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OCRA radiometric cloud fractions for GOME-2 on MetOp-A/B

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Abstract

This paper describes an approach for cloud parameter retrieval (radiometric cloud fraction estimation) using the polarization measurements of the Global Ozone Monitoring Experiment-2 (GOME-2) on-board the MetOp-A/B satellites. The core component of

- the Optical Cloud Recognition Algorithm (OCRA) is the calculation of monthly cloud-free reflectances for a global grid (resolution of 0.2° in longitude and 0.2° in latitude) and to derive radiometric cloud fractions. These cloud fractions will serve as a priori information for the retrieval of cloud top height (CTH), cloud top pressure (CTP), cloud top albedo (CTA) and cloud optical thickness (COT) with the *Retrieval Of Cloud Information using Neural Networks* (ROCINN) algorithm. This approach is already being implemented operationally for the GOME/ERS-2 and SCIAMACHY/ENVISAT sensors and here we present version 3.0 of the OCRA algorithm applied to the GOME-2 sensors.
- Based on more than six years of GOME-2A data (February 2007–June 2013), reflectances are calculated for $\approx 35\,000$ orbits. For each measurement a degradation correction as well as a viewing angle dependent and latitude dependent correction is applied. In addition, an empirical correction scheme is introduced in order to remove the effect of oceanic sun glint. A comparison of the GOME-2A/B OCRA cloud fractions with co-located AVHRR geometrical cloud fractions shows a general good agreement with a mean difference of $= 0.15 \pm 0.20$
- with a mean difference of -0.15 ± 0.20 .
 - From operational point of view, an advantage of the OCRA algorithm is its extremely fast computational time and its straightforward transferability to similar sensors like OMI (Ozone Monitoring Instrument), TROPOMI (TROPOspheric Monitoring Instrument) on Sentinel 5 Precursor, as well as Sentinel 4 and Sentinel 5.
- In conclusion, it is shown that a robust, accurate and fast radiometric cloud fraction estimation for GOME-2 can be achieved with OCRA by using the polarization measurement devices (PMDs).



1 Introduction

The importance of clouds is not only manifested in the Earth's climate system due their significant influence on radiation processes, but also in the retrival of atmospheric trace gases. Partially cloudy scenes may affect the retrieval of atmospheric species

⁵ due to increased albedo, altered lower reflecting boundaries and modified photon path lengths. It is therefore necessary to accurately know the basic cloud parameter for providing reliable trace gas columns. The most important of these parameters are cloud fraction, cloud-top height (or pressure) and cloud optical thickness. In this paper, we report the retrieval of a radiometric cloud fraction from GOME-2 level-1b data using version 3.0 of the OCRA algorithm.

The first Meteorological Operational satellite (MetOp-A), operated by the European Organisation for the Exploitation of Meteorological Satellites (Eumetsat), was launched in October 2006 and follows a polar, sun-synchronous orbit with a descending node equator crossing time at 09:30 LST and carries a GOME-2 instrument which is referred

to as GOME-2A throughout this paper. Another GOME-2 instrument is also mounted on MetOp-B, which was launched in September 2012 and it is referred to as GOME-2B in the following. The descending node equator crossing time of MetOp-B is also at 09:30 LST. In orbit, MetOp-A and MetOp-B are placed 48 min apart.

The GOME-2 nadir-viewing optical spectrometer (Munro et al., 2015) senses Earth's backscattered radiance and solar irradiance at UV-VIS-NIR wavelengths in the range 240–790 nm at relative high spectral resolution between 0.2 and 0.4 nm. In addition, the instrument also measures the state of linear polarization of the backscattered earthshine radiances in two perpendicular directions (parallel and perpendicular to the entrance slit) via the so-called polarization measurement devices (PMDs). The PMD data

are taken at 15 spectral bands which cover the spectral region from 312 to 800 nm. A nominal full GOME-2 swath has a width of 1920 km in the direction perpendicular to the flight direction and a single scan line has an extension of 40 km in the flight direction. For the radiometric cloud retrieval we use the PMD measurements of the forward



scan, which results in 192 PMD pixel in the across track direction, each pixel having a footprint of $10 \text{ km} \times 40 \text{ km}$. Further information about GOME-2 can be found in the GOME-2 factsheet (EUMETSAT, 2014).

The GOME-2 heritage instrument GOME (Global Ozone Monitoring Experiment, see
Burrows et al., 1999) onboard ERS-2 (European Remote Sensing 2 Satellite) also provided PMD measurements. Further satellites also carrying passive nadir-viewing instruments suited for an OCRA-like cloud fraction retrieval comprise OMI (Ozone Monitoring Instrument, see Levelt et al., 2006; Dobber et al., 2006; Schoeberl et al., 2006) on the NASA Aura Satellite, TROPOMI (TROPOspheric Monitoring Instrument, see
Veefkind et al., 2012) onboard the ESA Sentinel 5 Precursor mission as well as the Sentinel 4 and Sentinel 5 missions.

Beside OCRA/ROCINN (Loyola, 1998, 2004), some other current cloud retrieval algorithms for UVN spectrometers are FRESCO+ (Wang et al., 2008), SACURA (Kokhanovsky et al., 2003) or HICRU (Grzegorski et al., 2006). In this paper we present

the latest version of the OCRA algorithm and the results obtained using GOME-2 data. This paper is organized as follows: The basic OCRA principles are outlined in Sect. 2. The data selection is found in Sect. 2.1. All further data pre-processing and reduction steps as well as all steps undertaken to finally yield the cloud fraction as output follows in Sects. 2.2 to 2.7. The OCRA results are compared to AVHRR/MetOp data in Sect. 3 and a discussion foruming on cloud fraction determination over appur/ise conditions in

²⁰ and a discussion focusing on cloud fraction determination over snow/ice conditions is given in Sect. 4. We finally close with the conclusions.

2 The OCRA algorithm

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The basic idea of OCRA is to separate a scene into a contribution of clouds and a cloud-free background. The cloud-free background is calculated offline and provides reflectances in the absense of clouds for each month of the year for a global grid in a given resolution. For GOME-2, a global grid with a resolution of 0.2° in both, latitude and longitude, was chosen. For each measured scene, OCRA takes the spectral in-



formation from the UV-VIS-NIR part and transforms the radiances of three pre-defined spectral ranges to three reflectances, or colors, RGB: R in the red part of the spectrum, G in the green part and B in the blue part. The cloud-free background maps are calculated for each of these three colors. OCRA further assumes that clouds have a higher

- ⁵ reflectivity than the surrounding underground and that clouds have a negligible spectral dependency in the regarded optical wavelength range, meaning that clouds appear white in the context of the RGB color scheme since all colors contribute with the same amount. The radiometric cloud fraction is then finally determined by comparison of the measured reflectance of a given scene with its corresponding cloud-free reflectance from the cloud-free background. Details regarding the cloud fraction determination with
- 10 from

OCRA are following in Sect. 2.5.

