

Abstract

Nowadays many social activities require short-term (one to two hours) and local area forecasts of extreme weather. In particular, air traffic systems have been studying how to minimize the impact of meteorological events, such as turbulence, wind shear, ice, and heavy rain, which are related to the presence of convective systems during all flight phases. This paper presents an alternative self-nowcast model, based on neural network techniques, to produce short-term and local-specific forecasts of extreme meteorological events in the area of the landing and take-off region of Galeão, the principal airport in Rio de Janeiro, Brazil. Twelve years of data were used for neural network training and validation. Data are originally from four sources: (1) hourly meteorological observations from surface meteorological stations at five airports distributed around the study area, (2) atmospheric profiles collected twice a day at the meteorological station at Galeão Airport, (3) rain rate data collected from a network of twenty-nine rain gauges in the study area; and (4) lightning data regularly collected by national detection networks. An investigation was done about the capability of a neural network to produce early warning signs – or as a nowcasting tool – for extreme meteorological events. The self-nowcast model was validated using results from six categorical statistics, indicated in parentheses for forecasts of the first, second, and third hours, respectively, namely: proportion correct (0.98, 0.96, and 0.94), bias (1.37, 1.48, and 1.83), probability of detection (0.84, 0.80, and 0.76), false-alarm ratio (0.38, 0.46, and 0.58), and threat score (0.54, 0.47, and 0.37). Possible sources of error related to the validation procedure are discussed. Two key points have been identified in which there is a possibility of error: i.e., subjectivity on the part of the meteorologist making the observation, and a rain gauge measurement error of about 20% depending on wind speed. The latter was better demonstrated when lightning data were included in the validation. The validation showed that the proposed model's performance was quite encouraging for the first and second hours.

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1 Introduction

Extreme Meteorological Events (EMEs) are frequent in Rio de Janeiro, Brazil and the surrounding area, where they cause considerable damage, such as landslides, floods, loss of human life, and serious delays in landing and take-off procedures at all five airports in the region (see Fig. 1). According to Marengo et al. (2004), an EME is defined as a rare meteorological phenomenon with very low statistical distribution in a particular place. Easterling et al. (2000) defines an EME as an extraordinary event that causes economic and social damage. EMEs were addressed by several authors, e.g., Karl and Easterling (1999); Groisman et al. (1999); Solow (1999); Liebmann et al. (2001); Hegerl et al. (2006), and Alexander et al. (2006), and others. In particular, Teixeira and Satyamurty (2007) studied EME occurrences in southeastern Brazil, using the database from the Center for Weather Forecasting and Climate Studies (CPTEC) and synoptic meteorological observations from the National Institute of Meteorology (INMET). They classified a meteorological event as an EME when rainfall accumulation is higher than one hundred millimeters (mm) in a period of twenty-four hours. EME phases, i.e., initiation, growth, and decay, fall into a nowcasting time scale, implying a short-term forecast. Groisman et al. (2005) presented evidence that the incidence of EMEs has increased about 58 % per year in southeastern Brazil since the 1940s. Galeão Airport is located in this region and its flights are significantly affected (by delays and trajectory changes), especially during the landing and take-off phases, by heavy rain, wind shear, and turbulence, which are normally associated with EME incidence. At this airport, a meteorologist generates the nowcast using a conceptual model of how the atmosphere works to extrapolate the location of rainstorms (or other EMEs). This technique is not always suitable since the exact EME stage (i.e., EME initiation, growth, and dissipation) is normally unknown. The present numerical prediction models do not satisfactorily model EMEs in location-specific and short-term scales. Mueller et al. (2003) suggested a nowcast system for storm locations based on fuzzy logic and an atmospheric model. Mass (2012) made a comprehensive review of nowcasting,

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including its history, current developments, and future challenges. The objective here is to present an alternative Self-Nowcast Model (SNM) to generate short-term and local-specific predictions of EMEs, based on neural network techniques, for the flight region of Galeão Airport in the city of Rio de Janeiro, Brazil.

