

1 **Humidity sensor failure: a problem that should not be neglected**  
2 **by the numerical weather prediction community**

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1 **Abstract**

2 The problem of the abnormally dry bias induced by the radiosonde humidity sensor failure in  
3 the low- and mid-troposphere is studied based on the global operational radiosonde relative  
4 humidity observations from December 2008 to November 2009. At the same time the  
5 humidity retrieval from Formosa Satellite mission-3/Constellation Observing System for  
6 Meteorology, Ionosphere, and Climate (FORMOSAT-3/COSMIC, simply referred to as  
7 COSMIC hereafter) in the same period are used to assess the quality of the radiosonde  
8 humidity observations. Results show that the extremely dry relative humidity observations are  
9 considerably common in the low- and mid-troposphere with the annual global-averaged  
10 occurrence of 4.2%. These low humidity observations usually exist between 20° and 40°  
11 latitudes in both northern and southern hemispheres, and in the height from 700 to 450 hPa.  
12 Winter and spring are the favoured seasons for their occurrence, with the maximum ratio of  
13 9.53% in the northern hemisphere and 16.82% in the southern hemisphere. The phenomenon  
14 is not the results of the natural atmosphere variability totally; on the contrary, it may be the  
15 result of humidity sensor failure. If the performances of the humidity sensors are not so good,  
16 the low humidity observations occur easily, particularly in the environment when the  
17 radiosonde balloon goes through the stratiform clouds with high moisture content. The  
18 humidity sensor cannot adapt the huge change of the atmospheric environment inside and  
19 outside stratiform clouds, resulting in the failure of sensor and stop response the atmospheric  
20 change. These extremely dry relative humidity observations are erroneous. But they have  
21 been archived as the formal data and applied in the science research. The reliability of  
22 numerical weather prediction and the analysis of climate will be doubled, if the quality control  
23 is not applied before using these data.

24 **Key words:** operational radiosonde system, relative humidity, humidity sensor failure,  
25 abnormally dry, low- and mid-troposphere

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## 1   **1   Introduction**

2   Radiosonde observation is an important means of obtaining upper-air temperature, pressure,  
3   moisture and wind observation. It has been used operationally for over 70 years. Although the  
4   performance of the radiosonde humidity sensor and the accuracy of observational data are  
5   gradually being improved, the data quality has not been satisfied, particularly in the upper  
6   troposphere and lower stratosphere, wherein the sensor cannot detect the high relative  
7   humidity inside cirrus clouds. A number of studies, including the data analysis using the  
8   long-term observations, the international inter-comparisons experiments of the different  
9   radiosonde system organized by the World Meteorological Organisation (WMO) etc., have  
10   demonstrated that the humidity observations have larger errors (Li et al., 2012). These errors  
11   are associated with the bad performance of the humidity sensor under low temperature and  
12   low humidity conditions, thus resulting in time-lag errors, sensor icing, aging and  
13   contamination (Wang et al., 2003; Miloshevich et al., 2006; Vömel et al., 2007; Nash , et al.,  
14   2010; Bian et al., 2011). Although radiosonde hygrometers have been updated from gold  
15   beater skin hygrometers and carbon-film hygrometers to capacitive hygrometers, the above  
16   problems have not been fully solved. Some operational radiosonde hygrometers using carbon  
17   hygristors fail to respond the humidity changes in the upper troposphere, even in the middle  
18   troposphere sometimes; an example is US Sippican humidity sensor, which may be  
19   unresponsive at the height where temperature is only  $-8^{\circ}\text{C}$  (Wang et al., 2003).

20       Recently a new issue has been paid attention from Chinese L-band radiosonde relative  
21   humidity observations (Tang et al., 2014). The relative humidity profiles often indicate deep  
22   dry layers in the lower troposphere, which show low relative humidity values (RHs  $<2\%$ ) at a  
23   given height and above, and no response to humidity changes for a long time even to the end  
24   of the soundings observation (Figure 1a). Occasionally, some profiles can recover partly or  
25   entirely with height (Figure 1b). Although low RHs of less than 10% are common in the  
26   troposphere (Spencer and Braswell, 1997; Zhang et al., 2003; Wang et al., 2010), Zhang et al.  
27   (2010) suggested that such dramatic changes of the relative humidity from Chinese L-band  
28   radiosonde system do not comply with the atmospheric stratification law. Tang et al. (2014)  
29   analysed that the dry biases observed in the lower troposphere, and compared the radiosonde

1 humidity profiles with the COSMIC humidity retrievals (Anthes, et al., 2008). They thought  
2 that the dry biases are unnatural anomalies. These dry biases would likely be the result of  
3 humidity sensor failure, because the sensors entirely stop working at a certain altitude (a  
4 random altitude, but quite low). Tang et al. (2013) further showed that the dry bias  
5 phenomenon depends on the performance of the humidity sensor and the cloud types  
6 encountered by the sensors. The humidity sensor will easily fail if the sounding instrument  
7 goes through deep and thick clouds, most of which are stratiformis clouds with high water  
8 vapour and an obvious dry layer, and is accompanied by atmospheric temperature  
9 stratification.

10 The occurrence percentage of dry bias in the Chinese L-band radiosonde system due to  
11 humidity sensor failure reaches 12.63% in the survey (Tang, et al., 2014). It is a serious  
12 problem that should not be neglected by the numerical weather prediction community. Do the  
13 relative humidity observations from other countries' operational radiosonde system indicate  
14 the abnormal dry phenomenon? If so, what are the causes and what are their characteristics? It  
15 is the aim of this paper. The remainder of this paper is organised as follows. Chapter 2  
16 describes the data and methods employed in this study. Chapter 3 details the survey if other  
17 operational radiosonde humidity observations also exist the extremely deep dry biases.  
18 Chapter 4 presents the comparison between radiosonde relative humidity observations and  
19 radio occultation (RO) observations. Chapter 5 shows the possible causes of the relative  
20 humidity observation dry biases. Chapter 6 is the conclusion and discussion.

