



On the comparisons of tropical RH in the lower and middle troposphere

P. Vergados et al.

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On the comparisons of tropical relative humidity in the lower and middle troposphere among COSMIC radio occultations, MERRA and ECMWF data sets

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Title Page	
Abstract	Introduction
Conclusions	References
Tables	Figures
◀	▶
◀	▶
Back	Close
Full Screen / Esc	
Printer-friendly Version	
Interactive Discussion	



Abstract

The spatial variability of the tropical tropospheric relative humidity (RH) throughout the vertical extent of the troposphere is examined using Global Positioning System Radio Occultation (GPSRO) observations from the Constellation Observing System for Meteorology, Ionosphere and Climate (COSMIC) mission. These high vertical resolution observations capture the detailed structure and moisture budget of the Hadley Cell circulation. We compare the COSMIC observations with the European Center for Medium-range Weather Forecast (ECMWF) Re-Analysis Interim (ERA-Interim) and the Modern-Era Retrospective analysis for Research and Applications (MERRA) climatologies. Qualitatively, the spatial pattern of RH in all data sets matches up remarkably well, capturing distinct features of the general circulation. However, RH discrepancies exist between ERA-Interim and COSMIC data sets, which are noticeable across the tropical boundary layer. Specifically, ERA-Interim shows a drier Inter Tropical Convergence Zone (ITCZ) by 15–20 % compared both to COSMIC and MERRA data sets, but this difference decreases with altitude. Unlike ECMWF, MERRA shows an excellent agreement with the COSMIC observations except above 400 hPa, where GPSRO observations capture drier air by 5–10 %. RH climatologies were also used to evaluate intraseasonal variability. The results indicate that the tropical middle troposphere at $\pm 5\text{--}25^\circ$ is most sensitive to seasonal variations. COSMIC and MERRA data sets capture the same magnitude of the seasonal variability, but ERA-Interim shows a weaker seasonal fluctuation up to 10 % in the middle troposphere inside the dry air subsidence regions of the Hadley Cell. Over the ITCZ, RH varies by maximum 9 % between winter and summer.

1 Introduction

Model simulations, reanalyses data sets, and satellite observations show large discrepancies of the global humidity climatology. Tian et al. (2013) showed that the tropical

AMTD

8, 517–540, 2015

On the comparisons of tropical RH in the lower and middle troposphere

P. Vergados et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



On the comparisons of tropical RH in the lower and middle troposphere

P. Vergados et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



platforms (e.g., AIRS, Fetzer et al., 2006), while modeling errors of the Earth's limb radiances can impact microwave (MW) sounder retrievals (e.g., MLS, Read et al., 2007), introducing biases in the derived humidity climatologies. Both IR and MW sounders have a coarse vertical resolution (e.g., 2–3 km) that is inadequate to resolve the detailed vertical structure of water vapor. Lin et al. (2012) and Boyle and Klein (2010) emphasized that having high spatial resolution atmospheric data, vertically resolved, makes model convection parameterization more responsive to environmental conditions, while Tompkins and Emanuel (2000) quantified the required vertical resolution to properly characterize the humidity climatology to be 25 hPa (or ~ 100 m). Ground-based in-situ measurements (e.g., radiosondes, lidars, and radars) are limited over land lacking information over oceanic regions, while different reanalyses exhibit considerable differences (even after the assimilation of satellite observations).

There is an increased need for an improved definition of the Earth's global humidity climatology, which could help discern current discrepancies in models, reanalyses, and observations. Carlowicz (1996) emphasized that better tools are needed to measure water vapor, suggesting the Global Positioning System Radio Occultation (GPSRO) technique as a strong candidate, due to its unique characteristics that are valuable to atmospheric monitoring: all-weather sensing, high vertical resolution (100–200 m; Kursinski et al., 2000; Schmidt et al., 2005), high specific humidity accuracy ($< 1.0 \text{ g Kg}^{-1}$), high temperature accuracy ($< 0.5 \text{ K}$), and sampling of the full diurnal cycle. On these reasons, we propose constraining past and present-day humidity climatologies by using GPSRO observations. Together with state-of-the-art reanalyses, GPSRO data sets have the potential to greatly improve the current global humidity climatology and its related feedbacks.

