$  normalized by the geometric air mass factor  $M_{\text{geo}}$  defined below,  $x = n_v(z)$  is the assimilated gas profile and

$$H(z) = M \cdot K(z)/M_{\text{geo}} \quad (5)$$

10 is the observation operator with averaging kernel  $K(z)$  and normalized by the geometric air mass factor  $M_{\text{geo}}$ , defined as a function of the solar zenith angle (SZA) and satellite viewing line of sight (LOS) angle as:

$$M_{\text{geo}} = 1/\cos(\text{LOS}) + 1/\cos(\text{SZA}) \quad (6)$$

The averaging kernel  $K(z)$  is constructed as in Eskes (2003) as:

$$15 \quad K(z) = m(z) \cdot c[T(z)]/M \quad (7)$$

And the assimilation update proceeds as

$$x - x_0 = VH^T(HVH^T + S)^{-1}(y - Hx_0) \quad (8)$$

20 Where  $x_0$  is the a priori trace gas profile  $n_{v0}(z)$  provided by the CTM model. This equation implies that, as long as the observation noise covariance  $S$  is small, changes in the assimilated gas profile ( $x - x_0$ ) are driven by changes in the observed slant column ( $y - Hx_0$ ). The observation noise covariance is defined as:

$$25 \quad S = (4 \cdot N_{\text{SO,trop}} + 0.25 \cdot N_{\text{SO,strat}})/N_{\text{SO}} \quad (9)$$

which guarantees that the observation error becomes unacceptable as soon as the a priori model tropospheric component is larger than about  $0.5 \times 10^{15}$  molecules cm<sup>-2</sup>

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(Boersma, 2007, note that typical values for the tropospheric and stratospheric slant columns,  $N_{s, \text{trop}}$  and  $N_{s, \text{strat}}$ , over clean backgrounds are  $0.2$  and  $2 \times M_{\text{geo}} \times 10^{15}$  molecules  $\text{cm}^{-2}$  respectively). Thus only measurements with expected low tropospheric components are used to update the model gas profiles. The a priori state covariance  $V$  is formulated such that  $V \cdot (1 \dots 1)^T$  is proportional to the model gas profile  $n_{v0}(z)$  (simultaneously enforcing a horizontal correlation length of 600 km). This implies that the vertical dependence of the increments made to model gas profiles is proportional to  $VH^T$  according to Eq. (8), i.e. proportional to the model gas profile times the averaging kernel, so that:

$$n_v(z) = n_{v0}(z) \cdot (1 + \alpha \cdot K(z)) \quad (10)$$

Where  $\alpha$  is a scalar driven by observations. This constraint forces profile adjustments made in assimilation to ignore levels where the kernel is small, mostly in the troposphere, and take place in the stratosphere, where the kernel approaches unity, so that the shape of the model gas profile is also preserved. In summary, the assimilation adjusts the model profiles to match slant column observations over unpolluted areas, while preserving the shape of the stratospheric profile and leaving the expected clean tropospheric background largely unchanged. The assimilated information is then imported over polluted areas via atmospheric transport. The assimilation RMS error (i.e. the standard deviation of the differences between observed and assimilated NO<sub>2</sub> columns over clean areas) is  $0.25 \times 10^{15}$  molecules  $\text{cm}^{-2}$ .

## 2.2 Photochemical correction

### Diurnal NO<sub>2</sub> variation

NO<sub>2</sub> belongs to the odd nitrogen group (NO<sub>y</sub>) which is a long-lived family with a lifetime of about 1 year formed in the middle stratosphere by oxidation of N<sub>2</sub>O, and mainly composed of NO, NO<sub>2</sub>, N<sub>2</sub>O<sub>5</sub>, HNO<sub>3</sub> and ClONO<sub>2</sub>. NO<sub>2</sub> is a short-lived gas in fast photochemical equilibrium with NO, whose sum is referred to as NO<sub>x</sub>. At night, all NO<sub>x</sub> is

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in the form of NO<sub>2</sub>. But over daytime, a photochemical balance between NO<sub>2</sub> and NO is maintained by two rapid processes: the photolysis of NO<sub>2</sub> into NO and the oxidation of NO into NO<sub>2</sub> via ozone, in a cycling that takes place on a time scale of about one minute – and which is strongly dependent on temperature and ozone concentration (Basseur, 1999). After a rapid NO/NO<sub>2</sub> balance, the evolution of total stratospheric NO<sub>x</sub> is controlled by sunlight driven exchange with the other main reservoir nitrogen species: HNO<sub>3</sub> (lifetime of weeks), N<sub>2</sub>O<sub>5</sub> (lifetime of hours to days) and ClONO<sub>2</sub> (lifetime of hours).

As schematically pictured in Fig. 1, NO<sub>x</sub> production occurs primarily by photodissociation of N<sub>2</sub>O<sub>5</sub> at daytime. Other but much slower daytime production paths are HNO<sub>3</sub> photolysis, ClONO<sub>2</sub> photolysis (i.e. chlorine activation) and reaction of HNO<sub>3</sub> with OH, all of them occurring primarily below 35 km. Removal of NO<sub>x</sub> occurs mainly through formation of N<sub>2</sub>O<sub>5</sub> at nighttime, which also reacts in a way similar to ClONO<sub>2</sub> on liquid/solid surfaces (such as background aerosols or polar stratospheric clouds) to form HNO<sub>3</sub>. Other minor NO<sub>x</sub> removal paths are the daytime formation of HNO<sub>3</sub> through reaction with the OH radical and the formation of ClONO<sub>2</sub> (i.e. chlorine deactivation) (Basseur, 2005). In contrast to N<sub>2</sub>O<sub>5</sub>, which is exclusively formed at night, HNO<sub>3</sub> is formed continuously: the nighttime gas phase production of HNO<sub>3</sub> and ClONO<sub>2</sub> may drop to zero, as OH disappears and ClO gradually goes away, but heterogeneous formation of HNO<sub>3</sub> continues mainly at the expense of N<sub>2</sub>O<sub>5</sub>.

In summary, the diurnal evolution of NO<sub>2</sub> results from the sunlight driven balance between NO and NO<sub>2</sub>, externally bound to a total NO<sub>x</sub> amount, which is almost entirely explained by nighttime formation and daytime breakup of N<sub>2</sub>O<sub>5</sub>. In the lower stratosphere, additional reactions involving formation of HNO<sub>3</sub> and ClONO<sub>2</sub> also affect the total NO<sub>x</sub> available. As shown in Fig. 2, the stratospheric NO<sub>2</sub> volume mixing ratio (VMR) features a broad maximum between 30–40 km (10–3 hPa) with a large drop at sunrise, as photodissociation brings NO<sub>2</sub> back in balance with NO. The daytime (nighttime) concentrations increase (decrease) gradually, reflecting the slow increase (decrease) in total NO<sub>x</sub> that mainly results from the breakup (formation) of N<sub>2</sub>O<sub>5</sub>.

## Photochemical correction

The strong diurnal NO<sub>2</sub> cycle complicates the comparison of satellite measurements taken at different local solar times (Hegglin and Tegtmeier, 2014). Figure 3 illustrates the sampling attributes of the limb and nadir instruments included in this study over a single orbital pass. The HIRDLS instrument covers the latitude range of 64° S to 80° N with an ascending node at 15.30 LST, a longitudinal spacing of 25° (3000 km) at the equator and 100 km spacing along-track. Both MIPAS and SCIAMACHY cover the entire 90° S to 90° N latitude range, with an along track spacing of 500/800 km for MIPAS/SCIAMACHY, a descending node at 10 a.m. and a longitudinal spacing of 25° degrees. As an imager, OMI shows a denser sampling capacity with along/across track spacing of 13/24 km, a 2600 km swath width and an ascending node at 13.45 p.m.

A photochemical model is introduced to correct for differences in local solar time (LST) between the different instruments. The photochemical correction (alias photo-correction) is effected via the ratio of model zonal mean NO<sub>2</sub> profiles evaluated at a given latitude lat and appropriate observation times (LST, LST<sub>0</sub>) as:

$$\text{VMR}(z, \text{lat}, \text{doy}, \text{LST}) = \text{VMR}(z, \text{lat}, \text{doy}, \text{LST}_0) \cdot \frac{\text{VMR}_{\text{model}}(z, \text{lat}, \text{doy}, \text{LST})}{\text{VMR}_{\text{model}}(z, \text{lat}, \text{doy}, \text{LST}_0)}$$

Where  $z$  refers to altitude and do $y$  to day-of-year. The photochemical correction is based on the WACCM model described in Sect. 2.3.1. All the satellite records have been diurnal cycle corrected to HIRDLS LST (see Fig. 3) using altitude, latitude and seasonally dependent scaling factors. Figure 4 shows representative column averaged photocorrection factors, which roughly amount to 5–10% increases for OMI columns and 10–30% increases for MIPAS and SCIAMACHY columns. The large wintertime photocorrection factors in Fig. 4, south of 50° S in the austral winter or north of 60° N in the boreal winter, correspond to latitude sectors that suffer daytime to nighttime conversions and should be treated with caution. Errors introduced by the photocorrection, which assumes that the aspects controlling the diurnal NO<sub>2</sub> cycle (such as stratospheric temperature and the rate of photolytic decay of N<sub>2</sub>O<sub>5</sub>) have much stronger

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latitudinal than longitudinal dependencies, and may include uncertainties regarding kinetic reaction rates and photolysis cross sections, are expected to be less than 10 % in the middle stratosphere and 20 % in the lower/upper stratosphere over extra-polar latitudes. Larger uncertainties are expected over regions where transport dynamics dominate over chemistry, such as the edge of the winter polar vortex (north of 45° in the winter hemisphere) and close to the UTLS (below approximately 50 hPa).

