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# A tethered-balloon PTRMS sampling approach for rapid surveying of landscape-scale biogenic VOC fluxes

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### Abstract

To survey landscape-scale fluxes of biogenic gases, a 100 m Teflon tube was attached to a tethered balloon as a sampling inlet for a fast response Proton Transfer Reaction Mass Spectrometer (PTRMS). Along with meteorological instruments deployed on

the tethered balloon and at 3 m and outputs from a regional weather model, these observations were used to estimate landscape scale biogenic volatile organic compound fluxes with two micrometeorological techniques: mixed layer variance and surface layer gradients. This highly mobile sampling system was deployed at four field sites near Barcelona to estimate landscape-scale BVOC emission factors in a relatively short period (3 weeks).

The two micrometeorological techniques agreed within the uncertainty of the flux measurements at all four sites even though the locations had considerable heterogeneity in species distribution and complex terrain. The observed fluxes were significantly different than emissions predicted with an emission model using site-specific emission

factors and land-cover characteristics. Considering the wide range in reported BVOC emission factors of VOCs for individual vegetation species (more than an order of magnitude), this flux estimation technique is useful for constraining BVOC emission factors used as model inputs.

#### 1 Introduction

Observations of landscape level fluxes of biogenic volatile organic compounds (BVOCs) are needed in order to parameterize and evaluate the emissions used for regional air quality and global climate models (Guenther, 2012). Estimates of these fluxes have been made using several techniques (Greenberg et al., 1999; Guenther et al., 1996a, b; Karl et al., 2007), including the extrapolation of leaf level emissions to land-scapes (inventory method), tower-based surface layer micrometeorological techniques (eddy covariance, relaxed eddy accumulation and surface layer gradient methods),



tethered-balloon based mixed layer techniques (mixed layer gradients calculations and inverse modeling). There are a number of difficulties associated with each of these techniques. For the inventory approach, a large representative area must be surveyed for vegetation distribution and the biomass of each species present, the emission ca-

- <sup>5</sup> pacities of specific BVOC emissions must be measured, along with the dependence on the environmental variables that effect these emissions (e.g., temperature and light). Obtaining representative emission capacities using enclosure techniques is especially difficult for BVOC emissions that are sensitive to the disturbance associated with placing enclosures on plants (Niinemets et al., 2011). The assumptions required for tower-
- <sup>10</sup> based micrometeorological techniques generally require a homogeneous distribution of emission sources over a flat, horizontal terrain. Most micrometeorological flux measurements are conducted on an above canopy, stationary tower that must be constructed and equipped with chemical and micrometeorological instrumentation. Inverse modeling of emissions requires estimates of the major chemical sinks. For most BVOC, this includes the budgeoutly rediand, which is difficult to measure an essential sector.
- this includes the hydroxyl radical, which is difficult to measure or accurately estimate. All techniques are associated with significant cost and effort.

MONTES ("Woodlands") was a multidisciplinary international field campaign during summer (July 2010), aimed at measuring energy, water and especially gas exchange between vegetation and atmosphere from four representative landscapes in

- the MONTES region: a gradient from short semi-desert shrub land to tall wet temperate forests in NE Spain in the North Western Mediterranean Basin (Penuelas et al., 2013). The measurements described here were performed at a semi-desertic area (Monegros), at a coastal Mediterranean shrub land area (Garraf), at a typical Mediterranean holm oak forest area (Prades) and at a wet temperate beech forest (Montseny).
- BVOC emission models, e.g. the Model of Emission of Gases and Aerosols from Nature, version 2.1 (MEGAN2.1, Guenther et al., 2012), predict large differences in BVOC emissions from the four MONTES landscapes, indicating a potential for changes in atmospheric chemistry associated with climate-driven changes in land cover in this region (e.g., the conversion of a beech forest to a Mediterranean shrubland due to a



drying climate). Confidence in this predicted emission change is limited by a lack of landscape-scale measurements to evaluate the model predictions of these differences. In this manuscript, we describe the implementation and evaluation of an approach for surveys of landscape-scale isoprene, monoterpenes, and several oxygenated volatile

