

Validation of first chemistry mode retrieval results from new limb-*imaging* FTS GLORIA with correlative MIPAS-STR observations

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Abstract

We report first chemistry mode retrieval results from the new airborne limb-*imaging* infrared FTS (Fourier transform spectrometer) GLORIA and comparisons with observations by the conventional airborne limb-*scanning* infrared FTS MIPAS-STR. For GLORIA, the flights aboard the high-altitude research aircraft M55 *Geophysica* during the ESSenCe campaign (ESA Sounder Campaign 2011) were the very first in field deployment after several years of development. The simultaneous observations of GLORIA and MIPAS-STR during the flight on 16 December 2011 inside the polar vortex and under the conditions of optically partially transparent polar stratospheric clouds (PSCs) provided us the [first](#) opportunity to compare the observations by two different infrared FTS generations directly. [We validate the GLORIA results with MIPAS-STR based on the lower vertical resolution of MIPAS-STR and compare the vertical resolutions of the instruments derived from their averaging kernels.](#) The retrieval results of temperature, HNO_3 , O_3 , H_2O , CFC-11 and CFC-12 show reasonable agreement of GLORIA with MIPAS-STR and collocated [in situ](#) observations. For the horizontally binned hyperspectral limb-images, the GLORIA sampling outnumbered the horizontal cross-track sampling of MIPAS-STR by up to one order of magnitude. Depending on the target parameter, typical vertical resolutions of 0.5 to 2.0 km were obtained for GLORIA and are typically by factors of 2 to 4 better compared to MIPAS-STR. While the improvement of the performance, characterisation and data processing of GLORIA are subject of ongoing work, the presented first results already demonstrate the considerable gain in sampling and vertical resolution achieved with GLORIA.

1 Introduction

Passive infrared limb-emission observations from airborne, balloon-borne and space-borne platforms allow detailed observations of temperature, chemical composition and cloud properties in the Upper Troposphere and Lower Stratosphere (UTLS) region (Offermann et al., 1999; Fischer et al., 2008; references therein). Observations by the airborne limb-sounding FTS MIPAS-STR (Michelson Interferometer for Passive Atmospheric Sounding – STRatospheric aircraft; Piesch

et al., 1996) and the airborne limb-sounder CRISTA-NF (Cryogenic Infrared Spectrometers and Telescopes for the Atmosphere – New Frontiers; Kullmann et al., 2004) have shown that filamentary structures and clouds in the UTLS can be resolved with high horizontal and vertical resolution (Spang et al., 2008; Ungermann et al., 2012, 2013; Woiwode et al., 2012, and references therein).

We report retrieval results from observations by the new airborne limb-*imaging* FTS GLORIA (Gimballed Limb Observer for Radiance Imaging of the Atmosphere) (Friedl-Vallon et al., 2014; Riese et al., 2014, and references therein) associated to its first deployment in the field during the ESSenCe campaign. GLORIA performed its maiden flights aboard the high-altitude research aircraft *Geophysica* (for details about the *Geophysica* see Stefanutti et al., 1999) and probed the early Arctic polar vortex in December 2011 (Kaufmann et al., 2015). Although the GLORIA deployment during ESSenCe had a technical focus, several of the first observations show already a high quality and allowed for accurate retrievals of atmospheric parameters.

GLORIA combines a Michelson interferometer with an imaging detector array, resulting in a much higher spatial sampling than it can be achieved with conventional limb-*scanning* single-pixel FTS. The GLORIA spectrometer has been operated in two different modes, each with different scientific aims: the chemistry mode observations are characterised by a high spectral resolution in combination with a medium (though considerably higher compared to conventional techniques) horizontal cross-track sampling, whereas the dynamics mode observations are characterised by a medium spectral and extremely high horizontal cross-track sampling. The extremely dense dynamics mode observations include azimuth scanning and can be combined with dedicated flight patterns (e.g. hexagons) to enable tomographic retrievals. First results of GLORIA dynamics mode observations, including also tomographic retrievals, are reported by Kaufmann et al. (2015). The GLORIA chemistry mode observations reported here involved fixed pointing and aim on demonstrating the capabilities of classical 1d-retrievals of an extended set of trace gases enabled by the higher spectral resolution. An overview on the ESSenCe campaign and first GLORIA results are given by Kaufmann et al. (2013).

Here we present GLORIA chemistry mode retrieval results for the ESSenCe flight on 16 December 2011 and validate them with observations by the conventional limb-*scanning* FTS

MIPAS-STR. Since both instruments observed the same air masses, direct comparisons of the retrieval results from both instruments of two different generations are possible. In Sects. 2 and 3, we briefly introduce GLORIA and MIPAS-STR and compare the characteristics of the instruments. In Sect. 4, we discuss the meteorological conditions and sampling during the flight on 16 December 2011. In Sect. 5, we present comparisons between the GLORIA and MIPAS-STR as well as available collocated in situ observations, and our results are summarised in Sect. 6.

2 Overview GLORIA

GLORIA is a cryogenic limb-*imaging* FTS based on a cube corner Michelson linear slide interferometer and covers the spectral range from 780 to 1400 cm^{-1} within one single spectral channel. The instrument concept is introduced by Friedl-Vallon et al. (2014) and scientific objectives for GLORIA are discussed by Riese et al. (2014). Selected technical details and characteristics of GLORIA are summarised in Table 1. The GLORIA detector consists of a cryogenic 256×256 pixel HgCdTe large focal plane array. The spectrometer housing that contains the optical components is cooled to about 220 K to reduce instrumental background radiation. The spectrometer is mounted in a gimbal that allows for compensation of aircraft movements and for horizontal scanning in yaw direction from 45 to 135° as well as for nadir observations. The observations discussed here were performed in limb-mode pointing at 90° in yaw direction (right side vs. aircraft nose, i.e. perpendicular to the aircraft heading) and vertically fixed vs. the horizon.