However, before calculating the cloud fractions based on the reflectances, the latter are being corrected for several instrumental and non-instrumental effects. These are further outlined in Sect. 2.3.

2.1 Data selection and pre-processing

All data considered in this section are from nominal 1920 km swath observations, excluding data in narrow swath mode or other modes like nadir static, PMD-raw, Calibration, etc. Our time base for GOME-2A data is 1 February 2007 until 30 September 2014 and for GOME-2B data it is 1 January 2013 until 30 September 2014. In order

- to construct the cloud-free background maps, we only use GOME-2A data from 1 April 2008 until 30 June 2013. The time before is excluded in this case because of another definition for the PMD bands which significantly affects the reflectance. Hence, for the cloud-free background maps, we only use data with PMD Def v3.1, which was uploaded to orbit on 12 March 2008, replacing the former PMD Def v1.0. An overview of
- the PMD band definitions v3.1 is given in Table 1. The time after 30 June 2013 is excluded, because the nominal swath for GOME-2A was changed from 1920 to 960 km. Other specific events occuring in the considered timeframe are a key data update to the MetOp-A instrument model FM3 on 3 July 2012 as well as Solar eclipses which might



affect the data due to their ground shadow track. It is particularly important to avoid Solar eclipses for the construction of the cloud-free composites, therefore we discarded all orbits which might be affected. A list of MetOp-A/B orbits which are affected by solar eclipses may be found in Appendix B of the Algorithm Theoretical Basis Document for the GOME-2 surface LER product (Tilstra et al., 2014b).

The following subsections provide a detailed description of the steps we applied in order to derive the cloud-free reflectance composites, beginning with the definition of colors which are mapped from the PMD reflectances and followed by various reflectance corrections. Afterwards, the basic concept of the OCRA algorithm is presented along with an empirical approach to identify scenes affected by sun glint and to correct the influence of those scenes on the cloud fraction determination.

2.2 Extraction of PMD reflectances

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In a first step, we determine the top-of-atmosphere (TOA) reflectance of each PMD measurement. The reflectance $\rho(\lambda)$ of a measurement at wavelength λ is obtained via

 $_{15} \quad \rho = \frac{\pi \cdot I}{I_0 \cdot \cos \Theta_0},$

where $I(\lambda)$ denotes the upwelling radiance measured by the satellite, $I_0(\lambda)$ denotes the Solar irradiance and Θ_0 is the Solar zenith angle (SZA).

The wavelengths of the PMDs as defined for GOME-2 are listed in Table 1.

Since OCRA uses a RGB-color approach, we need to map the 15 PMD bands to the three colors R, G and B. Throughout this paper we define the color B, or *blue*, as the mean of the reflectances of PMDs 2 to 6 (0-based), G, or *green*, as the mean of the reflectances of PMDs 7 to 10 (0-based) and R, or *red*, as the mean of the reflectances of PMDs 11 to 14. This mapping is done for both possible polarization states: linear parallel and linear perpendicular polarization. For GOME-2, these two states are denoted by P and S, respectively. Hence, for each measurement, we denote

the colors based on linear parallel polarization as PB, PG and PR and those based



(1)

on linear perpendicular polarization as SB, SG and SR. The Solar zenith angle in our reflectance determination is restricted to be $< 89^{\circ}$.

2.3 Reflectance corrections and normalization

Since instruments on a satellite happen to be in a very harsh environment, they cannot be perfectly stable and may therefore be subject to instrumental degradation. This instrumental degradation will, as a function of time, affect the measured reflectances and hence we need to correct for this effect.

Another aspect to be considered, is a geometrical one: the mean reflectances for the swath edges will differ from those close to the nadir position of the swath. The same

- is true for different latitudinal positions, e.g. close to the equator or close to the poles. Finally, seasonal variations of the surface (predominantly variations of snow and ice cover) will have an impact on the measured mean reflectances. In the following, we account for these effects mentioned above by calculating statistical soft correction factors for the reflectances as a function of time (and/or season), latitude and viewing zenith
- angle VZA. VZA are used instead of the across-track PMD pixel position because the latter would lead to ambiguities when dealing with different swath widths (e.g. 1920 vs. 960 km swaths).

For all corrections, the reference measurements are from 1 February 2007 for GOME-2A and 1 January 2013 for GOME-2B, respectively.

²⁰ We apply correction factors in two subsequent steps: The first step covers instrumental degradation as a function of time and VZA and the second step covers geometrical aspects as a function of VZA, latitude and month (i.e. time). These two correction steps are outlined in the following two sections.

2.3.1 Instrumental degradation

²⁵ Following the approach of Tilstra et al. (2012), we calculate a global daily mean reflectance for each of the 192 PMD pixel. In a subsequent step we map each PMD pixel



to a VZA. The 192 PMD pixel of the full 1920 km swath are mapped to 110 viewing zenith angle bins of one degree width, which covers the region from -55° (east edge of swath) to +55° (west edge of swath) in VZA. Each global daily mean reflectance is comprised of all measurements within the latitude range from 60° N to 60° S. For the whole data baseline, examples of the temporal evolution of the GOME-2A degradation are shown in Fig. 1 for the colors PB, PG and PR for three selected VZA bins: VZA bin 0 (east edge of swath, VZA [-55, -50]°), VZA bin 55 (nadir part of swath, VZA [0,5]°) and VZA bin 109 (west edge of the swath, VZA [50,55]°). The same is shown for GOME-2B in Fig. 3.

- Short term periodic components in both cases are interpreted as variations due to seasonal changes, e.g. seasonal changes in snow and ice coverage, vegetation, foilage etc. (all resulting from Earth's obliquity against the orbital plane). In contrast, the long term component in both cases, GOME-2A and GOME-2B, is addressed to instrumental degradation. For GOME-2A we chose a polynomial component of third degree and for GOME-2B a linear component (linear instead of 3rd degree because
- the GOME-2B data only cover one and a half years and a 3rd order polynomial would also fit the seasonal component).

We calculate degradation factors as a function of time and VZA by normalizing the polynomial (GOME-2A) or linear (GOME-2B) component to the reference measure-

- ments from 1 February 2007 for GOME-2A and 1 January 2013 for GOME-2B. Further, correction factors to be multiplied with the reflectances are calculated as the inverse of the degradation factors and stored in look-up tables (LUTs). The degradation factors for GOME-2A and GOME-2B are shown in Figs. 2 and 4. It is obvious that the degradation of the reflectances does not follow a similar pattern but instead strongly
- ²⁵ depends on wavelength range (OCRA color) and viewing zenith angle. Also, depending on the degradation in the Solar port compared to the Earth port, the degradation of the reflectance can be positive or negative.