2 Meteorological data sets and study region

This study used four time series, as follows:

- TEMP represents the upper atmospheric profile for temperature, humidity, wind, and atmospheric pressure, and is collected daily at 00:00 UTC and 12:00 UTC. The radiosonde station used is located at Galeão Airport, whose international aviation code is SBGL, where SB and GL mean Brazil and Galeão, respectively (see Fig. 1). The TEMP time series was obtained online at <http://weather.uwyo.edu/upperair/sounding.html>;
- the Meteorological Terminal Air Report (METAR1), from five meteorological stations (represented by red icons in Fig. 1) in the Rio de Janeiro metropolitan region. The stations (or airports) are Galeão (SBGL), Santa Cruz (SBSC), Santos Dumont (SBRJ), Jacarepaguá (SBJR), and Afonsos (SBAF). METARs are produced hourly; however, SBGL is the only one of the stations that collects atmospheric profiles on a daily basis, together with other pertinent meteorological information in the study area. The data were obtained at the URL address mentioned above;
- rain rate (RR) is obtained from twenty-nine rain gauges (represented by yellow triangles in Fig. 1) distributed around the Rio de Janeiro metropolitan region. The data were obtained at <http://alertario.rio.rj.gov.br/>; and
- lightning reports, regularly collected by the National Integrated Lightning Detection Network (RINDAT), characterize each occurrence by its location (latitude, longitude), intensity polarity (cloud to ground or ground to cloud), and time (UTC

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with accuracy in milliseconds). The data were kindly made available by ELETROBRAS FURNAS Company. These data were only used in the statistical analysis for model validation.

Table 1 summarizes all of the information about time series used for SNM training and validation in this study. Figure 1 shows the study region and the flight region of Galeão Airport.

3 Method

Presently, the nowcast at principal Brazilian airports is done by a meteorologist, who uses his experience to integrate different in situ meteorological observations and/or atmospheric model output using conceptual models of how the atmosphere works. The problem with this is the limited time that meteorologists normally have available to integrate all the data and generate a nowcast (Mueller et al., 2003). The idea is to create a self-nowcast model in which a neural network algorithm is used for data fusion, similarly to the work done by Cornman et al. (1998) for detecting and extrapolating weather fronts. At present, one may find applications of neural networks in numerous fields of science, such as modeling, time series investigations, and image pattern recognition, owing to their capability to learn from input data (Haykin, 1999). Figure 2 represents a typical neural network. Normally, stages of neural networks are denoted by a global function as described by Bishop (2006), for example:

$$y_k(\mathbf{X}, \mathbf{W}) = \sigma \left(\sum_{j=0}^M \mathbf{w}_{kj}^{(2)} h \left(\sum_{i=0}^D \mathbf{w}_{ji}^{(1)} x_i \right) \right), \quad (1)$$

where \mathbf{W} represents all network weights. This global function can be represented in the form of a network diagram (Fig. 2). A neural network is simply a nonlinear function with a set of input and output variables, which are represented by x_i and y_i , respectively.

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the training data set, i.e. class three should have higher weight than other classes, or at least a similar weight to other classes, in the training data set in order to allow for better neural network training. The next paragraphs present detailed information about the neural network training strategies that were used, attempting to compensate for the low frequency of EME events in the studied data set.

4.1 Neural network training

Neural network training is a time-consuming activity. A common strategy is to modify the training data set, for example, taking the original data as a reference to artificially create other new training data sets by modifying the classes' representation in the data population, and/or gradually decreasing input variables by evaluating a particular variable relevance (or contribution) for output results. There is no straightforward set of calculations to accomplish this goal. It is important to mention that the validation data set shown in Fig. 4d has similar class frequencies to the original training data set, shown in Fig. 4a. The idea is to input real scenarios of rare events during the validation process. Table 3 gives information about training strategies used during the first, second, and optimum training sequences. These training strategies are discussed below.

4.1.1 First training

Table 3 helps in understanding the results of the training strategies showing that the first training strategy assumes the following conditions: (a) the starting number of the meteorological recordings is 43 638, (b) the training data set was gradually decreased by reducing recordings from classes zero, one, and two, and the number of recordings in class three was kept constant during each looping step in Fig. 3, (c) the input variables a constant equal to correspond sum of primary and derived variables in columns 3 and 4 of Table 1, (d) the validation are weather condition observations (represented by class in Table 2) in METAR code and/or $RR > 9.9 \text{ mm h}^{-1}$ (registered by one of the