During each interferometer sweep (i.e. hyperspectral image acquisition), DC-coupled interferograms are recorded for each individual pixel. GLORIA records double-sided interferograms. In the ESSenCe configuration, a subset of 128 vertical and 48 horizontal pixels was used for data acquisition. For the chemistry mode retrieval discussed in this context, the horizontal pixel rows were binned to reduce the measurement noise. A typical noise-equivalent spectral radiance (NESR) of $\sim 15 \times 10^{-9} \text{ W cm}^{-2} \text{ sr}^{-1} \text{ cm}$ was obtained for the binned spectra. For the ESSenCe chemistry mode observations, an optical path difference of 8 cm was

employed. This corresponds to a spectral sampling of 0.0625 cm^{-1} . After applying the Norton-Beer strong apodisation, a spectral resolution of 0.121 cm^{-1} (full width at half maximum) was obtained (Norton and Beer, 1976). Each hyperspectral image covered a vertical angular range from about -3.6 to 0.4° (horizontal view corresponds to 0°). For a typical flight altitude of $\sim 17 \text{ km}$, this corresponds to a vertical coverage from $\sim 2 \text{ km}$ to flight altitude. Additional vertical information was provided by the upward viewing pixels. One hyperspectral image was recorded within $\sim 12.3 \text{ s}$, resulting in a horizontal sampling of $\sim 2.3 \text{ km}$ along flight track. The retrieval results shown in the following correspond to a quality-filtered subset of 68 chemistry mode hyperspectral images acquired in the interval between 14:30 and 14:50 UTC during the discussed flight.

The spectral and radiometric calibration of the GLORIA observations is discussed by Kleinert et al. (2014). The spectral calibration is performed by scaling the spectral axis with a pixel dependent scaling factor. The scaling factor is determined from the spectral positions of selected atmospheric CO_2 lines. The radiometric calibration includes a correction for detector nonlinearity and the determination of the radiometric offset and gain. The radiometric offset is determined from upward viewing observations that contain only weak atmospheric signatures and are dominated by the instrumental background radiation. The radiometric gain is determined from in-flight blackbody measurements and considering the radiometric offset.

Filtering of cloud-affected observations was performed using the differential method introduced by Kleinert and Glatthor (2011). Clouds were identified using the second derivative of the vertical profiles of the integrated radiances within the spectral range from 800 to 949 cm^{-1} . The tropospheric cloud threshold was set to pixels exceeding the mean value by five times the standard deviation. Observations corresponding to lower altitudes were excluded from the retrieval.

From the sets of horizontally binned spectra associated to individual hyperspectral images, vertical profiles of atmospheric parameters as well as instrumental parameters were retrieved by using the fast line-by-line forward model KOPRA (Karlsruhe Optimized and Precise Radiative transfer Algorithm; Stiller et al., 2002) and the inversion module KOPRAFIT (Höpfner et al., 2001). A constrained global fit was applied to the combination of the binned spectra of each in-

dividual hyperspectral limb-image (i.e. cloud- and quality-filtered vertical set out of 128 binned spectra). The issued atmospheric and instrumental parameters were combined into a vector and were determined by [Newtonian iteration \(von Clarmann et al., 2003, and references therein\)](#).

. The iteration process minimised the residuals between the measured spectra and synthetic spectra associated to the applied initial guess atmosphere (Rodgers, 2000). For the retrieval of the atmospheric parameter profiles, a first order regularisation approach was applied (Tikhonov, 1963; Phillips, 1962), constraining the resulting profile towards the shape of a defined [a priori](#) profile in case of low information content in the measurements.

Pressure and temperature profiles from the ECMWF (European Centre for Medium-Range Weather Forecasts) reanalysis were used as initial guess profiles for the calculation of the synthetic spectra. The same ECMWF temperature profiles served as [a priori](#) profiles for the inversion of temperature. Initial guess and [a priori](#) profiles for the trace gas retrievals were taken from the Polar Winter Profiles for MIPAS (Remedios et al., 2007). [CO₂-profiles were updated for the Arctic winter 2011/12 involving simultaneous CO₂ in situ observations by HAGAR \(Riediger et al., 2000; Werner et al., 2010\) aboard the Gephysica and considering the Mauna Loa record \(see: <http://www.esrl.noaa.gov/gmd/ccgg/trends/>\).](#)

Retrieval parameters were a line-of-sight offset correction, temperature and the trace gas volume mixing ratios of HNO₃, O₃, H₂O, CFC-11 and CFC-12. The spectral microwindows applied for the GLORIA retrieval are listed in Table 2. Spectral line and cross-section data were taken from the MIPAS database (Flaud et al., 2006; references therein). Additional retrieval parameters were spectral shift for each microwindow as well as radiometric offset, wave number-independent background-continuum and volume mixing ratios of interfering species (in different combinations, depending on the target parameter). A vertical grid with a spacing of 0.25 km in the discussed vertical range was applied for the GLORIA retrievals.

3 Overview MIPAS-STR

MIPAS-STR is a conventional cryogenic limb-*scanning* FTS using a double pendulum interferometer (a modified version of the Michelson interferometer; Fischer and Oelhaf, 1996) and 4

spectral channels in the range from 725 to 2100 cm^{-1} . Technical details of MIPAS-STR, the calibration procedure and the retrieval are discussed by Piesch et al. (1996), Keim et al. (2008) and Woiwode et al. (2012). Selected technical details of MIPAS-STR and GLORIA are compared in Table 1. MIPAS-STR employs four cryogenic Si:As-blocked-impurity-band detectors. The optics is dry-ice cooled to about 210 K to reduce background radiation. Double-sided interferograms are recorded with an optical path difference of 13.9 cm. This corresponds to a spectral sampling of 0.0360 cm^{-1} . After applying the Norton–Beer strong apodization, a spectral resolution of 0.069 cm^{-1} (full width at half maximum) is obtained (Norton and Beer, 1976). For the retrievals discussed here, non-averaged channel 1 spectra (725 to 990 cm^{-1}) with a typical NESR of $\sim 10 \times 10^{-9} \text{ W cm}^{-2} \text{ sr}^{-1} \text{ cm}$ were employed.