Figures 5–7 compare the instrumental degradation for GOME-2A and GOME-2B. Daily mean reflectances in the latitude range from 60° N to 60° S are plotted vs. time



for the three OCRA colors PB (Fig. 5), PG (Fig. 6) and PR (Fig. 7) for the PMD pixels 0 (east edge of swath, top panel), 95 (near nadir, middle panel) and 191 (west edge of swath, bottom panel). The reference measurement for GOME-2A is 1 February 2007 (MetOp-A orbit 1483) and for GOME-2B 1 January 2013 (MetOp-B orbit 1497), re-

- ⁵ sulting in a similar in-orbit time at the reference points. The time difference between the two reference points for GOME-2A and GOME-2B is 2161 days. The colored dots represent GOME-2A data while the black dots represent GOME-2B data in the same timeline as GOME-2A, i.e. the GOME-2B timeline plus 2161 days. The grey circles represent GOME-2B data shifted such that they can be compared to the initial degradation
- of GOME-2A. The left dashed line marks the transition from PMD Def v1.0 to PMD Def v3.1 on 12 March 2008 for GOME-2A (which mainly affects PB, but to a neglibile extend PG and PR) and the right dashed line represents the FM3 key data upgrade for MetOp-A on 3 July 2012, which does not seem to affect any of the colors.
- The left hand side of the figures allows to estimate the effect of the PMD Definition version on the RGB reflectances. Left to the dashed line, PMD Def v1.0 was used for GOME-2A and right to the dashed line PMD Def v3.1 was applied for GOME-2A. The effect is significant for PB while it is minor for PG and PR. Also, the GOME-2B reflectances shifted to match the in-orbit time of GOME-2A align very well with the GOME-2A reflectances after 12 March 2008. This is because the PMD Definitions for GOME-2B are very close to the PMD Def v3.1 of GOME-2A.

The right hand side of the figures allows to estimate the effect of degradation and demonstrates that it is non-trivial but instead depends not only on time but also on wavelength range (here color PB, PG or PR) and viewing zenith angle (here PMD pixels 0, 95 and 191).

25 2.3.2 Dependencies on viewing angles, latitudes and seasons

After the correction for the instrumental degradation, we calculated monthly mean reflectances for each PMD pixel (or VZA bin). The monthly mean reflectance does not only depend on the viewing angle (PMD pixel), but also on the latitude (see Figs. 8 and



9 for GOME-2A and GOME-2B, respectively). We consider a total of 14 latitude bands. Twelve bands with a width of 10° between [-60, +60] and a two bands with a width of 30° for latitudes > 60 and < -60 (i.e. towards the poles). To estimate the effect of the viewing angle and the latitude on the measured mean reflectance, and to statistical soft correct for it, we do the following procedure for each month considered. For each PMD pixel *x* the mean reflectance of every latitude band $\bar{\phi}$ is calculated for a whole month of data and fitted with a fourth order polynomial:

$$\rho_{\text{mean}}(x,\bar{\varphi}) = \alpha_{x,\bar{\varphi}} + \beta_{x,\bar{\varphi}} \cdot x + \gamma_{x,\bar{\varphi}} \cdot x^2 + \delta_{x,\bar{\varphi}} \cdot x^3 + \epsilon_{x,\bar{\varphi}} \cdot x^4,$$
(2)

where $\alpha_{x,\bar{\varphi}}$, $\beta_{x,\bar{\varphi}}$, $\gamma_{x,\bar{\varphi}}$, $\delta_{x,\bar{\varphi}}$ and $\epsilon_{x,\bar{\varphi}}$ are the fit parameter for the corresponding pixel 10 *x* (PMD pixels from 0 to 191) and latitude band $\bar{\varphi}$. The correction factor $c(x,\bar{\varphi})$ for the reflectance measurement at pixel *x* and latitude band $\bar{\varphi}$ is calculated by normalization to the mean reflectance of the close-to-nadir pixel (PMD pixel 95 of 191) of the corresponding latitude band:

$$c(x,\bar{\varphi}) = \frac{\rho_{\text{mean}}(x,\bar{\varphi})}{\rho_{\text{mean}}(95,\bar{\varphi})}$$

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¹⁵ To get the correction factor $c(x, \varphi)$ for an arbitrary latitude φ , we apply a linear spline interpolation between the correction factors $c(x, \bar{\varphi})$ of the 14 latitude bands $\bar{\varphi}$ for each of the across-track PMD pixels *x*. If the VZA is used instead of the across-track PMD pixel position, the *x* in Eqs. (2) and (3) has to be replaced by the VZA and the nadir pixel 95 in the denominator of Eq. (3) has to be replaced by the VZA bin 55 which is ²⁰ the VZA bin closest to nadir.

For each month considered, the fitting parameter to calculate the pixel- and latitude dependent correction values for all OCRA colors and polarisations are then stored in LUTs. The same is done in the case of the VZA- and latitude dependent correction values. The viewing angle and latitudinal dependency for GOME-2A is shown for the example of the month February 2007 for the P pol data in Fig. 8 and for GOME-2B for the month January 2013 in Fig. 9. The general shape is very similar in all other months.



(3)

As can be seen as a general feature, for all three colors the monthly mean reflectances are larger at the swath edges than at the nadir position or, more generally, at the central part of the swath. Similarly, the monthly mean reflectances are larger in polar and subpolar latitudes and smaller in tropical latitudes. Also the curvature is slightly different in different months throughout the year. It seems to be stronger in winter months and

flatter in summer months (not shown here).

Similar to the degradation correction, the correction factors for the dependencies on viewing angles, latitudes and seasons are also stored in LUTs for all combinations of colors and polarization state. See Fig. 10 for the temporal evolution of the correction factors for viewing angle and latitudinal dependencies for GOME-2A (February 2007 until September 2014) and GOME-2B (Japuary 2013 until September 2014) respectively.

until September 2014) and GOME-2B (January 2013 until September 2014), respectively. The annual periodicity is clearly visible.

2.4 Construction of cloud-free reflectance composites

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After correcting the reflectances for instrumental degradation and dependencies on viewing angles, latitudes and seasons, we apply the following color approach to determine cloud-free reflectance composite maps.

First, a grid with a resolution of 0.2° in latitude and longitude is defined (globally resulting in $900 \times 1800 = 1620000$ grid cells)¹. For each grid cell we collect all GOME-2A measurements between April 2008 and June 2013 (63 months), whose central longitude and latitude are within the borders of each grid cell. Since we want to derive monthly cloud-free composites, these measurements are further divided according to the month in which they were taken (the same months in consecutive years are combined). Based on the 5 years dataset, the resulting number of measurements per grid cell and per month is around 120 to 180, depending on the geolocation. For each color

¹As an alternative, we also define a grid with a resolution of 0.4° in latitude and 0.1° in longitude, which is closer to the dimensions of a GOME-2 PMD pixel footprint of $40 \text{ km} \times 10 \text{ km}$.