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(a) and (b), and second, by considering items (a), (b) and (c) of Sect. 3.4, respectively. The latter item (item c) shows that lightning occurrences, reported inside a radius of fifty kilometers centered at SBGL, represent an EME. Table 3, line four, shows categorical statistical verifications of the optimum model results. The SNM forecast performance slowly declines from the first to the second hour and declines more rapidly from the second to the third hour. By including the lightning (L) data in the validation, the SNM results were improved, as shown by the first^(L), second^(L), and third^(L) hours (as in Table 3, lines eight, ten, and twelve). The comparison between the two validation data sets (with and without lightning data) shows that bias, POD, and FAR values improved by 19, 11, and 25 % (for the first, second, and third hours); 5, 3, and 6 % (for the first, second, and third hours) and 11, 5, and 8 % (for the first, second, and third hours), respectively. In particular, the bias values improved more than the other statistics as a result of the inclusion of the lightning data in the validation. In addition, although TS is tending to produce poorer scores for rare events, in here, his results have also improved with the inclusion of lightning data in the validation of optimum training as in Table 3, column thirteen. The best SNM result corresponds to the first hour. The bias is the lowest, equal to 1.37 (which means that the results slightly overestimated the observations for the considered forecasts); however, the readings for PC, POD, FAR, and TS are quite respectable, equal to 0.84, 0.38, 0.01, and 0.54, respectively. The results of the SNM for the second hour are slightly less useful than for the first hour forecast, but still acceptable. On the other hand, the statistical values for the third hour forecast are poorer than those for the second hour. One cause of the SNM's overall performance degeneration is that a neural network is a statistical model rather than a physical one, which means that the physical aspects are not included. In summary, it is possible to state that an optimum SNM should be able to forecast strong atmospheric instability in the study area for up to two hours.

4.2 Possible sources of error in the SNM validation

The SNM optimum model output is considered a hit when it corresponds to event observations, if at least one of the following two weather conditions is satisfied, i.e., (1) weather conditions (it is class three in Table 2. In particular, this information is obtained from a human observer and may have some inconsistencies. The latter is common in meteorological observations, thus, consciousness of such matters is important when interpreting results) from METAR at a specific time; and/or (2) $RR > 9.9 \text{ mm h}^{-1}$ (registered by one of the rain gauge networks). The SNM results are slightly biased as previously presented; therefore, in an attempt to explain that bias, the study pursued an investigation of possible sources of error in the meteorological observations used to verify the model forecasts. First of all, as far as the learning process was concerned, the training data set was composed only of meteorological records with a unique true association between their output (as class three and/or $RR > 9.9 \text{ mm h}^{-1}$) and input variables (representing the thermodynamic atmospheric pattern of an EME from the 15 METAR records, and derived variables). In other words, the training used only meteorological recordings whose output was characterized as true EME. However, in the validation data set, there is a large number of meteorological records where such a unique association (one-to-one relationship between input and output) is not always true; i.e., some meteorological records have a typical thermodynamic pattern of EME (input), but the weather condition (output) does not correspond to an EME (or prevailing actual weather situation). These records were used in the present study to verify SNM forecasts and have consequently produced the results in Table 3. Possible reasons for false alarms and consequently biased SNM results are: (1) hourly METAR records represent quasi-instantaneous meteorological observations (these take about ten minutes to be generated and may carry inconsistencies); therefore the weather condition (output) may be affected by a certain amount of subjectivity on the part of the meteorologist (see discussion below and results in Table 4), and (2) errors associated with the rain gauges, which can be up to 20 % depending on the wind speed. The SNM results for

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Table 1. Information from four time series. The lightning time series is the only one whose data period differs from the others. It covers a period from 1 January 2007 to 31 December of 2009. This is not important, since it is used only for validation.

| Time series | Frequency and data period | Primary ³ Variables total number: 21 | Derived ³ Variables total number: 36 | Data percent-age data used for SNM training | Data percent-age data used for SNM validation | Validation variables | Output variable |
|--|---|--|--|--|---|-----------------------------------|--|
| Predictors purpose: characterization of atmospheric conditions | | | | | | | |
| METAR (data are from SBGL, SBSC, SBJR, SBAF and SBRJ) | Hourly from 1 Jan 1997 to 31 Dec 2008 | Data-time, wind direction, wind speed, visibility, current weather (represented by 4 classes, see Table 2); cloud layers, temperature, dew point, and atmospheric pressure | Julian day atmospheric pressure (AP) and temperature (AT) for the three previous hours, i.e., $AP_{(t-1h)}$ and $AT_{(t-1h)}$, $AP_{(t-2h)}$ and $AT_{(t-2h)}$, and $AP_{(t-3h)}$ and $AT_{(t-3h)}$ | 70 % | 30 % | Class 3 as in Table 2 | Rain Rate (RR) ² = Yes or No (Yes = class 3) or (No = class 0, 1, or 2) |
| TEMP (data are from SBGL) | Daily at 00:00 and 12:00 UTC from 1 Jan 1997 to 31 Dec 2008 | Atmospheric profile of temperature and humidity at 1000, 850, 700 and 500 hPa | (a) three instability indices (K, TT and LR) ¹ , (b) potential temperature, vapor pressure, saturation of vapor pressure, zonal, and meridional wind components at 1000, 850, 700 and 500 hPa | – | | | |
| Rain Rate (RR) h ⁻¹ (data are from the 29 rain gauges) | Every 15 min from 1 Jan 1997 to 31 Dec 2008 | RR for 1 h | (a) RR ² (classified as null, light, moderate, and heavy), and (b) RR trend for the previous three hours (i.e., $RR_{(t-1h)}$, $RR_{(t-2h)}$, and $RR_{(t-3h)}$) | RR ² (classified as null, light, moderate, and heavy, corresponding to class 0, 1, 2, and 3, as in Table 2) | | | |
| Lightning ⁽⁴⁾ inside a radius of 50 km centered at SBGL | Varies | – | – | – | 100 | 1 (lightning) or 0 (no lightning) | |

