

**Consistency and quality assessment of Metop-A/IASI and Metop-B/IASI trace gas products**

O. E. García et al.

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# Consistency and quality assessment of the Metop-A/IASI and Metop-B/IASI operational trace gas products (O<sub>3</sub>, CO, N<sub>2</sub>O, CH<sub>4</sub> and CO<sub>2</sub>) in the Subtropical North Atlantic

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## Abstract

This paper presents the tools and methodology for performing a routine comprehensive monitoring of consistency and quality of IASI (Infrared Atmospheric Sounding Interferometer) trace gas Level 2 (L2) products ( $O_3$ ,  $CO$ ,  $N_2O$ ,  $CH_4$  and  $CO_2$ ) generated at EUMETSAT (European Organisation for the Exploitation of Meteorological Satellites) using ground-based observations at the Izaña Atmospheric Observatory (IZO, Tenerife). As a demonstration the period 2010–2014 was analysed, covering the version 5 of the IASI L2 processor. Firstly, we assess the consistency between the total column (TC) observations from the IASI sensors on-board the EUMETSAT Metop-A and Metop-B meteorological satellites (IASI-A and IASI-B, respectively) in the subtropical North Atlantic region during the first two years of IASI-B operations (2012–2014). By analysing different time scales, we probe the daily and annual consistency of the variability observed by IASI-A and IASI-B and, thereby, assess the suitability of IASI-B for continuation of the IASI-A time series. The continuous inter-comparison of both IASI sensors also offers important diagnostics for identifying inconsistencies between the data records and for documenting their temporal stability. Once the consistency of IASI sensors is documented we estimate the overall accuracy of all the IASI trace gas TC products by comparing to coincident ground-based Fourier Transform Infrared Spectrometer (FTS) measurements performed at IZO from 2010 to 2014. The IASI L2 products reproduce the ground-based FTS observations well at the longest temporal scales, i.e., annual cycles and long-term trends for all the trace gases considered (Pearson correlation coefficient,  $R$ , larger than 0.95 and 0.75 for long-term trends and annual cycles, respectively) with the exception of  $CO_2$ . For  $CO_2$  acceptable agreement is only achieved for long-term trends ( $R \sim 0.70$ ). The differences observed between IASI and FTS observations can be in part attributed to the different vertical sensitivities of the two remote sensing instruments and also to the degree of maturity of the IASI products:  $O_3$  and  $CO$  are pre-operational, while  $N_2O$ ,  $CH_4$  and  $CO_2$  are, for the period covered by this study, aspirational products only and are not considered mature. Re-

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garding shorter timescales (single or daily measurements), only the O<sub>3</sub> product seems to show good sensitivity to actual atmospheric variations ( $R \sim 0.80$ ), while the CO product is only moderately sensitive ( $R \sim 0.50$ ). For the remainder of the trace gases, further improvements would be required to capture the day-to-day real atmospheric variability.

## 1 Introduction

Continuous, consistent, and high quality long-term monitoring of the composition of the atmosphere is fundamental for addressing the challenges of climate research. In this context space-based remote sensing observations are of particular importance, since they are unique in providing a global coverage. Among the current space-based remote sensing instruments, IASI (Infrared Atmospheric Sounding Interferometer, Blumstein et al., 2004) has special relevance since it combines high quality (very good signal to noise ratio and high spectral resolution), excellent horizontal resolution, global coverage, and long-term data availability. Its mission is guaranteed until 2022 through the meteorological satellites Metop, the space component of the EUMETSAT (European Organisation for the Exploitation of Meteorological Satellites) Polar System (EPS) programme: the first sensor (IASI-A) was launched in October 2006 on-board Metop-A, the second (IASI-B) was launched in September 2012 on-board Metop-B and the third (IASI-C) is expected to be launched in October 2018 aboard Metop-C. A successor to IASI, IASI-NG (Crevoisier et al., 2014), with improved spectral resolution and radiometric performance is under development as part of the EPS-SG (Second Generation) programme, and will continue the mission after Metop-C and extend the data record by two decades. All these features make the IASI missions very promising for monitoring atmospheric composition in the long term as a key instrument for the EUMETSAT Earth observation programme (e.g., Clerbaux et al., 2009; Crevoisier et al., 2009a, b; Herbin et al., 2009; Schneider and Hase, 2011; August et al., 2012; Kerzenmacher et al., 2012). However, for correct scientific use of these long-term observational records, an

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tion studies (e.g., Schneider et al., 2006, 2008; Sepúlveda et al., 2012; García et al., 2012b; Sepúlveda et al., 2014; Barthlott et al., 2015).

In this context this work intends to demonstrate monitoring capabilities for the IASI L2 atmospheric composition products, to help identifying potential areas for retrieval algorithm improvement, and to support the related on-going and future development activities. For this purpose, it is firstly analysed the consistency between the IASI-A and IASI-B L2 atmospheric trace gas products provided by the IASI mission (TC amounts of O<sub>3</sub>, CO, N<sub>2</sub>O, CH<sub>4</sub>, and CO<sub>2</sub>) in the subtropical North Atlantic region after the two first years in operation of IASI-B (2012–2014). Secondly, the documentation of the overall IASI quality is addressed by using the high-precision FTS observations that have been carried out at the Izaña Atmospheric Observatory (IZO) since 1999. Due to its strategic location, IZO is affected by background free troposphere air masses with very distinct history and regions of origin (Atlantic Ocean, Europe, North and Central Africa, Idotes, see Cuevas et al., 2013 and references therein), making IZO a unique place for documenting the quality of IASI atmospheric products for different scenarios. To address all these tasks, this paper is structured as follows: Sect. 2 presents the EUMETSAT Metop/IASI mission describing the IASI sensor as well as the IASI L2 atmospheric trace gas products routinely disseminated by EUMETSAT, while Sect. 3 introduces the ground-based FTS products, including the FTS activities at IZO and the retrieval strategies used for obtaining the different FTS products. Section 4 presents the strategy developed for comparing IASI-A, IASI-B and FTS observations and Sects. 5 and 6 address the consistency and inter-comparison study at different time scales (single measurements, daily, annual, and long-term trends). Finally, Sect. 7 summarizes the main results and conclusions of this work.

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we focus on the IASI V5 products, for which the longest IASI-A and coincident IASI-A and IASI-B time series are available (September 2010–September 2014 and December 2012–September 2014, respectively). The main characteristics of the IASI L2 V5 products are described below and summarized in Table 2.

IASI L2 V5 introduces significant improvements in the retrieval of the atmospheric trace gas products as well as cloud products and cloud detection in contrast to the previous version, V4. Now, under cloud-free conditions, the  $O_3$  profiles are simultaneously retrieved, together with the humidity and temperature profiles and the surface temperature, from the IASI measured radiances using an optimal estimation method (OEM) (Rodgers, 2000). This approach uses a global a priori with a single unique covariance matrix, computed from a collection of ECMWF (European Centre for Medium-Range Weather Forecast) analysis records covering all seasons. Therefore, all observed atmospheric variability comes from the measurements rather than the a priori information (August et al., 2012).

The TC amounts of the other molecules ( $CO$ ,  $N_2O$ ,  $CH_4$  and  $CO_2$ ) are retrieved using an inversion algorithm based on artificial neural networks (ANNs). The feasibility of retrieving those quantities with ANNs from IASI measurements was first studied prior to launch (Turquety et al., 2004) and this formed the basis for the IASI L2 processors until revision V4. The method was refined in V5 specifically for  $CO$  with an updated channel selection and the addition of new predictors adding important information about the surface characteristics and the viewing geometry (August et al., 2012). The ANNs were trained with simulated radiances using the RTTOV model (Matricardi and Saunders, 1999; Saunders et al., 1999) and the atmospheric composition profiles from the MOZART model (Brosseur et al., 1998).  $N_2O$ ,  $CH_4$  and  $CO_2$  benefit from the same improvements in the ANNs design introduced for  $CO$  in V5, but they have not been specifically optimised and validated. They are only distributed as aspirational products, while scientific development is on-going and research products from the wider community (Clerbaux et al., 2009; Crevoisier et al., 2013) can be made operational.