(PB, PG and PR) the normalized color (Pb, Pg and Pr) is obtained via

$$Pb = \frac{PB}{PB + PG + PR}$$
$$Pg = \frac{PG}{PB + PG + PR}$$
$$Pr = \frac{PR}{PB + PG + PR}$$

⁵ The normalized colors based on S polarization (Sb, Sg and Sr) are obtained in a similar way. In a Pr-Pg or Sr-Sg color diagram, let $w = (\frac{1}{3}, \frac{1}{3})$ be the white point and $M_{\rm P} = (\rm Pr, Pg)$ and $M_{\rm S} = (\rm Sr, Sg)$ be the measurements based on P polarization and S polarization, respectively. Then the distances $d_{\rm P}$ and $d_{\rm S}$ from the mesurement to the white point for the two polarization cases are given by

¹⁰
$$d_{\rm P} = \sqrt{\left({\rm Pr} - \frac{1}{3}\right)^2 + \left({\rm Pg} - \frac{1}{3}\right)^2},$$

 $d_{\rm S} = \sqrt{\left({\rm Sr} - \frac{1}{3}\right)^2 + \left({\rm Sg} - \frac{1}{3}\right)^2}$

The distances from the white point are calculated for all measurements within a grid cell and the RGB colors of the measurement with the largest distance from the white point is defined to represent the cloud-free situation of that grid cell. By merging the cloud-free condition of all grid cells, we can finally obtain global cloud-free TOA reflectance composite maps for each month and RGB color. All cloud-free composite maps are stored in Look-up tables. Some examples for the spring and summer months for color B (P pol based) are shown in Fig. 11. Close to the poles it may occur that cells do not contain enough data. Such cells are assigned with nan-values and appear grey

²⁰ in the plots. Since a proper construction of the cloud-free composites requires a large amount of data, especially a large as possible temporal coverage, we use GOME-2A



(4a)

(4b)

(4c)

(5a)

(5b)

for creating the cloud-free maps. The current GOME-2B data record is yet well below three years and simply too short to achieve enough measurements per grid cell to derive stable cloud-free values at the given grid cell resolution of 0.2 by 0.2°. Once the mission lifetime of GOME-2B will be above four to five years, we will create cloud-free

- ⁵ composites based on the GOME-2B data themselves to derive the GOME-2B OCRA cloud fractions. Until then, the GOME-2A maps will be used for GOME-2B too. Figure 12 shows rg-diagrams of the yearly temporal evolution of the cloud-free conditions for six different surface types. It can be nicely seen that the cloud-free background does not change significantly for the Amazonas, South Atlantic and Sahara cases throughout
- the course of the year. In contrast, the Vancouver, Alpes and Hudson Bay cases show significant monthly changes of the cloud-free background during the melting season (April–May–June) and the beginning of winter with fresh snow (October–November– December). Also, fresh snow seems to have lower Pr values (November, December) compared to old snow (March).

2.5 Cloud fraction determination

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The determination of the radiometric cloud fraction with OCRA follows a two-step process. The first part consists of the separation of a scene into a contribution from the clouds and a cloud-free background and has been described in Sect. 2.4. The second part performs a comparison of the measured reflectance of a scene with its corresponding cloud-free situation. This second step is now outlined in the following subsubsections.

2.5.1 Matching the measurements to the cloud-free grid

In order to find the corresponding cloud-free reflectance to a measured scene, we search for the grid cell of the composite map which contains the central latitude and longitude of the measured pixel. The final cloud-free value is determined via linear interpolation between the two monthly cloud-free composite maps enclosing the ob-



servation. We assume a monthly cloud-free map to correspond to the middle of the month. If a measurement is dated in the first half of a month, we find the cloud-free value via linear interpolation between the cloud-free maps of the previous and current month and if the measurement is dated in the second part of a month, we obtain the cloud-free value via linear interpolation between the cloud-free maps of the current and next month.

2.5.2 OCRA

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OCRA determines the cloud fraction using the differences between the colors of a measured scene and its corresponding cloud-free values. Let $\rho(\lambda_i)$, with i = R, G, B, be the measured reflectances and $\rho_{CF}(\lambda_i)$ the cloud-free background values of the grid cell corresponding to the geolocation of the measured reflectances. The radiometric cloud fraction f_c is then obtained by the following equation

$$f_{\rm c} = \min\left\{1, \sqrt{\sum_{i={\rm R},{\rm G},{\rm B}} \alpha(\lambda_i) \cdot \max\left\{0, \rho(\lambda_i) - \rho_{\rm CF}(\lambda_i) - \beta(\lambda_i)\right\}^2}\right\},\tag{6}$$

where the scaling factors $\alpha(\lambda_i)$ are determined by a histogram analysis of the difference $\rho(\lambda_i) - \rho_{CF}(\lambda_i)$ at a cumulative histogram value of 0.99 as

$$\alpha(\lambda_i) = \frac{1}{\left(\rho(\lambda_i) - \rho_{\mathsf{CF}}(\lambda_i)\right)_{0.99}^2} \tag{7}$$

and the offset values $\beta(\lambda_i)$ are determined by a histogram analysis of the difference $\rho(\lambda_i) - \rho_{CF}(\lambda_i)$ at the mode of the normal histogram as

$$\beta(\lambda_i) = (\rho(\lambda_i) - \rho_{\mathsf{CF}}(\lambda_i))_{\mathsf{mode}}.$$

²⁰ These parameters α and β practically act as upper and lower thresholds defining a fully clouded and a cloud-free scene, respectively. They can also compensate for exceptionally bright situations (e.g. extreme sunglint) and for exceptionally dark situations (e.g.



(8)

shadowing effects, darkening due to aerosols). The max(0, x) and min(1, x) functions in the equation above ensure a mapping of the cloud fractions to the interval [0, 1].

The cloud fraction determination is done separately for the P based colors (PB, PG, PR) and S based colors (SB, SG, SR) and the final cloud fraction is taken as the mean of the P and S based cloud fractions.

For GOME-2A the scaling factors α and offset values β are determined from 29 test days spread over a 6 year period. Each test day uses the same criteria: the scaling factor α via the 0.99 cumulative histogram value of the difference $\rho(\lambda_i) - \rho_{\rm CF}(\lambda_i)$ and the offset value β via the mode of the normed histogram value of $\rho(\lambda_i) - \rho_{\rm CF}(\lambda_i)$. See

- Fig. 13 for an example. Over the 6 year time base, there is no significant trend or variation seen in the parameters of the 29 test days, hence we can use one fixed set of alphas and betas for the whole mission (see Table 3). For GOME-2B we use 6 test days spread over a time period of 18 months to determine the scaling factors and offset values.
- An example of a normalized rg-color diagram for a grid cell near Munich for the month April is shown in Fig. 14 and contains 128 measurements which happend to be in this grid cell in the month April during the years 2007 to 2013. It is obvious that the strong variation of the cloud-free condition from one month to the other (big star symbols in the plot) call for an interpolation towards daily cloud-free values (small star symbols in the plot).

