



## Abstract

Nowadays many social activities require short-term (one to two hours) and local area forecasts of extreme weather. In particular, air traffic systems have been studying how to minimize the impact of meteorological events, such as turbulence, wind shear, ice, and heavy rain, which are related to the presence of convective systems during all flight phases. This paper presents an alternative self-nowcast model, based on neural network techniques, to produce short-term and local-specific forecasts of extreme meteorological events in the area of the landing and take-off region of Galeão, the principal airport in Rio de Janeiro, Brazil. Twelve years of data were used for neural network training and validation. Data are originally from four sources: (1) hourly meteorological observations from surface meteorological stations at five airports distributed around the study area, (2) atmospheric profiles collected twice a day at the meteorological station at Galeão Airport, (3) rain rate data collected from a network of twenty-nine rain gauges in the study area; and (4) lightning data regularly collected by national detection networks. An investigation was done about the capability of a neural network to produce early warning signs – or as a nowcasting tool – for extreme meteorological events. The self-nowcast model was validated using results from six categorical statistics, indicated in parentheses for forecasts of the first, second, and third hours, respectively, namely: proportion correct (0.98, 0.96, and 0.94), bias (1.37, 1.48, and 1.83), probability of detection (0.84, 0.80, and 0.76), false-alarm ratio (0.38, 0.46, and 0.58), and threat score (0.54, 0.47, and 0.37). Possible sources of error related to the validation procedure are discussed. Two key points have been identified in which there is a possibility of error: i.e., subjectivity on the part of the meteorologist making the observation, and a rain gauge measurement error of about 20% depending on wind speed. The latter was better demonstrated when lightning data were included in the validation. The validation showed that the proposed model's performance was quite encouraging for the first and second hours.

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# 1 Introduction

Extreme Meteorological Events (EMEs) are frequent in Rio de Janeiro, Brazil and the surrounding area, where they cause considerable damage, such as landslides, floods, loss of human life, and serious delays in landing and take-off procedures at all five airports in the region (see Fig. 1). According to Marengo et al. (2004), an EME is defined as a rare meteorological phenomenon with very low statistical distribution in a particular place. Easterling et al. (2000) defines an EME as an extraordinary event that causes economic and social damage. EMEs were addressed by several authors, e.g., Karl and Easterling (1999); Groisman et al. (1999); Solow (1999); Liebmann et al. (2001); Hegerl et al. (2006), and Alexander et al. (2006), and others. In particular, Teixeira and Satyamurty (2007) studied EME occurrences in southeastern Brazil, using the database from the Center for Weather Forecasting and Climate Studies (CPTEC) and synoptic meteorological observations from the National Institute of Meteorology (INMET). They classified a meteorological event as an EME when rainfall accumulation is higher than one hundred millimeters (mm) in a period of twenty-four hours. EME phases, i.e., initiation, growth, and decay, fall into a nowcasting time scale, implying a short-term forecast. Groisman et al. (2005) presented evidence that the incidence of EMEs has increased about 58 % per year in southeastern Brazil since the 1940s. Galeão Airport is located in this region and its flights are significantly affected (by delays and trajectory changes), especially during the landing and take-off phases, by heavy rain, wind shear, and turbulence, which are normally associated with EME incidence. At this airport, a meteorologist generates the nowcast using a conceptual model of how the atmosphere works to extrapolate the location of rainstorms (or other EMEs). This technique is not always suitable since the exact EME stage (i.e., EME initiation, growth, and dissipation) is normally unknown. The present numerical prediction models do not satisfactorily model EMEs in location-specific and short-term scales. Mueller et al. (2003) suggested a nowcast system for storm locations based on fuzzy logic and an atmospheric model. Mass (2012) made a comprehensive review of nowcasting,

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with accuracy in milliseconds). The data were kindly made available by ELETROBRAS FURNAS Company. These data were only used in the statistical analysis for model validation.

Table 1 summarizes all of the information about time series used for SNM training and validation in this study. Figure 1 shows the study region and the flight region of Galeão Airport.