21

## 22 **2 Data and method**

23 The radiosonde data used in this paper are between December 2008 and November 2009 and  
24 are obtained from the Global Telecommunication System. After excluding the stations with  
25 less than 5 observations, a total of 844 radiosonde stations and 451283 data are obtained. The  
26 method proposed by Tang et al. (2013) is adopted to survey the dry bias problem of global  
27 operational radiosonde relative humidity observations. If a relative humidity profile with a  
28 value of less than 5% continuously appears at the range of more than 200 hPa below the 300

1 hPa height, we assume that the humidity profile exists dry bias caused by the sensor failure.  
 2 We define the height under 300 hPa, in order to emphasize that it is a new issue of humidity  
 3 observation in the low and middle troposphere, instead of the well-known old issue of dry  
 4 bias in the high troposphere.

5 The RO data of the Constellation Observation System of Meteorology, Ionosphere and  
 6 Climate (COSMIC) (Anthes et al., 2008) and the analysis results of the European Centre for  
 7 Medium-Range Weather Forecasts (ECMWF) model at the same time period are used for  
 8 inter-comparison. The matching method between RO and radiosonde data is same to the  
 9 method implemented by Tang et al. (2013). The time window for the match is three hours  
 10 before and after the radiosonde observation time, and the space window is in a 250 km × 250  
 11 km square grid at the centre of the radiosonde. If RO falls within the grid, radiosonde  
 12 matching is confirmed. If multiple RO profiles are matched at the same time, we select the  
 13 nearest RO profile.

14 Firstly, the Magnus saturation vapour pressure equation

$$15 \quad e_s = \begin{cases} 6.112 \times \exp\left(\frac{17.62 \times t}{243.12 + t}\right) \times F(p) & \text{if } (t \geq -45) \\ 6.112 \times \exp\left(\frac{22.46 \times t}{272.62 + t}\right) \times F(p) & \text{if } (t < -45) \end{cases} \quad (1)$$

16 is used to calculate the saturation vapour pressure of the RO observation. Vapour pressure is  
 17 then converted to relative humidity, where  $t$  represents the temperature in °C, and  $F(p)$  is the  
 18 enhancement factor related to atmospheric pressure:

$$19 \quad F(p) = 1.0016 + 3.15 \times 10^{-6} \times p - \frac{0.074}{p}, \quad (2)$$

$$20 \quad RH = \frac{e}{e_s} \times 100\% \quad (3)$$

21 To compare these data, we must convert the radiosonde data from a geopotential height to  
 22 a geometric height coordinate by using the following equation:

$$z = \frac{a \times \bar{g} \times z_g}{g_0(\varphi, 0) \times a - \bar{g} \times z_g}, \quad (4)$$

where  $Z$  represents the geometric height,  $Z_g$  represents the geopotential height,  $a$  is the radius of the Earth at 6371 km,  $\bar{g} = 9.80655$  m/s<sup>2</sup>, which is the average at a 45° latitude at sea level,  $g_0(\varphi, 0)$  is the acceleration of gravity at latitude  $\varphi$  at sea level and

$$g_0(\varphi, 0) = 9.80620 \times (1 - 0.0026442 \cos 2\varphi + 0.0000058 \cos^2 2\varphi) \quad (5)$$

Finally, we use the cubic spline interpolation method to interpolate the radiosonde data to vertical layers with a resolution of 100 m, same resolution to the RO data.

8

### 9 **3 Results**

#### 10 **3.1 Global distribution of humidity sensor failures**

11 Table 1 shows the number and ratio of all and failure relative humidity observations for four  
 12 seasons. A total of 18,609 failure relative humidity observations among 447,021 observations  
 13 are recorded between December 2008 and November 2009, and the percentage of failure  
 14 observation is approximately 4.17% worldwide. Table 1 shows that humidity sensor failure  
 15 can occur at any time but mostly occurs during winter and spring for both hemispheres, with  
 16 the highest percentage during winter at 9.53% in the mid-latitude region of the northern  
 17 hemisphere and 16.82% in the mid-latitude region of the southern hemisphere. In the survey,  
 18 211 among 844 radiosonde stations have no failure observations; these stations are mainly  
 19 located in the high-latitude regions of the northern hemisphere and in tropical regions.

20 Figure 2 shows the number of relative humidity sensor failure observations for each  
 21 radiosonde station during the period of the survey. Different colour dots correspond to the  
 22 number presented in the colour bar, and the black hollow circle indicates that no humidity  
 23 sensor failure is observed. The failure observations mainly occur in the latitudes between 20°  
 24 and 40° for both hemispheres. The number of failure observations is high in China, the United

1 States, Australia, Western Europe and the east coast of South America. The problem in China  
2 is particularly serious with a maximum of 218 among 720 in Dalian station. However, the  
3 humidity sensor failure is rare in the tropical and high-latitude regions.

### 4 **3.2 Characteristics of seasonal variation and vertical distribution**

5 Figure 3 presents the statistics of relative humidity sensor failure observations for four  
6 seasons. As shown in the images, failure relative humidity observations occur mainly during  
7 spring and winter. Failure observations are less during the summer, and gradually increase  
8 during autumn. This trend is observed near 30° latitudes for both the northern and southern  
9 hemispheres.

10 What is the vertical distribution characteristic of the failure relative humidity observations?

11 Figure 4 shows the height and total station number, which satisfy the failure criterion. The  
12 height of most failure observations is between 700 hPa and 450 hPa and peaks at 700 hPa to  
13 650 hPa followed by 500 hPa to 450 hPa. Failure observations may be seen under 900 hPa,  
14 which indicates humidity sensor failure may occur in the very low height.