2.6 Sun glint removal

Under certain geometrical conditions it may happen that sunlight reflected by the ocean surface directly reaches the satellite sensor, enhancing the measured signal in comparison to a non-affected scene over water. This effect is called sun glint. More details on this effect may be found in Kay et al. (2009, 2013). Since clouds in the visual appear bright, the sun glint will affect the OCRA cloud fraction retrieval by mimicking an enhanced cloud fraction. The flagging of measurements over water which may possibly be affected by sun glint is purely based on geometrical conditions. Due to the MetOp-



A/B orbits, sun glint for GOME-2 can only appear in the east part of the swath. Based on the Solar zenith angle Θ_{\odot} , satellite zenith angle Θ_{sat} , Solar azimuth angle φ_{\odot} and satellite azimuth angle φ_{sat} , a sunglint factor *v* is calculated:

$$v = \sqrt{(|\Theta_{\odot} - \Theta_{\text{sat}}| - 2)^2 + (\varphi_{\text{sat}} - \varphi_{\odot} - 180)^2}$$

- ⁵ OCRA raises a flag of possible sun glint if *v* is below a certain threshold v_{thres} which was determined empirically and set to 25, and if the measurement is over water. For each orbit, this results in roughly ellipsoidal shaped regions in the eastern part of the swath which have an extension of roughly 30° in latitudinal and 10° in longitudinal direction. The possibility for sun glint increases the closer the measurement is to the center of the ellipse. This is illustrated in Fig. 15. The latitudinal location of the ellipse depends on the season and reaches its highest latitudes in June/July, extending roughly from +60 to +20°. The lowest latitudes are reached in December/January, extending roughly from 0 to -40°.
- Based on Loyola et al. (2011), in addition to flagging possible sun glint situations, we also improved the algorithm to find a correction for the affected scenes. To do so, we need to distinguish if a retrieved cloud fraction is in fact due a cloud or if it is mimicked by sun glint (which can only appear in the abscence of clouds under clear sky conditions). For measurements which may possibly be affected by sun glint and also are over water, the following steps are undertaken. First we consider a cloud fraction threshold of 0.1.
- ²⁰ Sun glint is only corrected for above this threshold, meaning that we assume sun glint to cause cloud fraction signals above 0.1. Next, we introduce three quantities which are capable to distinguish clouds from sun glint if they are used in concert. One is a reflectance ratio in the blue spectral part. We use the ratio of PMD4 to PMD3 (see Table 1). The second is the Stokes fraction (see Sect. 3.7 in Munro et al., 2015 for
- ²⁵ further information) in the red (PMD12) and the third is the ratio of the OCRA colors PR/PB (see Table 2). Let us call these three indicators PSG, Stokes12 and PRPB. The first indicator, PSG, helps to seperate cloudy and sun glint scenes from clear scenes if the scene reflectance is above a certain threshold. The other two indicators



(9)

help to distinguish clouds from sun glint. If the absolute value of Stokes12 is below a certain threshold, the signal will be due to clouds and cannot be due to sun glint. This is based on the assumption that clouds tend to be de-polarizing due to multiple scattering and the Stokes fraction will therefore be close to zero for cloudy scenes.

- A detailed investigation of GOME-2 polarization spectra and the influence of clouds on the Stokes fraction is presented in Tilstra et al. (2014a). Finally, if the value of the third indicator PRPB is below a certain threshold, the signal will be likely due to a cloud because sun glint would result in a signal well above this threshold. Thus combining these three criteria, we are able to distinguish between cloud and sun glint, and hence
- ¹⁰ correct for it (the cloud fraction is set to zero in this case). The three quantities used in our sun glint removal procedure are shown together with the cloud fractions before and after sun glint removal for a test scene in Fig. 16. Note that the bright ellipsoidal sun glint signals are successfully removed in the bottom middle panel without affecting the true cloud signals. The empirical thresholds are PSG = 1.050, abs(Stokes12) = 0.125
- and PRPB = 1.15 for GOME-2A data before 11 March 2008 (i.e. valid for PMD Def. 1.0), PSG = 1.080, abs(Stokes12) = 0.125 and PRPB = 1.15 in the case of GOME-2A data after 11 March 2008 (i.e. valid for PMD Def. 3.1) and PSG = 0.995, abs(Stokes12) = 0.1 and PRPB = 1.0 in the case of GOME-2B. A flag is set for each measurement where a sunglint correction was applied (see bottom right panel in Fig. 16). For all quantities involved, we use the corrected reflectances as outlined in Sect. 2.3.

A similar approach to investigate sun glint in GOME-2 data has already been presented by Loyola et al. (2011) and Beierle et al. (2013).

An example for a full day of OCRA cloud fractions after sun glint filtering, based on GOME-2A and GOME-2B data merged together, is shown in Fig. 17.

25 2.7 Comparison of cloud fractions from GOME-2A and GOME-2B

For one full month of data, January 2013, the OCRA cloud fractions based on GOME-2A data are compared to those based on GOME-2B data. This timeframe was chosen, because both instruments operated in full swath mode at that time and hence a best



possible similar geographic coverage is established. Figure 18 shows the monthly mean cloud fractions for GOME-2A and GOME-2B, subdivided in ten degree wide zonal bins (left panel) and thirty degree wide meridional bins (right panel). The green solid line represents the original GOME-2B data while the red solid line is based on

GOME-2B reflectances homogenized to the GOME-2A reflectances. This shift of the GOME-2B reflectances was performed in order to match the GOME-2A reflectances as good as possible and is based on 30 full days of data. Figure 19 shows the correlation of the cloud fraction data mentioned above for the cases based on original GOME-2B reflectances (*y* axis in left panel) and homogenized GOME-2B reflectances (*y* axis in right panel).

3 Comparison with AVHRR data

We compared twelve days of OCRA data from GOME-2A/B with data from the AVHRR (Advanced Very High Resolution Radiometer) instrument, which is mounted on the same platform as the GOME-2 instruments, i.e. on MetOp-A and MetOp-B. AVHRR is an across track scanner sensing the radiation backscattered from Earth in six channels from the visible/near infrared range towards the thermal infrared. The spatial resolution is 1 km at nadir. Based on the dedicated cloud-test results provided with the AVHRR level-1B files, the geometrical cloud fraction for one GOME-2 PMD pixel is derived as the fraction of the sum of all cloudy pixels to the total number of AVHRR pixels collocated within one GOME-2 PMD pixel. This AVHHR cloud fraction is then added as an extra field to the GOME-2 level-1B file. We have been provided with twelve test days of collocated AVHRR geometrical cloud fractions to GOME-2 PMD pixels². These comprise the first day of each month between December 2012 and November 2013. Here

we compare the GOME-2A OCRA radiometric cloud fractions for 1 December 2012 with the collocated AVHRR geometrical cloud fractions. Figure 20 shows the OCRA



²R. Lang, EUMETSAT, personal communication, 2014

(top left) and AVHRR (bottom left) cloud fractions for MetOp-A on a world map. The absolute differences are plotted in the top right and a correlation map is found on the bottom right. The overall large-scale cloud structures are very similar in both products. Although the linear correlation is relatively high (linear correlation coefficient of