¹ K-index (K) = $(T_{850} - T_{500}) + T_{d500} - (T_{700} - T_{d500})$, where T_i and T_{d_i} represent temperature and dew point, respectively, in °C, and i is the given atmospheric pressure in hPa. Total Totals (TT) = $T_{850} + T_{d500} - 2T_{500}$, and Lapse Rate (LR), represented by $LR = 1000(T_{500} - T_{700}) / (GPH_{500} - GPH_{700})$, where GPH means the geopotential height.

² Rain Rate (RR) h⁻¹, as null (class zero), light (class one), moderate (class two), and heavy (class three) for RR = insignificant, $0 \leq RR \leq 2.4$, $2.4 < RR \leq 9.9$, and $RR > 9.9$ mm h⁻¹.

³ Primary variables are directly extracted from METAR, TEMP, and RR time series, and derived variables are calculated using primary variables.

⁽⁴⁾ Lightning data is only used for SNM validation.

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Table 2. Weather condition classification in METAR and attributed SNM classes.

| Class | METAR code | Weather condition | Class | METAR code | Weather condition |
|-------|------------|---------------------------|-------|------------|-------------------------------------|
| 0 | H | haze | 2 | R | moderate rain |
| 0 | K | smog | 2 | RF | moderate rain with fog |
| 0 | F | fog | 3 | R+ | heavy rain |
| 0 | L– | light drizzle | 3 | R+ F | heavy rain with fog |
| 0 | L– F | light drizzle with fog | 3 | RW | showers |
| 0 | L | moderate drizzle | 3 | RW+ | heavy showers |
| 0 | LF | moderate drizzle with fog | 3 | T | thunderstorms |
| 0 | L | heavy drizzle | 3 | TL | thunderstorms with light drizzle |
| 1 | R– | light rain | 3 | TRW– | thunderstorms with showers |
| 1 | R– H | light rain with haze | 3 | TRW | thunderstorms with moderate showers |
| 1 | R– F | light rain with fog | 3 | TRW+ | thunderstorms with heavy showers |

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Table 3. Training conditions for the neural network training (NNT). $RR\ h^{-1}$ is shown as null (class zero), light (class one), moderate (class two), and heavy (class three). Where the SNM output equal to class three represents a true EME (or yes), and the others (classes zero, one, and two) represent no EME forecasts, the static values associated with first^(L), second^(L), and third^(L) are those hours that the SNM validation using the lightning data were included.

