1 **Assessment of performance of the inter-particle spacing algorithm to identify**

2 **ice shattering artifacts in cloud particle probes measurements.**

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4 Alexei Korolev[1] and Paul Field[2]

5 [1] {Environment Canada, Toronto, Canada}

6 [2] {Met Office and University of Leeds, United Kingdom}

7 Correspondence to Alexei Korolev (alexei.korolev@ec.gc.ca)

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10   **Abstract**

11 Shattering presents a serious obstacle to current airborne in-situ methods of characterizing the

12 microphysical properties of ice clouds. Small shattered fragments result from the impact of natural

13 ice crystals with the forward parts of aircraft-mounted measurement probes. The presence of these

14 shattered fragments may result in a significant overestimation of the measured concentration of

15 small ice crystals, contaminating the measurement of the ice particle size distribution (PSD). One

16 method of identifying shattered particles is to use an interarrival time algorithm. This method is

17 based on the assumption that shattered fragments form spatial clusters that have short interarrival

18 times between particles, relative to natural particles, when they pass through the sample volume of

19 the probe. The interarrival time algorithm is a successful technique for the classification of

20 shattering artifacts and natural particles. This study assesses the limitations and efficiency of the

21 interarrival time algorithm. The analysis has been performed using simultaneous measurements of

22 2D optical array probes with the standard and antishattering "K-tips" collected during the

23 Airborne Icing Instrumentation Experiment (AIIE). It is shown that the efficiency of the

24 algorithm depends on ice particle size, concentration and habit. Additional numerical simulations

25 indicate that the effectiveness of the interarrival time algorithm to eliminate shattering artifacts can

26 be significantly restricted in some cases. Improvements to the interarrival time algorithm are

27 discussed. It is demonstrated that blind applying of the interarrival time algorithm cannot filter out

28 all shattered aggregates. To mitigate against the effects of shattering, the interarrival time

29 algorithm should be used together with other means, such as antishattering tips and specially

30 designed algorithms for segregation of shattered artifacts and natural particles

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1. Introduction

Ice Particle Size Distributions (PSDs) are used in atmospheric models for computing both the radiative impact of ice clouds and the microphysical process rates that control ice cloud evolution. Therefore, an inaccurate representation of the ice PSD can have adverse impacts on the accuracy of climate and weather prediction models. Measurements of ice PSDs from aircraft-based observations form the basis of size distribution representations in models. Prior to entering the instrument sample volume, an ice particle may impact the probe's upstream tips or inlet and shatter into many small fragments. These shattering products can then significantly contaminate measurements of airborne particle probes.

Over three decades the abundance of small ice particles measured by particle probes in the tropospheric clouds remained an intriguing problem. Recent studies using simultaneous measurements of standard and modified probes (Korolev et al. 2011, 2013b) have unambiguously demonstrated that in many cases measurements made with standard cloud probes are adversely affected by shattering artifacts.

There are two main approaches currently used within the cloud physics community to mitigate the effect of shattering. One approach is based on modifying the inlet configuration of the cloud probes to minimize the area that deflects shattered particles towards the sample volume (Korolev et al. 2013a). This method can lead to a significant reduction in the effect of shattering but is not able to completely eradicate the problem (Korolev et al. 2011, 2013b; Lawson 2011).

The second approach is a postprocessing methodology based on the fact that shattering products are spatially clustered. Because of close spacing, the time difference between two successive shattered fragments passing through the sample volume will be much shorter than that for naturally occurring particles. This time difference is usually referred to as "interarrival time". Cooper (1977) suggested that these artifacts could be filtered out by identifying the characteristically short inter-arrival times of particles associated with these shattering products.

Field et al. (2003) used a Fast FSSP to measure particle spacing in ice clouds. The inter-arrival time distribution in ice clouds was found to have a bimodal shape with modes at 10^{-2}s and 10^{-4}s corresponding to approximately 1m and 1cm spatial separations. The particles from the long and short inter-arrival time modes corresponded to estimated concentrations of 0.1-1cm^{-3} and ~100cm^{-3} respectively. No conclusions were drawn as to whether the latter localized clusters

of high particle concentration were natural or artifacts. Assuming they were artifacts, their inter-arrival time algorithm suggested average and maximum concentration overestimates of a factor of 2 and 5 respectively.

Field et al. (2006) applied an inter-arrival time algorithm (ITA) to filter out shattering artifacts, choosing a threshold inter-arrival time in the range 10^{-4} to 10^{-5}s, depending on the instrument and the aircraft type used for the data collection, to reject the short inter-arrival mode. It was found that the OAP-2DC and CIP concentrations were reduced by up to a factor of 4 when the mass-weighted mean size exceeded 3 mm. The ice water content (IWC) estimate was reduced by up to 20%–30%, most notable in cases of narrow size distributions. It was also found that the corrected PSDs could show a reduction in particles concentration over a wide range of sizes from 200 microns for narrow distributions up to 1000microns for the broadest distributions that are subject to the most shattering.

It should be noted that the time separation between particle arrivals through the probe's sample volume depends on true air speed. The relevant metric, which should be used to segregate shattered artifacts and natural particles is inter-particle distance along the flight direction (Δx). It is acknowledged that the community is accustomed to referring to "interarrival time" Δt since this parameter is measured in the probes. In the following discussion we will be using both metrics Δx and Δt.

The ITA is now routinely used in 2D probe data processing to eliminate shattering artifacts (e.g. Baker et al. 2009, Lawson 2011, Jackson et al. 2014). Moreover, it is recognised that the best practice for the operation of cloud probes in the presence of ice is provided by a combination of modified tips and the application of the interarrival time filtering together (e.g. Korolev et al. 2011, Baumgardner et al. 2013).

While it has been demonstrated that the ITA reduces the effects of shattering, its accuracy and efficiency remains poorly quantified. For instance, can the ITA alone identify all shattering artefacts? Is the efficiency of the ITA dependent upon the probe specifications such as pixel resolution, response time, sample area and inlet configuration?

Motivation for improving our understanding of the limitations and efficiency of the ITA is threefold. Firstly, there is a need to determine if the ITA can be used to successfully reanalyze the historical data collected over the past thirty years. Secondly, an improved quantitative understanding of the limitations of the ITA will provide statements of the accuracy of the

1   measurements of the particle number concentration, ice water content, extinction coefficient and

2   other PSD derived parameters. Thirdly, knowledge of the efficiency of the ITA will aid in the

3   design process of future cloud probes.

4   The objective of this paper is to evaluate the efficiency and limitation of ITA. A detailed

5   description and main assumptions underlying the algorithm are presented in section 2. Section 3

6   considers general limitations of the algorithm. Analysis of the results of the OAP-2DC data

7   processing using the ITA are presented in section 4. In section 5 statistical simulations of ice

8   particle shattering are used to explore the limitations of the ITA. Finally, section 6 provides a

9   summary.

10

11   **2.   Description of the inter-arrival time algorithm**

12   **2.1 Basic assumptions**

13   In the following discussion the term "shattering event" will be applied to the group of

14   shattered fragments, that (a) formed as a result of impact of a single particle with the upstream

15   tips (or inlet) of a probe, and (b) at least one particle from the group of the shattered fragments

16   was registered by the probe. It should be noted that if the particle rebounds to the sample area

17   without shattering, it still falls in the definition of the "shattering event".

18   The successful application of the ITA is predicated on two basic assumptions: (1) the

19   maximum inter-arrival time of the shattered fragments is shorter than the minimum inter-arrival

20   time between intact particles; (2) shattered particles are always passing through the sample

21   volume as a group of no less than two particles.

22   The first assumption is a necessary condition for the complete separation of the inter-arrival

23   time distributions $\varphi_s(\Delta t)$ and $\varphi_i(\Delta t)$ without overlap. Here $\varphi_s(\Delta t)$ and $\varphi_i(\Delta t)$ are the

24   distribution of inter-arrival times associated with shattering events and intact particles,

25   respectively. The absence of an overlap between $\varphi_s(\Delta t)$ and $\varphi_i(\Delta t)$ allows for the existence of a

26   cut-off time τ^* such that all *shattered and only shattered* particles satisfy the condition $\Delta t < \tau^*$,

27   whereas all *intact particles and only intact particles* are associated with $\Delta t > \tau^*$ (Fig.1a). Given

28   this assumption it is trivial to identify the shattered artifacts and intact particle based simply on a

29   comparison of measured inter-arrival time Δt between two successive particles and the cut-off

30   time τ^*.

The second assumption forms the second necessary condition to identify shattered artifacts. A minimum of two closely spaced particles is necessary to allow artifacts to be identified.

The conceptual diagram in Fig.2a demonstrates these two basic assumptions about particle spacing that is required for the successful segregation of shattered artifacts and intact particles using an ITA. In reality the challenges of image sampling and the statistical nature of particle spacings impose limitations on the performance of the ITA in the segregation of shattered artifacts and intact particles. As it will be shown below the first assumption cannot be satisfied due to statistical limitations, whereas the second condition is necessary but not sufficient.

2.2 Inter-arrival time algorithm

Here we describe the sequence of operations composing the basic ITA. This algorithm in its basic form will be used in the present study.

1. The interracial time algorithm starts from the calculations of the distribution of inter-arrival times $\varphi(\Delta t)$ as in Fig.1. The calculation of $\varphi(\Delta t)$ are performed for each averaging time intervals. Similar to Field et al. (2003, 2006) the time bins in $\varphi(\Delta t)$ were logarithmically spaced. The width of the time bins was optimized to trade-off accuracy of the estimation of τ^* and the statistical significance related to the number of counts in each time bin.

2. The cut-off-time τ^* was calculated for each averaging time interval as a minimum between two maxima associated with long and short time modes (Fig.1). In cases when only one mode was present, τ^* was forced to be equal to minimum inter-arrival time found in this averaging interval. It should be noted that the function $\varphi(\Delta t)$ is a non-normalized distribution of counts in each time bin. Normalization using the bin width leads to a disappearance of the minimum between the short and long time modes in $\varphi(\Delta t)$, which hinders calculation of τ^*.

3. *Pairs* of particles that satisfied the condition $\Delta t < \tau^*$ were identified and marked as artifacts. It is important to note, the ITA cannot identify a single-particle shattered artifact (singleton) and that the minimum number of particles identified as artifacts is *two*.

1   The value of τ^* should be calculated for each averaging time interval. As it will be shown

2   below, τ^* has a wide dynamic range and it depends on many microphysical, environmental and

3   instrumental parameters (Section 4.3). The assumption, that τ^* remains constant, may result in

4   large errors in identifying shattering artifacts.

5   The values of τ^* and Δt depend of the airplane speed. In the described algorithm it is

6   assumed that the aircraft speed remained approximately constant at each averaging time interval.

7   This assumption works well for a few seconds averaging intervals. However, the aircraft speed

8   depending on the altitude may change as much as factor of two during the flight operation. This

9   gives another reason to recalculate τ^* at each averaging time interval.

10   It is relevant to mention here that alternative techniques for determining τ^* were used by

11   Field et al. (2003, 2006), Lawson (2011), Jackson et al. (2014). These techniques were based on

12   fitting the function $\varphi_i(\Delta t)$ by the Poisson distribution.

13

14   **3.   Limitations of the inter-arrival time algorithm**

15   This section presents a list of sampling effects that demonstrate how the assumptions

16   underlying the inter-arrival time algorithm can be contravened. These cases impose limitations

17   on the ability of the ITA to segregate intact particles and shattering artifacts.

18   In the following we assume that particles are distributed randomly in space and that their

19   spacing and hence interarrival time is well represented by a Poisson distribution. For the Poisson

20   process the density function for counting one intact particle during time Δt is described by

21    $$\frac{dP(\Delta t)}{dt} = \frac{e^{-\Delta t/\tau}}{\tau} \tag{1}$$

22   where $\tau = 1/nSu$ is the average inter-arrival time between intact particles passing through the

23   probe's sample area S; n is the particle concentration; u is the sampling speed. Shattered particles

24   detected by the probe were deflected into the sample area after the impact with the inlet

25   Therefore, the shattered particles have external origin, are intermittent and their distribution can

26   be considered as independent with respect to the intact particles. Examining of the short

27   interarrival time mode does indicate that these particles also appear to be characterized quite well

28   with a Poisson distribution (e.g. Field et al. 2003,2006, section 4.3 in present paper).