- ⁵ 0.88, see bottom right panel), differences appear as a systemetac offset towards larger AVHRR cloud fractions of roughly 0.16. This may be explained by the fact that UVN radiances from GOME-2 are not sensitive to clouds with low optical thickness, e.g. cirrus clouds, whereas the IR or thermal infrared radiances from AVHRR are. In Fig. 21, histograms of the cloud fractions based on OCRA and AVHRR are plotted in the left panel.
- ¹⁰ The right panel shows a histogram of the cloud fraction differences between OCRA and AVHRR for the same day (1 December 2012). The histogram of the cloud fraction differences has a mean and a standard deviation of -0.15 and 0.20, respectively, and looks very similar to the histogram shown in the right panel of Fig. 4 in Loyola et al. (2007). The latter compares OCRA cloud fractions derived from the GOME (Global
- ¹⁵ Ozone Monitoring Experiment) instrument on ERS-2 (European Remote Sensing 2 Satellite) and the SEVIRI (Spinning Enhanced Visible and Infrared Imager) instrument on MSG (METEOSAT Second Generation) and finds a mean difference of -0.21 with a standard deviation of 0.26. Since GOME is not sensitive to optically thin clouds, but SEVIRI is, the situation is very similar to the comparison of the GOME-2/AVHRR pair.
- One possibility to circumvent these different cloud sensitivities and to achieve a better agreement is to filter clouds with low optical thickness (below a certain threshold) from the AVHRR or SEVIRI data. For the latter case this has been done in the left panel of Fig. 4 by Loyola et al. (2007) and results in a much better agreement of the GOME and SEVIRI data. Concerning GOME-2 and AVHRR, a similar cloud optical thickness filtering of the AVHRR data is outlined in the following subsection.

3.1 Cloud optical thickness filter

Since May 2014, EUMETSAT provides the AVHRR cloud fraction co-located to the GOME-2 PMD footprints as an operational (but not yet validated) product. This EU-



METSAT Polar multi-sensor Aerosol product (PMAP) additionally to the cloud fraction also provides the cloud optical depth (COD). Further details can be found in the PMAP Factsheet EUMETSAT (2015).

- A comparison of the OCRA cloud fractions to the AVHRR cloud fractions taken from this PMAP product is shown in Fig. 22 for data from 1 May 2014. Both dataset are matched to a common lat/lon grid of 0.4° resolution. As before, the general large-scale cloud structures agree very well. To account for GOME-2 insensitivity to low optical thickness clouds, we filtered out all AVHRR cloud fraction measurements which have a COD smaller than 5. Additionally, all cloud fractions larger than 0.95 are rejected in order to avoid ambiguities due to different treatment of the cloud fraction over snow/ice
- scenes, where the AVHRR cloud fraction is set to 1. The effect of this treatment is visible in Fig. 23. Note that in the top left panel there are many CF = 1 cases for AVHRR which are considerably less for OCRA. The major contribution to these large deviations comes from polar regions (this is also obvious in Fig. 22). In the histograms of the cloud
- fractions (top panels) and histograms of the cloud fraction differences (bottom panels) visualized in Fig. 23 it is noted that the COD and CF filtering is able to remove the strong assymmetry seen in the bottom left panel, but the rather large systematic offset (-0.24 in this case) still remains in the bottom right panel. Finally, Fig. 24 nicely illustrates that this systematic offset between the radiometric (GOME-2) and the geometric (AVHRR)
 cloud fractions is more or less constant over the whole latitude range.

Most plots in the previous sections are only shown for reflectances based on the P pol PMD data. Only in the final cloud fraction map, Fig. 17, the mean of the P pol based and S pol based data are used. Larger discrepancies between the two polarization states may appear for instrumental degradation and scan angle dependencies.

Since these latter effects are corrected for during the reflectance normalization, the discrepancies do not translate to the cloud fraction determination. Hence, the cloud fractions based on using only P pol PMD data do not significantly differ from those based on S pol PMD data. The difference is in the very low percentage region. The same is true for the cloud-free maps.



4 Cloud fraction over snow/ice conditions

A known issue for cloud fraction retrieval algorithms in the UVN wavelength range is the performance over very bright surfaces like snow or ice. In such cases, often external databases of daily snow/ice cover are incorporated and the affected scenes are flagged

- and given an arbitrary cloud fraction value, e.g. 1, and an effective scene albedo is retrieved instead. In OCRA, the cloud fraction is calculated regardless of the surface condition. For the snow/ice scenes mentioned above, this requires the cloud-free background maps to be as close as possible to the current surface situation in order to represent the cloud fraction over snow/ice as realistically as possible. As mentioned
- ¹⁰ before, the cloud-free reflectances for the OCRA RGB colors of a particular grid cell are interpolated towards a daily value in between two monthly cloud-free maps. If we imagine that the cloud-free reflectance of a particular grid cell represents a snow/ice situation (i.e. higher background) and in the same cell the snow/ice is melted in the next month (i.e. lower background), OCRA's linear interpolation scheme may intro-
- ¹⁵ duce some uncertainties since snow/ice melting and particularly new snow/ice coverage may happen on shorter timescales than 30 days. If melting or new snow occurs in the timescale of days, it would of course be better to have e.g. weekly cloud-free maps, but for this there are simply not enough data available. Hence, monthly maps with linear interpolation is the best tradeoff that can be done given the current combi-
- nation of timebase, grid cell size and PMD pixel size. The effect of melting seasons and fresh snow coverage on the cloud-free backgound was shown in Fig. 12. In this figure, it can also be seen that even for snow/ice surfaces the cloud-free background does not coincide with the white point of the rg-diagram, which is why OCRA can also retrieve the cloud fraction for these cases instead of setting an arbitrary value. However, OCRA
- ²⁵ may slightly underestimate the cloud fraction in these cases due to the fact that the scaling factor α is optimized for all possible surface conditions and not for snow/ice conditions alone. From the operational point of view it is desirable to have as few as possible input parameters to OCRA (e.g. only one set of scaling factors for all surface



conditions), but in this case it might be worthwile to consider having separate scaling factors for the different surface types (e.g. permanent ice, sea ice, snow, desert, water, land). Surface dependent scaling factors will be included in a future update to the OCRA algorithm.

- ⁵ An alternative to choosing the maximum distance in the rg-diagram as the cloud-free situation would be to do a histogram analysis of $\rho(\lambda_i) \rho_{CF}(\lambda_i)$ for each individual grid cell. This would work fine for gaussian distributions (grid cells without strong surface condition variations) but would also cause problems if the distribution is bimodal or multimodal (grid cells with seasonal changes of the surface conditions).
- Further attempts have been undertaken in order to distinguish snow/ice from clouds. It was noticed that the difference between the P pol based OCRA cloud fraction and the S pol based OCRA cloud fraction depends slightly on the underlying surface. As can be seen in the right panel of Fig. 25, the cloud fraction difference of the P pol based cloud fraction minus the S pol based cloud fraction seems to be particularly negative (blue in the plot) over snow/ice covered surfaces, e.g. Antarctica, Hudson Bay, Greenland,
- Siberia. Being an interesting aspect, this approach to identify snow/ice via the cloud fractions based on different polarisation states may be pursued further in future work.