The MIPAS-STR observations were performed in the limb-*scanning* mode. The complete sets of spectra associated to full limb-scans were used for the retrievals of the atmospheric parameter profiles. The scanning sequence applied during the discussed ESSenCe flight employed limb views between $\sim 4 \text{ km}$ and flight altitude in steps of $\sim 1 \text{ km}$. Below 9 km, the spacing increased towards 2 km. Two additional upward-viewing elevation angles of 3 and 8° were included in each sequence. One full limb scan was performed typically in $\sim 2.5 \text{ min}$ and included atmospheric observations as well as blackbody and zenith view calibration measurements. For the flight leg of the discussed GLORIA observations, this corresponds to a horizontal distance along flight track of $\sim 28 \text{ km}$. During the discussed flight MIPAS-STR performed comprehensive sampling, which was interrupted only a few times by aircraft manoeuvres, electromagnetic disturbances (e.g. radio noise) and a dedicated measurement phase for detector [nonlinearity](#) characterisation (16:00 to 16:12 UTC).

Cloud filtering was performed according to Spang et al. (2004). A rather weak cloud index threshold of 2.0 was applied, and partly cloud-affected observations were included in the retrieval. The retrieval approach applied for the MIPAS-STR observations and its validation are discussed by Woiwode et al. (2012). The same forward and inversion model, initial guess and a priori profiles as well as spectral line and cross-section data were used as for GLORIA. In Table 2, the spectral microwindows used for the MIPAS-STR retrieval are compared with the microwindows used for the GLORIA retrieval. It should be noted that the GLORIA and MIPAS-

STR observations were processed independently and therefore the retrieval setups differ from each other.

The MIPAS-STR retrieval was performed sequentially. First, temperature and trace gases with spectral signatures only weakly disturbed by spectral signatures of non-target gases were retrieved. Keeping the profiles of the previously retrieved species constant, gases with spectral signatures significantly affected by the previously determined gases were retrieved. In each retrieval step, spectral shift and a wavenumber-independent background continuum were determined as additional parameters for each microwindow. No radiometric offset was retrieved. To better account for contributions from Polar Stratospheric Clouds (PSCs) observed during this flight (see Sect. 4), wavenumber-independent background continuum was retrieved logarithmically. A vertical grid with a spacing of 0.5 km in the considered vertical range was applied for the MIPAS-STR retrievals.

4 Campaign and meteorological situation

The ESSenCe field campaign was based in Kiruna, Sweden (68° N/ 20° E). During the *Geophysica* flight on 16 December 2011, the polar vortex had already consolidated and showed low core temperatures allowing for the existence of PSCs. Figure 1 shows the flight path of the *Geophysica* together with the tangent points of the GLORIA and MIPAS-STR observations. The entire flight was performed inside the polar vortex according to the definition of Nash et al. (1996) (i.e. potential vorticity higher than 20 PVU at the potential temperature level of 430 K). The flight was performed in a clockwise pattern, and GLORIA as well as MIPAS-STR pointed towards the centre of the flight path. The dense pattern of the tangent points of the GLORIA observations indicates the considerable gain in sampling compared to MIPAS-STR.

Figure 2 shows the vertical flight profile of the *Geophysica* together with the tangent points of the MIPAS-STR observations and the interpolated cloud index according to Spang et al. (2004). The GLORIA observations presented in the following were performed between 14:30 and 14:50 UTC during the end of the ascent phase and within the first flight phase at a constant altitude of ~ 16.8 km (beginning of section a). Around 15:30 UTC, the *Geophysica* further

climbed to second phase at a constant altitude of ~ 17.7 km. The descent phase was entered after 17:00 UTC.

The interpolated vertical cross-section of the MIPAS-STR cloud index exhibits low values at all observed altitudes and during the entire flight. Cloud index values higher than 4 (i.e. cloud-free conditions according to Spang et al., 2004) are found between flight altitude and ~ 13 km at the beginning of flight section a, the end of the section c and in section d (i.e. in the eastern part of the flight, cf. Fig. 1). Except for the last limb-scans in section c, also in these sections cloud index values lower than 4 are found for the observations directly below flight altitude. Cloud index values close to one are approached at altitudes below 10 to 11 km and indicate the tropospheric cloud top. The only exception is the narrow time window around 16:20 UTC, showing partially transparent conditions down to altitudes below 5 km.

During the entire flight, typical cloud index values around 2 are found at flight altitude. The low cloud index values indicate an extended partially transparent PSC. A weak minimum of the cloud index, corresponding to increased cloud influence, is located close to flight altitude around 15:20 UTC. During this flight, MIPAS-STR and the Geophysica temperature sensor observed temperatures mostly below 198 K at flight altitude (see Sect. 5). The observed values are close to the existence temperature of nitric acid trihydrate (NAT), which is typically between about 194 and 198 K (depending on the actual partial pressures of H_2O and HNO_3 ; see Peter and Groöb, 2012; references therein). Thus, the low cloud index values and the observed temperatures are compatible with a PSC containing NAT.