### 2.3 Model simulations

#### SD-WACCM

The SD-WACCM model (Whole Atmosphere Community Climate Model with specified dynamics, Version 4) is used in this work to perform the diurnal cycle corrections detailed in Sect. 2.2. It is a full global climate model with chemistry based on the Community Atmospheric Model (CAM) featuring 66 vertical levels from the ground to approximately 145 km, and all the physical parameterizations described in Richter (2008). The dynamical fields of temperature and wind are specified by MERRA reanalyses (Rieneker, 2011). The gravity wave drag and vertical diffusion parameterizations are as described in Garcia (2007). WACCM has a detailed neutral chemistry module for the middle atmosphere, including diurnal cycles for all constituents at all levels in the model domain as described in Kinnison (2007). Vertical resolution is  $\leq 1.5$  km between the surface and about 25 km, increasing to 2 km at the stratopause and 3.5 km in the mesosphere. The latitude and longitude grids have spacing of 1.9 and 2.5°, respectively. A slightly older version of this WACCM model (version 3.5.48) was included, along with 17 other chemistry climate models (CCMs), in the SPARC CCM-Val2 study (Eyring, 2010) assessing the confidence that can be placed in CCMs to represent key processes for stratospheric ozone and its impact in climate.

The TM4 chemistry transport model is used for the assimilation (i.e. separation of the stratospheric and tropospheric components) of the OMI and SCIAMACHY slant columns. Only assimilated profiles are analyzed here. The latitude and longitude grids have spacings of 2° and 3°, with 35 sigma pressure levels up to 0.38 hPa. The horizontal and vertical transport of species is based on dynamical fields of temperature and wind specified by the European Center for Medium Range Weather Forecast (ECMWF) reanalyses. The physical parameterizations for convective tracer transport, boundary layer diffusion and mass conserved tracer advection are as in (Tiedtke, 1989; Louis, 1979; Russell, 1981). The tropospheric chemical scheme is based on Houweling (1998) using the POET emissions database (Olivier, 2003). The stratospheric chemistry scheme accounts for O<sub>x</sub>-NO<sub>x</sub>-HO<sub>x</sub> reactions including the conversion of NO and NO<sub>2</sub> to N<sub>2</sub>O<sub>5</sub> and HNO<sub>3</sub>, but other aspects such as the photolysis of N<sub>2</sub>O and reactions with halogens are missing. To compensate for the simplified chemistry in the stratosphere, ozone concentrations are nudged to climatology above 50 hPa. Above 10 hPa, stratospheric HNO<sub>3</sub> is nudged to the UARS-derived O<sub>3</sub>/HNO<sub>3</sub> ratio, and stratospheric NO<sub>x</sub> is nudged to its value at 10 hPa (Dirksen, 2011).

### 3 Results and discussion

#### 3.1 Limb measurements

The intercomparison between satellite stratospheric NO<sub>2</sub> datasets starts with daily zonally averaged partial column profiles collected from limb sounders over the 2005–2007 period and covering the pressure range from 0.1 to 300 hPa using 2° latitude bins. The number of three-way coincidences between SCIAMACHY limb, MIPAS and HIRDLS, which is mainly limited by missing data in the MIPAS record over 2005–2006 (due to an instrumental anomaly) and some HIRDLS flagged data (Gille, 2012a), is listed in

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Table 1. The seasonal averages created from the three-way collocated datasets are shown in Figs. 5–6 and remain representative of climatology to 5–10% in the light of the WACCM model intraseasonal variability. Recall that all datasets have been photo-corrected to HIRDLS local solar times.

5 The partial column profiles  $n_v(z)$  are calculated as:

$$n_v(z_i) = 10 \cdot N_A / (g \cdot M_{\text{air}}) \cdot 0.5 \cdot (\text{VMR}_{i+1} + \text{VMR}_i) \cdot (p_{i+1} - p_i)$$

Where  $N_A$  is Avogadro's constant ( $6.022 \times 10^{23}$  molecules mol<sup>-1</sup>),  $g$  is the Earth's gravity ( $9.80 \text{ ms}^{-2}$ ),  $M_{\text{air}}$  is the molar mass of air ( $28.97 \text{ g mol}^{-1}$ ) and VMR is the gas volume mixing ratio. Partial column profiles are calculated on a standard grid with  
10 uniformly spaced log-pressure levels defined as  $p(i) = 1000.0 \times 10^{-i/24}$  for  $i = 0, 120$  in hPa over the MAM, JJA, SON and DJF seasons and over Southern Hemisphere ( $30^\circ \text{ S} - 60^\circ \text{ S}$ ), tropical ( $30^\circ \text{ N} - 30^\circ \text{ S}$ ) and Northern Hemisphere ( $30^\circ \text{ N} - 60^\circ \text{ N}$ ) latitude sectors. Excluded from the statistics are polar latitudes north of  $60^\circ \text{ N}$  and south of  
15  $60^\circ \text{ S}$ . The comparison scores, including mean relative difference (MRD) and standard deviation (STD), are summarized on Table 2. The mean relative difference (MRD) between two records is calculated by dividing the mean absolute difference by the mean profile, which gives an indication of bias whenever larger than the combined precisions of the two records – which is on the order of 1–2%, given the large number of profiles  
20 included in the difference. The standard deviation (STD) refers to the standard deviation of the mean difference, which gives an indication of the precision with which a bias is observed between the records. Note that the comparison statistics on Table 2 have been summarized over a limited pressure range going from 3 to 30 hPa in the tropics and from 5 to 50 hPa in the extratropics, which already holds more than 80–90% of the total stratospheric column. These pressure limits have been used in earlier validation  
25 studies of stratospheric NO<sub>2</sub> profiles and thus facilitate reference to previous work.

Earlier validation studies indicate that SCIAMACHY limb profiles agree with MIPAS and GOMOS measurements to 10–20% from 25 to 40 km (3 to 30 hPa), degrading to 30–50% down to 15 km (100 hPa) (Bracher, 2005). The comparison against solar

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occultation measurements from HALOE, SAGE II and ACE-FTS gives an agreement typically within 20–30 % in the 20 to 40 km (3 to 50 hPa) altitude range (Bauer, 2012). The MIPAS NO<sub>2</sub> profiles agree with correlative ground based and solar occultations from HALOE, SAGE II, POAM III and ACE-FTS to 15–30 % overall from 25 to 45 km (2 to 30 hPa) in non-perturbed conditions (i.e. in absence of solar-proton-events, Wetzel, 2007). Finally, the HIRDLS data quality document (Gille, 2012a) reports a preliminary agreement between HIRDLS and MIPAS within 20 % over the 3–30 hPa pressure range over most locations.

Our own findings, summarized on Table 2 and Fig. 5, confirm an agreement between SCIAMACHY and MIPAS within 15–20 % over the 3–50 hPa pressure range, excluding the lower tropical stratosphere (around 30 hPa) where SCIAMACHY consistently appears up to 30 % stronger than MIPAS. The agreement between HIRDLS and SCIAMACHY (or MIPAS) is verified within 20 % over extratropical latitudes, excluding the JJA and SON seasons over the Southern Hemisphere, where HIRDLS shows a positive bias of up to 60 % around and below peak NO<sub>2</sub> levels, and largest standard deviations in the differences that are indicative of instabilities in the radiance correction algorithm. Note that large STDs over the NH sector in DJF and over the SH sector in JJA and SON are in part also related to enhanced photocorrection factors. Over the tropics, the HIRDLS profiles show a negative bias of up to 30 % around and below the peak level relative to SCIAMACHY and MIPAS all year long.

Observations of stratospheric NO<sub>2</sub> below the 50 hPa pressure level (20 km) as provided by the limb instruments should contribute to the study of stratospheric aerosol effects (50 to 100 hPa) and UTLS exchange. However, this altitude domain is very sensitive to instrumental and photocorrection errors, and relative errors need to be interpreted more carefully.

In summary, we find very good and strong agreement between SCIAMACHY limb and MIPAS stratospheric NO<sub>2</sub> partial column profiles across the seasons and latitudes, with low mean relative differences and low standard deviations, reinforced by good (low mean relative difference) though not so strong (higher standard deviation) agreement



to HIRDLS. The global average (min/max) relative difference between SCIAMACHY and MIPAS is 6 % (−17 % to 33 %) from 3 to 30 hPa, determined with a global average standard deviation of 9 %. Over the same pressure range, the global average (min/max) relative difference between HIRDLS and SCIAMACHY is −6 % (−57 % to 80 %) determined with a global average standard deviation of 15 %. The latest HIRDLS NO<sub>2</sub> profiles from Version 7 seem to be up to 30 % too low in the lower tropical stratosphere, and up to 60 % too high in the Southern Hemisphere over the late summer and early fall seasons. The SCIAMACHY profiles appear to be up to 30 % higher than MIPAS in the lower tropical stratosphere.

### 3.2 Model simulations

Following the intercomparison of satellite limb partial column profiles of stratospheric NO<sub>2</sub> comes reference to our current model representations. We introduce the partial column profiles of the WACCM and TM4 models (the latter after assimilation of the OMI total columns) and calculate their mean relative difference to the collection of limb observations, here represented by the SCIAMACHY limb dataset. The comparison statistics are summarized in Fig. 7a.

We observe a general good agreement between SD-WACCM and SCIAMACHY limb profiles over upper stratospheric levels and throughout the entire atmospheric depth in the tropics, typically within 30 %. However, the SD-WACCM peak NO<sub>2</sub> densities over the extratropics appear to be located too low in altitude (lower by about 5–10 hPa) and spanning too broad a pressure range than observed by the limb instrument, with large positive biases (over 100 %) in the lower stratosphere that become particularly acute over northern latitudes. The comparison between TM4 and the limb dataset conveys a similar portrait: good agreement between model and observations throughout the entire atmospheric depth in the tropics, with model peak NO<sub>2</sub> densities that are too low in altitude and too broad in extent in the extratropics, producing large positive biases in the lower stratosphere. The assimilated TM4 model also suffers from a persistent

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positive bias at upper stratospheric levels across latitudes and seasons – which is likely related to the HNO<sub>3</sub> nudging scheme and fixed NO<sub>x</sub> mixing ratio above 10 hPa.

We conclude that the mechanisms of NO<sub>2</sub> production and transport over the equatorial NO<sub>y</sub> production zone appear to be reasonably well represented in current CTM models, although there may be issues with chemistry and/or transport into the extratropical lower stratosphere, particularly over the Northern Hemisphere, as illustrated in Fig. 7b.

### 3.3 Nadir measurements

At this point we introduce the stratospheric columns derived from nadir instruments into the comparison. Recall that all datasets have been photocorrected to HIRDLS local solar times and that stratospheric columns are integrated to 287 hPa. The average difference in stratospheric columns calculated using a lower integration level of 287 hPa and the dynamical tropopause pressure is about  $0.05 \times 10^{15}$  molecules cm<sup>-2</sup> over the tropics and zero elsewhere.