- <sup>5</sup> organic compounds emissions from the four MONTES landscapes. The approach includes two independent flux measurement techniques: mixed layer variance (MLV) and surface layer vertical gradients (SLG). The flux estimates are compared and used to evaluate emissions estimated using intensive landscape inventory estimates. The results indicate that the tethered balloon sampling using PTRMS is a suitable approach
- <sup>10</sup> for surveying landscape-scale BVOC emissions and should be considered as an alternative to the more resource intensive inventory method and the expensive tower or aircraft based eddy covariance techniques.

## 2 Experimental details

#### 2.1 Tethered balloon sampling using PTRMS

- A 12 m<sup>3</sup> helium-filled blimp-shaped balloon (Blimpworks, Statesville, NC, USA) was used to lift the inlet of a Teflon sampling line (6.35 mm outside diameter, 4.83 mm inside diameter) to altitudes up to 100 m above the surface. The outlet end of the Teflon line (ground level) was connected to a sampling pump (model 1023-101Q-G605X, Gast Manufacturing Co., Benton, MI, USA). Ambient air was pulled through the inlet at various altitudes at a flow rate of approximately 10 L per minute, controlled by a mass flow
  - meter just before the inlet of the pump.

Real time methanol, acetaldehyde, acetone, acetic acid, isoprene, and monoterpene measurements were performed by means of a high sensitivity proton transfer reaction mass spectrometer (PTRMS, Ionicon Analytik GmbH, Innsbruck, AU; Lindinger et al.,

<sup>25</sup> 1998). The PTRMS was housed inside an air-conditioned mobile caravan located a few meters away from the balloon launch site. Visual inspection confirmed that no



condensation occurred in the heated inlet. Drift tube pressure, temperature and voltage were typically maintained at 0.165 kPa, 45 °C and 500 V respectively, which gave a primary ion count in the range 6 to  $8 \times 10^6$  ion counts per second (cps). The sensitivity of the PTRMS for each atomic mass unit was calculated at regular intervals using a gas

- standard (Restek Corp, Bellafonte, PA, USA), which contained aromatic compounds at a nominal concentration of 1 ppmv each. For those compounds not contained in the gas mixture, empirical sensitivities were calculated based on the instrument-specific transmission characteristics and individual ion-molecule reaction rates. Individual terpenes are not distinguished by the PTRMS technique; the total of terpenes observed
  was estimated from the response of the instrument to alpha-pinene. The instrument
- background was monitored by sampling ambient air that had passed through a glass tube packed with platinum on alumina catalyst heated to 400 °C to remove VOCs.

#### 2.2 Meteorological observations

Meteorological parameters (air temperature, relative humidity, pressure (altitude), wind speed and direction) were recorded during all balloon deployments using a portable weather station (Kestrel 4500; Nielsen-Kellerman, Boothwyn, PA, USA), attached 0.5 m beneath the balloon. Sensors for net radiation (REBs, model Q\*7, Seattle, WA, USA) and direct and diffuse photosynthetic radiation (Delta T Devices, model BF3, Cambridge, UK) were mounted on a 1 m horizontal boom at the top of a 3 m tripod. Tur-

- <sup>20</sup> bulent fluxes of sensible and latent heat were measured by eddy covariance with a 3-dimensional sonic anemometer (RM Young, model 81000V, Traverse City, MI, USA) and a Krypton hygrometer (Campbell Scientific, Model KH20, Logan, UT, USA) atop the 3m tripod. The radiometers and hygrometer signal outputs were integrated with the sonic anemometer wind velocity and virtual temperature signals and then logged
- at 10 Hz with a laptop computer. Sensible heat fluxes were derived from the covariance between the vertical wind velocity, w, and the sonic-derived virtual temperature,  $T_s$ . Latent heat fluxes were similarly derived from the covariance of w with the fast fluctuations in water vapor measured by the hygrometer. Prior to computation of the



covariance, wind vectors were rotated to a set mean (Kaimal and Finnigan, 1994). Water vapor fluctuations were also corrected for  $O_2$  absorption (ftp://ftp.campbellsci.com/pub/csl/outgoing/uk/technotes/4-93mp\_appa.pdf) and density corrections due to temperature (Webb et al., 1980). Wind speed and direction along with turbulent moments, such as the friction velocity, were also derived from the rotated wind velocities.