5 Comparisons between GLORIA, MIPAS-STR and collocated in situ observations

Figure 3 shows the retrieval results for temperature (T) and the volume mixing ratios (VMR) of HNO_3 , O_3 , H_2O , CFC-11 and CFC-12 retrieved from GLORIA and MIPAS-STR together with collocated in situ observations aboard the *Geophysica*. The in situ temperature measurements were provided by a commercial Rosemount sensor. In situ measurements of total water were provided by FISH (Fast In situ Stratospheric Hygrometer; Zöger et al., 1999). The in

situ observations of CFC-11 and CFC-12 were provided by HAGAR (High Altitude Gas Analyzer; Riediger et al., 2000; Werner et al., 2010). The accuracy of the FISH H_2O observations is 0.2 ppm and for the HAGAR CFC-11 and CFC-12 observations 0.6 % and 0.3 %, respectively (von Hobe et al, 2013, and references therein). The estimated error of the *Geophysica* temperature sensor is 2 K between 10 and 20 km altitude. It has to be reminded that the in situ observations were restricted to the flight trajectory, while GLORIA and MIPAS-STR integrated radiation along their viewing direction. But due to the fact that the both the in situ observations and the remote sensing observations were located inside the polar vortex (cf. Fig. 1) and the close match between the observations, meaningful comparisons are possible here.

Depending on the target parameter, 39 to 42 vertical profiles were retrieved successfully from the 68 GLORIA hyperspectral limb-images. From MIPAS-STR, 9 vertical profiles were retrieved successfully from 9 limb-scans in approximately the same time interval. Accordingly, a factor of ~ 4.5 more profiles were provided by GLORIA compared to MIPAS-STR in the considered time interval (locally by up to a factor of ~ 8).

In the left panels of Fig. 3a–f, all individual profiles from GLORIA and MIPAS-STR are presented for the different target parameters. The shown in situ observations include all data points recorded during the entire flight from the ascent to the descent phase. Altitudes higher than the approximate flight level of the *Geophysica* in the time interval of the GLORIA observations (mostly ~ 16.8 km) are shaded in grey. At these altitudes, only very limited vertical information is obtained from the limb-observations. Furthermore, the retrieval in this range is very sensitive to calibration uncertainties due to the weak spectral signatures observed under high viewing angles. For MIPAS-STR, the retrieval results at flight altitude and directly above are still relatively reliable due to a higher spectral resolution and a very accurate radiometric offset calibration using zenith view observations. For GLORIA the resulting uncertainties are higher due to the lower spectral resolution and higher calibration uncertainties.

The middle panels in Fig. 3a–f show the residuals between the mean profiles of GLORIA and MIPAS-STR as well as the residuals between the mean profiles of GLORIA and the in situ profiles. For the calculation of the residual profiles between GLORIA and MIPAS-STR, all GLORIA profiles of the considered parameter were averaged. The resulting average profile

was smoothed with the mean averaging kernel matrix of the corresponding MIPAS-STR profiles according to Rodgers (2000). The residual profile between the smoothed GLORIA mean profile and the corresponding MIPAS-STR mean profile was calculated on the MIPAS-STR retrieval grid.

For the calculation of the residuals between GLORIA and the in situ observations, all available in situ data points were sorted by altitude. The dense observations by the *Geophysica* temperature sensor and the dense H₂O observations by FISH were furthermore subjected to a 10-times running mean in order to [minimize](#) biases from local atmospheric fine-structures or outliers. All in situ observations were interpolated to the GLORIA retrieval grid, and the corresponding residuals were calculated using the unsmoothed mean profiles from GLORIA.

In the right panels of Fig. 3a–f, the vertical resolutions of the individual profiles retrieved from GLORIA and MIPAS-STR are shown. The vertical resolutions were calculated using the diagonal elements of the averaging kernel matrices according to Purser and Huang (1993).

The comparison of the retrieved vertical profiles of temperature (Fig. 3a) shows that the GLORIA profiles mostly scatter within a few K around the MIPAS-STR profiles and the in situ observations. The higher scattering in the GLORIA observations might indicate small-scale temperature variations not covered by the in situ measurements and not resolved by MIPAS-STR. Another explanation might be oscillations in the GLORIA retrieval results (e.g. due to variable calibration uncertainties or a weak retrieval constraint). The latter is supported by the fact that the MIPAS-STR profiles and the in situ observations are very consistent and more compact.

The absolute residual values between the GLORIA and MIPAS-STR mean profiles are within ± 2 K below flight altitude. For GLORIA, systematically colder temperatures are found below about 15 km and higher temperatures above. Except for differences between about 12 and 13.5 km as well as at 16.5 km and above, the residual values between GLORIA and the *Geophysica* temperature sensor show a similar pattern. For the temperature profiles retrieved from GLORIA, a typical vertical resolution of about 0.5 to 1.0 km is obtained below flight altitude. Depending on the altitude, this is typically by a factor of 2 to 4 higher compared to MIPAS-STR (typically around 2.0 km).

The vertical profiles of HNO_3 (Fig. 3b) retrieved from GLORIA and MIPAS-STR each show a compact pattern. The overall shapes of the HNO_3 profiles obtained from MIPAS-STR are reproduced to a satisfying degree by GLORIA. The GLORIA mean profile shows systematically lower values by up to 1 ppbv above 13.0 km compared to MIPAS-STR. The maximum relative residual value of approximately -30% at 14 km is still relatively small in context of the steep gradient in the HNO_3 mixing ratios and the low absolute mixing ratios. The GLORIA observations furthermore show consistently a local maximum peaking at 13.25 km, which is attributed to a vertical fine-structure not resolved by MIPAS-STR. The vertical resolution of the GLORIA profiles is typically 0.5 to 1.0 km and by a factor of ~ 2 higher compared to MIPAS-STR (often slightly better than ~ 1.5 km, coarser resolution towards lower altitudes).