In 1995, the GPS/METEorology (GPS/MET) radio occultation (RO) experiment demonstrated how atmospheric refractivity, temperature, and water vapor profiles are

On the comparisons of tropical RH in the lower and middle troposphere

P. Vergados et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



obtained (Rocken et al., 1997). Since then, numerous RO missions¹ have flown, and currently fly, exploring the capabilities of the RO technique as a complementary data set to the existing data records. The National Research Council (NRC) Decadal Survey for Earth Science (NRC, 2007) identified radio occultations (ROs) as a critical measurement for weather and climate observations highlighting the fact that all of the appropriate Low Earth Orbit (LEO) missions should include a GPS receiver to augment operational measurements of temperature and water vapor. Kursinski et al. (1997), Rocken et al. (1997), Kursinski and Hajj (2001), and Colard and Healey (2003) described the retrieval process of humidity profiles from GPSRO observations. Steiner et al. (1999), Gorbunov and Kornblueh (2001), Divakarla et al. (2006), Ho et al. (2007), Chou et al. (2009), Ho et al. (2010), Sun et al. (2010), Gorbunov et al. (2011), Kishore et al. (2011), Wang et al. (2013), and Vergados et al. (2014) validated the GPSRO-based humidity retrievals against reanalyses, radiosondes, and satellite observations, while recently Kursinski and Gebhardt (2014) reported an innovative technique to further reduce and eliminate retrieval biases in the middle troposphere humidity products.

The overarching objective of this study is to use the GPSRO data sets to characterize the tropical humidity climatology. We will conduct our analysis over a seasonal time scale. This is because the spatial patterns and the seasonal cycle of RH are fundamental energy balance quantities and play a critical role to climate research. We will compare the GPSRO observations against ECMWF and MERRA data sets to observationally constrain the strength of seasonal variability in the reanalyses. Our effort on constraining humidity exemplifies an end-to-end application of evaluating and validating the complementarity of GPSRO observations, while gaining new insights about the representation of moist convection that is not properly captured by the reanalyses (e.g., Dai, 2006; Holloway and Neelin, 2009; Hannay et al., 2009; Frenkel et al., 2012), and help provide guidelines for future model improvements.

¹Challenging Mini-Satellite Payload (CHAMP); Constellation Observing System for Meteorology, Ionosphere and Climate (COSMIC); Meteorological Operational Polar Satellite-A (MetOP-A); Gravity Recovery and Climate Experiment (GRACE); TerraSAR-X

On the comparisons of tropical RH in the lower and middle troposphere

P. Vergados et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



vectors), the Doppler is used to estimate the bending of the GPS signals, from which the refractivity is extracted (Ho et al., 2009). The relative motion of the COSMIC and GPS satellite pair allows for the vertical scanning of the atmosphere, and the retrieval of vertical profiles of atmospheric refractivity, which in turn contains temperature and humidity information. The GPS L band frequencies have low sensitivity to clouds and precipitation making them especially useful over cloudy regions.

Here, we use the forward refractivity operator (e.g., Smith and Weintraub, 1953; Kursinski et al., 1997; Hajj et al., 2002; Heise et al., 2006) to compute the water vapor pressure:

$$N = 77.6 \frac{P}{T} + 3.73 \cdot 10^5 \frac{e}{T^2} = \frac{1}{3.73 \cdot 10^5} (NT^2 - 77.6PT) \quad (1)$$

Where N (unitless) is the COSMIC refractivity, P (mbar) is the pressure, T (K) is the ECMWF temperature and e (mbar) is the GPSRO-derived water vapor pressure. The refractivity data are obtained from the “wetPrf” COSMIC data files with a vertical resolution of 100 m in the troposphere, while the temperature profiles are provided by ECMWF. Because Eq. (1) requires that both the GPSRO and the ECMWF data sets be reported at the same pressure levels, we interpolate the ECMWF temperature profiles into the vertical grid of the GPSRO profiles using linear interpolation.