| Training Strategy | | | | Output RR class | Validation data | Neural network configuration (Number of neurons hidden) | Statistics for EMEs and no EMEs | | | | | |
|----------------------------------|---|--|--|---|--|---|---------------------------------|------|------|------|------|------|
| Training | Training data set and strategy | and strategy and strategy | Number of inputs and strategy | | | | hour | PC | Bias | Pod | Far | TS |
| 1st | Gradually modifies for each looping in Fig. 2 by decreasing class 0 and keeping class 3 fixed | Number started with 43 638 and decreased to 22 498 | 57 | 0, 1, 2 and 3 | Yes (or hit) means one of the conditions in column 8 in Table 2, excepting lightning data | 43 | 1st | 0.95 | 0.34 | 0.28 | 0.16 | 0.27 |
| | | | | | | 138 | 2nd | 0.95 | 0.29 | 0.23 | 0.21 | 0.22 |
| | | | | | | 128 | 3rd | 0.96 | 0.29 | 0.21 | 0.28 | 0.20 |
| 2nd | Gradually modifies for each looping in Fig. 2 by decreasing class 0 and keeping class 3 fixed | Number started with 22 498 and decreased to 14 308 | 57 | 0, 1, 2 and 3 | Yes (or hit) means one of the conditions in column 8 in Table 2, excepting lightning data | 134 | 1st | 0.95 | 0.84 | 0.47 | 0.44 | 0.34 |
| | | | | | | 122 | 2nd | 0.95 | 0.73 | 0.38 | 0.48 | 0.28 |
| | | | | | | 129 | 3rd | 0.92 | 0.74 | 0.21 | 0.72 | 0.14 |
| r th Optimum Training | Gradually modifies for each looping in Fig. 2 by decreasing classes 0, 1, and 2 and keeping class 3 fixed | Number started with 11 498 and decreased to 7296 | Number started with 57 and decreased to 14 | Yes or No (Yes = class 3) or (No = class 0 or 1 or 2) | Yes (or hit) means one of the conditions in column 8 in Table 2 and including lightning data | 146 | 1st | 0.98 | 1.56 | 0.79 | 0.49 | 0.44 |
| | | | | | | 148 | 1st ^(L) | 0.98 | 1.37 | 0.84 | 0.38 | 0.54 |
| | | | | | | | 2nd | 0.96 | 1.59 | 0.77 | 0.51 | 0.42 |
| | | | | | | | 2nd ^(L) | 0.96 | 1.48 | 0.80 | 0.46 | 0.47 |
| | | | | | | | 3rd | 0.94 | 2.08 | 0.70 | 0.66 | 0.29 |
| | | | | | 3rd ^(L) | 0.94 | 1.83 | 0.76 | 0.58 | 0.37 | | |

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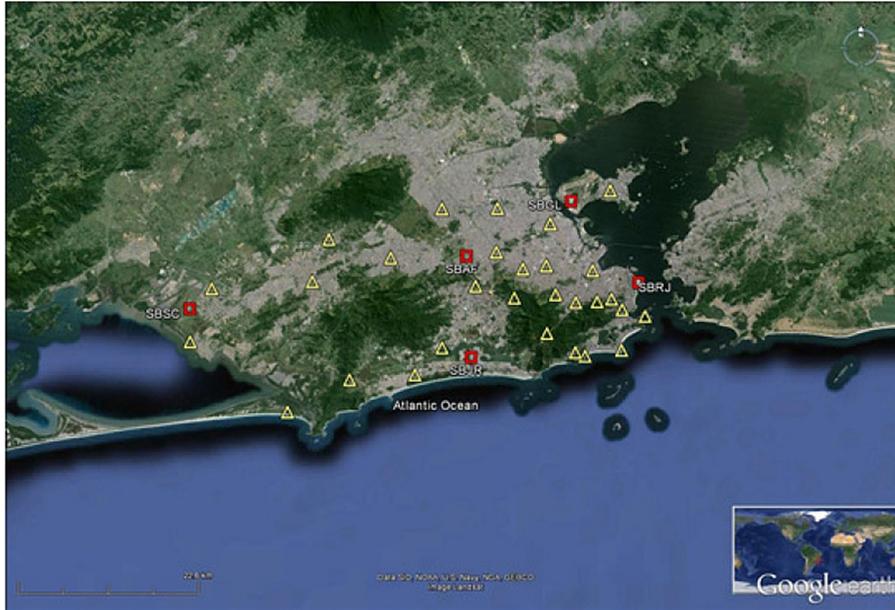


Figure 1. This is a satellite photo of the Rio de Janeiro metropolitan region. The yellow triangles and red squares represent the twenty-nine rain gauges and five meteorological stations (or airports), respectively.

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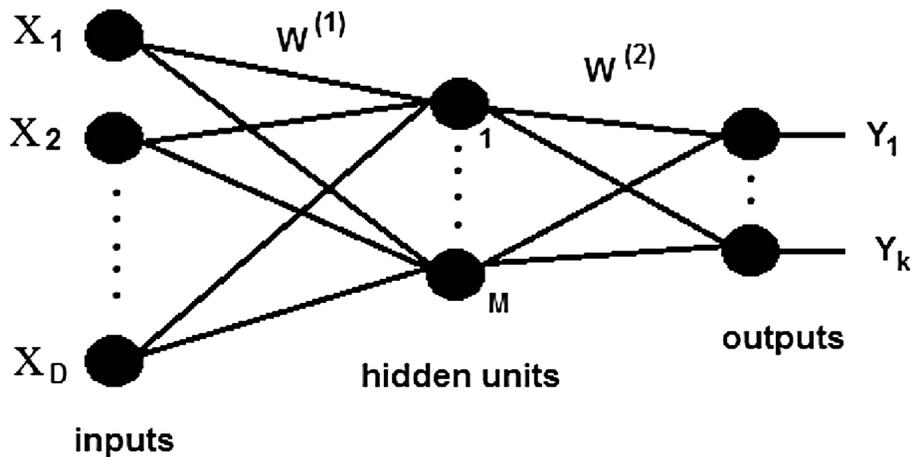


Figure 2. An example of a neural network diagram.