The O_3 profiles (Fig. 3c) retrieved from GLORIA [show on average slightly lower values than MIPAS-STR](#) below flight altitude. The residual of the mean profiles indicates small systematic differences of less than -0.1 ppmv and mostly within -10% . Beside the good agreement for O_3 , the residual values indicate a weak systematic bias of the GLORIA profiles towards lower mixing ratios. The typical vertical resolution obtained for GLORIA is between 0.5 and 1.0 km, which is by a factor of ~ 3 higher than for the MIPAS-STR results (slightly coarser than ~ 1.5 km, coarser resolution towards lower altitudes).

While the H_2O profiles (Fig. 3d) retrieved from GLORIA show a significantly higher scattering compared to MIPAS-STR and the in situ observations, the shapes of the retrieved profiles mostly agree well with the collocated observations. Except for altitudes below 11.5 km, the residuals between the GLORIA and MIPAS-STR mean profiles as well as between the GLORIA mean profile and FISH are within ± 1 ppmv and $\pm 20\%$. Compared to MIPAS-STR, systematically lower mixing ratios are indicated by GLORIA between 13 and 16 km and higher mixing ratios are found below. Compared to FISH, only slightly lower mixing ratios are found around 14 km, while higher mixing ratios are found below 13 km. For GLORIA, typical vertical resolutions around 1.0 km to slightly coarser than 2.0 km were obtained, which are by a factor of 2 to 3 higher compared to MIPAS-STR (typically around 2.5 to 3.5 km).

The profiles of CFC-11 (Fig. 3e) retrieved from GLORIA are in rather good agreement with the MIPAS-STR and HAGAR observations. The absolute residuals of the GLORIA mean profile

compared to MIPAS-STR and HAGAR are within ± 30 pptv and the relative residuals mostly within ± 15 %. While the agreement between GLORIA and HAGAR is better, the residual between GLORIA and MIPAS-STR exhibits systematically lower mixing ratios for GLORIA below 15 km, while systematically higher mixing ratios are found above. Except for the lower parts of the profiles where the MIPAS-STR vertical resolution becomes coarser, similar vertical resolutions around 1.5 km are obtained for GLORIA and MIPAS-STR. This is attributed to the higher spectral resolution and lower NESR of MIPAS-STR, allowing for a better exploitation of the weak CFC-11 signatures observed under the cold conditions of this flight.

The profiles of CFC-12 (Fig. 3f) show good agreement of GLORIA with MIPAS-STR and HAGAR. Below flight altitude, the absolute residuals for the mean profiles are within ± 40 pptv and the relative residuals mostly within ± 10 %. Compared to MIPAS-STR, systematically lower mixing ratios are found below ~ 15 km and higher mixing ratios above. The absolute and relative residual profiles between GLORIA and HAGAR are more variable with altitude and show small positive and negative values. Typical vertical resolutions of about 0.5 to 1.5 km are obtained for GLORIA, which is by a factor of ~ 2 higher compared to MIPAS-STR (typically about 1.5 to 2.5 km).

In Fig. 4, the corresponding mean profiles derived from GLORIA and MIPAS-STR are shown together with their standard deviations. Also shown are selected individual profiles of both instruments, measured at $\sim 14 : 45$ UTC. Errors bars of the individual profiles include the following random/variable error components: (i) spectral noise error (from noise covariance matrix), (ii) radiometric gain error (effect on retrieval result from 2 % gain modification) and (iii) line-of-sight error (effect on retrieval result from line-of-sight modification of 0.7 arcmin). The error components were treated as 1σ -uncertainties and combined by the root of the square sum. Radiometric gain and line-of-sight errors are estimates based on the state of the GLORIA characterisation at the state of the ESSenCe. For MIPAS-STR, the same error budget was performed, with the only exception that for estimation of the line-of-sight error all elevation angles of the limb sequence were modified more conservatively by 1 arcmin. Spectral line data and cross-section errors were not considered, since the same spectral database and similar spectral

microwindows were used for the MIPAS-STR and GLORIA retrievals. Thus, these errors are expected to cancel out in the comparisons between GLORIA and MIPAS-STR.

The mean profiles from GLORIA and MIPAS-STR agree mostly within their standard deviations. Their differences reflect the characteristics of the residual profiles between the smoothed GLORIA mean profiles and the MIPAS-STR mean profiles discussed in the context of in Fig. 3. For HNO_3 , the differences between the GLORIA and MIPAS-STR mean profiles exceed the sum of the standard deviations between 13.5 and 16 km and hint on systematic errors.

While the mean and individual GLORIA and MIPAS-STR profiles shown in Fig. 4 have similar overall shape and comparable absolute values, the individual GLORIA profiles show a higher variability. The vertical sections where the differences of the individual profiles exceed the sum of the GLORIA and MIPAS-STR error bars have extensions of 0.5 km to a few km. The vertical structures in the GLORIA profiles exceed the amplitudes of the GLORIA error bars (and thereby the noise error) and hint on variability of the atmospheric scenery. Contributions from non-identified error sources however cannot be excluded. Horizontal variability of the atmospheric scenery along flight track also might play a role here, since the GLORIA hyperspectral image resulting in the shown vertical profile was recorded on a significantly shorter section of the flight track compared to the corresponding MIPAS-STR limb scan.

For HNO_3 , the mean profiles from GLORIA and MIPAS-STR are in close agreement below 13.75 km, while a significant systematic bias is observed above. Both the GLORIA mean profile and to a higher extend the individual profile show a local maximum around 13.25 km. The maximum in the individual GLORIA profile exceeds the MIPAS-STR mean and individual profile as well as the GLORIA mean profile. Since the amplitude of the maximum significantly exceeds the GLORIA error bars, this structure can be interpreted as a structure in the vertical distribution of HNO_3 . Overall, the vertical extensions of the structures in the individual GLORIA profiles shown in Fig. 3 and 4 are mostly plausible in context of their vertical resolution and error bars and hint on variability in the atmospheric scenery.