## 2.3 WRF-Chem model simulations

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Several variables used in the estimation of fluxes were determined from regional numerical model simulations. These included boundary layer height, sensible heat flux, and several chemical concentrations (e.g., NO<sub>x</sub>, OH, ozone). To quantify these variables, we conducted numerical simulation using version 3.2 of the *Weather Research* and *Forecasting* (WRF) *Model* with Chemistry (Grell et al., 2005) at a 30 km spatial resolution over an extensive area surrounding the measurement sites in Spain. WRF-Chem is a meteorology-chemistry model developed collaboratively among several groups including the National Center for Atmospheric Research (NCAR) and the US National Oceanic and Atmospheric Administration (NOAA). In this work, we used the mass coordinate version of the model, Advanced Research WRF (ARW) (Skamarock et al., 2005). The gas-phase chemical mechanism used is the Regional Acid Deposition Model version 2 (RADM2) (Stockwell et al., 1990). Anthropogenic emissions of NO<sub>x</sub>, SO<sub>2</sub>, VOCs, PM<sub>2.5</sub>, and PM<sub>10</sub> were taken from the global inventory–Intercontinental

- <sup>20</sup> Chemical Transport Experiment (Phase B) (INTEX-B) inventory (Zhang et al., 2009). In addition, the 2000 Reanalysis of Tropospheric Chemical Composition (RETRO) (http://retro.enes.org/index.shtml) database was used when INTEX-B inventory data were not available. Biogenic emissions were calculated online using the Model of Emissions of Gases and Aerosols from Nature (MEGAN) biogenic emissions module, ver-
- sion 2.04 (Guenther et al., 2006) in the WRF-Chem model. We used the dry deposition for trace gases based on a surface resistance parameterization developed by Wesely (1989). Other parameterizations used in the simulations include a microphysics scheme (Lin et al., 1983), an ensemble cumulus parameterization scheme (Grell and



Devenyi, 2002), the Yonsei University Planetary Boundary Layer (PBL) scheme (Hong and Pan, 1996), the Goddard shortwave radiative transfer model (Chou and Suarez, 1994), the Rapid Radiative Transfer Model Longwave Radiation scheme (Mlawer et al., 1997), and the Noah land surface model (Chen et al., 1997). The global 1-degree

- S NCEP (National Centers for Environmental Prediction) Final Analyses (FNL) data were used for initial and boundary conditions for meteorology. A one-month (July 2010) simulation covering the measurement period was conducted. We used the initial and boundary conditions for the chemical species similar to the one used in Jiang et al. (2008). To minimize the effect of initial conditions on model results, we followed the method used
- <sup>10</sup> in Jiang et al. (2008) to include two additional days (29 and 30 June) in the simulation to spin-up the initial conditions for atmospheric concentrations of several different emitted species.

#### 2.4 Flux estimate techniques

#### 2.4.1 Mixed layer variance technique

<sup>15</sup> In the atmospheric mixed boundary layer, turbulence from sensible heat flux is responsible for most of the vertical transport (surface friction is negligible). We estimated landscape-level fluxes at the bottom of the mixed layer as