Rienecker et al. (2011) report that MERRA follows closely the ECMWF temperature variability at monthly and seasonal time-scales, especially in the lower and middle troposphere that is well constrained by radiosonde observations. In particular, at 500 hPa, both analyses show indistinguishable interannual variability, and only at 200 hPa MERRA exhibits a bias of the order of 0.5 K while ECMWF shows the half of that. Therefore, there is no advantage of selecting an analysis over another, given that our own analysis treats multi-year climatology data sets. Hence, in Sect. 3.3, we performed a sensitivity analysis of the retrieved GPSRO relative humidity products on temperature uncertainty by introducing a ± 1.0 K temperature error throughout the vertical extent of the troposphere. These results serve as a guide to qualitatively and quantitatively guide the reader of the structural differences of the GPSRO relative humidity

low RH fields centered at $\pm 20\text{--}25^\circ$ between 600 and 500 hPa in both hemispheres, representing areas of dry air subsidence. All these are well-documented features of the Hadley Cell circulation, which are also captured by GPSRO data.

Despite the qualitative agreement among the data sets, it is the magnitude of the RH differences with respect to one another we are interested, as we want to: (a) investigate the GPSRO products, and (b) examine the reanalyses' representativeness of tropical moist convection. To the best of our knowledge, this is the first time that GPSRO observations are used to study the 3-D spatial patterns of the moist thermodynamic budget of the Hadley Cell circulation (that encompasses the ITCZ) and place an observational constraint on the reanalyses data.

3.1.1 Comparing GPSRO observations with ECMWF reanalysis

GPSRO observations indicate that the boundary layer (900–700 hPa) over the ITCZ (and in all other latitudes) is systematically moister than ECMWF (cf., Figs. 1 and 2). The RH differences are the largest around the equatorial belt, and their magnitude varies with pressure level and geographic location. During winter, we report a maximum absolute difference of $\sim 10\%$ at 900 hPa that grows to $\sim 20\%$ at 700 hPa, while during summer these differences are smaller. In the winter middle troposphere (700–500 hPa), GPSRO shows again a wetter ITCZ than ECMWF by 5–15%, but at higher latitudes both GPSRO and ECMWF agree remarkably well, because the computed RH differences fall within the GPSRO RH retrieval errors. During summer we notice the same behavior, although the RH differences are smaller than the winter season.

Moving higher into the troposphere (< 500 hPa), the GPSRO observations and the ECMWF data set capture well the moisture budget of the ITCZ; however, moving northward the GPSRO observations indicate a moister environment than ECMWF. This behavior is again the same during both seasons. Quantitatively, the GPSRO results are in very good agreement with Kursinski and Hajj (2001), who also reported that the NCEP reanalysis captures a wetter ITCZ than the GPS/MET observations by more than 10% in the summer of 1995. Also, Kishore et al. (2011) showed that the COSMIC

On the comparisons of tropical RH in the lower and middle troposphere

P. Vergados et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



observations are moister than both the ECMWF (by 3–8 %) and the Japanese 25-Year Re-Analysis (JRA) (by 2–20 %) at tropical regions ($\pm 20^\circ$) in the 2006–2009 period. Chou et al. (2009), although conducting their analysis over a small region off the coast of Taiwan, also reported that the NCEP/NCAR reanalysis is more than 30 % moister than the COSMIC observations at the 400–300 hPa pressure layer.

3.1.2 Comparing GPSRO observations with MERRA reanalysis

Relative to MERRA data sets, both during the summer and winter seasons, GPSRO observations show a slightly drier boundary layer at 900 hPa, but this dryness quickly disappears at higher altitudes, demonstrating an excellent agreement between the two data sets (cf., Figs. 1–3). Quantitatively, the maximum absolute RH difference is found over the ITCZ at 900 hPa having a value of $\sim 15\%$, but decreases significantly down to less than 3 % aloft. The magnitude of the reported differences is smaller than the GPSRO RH retrieval errors marking an excellent agreement between MERRA and GPSRO across the entire tropical region, which is statistically significant to the 95 % confidence level. In the middle troposphere, between 700 and 400 hPa, GPSRO and MERRA data sets show again an excellent agreement with the magnitude of the RH differences having a value of less than 3 % at all latitudes.