In Fig. 5, we present vertical cross-sections of HNO_3 retrieved from GLORIA and MIPAS-STR. Fig. 5a shows the HNO_3 cross-section retrieved from the MIPAS-STR observations during the entire flight. The black dots represent the retrieval grid applied for MIPAS-STR. The

horizontal spacing indicates the horizontal sampling. The cross-section shows a relatively homogeneous HNO_3 distribution along the flight track and the absence of prominent filamentary structures. In the region around the first turn (around 15:25 UTC), lower mixing ratios of HNO_3 are found around flight altitude when compared to the other flight sections and hint on a dynamical structure.

The blue box indicates the region corresponding to the vertical HNO_3 cross-section that was retrieved from GLORIA (left panel in Fig. 5b), which is shown together with the corresponding subsection from MIPAS-STR below (right panel in Fig. 5b). Both GLORIA and MIPAS-STR show the steady overall increase of the HNO_3 volume mixing ratios towards flight altitude. While the MIPAS-STR observations show a relatively smooth HNO_3 distribution in the whole vertical range, the GLORIA cross-section shows some weak fine-structures between ~ 12.5 km and flight altitude that hint on patterns not resolved by MIPAS-STR. The faint maxima located in the GLORIA cross-section around 13.25 km are also identified in the corresponding vertical profiles in Fig. 3b and Fig. 4b.

6 Conclusions

The *Geophysica* aircraft campaign in December 2011 provided us with the first opportunity to directly compare observations of two infrared FTS generations: the new limb-imaging FTS GLORIA and the conventional limb-scanning FTS MIPAS-STR. During the discussed flight phase on 16 December 2011, the achieved horizontal cross-track sampling of the GLORIA chemistry mode hyperspectral limb-images was by up to a factor of ~ 11 higher compared to the MIPAS-STR limb-scans. From the presented GLORIA observations, locally by up to a factor of ~ 8 more vertical profiles were retrieved successfully compared to MIPAS-STR in the same time step. The first GLORIA chemistry mode retrieval results show reasonable agreement with those from MIPAS-STR and collocated in situ observations, having in mind that this was the very first deployment of a complex new instrument developed over several years.

The low cloud index values of the MIPAS-STR observations and the low temperatures observed by MIPAS-STR as well as the *Geophysica* temperature sensor indicate the presence of

an optically partially transparent PSC. GLORIA reproduces the overall shapes of the vertical profiles determined from MIPAS-STR and the in situ observations well. Below flight altitude, the mean residuals between GLORIA and MIPAS-STR as well as GLORIA and the in situ observations are mostly within ± 2 K for temperature and within a few 10 % for trace gas volume mixing ratios. Systematic differences found between the retrieval results from GLORIA and MIPAS-STR hint at calibration uncertainties in the presented GLORIA data (e.g. systematic uncertainties in radiometric calibration and line-of-sight knowledge). Further improvement of the performance, [characterisation and calibration](#) of the GLORIA observations [from subsequent campaigns](#) is subject of ongoing work.

The significantly higher scattering in the GLORIA profiles compared to MIPAS-STR and the in situ observations is attributed to random uncertainties in the GLORIA observations (e.g. spectral noise, variable radiometric uncertainties or short-time line-of-sight variations) and might also indicate random-like fine-structures. Faint and vertically narrow maxima and minima in the GLORIA results for HNO_3 that extend over several profiles are attributed to fine-structures not resolved by MIPAS-STR. Dependent on the retrieval parameter, typical vertical resolutions of 0.5 to 2.0 km are obtained for the profiles retrieved from the GLORIA observations. This is typically by a factor of 2 to 4 higher compared to MIPAS-STR.

The presented results confirm the good performance of GLORIA during its very first airborne deployment and indicate potential for further improvements in both hardware and data processing. Bug-fixing and dedicated upgrades in both the instrument setup as well as the calibration and characterisation procedure have meanwhile led to significant improvements in instrument performance and data quality in subsequent campaigns. A further simultaneous airborne deployment of the two instruments would thus be extremely helpful to check the behaviour of the consolidated GLORIA instrument over a longer flight time and under variable atmospheric conditions.

The GLORIA observations presented here and more extensive atmospheric measurements during recent High Altitude and Long Range Research Aircraft (HALO) campaigns (Riese et al., 2014) demonstrate the high gain in information accessible by the limb-*imaging* technique.

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Table 1. Comparison of instrumental and measurement characteristics between GLORIA and MIPAS-STR. Data for GLORIA correspond to chemistry mode observations in ESSenCe configuration (CM = chemistry mode).

	GLORIA (CM)	MIPAS-STR
Technique	limb- <i>imaging</i> FTS	limb- <i>scanning</i> FTS
Spectral sampling	0.0625 cm^{-1}	0.0360 cm^{-1}
Spectral range	1 channel from 780 to 1400 cm^{-1}	4 channels within 725 to 2100 cm^{-1}
Detector	256×256 pixel HgCdTe ^a	Si:As-blocked-impurity-band
Typical NESR	$\sim 15 \times 10^{-9} \text{ W cm}^{-2} \text{ sr}^{-1} \text{ cm}^b$	$\sim 10 \times 10^{-9} \text{ W cm}^{-2} \text{ sr}^{-1} \text{ cm}$
Characteristic vertical sampling (limb geometry)	$\sim 140 \text{ m @ } 12 \text{ km}^c$	$\sim 1 \text{ km}$
Typ. horizontal sampling (cross-track)	1 hyperspectral limb-image/ $\sim 2.3 \text{ km}$	1 limb-scan/ $\sim 28 \text{ km}^c$

^a 128 vertical \times 48 horizontal pixel used in ESSenCe configuration.