The seasonally averaged stratospheric NO<sub>2</sub> columns measured by nadir instruments are shown in Fig. 8, along with their limb and model counterparts. The stratospheric NO<sub>2</sub> columns are characterized by a tropical minimum over the equatorial NO<sub>y</sub> production zone, where total nitrogen is subject to upward and poleward transport. Figure 9a illustrates the extratropical seasonal cycle marked by winter minima and summer maxima, characterized by an amplitude that increases with latitude. Similar to the diurnal NO<sub>2</sub> variation, the seasonal evolution of NO<sub>x</sub> is explained by the steady state concentration of N<sub>2</sub>O<sub>5</sub> (Solomon, 1983) after balance between nighttime formation and daytime destruction. As the amount of daily photolysis decreases over winter, NO<sub>x</sub> begins to store into inactive N<sub>2</sub>O<sub>5</sub> reservoirs, but also into HNO<sub>3</sub>, ClONO<sub>2</sub> and BrONO<sub>2</sub>, as polar winter conditions set heterogeneous processes in motion, which results in a decrease of NO<sub>x</sub> columns into the winter hemisphere. Conversely, the photolytically driven release of reservoirs over the summer season results in an increase of NO<sub>x</sub> columns into the summer hemisphere. The gradually increasing temperatures into the

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summer hemisphere also shift the daytime NO : NO<sub>2</sub> partition in favor of NO<sub>2</sub>. Asymmetries in the NH/SH distribution, such as the larger seasonal amplitude in the Northern Hemisphere (or the larger southern winter abundances) seen in Fig. 9a, should in principle be attributed to the slight asymmetry in the HIRDLS LST-latitude curve, which renders southern latitudes more exposed to nighttime conditions during the winter season. The physical basis behind inter-hemispheric asymmetries in stratospheric NO<sub>2</sub> distributions has been attributed to dynamic and radiative conditions arising from reduced wave driving in the Southern Hemisphere winter (Solomon, 1984; Rosenlof, 1995; Dirksen, 2011).

The presence of a strong seasonal cycle in the extratropics makes tropical latitudes better suited to the study of long-term time trends, although natural variability also affects these latitudes. The evolution of stratospheric NO<sub>2</sub> columns over the equator (middle panel in Fig. 9a) is subject to a small annual cycle with minimum columns in the northern winter (January) related to strong updrafts from the wave driven circulation. Figure 9b shows the altitude cross-section of stratospheric NO<sub>2</sub> columns over the equator, where a small negative QBO signal may be appreciated around January 2007 (Dirksen, 2011). Here a negative QBO phase related to a predominant easterly shear zone is acting, along with the annual winter updraft, to advect NO<sub>x</sub> poor air from below (Zawodny, 1991). The presence of natural variability makes the combination of records from multiple satellite datasets (e.g. GOME, SCIAMACHY, OMI) very appealing for the study of long-term time trends.

Focusing solely on the limb collection formed by SCIAMACHY limb, MIPAS and HIRDLS records in Fig. 8, we observe a very tight agreement across latitudes and seasons, with exception of HIRDLS over southern latitudes in the JJA and SON seasons, which we already singled out as anomalous back when we examined the partial column profiles in Fig. 5. This tight agreement suggests that we define a limb reference for stratospheric NO<sub>2</sub> columns that combines SCIAMACHY limb, MIPAS and HIRDLS records, but excludes HIRDLS data over the southern latitudes during the JJA and SON seasons. A summary of the mean differences of limb, nadir and model stratospheric

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NO<sub>2</sub> records to the limb reference is shown in Table 3. The limb reference agrees with each of its constitutive datasets to within  $0.25 \times 10^{15}$  molecules cm<sup>-2</sup>, and the fact that it is formed using records derived from entirely independent techniques (from infrared emission to solar UV/VIS scattering) lends it additional solidity. Having such a consistent reference from the limb instruments allows us to make inferences about the quality of the other datasets.

For instance, the stratospheric NO<sub>2</sub> columns from the SD-WACCM model match the limb observations neatly over the tropics, as seen in Table 3, but are high in the extratropics across the seasons and particularly in the Northern Hemisphere by as much as  $1.0 \times 10^{15}$  molecules cm<sup>-2</sup> or 35% relative to the limb reference. Mean relative biases from SCIAMACHY nadir to the limb reference over {SH, Eq, NH} are  $\{-0.5, -0.7, -0.4\} \times 10^{15}$  molecules cm<sup>-2</sup>, with a small seasonal cycle in the tropics and NH of  $0.15 \times 10^{15}$  molecules cm<sup>-2</sup>, and a stronger seasonal signal of  $0.3 \times 10^{15}$  molecules cm<sup>-2</sup> in the SH featuring largest discrepancies in JJA and smallest in DJF. Mean relative biases from OMI to the limb reference over {SH, Eq, NH} are  $\{0.7, 0.5, 0.6\} \times 10^{15}$  molecules cm<sup>-2</sup>, also with a small seasonal cycle in the tropics and NH, and a larger seasonal cycle of  $0.3 \times 10^{15}$  molecules cm<sup>-2</sup> in the SH, featuring largest discrepancies in SON and smallest in MAM over the Southern Hemisphere (reversed over the NH). The relative bias between the SCIAMACHY nadir and OMI datasets over {SH, Eq, NH} is  $\{1.1, 1.2, 1.0\}$  molecules cm<sup>-2</sup> or about 30–50%. The apparent offset in OMI stratospheric columns is currently under investigation and has been preliminarily traced back to spectral DOAS fit sensitivities to wavelength calibration, liquid water and O<sub>2</sub>-O<sub>2</sub> contributions (Jos van Geffen, personal communication, 2013).

The offset between SCIAMACHY limb and SCIAMACHY nadir retrievals has been observed before by Beirle (2010) and Hillboll (2013). Hillboll (2013) noted that the limb-nadir bias in SCIAMACHY showed a seasonal and latitudinal dependent pattern similar to shown here, with a seasonal cycle in the Southern Hemisphere of about  $0.3 \times 10^{15}$  molecules cm<sup>-2</sup> and smallest discrepancies over the austral summer. Their

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results indicate that the columns from SCIAMACHY limb (same IUP Bremen retrieval version 3.1 as is used here) are higher than those from SCIAMACHY nadir (from IUP Bremen retrieval, different from the KNMI-BIRA algorithm used here) by about  $0.2\text{--}0.4 \times 10^{15}$  molecules  $\text{cm}^{-2}$  over the tropics, implying that the SCIAMACHY nadir stratospheric columns from the IUP Bremen retrieval are higher than those derived from KNMI-BIRA by about  $0.3\text{--}0.4 \times 10^{15}$  molecules  $\text{cm}^{-2}$ . The difference could be arising from the utilization of different retrieval configurations in the generation of nadir columns. (Boersma, 2008) also noted an offset in normalized total slant columns between OMI and SCIAMACHY nadir of  $0.6 \times 10^{15}$  molecules  $\text{cm}^{-2}$  for August 2006 – before photocorrection, which is about one half of what we observe here (they used older retrieval versions for OMI – DOMINO version 0.9 – and SCIAMACHY nadir – TM4NO2A version 1.04 – in that study), and attributed the discrepancy to differences in the reference solar spectrum used for spectral fitting. It becomes clear that stratospheric NO<sub>2</sub> columns derived from nadir instruments like SCIAMACHY and OMI show marked seasonal and latitudinal dependent biases that are sensitive to the retrieval configuration used to generate them.

At this point, an examination of the role of the NDACC ground-based stations as validation sources becomes relevant. Dirksen (2011) determined that stratospheric NO<sub>2</sub> columns from ground-based (UV/Vis and FTIR) and satellite nadir OMI (DOMINO version 0.8) retrievals at various NDACC stations (including Jungfraujoch, Izana and Sodankyla) agreed to within  $0.3 \times 10^{15}$  molecules  $\text{cm}^{-2}$ . Similarly, Hendrick (2012) did not find any significant biases between ground-based (UV/Vis and FTIR) and satellite nadir UV/Vis observations of stratospheric NO<sub>2</sub> for the 1996–2009 period [using overlapping GOME, SCIAMACHY (version 1.10) and GOME-2 records] at Jungfraujoch (46.5° N) over the year 2005. The fact that the NDACC network could not identify a seasonal or latitude dependent bias in neither SCIAMACHY nadir nor OMI slant columns remains unexplained. As a further matter, Wetzel (2007) did not find any significant biases between ground-based (UV/Vis) and the MIPAS record at Jungfraujoch over the year 2003. Wetzel (2007) conducted comparisons of MIPAS against the entire

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NDACC UV/Vis network from 80° S to 80° N to conclude that the agreement fell within the accuracy limit of the comparison method. Additional comparisons against NDACC ground-based FTIR records in Kiruna and Harestua revealed a degree of seasonality in the relative differences, with a ground-based FTIR daytime record that appeared up to  $0.5 \times 10^{15}$  molecules cm<sup>-2</sup> larger than MIPAS during the NH summer. Current efforts to anchor satellite measurements to ground-based references do not appear precise enough for a clear picture to emerge. It is in this light that we opt to lean on the side of consistency among large but independent datasets, like those from the satellite limb collection, as a validation source.

In the following, we should like to highlight two important points: (1) that biases in nadir stratospheric columns do not affect the tropospheric column significantly and (2) that biases in nadir stratospheric vertical columns cannot be attributed to errors in the airmass factor, so that they must arise from errors in the fitted slant column densities.