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Hase et al., 2004) which follows the formalism given by Rodgers (2000). Then, TC amounts are computed by integrating the retrieved VMR profiles from the FTS altitude (2373 m a.s.l. for IZO) to the top of the atmosphere. For all the target gases considered we use a nearly identical retrieval strategy which is summarized in Table 3. The target gas VMR profiles are retrieved using specific micro-windows, also taking into account the absorption signatures of those trace gases interfering with the target gas (see Table 3). For O<sub>3</sub> and N<sub>2</sub>O, the retrieval has also been refined by including a simultaneous temperature fit, which significantly reduces the error (Schneider et al., 2008; García et al., 2014). For this purpose, we add additional micro-windows containing well-isolated CO<sub>2</sub> lines.

In order to reduce the interference error due to water vapour (the main interfering gas), we firstly perform a pre-fit of H<sub>2</sub>O and in a second step simultaneously perform the target gas profile retrieval and a scale retrieval of all the interfering species considered. For all the target gases, the VMR profiles are retrieved on a logarithmic scale using an ad-hoc Tikhonov-Phillips slope constraint (TP1 constraint), with the exception of CO<sub>2</sub> which is scaled to the a priori profile on a linear scale. The a priori profiles are taken from WACCM (Whole Atmosphere Community Climate Model-version 6, <http://waccm.acd.ucar.edu>) provided by NCAR (National Center for Atmospheric Research, J. Hannigan, personal communication, 2014), averaged between 2008–2014 (period of IASI data). As a priori temperature we use the NCEP (National Centers for Environmental Prediction) 12:00 UTC daily temperature profiles. Note that only the a priori temperature profiles are updated daily. For all the target gases the a priori information is always kept constant, i.e., it does not vary on a daily or seasonal basis. Therefore, similarly to IASI, all the observed variability directly comes from the measured FTS spectra. Regarding spectroscopy, the spectroscopic line parameters are taken from HITRAN 2008 database (Rothman et al., 2009) including 2009 and 2012 updates ([www.cfa.harvard.edu/hitran](http://www.cfa.harvard.edu/hitran)) for all the gases except for CH<sub>4</sub>. For CH<sub>4</sub> we use a preliminary line list provided by D. Dubravica and F. Hase, obtained within a current project of the Deutsche Forschungsgemeinschaft, IUP-Bremen, DLR-Oberpfaffenhofen, and KIT,

which has demonstrated lower spectroscopic residuals than the HITRAN 2008 linelist (Dubravica et al., 2013).

It is important to remark that the FTS products used here contain further refinements over the standard NDACC approaches (NDACC/Infrared Working Group, IRWG, [www.acom.ucar.edu/irwg/](http://www.acom.ucar.edu/irwg/)) and, thus, they do not correspond to the FTS products publicly available at the NDACC archive. Refer to the references given in Table 3 for further details about the specific retrieval strategies used in this work.

Theoretically, the error of the different FTS products can be estimated by following the formalism detailed by Rodgers (2000) where three types of error can be distinguished: the smoothing error associated with the limited vertical sensitivity of the FTS instruments, the errors due to uncertainties in the input/model parameters (instrumental characteristics, spectroscopy data, . . . ) and the measurement noise. Using the error estimation as provided by PROFFIT and assuming the error sources and values listed in Appendix A, where the theoretical error estimation of the FTS products is detailed, the total statistical errors for the FTS TC products range from 0.2 to 0.6 %, while the systematic error is between 2–4 % (Table 3).

At IZO, other different high-quality measurement techniques for monitoring atmospheric trace gases are available (Cuevas et al., 2015). By using those data, a continuous empirical documentation of the quality and long-term consistency of our FTS products has been carried out since the FTS instrument was installed at IZO in 1999. The FTS precision obtained from these experimental studies for the trace gases considered here is also listed in Table 3, showing a rather good agreement between theoretical and experimental errors.

#### 4 Comparison strategy

The consistency and quality assessment of IASI-A and IASI-B products is addressed at different time scales: single measurements, daily, annual, and long-term trends. This temporal decomposition provides an added value for validating trace gases with a

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rather small variability, such as N<sub>2</sub>O or CO<sub>2</sub>. For such gases the uncertainty is often larger than the day-to-day concentration variations and thus a validation at longer temporal scales is more meaningful than a validation limited to a comparison of individual measurements. Moreover, this analysis allows us to quickly detect instrumental issues or inconsistencies. For this purpose, we follow the procedure proposed by Sepúlveda et al. (2014) (and references therein). Firstly, we work with the total columns of all the trace gases on a logarithmic scale, thereby the variations on this scale can be interpreted as variations relative to the climatological reference values ( $\Delta \ln[\text{TC}]_{\text{gas}} \approx \Delta[\text{TC}]_{\text{gas}}/[\text{TC}]_{\text{gas}}$ ). Secondly, the corresponding measured time series on a logarithmic scale is fitted to a Fourier time series model accounting for the trend and the intra-annual variations. Then, the seasonal variations are obtained by subtracting the fitted trends from the measured time series and the averaged annual cycle is computed by averaging these de-trended time series on a monthly basis. The remaining variability, corresponding to the variations among individual observations, is obtained by subtracting the multi-annual averaged annual cycle from the de-trended time series, resulting in the de-trended and de-seasonalised time series. The latter time series represents the very short-term variations relative to the climatological reference values.

IASI and ground-based FTS instruments are sensing areas of different size and the acquisition times are generally not exactly simultaneous. In addition, both instruments have different vertical sensitivities. All these features have to be taken into account in the definition of the comparison strategy that, on the one hand ensures the representativeness of the reference FTS data and, on the other hand accounts for the spatial and temporal variability of the trace gases considered.

### 4.1 Collocation criteria

The collocation criteria selected are the result of a compromise between the spatial and temporal variability of each trace gas and the uncertainties and spatial range covered by the FTS observations and therefore can vary from gas to gas. Appendix B describes in detail the methodology followed to define the optimal coincidence criteria adopted



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project MUSICA (Schneider et al., 2013). Note that the rows of **A** describe the altitude regions that mainly contribute to the retrieved VMR profile and therefore these kernels can be used to identify the independent layers without significant overlap with other layers. Indeed, the trace of **A** (so-called the degrees of freedom for signal, DOFS) is a

5 measure of the number of independent layers retrieved from the remote sensing measurements. Also, Fig. 2 includes the vertical profiles of the cumulative DOFS, calculated from the top of the atmosphere to surface for IASI and inversely for FTS as well as the a priori VMR used for the FTS retrievals in order to compare with the vertical sensitivity of the two remote sensing instruments.

10 Figure 2 illustrates two relevant facts that have to be taken into account when comparing IASI and FTS observations. First, IASI has a lower number of DOFS than FTS and the maximum sensitivity within these detected layers is located at different altitudes. Thereby, the total column amounts observed by both instruments could differently reflect the atmospheric composition variability. For example, when retriev-

15 ing CH<sub>4</sub>, IASI only detects one CH<sub>4</sub> layer (DOFS ~ 1), located in the upper troposphere/tropopause region (~ 12–14 km), while the FTS system detects two independent CH<sub>4</sub> partial columns (DOFS ~ 2.5), corresponding to the troposphere and the stratosphere. Similar conclusions might be derived for N<sub>2</sub>O and CO (see, for example, Fig. 2 of Kerzenmacher et al., 2012). For O<sub>3</sub>, the difference between the vertical

20 sensitivities is not so significant and IASI is expected to be sensitive to the maximum O<sub>3</sub> concentrations in the Chapman layer and to the tropopause/upper troposphere regions (DOFS ~ 2.5). The expected IASI DOFS and the obtained FTS DOFS for all the trace gases are also listed in Table 4. The second important fact is that IASI has a weak sensitivity in the lower troposphere for the trace gases considered in this study,

25 leading to the variability of the partial columns missed by FTS below 2373 m a.s.l. (IZO altitude) not being crucial for the IASI-FTS comparison.