^b binned row of quality filtered pixels.

^c corresponding to flight altitude of $\sim 16.8 \text{ km}$.

Table 2. Retrieval microwindows (MWs) used for GLORIA and MIPAS-STR.

Parameter	MWs GLORIA [cm ⁻¹]	MWs MIPAS-STR [cm ⁻¹]	Spectral Transition
LOS/temperature (via CO ₂ signatures)	957.0–965.5	955.6–958.5 810.1–813.1	00011←10001 11101←10002
HNO ₃	876.0–880.0	866.0–870.0	ν 5 and 2 ν 9 bands
O ₃	778.0–782.5	780.6–781.7 787.0–788.0	ν 2-band
CFC-11	842.0–848.0	842.5–848.0	ν 4-band
CFC-12	915.0–925.0	918.9–920.6 921.0–922.8	ν 6-band
H ₂ O	795.6–796.3	795.7–796.1	rotational transition

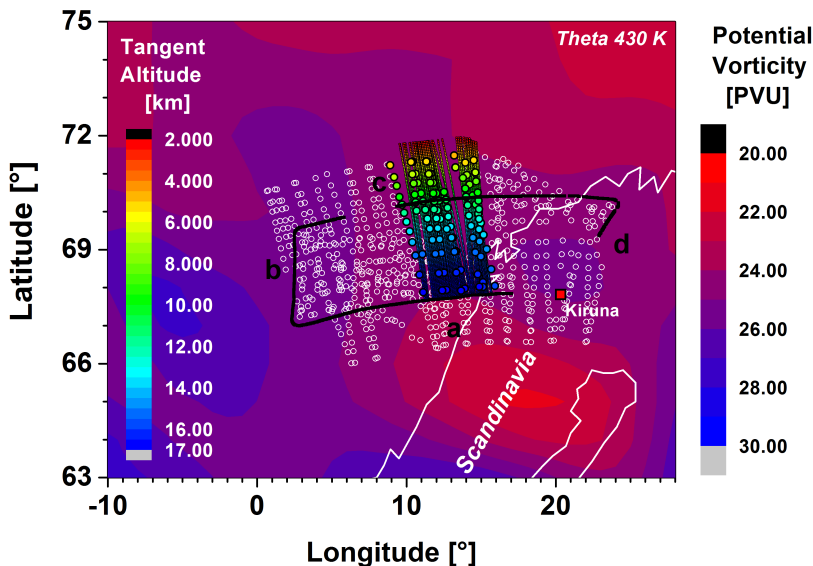


Figure 1. Flight path of the *Geophysica* (black line) during the flight on 16 December 2011. Tangent points of the MIPAS-STR observations during the entire flight (open white circles) and observations selected for comparison with GLORIA (solid circles, color-coded with altitude). Tangent points of GLORIA observations (solid dots, color-coded with altitude). Contour: Potential vorticity at the potential temperature level of 430 K (approximately 18 km altitude).

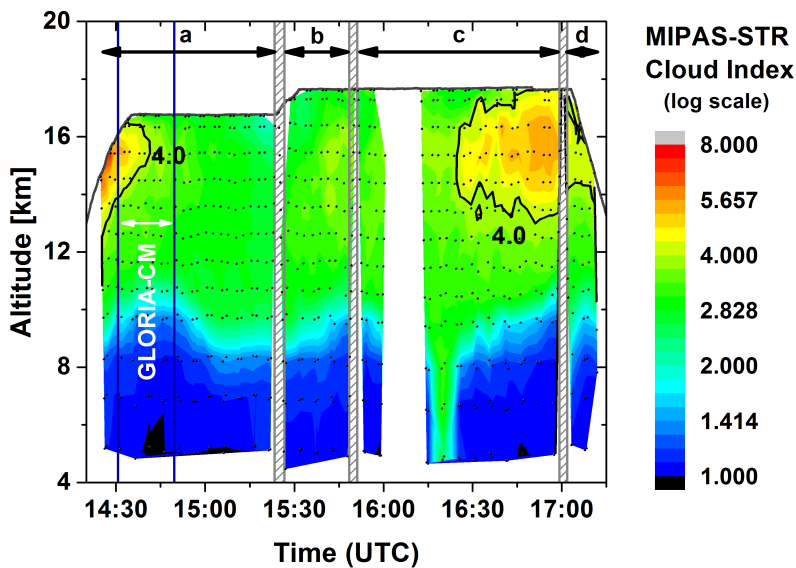


Figure 2. Vertical flight profile of the *Geophysica*, vertical distribution of the MIPAS-STR observations and interpolated cloud index for the flight on 16 December 2011. Blue vertical lines indicate the time window of the presented GLORIA chemistry mode observations. Grey hatched areas indicate turns performed by the *Geophysica* between the different flight sections.