15

## 16 **4 Comparison with COSMIC/GPS RO data**

17 Table 2 lists the number of all observations, failure observations and matched failure  
18 observations obtained by RO and three widely used operational instruments, namely,  
19 Finland's Vaisala, the US Sippican and the Chinese L-band radiosonde system. We calculate  
20 the bias and standard deviation for the failure, normal and all observations. Figure 5a shows  
21 the statistical results for all sondes in the entire year. Figures 5b-d present the comparison of  
22 the results obtained by three instruments with COSMIC/GPS 1Dvar retrieval data. The  
23 number of failure observations is small on the global basis, thus resulting in the near  
24 superposition of the normal observation line (blue) and all observation line (red). Figure 5a  
25 also shows that the bias between normal and all observations is about  $\pm 5\%$  under 8 km height;  
26 thus, although COSMIC data has an error, the data are still in line with the WMO  
27 requirements on observation uncertainty and are suitable for cross-comparison. Compared

1 with RO data, dry bias from failure data is larger than that in normal cases and the Maximus  
2 bias is beyond  $-10\%$ . Figures 5b to 5d shows the similarity between Vaisala, Sippican and  
3 L-band humidity sensors to the COSMIC retrieval humidity data. However, the dry bias of  
4 Vaisala is smallest, whereas the dry bias of the Chinese L-band is large in the entire  
5 troposphere; this result is consistent with other research findings (Li et al., 2009; Sun et al.,  
6 2010; Bian et al., 2011).

7 Figure 6 illustrates two radiosonde relative humidity profiles in comparison with ECMWF  
8 analysis and RO data. The radiosonde observation, RO data and analysis generally have good  
9 consistency in the normal state. However, at the occurrence of a humidity sensor failure, the  
10 relative humidity drops from high moisture to low moisture quickly, and the sensor stops  
11 working entirely from a certain altitude. Although the RO and analysis profiles also  
12 experience a rapid decrease, the reduction will not be less than  $10\%$  and the value will not  
13 remain constant, which indicates that temperature, pressure and humidity data based on 1Dvar  
14 are not subjected to the sensitivity of the sensors. Sometimes the humidity sensor can partly or  
15 fully recover as the balloon re-enters the clouds (Fig. 6b), including cirrus clouds, because the  
16 high moisture inside the clouds is helpful for sensor recovery. Figure 6 also illustrates that the  
17 abnormal dry phenomenon in the lower troposphere is unreasonable, it does not reflect the  
18 true state of the atmosphere. However, these data have been archived as formal records and  
19 widely used in scientific research and services. If these data are used without correction and  
20 quality control, weather prediction and climate analysis will be significantly affected. RO  
21 observations and the analysis of numerical weather prediction might provide an effective  
22 approach to correct or remedy the failure radiosonde humidity observations.

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## 24 **5 Possible causes of humidity sensor failure**

### 25 **5.1 Performance of the sensor**

26 Figure 7 shows the relative humidity and temperature profiles of six different failure sensors.  
27 As seen in the figures, the relative humidity observations decrease quickly in a short time  
28 from a high humidity value to below  $5\%$  in the middle-lower troposphere and then maintains  
29 low humidity values. For example, the German Graw G sensor decreases rapidly from  $93\%$  to



1 1% from a height of 820 hPa to 787 hPa and then maintains low humidity values. Some  
2 sensors lose their sensing ability entirely (Figures 7a and 7d), whereas other sensors may  
3 recover. The relative humidity in all cases is over 87%. When the value starts decreasing, an  
4 inversion temperature layer is observed, thus revealing the existence of clouds in these cases.

5 Figure 8 shows the distribution of the operational radiosonde stations worldwide. The  
6 different colours represent different humidity sensors. In contrast to Figure 2, we find that all  
7 sensors are potential failures and most of them are carbon hygrometers. In the figure, the blue  
8 point represents the Vaisala sensor, which is widely used in Western Europe, Australia and  
9 South America. Although the Vaisala uses capacitive hygrometers and is recognised the best  
10 sensor, the number of failure observations is quite few. Therefore, instrument capability is not  
11 the only cause of sensor failure. However, the similarity between Figures 8 and 2 indicates  
12 that instrument capability is always an important factor that should not be ignored. The  
13 capability of the Chinese L-band system is insufficient; hence, this sensor tends to exhibit  
14 significant problems.

## 15 **5.2 Relationship to the atmospheric condition, especially clouds**

16 Figure 9 presents the distribution of stratiform clouds and their temporal evolvement from the  
17 International Satellite Cloud Climatology Plan D2 data sets in the corresponding period. A  
18 low cloud belt exists near 30° in the northern and southern hemispheres, which is consistent  
19 with Klein's results (1993). From above analysis, the failure relative humidity observations  
20 mainly occur at nearby 30° latitude in both hemispheres, and are particularly obvious in  
21 winter. Does it imply if there are some connections between the failure of humidity sensor  
22 and the distribution of stratiform clouds?

23 Generally, relative humidity is high inside stratiform clouds and low between two  
24 interbeds of clouds; it decreases sharply at the top of clouds. The gradient of temperature  
25 stratification is close to that of the wet adiabatic process. The upper and top of the stratiform  
26 clouds usually have an inversion temperature layer that appears below the clouds at a height  
27 of 0.1 km to 0.2 km away from the top of the clouds (Shi, 2005). The examples provided in  
28 Section 5.1 indicate that the relative humidity of all radiosondes is over 87% and decreases

1 sharply with the existence of the inversion temperature layer (Fig. 7). Obviously, it is the  
2 phenomenon when the balloon goes out the stratiform clouds.

3 Wang et al. (2003) suggested that the sensor will lose sensitivity and stop responding in  
4 cold temperatures (approximately below  $-34\text{ }^{\circ}\text{C}$  or above 8.5 km), or when relative humidity  
5 significantly changes within a short time. However, they did not analyse why relative  
6 humidity dramatically changes within a short time. From above analysis, we think that the  
7 dramatic changes of relative humidity occur after the balloon goes through the stratiform  
8 clouds, especially the wide range of stratiform clouds. The radiosonde balloon drifts during  
9 flying, and the horizontal scale of stratiform clouds is ten to thousands of kilometres, thus  
10 resulting that the horizontal distribution of the atmosphere is relatively uniform and stable, but  
11 the vertical distribution may exhibit dramatic changes. The horizontal scale of convective  
12 clouds is smaller, and the low humidity area is located inside cloud monomers. The balloon  
13 may repeatedly go through convective clouds from the sides instead of the tops. Therefore,  
14 the temperature and humidity profiles cannot depict the relatively uniform changes in the  
15 horizontal and drastic changes in the vertical for convective clouds.