## 5 Consistency between IASI-A and IASI-B Observations

In order to probe the continuity provided by IASI-B as well as the consistency of each individual IASI sensor, it is indispensable to first analyse the temporal stability of their observations. For this purpose, we examine possible drifts and discontinuities in the times series of the differences between the de-seasonalised variability from IASI-A and IASI-B averaged on a weekly basis. The drift is defined as the linear trend in the differences, while the change-points (changes in the weekly median of the difference time series) are analysed by using a robust rank order change-point test (Lanzante, 1996; García et al., 2014). By using these tools, we observe that the time series of the differences between the observations from the morning and evening overpasses for each IASI sensor as well as between the observations from the two sensors for each overpass are homogenous for all the trace gases considered (i.e., no change points were detected at 95% confidence level). Moreover, all the difference time series reveal no significant drifts at 95% confidence level. Figure 3 shows, for O<sub>3</sub> for example, these analysed time series as well as the TC time series as observed by IASI-A and IASI-B.

This temporal stability study is complemented by analysing whether the distributions of the IASI-A and IASI-B observations could be statistically considered equivalent. To do so, we have used the Friedman non-parametric test, which detects differences in the distributions of related variables by checking the null hypothesis that multiple dependent samples come from the same statistical population (Sawilowsky and Fahoome, 2005). By applying this test on the observed short-term variability time series from the two IASI sensors for each overpass, which can be considered as four related samples for each trace gas, we only observe significant differences for the O<sub>3</sub> distributions (at 95% confidence level). Nonetheless, these discrepancies disappear when comparing the observations for the same overpass, thereby the IASI sensors distinguish the O<sub>3</sub> intra-day concentration variations. Indeed, for this trace gas the agreement between both sensors for the same overpass is significantly better than between the two overpasses for each sensor, as observed in Fig. 4, which displays the scatter plots between

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the O<sub>3</sub> de-trended and de-seasonalised variability as observed by the two IASI sensors for each overpass.

All of these findings suggest that, on the one hand, the observations from each sensor are consistent with themselves and, on the other hand, both sensors similarly reproduce the atmospheric composition variations. The statistics for the IASI-A and IASI-B inter-comparison are summarized in Fig. 5 (Pearson correlation coefficient and standard deviation of the de-trended and de-seasonalised differences, and median bias). In summary, we observe that both IASI sensors similarly reproduce the annual cycle of all the trace gases considered ( $R > 0.95$  except for CO<sub>2</sub>, for which we observe a poorer agreement,  $R \sim 0.70$ – $0.85$ ), while for the very short-term concentration variations we find a large correlation for O<sub>3</sub> ( $\sim 0.80$ ) and moderate for the rest of trace gases ( $R \sim 0.30$ – $0.60$ ). The scatter ( $1\sigma$ ) of the differences among sensors and overpasses, on a measurement-to-measurement basis, is less than 10 % for CO,  $\sim 2\%$  for O<sub>3</sub> and between 1–2 % for the rest of the trace gases, while it decreases when comparing annual cycles: 2 % for CO, less than 0.5 % for the rest of trace gases. Regarding the biases between the IASI sensors (IASI-A - IASI-B), the values of  $\sim -3\%$  for CO and between 0.4–0.6 % for N<sub>2</sub>O and CH<sub>4</sub> are remarkable (bottom panel in Fig. 5). For O<sub>3</sub> and CO<sub>2</sub> the bias is lower than 0.2 % in absolute value.

## 6 Comparison between IASI and ground-based FTS Observations

This section presents the IASI-FTS comparison at different time scales: single measurements, daily, annual, and long-term trends. An example of this strategy is displayed in Fig. 6 for O<sub>3</sub>, while the summary of the inter-comparison results for all the trace gases is shown in Fig. 7 (Pearson correlation coefficient and standard deviation of the differences between IASI and FTS products). The consistency between both IASI sensors has been documented in the previous section. Thereby, here we only focus on IASI-A since it has the longest time series of measurements (September 2010–September 2014 for V5).

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As observed in Fig. 7, IASI reproduces the ground-based FTS observations well at the longest temporal scales, i.e., annual cycles and long-term trends. For the latter the correlation is larger than 0.95 for all the trace gases with the exception of CO<sub>2</sub> ( $R \sim 0.70$ ), while on an annual basis, the correlation is larger than 0.95 for O<sub>3</sub> and CO, and between 0.75–0.85 for CH<sub>4</sub> and N<sub>2</sub>O. The discrepancies found for the annual cycles (amplitude and phase) can be explained by the different sensitivity of IASI and ground-based FTS instruments. As observed in Figs. 6c and 8, for O<sub>3</sub> and CO the IASI and FTS annual cycles are completely in phase, but the peak-to-peak amplitude is slightly different. For O<sub>3</sub> the largest differences are observed during spring-early summer, due to the missing tropospheric sensitivity of IASI (recall Fig. 2), while for CO we observe that IASI tends to overestimate the variability observed by the FTS. For CH<sub>4</sub> and N<sub>2</sub>O the results are very similar: we observe that IASI products follow the annual shift of the tropopause altitude, where the maximum IASI sensitivity is located, and while FTS also reflects that annual shift, it is also sensitive to the tropospheric and stratospheric CH<sub>4</sub> and N<sub>2</sub>O variations. As a consequence, the annual cycles observed by both remote sensors are slightly out of phase. For CO<sub>2</sub> the annual cycles are not correlated, neither in phase nor in amplitude. This is likely due to the algorithm used in the IASI L2 processor which has not been specifically optimised for CO<sub>2</sub>, since the middle infrared FTS CO<sub>2</sub> products have successfully proven their reliability to monitor the CO<sub>2</sub> concentrations (Barthlott et al., 2015). In particular, the IASI CO<sub>2</sub> retrieval is solely based on the IASI measurements unlike in Crevoisier et al. (2009a), where collocated microwave measurements are exploited together with IASI data to disentangle the temperature and the CO<sub>2</sub> signals in the thermal infrared spectra.

For the shortest-term variations we find poorer agreements, although the correlation is significantly larger for O<sub>3</sub> ( $\sim 0.80$ ), but not for the rest of trace gases ( $R \sim 0.10$ – $0.30$ ). When comparing daily values for CO the agreement improves ( $R \sim 0.50$ ), suggesting that the IASI sensor could moderately capture the day-to-day concentration variations, but not the intra-day variability. The scatter ( $1\sigma$ ) of the differences between IASI and FTS observations, which can be used as a conservative estimate of the IASI uncer-

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5 tainties, is less than  $\sim 10\%$  for CO,  $\sim 3\%$  for O<sub>3</sub> and between 1–2% for the rest of the trace gases. All of them are within the target uncertainties of the IASI mission (recall Table 2) and seem to be good enough to capture the day-to-day variations for O<sub>3</sub> and CO when comparing to those observed by FTS records at IZO (values also included in Fig. 7 as a reference). The uncertainties reported here agree well with previous validation studies of IASI L2 V5 operational products using different measurement platforms. For example, errors below 5% have been reported for O<sub>3</sub> (e.g., Viatte et al., 2011; August et al., 2012), between 10–15% for CO (e.g., August et al., 2012) and  $\sim 2\%$  for N<sub>2</sub>O and CH<sub>4</sub> (García et al., 2013). Note that IASI-FTS comparison also confirms the results observed for the consistency study of IASI-A and IASI-B sensors. Thereby, the continuous inter-comparison of both IASI sensors could successfully be used as a quality control for identifying inconsistencies or instrumental issues in lack of reference ground-based observations.

15 The differences between the IASI and FTS short-term variability have been analysed as a function of the different parameters, such as the relative horizontal distance between IASI footprints and FTS location, or of the different viewing geometry of the two remote sensing instruments, without identifying significant patterns. As example, the study of viewing geometry is displayed in Fig. 9, where we observe that the differences are uncorrelated to the viewing geometry (IASI-A air mass, AM (Liou, 1980), and difference between IASI-A and FTS AMs). Only the differences for O<sub>3</sub> are displayed as example. However, the same behaviour has been observed for all the trace gases considered.