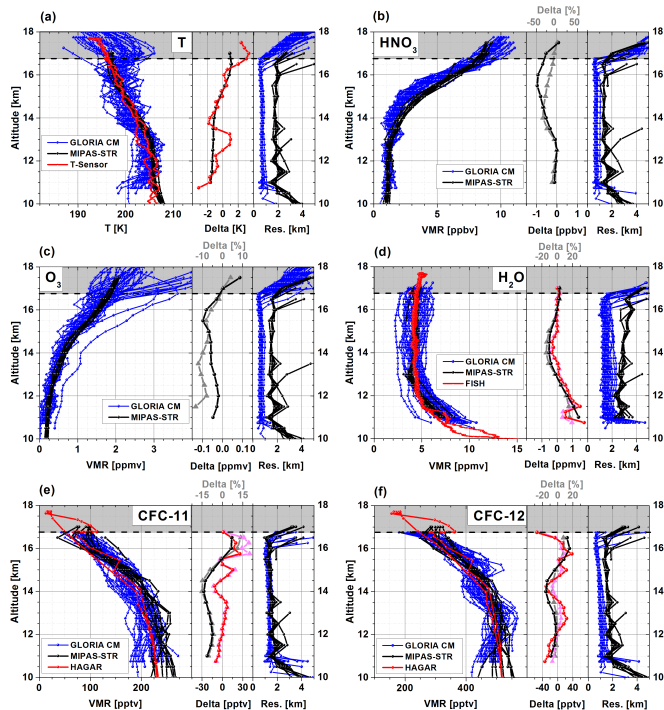


Figure 3. Comparison of retrieval results from GLORIA with MIPAS-STR and collocated in situ observations. Left panels: retrieved vertical profiles of indicated parameters from GLORIA (blue) and MIPAS-STR (black) together with available in situ profiles (red). Middle panels: absolute (black) and relative (grey) residuals between the mean profiles of GLORIA and MIPAS-STR as well as absolute (red) and relative (pink) residuals between mean profiles of GLORIA and the in situ observations. Right panels: vertical resolutions of the GLORIA (blue) and MIPAS-STR (black) profiles. Dashed horizontal black lines in all panels: approximate flight altitude during the GLORIA observations.

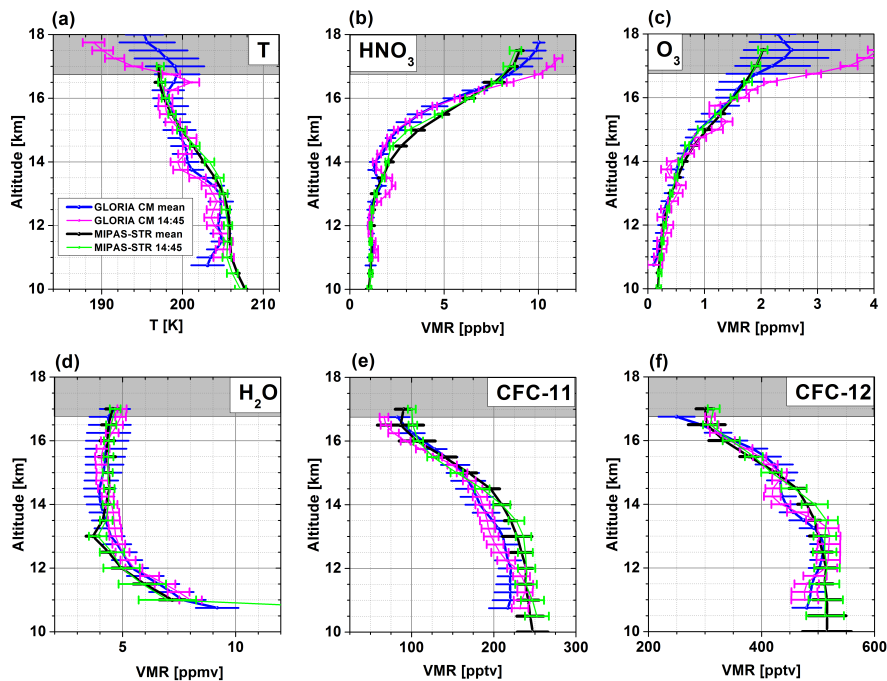


Figure 4. Mean and standard deviation of the GLORIA profiles (blue) and MIPAS-STR profiles (black) shown in Fig. 3. Individual non-averaged profiles at ~14:45 UTC together with random/variable uncertainties for GLORIA (magenta) and MIPAS-STR (green).

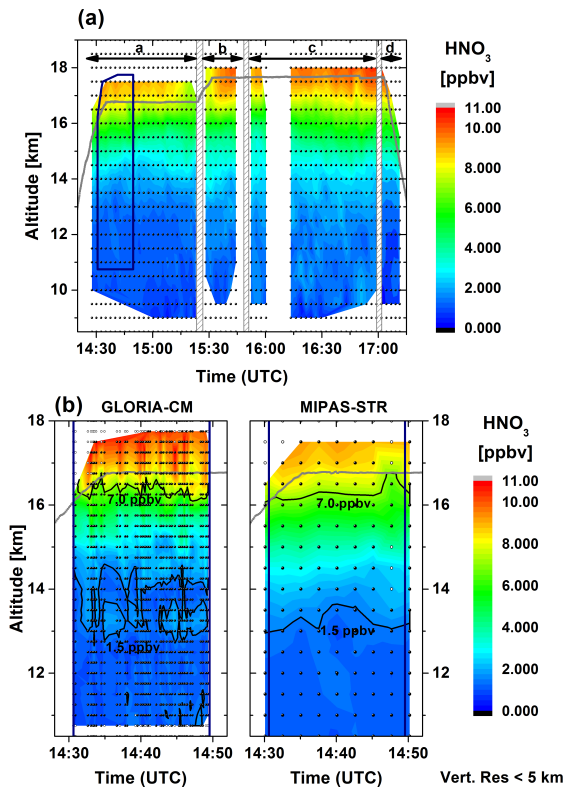


Figure 5. (a) Interpolated vertical cross-section of HNO_3 retrieved from MIPAS-STR for the entire flight. Grey hatched areas indicate turns performed by the *Geophysica* between the different flight sections. (b) HNO_3 cross-section retrieved from GLORIA and corresponding subsection of MIPAS-STR cross-section. Black dots in all panels: used retrieval grids. Open black dots in lower panels: grid points excluded from interpolation due to low vertical resolution. Solid grey lines in all panels: flight altitude of the *Geophysica*.