### Point #1 – effects on tropospheric columns

Recall that the assimilation adjustment required for the formation of the nadir stratospheric column is proportional to the product of the averaging kernel and the a priori gas profile as in Eq. (10). A look at the typical averaging kernel and trace gas profiles over clean NO<sub>2</sub> backgrounds ( $N_{v, \text{trop}} < 1 \times 10^{15}$  molecules cm<sup>-2</sup>) under cloudy and clear conditions is given in Fig. 10. Note that the sensitivity to NO<sub>2</sub> is strongly reduced in the troposphere. The difference between the left and middle panels in Fig. 10 is explained by the temperature correction factor  $c[T(z)]$ . The right panel in Fig. 10 shows that assimilation adjustments are distributed mainly above of 500 hPa level. Under average conditions, an increase of 100 % in the stratospheric component forced by assimilation (i.e.  $\alpha = 1.0$  in Eq. 10) will translate into an approximate 50 % increase in the clean tropospheric component by virtue of the reduced tropospheric sensitivity encoded in the kernel constraint. Therefore, one would expect an average positive bias of  $0.1 \times 10^{15}$  molecules cm<sup>-2</sup> in the tropospheric component in response to a stratospheric bias of 100 % forced by observations, assuming a clean background

with average  $N_{v, \text{trop}} = 0.2 \times 10^{15}$  molecules  $\text{cm}^{-2}$ . This amount is rather inconsequential, but note that larger “forced tropospheric biases” could arise locally over clean areas with large  $\text{NO}_2$  amounts in the upper troposphere, as the tropospheric  $\text{NO}_2$  background reaches the assimilation top of  $0.5 \times 10^{15}$  molecules  $\text{cm}^{-2}$ .

In summary, biases in slant column densities are absorbed over clean areas mostly into the stratospheric component. This explains why no significant biases are observed in clean tropospheric  $\text{NO}_2$  backgrounds between OMI and SCIAMACHY nadir datasets (see right panel on Fig. 11, where a median difference of  $0.04 \times 10^{15}$  molecules  $\text{cm}^{-2}$  is observed in the tropospheric backgrounds).

## Point #2 – influence of the stratospheric airmass factor

The estimation of nadir stratospheric columns from slant column retrievals ( $N_v = N_s/M$ ) involves a number of assumptions encoded in the airmass factor such as the scattering sensitivity profile  $m(z)$ , the model gas profile  $n_{v0}(z)$ , or the correction to temperature sensitivity  $c[T(z)]$ . A cursory look at the effects of these assumptions on stratospheric columns should convince us that uncertainties around a prioris are of small import, and that biases in vertical columns can be directly mapped to biases in the fitted slant column densities. The argument revolves around the fact that most of the signal in a slant column over a clean background is stratospheric in origin. The stratospheric slant column is corrected for viewing geometry and temperature sensitivity via the stratospheric airmass factor, but the amplitude of these corrections is not (and cannot be) as large as needed to explain the seasonal and latitude dependent patterns of bias observed.

Recall that the normalized total slant column ( $N_s/M_{\text{geo}}$ ), where normalized refers to scaled by the geometric AMF, can be split into stratospheric and tropospheric components as:

$$N_s/M_{\text{geo}} = (N_{v, \text{strat}} \cdot M_{\text{strat}} + N_{v, \text{trop}} \cdot M_{\text{trop}})/M_{\text{geo}} \quad (11)$$

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As shown in Fig. 11, the normalized tropospheric slant column ( $N_{s, \text{trop}}/M_{\text{geo}}$ ) features a statistical mode at  $0.13 \times 10^{15}$  molecules  $\text{cm}^{-2}$  representative of a clean  $\text{NO}_2$  background, which amounts to a 5% of the total slant column. A tropospheric source may thus be safely discarded as a cause of stratospheric bias.

In order to be mapped into a vertical column, the stratospheric slant column is scaled by the stratospheric airmass factor  $M_{\text{strat}}$  which contains a correction for scattering sensitivity,  $M_0$ , and another for temperature sensitivity,  $c(T_{\text{eff}})$ . From Eq. (2):

$$M_{\text{strat}} = c(T_{\text{eff}}) \cdot M_0 \quad (12)$$

The scattering airmass factor  $M_0$  in the stratosphere is defined as:

$$M_0 = \sum_{\text{strat}} m(z) \cdot n_{\text{v}0}(z) / N_{\text{v}0} \quad (13)$$

Where  $N_{\text{v}0} = \sum_{\text{strat}} n_{\text{v}0}(z)$ , and the correction for temperature sensitivity  $c(T_{\text{eff}})$  from Eq. (3) is a function of the column effective temperature  $T_{\text{eff}}$ , which is a weighted column average expressed as Chance (2002):

$$T_{\text{eff}} = \sum_{\text{strat}} T(z) \cdot m(z) \cdot n_{\text{v}0}(z) / N_{\text{v}0} \quad (14)$$

Since the normalized scattering sensitivity  $m(z)/M_{\text{geo}}$  is close to unity everywhere in the stratosphere (see left panel on Fig. 10), the normalized scattering airmass factor  $M_0$  will also approximate unity in the stratosphere (see Fig. 12), and the stratospheric airmass factor  $M_{\text{strat}}$  will be solely dependent on the shape of the a priori temperature and gas profiles (via the effective column temperature  $T_{\text{eff}}$ ).

Figure 12 shows the seasonally averaged stratospheric airmass factors, split into their scattering airmass  $M_0$  and temperature correction  $c(T_{\text{eff}})$  factors as a function of latitude. The normalized scattering airmass factor  $M_0$  lies generally within 1% of a flat

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global annual mean – with an absolute value about 2–3 % larger than the geometric AMF, reflecting that only a small fraction of the light is scattered within the stratosphere. The amplitude of the stratospheric temperature correction  $c(T_{\text{eff}})$ , which dominates the seasonal and latitudinal variability of the stratospheric AMF, lies generally within a 5 % of a flat annual global mean – with absolute values of 1.10 for SCIAMACHY and 0.99 for OMI, which only reflect the different reference temperatures chosen for the spectral fit retrieval, namely  $T_{\text{ref}} = 243$  K for SCIAMACHY nadir and  $T_{\text{ref}} = 220$  K for OMI. The temperature correction proves largely insensitive to uncertainties in the a priori gas and temperature profiles. The ECMWF temperature profiles are estimated to be accurate up to a few degrees (Knudsen, 2003) leading to errors of approximately 1 % in the temperature correction. Likewise, replacing the a priori gas profile with a reference gas profile from the limb collection will not change the effective column temperature by more than 2–3 K, which in turn will not affect the temperature correction  $c(T_{\text{eff}})$  by more than 1 % in the OMI case and 1.5 % in the SCIAMACHY nadir case. This also attests to the fact that a suboptimal representation of stratospheric NO<sub>2</sub> like that provided by TM4 is enough for assimilation purposes.

In summary, the fact that the tropospheric contribution to the slant column makes on average a 5 % of the total column over a clean background, the normalized scattering air mass factor  $M_0$  in the stratosphere lies within 1 % of a flat global annual mean centered around 1.02–1.03 for OMI and SCIA, the temperature correction factor lies within 5 % of a flat global annual mean centered around 0.99–1.10 for OMI and SCIA, and that neither component of the stratospheric air mass factor proves sensitive to uncertainties in a priori gas and temperature profiles, leaves little room to think that the stratospheric AMF could play any significant role in the biases observed, which reach up to 20–30 % in the OMI and SCIAMACHY nadir cases. Since none of the factors that mediate the transformation from total slant to stratospheric vertical columns can explain the biases observed in the nadir records, we infer that these must arise directly from errors in the fitted slant column densities.

## Zonal asymmetries

A look at the longitudinal signatures of nadir-to-limb discrepancies left after removing a latitudinal dependent bias such as depicted in Fig. 8 may help throw additional information about the nature of stratospheric (or slant column) biases in the nadir records.

5 The top panels in Figs. 13–14 show that the strongest zonal asymmetries in the nadir-to-limb difference plots corresponds to a wave-one pattern located poleward of  $45^\circ$  with an amplitude of  $\pm[0.15, 0.30] \times 10^{15}$  molecules  $\text{cm}^{-2}$  for SCIA and OMI. This pattern appears to be strongest in the spring months (during the breaking up of the winter vortex, MAM in NH and SON in SH) at locations where departures from the zonal mean  
10 temperature are largest (up to  $\pm 4$  K from the annual mean on the lower right panel in Fig. 13). The correlation between the longitudinal variability in nadir-to-limb discrepancies and the departures of temperature from the zonal mean translates into a sensitivity to stratospheric effective column temperature of 2 %/K for SCIA and OMI vertical columns, which is several times larger than the temperature sensitivity of 0.5 %/K that  
15 arises from the  $\text{NO}_2$  cross-section temperature dependence according to Boersma (2004) or 0.3 %/K according to Bucsela (2013).

One aspect that may partly explain the temperature correlated signatures observed in panels (a) and (b) in Fig. 13 is the photocorrection, which assumes that the factors that control the diurnal  $\text{NO}_2$  cycle, such as stratospheric temperature, do not  
20 have a longitudinal dependency. Model studies indicate that vertical  $\text{NO}_2$  columns have a sensitivity of around 0.5 %/K to changes in stratospheric temperature – as increasing temperatures increase  $\text{NO}_2$  columns (see panel d in Fig. 13) while reducing the diurnal variation (Cook, 2009). But the photocorrection alone cannot justify the differences between the SCIAMACHY nadir and limb records seen in panel (b) in Fig. 13, since  
25 both datasets are in this case multiplied by the same zonally averaged photocorrection factor. The map of longitudinal anomalies between SCIAMACHY limb and MIPAS (see panel c in Fig. 13), though somewhat noisier due to poorer sampling, does not give indication of any temperature correlated difference. The small differences between MIPAS

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and SCIAMACHY limb anomalies confirm the longitudinal consistency of the limb reference and suggest the presence of temperature correlated errors in the nadir datasets.

#### 4 Summary and conclusions

Our comparison of stratospheric NO<sub>2</sub> profiles from various satellite limb records confirms an agreement within 15–20 % over the 3–50 hPa pressure range between MIPAS and SCIAMACHY over the 2005–2007 period, excluding the lower tropical stratosphere (around 30 hPa) where SCIAMACHY limb partial column profiles consistently appear up to 30 % larger than MIPAS. The agreement between HIRDLS and SCIAMACHY limb (and MIPAS) profiles is confirmed within 20 % over extratropical latitudes, excluding the late summer (JJA) and early fall (SON) season over the Southern Hemisphere, where HIRDLS is affected by a positive bias of about 60 % at and below peak NO<sub>2</sub> levels. Over the tropics, HIRDLS shows a negative bias of up to 30 % at and below peak NO<sub>2</sub> levels all year long, which is likely attributable to shortcomings of the radiance correction algorithm. Overall, we find an accurate and precise agreement between MIPAS and SCIAMACHY limb partial column NO<sub>2</sub> profiles across latitudes and seasons, with mean relative errors between –17 % and 33 % and an average standard deviation of 9 %, reinforced by accurate though not so precise agreement to HIRDLS, with mean relative errors between –57 % and 52 % (excepting the JJA SON sectors in SH) and an average standard deviation of 15 %.