It is at 400 hPa when we start noticing that GPSRO observations are drier than the MERRA data sets by 5 %. This dryness increases to 15 % at 300 hPa over the ITCZ and the rest of the tropical region. Such discrepancies are shown in both seasons. Despite the quantitative differences of the RH in the upper troposphere, qualitatively, GPSRO and MERRA data sets are in excellent agreement as they both capture the spatial variability of the RH in both hemispheres.

On the comparisons of tropical RH in the lower and middle troposphere

P. Vergados et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



3.2 Diagnosing the seasonal variability of relative humidity from GPSRO observations

Previous studies by Su et al. (2014), Fasullo and Trenberth (2012) and Hall and Qu (2006) highlighted the fact that seasonal variations of RH are representative of their relationship under global warming. Hence, it is of first-order importance to cross-compare and constrain the present-day seasonal cycle of RH among different data sets, in order to advance our knowledge of the behavior of the Earth's energy and humidity climatology in future climate projections. Figure 4 shows the seasonal RH variability as the difference between the summer and winter climatologies derived in Sect. 3.1, separately for each data set. Qualitatively, all data sets match up remarkably well capturing the same spatial patterns.

Current analysis indicates that the middle troposphere (700–500 hPa) centered at ± 5 – 25° in both hemispheres shows the maximum RH seasonal differences, indicating that it is the most sensitive region to seasonal variations. Quantitatively, both GPSRO observations and MERRA data sets show RH differences of -30% (Southern Hemisphere) and $+36\%$ (Northern Hemisphere), whereas the ECMWF reanalysis differences range between -22% (Southern Hemisphere) and $+28\%$ (Northern Hemisphere). Quantitatively, our estimated differences from GPSRO, MERRA, and ECMWF are in very close agreement with recently published research using the latest AIRS (v. 6) observations (Ruzmaikin et al., 2014), who reported equatorial RH fluctuations of $\sim 30\%$. Although GPSRO observations and MERRA reanalysis show the same range of RH seasonal variations, the ECMWF reanalysis presents a weaker seasonal variability by about 10% .

Over the ITCZ, around the equatorial belt, all data sets indicate that RH varies the least between winter and summer throughout the vertical extent of the troposphere. We report RH differences from GPSRO observations, and ECMWF and MERRA reanalyses of the order of: ~ 3 – 5 , ~ 3 – 7 , and ~ 2 – 9% , respectively. All data sets agree on the magnitude of the seasonal variations of RH, whereas their small range implies that

On the comparisons of tropical RH in the lower and middle troposphere

P. Vergados et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



ITCZ climatology is not as sensitive to seasonal cycle, unlike the middle troposphere inside the dry subsidence regions of the Hadley Cell circulation.

3.3 Error characterization of the GPSRO humidity on temperature uncertainty

The percentage error of the GPSRO-derived RH profiles, due to temperature errors, at a certain pressure level is mathematically expressed as (after accounting Eqs. 2 and 3), and is shown in Fig. 5 as a function of pressure level:

$$\frac{\delta RH}{RH} = \frac{\left(\frac{\partial RH}{\partial T}\right)}{RH} \cdot \delta T \stackrel{RH = \frac{e}{e_s}}{\Leftrightarrow} \left[\frac{2NT}{b \cdot e_s} - \frac{aP}{b \cdot e_s} - \frac{4.284 \times 10^3 \cdot T^2 \cdot \left(N - \frac{aP}{T}\right)}{b \cdot e_s (T - 30.14)^2} \right] \cdot \frac{\delta T}{RH} \quad (4)$$

In Fig. 5, we have used one-year worth of data (summer and winter 2007) and have assumed a temperature error of ± 1.0 K at all pressure levels and latitudes. The results indicate that the RH error increases with increasing altitude, due to the decreasing water vapor concentration (and consequently its contribution to the atmospheric refractivity). Quantitatively, the RH error obtains a value smaller than 5% in the lower troposphere and smaller than 9% in the middle troposphere. These results are also in a very good agreement with Vergados et al. (2014), who estimated a $< 3\%$ and $< 8\%$ GPSRO RH retrieval error in the lower and middle troposphere with respect to collocated radiosondes at $\pm 30^\circ$, respectively, for a temperature error of ± 1.0 K. Above 400 hPa, Fig. 5 shows an increase of the RH error up to 30% at 300 hPa.