25 In addition, the strategic location of IZO allows us to address the IASI-FTS comparison for different atmospheric conditions. Figure 9 also shows the IASI-FTS differences as a function of the aerosol optical depth records at IZO from AERONET network (Holben et al., 1998). This aerosol parameter characterises the total extinction in the line of sight due to atmospheric aerosols and, thus, it can be used as a tracer of Saharan desert air masses in the subtropical North Atlantic region (e.g., García et al., 2012a). The differences between IASI and FTS short-term variability seem to be affected by

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the range of the aerosol load, increasing (absolute values) as the corresponding AOD values increase. This pattern is likely due to the different type of observations: while the FTS measurements are performed from the ground in the direct solar path, the IASI sensors record thermal emission of the Earth-atmosphere from the space and could be more affected by aerosol signatures (thermal emission and scattering processes). Indeed, when comparing the observations from the two IASI sensors (also displayed in Fig. 9) this difference disappears, which is expected given the very good spectral and radiometric consistency of the two instruments. In addition to the Saharan conditions, we have also analysed the IASI-FTS comparison under polluted air masses likely coming from North America or Europe (Cuevas et al., 2013, and references therein) by using the intra-day CO concentration variations from the GAW in-situ observations recorded at IZO as a tracer (see Appendix B for details about GAW programme at IZO). But no significant patterns were observed (data not shown). However, further analysis and longer time series are needed to extract more robust conclusions.

Until now, the IASI-FTS comparison has been addressed in terms of relative variability, but a comparison of absolute TC amounts also provides us useful information. Therefore, to roughly estimate possible biases between IASI-A and FTS observations, the partial column amounts below IZO altitude, computed from the WACCM climatological data, have been added to the FTS observations. By using those data, we find that the IASI observations are consistently lower than FTS observations for all the trace gases, with a median bias (IASI-FTS) of  $\sim -6\%$  for  $\text{O}_3$  and  $\text{CH}_4$  ( $-6.4$  and  $-5.9\%$ , respectively) and  $\sim -12\%$  for  $\text{N}_2\text{O}$  and  $\text{CO}_2$  ( $-12.4$  and  $-12.1\%$ , respectively), except for CO. For CO we observe the contrary behaviour, i.e., the IASI sensor overestimates the FTS observations by  $\sim 15\%$  ( $15.2\%$ ). These discrepancies could be partly attributed to systematic IASI and FTS error caused, for example, by the lower IASI sensitivity to the lower troposphere, uncertainties in the IASI ANNs training procedure or in the spectroscopic line parameters. As previously mentioned in Sect. 3, the errors in the spectroscopic line parameters could explain between 2–4 % of the FTS bias, according to our error estimation (see Appendix B). Indeed, experimental inter-comparisons be-

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the wider scientific community. FORLI-CO and FORLI-O<sub>3</sub> are also being included in the operational IASI L2 suite (Clerbaux et al., 2009). The same methodology can be applied to the upgraded products to support their monitoring in the long term and in view of the expectation of a continued data record from IASI on Metop-C.

This consistency and quality assessment has been carried out using the ground-based FTS located at the Izaña Atmospheric Observatory and, thus, it is valid for the subtropical North Atlantic region under free troposphere conditions. Although this quality documentation can be used as a benchmark for studies that apply EUMETSAT/IASI trace gas products in climate research, further comparison studies covering other regions might be desirable in order to analyse the possible impact of latitude or other environments, such as urban-industrial or biomass burning areas, on the IASI products accuracy.

Finally, this paper highlights the potential of ground-based FTS experiments once again as an indispensable reference for validating the current space-based observations as well as those anticipated from the next generation of satellite sensors.

### Appendix A: Theoretical Error Estimation of the FTS products

Theoretically, the error of the different FTS products can be estimated by following the formalism detailed by Rodgers (2000), where the difference between the retrieved state,  $\hat{x}$ , and the real state,  $x$ , can be written as a linear combination of the a priori state,  $x_a$ , the real and estimated model parameters,  $b$  and  $\hat{b}$ , respectively, and the measurement noise  $\epsilon$ :

$$(\hat{x} - x) = (\mathbf{A} - \mathbf{I})(x - x_a) + \mathbf{G}\mathbf{K}_b(b - \hat{b}) + \mathbf{G}\epsilon \quad (\text{A1})$$

where  $\mathbf{G}$  represents the gain matrix,  $\mathbf{K}_b$  a sensitivity matrix to model parameters, and  $\mathbf{A}$  the averaging kernel matrix.  $\mathbf{A}$  relates the real variability to the measured variability of the considered atmospheric state and, thus, represents the way in which the remote sensing system smoothes the real vertical profiles (Rodgers, 2000). Thereby, Eq. (A1)

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defines three types of error: the first term is the smoothing error associated with the limited vertical sensitivity of the FTS instruments, the second one represents the errors due to uncertainties in the input/model parameters (instrumental characteristics, spectroscopy data, . . .) and the third one corresponds to the measurement noise.

The theoretical error estimation strongly depends on the assumed uncertainties. In our case, we consider the error sources and values listed in Table A1 for the input parameters, which are very realistic estimations coming from our experience and the literature (Schneider and Hase, 2008; Sepúlveda et al., 2014, and references therein), while the smoothing error is calculated as  $(\mathbf{A} - \mathbf{I})\mathbf{S}_a(\mathbf{A} - \mathbf{I})^T$ , where  $\mathbf{I}$  is the Identity matrix,  $\mathbf{A}$  and  $\mathbf{S}_a$  matrices are the averaging kernel and the assumed a priori covariance of the target gas profile, respectively. Here, the  $\mathbf{S}_a$  for each target gas is calculated considering the variance of the corresponding gas concentrations at each altitude from the WACCM-V6 climatological data and a Gaussian distribution of strength 5 km for the inter-layer correlation. Note that the total error values are calculated as the root-sum-squares of all the error sources considered, where the contribution of each error source has been split into statistical and systematic contributions. The exceptions are the spectroscopic parameters, which are considered as purely systematic, and the measurement noise and the smoothing error, which have only statistical contributions. This error estimation has been applied to the IZO FTS observations between 2010–2014 (period studied in the current work).

The FTS total errors (statistical and systematic) depend on the observing geometry at which FTS observations are carried out. As illustrated in Fig. A1, the larger theoretical errors are found at high solar zenith angles (SZA), mainly due to the fact that the FTS observations are more sensitive to possible misalignments of the solar tracker at these SZAs. Therefore, these data ( $\text{SZA} > 75^\circ$ ) are excluded from the study to avoid unrealistic FTS retrievals in the FTS-IASI inter-comparison, which represent between 1% for CO and 8% for  $\text{N}_2\text{O}$ ,  $\text{CH}_4$  and  $\text{CO}_2$ . Considering the filtered FTS observations, the total statistical errors (medians and  $\pm 1\sigma$ ) are:  $0.40 \pm 0.03\%$  for  $\text{O}_3$ ,  $0.50 \pm 0.01\%$  for CO,  $0.20 \pm 0.03\%$  for  $\text{N}_2\text{O}$ ,  $0.30 \pm 0.03\%$  for  $\text{CH}_4$  and  $0.60 \pm 0.14\%$

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NOAA/ESRL/GMD CCGG cooperative air sampling network ([www.esrl.noaa.gov/gmd/ccgg/flask.php](http://www.esrl.noaa.gov/gmd/ccgg/flask.php)). The expected uncertainties in these IZO continuous atmospheric measurements are  $\pm 0.1$  ppm for  $\text{CO}_2$ ,  $\pm 2$  ppb for  $\text{CH}_4$ ,  $\pm 0.2$  ppb for  $\text{N}_2\text{O}$  and  $\pm 2$  ppb for  $\text{CO}$ . Refer to Gómez-Peláez and Ramos (2011), Gómez-Peláez et al. (2012) and Gómez-Peláez et al. (2013) for more details about the measurements and the techniques used. Regarding  $\text{O}_3$ , we use the day-time  $\text{O}_3$  TC observations performed by Brewer spectrometers at IZO since 1991. As the FTS measurements, the Brewer  $\text{O}_3$  data are part of NDACC since 2001. Furthermore, since 2003 they are the Regional Brewer Calibration Center for Europe ([www.rbcc-e.org](http://www.rbcc-e.org)) of the WMO GAW. This guarantees the high quality of their measurements (better than 1 %, Redondas et al., 2014, and references therein).