 $Flux_{\rm C} = 0.77\sigma_{\rm c} w^* (z/z_i)^{1/3},$ (1)

where  $\sigma_c$ , is the standard deviation of scalar C (concentration),  $w^*$  is the convective velocity scale ( $w^* = g/T$ ) Hz<sub>i</sub>)<sup>1/3</sup>),  $g = 9.8 \text{ m s}^{-2}$ , *T* is temperature (K), *H* is the sensible heat flux, *z* is the height at which the standard deviation measurement is made, and  $z_i$ is the height of the boundary layer at the time of the measurement (Lenschow, 1995). Boundary layer heights were not measured during the experiment; these were taken from the WRF model and are presented in Fig. 1. Sensible heat flux (*H*) was measured using a sonic anemometer deployed at each site. For Garraf and Monegros, the canopy



of the shrubland vegetation was lower than the height of the sonic, which was situated within an undisturbed fetch in the landscape. For Prades, the sonic anemometer was located in a grassy clearing of a mostly closed canopy forest (canopy height  $\sim 10 \text{ m}$ ); in Montseny, the sonic anemometer was erected in a large meadow surrounded by

- the beech forest (canopy height ~ 15 m). The measured sensible heat fluxes observed were compared with those derived from the WRF (Fig. 2). H derived from observations in Prades and Montseny (where the location of the sonic was below the canopy height) does not agree with the landscape-scale sensible heat flux estimated by the model. For Garraf and Monegros, where the observations were made in a more rep-
- <sup>10</sup> resentative fetch, there was good agreement between model and observations. It was consequently decided to use the model calculated H for all sites to calculate the convective velocity scale (*w*<sup>\*</sup>). The standard deviation for each VOC,  $\sigma_c$ , was calculated for each 1/2 h period that the balloon sampling line was positioned at a height of 100 m using the VOC data measured at 10 Hz by PTRMS.

#### 15 2.4.2 Surface layer gradient technique

The same measurement system used for the mixed layer variance technique was also used for the surface layer gradient approach. For this measurement, the balloon was raised sequentially to altitudes 5, 20, 40, 60, 80 and 100 m and then returned to the surface in the reverse order. The balloon was held at each altitude for 10 min, during which time the PTRMS was used to measure methanol, acetaldehyde, acetone, acetic acid, isoprene, and monoterpenes (each of which have biogenic emission sources).

A logarithmic curve was fitted to the gradient measurements. Where the curve fitting produced a good agreement with the logarithmic fit ( $r^2 > 0.5$ ), the slope of the curve was calculated from the derivative of the fit. Eddy diffusivity was estimated from the expression;



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(2)

where 0.4 is the von Karman constant,  $u^*$  is the friction velocity, computed from the sonic anemometer vertical wind speed measurements, and *z* is the altitude of the measurement (Lenshow, 1995). The flux was then computed as

 $Flux_i = Kd[x]_i/dz$ 

<sup>5</sup> where  $d[x]_i/dz$  is the slope of the gradient curve.

Not all profiles produced an acceptable logarithmic fit. This was likely a consequence of the complexity of the terrain, the non – uniform distribution of vegetation and the short sampling time. Table 1 shows the percentage of profiles that produced an acceptable fit for the gradient flux calculation.

# 10 2.4.3 MEGAN biogenic emission model with site-specific observations

An estimate of landscape emissions was also produced using in an inventory approach. The influence of temperature, light and other variables was computed using the MEGAN biogenic emission model. The canopy-scale MEGAN emission factors used for this approach were based on leaf and branch-scale emission measurements (Llusia et al. 2013) that were extrapolated to the canopy-scale using site specific land cover data

<sup>15</sup> al., 2013) that were extrapolated to the canopy-scale using site-specific land cover data and the MEGAN canopy environment model. MEGAN includes light, temperature, leaf age, and leaf area index controls over the emissions of isoprene and other biogenic emissions. The details of the estimation with MEGAN were described in Peñuelas et al. (2013a, b, c).

#### 20 3 Results and discussion

Figure 3a and b presents the flux estimate comparison of the 3 techniques for the four sites visited in July 2010. Displayed are estimates made for isoprene and the total of monoterpenes. Only isoprene, monoterpenes and methanol were measured by the



(3)

mixed layer variance technique and, therefore, allow the direct comparison with the gradient technique; the inventory technique did not consider methanol emissions.