29

3.1 Naturally occurring particles with interarrival times shorter than the cut-off-time interval

Two closely spaced intact particles will be identified as a shattering artifact if the inter-arrival time $\Delta t < \tau^*$ (Fig.2d). Such cases break the first assumption in section 2.1. The probability for coincidence of 3 or more particles falls very rapidly and has been ignored. The probability of two particles to arriving within τ^* can be found from the Poisson statistics as

$$P_2\left(\Delta t < \tau^*\right) = \frac{1}{2}\left(\frac{\tau^*}{\tau}\right)^2 e^{-\frac{\tau^*}{\tau}} \tag{2}$$

As follows from Eq.1 the probability of such event increases with increasing particle concentration n (decreasing τ) and increases in the cut-off time τ^*.

3.2 Coincidence of a shattering event particle and an intact particle

The probability of coincidence of the arrival time of an intact particle during the shattered event can be estimated as

$$P\left(\Delta t < \Delta t_{sh}\right) = \int_0^{\Delta t_{sh}} \frac{e^{-\Delta t/\tau}}{\tau} d(\Delta t) = 1 - e^{-\Delta t_{sh}/\tau} \tag{3}$$

Here $\Delta t_{sh} = \sum_i \Delta t_{sh}(i) = L_{sh}/u$ is the duration of the shattering event registered by the probe; $\Delta t_{sh}(i)$ is the inter-arrival time between two subsequent shattered fragments registered by the probe within the shattered event; L_{sh} is the spatial length of the shattering event along the flight direction (i.e. distance between the first and last fragments in the shattering event). Eq.2 indicates that even when $\Delta t_{sh} << \tau$, the probability of the arrival of the intact particle in the sample volume during the shattering event remains non-zero. Basically, it means that in principle it is impossible to separate all shattering artifacts and intact particles, and the functions $\varphi_s(\Delta t)$ and $\varphi_i(\Delta t)$ overlap always (Fig.1b). The relative fraction of the overlapping area of $\varphi_s(\Delta t)$ and $\varphi_i(\Delta t)$ characterizes frequency of misidentifying intact particles and shattering artifacts.

It is possible to attempt to correct for the removal of intact particles by using Poisson statistics to estimate the fraction of intact particles rejected and then scale the remaining intact size distribution (e.g. Field et al. 2006).

3.3 Singletons: Single particle shattering artifacts

A significant limitation of the ITA is related to situations when only one particle from the group of the shattered fragments is registered by the probe (Fig. 2c). Such situation may occur, when most of the shattered fragments travel outside of the sample volume, but a single fragment passes through the sample volume. It may also happen when most of the shattered fragments are smaller than the probe's detecting threshold, and only one particle exceeds the threshold and is registered by the probe. Ice particles may also rebound from the inlet without fragmentation, thus forming a single particle shattering event. Rebounding without shattering was demonstrated in Korolev et al. (2013a, Fig.14). With respect to the ITA the single particle artifacts described above can have long inter-arrival times and are therefore indistinguishable from the natural population of intact particles. Due to the random nature of particle impact with the probe's arms and the direction of the trajectories of the rebound shattered fragments the probability of the single particle shattering events always remains non-zero. This imposes a significant and difficult to quantify limitation on the performance of the ITA.

3.4 Partially viewed ice branches

Many ice particles develop branches extended from few hundred micrometers up to 2-3mm away from its center (i.e. bullet rosettes, dendrites, aggregated ice particles). Partially viewed branches of such particles could be confused with separate particles possessing short inter-arrival time (Fig.2e), and be identified as artifacts. Rejecting images that are in contact with the edge of the array is one way to mitigate against this problem.

3.5 Diffraction fringes

Most particle imaging probes use coherent sources of light that result in the formation of diffraction fringes around the image of a particle. The binary representation of these fringes may manifest themselves as sparse disconnected pixel images that surround the main particle image. Such optical and imaging instrumentation effects may be confused with shattered fragments and result in identifying both diffraction fringes and the intact particle producing these fringes as artifacts.

1   For spherical particles such fringes become most pronounced when the dimensionless

2   distance of the particles from the focal plane is close to $Z_d \approx 1.9$ (Korolev, 2007, Fig.9) where

3    $Z_d = \dfrac{4\lambda Z}{D^2}$; λ is the wavelength; Z is the distance from the object plane; D is the particle

4   diameter. Non-circular images produce diffraction fringes over a wider range of Z_d. The

5   probability of imaging the diffraction fringes increases with the increasing pixel resolution. Thus,

6   for the probes with coarse pixel resolution 100-200µm (e.g. HVPS, PIP, OAP-2DP), the

7   diffraction artifacts are quite rare, whereas for the probes with 10-15 µm pixel resolution (e.g.

8   2D-S, CIP) the effect of the diffraction fringes may have a significant effect on misidentification

9   of intact particles as shattering artifacts. A few examples of diffraction fringes around the CIP

10   out-of-focus images are shown in Fig.3. These images were identified by the inter-arrival time

11   algorithms as shattering artifacts and rejected. The 2D data processing software can be tuned to

12   return large images to the pool of accepted images. However, setting the threshold for the sizes

13   of the accepted images is ambiguous, and it may result in accepting shattering artifacts and

14   rejecting intact particles.

15

16   **3.6 Out-of-focus fragmented images**

17   Out-of-focus images of particles traversing the sample area near the edges of the depth-of-

18   field, when $Z_d > 6$ may appear as disconnected images (Korolev 2007, Fig.7). If the out-of-focus

19   fragmented image has a gap along the flight direction, it may be confused with shattering

20   artifact. Examples of the out-of-focus images, which were identified by ITA as shattered

21   fragments are shown in Fig.5b. . Out-of-focus images, such as images of transparent plates, quite

22   often appear as fragmented and may be identified by the ITA as artifacts as well.

23

24   **4. Results of measurements of inter-particle distances**

25   Because shattering generates particles by a very different physical process to those that occur

26   naturally, the mode that describes the interarrival time distribution of these particles can be very

27   different to that associated with the natural intact particles, which usually is well described by the

28   Poisson distribution. This difference in the distribution of the particles manifests itself through

29   differing interarrival time populations. Therefore, the distribution $\varphi(\Delta t)$ can be used as one of

the metrics for identifying shattering. The purpose of this section is to demonstrate the variety of $\varphi(\Delta t)$ distributions and show their link to the particle size distributions. This consideration is expected to help further understanding of limitations of the ITA.

In order to reduce the effect of the air speed u on Δt, the inter-particle distance $\Delta x = \Delta t / u$ will be used instead of Δt. Accordingly, the distribution $\varphi(\Delta x)$ and the cut-off-distance $\chi^* = \tau^* / u$ will be used instead of $\varphi(\Delta t)$ and τ^*, respectively. We will also keep using the conventional term "inter-arrival time algorithm", although the term "inter-particle distance algorithm" would be more accurate.

4.1 Description of the data set

The data used here were collected during the Airborne Icing Instrumentation Evaluation Experiment (AIIE) flight campaign (Korolev et al. 2011, 2013b). The analysis of the inter-particle distance is focused on the measurements of two OAP-2DCs installed side-by-side in the NRC Convair-580 aircraft. Both instruments have the same pixel resolution 25μm, optics and electronics. However, one of the probes had the standard configuration, whereas the second one had modified arms with the K-tips installed (Korolev et al. 2013a). While K-tips still shatter ice particles, it has been demonstrated that they significantly mitigate the effect of shattering on ice particle measurements. Comparing measurements made with the standard and modified OAP-2DCs before and after applying the ITA provides an opportunity to assess the efficiency of the algorithm to successfully identify and filter out shattering artifacts. The 2D data were averaged over 5-seconds time intervals. For most clouds sampled during the AIIE project such averaging provided statistically significant particle numbers to estimate the function $\varphi(\Delta x)$ and cut-off-distance χ^*. In the frame of this study the number of bins in $\varphi(\Delta x)$ was selected to be 25. This yields a reasonable compromise between the statistical significance of number of counts in each bin and the accuracy of finding χ^*. Usually for a typical shape of $\varphi(\Delta x)$ a number of particle counts over 100 yielded an acceptable for the purposes of this work estimate of χ^*. Higher number of bins for $\varphi(\Delta x)$ will require higher number of counts, and therefore longer averaging time.

4.2 Examples of the inter-particle distance distribution

The following three examples are based on the data collected during three different flights (April 1,8 2009) and demonstrate how the presence of large particles and their concentration affect the inter-particle distance distribution $\varphi(\Delta x)$. The first example demonstrates a moderate level of shattering and a high concentration of ice. The second example demonstrates more intense shattering and low concentration of ice. The last example demonstrates a case where shattering has a negligible effect.

4.2.1 Overlapping modes

Figure 5a,c shows the time series of inter-particle distances measured by the standard and modified OAP-2DC in an ice cloud. Each inter-particle distance in Fig.5a,c is represented by a dot. The red lines indicate the cut-off-distances. As seen from these two diagrams the density of points below the red line is greater for the standard probe (Fig.5a) than that for the modified one (Fig.5c). The concentration measured by the modified 2DC and corrected with the help of the ITA, varied from $20l^{-1}$ to $80l^{-1}$. Whereas, the uncorrected concentration measured by the standard 2DC varied from $300l^{-1}$ to $1600l^{-1}$. After applying corrections to the standard 2DC measurements its concentration varied in the range $150l^{-1}$ to $700l^{-1}$. The ice particle images measured by 2DC and 2DP are presented in Fig. 5e,f. Analysis of these images shows that the ensemble of ice particles was composed of two distinct habits: large spatial dendrites with sizes up to few millimeters and transparent plates with a characteristic size of a few hundred micrometers. The maximum particle size D_{max} calculated for each averaging time interval remained approximately constant and did not exceed 5mm.

The distributions of the inter-particle distances $\varphi(\Delta x)$ calculated from the standard and modified 2DC probes data are shown in Figs.5c,d. The inter-particle distance distribution, $\varphi(\Delta x)$, for the standard 2DC displays a significant overlap between the long and short inter-arrival modes (fig 5b). This may result in rejecting intact particles along with shattered artifacts when $\Delta x < \chi^*$, and vice versa accepting shattered fragments with intact particles for $\Delta x > \chi^*$.

The inter-particle distance distribution $\varphi(\Delta x)$ for the modified probe has a relatively small overlap between the long and short distance modes, which suggests a better separation of shattered and intact particles. The number of particles associated with the short distance mode

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for the modified probe (Fig.5d) is also reduced when compared to Fig.5b. However, despite the larger separation between the short and long distance modes, the ITA still identifies large particles, which appear intact, as shattered fragments (e.g. Fig.6b).

Fig.6 shows results from applying the ITA to the standard and modified OAP-2DC images sampled at the same time during one flight from the AIIE project. Images identified as shattered artifacts appear on a green background, whereas the accepted images have a white background. The number of rejected images for the standard probe (Fig.6a) turned out to be nearly four times higher than that for the modified probe (Fig.6b). Visual examination of the measurements made with the standard probe (Fig.6a) reveals a number of images that we interpret as being intact (red arrows) but are rejected by the algorithm. Similar particle images can also be found in data for the modified probe (Fig.6b), but there are far fewer images that look intact but are rejected. Some of the accepted images obtained by the standard probe we interpret as shattered artifacts (Fig.6a, blue arrows). Shattered artifacts usually appear as elongated along the flight direction images due to the slower speed that they enter the sample volume. In many cases the images of the shattering artifacts also have a hole in the center, that is the result of the greater likelihood of shatter products entering the sample volume closer to the arms and, consequently, far from the center of the depth-of-field. Further support for the assumption that the accepted images indicated by the blue arrows are shattered artifacts is provided by the absence of similar images recorded by the modified 2DC (Fig.6b).

The apparently erroneous acceptance of the shattered artifacts and rejection of intact images in Fig.6a is consistent with the large overlap between the short and long distance modes indicated in Fig.5c. This example demonstrates that the ability of the ITA to segregate shattered artifacts and intact particles is reduced when the short and long distance modes become less separated.

One of the important goals of filtering out shattering artifacts is to obtain an accurate estimation of the PSD. Figure 7 shows the distributions of particle counts, concentration and mass calculated for all images before corrections, after corrections. These distributions were calculated for the image sizes measured along the photodiode array direction (i.e. perpendicular to the flight direction). Equivalent distributions are shown for the rejected images. During the image processing the distribution of counts is used as a start point for the following calculations of other distributions and bulk microphysical parameters. As seen from Figs.7a,d for both

standard and modified OAP-2DCs the number of small particles rejected by the inter-arrival algorithm is nearly two orders of magnitude greater than for the large particles. This is consistent with the concept that shattered particles are mainly composed of small fragments. Experimental studies indicate that the fragments of ice particles shattered at the aircraft speed have characteristic size from tens to hundreds of micrometers (Vidaurre and Hallett, 2009). Therefore, it is hypothesised that rejected particles larger than approximately 1mm (Fig.7) are likely related to the cases depicted in Figs.2b,d,e,f.