16

## 17 **6 Conclusion and discussion**

18 According to the radiosonde data from December 2008 to November 2009, the problem of the  
19 abnormally dry bias induced by the radiosonde humidity sensor failure in the low- and  
20 mid-troposphere is studied, which has not been paid more attention at present. We calculated  
21 the percentage of their occurrence, compared these observations with other satellite products  
22 and reanalysis data, and analyzed the possible causes. The main conclusions are as follows:

23 (1) In the middle and lower troposphere, the deeply dry layer is often observed on the based  
24 of the relative humidity observations from the operational radiosonde system. This  
25 phenomenon is common. However, it is different from the dry layer in the natural variability,  
26 which exist in the troposphere, especially in the subtropics and extratropics based on the  
27 previous studies. One of the most obvious features is that the relative humidity in our study  
28 has less change with time and has maintained in a very low value in a deep atmospheric layer,

1 indicating that the hygrometer seems not respond the variation of the atmosphere. Globally,  
2 the annual average occurrence percentage of such dry humidity observations is approximately  
3 4.2%, and these observations mainly occur between the height of 700 and 450 hPa at 20° to  
4 40° latitude for the northern and southern hemispheres. The percentage is high, especially in  
5 winter, reaching 9.53% in the middle altitudes of northern hemisphere and 16.82% in the  
6 middle altitudes southern hemisphere, respectively.

7 (2) The reasons behind the extremely low relative humidity observations in the low- and  
8 middle-troposphere are the performance of the radiosonde humidity sensor and the cloud  
9 types in the atmosphere. When the balloon goes through the deep stratiform clouds with high  
10 moisture content, due to the huge changes in the external atmospheric conditions, the  
11 humidity sensor might be difficult to adapt, then fail and stop responding. The dramatical  
12 change of relative humidity in a short time further reveals the possible variation of the  
13 atmosphere state. But the internal physical mechanism of the humidity sensor failure is still  
14 needed investigation.

15 (3) The low relative humidity data that satisfy the criteria proposed by Tang et al. (2014)  
16 are erroneous. These data do not represent the real atmospheric status. However, they have  
17 been archived as formal records, and are widely used in atmospheric science research and  
18 services. If the data are used prior to correction and quality control, the reliability of the  
19 weather prediction and climate analysis will be significantly doubted. Therefore, there is an  
20 urgent need for taking effective measures to correct the erroneous data.

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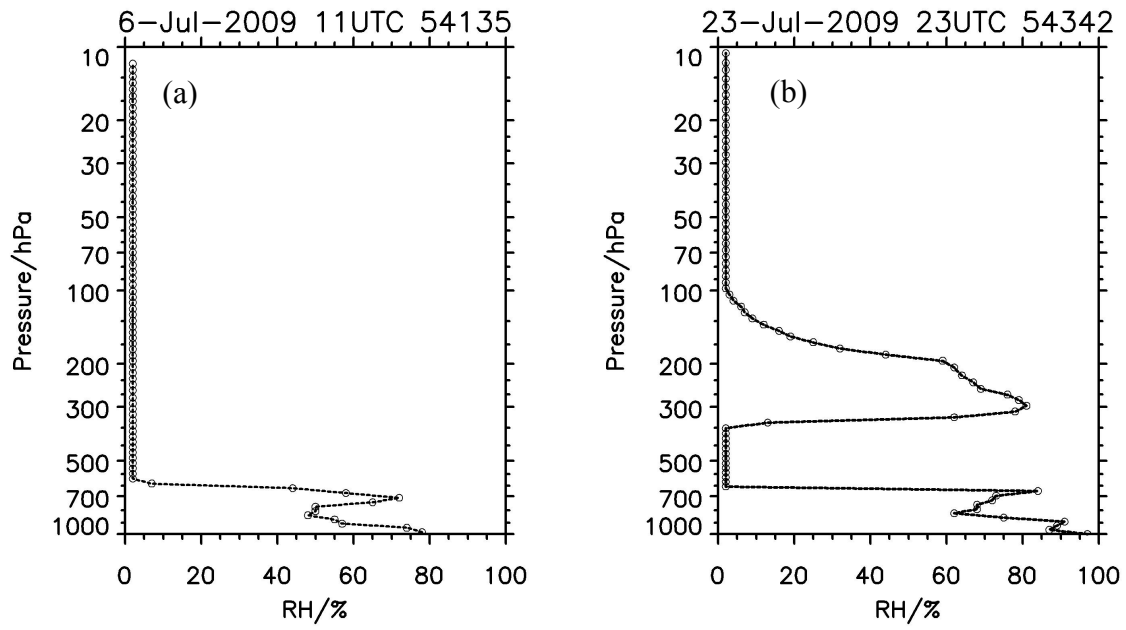
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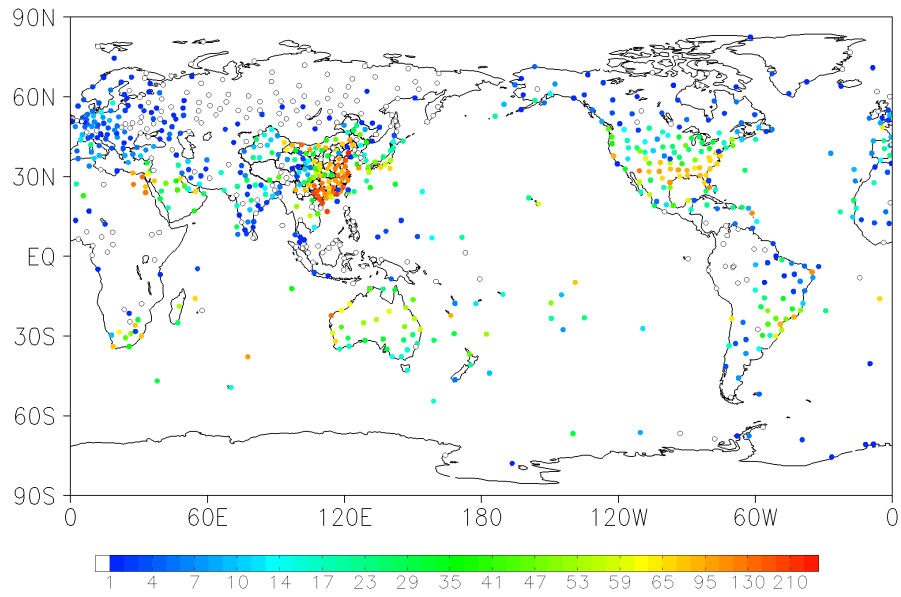
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1 **Figure 1.** Two typical abnormally dry profile structures of relative humidity observation of  
 2 the Chinese L-band radiosonde system (From Tang et.al., 2014)