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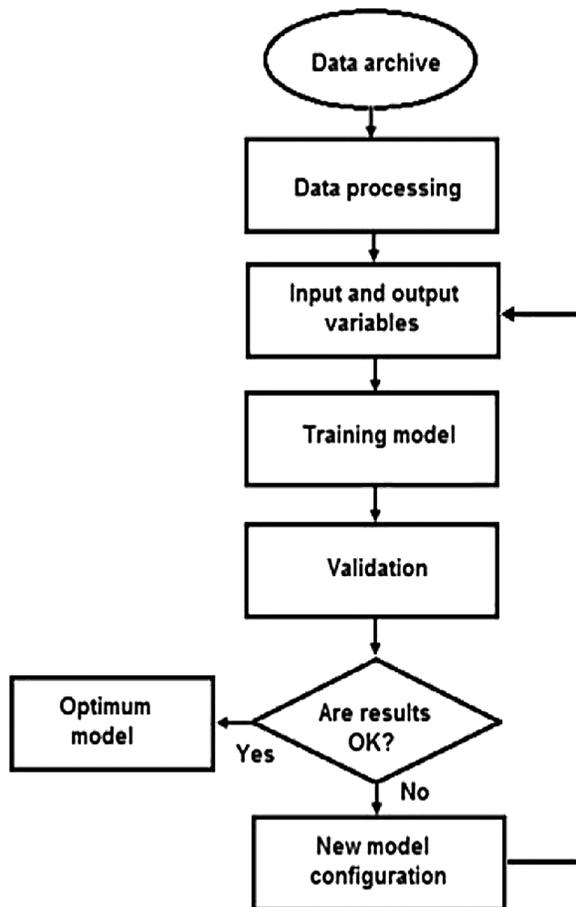
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[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)**Figure 3.** Self-Nowcast Model flowchart.

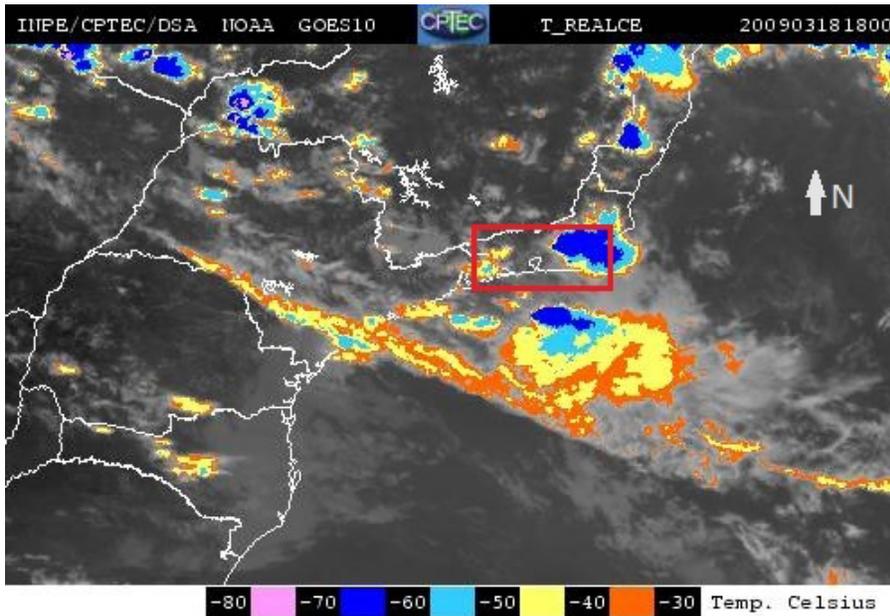


Figure 5. GOES-10 (channel 4) that represents the synoptic weather situation at 18:00 (LT) on 18 March 2009, where the top convective cloud temperatures are categorized by a temperature range from -30 to -80 °C. The red box roughly represents the study region.

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