It is interesting to note that for the modified probe the ITA corrected and uncorrected distributions agree to better than 10% for particles larger 600μm (in Fig 6d,e,f). However, for the standard probe the separations between ITA corrected and uncorrected distributions remain approximately constant for $D>600$μm (Fig.6abc) and it varies from 20% to 30%. Despite the rejection of a large fraction of small particles (Fig 7b) in the modified probe, the concentration of small particles ($D<200$μm) is only reduced after correction by a factor of 2 to 3 (Fig. 7e). At the same time the concentration of particles in the small size bins ($D<200$ μm) for the standard probe after ITA correction still remains higher than for the modified probe. This is consistent with the above conclusion that the ITA is unable to filter out all shattering artifacts. The corrected concentration of small particles ($D<200$μm) measured by the modified probe is still high. It is difficult to conclude whether these particles are real or associated with shattering artifacts, for instance due to singletons, or other mis-sizing and concentration errors.

Comparisons of corrected mass distributions for standard and modified probes in Fig.7c,f show a large difference between them for $D<500$μm. However, since large particles are the major contributors into the total mass, the discrepancy at the small size end of the PSD does not produce any significant effect on the bulk IWC. Integration of the mass distributions for standard and modified probes shows that IWC corrected standard is systematically lower than that for the modified OAP-2DCs. For this particular case IWC corrected standard is approximately 20% lower , and the mean IWC values averaged over entire time interval is approximately 4% lower than the modified OAP-2DC.

4.2.2 Large particles

1   Figure 8 shows the changes of inter-particle distances and distributions $\varphi(\Delta x)$ measured

2   during a descent through precipitating aggregates of dendrites with D_{max} ranging from 0.5cm to

3   1.5cm. The total number of particle counts per second in the modified probe varied from 10 to 70

4   and the rate of counts for the standard probe varied in the range 100 to 300. The ITA corrected

5   concentration measured by the modified OAP-2DC varied from 0. $5l^{-1}$ to $5l^{-1}$, whereas the

6   uncorrected concentration measured by the standard probe varied from $100l^{-1}$ to $300l^{-1}$. After

7   applying corrections to the standard 2DC data its concentration varied in the range $1l^{-1}$ to $10l^{-1}$.

8   The appearance of the particle images measured by OAP-2DC and OAP-2DP are shown in

9   Fig.9e,f.

10   The distinctive feature of the inter-particle spatial distribution $\varphi(\Delta x)$ calculated for the

11   standard OAP-2DC is that it has an exceptionally high number of counts (88%) associated with

12   the short distance mode. This indicates that the standard probe observed mostly shattering

13   artifacts. In contrast, for the modified probe the distribution $\varphi(\Delta x)$ the number of counts in the

14   short distance mode is smaller than for the long distance mode.

15   The results of segregation of intact particles and shattered artifacts for standard and modified

16   OAP-2DC performed by the ITA are shown in Fig.9. The measurements made with the standard

17   probe are dominated by artifacts with very few accepted images (Fig 9a). The particle imagery

18   obtained with the modified probe is largely devoid of the small images typically associated with

19   shattered fragments (Fig.9b). An absence of small particles in subsaturated precipitating regions

20   is consistent with the commonly accepted concept of ice formation. It is worth noting that a few

21   small accepted images (indicated by the blue arrows) still appear in the standard 2DC imagery in

22   Fig.9a. It is possible that these small images are related to single fragments as in Fig.2c and were

23   misidentified by the ITA as intact particles.

24   Despite the fact that most of the images in Fig.9b appear to be intact dendrites, many of them

25   were rejected. Visual inspection of the rejected images in Fig.9b indicates that nearly all of them

26   are partially viewed images. It is likely that the partially viewed branches of dendrites (e.g.

27   Fig.2e) has led to the ITA confusing the partially observed closely spaced arms of the dendrite

28   with the shattered artifacts (section 3.4).

29   The results shown in Fig.9 demonstrate that even for the cases where there is a good

30   separation of the short and long distance modes (Fig.8c,d,) the ITA still misidentifies shattering

31   artifacts and intact particles.

14

The distributions of particle counts, concentration and mass obtained with the standard and modified probes are shown in Fig.10. Figs.10a and 10d demonstrate that the number of counts of small particles is much greater for the standard probe than for the modified probe. This is consistent with the 2D images shown in Fig.9. The ITA identified most of the small image counts as artifacts (Fig.10a). However the number of small images accepted for the standard probe is still greater than the modified probe (Fig.10d). The same conclusion applies to the concentration and mass distributions shown in Figs.10b,c and Figs.10e,f.

We note that the shape of rejected distributions for the modified probe is very similar to the shape of the uncorrected distributions (Fig.10d,e,f). Furthermore, the distributions of the accepted and uncorrected images approach each other only for particle sizes $D>1500\mu m$ (Figs.10a,b,c), in contrast to the case shown in Fig.7. For both probes the number of rejected large particles remains relatively large, and it is related to misidentifying large particles as shattered artifacts.

4.2.3 Small ice particles

The next example was obtained during a sampling of cirrus clouds at a temperature of -35C and altitude of 7500m. The maximum size of particles varied from 200μm to 400μm, and did not exceed 500μm. The particle concentration measured by the standard and modified OAP-2DCs agreed well and varied from $20l^{-1}$ to $180l^{-1}$.

Figure 11 shows the inter-particle spacings (Fig 11 a,b) and their distributions $\varphi(\Delta x)$ for the standard and modified probes. As can be seen in Figs.11c,d the inter-particle spacing distribution $\varphi(\Delta x)$ is monomodal for both probes. Since the ITA used here is most efficient only for the cases with bimodal distributions, for this particular case with monomodal $\varphi(\Delta x)$ the fraction of rejected particles for both 2DCs is quite small and it does not exceed 0.7%. This is demonstrated by the small number of points that lie below the cut-off-distance line (red) in Fig.10ab (for which $\Delta x < \chi^{*}$), and that Figure 12 shows very few images identified by the ITA as artifacts.

The distributions of particle counts, concentration and mass are shown in Fig.13. All three distributions obtained with the standard and modified probes agree well with each other. The fraction of rejected artifacts is small and for practical purposes their effect on the size and mass distributions has negligible effect.

15

1   These results show that for this specific case there is not much difference between the

2   measurement obtained by the standard and modified probes. This suggests that for the cases of

3   where the ice PSD is narrow (D_{max}<400µm) the effect of shattering on the standard OAP-2DC

4   measurements is quite small and it can be neglected. This finding also implies that there exists a

5   threshold size below which shattering of ice crystals does not produce any significant effect on

6   measurements. This will likely vary according to instrumental and microphysical characteristics.

7   Of course, even though the probes agree for this case,problems relating to mis-sizing and

8   concentration errors for particles smaller than ~100 microns still exist (Korolev et al. 1998,

9   Strapp et al. 2001)

10

11   **4.3 Statistical characteristics of inter-particle distances in shattering events**

12   Figs.5ab, 8ab and 11ab contain a plethora of information about the statistical characteristics

13   of shattering events and their effect on ice particle measurements. This data can also be used in

14   the development of future algorithms for the data processing and numerical simulations of the

15   shattering effects. In this section some of the statistical characteristics of shattering processes

16   will be investigated and compared with microphysical metrics.

17   Figure 14 shows distributions of the number of particles N_s within each shattering event (a1-

18   a3), distributions of the length of spatial clusters along the flight direction L_s (b1-b3),

19   distributions of distances between shattering events L_i (c1-c3), and the number of particles

20   between shattering events N_i (d1-d3). These distributions were calculated for the cloud regions

21   shown in Figs.5, 8 and 11.

22   The distribution $f(N_s)$ (Fig 14 a1-a3) for both standard and modified probes is well

23   represented by an exponential function (Field et al. 2003). This is suggestive that the statistics of

24   shattered fragments passing through the sample volume can be approximated by the Poisson

25   distribution. Analysis of the other cases indicates that the slope of the distribution depends on

26   the presence of large particles in the size distribution and it is correlated with D_{max} such that

27   increasing D_{max} leads to a shallower slope for $f(N_s)$: Figure 14a2 displays the shallowest slope

28   in $f(N_s)$ for the case with the greater D_{max}.

16

1   The distribution of $f(N_s)$ monotonically increases with decreasing N_s, having a maximum

2   for events with *two* shattered fragments. Extrapolating $f(N_s)$ towards 1, i.e. shattering events

3   with one particle, suggests that the number of singletons may be quite high. Existence of single

4   particle shattering events presents a principal limitation of the inter-arrival algorithm, since such

5   particle cannot be unambiguously identified as artifacts just based on the analysis of Δx.

6   The maximum number of the shattered fragments in a shattering event measured by the

7   standard OAP-2DC during the AIIE project reached 60. Whereas for the modified probe the

8   maximum number of fragments for this data set was found to be 14. Figures 14 a1,a2 also

9   demonstrate that the modified OAP-2DC on average has a smaller number of fragments per

10   shattering event, and therefore the antishattering tips can efficiently mitigate shattering.

11   The density function of the length of spatial clusters of shattered fragment $f(L_s)$ can also be

12   well approximated by an exponential function (Figs.14 b1,b2). Clusters with a short length have

13   the highest probability and they are associated with two-particle shattering events. The spatial

14   length of the shattering clusters is presented by a cascade of scales ranging from zero to tens of

15   centimeters. The maximum length of the shattered clusters measured by the standard and

16   modified OAP-2DCs during the AIIE project reached 30cm and 3cm, respectively.

17   The density functions of distances between shattered events $f(L_i)$ are shown in Figs.14

18   c1,c2,c3. The characteristic scale of L_i is determined by the concentration of particles capable of

19   generating shattering events. For example, the case in Fig.14 c3 has the highest total

20   concentration of ice particles. However, only 0.7% (~30 counts) of them generate shattering

21   events. As a result the $f(L_i)$ in Fig.14 c3 has a statistically insignificant distribution. As in the

22   previous cases $f(L_i)$ can be well approximated by an exponential function and the characteristic

23   value of L_i for modified OAP-2DC is larger than that for the standard probe (Figs.14 c1,c2).

24   The behavior of the function $f(N_i)$ in Figs.14 d1,d2,d3 is very similar to that of $f(L_i)$

25   (Figs.14 c1,c2,c3). For the case depicted in Figs.14 d3 the low number of shattering events mean

26   that most of the counts are outside of the scale.

27   Figure 15 shows the distributions of cut-off distances, $f(\chi^*)$, for the standard and modified

28   OAP-2DCs averaged over all ice clouds sampled during the AIIE project. Because the value of

1   χ^{*} depends of the size distribution of ice particles and their habits, the shape of the distribution,

2   $f(\chi^{*})$, will be determined by a combination of cloud characteristics, aircraft and instrument

3   properties. However, the distributions of $f(\chi^{*})$ in Fig.15 allow a few conclusions to be made.

4   Firstly, for the OAP-2DC χ^{*} can vary from tens of micrometers to approximately one meter.

5   Secondly, the standard probe χ^{*}_{st} has a mode at approximately 10cm, whereas the modified

6   probe χ^{*}_{mdf} has a mode at approximately 2cm. And thirdly, for nearly all cases $\chi^{*}_{st} > \chi^{*}_{mdf}$.

7

8   **4.4 Effect of particle sizes**

9   The effect of particle sizes on shattering is demonstrated in Fig.16 that shows the maximum

10 number of fragments per shattering event $N_{s\max}$ versus $D_{\max}$ for standard and modified probes.

11 The $N_{s\max}$ and $D_{\max}$ were calculated for each 5-second averaging interval for the entire AIIE

12 project. Fig.16a shows that for $D_{\max} <15$mm, $N_{s\max}$ correlates well with $D_{\max}$ and therefore the

13 dependence $N_{s\max}(D_{\max})$ can be parameterized with a linear function (Fig.16a). However, for the

14 modified probe the correlation coefficient between $N_{s\max}$ and $D_{\max}$ is low (0.57) and the

15 $N_{s\max}(D_{\max})$ saturates at $N_{s\max} \sim 15$ when $D_{\max} >5$mm (Fig.16b).

