

This discussion paper is/has been under review for the journal Atmospheric Measurement Techniques (AMT). Please refer to the corresponding final paper in AMT if available.

Evaluation of hierarchical agglomerative cluster analysis methods for discrimination of primary biological aerosol

I. Crawford¹, S. Ruske¹, D. O. Topping^{1,2}, and M. W. Gallagher¹

¹Centre for Atmospheric Science, SEAES, University of Manchester, Manchester, UK ²NCAS, National Centre for Atmospheric Science, University of Manchester, Manchester, UK

Received: 13 May 2015 - Accepted: 30 June 2015 - Published: 16 July 2015

Correspondence to: I. Crawford (i.crawford@manchester.ac.uk)

Published by Copernicus Publications on behalf of the European Geosciences Union.

iscussion Paper

Discussion Paper

Discussion Paper

AMTD

8, 7303-7333, 2015

Cluster analysis of **WIBS** data

I. Crawford et al.

Title Page **Abstract** Introduction Conclusions References **Tables Figures** I◀

Back

Printer-friendly Version

Full Screen / Esc

M

Close

Interactive Discussion



Discussion Paper

In this paper we present improved methods for discriminating and quantifying Primary Biological Aerosol Particles (PBAP) by applying hierarchical agglomerative cluster analysis to multi-parameter ultra violet-light induced fluorescence (UV-LIF) spectrometer data. The methods employed in this study can be applied to data sets in excess of 1×10^6 points on a desktop computer, allowing for each fluorescent particle in a dataset to be explicitly clustered. This reduces the potential for misattribution found in subsampling and comparative attribution methods used in previous approaches, improving our capacity to discriminate and quantify PBAP meta-classes. We evaluate the performance of several hierarchical agglomerative cluster analysis linkages and data normalisation methods using laboratory samples of known particle types and an ambient dataset.

Fluorescent and non-fluorescent polystyrene latex spheres were sampled with a Wideband Integrated Bioaerosol Spectrometer (WIBS-4) where the optical size, asymmetry factor and fluorescent measurements were used as inputs to the analysis package. It was found that the Ward linkage with z-score or range normalisation performed best, correctly attributing 98 and 98.1 % of the data points respectively. The best performing methods were applied to the BEACHON-RoMBAS ambient dataset where it was found that the z-score and range normalisation methods yield similar results with each method producing clusters representative of fungal spores and bacterial aerosol, consistent with previous results. The z-score result was compared to clusters generated with previous approaches (WIBS AnalysiS Program, WASP) where we observe that the subsampling and comparative attribution method employed by WASP results in the overestimation of the fungal spore concentration by a factor of 1.5 and the underestimation of bacterial aerosol concentration by a factor of 5. We suggest that this likely due to errors arising from misatrribution due to poor centroid definition and failure to assign particles to a cluster as a result of the subsampling and comparative attribution method employed by WASP. The methods used here allow for the entire fluAMTD

Paper

Discussion Paper

Discussion Paper

Discussion Pape

8, 7303-7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

I ← ►I

← ► Back Close

Printer-friendly Version

Full Screen / Esc

Interactive Discussion



orescent population of particles to be analysed yielding an explict cluster attribution for each particle, improving cluster centroid definition and our capacity to discriminate and quantify PBAP meta-classes compared to previous approaches.

1 Introduction

Microorganisms influence climate through their physical and chemical interactions with the atmosphere. Recently there has been renewed interest in how Primary Biological Aerosol Particles interact with, and modify clouds. It has been shown that bacterial aerosol such as *Pseudomonas syringae* can act as Ice Nuclei (IN) at relatively warm temperatures (Möhler et al., 2007), which even in low concentrations can cause rapid cloud glaciation via the Hallet–Mossop process leading to premature precipitation (Crawford et al., 2012).

It has been hypothesised that a feedback cycle exists where PBAP associated with plants influence the formation and modification of clouds through ice formation to induce precipitation, creating an environment which is beneficial for plant and microbial growth thus stimulating further PBAP emission (Sands et al., 1982) – this is known as the bioprecipitation hypotheses and potential links between long term regional climatology and PBAP emissions has recently been suggested (Morris et al., 2014). One of the key drivers for new research into bioprecipitation is a need for more accurate quantification of cloud evolution and precipitation in weather and climate models given its potential impact.