Fluxes were also computed from the logarithmic gradients of several oxygenated VOCs. In the case of methanol, estimates of emissions were calculated from both the

- <sup>5</sup> gradient and variance techniques and are shown in Fig. 4. Acetaldehyde, acetone and acetic acid were not measured during the 30 min MLV experiments. Their fluxes were computed only by the gradient techniques and are shown in Table 1, along with the fluxes of other VOCs from the SLG technique. Fluxes of methanol, acetic acid, isoprene and terpenes were always out of the surface.
- <sup>10</sup> Uncertainties in the fluxes were estimated for each technique. For the MEGAN inventory technique, only the major species were included in the flux estimation; these comprised more than 75% of the leaf biomass of the area surveyed in Montseny and Prades but less than 25% in Garraf and Monegros, where vegetation is not characterized by one or a few dominant species. Emission estimates were based on the emission
- <sup>15</sup> capacity of a few individual leaves of major species. Significant variability of the emission capacity among leaves and individuals of a species has been recognized. In the case of isoprene-emitting oaks, the standard deviation of emission capacities was typically around 30 % of the mean value measured (Geron et al., 2001). Isoprene, however, is not stored in the leaves, but is emitted as it is produced. For monoterpene-emitting
- <sup>20</sup> species, where the terpenes may be stored in the leaves or needles, uncertainties are often much higher (Niinemets et al., 2011).

The extrapolation of the emissions from the leaf-level to landscape-level emissions includes other uncertainties. The major environmental variables of light and temperature are included in the extrapolation; the dependence of the emissions on these

variables was observed for the major species identified in the study areas during the MONTES study (Llusia et al., 2013). Other important variables, such as insect or wind disturbance, water stress, etc., were not noted, but could have significant influence on emissions. Consequently, it is very difficult to estimate the uncertainty of the inventory



estimate, except to refer to previous studies that assign uncertainties of a factor of 2 or more (Lamb et al., 1987).

The MLV method had some significant errors. The height of the balloon during the standard deviation measurements varied as much as 20 % below the maximum (usually 100 m) as a result of strong, occasional downward eddies. Also, flow through the balloon sampling tube to the mass spectrometer was not completely turbulent (Reynolds number ~ 1600 vs. > 2500 for turbulent flow). Consequently, the standard

- deviation contribution from the smallest eddies was probably excluded; this may result in a small underestimation of the flux. The launch site for the balloon profiles was nec essarily in a clearing in the landscapes of significant extent such that the clearing may have represented a significant fraction of the footprint of the measurements; this suggests that the resulting fluxes may be underestimated. Uncertainties associated with non-uniform species distribution and complex terrain were not be determined. Calibrations of the PTRMs were performed several times each day and were estimated to be
- on the order of 10%. The uncertainty of the MLV estimates was also set at a factor of 2 to compare with the MEGAN estimate uncertainty.

The surface layer gradient technique requires an estimation of eddy diffusivity from an expression derived for a flat, uniform surface, which did not obtain here. However, for those profiles where the logarithmic fit gave a correlation coefficient ( $r^2$ ) greater

- than 50%, fluxes computed at each level were nearly identical, which should be the case in the surface or constant flux layer (Lenschow, 1995). The sampling time at each level (10 min) was considerably less than the scale of the largest eddies in the boundary layer (~ 30 min), which may be partially responsible for curve fits of lower quality. Again, uncertainties associated with vegetation distribution and topography were not
- estimated. The uncertainty of the surface layer gradient flux estimate was also set at a factor of 2 to compare with the MLV and MEGAN.



#### 4 Conclusions

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Tethered-balloon PTRMS sampling techniques (mixed layer variance, surface layer gradient) provided characterization of landscape-scale estimates of isoprene, monoterpene and several other BVOC emissions that were within a factor of two, at a given site, for the 2 independent techniques. This was within the uncertainty of the measurements

and indicates reasonable agreement between the two techniques.