### 3 Method

Presently, the nowcast at principal Brazilian airports is done by a meteorologist, who uses his experience to integrate different in situ meteorological observations and/or atmospheric model output using conceptual models of how the atmosphere works. The problem with this is the limited time that meteorologists normally have available to integrate all the data and generate a nowcast (Mueller et al., 2003). The idea is to create a self-nowcast model in which a neural network algorithm is used for data fusion, similarly to the work done by Cornman et al. (1998) for detecting and extrapolating weather fronts. At present, one may find applications of neural networks in numerous fields of science, such as modeling, time series investigations, and image pattern recognition, owing to their capability to learn from input data (Haykin, 1999). Figure 2 represents a typical neural network. Normally, stages of neural networks are denoted by a global function as described by Bishop (2006), for example:

$$y_k(\mathbf{X}, \mathbf{W}) = \sigma \left( \sum_{j=0}^M \mathbf{w}_{kj}^{(2)} h \left( \sum_{i=0}^D \mathbf{w}_{ji}^{(1)} x_i \right) \right), \quad (1)$$

where  $\mathbf{W}$  represents all network weights. This global function can be represented in the form of a network diagram (Fig. 2). A neural network is simply a nonlinear function with a set of input and output variables, which are represented by  $x_i$  and  $y_i$ , respectively.

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i.e., initialization, growth, and decay. The criterion to select input (primary and derived) variables is based on a conceptual model of how the atmosphere works during an EME, which is characterized by atmospheric instability. For example, the inclusion of input variables as atmospheric instability indices, i.e., K-index (K), Total Totals (TT), and Lapse Rate (LR), and others defined in columns three and four of Table 1 seems quite constructive for the neural network learning process. At the beginning, there were ninety-seven variables. After a simple correlation test, fifty-seven variables remained, divided into twenty-one primary and thirty-six derived variables as listed in columns three and four of Table 1. These variables were initially judged the best data set to transmit atmospheric conditions during neural network training.

### 3.2.2 Output variables

Output is defined as RR, which includes four classes based on RR per hour, numbered as zero, one, two, and three, corresponding to  $0 \leq RR \leq 0.2$ ,  $0.2 < RR \leq 2.4$ ,  $2.4 < RR \leq 9.9$  and  $RR > 9.9 \text{ mm h}^{-1}$ , respectively, as in Table 1, column eight. This output represents the nowcast by the SNM (or neural network algorithm). The latter is responsible for converting the input (or predictors) in the event that all four RR classes occur.

### 3.3 Neural network training

Neural network training is accomplished by trial and error, represented by the SNM looping in Fig. 3. It requires previous knowledge of the phenomenon in conjunction with the experience of the training team. EMEs are characterized by thermodynamic atmospheric patterns represented by local meteorological recordings. In order to carry out the training, the meteorological recording data set was randomly divided into two subsets, i.e., one for training and the other for validating the SNM, corresponding to 70 %, or 44 324 recordings, shown in Fig. 4a and 30 %, or 18 996 recordings, shown in Fig. 4b of the data population. Knowing that the EME forecast problem requires







rain gauge networks), and (e) the model convergence is when statistics parameters are kept unaltered in next looping step as in Fig. 3. Table 3 (training) the neural network converged when the number of meteorological recordings was 22 498 (or about 52 % of the total training recordings). Excepting PC, the other statistic results show that neural network were not capable learn much how to forecast EMEs for period of one, two three hours. This could be qualified to: (a) the low frequency of class three vs. the other classes, not allowing enough knowledge about EME phases (i.e., initialization, growth and decay) to be transmitted as required, and (b) possibly an inappropriate number of input variables.

### 4.1.2 Second training

Figure 4b shows the class frequency of the initial data set. It demonstrates that the percentage of the EME class (class three) seems slightly better represented than in previous training. Generally speaking, it can be observed from Table 3 that PC remained similar to the first training results, FAR increased and bias, POD and TS were slightly enhanced, which possibly means that the SNM learning process had improved somewhat, but not enough. In other words, it appears that this training strategy is proceeding in the right direction.