The intra-day concentration variations have been estimated through the intra-day variation coefficient (VC), calculated as the daily standard deviation divided by the daily mean of the corresponding observations, considering day-time Brewer measurements for  $\text{O}_3$  and night-time GAW hourly mole fractions means for the rest of the gases (20:00–08:00 UTC). Note that the IZO in situ night-time data represent the background regional signal of the free troposphere well, while during day-time thermally driven up-slope flow from Maritime Boundary Layer can reach the station and, thus, the in situ data are not well suited for comparing to remote sensing observations. By considering the available time series of these observations since the IASI data are operationally disseminated, i.e., 2008–2013 (see Fig. B1 for  $\text{CH}_4$  and  $\text{O}_3$ ), we have estimated the following typical intra-day VC (medians and  $\pm 1\sigma$ ):  $0.63 \pm 0.52$  % for  $\text{O}_3$ ,  $2.90 \pm 2.65$  % for  $\text{CO}$ ,  $0.08 \pm 0.02$  % for  $\text{N}_2\text{O}$ ,  $0.29 \pm 0.17$  % for  $\text{CH}_4$ , and  $0.05 \pm 0.07$  % for  $\text{CO}_2$ . When comparing experimental and theoretical FTS uncertainties (recall Table 3) to these values, we observe that for  $\text{CO}$  and  $\text{O}_3$  the intra-day VC is larger or much larger than the FTS uncertainty and, therefore, the individual FTS measurements (or hourly medians) should be considered to ensure optimal temporal collocation. Likewise, for  $\text{CH}_4$ ,  $\text{N}_2\text{O}$  and  $\text{CO}_2$ , daily medians of the FTS observations can be taken without losing information or affecting the validation results (FTS uncertainty is larger than or comparable

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to the typical intra-day VC). Note that the remaining concentration variations within the defined temporal window have to be considered when performing the IASI-FTS inter-comparison. For CO the hourly VC, considering only night-time observations, is estimated to be  $0.98 \pm 1.43\%$ , while for O<sub>3</sub> it is  $0.31 \pm 0.37\%$ .

As for the temporal collocation, the spatial coincidence criteria have to take into account the spatial concentration variations of each trace gas and the maximal horizontal distance covered by the FTS observations. Since the FTS measurements are performed in the direct solar path, the horizontal projection of the air masses probed by the FTS can be easily calculated from the actual solar observing geometry and the effective altitude of the vertical column observed by the FTS. The latter has been defined as the altitude at which 95 % of the corresponding total column amount is observed and, thus, varies from gas to gas. These effective altitudes have been determined by using the WACCM-V6 climatological data and are  $\sim 40$  km for O<sub>3</sub> and  $\sim 20$  km for the rest of gases, resulting in a maximal horizontal distance of  $\sim 150$  km for O<sub>3</sub> and  $\sim 80$  km for the rest of gases (see Fig. B2).

Regarding the spatial concentration variations of each trace gas, we should consider that IZO is far away from the target gas sources/sinks and embedded in the free troposphere, thereby it is usually affected by long-range transports of aged and well-mixed air masses (e.g., Cuevas et al., 2013, and references therein). In addition, the latitudinal/longitudinal gradients of the trace gases considered here are rather smooth at oceanic subtropical latitudes. Indeed, the latitudinal relative difference of CO<sub>2</sub> between the Equator and 60° N in 2012 was smaller than 2.5 % (WDCGG, 2014), leading to a mean CO<sub>2</sub> gradient smaller than 0.04 % per degree of latitude. For CH<sub>4</sub> and CO, the latitudinal relative difference between the means of the latitudinal bands 0–30 and 30–60° N in 2012 was smaller than 3.8 and 40 %, respectively (WDCGG, 2014). This implies mean CH<sub>4</sub> and CO gradients smaller than 0.13 and 1.3 % per degree of latitude, respectively. In the previous three gradient estimations, we have also taken into account the seasonal cycles, which depend on latitude (i.e., we are not simply considering the annual mean latitudinal gradients). For N<sub>2</sub>O, the latitudinal relative difference

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between 20 and 40° N is smaller than 0.32 % (Huang et al., 2008; Kort et al., 2011) and the seasonal cycle is insignificant as can be seen in WDCGG (2014). This implies a mean N<sub>2</sub>O gradient smaller than 0.016 % per degree of latitude. For O<sub>3</sub> a gradient of 0.92 % per degree of latitude could be expected at the IZO latitude (value obtained from the ozone observations in 2012 of the space-based Ozone Monitoring Instrument, OMI, Veefkind et al., 2006). Therefore, assuming constant latitudinal gradients within the box ±1° latitude/longitude centred at IZO, the spatial concentration variations inside the box (defined in an equivalent way as the temporal intra-day VC) are expected to be: 0.53 % for O<sub>3</sub>, 0.75 % for CO, 0.01 % for N<sub>2</sub>O, 0.08 % for CH<sub>4</sub> and 0.023 % for CO<sub>2</sub> (where we have taken into account that the standard deviation, in a segment of length 2°, of a linear function with slope Gr per degree, is equal to Gr/sqrt(3)). These spatial VC are similar (for O<sub>3</sub> and CO) or much smaller (for the rest of trace gases) than the statistical uncertainties of the FTS (recall Table 3). Therefore, no significant concentration variations might be expected within the actual area probed by the FTS observations and, indeed, a slightly wider range than this can be applied for collocating IASI measurements without affecting the validation results. Thus, we define a validation box of ±1° centred at IZO location (i.e., ± ~ 110 km at IZO latitude) for all the trace gases. Previous studies at IZO latitudes found no significant impact of the spatial collocation criteria (50 to 100 km) on the differences between IASI and FTS total columns for N<sub>2</sub>O, CH<sub>4</sub> or CO (Kerzenmacher et al., 2012; García et al., 2013).

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**Table 2.** Description of the IASI L2 V5 trace gas products: spectral regions used for the retrievals, type of inversion algorithm (ANNs: Artificial Neural Networks, and OEM: Optimal Estimation Method), status of the different products (Pre-Op: Pre-Operational, and Aspi: Aspirational) and target uncertainty within the IASI mission.

	Spectral Region [cm <sup>-1</sup> ]	Inversion Method	Status	Target Uncertainty [%]
O <sub>3</sub>	1001–1065	OEM	Pre-Op	5 %
CO	2111–2180	ANNs	Pre-Op	≤10 %
N <sub>2</sub> O	2200–2244	ANNs	Aspi	10–20 %
CH <sub>4</sub>	1230–1347	ANNs	Aspi	10–20 %
CO <sub>2</sub>	2050–2250	ANNs	Aspi	10–20 %

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**Table 4.** Summary of the temporal and spatial collocation criteria adopted for each trace gas. Also shown are the typical degree of freedom for signal (DOFS) for IASI and FTS products, and the number of coincident observations between IASI and FTS (N). For IASI, the expected DOFS for O<sub>3</sub> and CO are taken from Rep (ReportV6), while for CH<sub>4</sub>, N<sub>2</sub>O and CO<sub>2</sub> those are obtained from Turquety et al. (2004) and Clerbaux et al. (2009). The FTS DOFS are calculated from the corresponding retrievals between 2010 and 2014 (median±1σ).