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2 **Figure 2.** The total number of the failure relative humidity observations for each operational  
 3 radiosonde station during December 2008 and November 2009. The colour dots correspond to  
 4 the different number in the colour bar, and the black hollow circle denotes no humidity sensor  
 5 failure observation.

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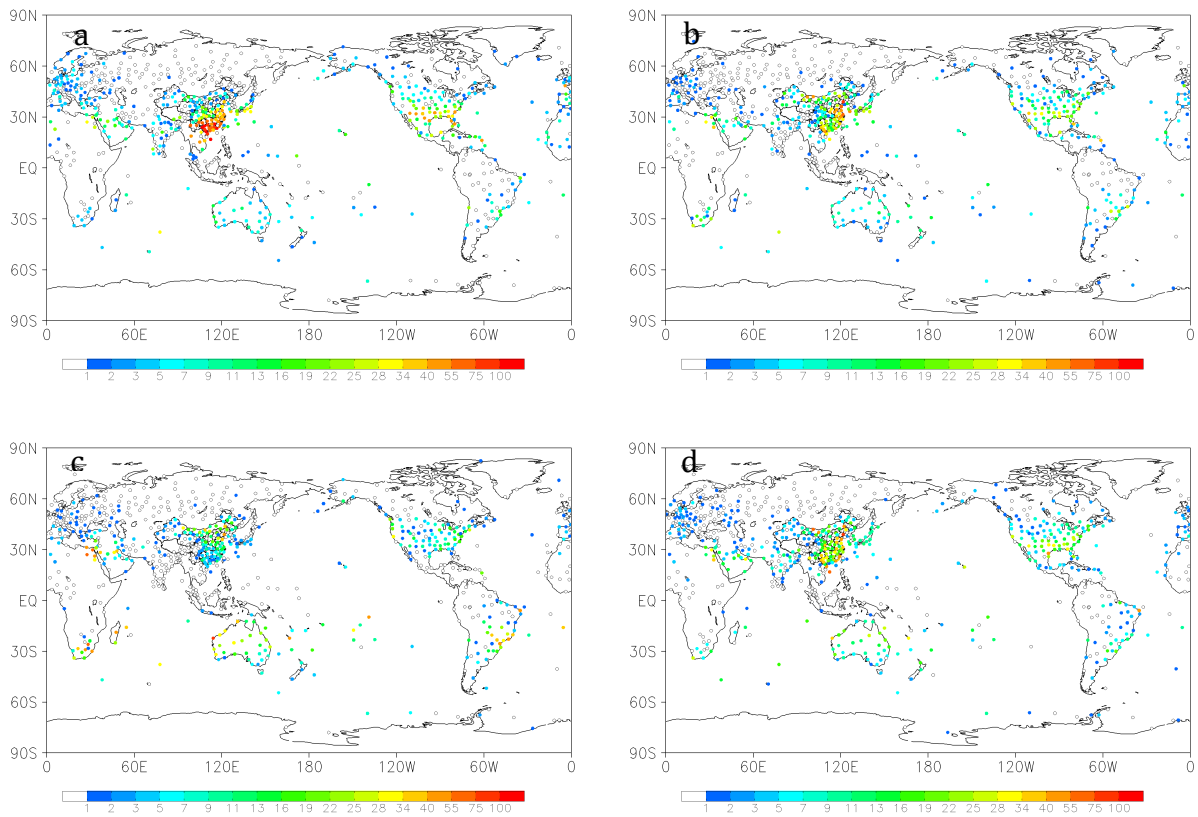
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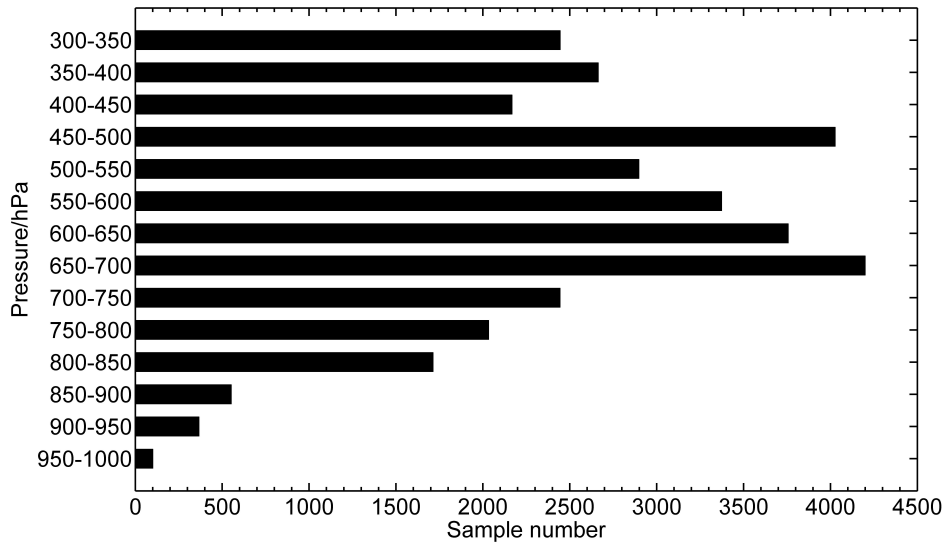




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2 **Figure 3.** The Same as Figure 2 but for four seasons. Figure 3a-d represent for DJF  
 3 ( December, January and February), MAM ( March, April and May), JJA( June, July and  
 4 August) and SON (September, October and November), respectively.