### 4.1.3 Optimum SNM training

Table 3 presents the training strategy and tries to give an idea about successive trainings used in the present study. In particular, line three presents the training strategy that produced optimum results. The strategy that was used is similar to the previous trainings apart from the number of input variables, which was previously kept constant; here, the input variables decreased for each looping step in Fig. 2 by taking out the variables with low representativeness for the neural network results. Based on the possibility that heavy rain could occur without simultaneous lightning and vice-versa, the validation statistics results were achieved by two options: first, by considering items

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(a) and (b), and second, by considering items (a), (b) and (c) of Sect. 3.4, respectively. The latter item (item c) shows that lightning occurrences, reported inside a radius of fifty kilometers centered at SBGL, represent an EME. Table 3, line four, shows categorical statistical verifications of the optimum model results. The SNM forecast performance slowly declines from the first to the second hour and declines more rapidly from the second to the third hour. By including the lightning ( $L$ ) data in the validation, the SNM results were improved, as shown by the first<sup>( $L$ )</sup>, second<sup>( $L$ )</sup>, and third<sup>( $L$ )</sup> hours (as in Table 3, lines eight, ten, and twelve). The comparison between the two validation data sets (with and without lightning data) shows that bias, POD, and FAR values improved by 19, 11, and 25 % (for the first, second, and third hours); 5, 3, and 6 % (for the first, second, and third hours) and 11, 5, and 8 % (for the first, second, and third hours), respectively. In particular, the bias values improved more than the other statistics as a result of the inclusion of the lightning data in the validation. In addition, although TS is tending to produce poorer scores for rare events, in here, his results have also improved with the inclusion of lightning data in the validation of optimum training as in Table 3, column thirteen. The best SNM result corresponds to the first hour. The bias is the lowest, equal to 1.37 (which means that the results slightly overestimated the observations for the considered forecasts); however, the readings for PC, POD, FAR, and TS are quite respectable, equal to 0.84, 0.38, 0.01, and 0.54, respectively. The results of the SNM for the second hour are slightly less useful than for the first hour forecast, but still acceptable. On the other hand, the statistical values for the third hour forecast are poorer than those for the second hour. One cause of the SNM's overall performance degeneration is that a neural network is a statistical model rather than a physical one, which means that the physical aspects are not included. In summary, it is possible to state that an optimum SNM should be able to forecast strong atmospheric instability in the study area for up to two hours.

## 4.2 Possible sources of error in the SNM validation

The SNM optimum model output is considered a hit when it corresponds to event observations, if at least one of the following two weather conditions is satisfied, i.e., (1) weather conditions (it is class three in Table 2. In particular, this information is obtained from a human observer and may have some inconsistencies. The latter is common in meteorological observations, thus, consciousness of such matters is important when interpreting results) from METAR at a specific time; and/or (2)  $RR > 9.9 \text{ mm h}^{-1}$  (registered by one of the rain gauge networks). The SNM results are slightly biased as previously presented; therefore, in an attempt to explain that bias, the study pursued an investigation of possible sources of error in the meteorological observations used to verify the model forecasts. First of all, as far as the learning process was concerned, the training data set was composed only of meteorological records with a unique true association between their output (as class three and/or  $RR > 9.9 \text{ mm h}^{-1}$ ) and input variables (representing the thermodynamic atmospheric pattern of an EME from the 15 METAR records, and derived variables). In other words, the training used only meteorological recordings whose output was characterized as true EME. However, in the validation data set, there is a large number of meteorological records where such a unique association (one-to-one relationship between input and output) is not always true; i.e., some meteorological records have a typical thermodynamic pattern of EME (input), but the weather condition (output) does not correspond to an EME (or prevailing actual weather situation). These records were used in the present study to verify SNM forecasts and have consequently produced the results in Table 3. Possible reasons for false alarms and consequently biased SNM results are: (1) hourly METAR records represent quasi-instantaneous meteorological observations (these take about ten minutes to be generated and may carry inconsistencies); therefore the weather condition (output) may be affected by a certain amount of subjectivity on the part of the meteorologist (see discussion below and results in Table 4), and (2) errors associated with the rain gauges, which can be up to 20 % depending on the wind speed. The SNM results for