16   Figure 17 shows the maximum length of shattering clusters $L_{s\max}$ versus $D_{\max}$ for standard

17 and modified probes. Similar to the case in Fig.16 $L_{s\max}$ and $D_{\max}$ were calculated for each 5-

18 second averaging intervals. A relatively high correlation coefficient between $L_{s\max}$ and $D_{\max}$

19 (0.78) for the standard probe allows linear parameterization of $L_{s\max}(D_{\max})$ (Fig.17a). The

20 correlation coefficient between $L_{s\max}$ and $D_{\max}$ for the modified probe is quite low (0.29), but

21 $L_{s\max}$ never gets longer than 3cm for all $D_{\max}$ encountered.

22   The nearly linear increase of $N_{s\max}$ and $L_{s\max}$ with increase of $D_{\max}$ for the standard probe

23 (Fig 16a, 17a) indicates a strong dependence of particle size on particle shattering.

24

25 **5. Monte-Carlo simulation of inter-particle distance function**

1   The statistical parameters obtained from the shattering analysis were used to constrain

2   Monte-Carlo simulations of the effect of shattering on particle measurements. These simulations

3   were used to understand the influence of various parameters on the shape of the inter-particle

4   distance distribution, $\varphi(\Delta x)$.

5   For each simulation two fluxes of particles with the same concentration n_0 are assumed to

6   interact with the probe. The first flux represents intact particles and passes through the sample

7   area S_0 of the probe. The second flux represents the shattered particles and pass through a

8   "shattering" area for the probe, S_{sh}, rebound and subsequently pass through S_0. After passing

9   though S_{sh} a particle breaks down into N_{sh} fragments.

10   The inter-particle distance between the intact particles was simulated assuming a Poisson

11   distribution by combining a random number generator and an exponential distribution with mean

12   distance $\overline{\chi}_0 = \dfrac{n_0}{S_0}$. The inter-particle distance between the shattering events was also simulated

13   using an exponential distribution with mean distance $\overline{\chi}_{ev} = \dfrac{n_0}{S_{sh}}$. Based on the results obtained in

14   section 4.3 the number of shattered fragments was simulated by a random number generator with

15   exponential distribution with average $\overline{N}_{sh}$. The distance between the shattered fragments in each

16   shattered event was also simulated by the exponential distribution with average $\overline{\chi}_{sh} = \dfrac{\overline{L}_s}{\overline{N}_{sh}}$,

17   where $\overline{L}_s$ is the average distance of the cluster of shattered fragments. After sorting arrival times

18   the two flows were merged together to forming a time series of intact particles mixed with the

19   shattering artifacts.

20   The modeling results presented below were performed for S_0 =50mm^2, S_{sh} =5mm^2, $\overline{L}_s$ =1cm

21   and $\overline{N}_{sh} = 5$. The particle size distribution was assumed to be monodisperse.

22   Figure 18 shows the modeled distributions of the inter-particle distances for intact particles

23   only $\varphi_i(\Delta x)$ (blue), shattered particles only $\varphi_s(\Delta x)$ (red) and all particles that passed through

24   the sample volume $\varphi(\Delta x)$ (black). The distribution $\varphi_i(\Delta x)$ represents the Poisson process and

25   has a single mode, whereas $\varphi_s(\Delta x)$ has two modes. The long distance mode in $\varphi_s(\Delta x)$ is

1   determined by the characteristic distance between the shattered events $\overline{\chi}_{ev}$, whereas the short

2   distance mode is associated with the characteristic distances between the shattered fragments

3    $\overline{\chi}_{sh}$, which passed through the sample volume. The long distance mode in $\varphi_s(\Delta x)$ also includes

4   single particle shattering events. This is what we see for the long mode of the red line

5   (rebounders/singletons) and the blue line. Both of these are continuous processes, whereas the

6   shorter shattering mode is intermittent conditional on a collision occurring in the shattering

7   volume. It is important to mention that the inter-particle distribution $\varphi(\Delta x)$ representing the flow

8   of all particles cannot be presented as an algebraic superposition of $\varphi_s(\Delta x)$ and $\varphi_i(\Delta x)$. In this

9   regard the conceptual diagrams in Fig.1 show an oversimplified inter-arrival time distributions,

10   which help understanding, but does not reflect actual shape of $\varphi_i(\Delta x)$.

11   Figure 19 show four distributions $\varphi(\Delta x)$ calculated for the particle concentrations $1l^{-1}$, $10l^{-1}$

12   $100l^{-1}$ and $1000l^{-1}$. Fig.19 shows that the long distance mode approaches the short distance mode,

13   when the particle concentration n_0 increases. However, the short distance mode is insensitive to

14   the changes of the particle concentration, and it remains at the same position, when n_0 increases

15   (Fig.19a,b,c). The location of the cut-off-distance χ^* has appear insensitive to the changes in n_0

16   remaining approximately constant.

17   For increasing n_0 the reduced separation of the long and short distance mode results in an

18   increased overlap of the distributions associated with these modes. As described above,

19   increasing the overlap of these distributions reduces the efficiency of the ITA to segregate intact

20   particles and shattered artifacts. At high concentrations the short and long distance modes merge,

21   resulting in the vanishing of the inter-modal minimum. At that stage the ITA becomes much less

22   efficient or even disabled (e.g. Fig 19d).

23   In the above simulations the particle size distribution was assumed to be monodisperse. One

24   of the consequences of this assumption is that all of the particles possessed the same shattering

25   efficiency and $\overline{N}_{sh}$ remains the same for all particles. In reality particle sizes in natural clouds

26   are represented by broad distributions. As indicated above, $\overline{N}_{sh}$ depends on particle sizes and

27   that for small particles with $D<400\mu m$ $\overline{N}_{sh} \to 0$. Therefore, the concentration n_0 should be

28   interpreted as a concentration of particles contribution in the effect of shattering, but not as a

20

1   total concentration, which included small ice which do not affect shattering. For simplicity the

2   effect of the particle size distribution was not included in the simulation. It should be noted that

3   the threshold 400µm refers OAP-2DC. Probes with different pixel resolution, response time and

4   inlet configuration have different threshold sizes below which the effect of shattering becomes

5   insignificant.

6   The analysis of the modeling results suggests that: (1) the number of counts in the long inter-

7   particle distance mode is mainly controlled by the sample area S_0 and particle concentration n_0;

8   (2) the number of counts in the short distance mode depends on the area S_{sh}, which deflects

9   particles towards the sample volume, the characteristic number of fragments per shattering event

10 $\overline{N}_{sh}$ and the particle concentration n_0. As demonstrated in section 4, $\overline{N}_{sh}$ is a function of particle

11 size. It should also be noted that $\overline{N}_{sh}$ also depends on S_{sh}, S_0 and spatial proximity of S_{sh} to

12 S_0. For example as S_0 decreases the probability of passing at least two shattered fragments

13 ($N_{sh} \geq 2$) through S_0 becomes diminishes too. If S0 becomes too small, most of the shattered

14 artifacts will be associated with single particle shattered events ($N_{sh} = 1$), that cannot be

15 identified by the ITA. Therefore, it is anticipated that the inter-arrival time algorithm will be

16 more efficient for OAP-2DS with $S_0 \approx 50\text{mm}^2$, than for the FSSP with the sample area

17 $S_0 \approx 3\text{mm}^2$. The number of shattered fragments viewed by the probe N_{sh} also depends on spatial

18 separation of S_{sh} to S_0. Thus, if S_{sh} is located too far from S_0 then the shattered fragments

19 may not have sufficient momentum to travel large distance across the airflow and reach S_0.

20 Comparisons of the measurements of standard and modified probes demonstrated that $\overline{N}_{sh}$

21 strongly depends upon S_{sh}. The reduced number of the shattered fragments N_{sh} for the

22 modified probe is explained by the fact that the anti-shattering tips have a significantly reduced

23 shattering area compared to the standard tips.

24

25 **6. Conclusions**

26   Based on the analysis of data obtained with standard and modified OAP 2DC probes in a

27 variety of ice cloud conditions and Monte-Carlo simulations, the following conclusions have

28 been obtained:

1   1. The interarrival time algorithm cannot segregate all shattering artifacts from the intact

2   particles *in principle*. These limitations are imposed by the Poisson statistics of particle spatial

3   distribution. It was demonstrated that the short inter-arrival times are not necessarily associated

4   with shattering artifacts, and that shattered artifacts are not constrained to exhibit short inter-

5   arrival times. In order to mitigate the effect of shattering the interarrival time algotithm should be

6   used together with other means, like antishattering tips and special algorithms improving its

7   performance (e.g. reacceptance of intact particles, corrections for accepted singletons, etc.).

8   2. The inter-arrival time algorithm has a range of conditions when short and long

9   interarrival modes are well separated and it can effectively segregate shattered artifacts and intact

10   particles (e.g. low concentration).

11   3. The inter-arrival time algorithm has a number of limitations which under certain

12   circumstances may significantly degrade its performance or even disable it. Such cases are

13   relevant to the clouds with high particle concentration, when the special separation between

14   shattered fragments Δx_s becomes comparable with the distance between intact particles Δx_i, i.e.

15    $\Delta x_s \sim \Delta x_i$. For mixed phase clouds it may not be possible to use the inter-arrival time algorithm

16   with probes that have a fine pixel resolution.

17   4. It was found that in clouds with particles with D_{max} <400μm the effect of shattering on

18   measurements of the standard OAP-2DC can be neglected.

19   5. The inter-arrival distance and number of registered shattered artifacts is well represented

20   by an exponential function. The slope of the distribution is a function of the characteristic

21   particle size. The number of shattered fragments correlates with particle size.

22   6. The results on the statistics of shattering events open the door for a statistical simulation

23   to study the effect of shattering on measurements. These studies could potentially be useful not

24   only for developing correction algorithms fo historical datasets, but also develop

25   recommendations of how to design probes in the future to best accommodate the ITA.

26

27   This analysis of the efficiency of the inter-arrival time algorithm is based on OAP-2DC data.

28   Nevertheless, most of the conclusions obtained in this study can be applied to other particle

29   probes. In this regard it is worth mentioning that the effectiveness of the inter-arrival time

algorithm depends on the pixel resolution, size of the sample area, the response time of the electronics and the inlet configuration.

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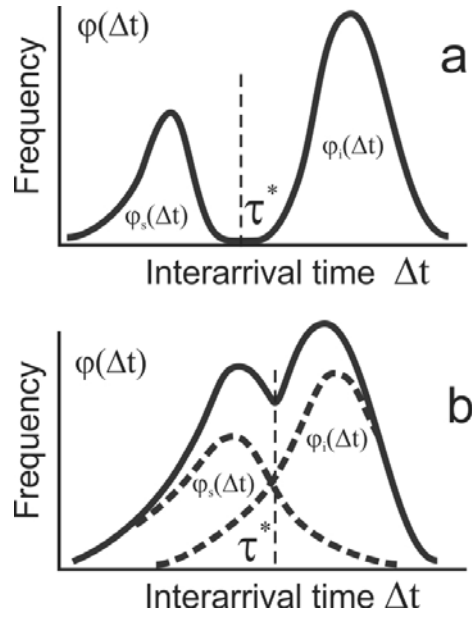
3 **Figure 1** Conceptual diagram of the distribution of inter-arrival times $\varphi(\Delta t)$ with well separated short and

4 long inter-arrival time modes (a), when shattering artifacts can be segregated from the intact particles.

5 When the distributions of inter-arrival time associated with intact particles $\varphi_i(\Delta t)$ and shattered fragments

6 $\varphi_s(\Delta t)$ have significant overlap, then segregation of intact particles and shattered artifacts is hindered (b).