Another approach to tackle the snow/ice issues and to discriminate snow/ice surfaces from clouds was presented by Zhang and Xiao (2014) for images with very high

- ²⁰ spatial resolution. We tried to adapt this method to the GOME-2 PMD footprints which have a much smaller spatial resolution. The basic idea is to convert the RGB color information to a HSI (Hue, Saturation, Intensity) color space. Since clouds have high intensities *I* but low saturations *S*, a map of the significance W = I/S can clearly distinguish clouds from non-cloudy surfaces. However, especially over the regions of interest, i.e.
- 25 snow/ice, it was not able to derive satisfactorily stable and robust thresholds to include the HSI mapping as an additional snow/ice indicator which also works in the presence of clouds into our operational processing chain. Manual fine-tuning in selected regions on the other hand delivered some promising results which may be pursued further.



5 Conclusions

In this paper we have presented version 3.0 of the OCRA cloud fraction algorithm applied to data measured with the GOME-2 instrument onboard the MetOp satellites. Improvements with regard to the previous OCRA version include a degradation correc-

- tion of the PMD reflectances as well as corrections for scan angle dependencies and latitudinal dependencies. In addition, the cloud-free composite maps are now based on more than six years of GOME-2A data. An improved sun glint flagging and removal has been implemented, which now also considers the Stokes fraction and an additional color ratio in order to distinguish between sun glint and real clouds.
- The PMD based OCRA cloud fractions have been compared to collocated AVHRR cloud fractions and show a good general agreement. However, a systematic offset is addressed to different sensitivities to low optical thickness clouds due to the different spectral ranges covered by the GOME-2 and AVHRR instruments.

In addition to the simple OCRA color space approach, which does not need ex pensive radiative transfer modelling, another advantage of OCRA lies in its extremely fast computational performance. This is especially relevant for providing products in near real time. All external input, like the cloud free reflectance composite maps, are pre-calculated look-up tables (LUTs) and do not need to be calculated online. The radiometric cloud fractions for a full GOME-2 orbit with around 120 000 single PMD
 measurements are calculated in only ≈ 20 s (operational). The OCRA algorithm was used for the generation of operational products from GOME and SCIAMACHY and is not limited to PMD data, but can also be used with normal radiance data (e.g. OMI, TROPOMI). At the beginning of a new mission, cloud-free reflectance composites from

a predecessor mission can be used as an initial input until enough data are collected to produce cloud-free reflectance composite maps based on the same instrument.

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Table 1. GOME-2 PMD band definitions (v3.1). For GOME-2A, these setings apply to data since 11 March 2008. The PMD band definitions for GOME-2B differ slightly (mostly below one nm) and can be found in the GOME-2 Factsheet (EUMETSAT, 2014).

number	Band-P range in nm			Band-S range in nm		
0	311.537	313.960	0	311.709	314.207	
1	317.068	318.983	1	316.762	318.720	
2	321.603	329.267	2	321.389	329.139	
3	330.744	334.560	3	330.622	334.443	
4	336.157	340.302	4	336.037	340.161	
5	361.054	378.204	5	360.703	377.873	
6	380.502	384.049	6	380.186	383.753	
7	399.921	429.239	7	399.581	428.585	
8	434.779	492.569	8	434.083	492.066	
9	495.272	549.237	9	494.780	548.756	
10	552.967	556.769	10	552.474	556.262	
11 12 13 14	568.628 618.711 745.379 795.364	613.680 662.990 769.553 804.351	11 12 13 14	568.070 617.867 744.112 794.080	612.869 661.893 768.269 803.072	





 Table 2. OCRA definition of RGB-colors. The PMD numbers refer to the definitions given in Table 1.

	mean reflectance of PMD numbers	range in nm (Band-P)	range in nm (Band-S)
OCRA color R	11 to 14	568.628-804.351	568.070-803.072
OCRA color G	7 to 10	399.921-556.769	399.581-556.262
OCRA color B	2 to 6	321.603–384.049	321.389–383.753

		P Polarization		S Polarization	
	Color	α	β	α	β
	В	4.7	0.033	4.8	0.033
GOME-2A	G	2.6	0.035	2.6	0.035
	R	2.1	0.020	2.1	0.020
	В	3.15	0.048	3.35	0.047
GOME-2B	G	2.10	0.039	2.25	0.032
	R	2.00	0.014	1.85	0.019

Discussion Paper AMTD 8, 13471–13524, 2015 **OCRA** for **GOME-2A/B** R. Lutz et al. **Discussion** Paper Title Page Introduction Abstract Conclusions References Tables Figures **Discussion** Paper ► ◄ Back Close Full Screen / Esc **Discussion** Paper Printer-friendly Version Interactive Discussion $(\mathbf{\hat{t}})$

Table 3. OCRA scaling factors and offset values for GOME-2A and GOME-2B.



Figure 1. Instrumental degradation for GOME-2A as a function of time for the RGB OCRA colors. The examples shown here are only for P pol data and for three VZA bins: VZA bin 0: eastern swath edge at -55° , VZA bin 55: near-nadir center of swath, VZA bin 109: western swath edge at $+55^{\circ}$. The solid lines are polynomial fits of 3rd order and the timebase is from 1 February 2007 until 30 September 2014.





Figure 2. GOME-2A degradation factors as a function of time for the 110 across-track VZA bins for the colors PB (top), PG (middle) and PR (bottom). The time runs in days starting on 1 February 2007 until 30 September 2014 in the positive *y* axis direction. Yearly intervals are separated by horizontal solid lines. VZA bin 0 represents the east edge of the swath (VZA of -55 to -50°), VZA bin 55 is close to nadir and VZA bin 109 represents the west edge of the swath (VZA of -55 to -50°). The VZA bins 0–20 and and 89–109 are no longer occupied after the GOME-2A swath reduction from 1920 to 960 km in July 2013. This is seen as the white data gaps at the top of the panels. The calculation of the degradation factors is therefore based on two different datasets (February 2007 until July 2013 for VZA bins 0–20 and 89–109 and February 2007 until September 2014 for VZA bins 21–88), which leads to the slight discontinuity at the transition zones seen in the plot. Note that the colorbar has different scales in the three panels.





Figure 3. Instrumental degradation for GOME-2B as a function of time for the RGB OCRA colors. The examples shown here are only for P pol data and for three VZA bins: VZA bin 0: eastern swath edge at -55° , VZA bin 55: near-nadir center of swath, VZA bin 109: western swath edge at $+55^{\circ}$. The solid lines are linear fits and the timebase is from 1 January 2013 until 30 September 2014.