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made by the meteorologist and registered in the METAR (columns two and three) for the studied period. From this result, it seems that the SNM overestimated the possibility of an EME (compare columns three and four). However, the problem of verification of the output of the SNM is difficult, since the meteorologist's observation does not always give a more appropriate weather condition (or a prevailing condition) to be compared with it; therefore, biased results may be obtained from the SNM. Lightning has been co-incidently detected (column five) for all SNM forecasts of EMEs during the time period of this particular case study, which indicates an unstable atmospheric pattern in the flight area of the airport influenced by the event. There is quite strong evidence from previous analysis that the bias results in Table 3, line three, are unreliable and would certainly be very much lower if appropriate or steady output was used in SNM verification. In summary, the SNM forecasts have usually captured the signs of an atmospheric instability pattern.

## 5 Conclusions

Numerical prediction models have demonstrated certain difficulties in attempting to forecast the local or short-term heavy rain, strong wind, and turbulence that are normally associated with EME occurrences. Hence, this study presents an alternative self-nowcast model for short-term and local-specific forecasting of EMEs based on a neural network technique for the flight region of the Galeão's Airport. The main findings of this study are summarized as follows:

- a. the optimum SNM results of EME forecasts for the first and second hours are encouraging, since the categorical statistical values are quite acceptable. The proposed model has a very low computational cost and it is also possible to state that the SNM could alternatively forecast short-term strong atmospheric instability for the flight region of the Galeão's Airport;



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**Table 1.** Information from four time series. The lightning time series is the only one whose data period differs from the others. It covers a period from 1 January 2007 to 31 December of 2009. This is not important, since it is used only for validation.

Time series	Frequency and data period	Primary <sup>3</sup> Variables total number: 21	Derived <sup>3</sup> Variables total number: 36	Data percent-age data used for SNM training	Data percent-age data used for SNM validation	Validation variables	Output variable
Predictors purpose: characterization of atmospheric conditions							
METAR (data are from SBGL, SBSC, SBJR, SBAF and SBRJ)	Hourly from 1 Jan 1997 to 31 Dec 2008	Data-time, wind direction, wind speed, visibility, current weather (represented by 4 classes, see Table 2); cloud layers, temperature, dew point, and atmospheric pressure	Julian day atmospheric pressure (AP) and temperature (AT) for the three previous hours, i.e., $AP_{(t-1h)}$ and $AT_{(t-1h)}$ , $AP_{(t-2h)}$ and $AT_{(t-2h)}$ , and $AP_{(t-3h)}$ and $AT_{(t-3h)}$	70 %	30 %	Class 3 as in Table 2	Rain Rate (RR) <sup>2</sup> = Yes or No (Yes = class 3) or (No = class 0, 1, or 2)
TEMP (data are from SBGL)	Daily at 00:00 and 12:00 UTC from 1 Jan 1997 to 31 Dec 2008	Atmospheric profile of temperature and humidity at 1000, 850, 700 and 500 hPa	(a) three instability indices (K, TT and LR) <sup>1</sup> , (b) potential temperature, vapor pressure, saturation of vapor pressure, zonal, and meridional wind components at 1000, 850, 700 and 500 hPa	–			
Rain Rate (RR) h <sup>-1</sup> (data are from the 29 rain gauges)	Every 15 min from 1 Jan 1997 to 31 Dec 2008	RR for 1 h	(a) RR <sup>2</sup> (classified as null, light, moderate, and heavy), and (b) RR trend for the previous three hours (i.e., $RR_{(t-1h)}$ , $RR_{(t-2h)}$ , and $RR_{(t-3h)}$ )	RR <sup>2</sup> (classified as null, light, moderate, and heavy, corresponding to class 0, 1, 2, and 3, as in Table 2)			
Lightning <sup>(4)</sup> inside a radius of 50 km centered at SBGL	Varies	–	–	–	100	1 (lightning) or 0 (no lightning)	