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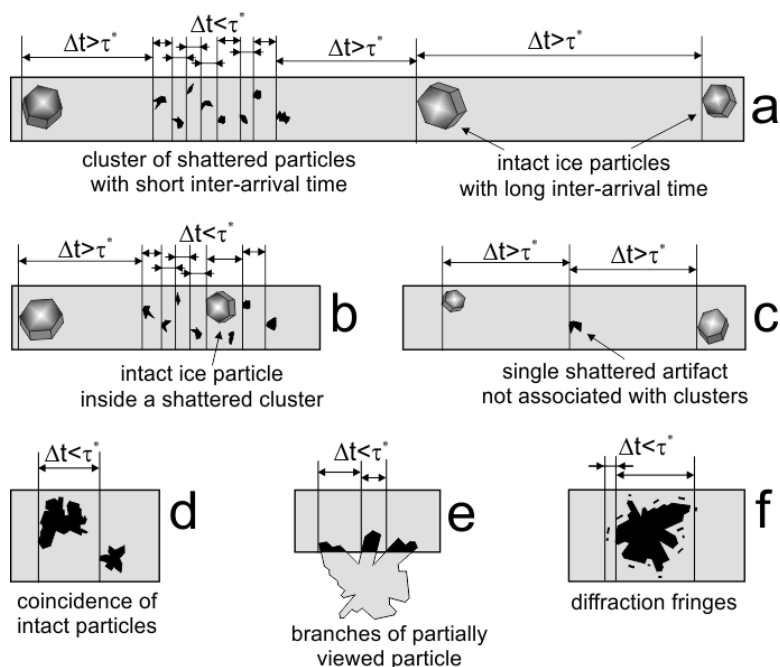
Figure 2 Conceptual diagram of (a) idealistic spatial sequence of intact particles and shattered artifacts passing through the sample volume. Case (c) when the inter-arrival time algorithm may confuse shattered artifact with intact particles, and (b,d,e,f) when intact particles may be confused with shattering artifacts.

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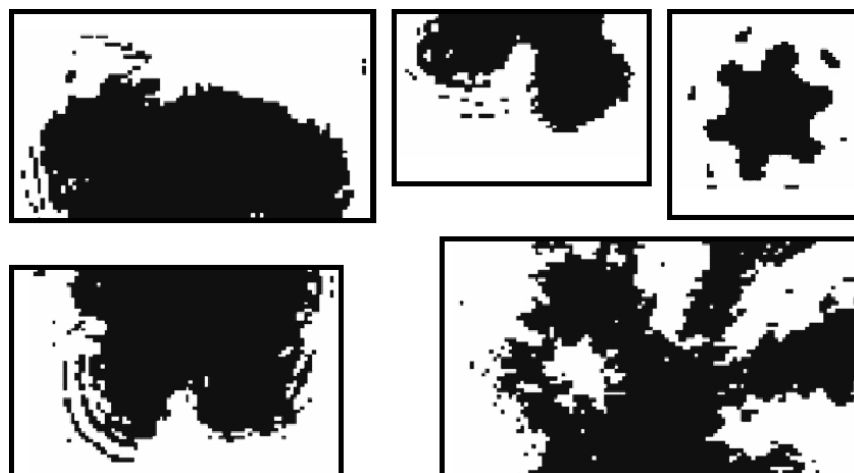
7 **Figure 3.** Examples of diffraction fringes around out-of-focus images measured by CIP at 15μm pixel

8 resolution. The diffraction fringes and the particle generating the fringes may be confused with shattered

9 fragments and be rejected by the inter-arrival time algorithm.

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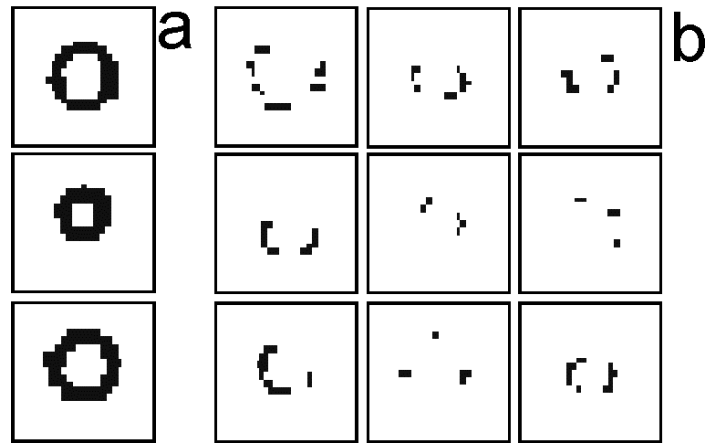
Figure 4. Examples of out-of-focus images measured by 2D-S at 10μm pixel resolution. (a) complete circle out-of-focus images; (b) fragmented out-of-focus images, which were registered in two or three image frames and identified as shattering artifacts by the interarrival time algorithm. The fragmented out-of-focus images is related to the particles passing through the sample volume near the edge of the depth-of-field
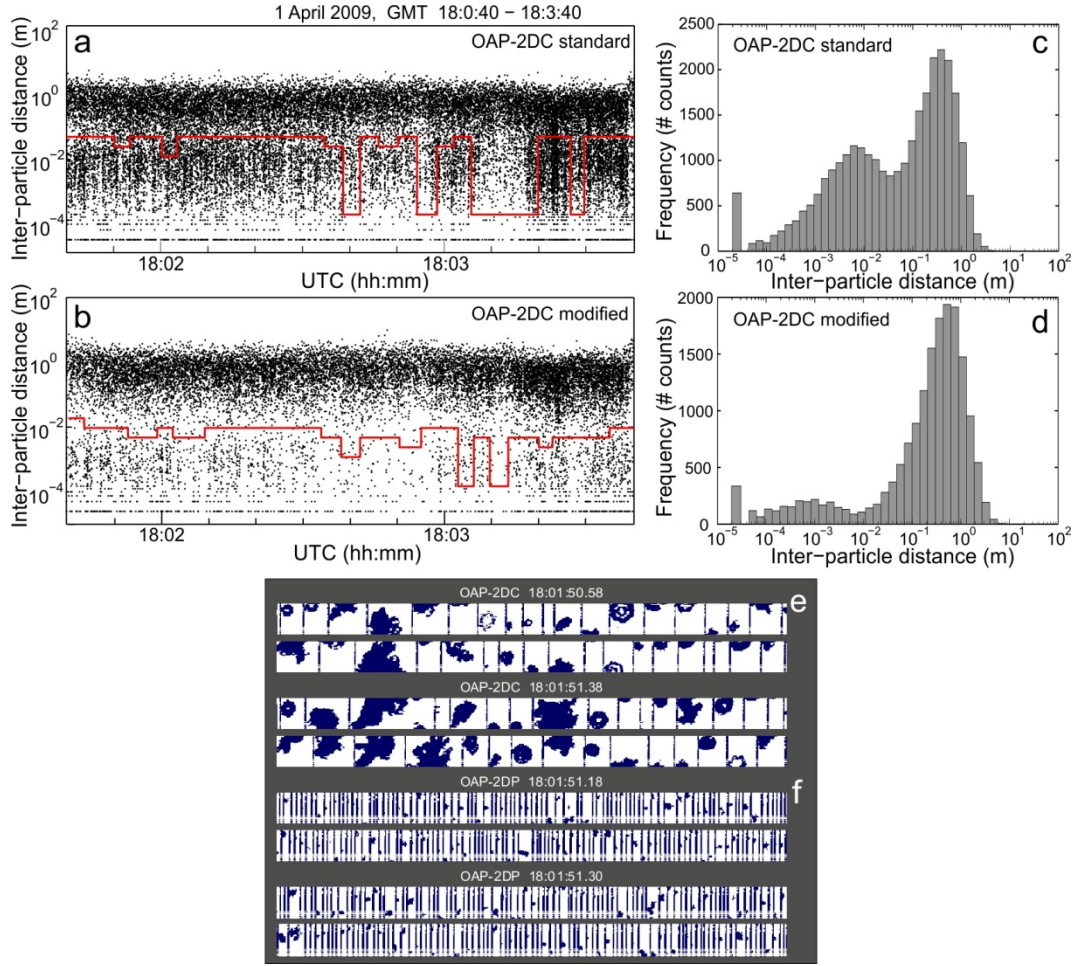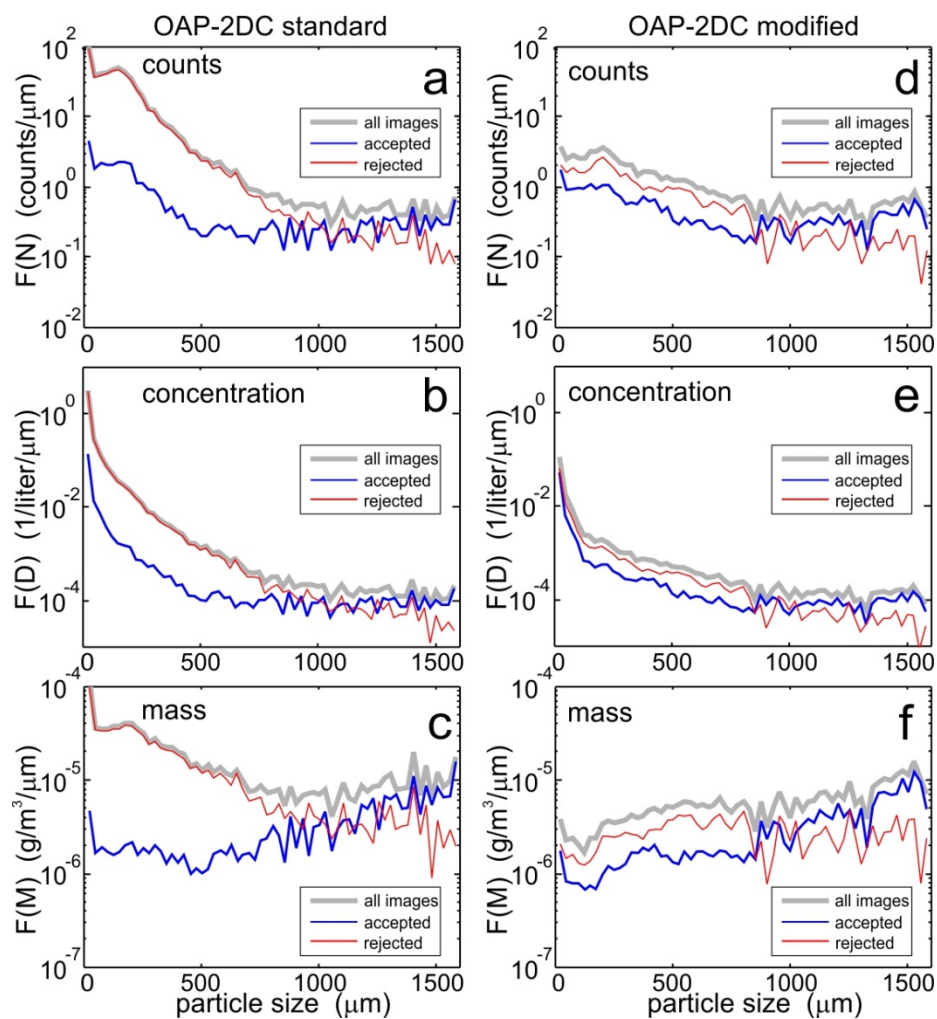
Figure 5. Comparison of inter-particle distances measured by standard (a) and modified (b) 2DC. Red lines in (a) and (b) indicate the cut-off distance χ^*. The distribution of the inter-particle distances for standard (c) and modified (d) probes. Examples of images obtained with an OAP-2DC at 25μm pixel resolution (e) and an OAP-2DP at 200μm pixel resolution (f). The measurements were conducted on April 1 during an ascent through ice cloud from approximately 4600m to 5300m in the Ottawa region. The temperature varied from -12C to -17C.