The comparison of stratospheric NO<sub>2</sub> profiles from the SD-WACCM and TM4 model simulations and limb observations reveals a consistent portrait: there is good agreement between modeled and observed partial column profiles throughout the atmosphere over tropical latitudes (with mean relative errors within 30 %), but model NO<sub>2</sub> profiles tend to exhibit large positive biases (up to 100 %) in the extratropical lower stratosphere, with peak NO<sub>2</sub> densities that are generally low by 5–10 hPa and too broad in extent relative to limb observations, particularly over the northern latitudes,

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suggesting an incomplete understanding of the factors that regulate extratropical NO<sub>2</sub> distributions in these models.

A conclusion central to this paper is that a reference for stratospheric NO<sub>2</sub> columns may be defined based on the strong agreement between SCIAMACHY limb, MIPAS and HIRDLS records, good to within  $0.25 \times 10^{15}$  molecules cm<sup>-2</sup>. Previous validation work using ground-based observations do not seem consistent or precise enough for a clear picture to emerge, and it is in this light that we opt to lean on the side of consistency among large but independent datasets, like those from the satellite limb collection, as validation source. The definition of a limb based reference for stratospheric NO<sub>2</sub> allows us to make inferences about the quality of other datasets. For instance, the simulated stratospheric NO<sub>2</sub> columns from the SD-WACCM model match the limb reference neatly over the tropics. However, simulated columns are high in the extratropics, particularly in the Northern Hemisphere, with positive biases of  $1.0 \times 10^{15}$  molecules cm<sup>-2</sup> or 35 % relative to the limb reference. The stratospheric NO<sub>2</sub> columns from the SCIAMACHY nadir record are negatively biased by  $-0.6 \times 10^{15}$  molecules cm<sup>-2</sup> or -20 % relative to the limb reference. The stratospheric NO<sub>2</sub> columns from OMI are positively biased by  $0.6 \times 10^{15}$  molecules cm<sup>-2</sup> or +20 % relative to the limb reference.

The last part of this work examines how biases in slant columns retrieved from nadir instruments are assimilated largely into the stratospheric component, and not expected to affect tropospheric columns significantly. It also considers the seasonal variability of the stratospheric air mass factor and its sensitivity to errors in the a priori gas and temperature profiles, to justify that errors in stratospheric columns may safely be attributed to errors in the total slant column – either related to algorithm and/or instrumental effects. A brief look at the longitudinal distribution of nadir to limb discrepancies also suggests the presence of temperature correlated errors in the nadir stratospheric NO<sub>2</sub> retrievals from OMI and SCIAMACHY.

There is a general lack of consensus regarding absolute slant columns derived from nadir instruments using different retrieval methodologies. It becomes clear that stratospheric NO<sub>2</sub> columns derived from nadir instruments like SCIAMACHY and OMI are

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affected by seasonal and latitudinal dependent biases that are sensitive to the retrieval configuration used to generate them (i.e. wavelength calibration, absorption cross-sections for NO<sub>2</sub>, spectral fit window width, number of interfering species, spectral resolution, solar reference spectra, ring spectra, etc.). The remarkable diversity in DOAS approaches currently available to carry out slant retrievals from nadir instruments (e.g. from IUP Bremen, Hillboll, 2013, MPI-Heidelberg, Beirle, 2010, KNMI, Boersma, 2007, BIRA-IASB, etc.) points at the necessity to perform a critical review of retrieval methodologies, if anything to clarify whether biases are algorithm or instrument/calibration related. These biases render stratospheric NO<sub>2</sub> products from nadir instruments sub-optimal for scientific studies, and call for urgent attention, given that limb techniques are giving way to nadir techniques as the next generation of climate and air quality monitoring instruments. Such an effort towards harmonization, which is already underway as part of the pre-launch characterization for TROPOMI, is required to promote the utilization of nadir stratospheric NO<sub>2</sub> columns for ozone studies and climate research.

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**Table 1.** Number of daily zonal mean SCIAMACHY/MIPAS/HIRDLS collocations in the 2005–2007 period.

# Collocations	SCIA-MIP	SCIA-HIR	HIR-MIP	Three-way
MAM	79	142	58	55 days out of 276
JJA	104	191	97	88 days out of 276
SON	99	237	108	93 days out of 276
DJF	89	174	91	70 days out of 276

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**Table 2.** Comparison statistics [MRD and STD] over 5–50 hPa (extratropics) and 3–30 hPa (tropics).

MAM	SH		EQ		NH	
	Mean(min/max) [%]	STD [%]	Mean(min/max) [%]	STD [%]	Mean(min/max) [%]	STD [%]
SCI-MIP	5 (–6/14)	6	8 (0/33)	7	4 (–16/18)	8
HIR-SCI	5 (–26/17)	10	–31 (–57/–10)	12	–9 (–21/–4)	10
HIR-MIP	0 (–27/15)	10	–23 (–39/–8)	13	–5 (–37/13)	13
JJA	Mean(min/max) [%]	STD [%]	Mean(min/max) [%]	STD [%]	Mean(min/max) [%]	STD [%]
SCI-MIP	2 (–14/8)	19	9(1/32)	7	8 (–6/23)	6
HIR-SCI	25 (–20/80)	24	–22 (–42/–3)	12	–14 (–21/–6)	7
HIR-MIP	27 (–34/76)	26	–14 (–26/0)	15	–6 (–26/12)	9
SON	Mean(min/max) [%]	STD [%]	Mean(min/max) [%]	STD [%]	Mean(min/max) [%]	STD [%]
SCI-MIP	4 (–17/15)	12	7 (0/27)	7	4 (–8/16)	9
HIR-SCI	22 (5/50)	17	–19 (–39/–9)	13	–16 (–42/4)	15
HIR-MIP	26 (–11/48)	19	–11 (–39/7)	15	–11 (–51/7)	17
DJF	Mean(min/max) [%]	STD [%]	Mean(min/max) [%]	STD [%]	Mean(min/max) [%]	STD [%]
SCI-MIP	6 (–2/17)	6	7 (0/27)	7	2 (–17/13)	13
HIR-SCI	–8 (–29/2)	8	–16 (–36/–4)	16	11 (–5/52)	31
HIR-MIP	–1 (–28/13)	8	–9 (–34/11)	16	13 (–17/36)	33

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**Table 3.** Mean stratospheric column differences to limb reference (in  $10^{15}$  molecules  $\text{cm}^{-2}$ , italicized if larger than 0.25).

	MAM			JJA			SON			DJF		
	SH	Eq	NH	SH	Eq	NH	SH	Eq	NH	SH	Eq	NH
SCIA limb	-0.02	0.19	0.10	0.03	0.11	0.22	0.06	0.07	-0.01	0.04	0.03	-0.16
MIP	-0.07	0.05	-0.09	-0.03	-0.11	-0.22	-0.06	-0.07	-0.01	-0.12	-0.02	-0.08
HIRDLS	0.09	-0.24	-0.01	<i>1.11</i>	-0.14	-0.10	<i>1.06</i>	-0.06	-0.01	0.08	-0.02	0.24
WACCM	<i>0.31</i>	0.15	<i>1.01</i>	<i>0.32</i>	0.12	<i>0.69</i>	<i>0.64</i>	0.13	<i>0.66</i>	<i>0.31</i>	0.02	<i>0.80</i>
SCIA nadir	-0.52	-0.64	-0.41	-0.64	-0.79	-0.46	-0.42	-0.72	-0.38	-0.32	-0.65	-0.28
OMI	<i>0.47</i>	<i>0.61</i>	<i>0.72</i>	<i>0.79</i>	<i>0.51</i>	<i>0.63</i>	<i>0.80</i>	<i>0.47</i>	<i>0.56</i>	<i>0.66</i>	<i>0.46</i>	<i>0.64</i>

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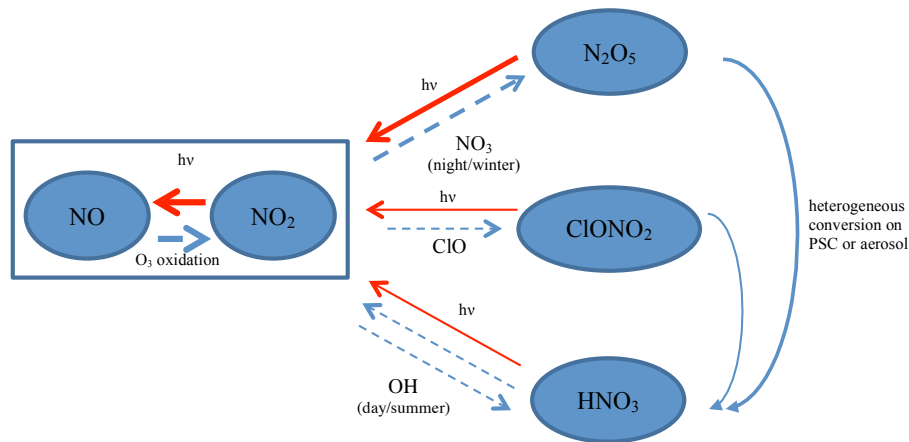
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**Fig. 1.** Schematic depiction of relevant interactions between nitrogen species in the stratosphere.