	Temporal and Spatial Collocation	IASI DOFS	FTS DOFS	N
O <sub>3</sub>	±1 h, ±1°	3–4	4.3±0.1	2338
CO	±1 h, ±1°	1–2	3.1±0.1	2003
N <sub>2</sub> O	Daily, ±1°	< 1	2.6±0.1	425
CH <sub>4</sub>	Daily, ±1°	1	2.2±0.2	425
CO <sub>2</sub>	Daily, ±1°	< 1	1*	425

\* Scaled to the a priori VMR profile.

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**Table A1.** Error sources used for the theoretical error estimation for all the FTS products (Chann.: Channeling, Eff.: Efficiency, Err.: Error, Int.: Intensity,  $\nu$ -scale: spectral position,  $S$ : intensity, and  $\gamma$ : pressure broadening parameter). The second column gives the assumed error value and the third column the partitioning of this error between statistical (ST) and systematic (SY) contributions (Sepúlveda et al., 2014).

Error source	Error	ST/SY
Baseline (Chann. and Offset)	0.1 and 0.1 %	50/50
Modulation Eff. and Phase Err.	1 % and 0.1 rad	50/50
Temperature Profile	2–5 K	70/30
Line of Sight	0.1°	90/10
Solar Lines (Int. and $\nu$ -scale)	1 % and $10^{-6}$	80/20
Spectroscopy ( $S$ and $\gamma$ )	2 % and 5 %	0/100

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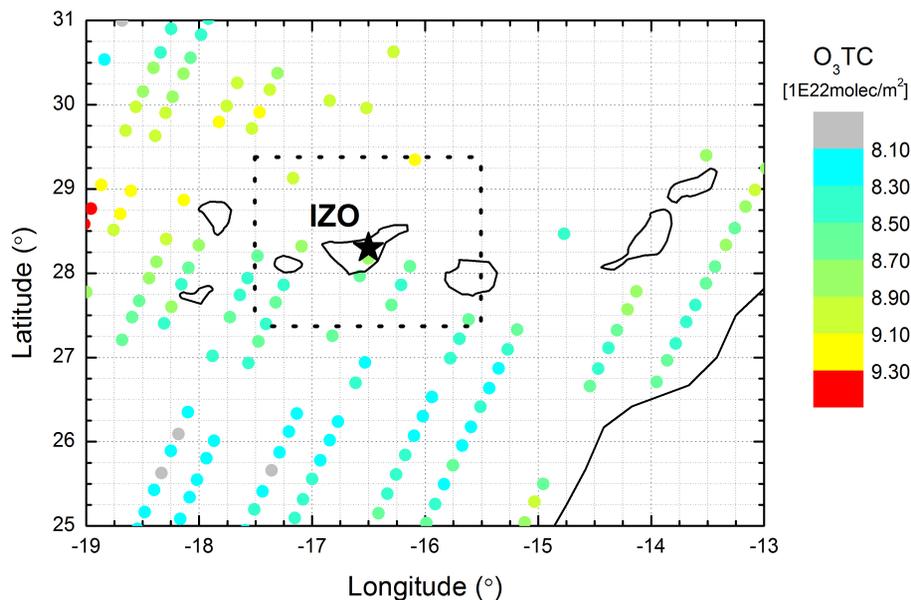
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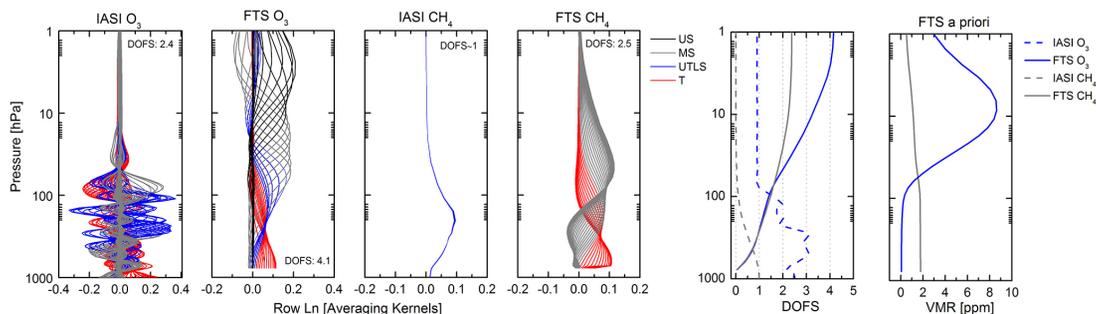


**Figure 1.** Site map indicating the location of the Izaña Atmospheric Observatory (IZO, marked by a black star) in the Canary Islands and the collocation box used for comparison (dashed lines), i.e.,  $\pm 1^\circ$  latitude/longitude centred at IZO location. The grid lines divide the area into boxes of  $0.25^\circ$ . Coloured filled circles correspond to IASI-A ozone total column observations on 31 January 2012.

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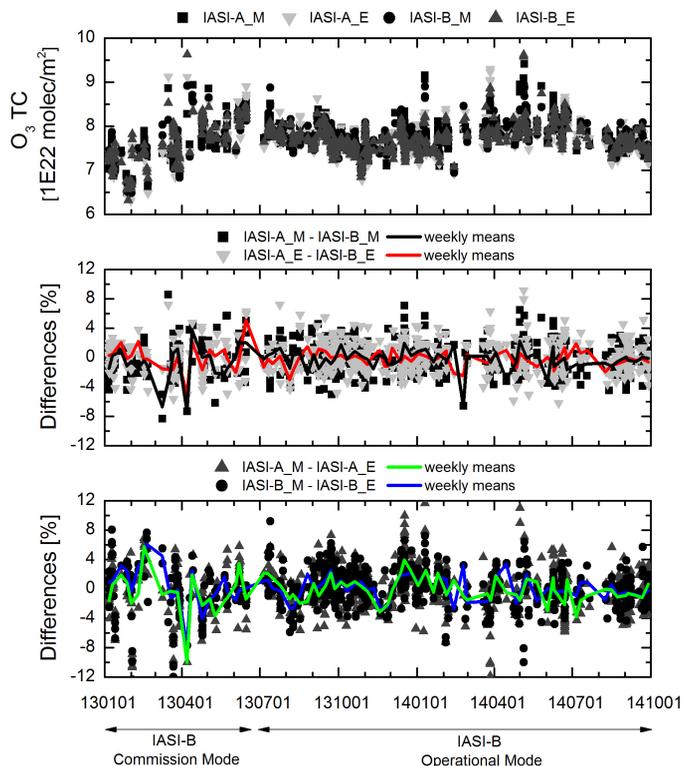
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**Figure 2.** Row averaging kernels for  $O_3$  and  $CH_4$  as observed by IASI-A and FTS instruments, expressed on logarithm scale, for typical measurement conditions at IZO. US: Upper Stratosphere, MS: Middle Stratosphere, UTLS: Upper Troposphere and Lower Stratosphere and T: Troposphere. Also shown are the total degree of freedom for signal (DOFS), the vertical profile of the cumulative DOFS, as well as the a priori VMR profiles used for the FTS retrievals.

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**Figure 3.** Time series of  $O_3$  TC amounts [in  $1E22 \text{ molec m}^{-2}$ ] from the IASI-A and IASI-B overpasses (morning, M, and evening, E) (upper panel) and of the differences between the corresponding de-seasonalised variability [in %] for the morning and evening overpasses (middle and bottom panels). The solid lines represent the difference time series averaged on a weekly basis. The arrows distinguish the IASI-B commission mode (from 19 December 2012 to 18 June 2013) and the IASI-B operational mode (from 19 June 2013 onwards).