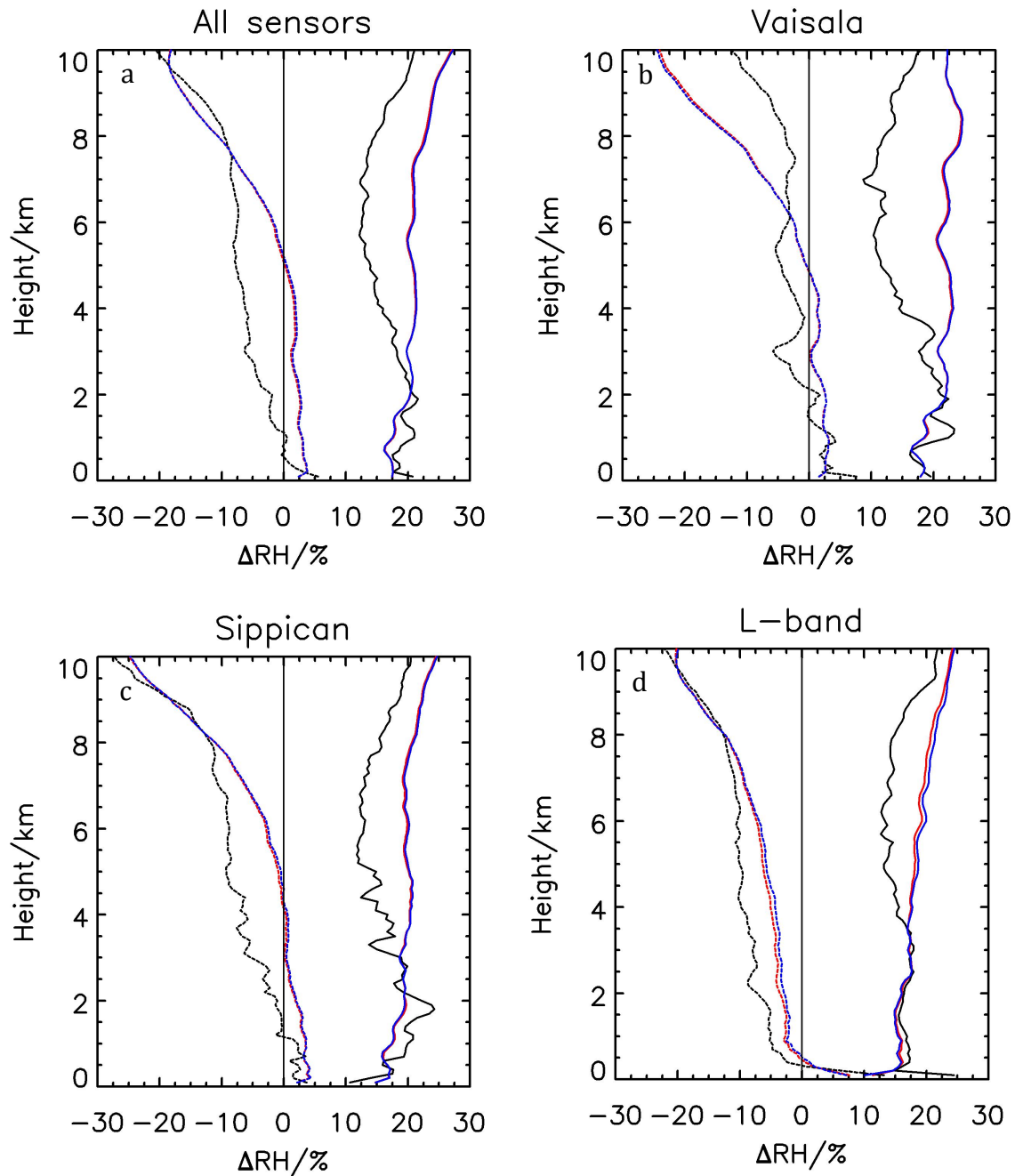
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2 **Figure 4.** The vertical distribution character of the failure relative humidity observations  
 3 during December 2008 and November 2009. The x-axis represents the number of relatively  
 4 humidity observations, and the y-axis presents the height with unit of hPa.

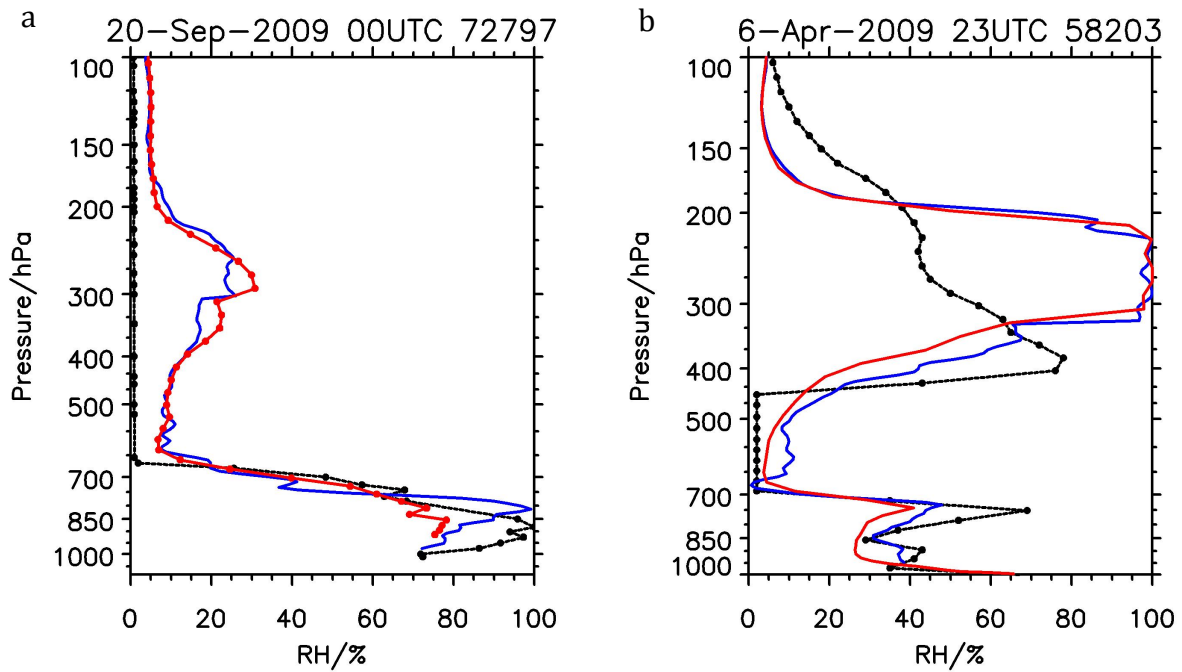
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2 **Figure 5.** Bias (dashed) and standard deviation (solid) of the relative humidity data between  
 3 the radiosonde observations and the COSMIC RO retrievals. The red lines represent all  
 4 observations (not distinguish normal and abnormal observations), the blue lines represent the  
 5 normal observations, and the black lines represent the false observations. Figure 5a-b are the  
 6 statistics for all data, Finland Vaisala, USA Sippican and China L-band radiosonde  
 7 observation.