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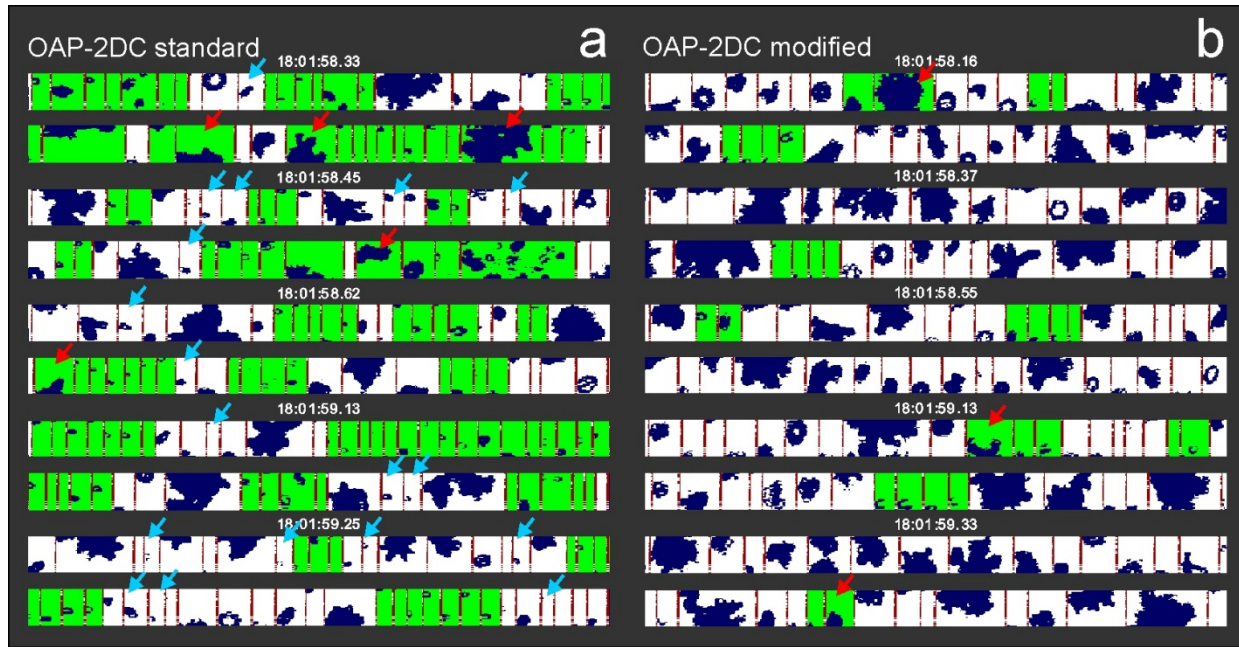
Figure 6. Examples of the results of the image rejection/acceptance processing with the inter-arrival time algorithm. The images of ice particles were simultaneously sampled by the standard (left) and modified (right) OAP-2DC during the time period shown in Fig.5. Green backgrounds highlights the images identified by the inter-arrival time algorithm as artifacts. Images with a white background were accepted by the algorithm. As seen in (a) in some cases the standard OAP-2DC rejects large particles which appear to be intact (red arrows), at the same time it accepts particles, which have the features of shattered fragments (blue arrows).

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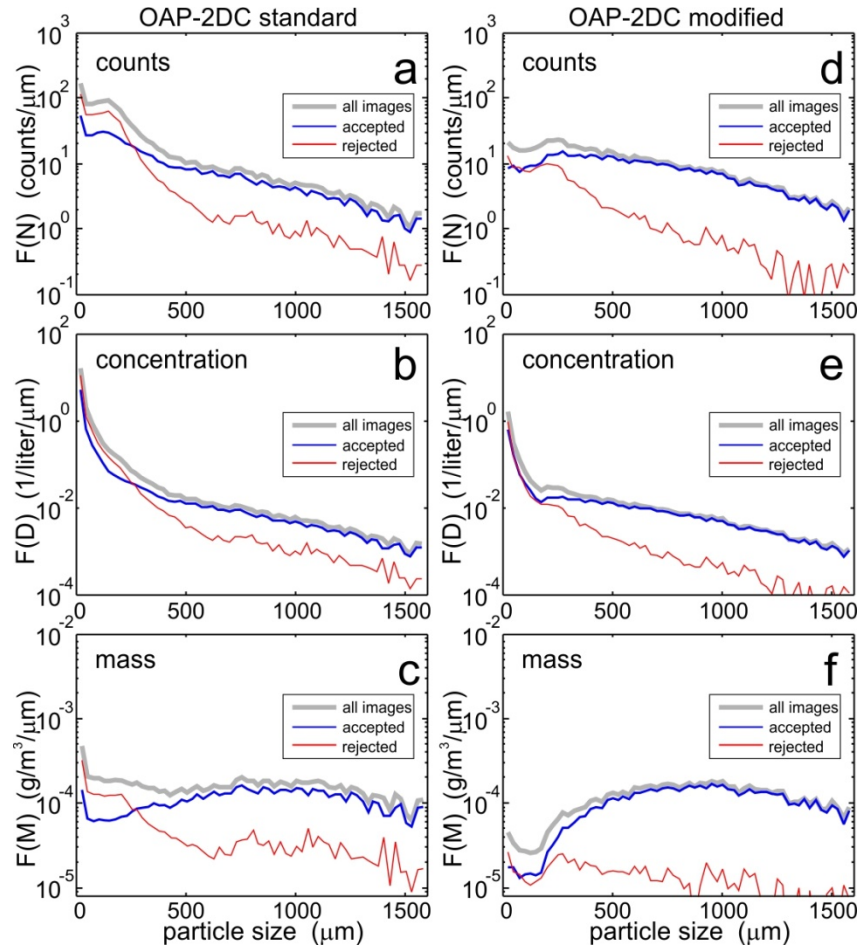
Figure 7. Distributions of particle counts (a,d), concentration (b,e), mass (c,f) calculated for all images (grey) and accepted (blue) and rejected (red) identified by the inter-arrival time algorithm. The distributions were calculated for the standard (left) and modified (right) OAP-2DCs data collected in the cloud shown in Fig.5.

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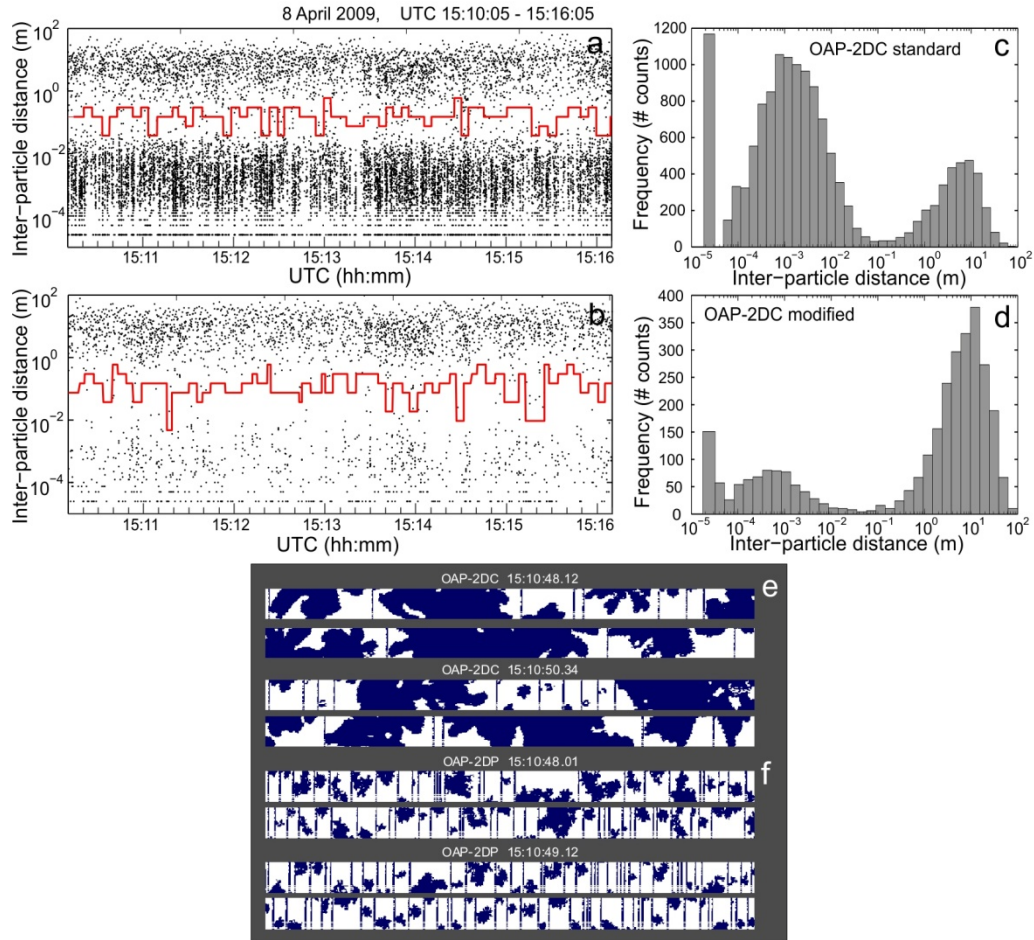
Figure 8. Comparison of inter-particle distances measured by standard (a) and modified (b) 2DC. Red lines in (a) and (b) indicate the cut-off distance χ^{*}. The distribution of the inter-particle distances for standard (c) and modified (d) probes. Examples of images obtained with an OAP-2DC at 25μm pixel resolution (e) and an OAP-2DP at 200μm pixel resolution (f). The measurements were conducted during on April 8 (1st flight) during descent through precipitating dendrites from approximately 1300m to 500m in the Ottawa region. The temperature varied from -8C to -2C.

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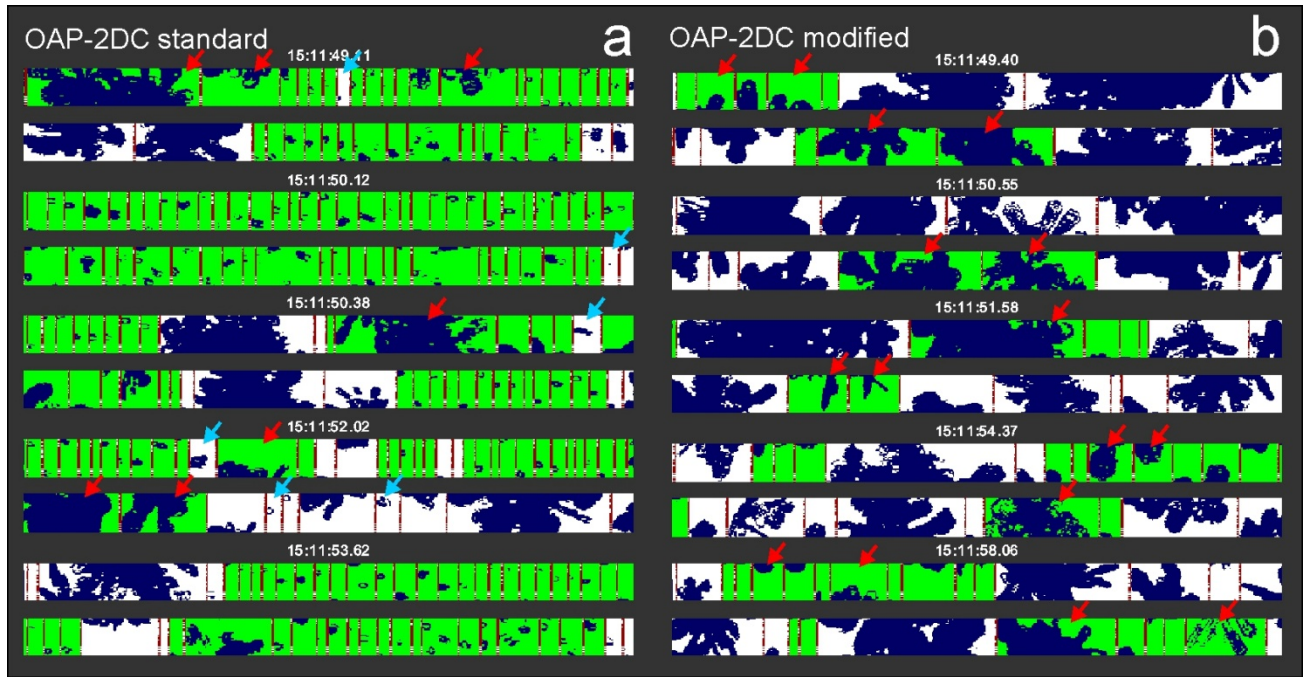
4   **Figure 9.** Examples of the results of the image rejection/acceptance processing with the inter-arrival time algorithm.

5   The images of ice particles were simultaneously sampled by the standard (left) and modified (right) OAP-2DC

6   during the time period shown in Fig.8. A green background highlights the images identified by the inter-arrival time

7   algorithm as artifacts. Images with a white background were accepted by the algorithm. As seen in (a) in some cases

8   the standard OAP-2DC rejects large particles which appear to be intact (red arrows), at the same time it accepts

9   particles, which have the features of shattered fragments (blue arrows).

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4   **Figure 10.** Distributions of particle counts (a,d), concentration (b,e), mass (c,f) calculated for all images (grey) and

5   accepted (blue) and rejected (red) identified by the inter-arrival time algorithm. The distributions were calculated for

6   the standard (left) and modified (right) OAP-2DCs data collected in the cloud shown in Fig.8.