The magnitude of the retrieval error in the lower and middle troposphere is smaller than the reported differences between the GPSRO and ECMWF reanalysis in Sect. 3, marking the statistical significance of the observed discrepancies within the boundary layer and aloft. However, in the upper troposphere, the retrieval error grows larger than the documented GPSRO and ECMWF differences, and consequently, we can not derive a statistically significant conclusion about the observed discrepancies.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



On the comparisons of tropical RH in the lower and middle troposphere

P. Vergados et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



derestimates the strength of hydration during winter. Based on Huang et al. (2006) and John and Soden (2007) theory that moisture vertical transport from the lower to the upper troposphere (mainly due to deep convection) should be responsible for the documented model discrepancies, we conclude that GPSRO captures stronger convection than ECMWF.

Figure 4 shows that at seasonal time scales GPSRO observations, and MERRA and ECMWF reanalyses capture the same RH patterns, with the middle troposphere over the regions of dry air subsidence (cf., Figs. 1 and 4) is most sensitive to seasonal oscillations. The GPSRO and MERRA data sets show an excellent agreement in capturing the magnitude of the seasonal variability of RH; however, ECMWF shows a weaker seasonal oscillation by $\sim 10\%$.

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On the comparisons of tropical RH in the lower and middle troposphere

P. Vergados et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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On the comparisons of tropical RH in the lower and middle troposphere

P. Vergados et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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On the comparisons of tropical RH in the lower and middle troposphere

P. Vergados et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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On the comparisons of tropical RH in the lower and middle troposphere

P. Vergados et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures



Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



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On the comparisons of tropical RH in the lower and middle troposphere

P. Vergados et al.

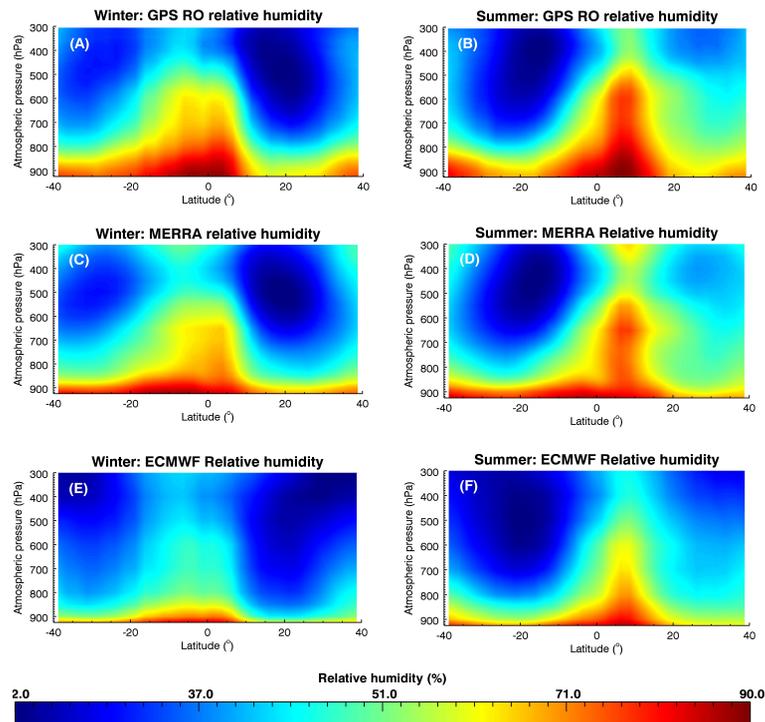


Figure 1. Pressure–latitude cross-sections of relative humidity during winter (DJF; left column) and summer (JJA; right column) seasons averaged over the 2007–2009 period using GPSRO (a and b) observations, and MERRA (c and d) and ECMWF (e and f) reanalyses.