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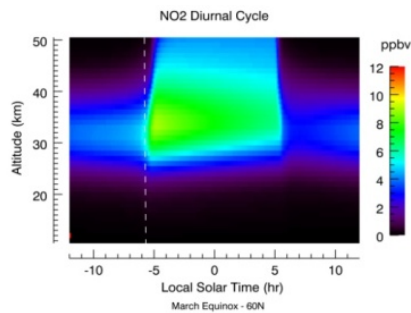
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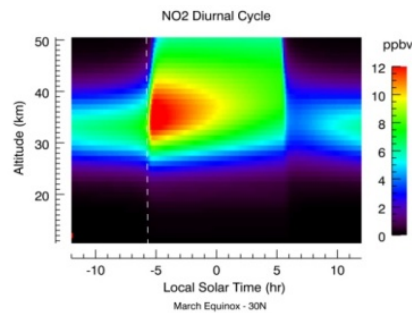


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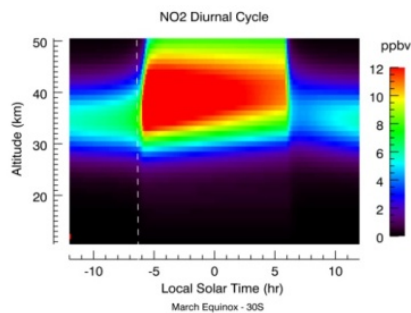
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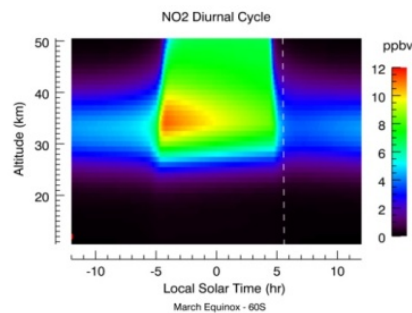
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30N



30S



60S

**Fig. 2.** Diurnal variation of NO<sub>2</sub> (21 March 2005) from the photochemical model described in (McLinden, 2000).

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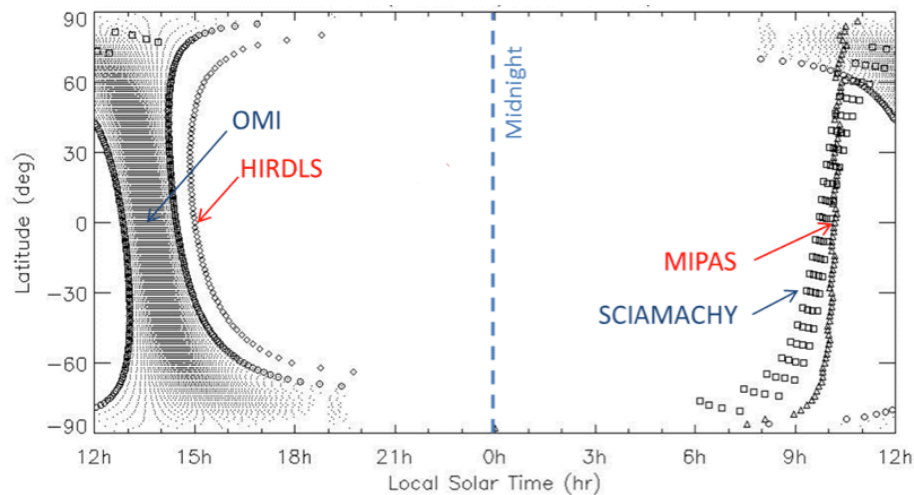
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**Fig. 3.** Daytime local solar times (LST) for different satellite observations: OMI and HIRDLS fly on the same EOS Aura platform, yet their viewing geometries result in different local solar times. Same occurs to MIPAS and SCIAMACHY on ESA's ENVISAT.

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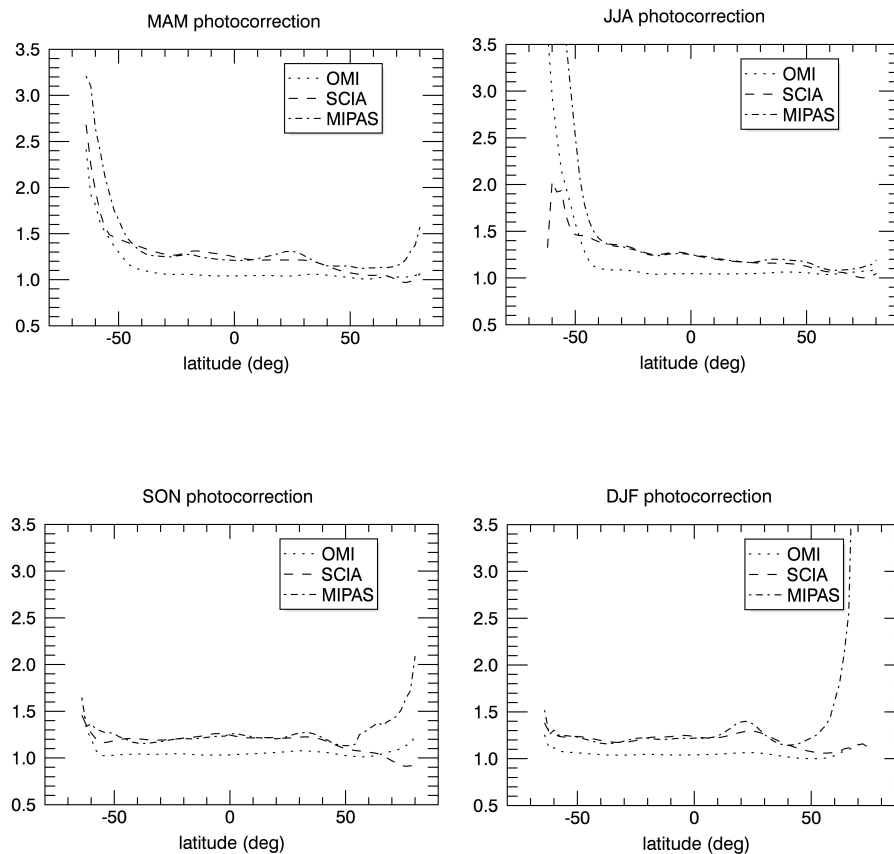
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**Fig. 4.** Seasonal average (MAM, JJA, SON and DJF) of OMI, MIPAS and SCIAMACHY column photoreaction (diurnal cycle correction) factors plotted as a function of latitude.

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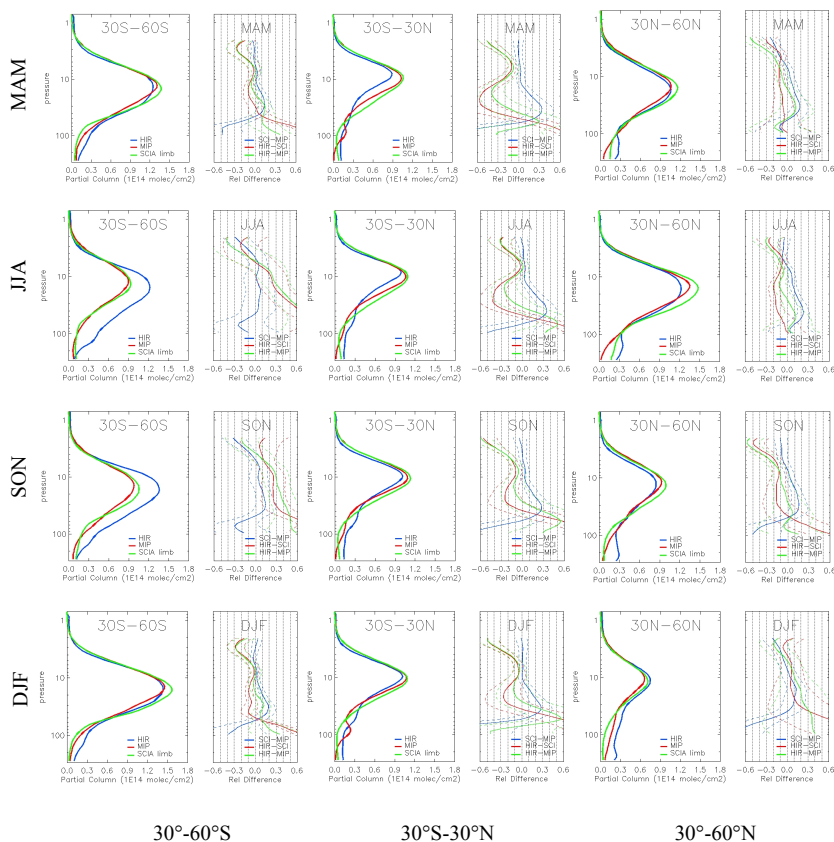
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**Fig. 5.** Partial column NO<sub>2</sub> profiles from HIRDLS (blue), MIPAS (red) and SCIAMACHY limb (green): means and mean relative differences over different latitude sectors (SH in the first column, tropical in the second column, and NH in the third column) and seasons (MAM in the first row, JJA in the second row, SON in the third row, and DJF in the fourth row).

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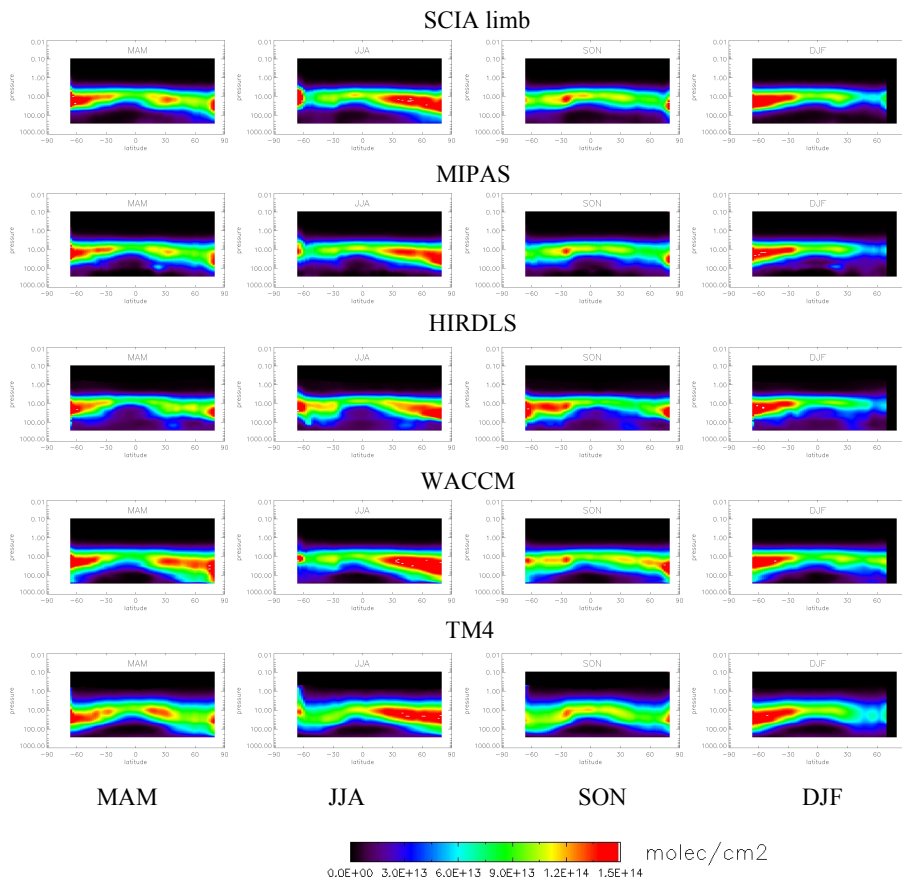
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**Fig. 6.** Seasonal mean stratospheric NO<sub>2</sub> partial column profiles for SCIAMACHY limb (first row), MIPAS (second row), HIRDLS (third row), WACCM (fourth row) and TM4 (fifth row) as a function of latitude.