Figure 4. GOME-2B degradation factors as a function of time for the 110 across-track VZA bins for the colors PB (top), PG (middle) and PR (bottom). The time runs in days starting on 1 January 2013 until 30 September 2014 in the positive *y* axis direction. Yearly intervals are separated by horizontal solid lines. VZA bin 0 represents the east edge of the swath (VZA of -55 to -50°), VZA bin 55 is close to nadir and VZA bin 109 represents the west edge of the swath (VZA of 50 to 55°). Note that the colorbar has different scales in the three panels.





Figure 5. Comparison of degradation for GOME-2A and GOME-2B for color PB and PMD pixels 0 (east swath edge), 95 (near nadir), and 191 (west swath edge). Blue dots represent GOME-2A data, black dots represent GOME-2B data and grey dots represent GOME-2B data time-shifted such that it can be compared to the in-orbit time of GOME-2A.





Figure 6. Comparison of degradation for GOME-2A and GOME-2B for color PG and PMD pixels 0 (east swath edge), 95 (near nadir), and 191 (west swath edge). Green dots represent GOME-2A data, black dots represent GOME-2B data and grey dots represent GOME-2B data time-shifted such that it can be compared to the in-orbit time of GOME-2A.





Figure 7. Comparison of degradation for GOME-2A and GOME-2B for color PR and PMD pixels 0 (east swath edge), 95 (near nadir), and 191 (west swath edge). Red dots represent GOME-2A data, black dots represent GOME-2B data and grey dots represent GOME-2B data time-shifted such that it can be compared to the in-orbit time of GOME-2A.





Figure 8. GOME-2A monthly mean reflectances for the OCRA colors PB, PG and PR in February 2007 in 14 latitude bands. The bands have a binsize of 10° in the latitude range from 60° N to 60° S and a binsize of 30° for the polar regions [60, 90° N] and [60, 90° S]. The filled circles represent the Northern Hemisphere and the open circles represent the Southern Hemisphere.





Figure 9. Same as Fig. 8, but for GOME-2B and January 2013.





Figure 10. GOME-2A (left) and GOME-2B (right) temporal evolution of correction factors for scan angle and latitudinal dependencies. The example is for color PB and VZA bin 21, which corresponds to viewing zenith angles in the range $[-34, -33]^{\circ}$. The time is given in years since February 2007 and January 2013, respectively, and the vertical black lines separate yearly intervals.





Interactive Discussion



Figure 12. Yearly evolution of cloud-free reflectances in a normalized rg-color-diagram for different surface types. Starting clockwise from top left: Vancouver Island, Alpes, Amazonas, South Atlantic, Sahara, Hudson Bay. The square symbol marks the white point of the normalized color diagram.





Figure 13. Determination of α and β via histogram analysis based on GOME-2A data from 1 February 2007. β is determined via the mode of the normalized histogram (top panel) and α via the reflectance difference at the 0.99 value of the cumulative histogram (bottom panel).





Figure 14. Normalized rg-color-diagram for an example $0.2^{\circ} \times 0.2^{\circ}$ grid cell (Munich). This diagram contains all measurements from April for the years 2007 to 2013. The black square marks the white point at (1/3, 1/3). The big stars represent the monthly cloud-free conditions for March (left filled star), April (filled star) and May (right filled star) taken from the LUT. The small stars represent daily cloud-free conditions for the month April, found by linear interpolation between the three LUT values for March, April and May. Each measurement is color coded with its resulting OCRA cloud fraction and connected to its corresponding interpolated daily cloud-free condition via a thin grey line.





Figure 15. Sun glint factors for GOME-2A for 1 December 2012. If the sun glint factor is below 25 (i.e. non-white in the plot), a measurement over water may possibly be affected by sun glint.



Discussion Paper



Figure 16. Example for the performance of the OCRA sunglint removal scheme for GOME-2A data. Shown is a zoom into three orbits from 1 December 2012 in the region covering roughly 120 to 180° W and 0 to 30° S. Areas without coverage are shown grey. Top row from left to right: PSG, Stokes12 and PRPB (see text for further details). Bottom row from left to right: OCRA cloud fraction before sun glint removal, OCRA cloud fraction after sun glint removal, cloud fraction difference after sun glint removal. The bottom right plot basically shows which measurements inside the ellipse defined by the geometrical conditions are affected by sun glint and the color scale gives a measure for the strength of the sun glint, i.e. virtual cloud fraction caused by sun glint. Note that the enhanced reflectance due to sunglint may mimic a large virtual cloud fraction in small localized regions (e.g. the bright feature seen at 15° S and 155° W) whereas the smoother part spread over the whole sun glint area causes virtual cloud fractions pronounced in the range around 0.1 to 0.3.





Figure 17. Global map with OCRA cloud fractions for 1 January 2013. The data from both sensors, GOME-2A and GOME-2B, have been merged in this plot in order to provide a daily, global cloud fraction coverage without gaps between the swaths.





Figure 18. Monthly zonal and meridional OCRA cloud fractions from GOME-2A and GOME-2B based on all data from the month January 2013, i.e. where both instruments operated in the full 1920 km nominal swath mode.





Figure 19. OCRA cloud fractions based on GOME-2A data vs. those based on GOME-2B data for all measurements within January 2013.





Figure 20. Comparison of GOME-2A OCRA cloud fractions (top left) with co-located AVHRR cloud fractions (bottom left) for 1 December 2012. The absolute differences are plotted on the top right while the bottom right shows the correlation. The solid black line is the 1 : 1 identity and the dashed line represents a linear fit with the parameters specified in the plot.





Figure 21. Histograms of OCRA and AVHRR cloud fractions for MetOp-A on 1 December 2012 are shown on the left, while a histogramm of the cloud fraction differences is plotted on the right.





Figure 22. Comparison of OCRA (left) and AVHRR (right) cloud fractions for MetOp-B on 1 May 2014. The co-located AVHRR data to the GOME-2 PMD footprints were taken from the PMAP product (see text for further details).





Figure 23. The top panels show a comparison of OCRA (blue) and AVHRR (green) cloud fraction histograms for MetOp-B on 1 May 2014. The bottom panels show histograms of the cloud fraction difference OCRA minus AVHRR. Only PMAP measurements with a COD larger 5 and CF smaller 0.95 are considered in the right panels.





Figure 24. Zonal mean cloud fractions for 1 May 2014 for MetOp-A and MetOp-B based on OCRA and AVHRR cloud fractions. The solid lines represent the unfiltered data while the dashed lines represent the data after filtering out cloud fractions with a COD smaller 5 and a CF larger 0.95.





Figure 25. Comparison of P pol based OCRA cloud fractions to S pol based OCRA cloud fractions for GOME-2A for 1 February 2007. The correlation plot (left) shows a very high agreement with a correlation coefficient of 0.9998. The solid black line is the 1 : 1 line and the dashed white line is a fit with the parameters indicated in the plot. The absolute cloud fraction differences are plotted on a world map (right).