On the comparisons of tropical RH in the lower and middle troposphere

P. Vergados et al.

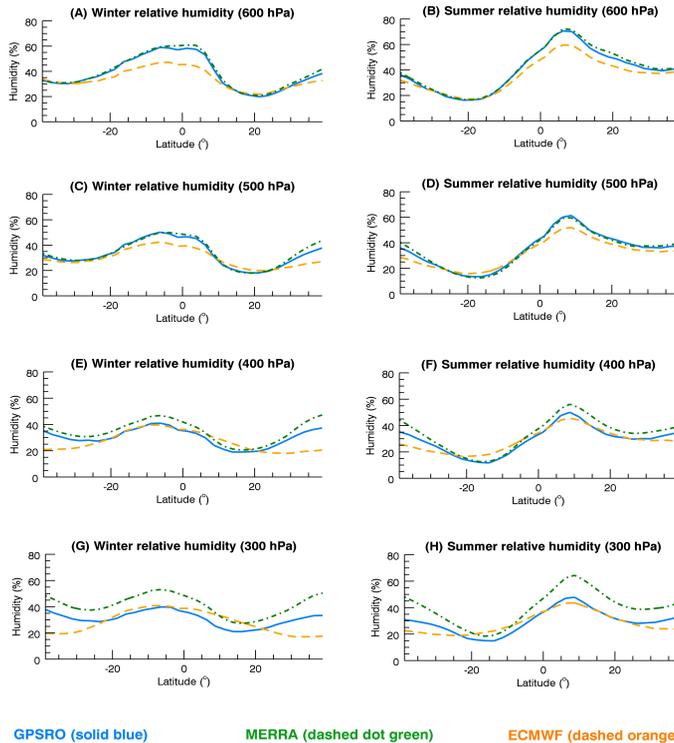


Figure 3. Same as Fig. 2, but for the middle-to-upper troposphere.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



On the comparisons of tropical RH in the lower and middle troposphere

P. Vergados et al.

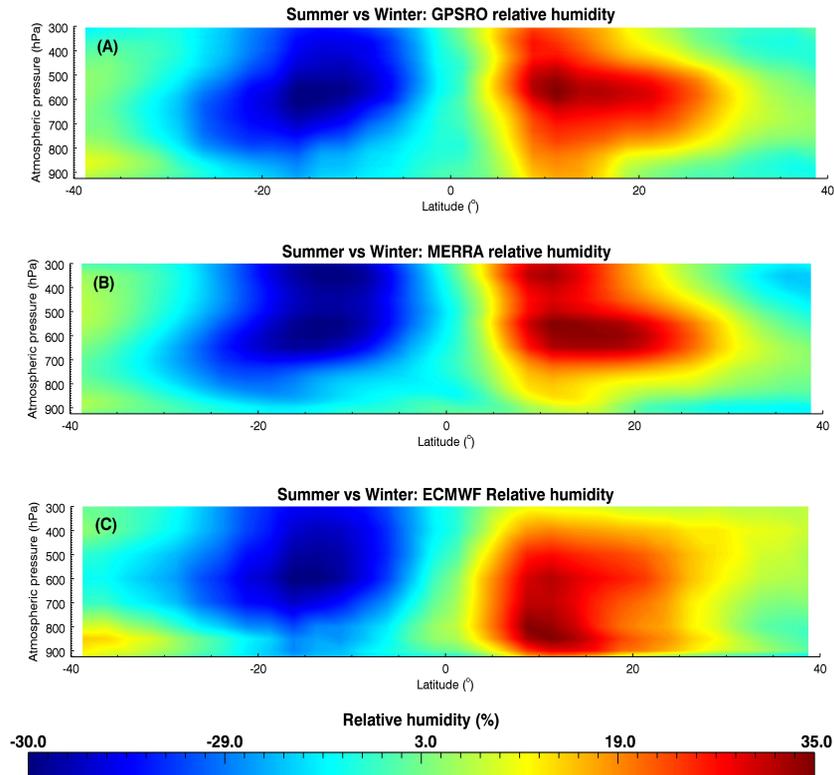


Figure 4. Pressure–latitude cross-sections of seasonal variability (summer vs. winter) of the relative humidity climatology averaged over the 2007–2009 period using: **(a)** GPSRO observations, and **(b and c)** MERRA and ECMWF reanalyses, respectively.