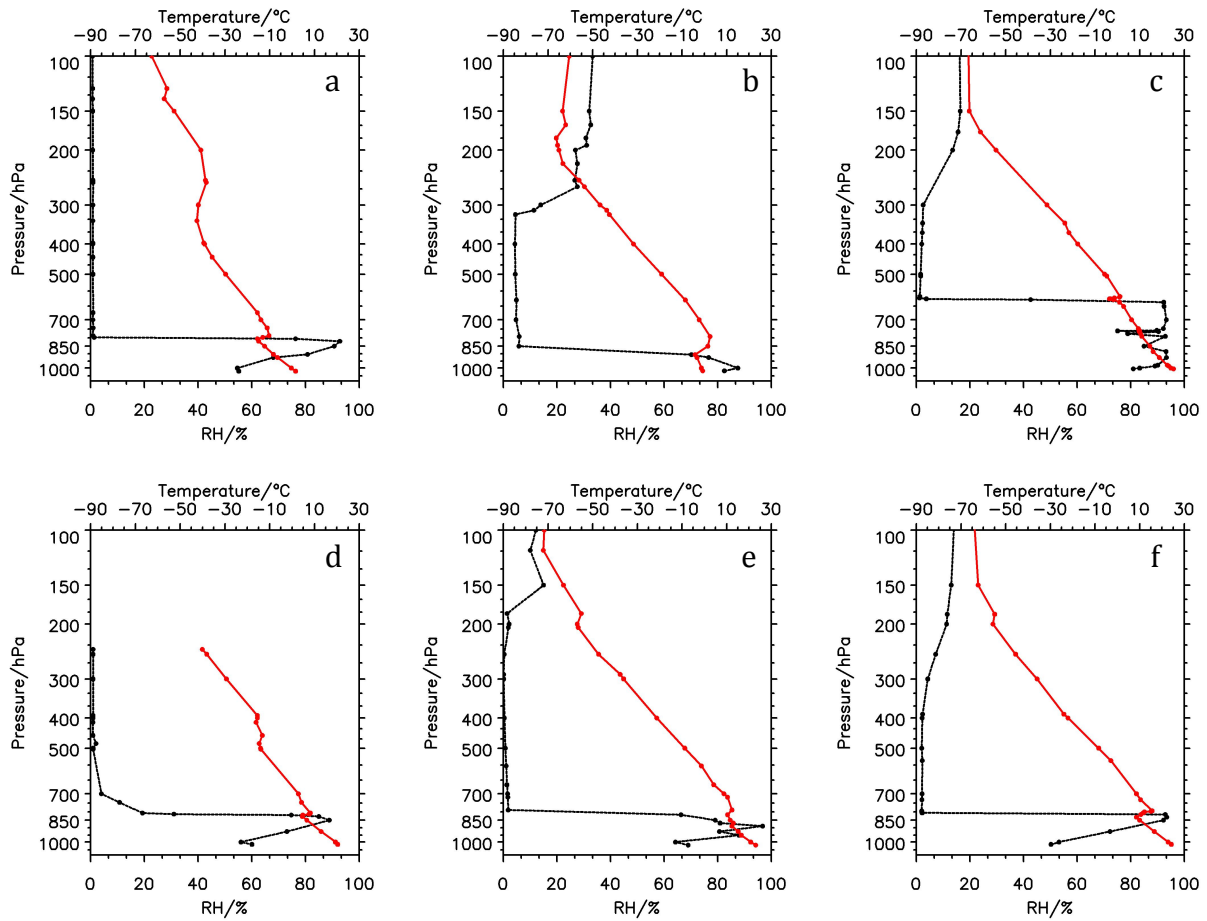
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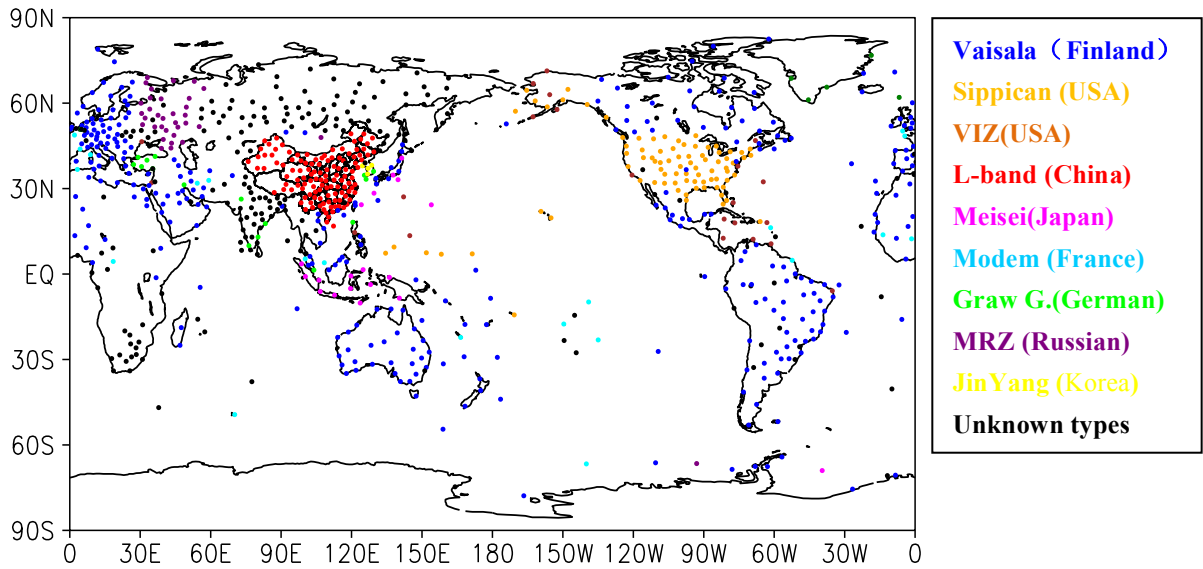
3 **Figure 6.** Comparison of the relative humidity profiles among the radiosonde (black), the  
4 COSMIC retrieval (blue) and ECMWF reanalysis (red). Figure 6a represents the observation  
5 of station 72797 at 00:00:00UTC 20 September 2009; and figure 6b represents the  
6 observation of station 58203 at 23:16:41UTC 6 April 2009. The blue(red) lines represent the  
7 retrievals(reanalysis) matching the time and space criteria with the radiosonde observation.