<sup>1</sup> K-index (K) =  $(T_{850} - T_{500}) + T_{d500} - (T_{700} - T_{d500})$ , where  $T_i$  and  $T_{d_i}$  represent temperature and dew point, respectively, in °C, and  $i$  is the given atmospheric pressure in hPa. Total Totals (TT) =  $T_{850} + T_{d500} - 2T_{500}$ , and Lapse Rate (LR), represented by  $LR = 1000(T_{500} - T_{700}) / (GPH_{500} - GPH_{700})$ , where GPH means the geopotential height.

<sup>2</sup> Rain Rate (RR) h<sup>-1</sup>, as null (class zero), light (class one), moderate (class two), and heavy (class three) for RR = insignificant,  $0 \leq RR \leq 2.4$ ,  $2.4 < RR \leq 9.9$ , and  $RR > 9.9$  mm h<sup>-1</sup>.

<sup>3</sup> Primary variables are directly extracted from METAR, TEMP, and RR time series, and derived variables are calculated using primary variables.

<sup>(4)</sup> Lightning data is only used for SNM validation.

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**Table 2.** Weather condition classification in METAR and attributed SNM classes.

Class	METAR code	Weather condition	Class	METAR code	Weather condition
0	H	haze	2	R	moderate rain
0	K	smog	2	RF	moderate rain with fog
0	F	fog	3	R+	heavy rain
0	L–	light drizzle	3	R+ F	heavy rain with fog
0	L– F	light drizzle with fog	3	RW	showers
0	L	moderate drizzle	3	RW+	heavy showers
0	LF	moderate drizzle with fog	3	T	thunderstorms
0	L	heavy drizzle	3	TL	thunderstorms with light drizzle
1	R–	light rain	3	TRW–	thunderstorms with showers
1	R– H	light rain with haze	3	TRW	thunderstorms with moderate showers
1	R– F	light rain with fog	3	TRW+	thunderstorms with heavy showers

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**Table 3.** Training conditions for the neural network training (NNT).  $RR\ h^{-1}$  is shown as null (class zero), light (class one), moderate (class two), and heavy (class three). Where the SNM output equal to class three represents a true EME (or yes), and the others (classes zero, one, and two) represent no EME forecasts, the static values associated with first<sup>(L)</sup>, second<sup>(L)</sup>, and third<sup>(L)</sup> are those hours that the SNM validation using the lightning data were included.

Training Strategy				Output RR class	Validation data	Neural network configuration (Number of neurons hidden)	Statistics for EMEs and no EMEs					
Training	Training data set and strategy	and strategy and strategy	Number of inputs and strategy				hour	PC	Bias	Pod	Far	TS
1st	Gradually modifies for each looping in Fig. 2 by decreasing class 0 and keeping class 3 fixed	Number started with 43 638 and decreased to 22 498	57	0, 1, 2 and 3	Yes (or hit) means one of the conditions in column 8 in Table 2, excepting lightning data	43	1st	0.95	0.34	0.28	0.16	0.27
						138	2nd	0.95	0.29	0.23	0.21	0.22
						128	3rd	0.96	0.29	0.21	0.28	0.20
2nd	Gradually modifies for each looping in Fig. 2 by decreasing class 0 and keeping class 3 fixed	Number started with 22 498 and decreased to 14 308	57	0, 1, 2 and 3	Yes (or hit) means one of the conditions in column 8 in Table 2, excepting lightning data	134	1st	0.95	0.84	0.47	0.44	0.34
						122	2nd	0.95	0.73	0.38	0.48	0.28
						129	3rd	0.92	0.74	0.21	0.72	0.14
r <sup>th</sup> Optimum Training	Gradually modifies for each looping in Fig. 2 by decreasing classes 0, 1, and 2 and keeping class 3 fixed	Number started with 11 498 and decreased to 7296	Number started with 57 and decreased to 14	Yes or No (Yes = class 3) or (No = class 0 or 1 or 2)	Yes (or hit) means one of the conditions in column 8 in Table 2 and including lightning data	146	1st	0.98	1.56	0.79	0.49	0.44
						148	1st <sup>(L)</sup>	0.98	1.37	0.84	0.38	0.54
							2nd	0.96	1.59	0.77	0.51	0.42
							2nd <sup>(L)</sup>	0.96	1.48	0.80	0.46	0.47
							3rd	0.94	2.08	0.70	0.66	0.29
					3rd <sup>(L)</sup>	0.94	1.83	0.76	0.58	0.37		