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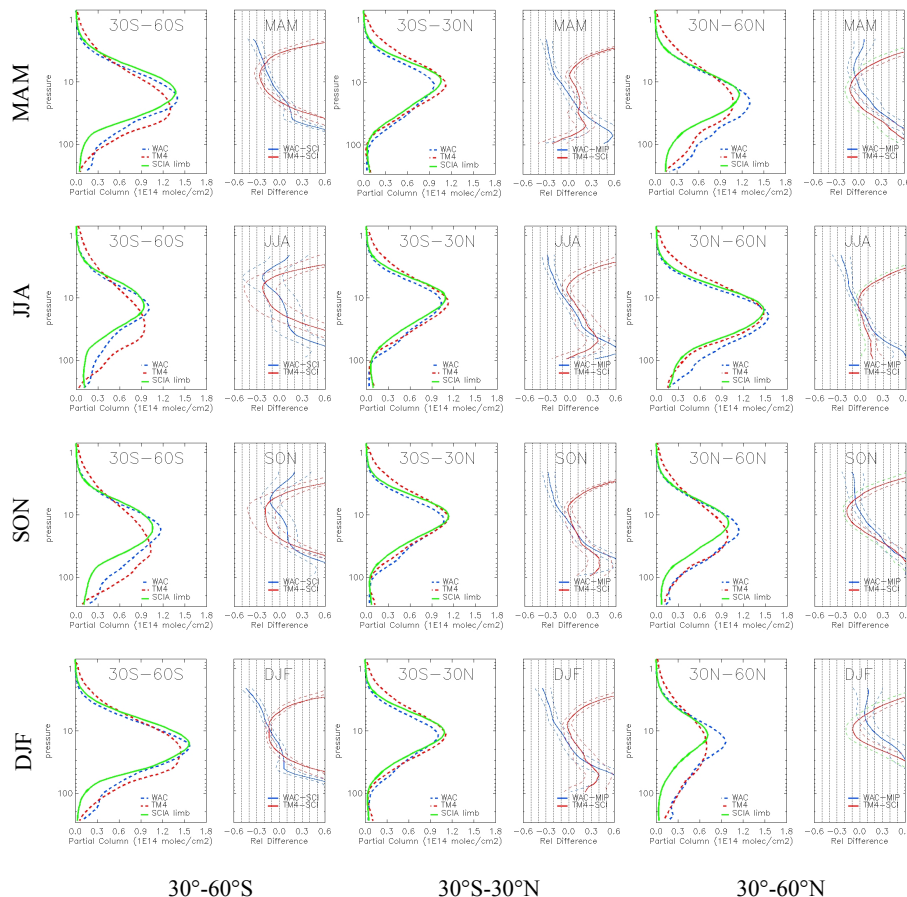
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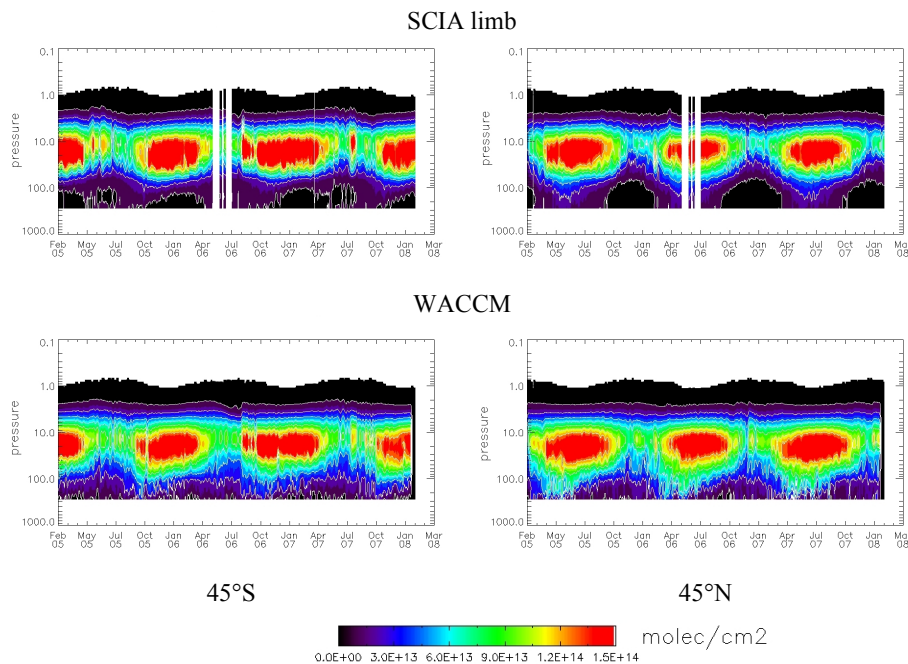
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**Fig. 7a.** Partial column NO<sub>2</sub> profiles from SCIAMACHY (green), WACCM (dashed blue) and TM4 (dashed red): means and mean relative differences over different latitude sectors (SH in the first column, tropical in the second column, and NH in the third column) and seasons (MAM in the first row, JJA in the second row, SON in the third row, and DJF in the fourth row).

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**Fig. 7b.** Time trends in stratospheric NO<sub>2</sub> profiles from SCIAMACHY limb (top row) and the WACCM model (bottom row) at 45°S (left column) and 45°N (right column) for the February 2005–February 2008 period.

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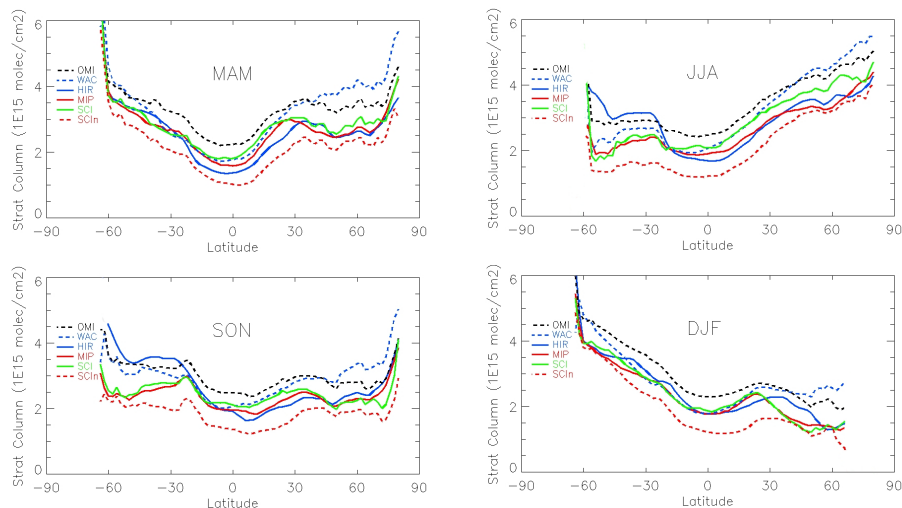
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**Fig. 8.** Seasonally averaged (MAM, JJA, SON and DJF) stratospheric NO<sub>2</sub> columns from SCIAMACHY limb (green), MIPAS (red), HIRDLS (blue), WACCM (dashed blue), SCIAMACHY nadir (SCIn, dashed red) and OMI (dashed black) integrated down to 287 hPa.

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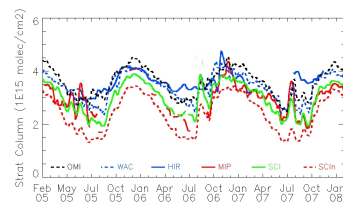
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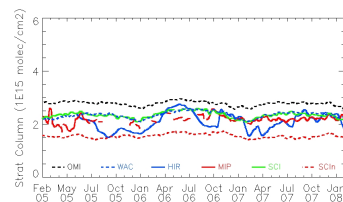


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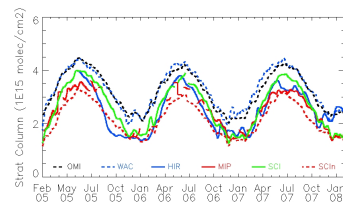
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30°S-60°S



30°S-30°N



30°-60°N

**Fig. 9a.** Time trends in stratospheric columns of NO<sub>2</sub> columns (SH in top panel, tropics in middle panel, NH in bottom panel) from SCIAMACHY limb (green), MIPAS (red), HIRDLS (blue), WACCM (dashed blue), SCIAMACHY nadir (dashed red) and OMI (dashed black) integrated down to 287 hPa.

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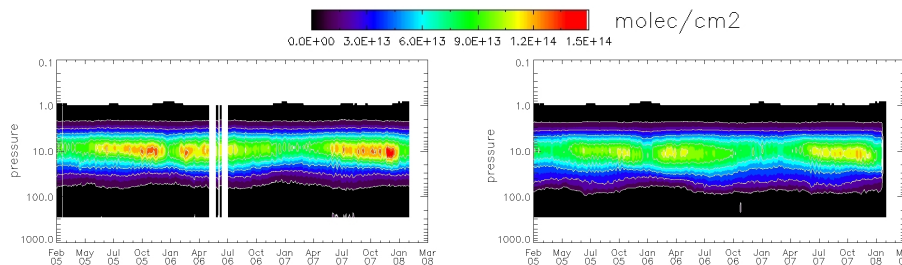
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**Fig. 9b.** Time trends in stratospheric NO<sub>2</sub> partial column profiles at equator from SCIAMACHY (left plot) and WACCM (right plot).