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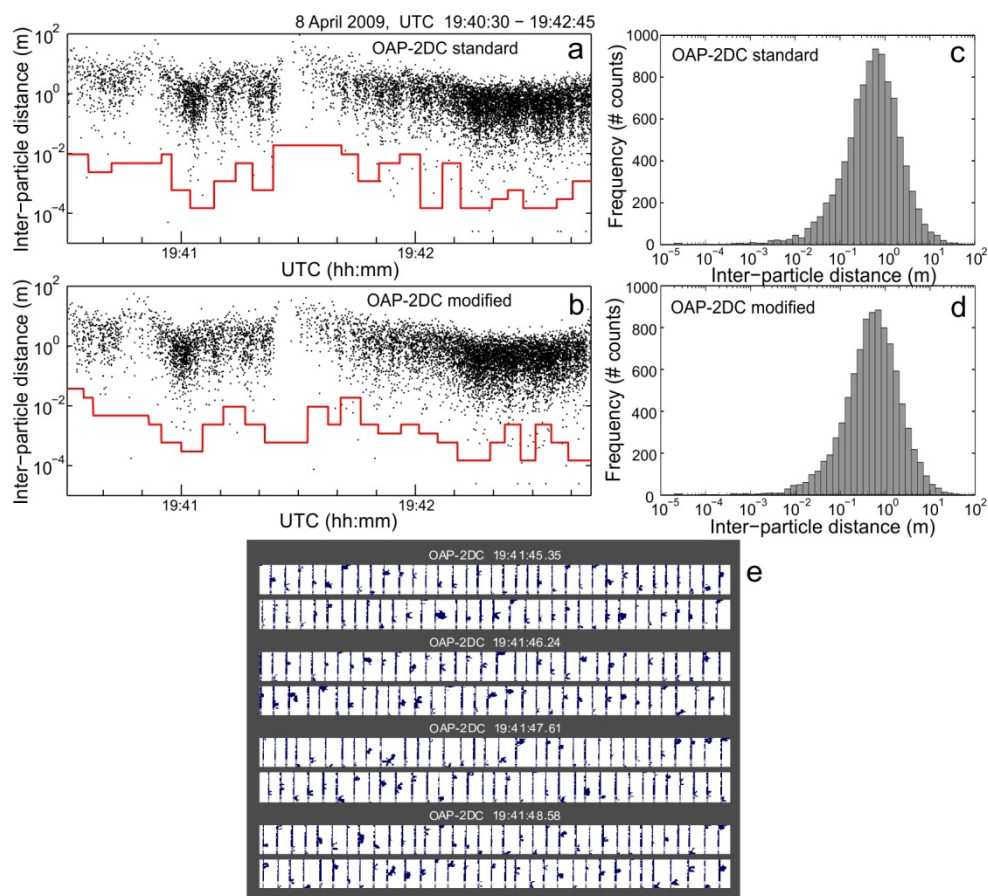
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4 **Figure 11.** Same as in Fig.8. The measurements were collected on April 8 (2nd flight) in cirrus clouds at altitude

5 7500m and temperature -35C.

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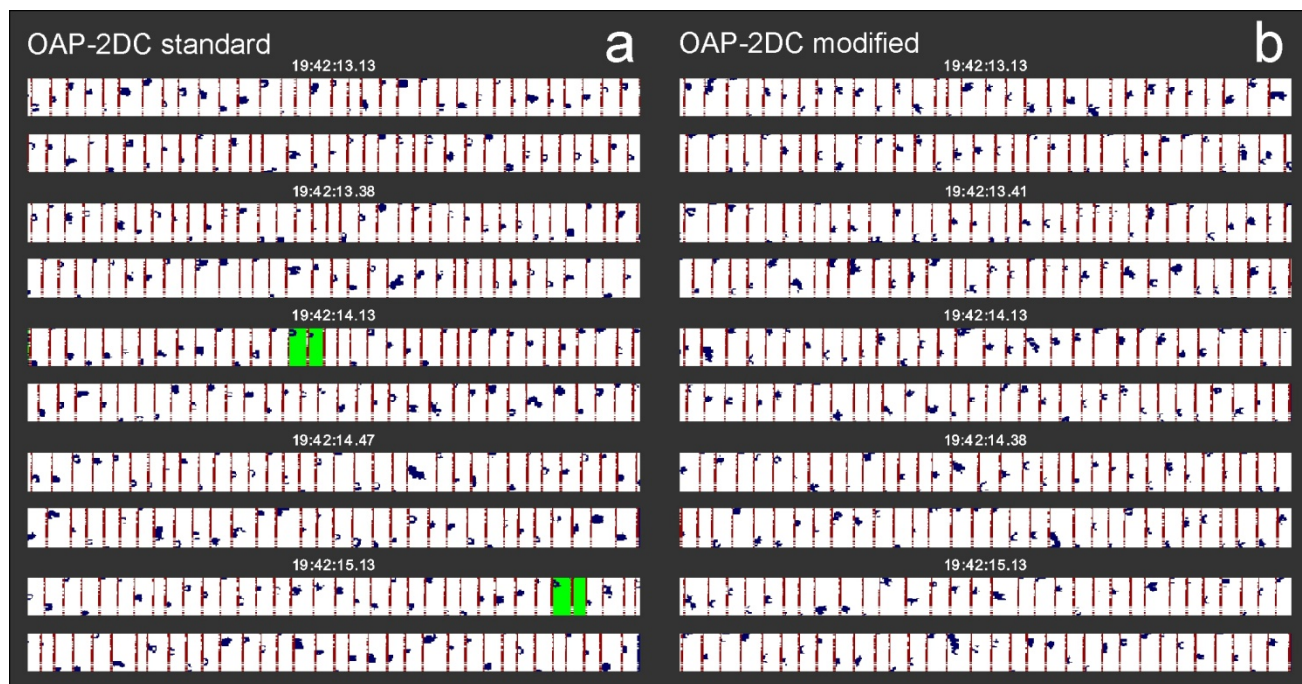
Figure 12. Same as in Fig.5. The measurements of the images were obtained during the time period shown in Fig.10.

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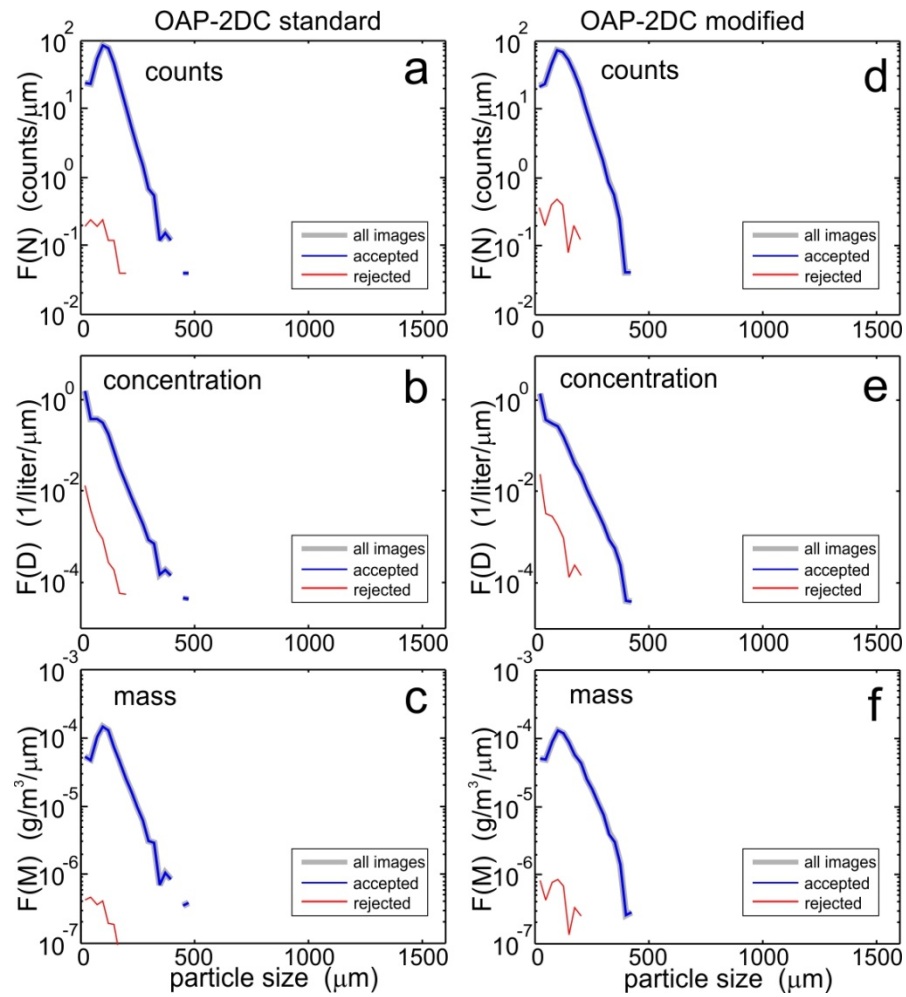
5 **Figure 13.** Distributions of particle counts (a,d), concentration (b,e), mass (c,f) calculated for all images (grey) and

6 accepted (blue) and rejected (red) identified by the inter-arrival time algorithm. The distributions were calculated for

7 the standard (left) and modified (right) OAP-2DCs data collected in the cloud shown in Fig.11.