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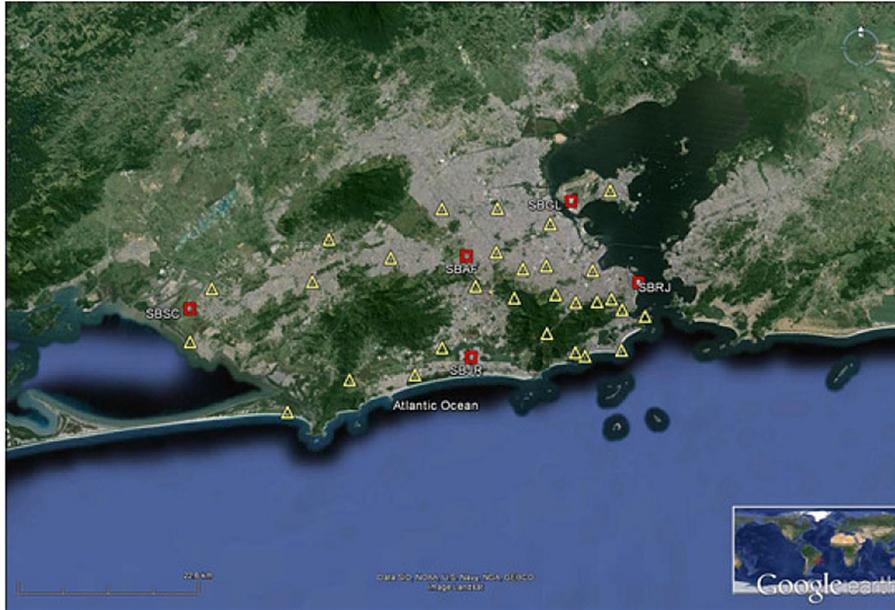
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**Figure 1.** This is a satellite photo of the Rio de Janeiro metropolitan region. The yellow triangles and red squares represent the twenty-nine rain gauges and five meteorological stations (or airports), respectively.

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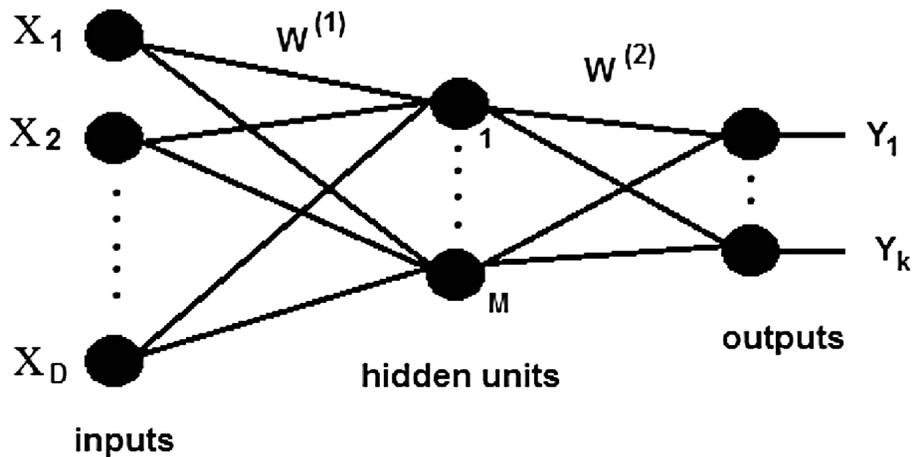
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**Figure 2.** An example of a neural network diagram.[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