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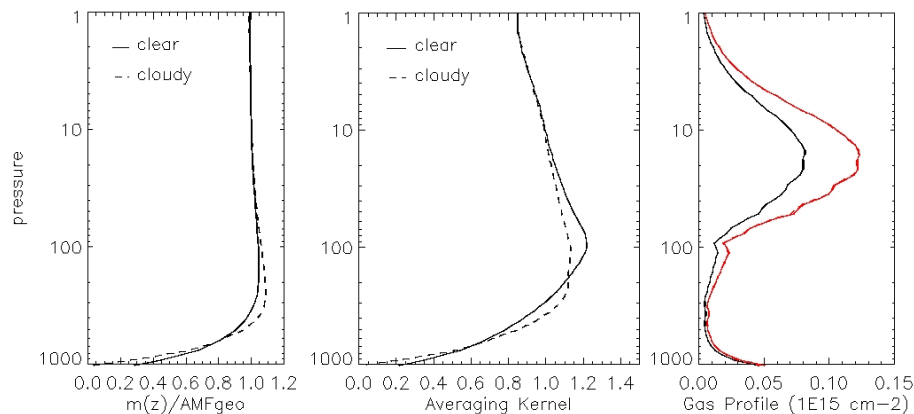
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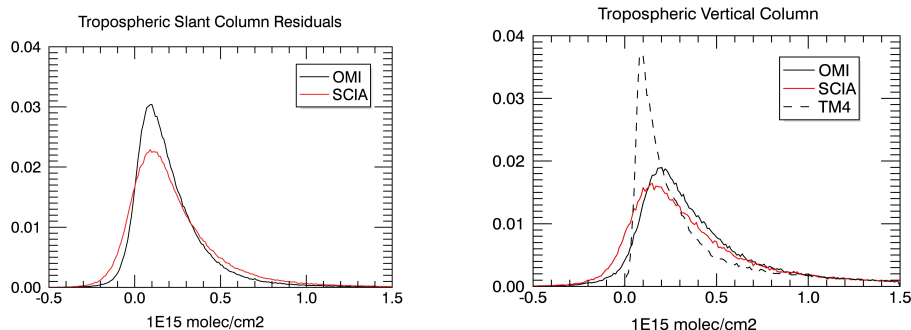


**Fig. 10.** Normalized scattering sensitivity  $m(z)/M_{geo}$ , averaging kernel  $K(z)$  and vertical distribution of assimilation increments in clear (continuous line, cloud radiance fraction CRF < 25 %) and cloudy (dashed line, cloud radiance fraction CRF > 75 %) unpolluted conditions on 21 March 2005 (global averages), following Eq. (10). On the right panel, the black/red lines refer to before/after adjustment.

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**Fig. 11.** Histogram of global tropospheric NO<sub>2</sub> columns from OMI and SCIAMACHY nadir (normalized slant column  $N_s$  on the left, and vertical column  $N_v$  on the right) for 2005 with CRF < 50 % – uncorrected for diurnal variation. The OMI/SCIA global median normalized slant column  $N_s$  is 0.20/0.21(0.13/0.13 mode)  $\times 10^{15}$  molecules  $\text{cm}^{-2}$ . The OMI/SCIA global median vertical column  $N_v$  is 0.30/0.26(0.20/0.15 mode)  $\times 10^{15}$  molecules  $\text{cm}^{-2}$ . The median tropospheric column for the TM4 model is 0.21(0.09 mode)  $\times 10^{15}$  molecules  $\text{cm}^{-2}$ .

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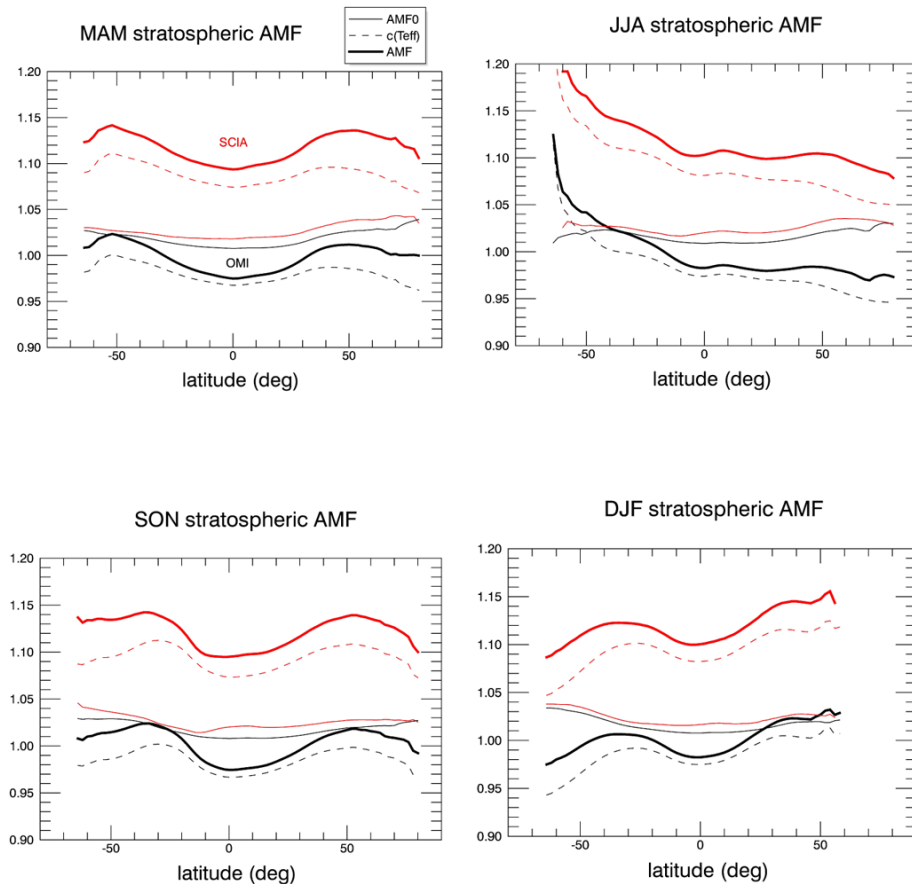
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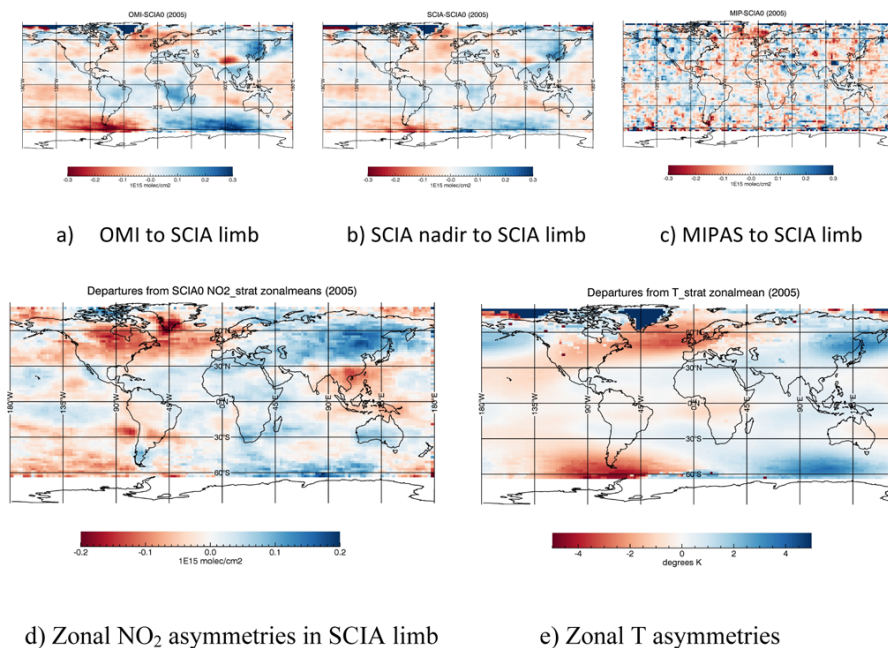
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**Fig. 12.** Average (MAM, JJA, SON and DJF) stratospheric air mass factors for 2005 plotted as a function of latitude for OMI (black) and SCIAMACHY (red) with components split into normalized scattering air mass factor  $M_0$  (thin continuous), temperature correction factor (dashed) and total stratospheric air mass factor  $M_{strat}$  (thick continuous).

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**Fig. 13.** Longitudinal error signatures: annual differences in stratospheric NO<sub>2</sub> for 2005 between (a) OMI and SCIAMACHY limb, (b) SCIAMACHY nadir and SCIAMACHY limb and (c) MIPAS and SCIAMACHY limb, after removal of a latitudinal dependent bias. The lower plot shows geophysical departures of (d) stratospheric NO<sub>2</sub> columns and (e) temperatures from the annual zonal means.

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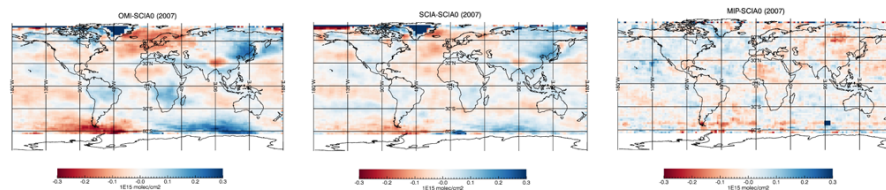
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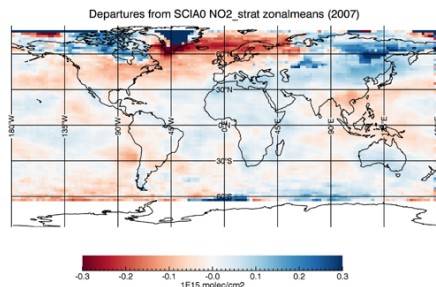
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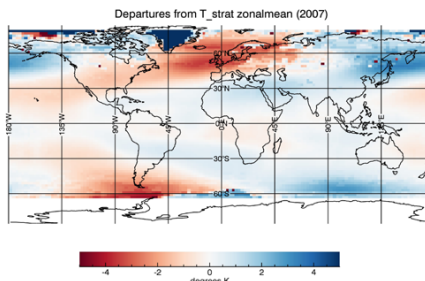
a) OMI to SCIA limb

b) SCIA nadir to SCIA limb

c) MIPAS to SCIA limb



d) Zonal NO<sub>2</sub> asymmetries in SCIA limb



e) Zonal T asymmetries

**Fig. 14.** Same as Fig. 13, but for 2007. MIPAS sampling is denser in 2007, so that the differences between MIPAS and SCIAMACHY limb come out cleaner.

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