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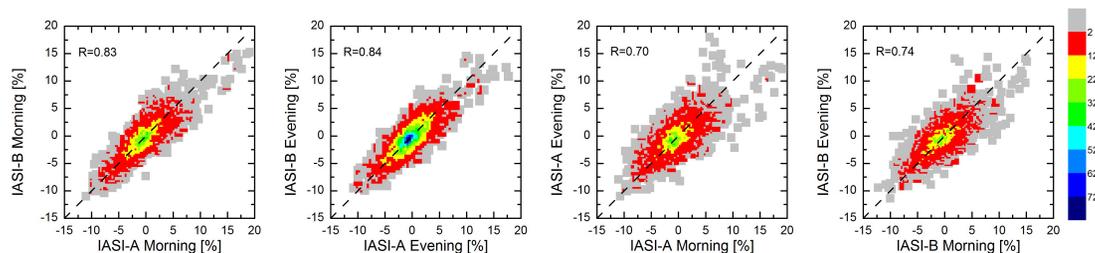
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**Figure 4.** Scatter plots of the de-trended and de-seasonalised variability [in %] from the IASI-A and IASI-B overpasses (morning and evening) for  $O_3$ . The legend shows the Pearson correlation coefficient,  $R$ , and the color bar indicates the number of coincident data per bin. The dashed lines represent the diagonals ( $x = y$ ).

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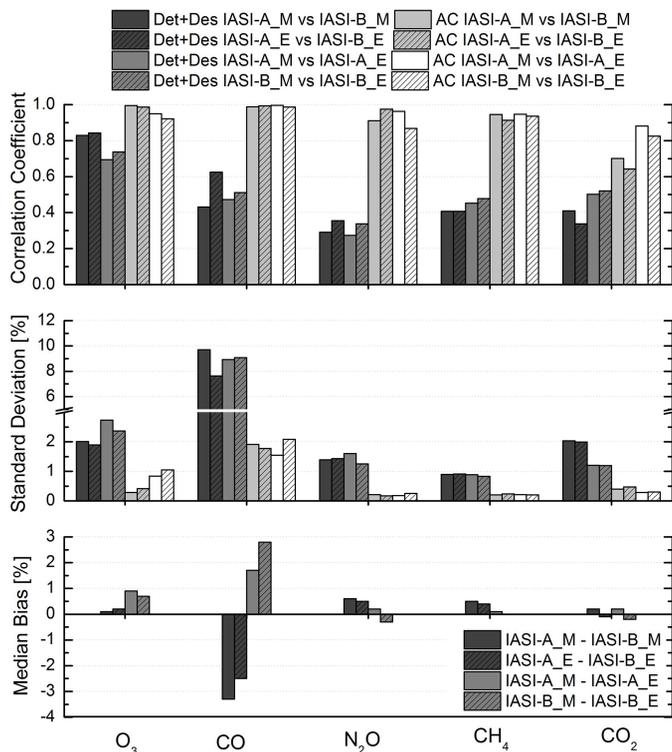
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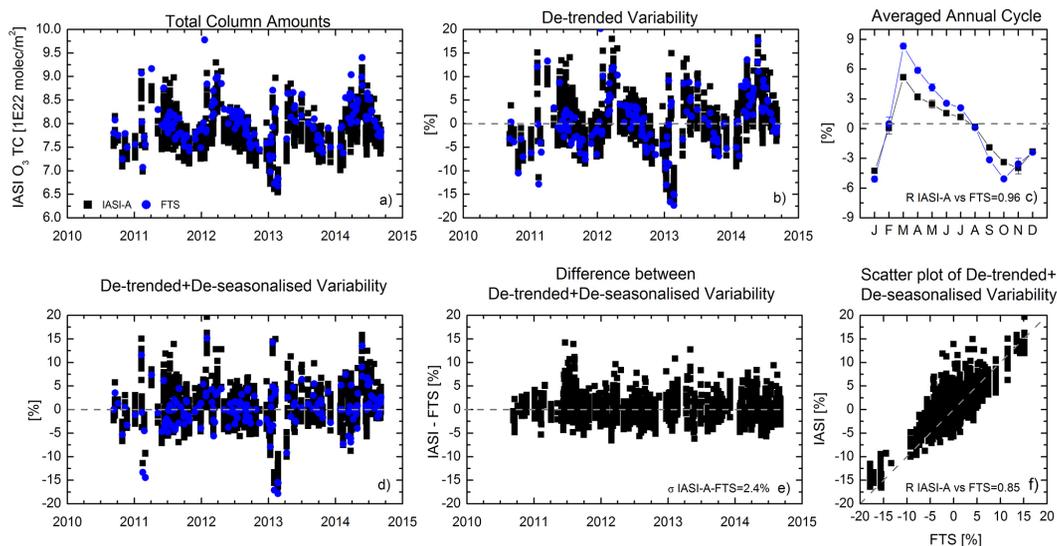
**Figure 5.** Pearson correlation coefficient (upper panel) between the observations from IASI-A and IASI-B overpasses (morning, M, and evening, E) for all the trace gases considered at different time scales: single measurements (de-trended and de-seasonalised variability, Det + Des) and annual cycle (AC). Middle panel shows the same, but for the standard deviation [in %] of the corresponding differences, while the bottom panel displays the median bias [in %]. The number of coincident observations is 675.

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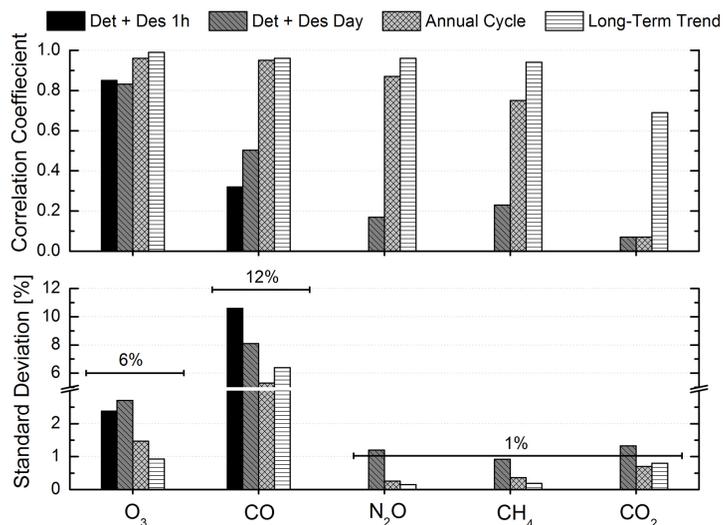
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**Figure 6.** Summary of the IASI-A and FTS comparison for  $O_3$ : **(a)** and **(b)** time series of the TC amounts [in  $1E22 \text{ molec}/m^2$ ], and the de-trended variability [in %], respectively; **(c)** averaged annual cycle; **(d)** and **(e)** time series of the de-trended+de-seasonalised variability [in %], and the difference between coincident de-trended+de-seasonalised variability from IASI-A and FTS [in %], respectively, and **(f)** scatter plot of the de-trended+de-seasonalised variability. For **(c)** and **(f)** the Pearson correlation coefficient is included in the legends, and for **(e)** the standard deviation of the differences. The number of coincident measurements is 2338.

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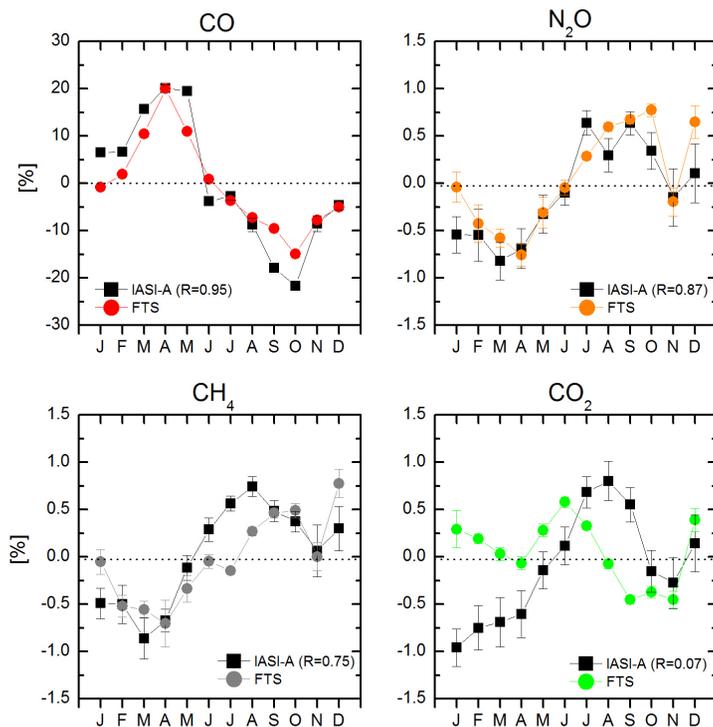
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**Figure 7.** Pearson correlation coefficient (upper panel), between IASI-A and FTS observations for all the trace gases considered at different time scales: single measurements (de-trended + de-seasonalised variability within  $\pm 1$  h, Det + Des 1 h), daily (de-trended + de-seasonalised variability within the same day, Det + Des Day), annual, and long-term trend. The number of coincident data is 2338 for O<sub>3</sub>, 2003 for CO, and 425 for N<sub>2</sub>O, CH<sub>4</sub> and CO<sub>2</sub>. Bottom panel shows the same, but for the standard deviation [in %] of the corresponding differences. The solid black lines represent the day-to-day variability calculated from the FTS observations at IZO between 2010 and 2014.