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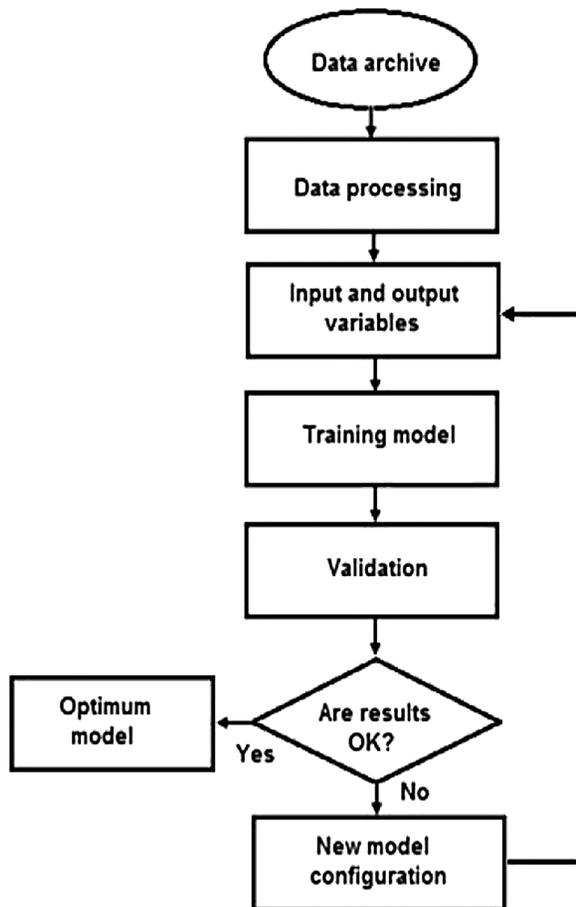
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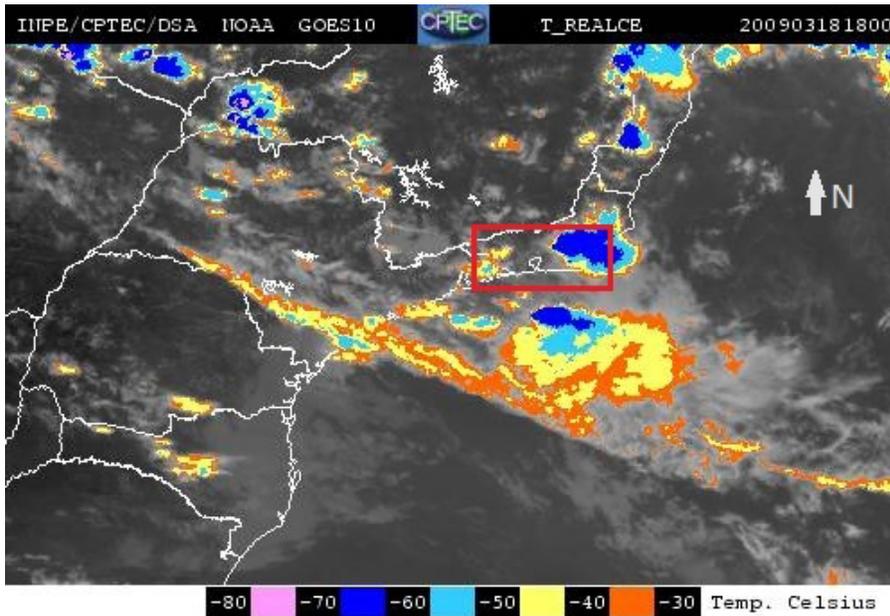
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**Figure 3.** Self-Nowcast Model flowchart.





**Figure 5.** GOES-10 (channel 4) that represents the synoptic weather situation at 18:00 (LT) on 18 March 2009, where the top convective cloud temperatures are categorized by a temperature range from  $-30$  to  $-80$  °C. The red box roughly represents the study region.

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