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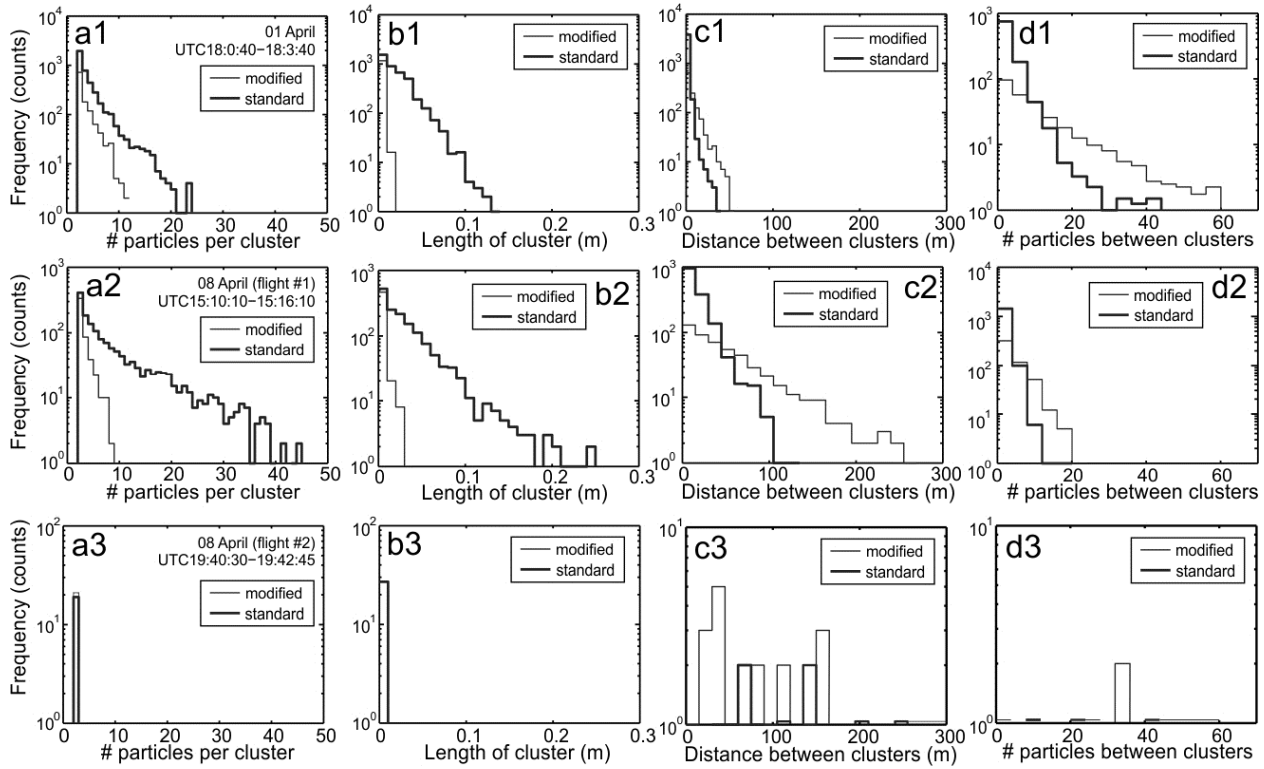
Figure 14. Distributions of number of particles per shattering event (a1,a2,a3), length of the clusters of shattered artifacts along the flight direction (b1,b2,b3), distance between the clusters with shattered fragments (c1,c2,c3), number of intact particles between shattered events (d1,d2,d3) calculated for the cases shown in Fig.3 (top row), Fig.7 (middle row) and Fig.10 (bottom row), respectively.
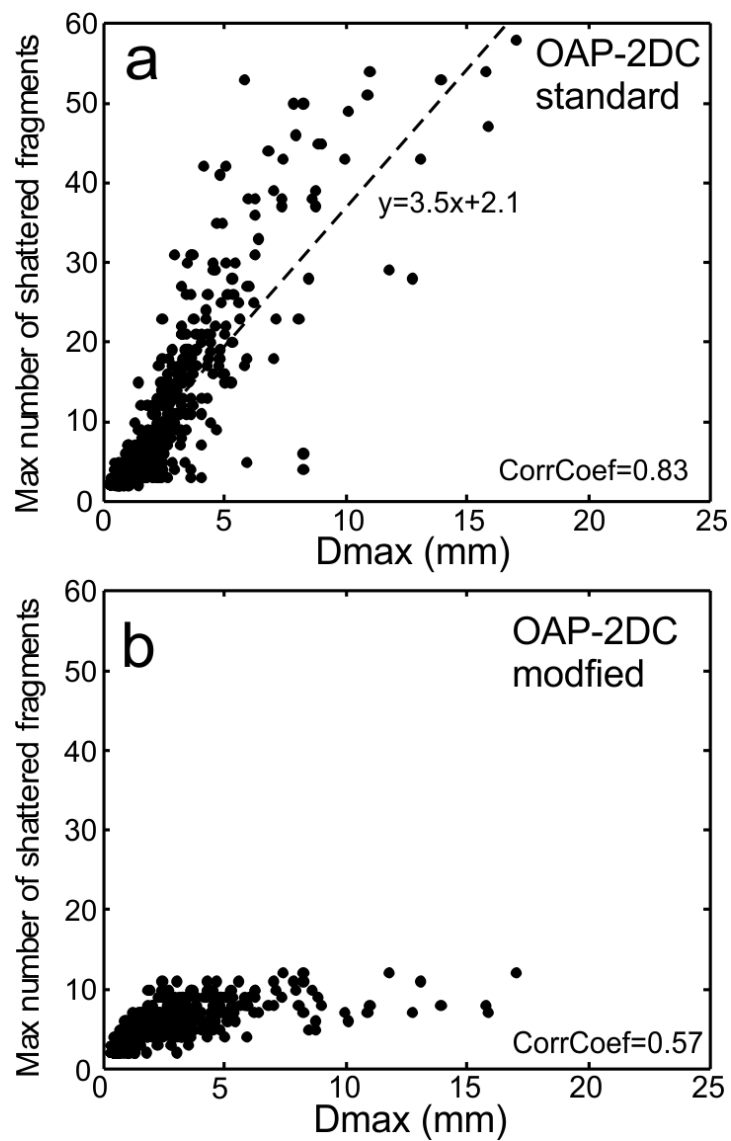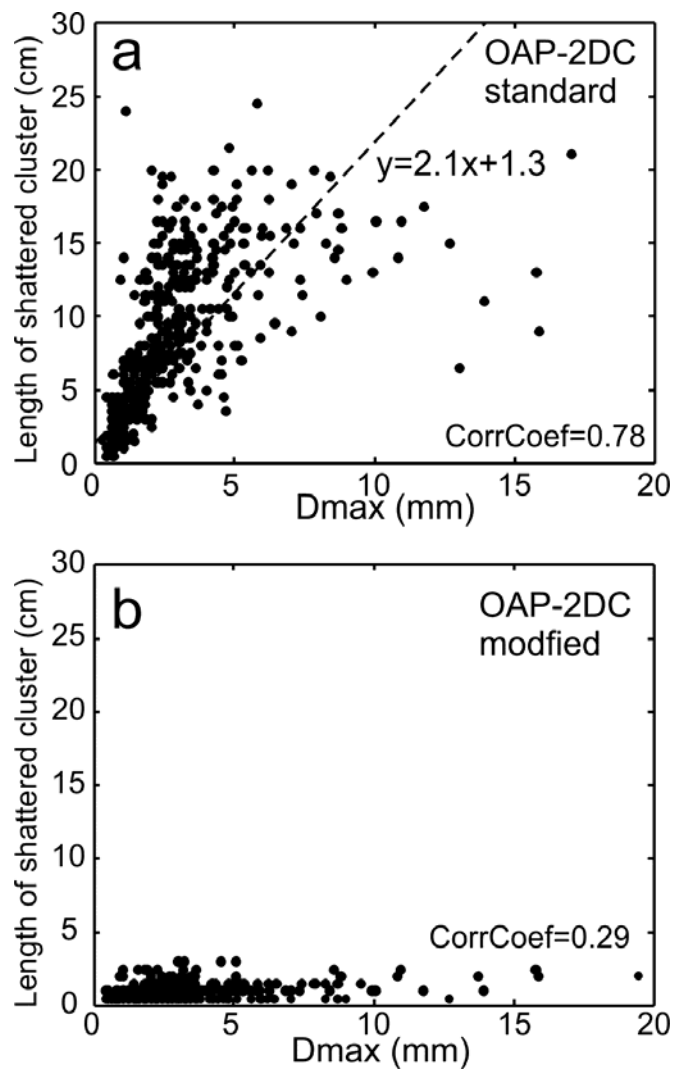
1
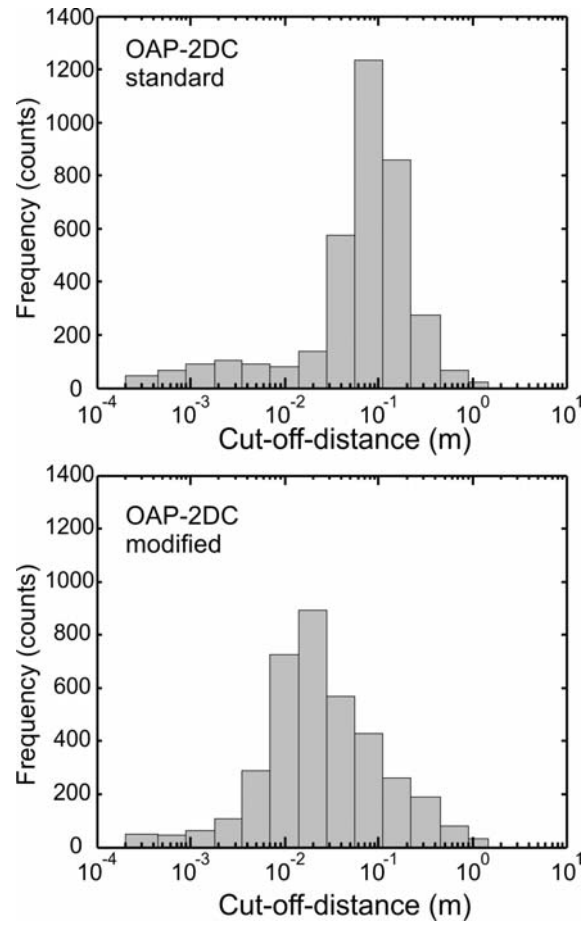
Figure 15. Distribution of cut-off distances χ^* for standard (a) and modified (b) OAP-2DCs averaged over all ice

clouds sampled during the AIIE project>

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3 **Figure16.** Scatter diagram of the maximum number of shattered fragments per event versus maximum particles

4 sizes for standard (a) and modified (b) OAP-2DCs for all ice clouds sampled during the AIIE project.

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3   **Figure 17.** Scatter diagram of the length of clusters of shattered fragments versus maximum particles sizes for

4   standard (a) and modified (b) OAP-2DCs for all ice clouds sampled during the AIIE project.

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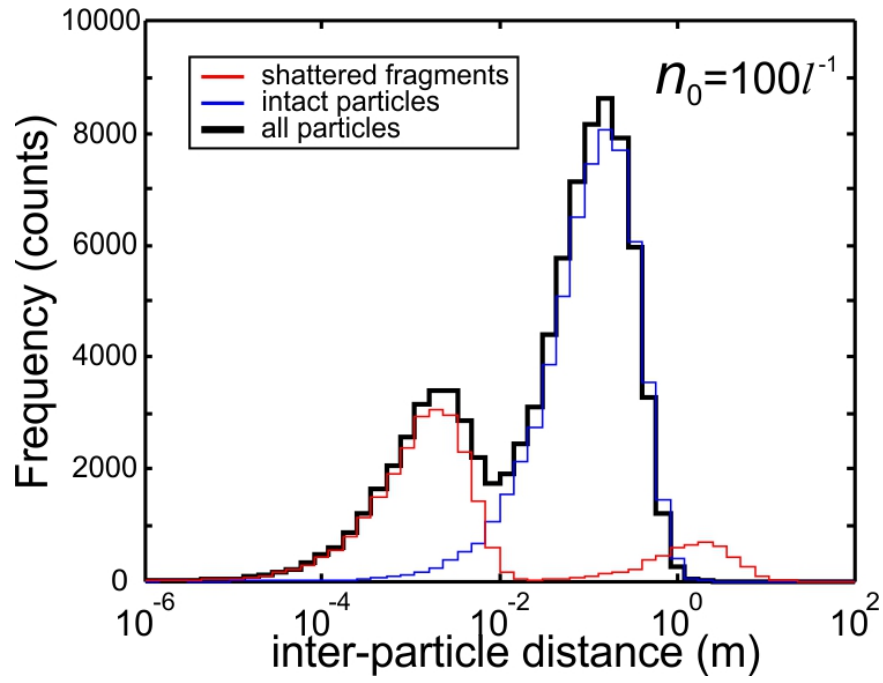
Figure 18. Results of Monte-Carlo simulations of distribution of inter-particle distance $\varphi(\Delta x)$ for intact particles (blue), shattered particles (red) and all particles passed through the sample volume (black). The calculations were conducted for particle concentration $n_0 = 100 l^{-1}$.

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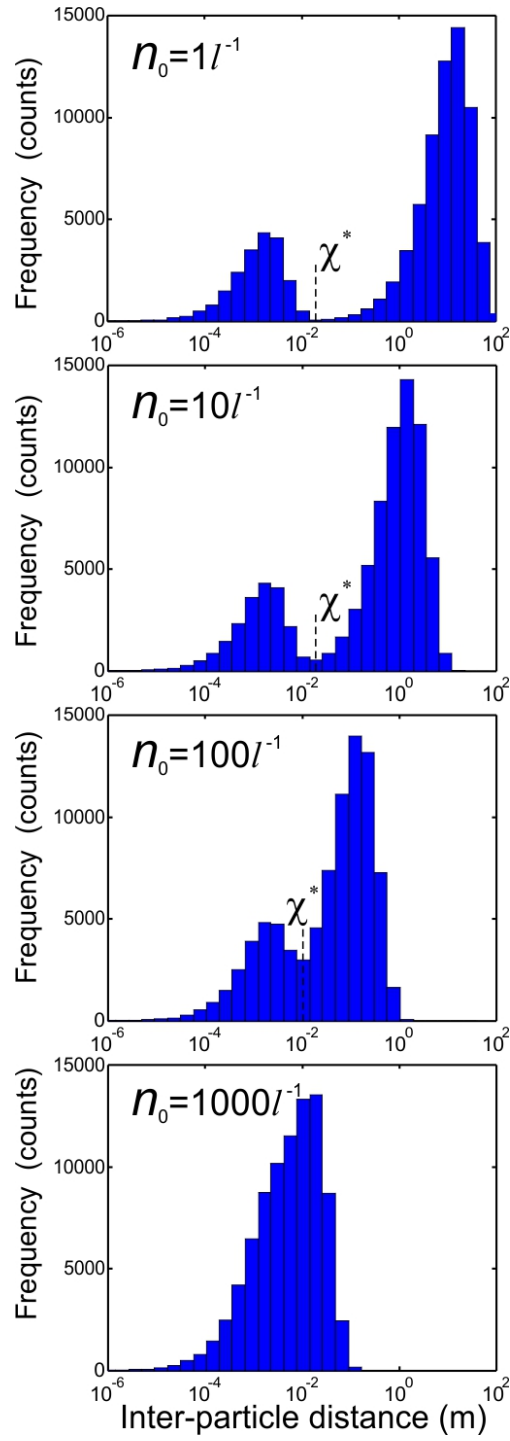
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4   **Figure 19.** Results of Monte-Carlo simulations of distribution of inter-arrival time $\varphi(\Delta x)$ for different

5   particle concentrations (a) $1l^{-1}$; . (b) $10l^{-1}$; (c) $100l^{-1}$; (d) $1000l^{-1}$.

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