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**Figure 8.** Multi-annual averaged annual cycle for CO, N<sub>2</sub>O, CH<sub>4</sub> and CO<sub>2</sub> from IASI-A and FTS observations.

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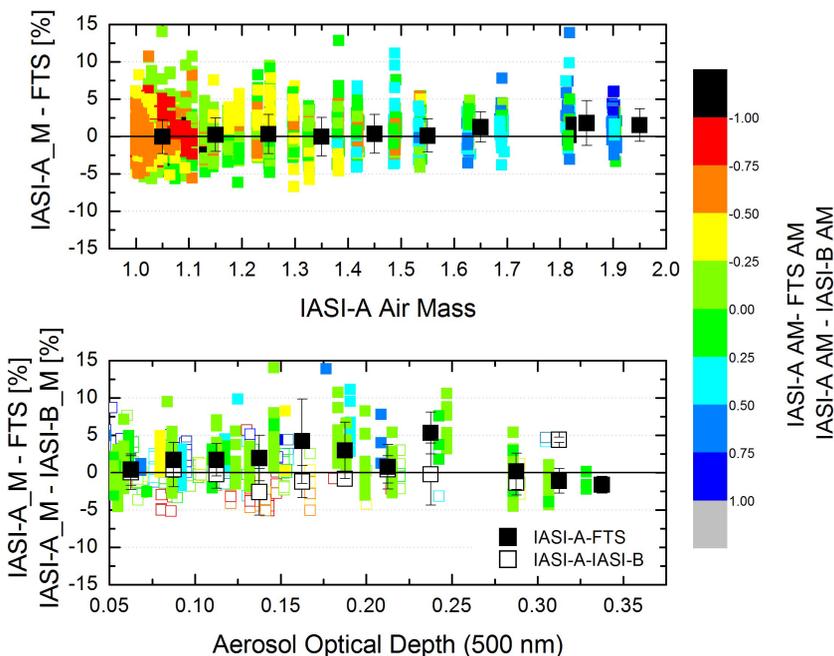
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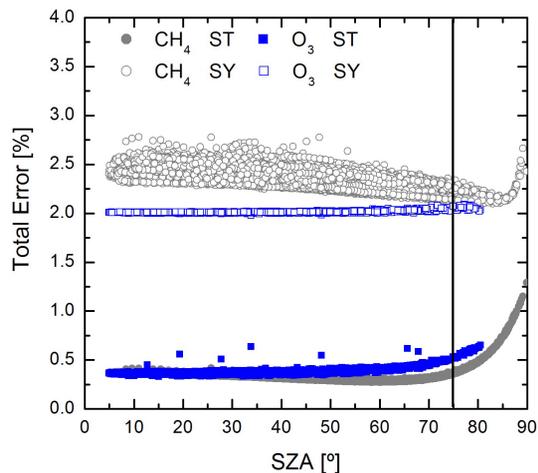
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**Figure 9.** Upper panel: differences between the O<sub>3</sub> de-trended and de-seasonalised variability time series from IASI-A and FTS [in %] as a function of the IASI-A air mass (AM) plotted as black squares with error bar (median and standard deviation per bins of 0.1 of AM), and simultaneous 2D plot showing the difference between the IASI-A and FTS AMs. Bottom panel displays the same, but vs. the aerosol optical depth, AOD, at 500 nm, from AERONET database. Also, the differences between IASI-A and IASI-B are included. The black and white squares represent the median and standard deviation per bins of 0.025 of AOD for the IASI-A - FTS and IASI-A - IASI-B differences, respectively.

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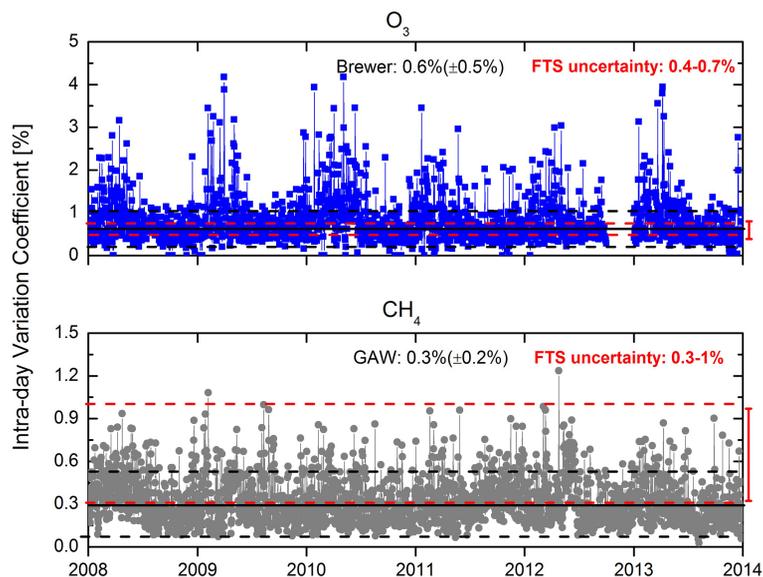


**Figure A1.** Total statistical (ST) and systematic (SY) errors [in %] as a function of the solar zenith angle (SZA, in °) for FTS O<sub>3</sub> and CH<sub>4</sub> measurements between 2010 and 2014. The black solid line represents the limit value of SZA= 75°. Beyond this value the FTS observations are discarded.

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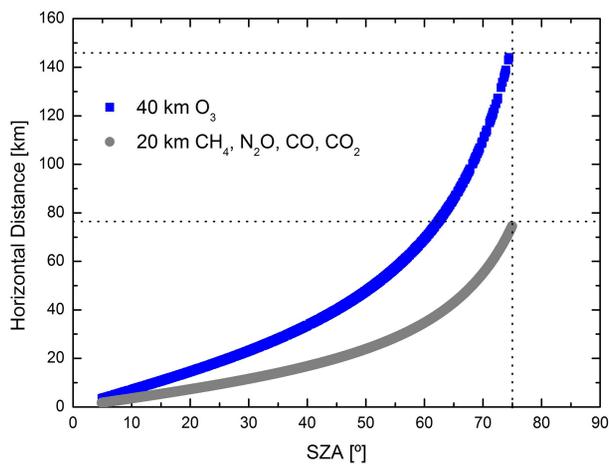
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**Figure B1.** Intra-day Variation Coefficient (VC) for O<sub>3</sub> total column and in situ CH<sub>4</sub> [in %] as observed by a Brewer spectrometer and a GAW in situ GC-FID analyzer, respectively, between 2008 and 2013. The solid and dashed black lines represent the median and  $\pm 1\sigma$  of the reference intra-day VC, respectively, and the dashed red lines represent the range of theoretical and experimental FTS errors.

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**Figure B2.** Horizontal distance covered by the FTS observations [in km] vs. the solar zenith angle, SZA [in °], at which they are taken for all the target gases. The dashed lines represent the maximal horizontal distances.

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