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2 **Figure 7.** Relative humidity (black) and temperature (red) profiles for different types of  
 3 radiosonde sensor. Figure 7a-f are cases from Germany Graw Radiosonde G (station 47185 at  
 4 12:00:00UTC on 14 January 2009), Russia Meteorit MARZ2-type 2 (station 34247 at  
 5 00:00:00UTC on 26 October 2009), American VIZ-B2 (station 78988 at 12:00:00UTC on 17  
 6 December 2008), Japan's Meisei RS-016 (station 47991 at 12:00:00UTC on 7 February 2009),  
 7 Finland Vaisala RS92 (station 83746 at 12:00:00UTC on 21 May 2009) and US Sippican  
 8 MARK II (station 78526 at 12:00:00UTC on 10 March 2009).

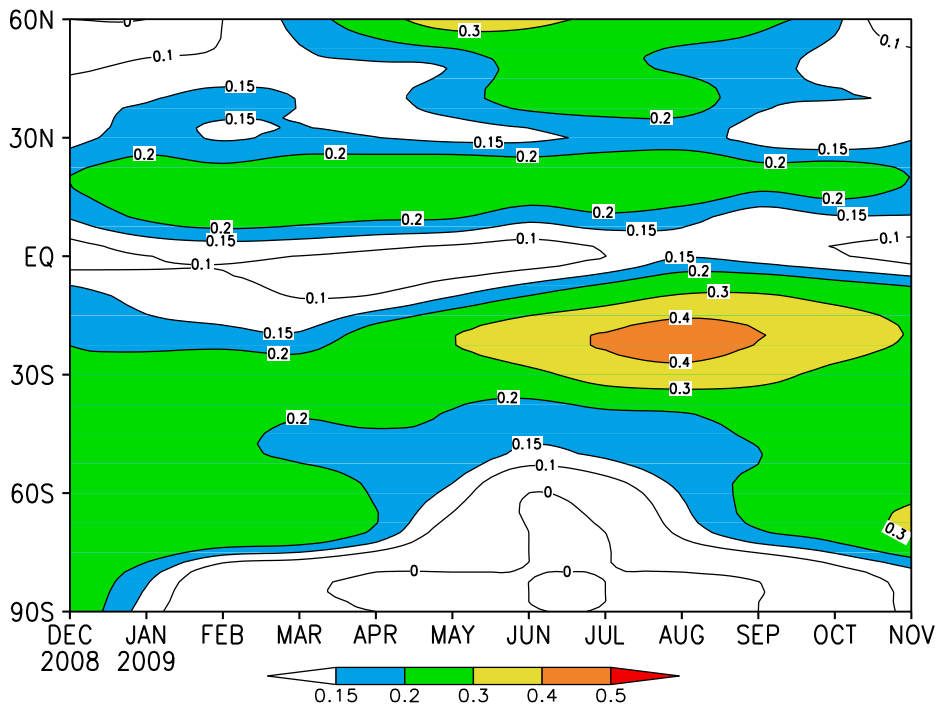


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2 **Figure 8.** Distribution of the mainly operational radiosonde sensors.

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6 **Figure 9.** The average longitudinal distribution of stratiform clouds and its temporal  
 7 evolution during December 2008 and November 2009.

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**Table 1.** Statistics of total and failure relative humidity observations.

Season	global		low-latitudes		mid-latitudes of NH		mid-latitudes of SH	
	Total	Failure (radio)	Total	Failure(radio)	Total	Failure(radio)	Total	Failure(radio)
DJF (200812-200902)	109592	5996 (5.47%)	13748	734(5.34%)	48345	4609(9.53%)	7327	363(4.95%)
MAM (200903-200905)	111496	4402(3.95%)	14040	332(2.36%)	48905	3374(6.90%)	7492	503(6.71%)
JJA (200906-200908)	112174	3837(3.42%)	15242	572(3.75%)	48863	1852(3.79%)	7242	1218(16.82%)
SON (200909-200911)	113100	4374(3.87%)	15824	499(3.15%)	49442	3070(6.21%)	6654	563(8.46%)
One year (200812-200911)	446362	18609(4.17%)	58854	2137(3.63%)	195555	12905(6.60%)	28715	2647(9.22%)

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1 **Table 2.** Statistics of all and failure relative humidity observations matched with COSMIC  
 2 data for different sensors during December 2008 and November 2009.

Sensor	all observations		failure observations	
	total	matched	total (ratio)	matched
All sensors	447021	26405	18609 (4.17%)	904
Vaisala	144668	8586	5114 (3.53%)	262
Sippican	59607	3670	3347 (5.62%)	191
L-band	61736	2657	7796 (12.63%)	321

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