Comparison with the inventory technique, which employed site-specific leaf and branch enclosure measurements and biomass data and the MEGAN model to adjust for environmental influences, often differed by as much as a factor of 2 in com-<sup>10</sup> parison with the balloon-PTRMS technique estimates. Using these observations to constrain BVOC emission factors would result in significant changes in model emissions, suggesting lower isoprene and higher monoterpenes from the Garraf shrubland, lower isoprene and monoterpenes from the Prades oak forest and lower monoterpenes from the Monegros shrubland and Montseny beech forest. Although the theory for the

- <sup>15</sup> mixed layer variance and surface layer gradient flux formulations assumes a homogeneous species distribution within a horizontal landscape, which was not the case at these sites, these results suggest that this approach can provide a reasonable estimate of landscape-scale emissions that is useful for parameterizing emission models. For example, this approach was used for this study to characterize four different land-
- scapes within a three-week period. The tethered-balloon PTRMS sampling approach eliminates the need to erect and instrument towers and is readily portable. It is also considerably less laborious than the inventory technique, which requires identification and quantitation of emitting species and the determination of the environmental dependence of the independent variables that affect emissions. This is especially true in a
- <sup>25</sup> biologically diverse landscape. In addition, the fluxes of several oxygenated VOCs, difficult to measure using stored samples and chromatographic techniques, can be more easily quantified. Consequently, we conclude that a tethered-balloon PTRMS sampling approach using the mixed layer variance and the surface layer gradient techniques is



suitable for surveying in most locations and could increase the availability of observed landscape-scale emission factors for parameterizing biogenic emission models for the many landscapes where few or no emission measurements have been made.

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**Table 1.** Fluxes of several VOCs estimated from the gradient technique ( $\mu g m^{-2} h^{-1}$ ). (Positive values indicate emissions from surface; negative values indicate deposition to the surface.) Q1 and Q3 are the limits of interguartile ranges of the estimates from the gradients (Q2 is the median value). The fraction of the measured profiles that provided an acceptable logarithmic curve fit ( $R^2 > 0.5$ ) and were subsequently used in the gradient flux calculation are listed as d-profiles.

	Methanol	Acetaldehyde	Acetone	Acetic Acid	Isoprene	Terpene
Garraf Q2	180	-110	90	240	170	180
(Q1,Q3)	(150, 250)	(-120, 10)	(30, 250)	(160, 280)	(-250, 50)	(140, 210)
d-profiles	0.36	0.55	0.45	0.36	0.55	0.36
Monegros Q2	520	120	220	380	60	20
(Q1, Q3)	(370, 700)	(60, 170)	(60, 420)	(–70, 1200)	(–170, 110)	(-160, 190)
d-profiles	0.31	0.23	0.46	0.54	0.23	0.15
Prades Q2	220	150	190	400	70	740
(Q1, Q3)	(-10, 240)	(110, 200)	(80, 250)	(150, 720)	(60, 80)	(430, 780)
d-profiles	0.33	0.44	0.78	0.56	0.56	0.33
Montseny Q2	170	-120	-120	310	60	140
(Q1, Q3)	(80, 190)		(-130, 1250)	(-280, 610)	(-10, 80)	(-60, 170)
d-profiles	0.45	0.18	0.55	0.36	0.64	0.78



**Discussion** Paper



Fig. 1. Boundary layer heights (meters) computed by the WRF model for the days of sampling at the 4 landscapes studied.





**Fig. 2.** Comparison of the sensible heat flux (m degK s<sup>-1</sup>) measured at each site with that calculated by the WRF model. For Garraf and Monegros the measurements were made above the low shrub vegetation; for Prades and Montseny, the flux was measured in a clearing below the canopy within a forest landscape. Agreement was very good where the measurement fetch was similar to the surrounding landscape (Garraf and Monegros).





Fig. 3. Comparison of the estimates of the MEGAN model with the surface layer gradient and the mixed layer variance techniques for the fluxes of (a) monoterpenes and (b) isoprene.





Fig. 4. Comparison of the fluxes of methanol estimated by the surface layer gradient and the mixed layer variance techniques.



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