Bioaerosols are now being included as important components in global climate models (Heald and Spracklen, 2009; Jacobson and Streets, 2009). Recently bioaerosol emission models were tested on European regional scales (Hummel et al., 2014) using real-time Wideband Integrated Bioaerosol Spectrometer data collected at rural and semi-rural sites in Germany and Finland (Toprak and Schnaiter, 2013; Schumacher et al., 2013). Validation of these models is reliant on a very limited number of studies and the authors highlight the difficulty of applying such models to e.g. urban environ-

AMTD

8, 7303-7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

l∢ ≻l

→

Back Close
Full Screen / Esc

Printer-friendly Version



ments, and cite the general paucity of high-resolution atmospheric PBAP data to constrain model results. Providing such data is paramount to improving model predictions and accurately assessing the impact of PBAP emissions on environment and health. Retrieving such data is reliant upon the applicability of detection methods described in the following section.

The focus of this study is to evaluate hierarchical agglomerative cluster analysis methods applied to WIBS UV-LIF datasets for the discrimination of primary biological aerosol. In this paper we describe the detection method and data preparation procedures before evaluating the performance of several common hierarchical agglomerative cluster analysis linkages and data normalisation methods using laboratory and ambient datasets.

1.1 Detection methods

The detection, classification and quantification of PBAP remains a significant multidisciplinary technical challenge. Conventional techniques can be split into culturing and non-culturing techniques, both of which require the collection of particles onto a medium for off-line analysis. Culturing techniques collect particles of interest onto a growth medium which is incubated for hours to days. The grown colonies are then counted microscopically, providing species identification but no quantification of their atmospheric concentration, making the technique unsuitable for estimating PBAP emissions. Non-culturing techniques collect particles onto filters or in a liquid suspension which is more suitable for estimating atmospheric concentrations but is not typically used for classification. The major limiting factors of non-culturing techniques are that they are labour intensive, require long sampling periods and suffer from impactor sampling artefacts (e.g. particle fragmentation, obscuration) leading to erroneous enumeration. This makes it difficult to study emissions at the process level as some PBAP, such as fungal spores and bacteria, display large diurnal variations with significant short term episodic emissions, which would require an impractical number of samples to capture reliably. PBAP including bacteria can undergo substantial instantaneous

AMTD

8, 7303-7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

I∢











Full Screen / Esc

Printer-friendly Version



Discussion Paper

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

I ✓ ▶I

✓ ▶ Back Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



spikes in emissions compared to their baseline state in response to rainfall (Crawford et al., 2014; Hummel et al., 2014). These rapid emissions are important both to capture peak concentrations but to derive emission factors accurately and understand the underlying mechanisms.

UV-LIF spectrometers have become available which show early promise of classifying and quantifying bioaerosols by broad taxonomic class on a single particle basis (Crawford et al., 2014; Gabey et al., 2013). This instrument is based on technology developed by the University of Hertfordshire Centre for Atmospheric and Instrumentation Research (CAIR). A full technical description of the WIBS instrument is given later in this manuscript. UV-LIF spectrometers work on the principle that PBAP contain biofluorophores such as NAD(P)H, riboflavin, and tryptophan which auto-fluoresce when excited with UV radiation with the excitation and detection bands of the WIBS are optimised to detect these common biofluorophores (Kaye et al., 2005). The single particle, on-line nature of the technique yields far superior time resolution to the off-line techniques discussed earlier, making it ideally suited to measuring PBAP in a rapidly changing environment. The time resolution is limited by the counting statistics, with typically 1–5 min integration periods providing adequate sensitivity depending on ambient concentrations. This allows for better measurements of PBAP fluxes, which would be difficult using traditional off-line methods.

Whilst UV-LIF spectrometers offer many advantages over traditional methods, discriminating between different bioaerosol classes and possible, non-biological fluorescent interferents remains an on-going area of research (Toprak and Schnaiter, 2013). At present, UV-LIF spectrometers lack a common absolute reference standard, making comparison of measurements made between instruments difficult. Furthermore the lack of a calibration standard has impeded attempts to characterise PBAP of interest which would greatly simplify classification by the utilisation of supervised learning techniques. In lieu of an absolute calibration method other techniques must be used to segregate particles by type when interpreting uncalibrated datasets.

A full technical description of the original WIBS measurement principles and its development is described by Kaye et al. (2005); Foot et al. (2008); Gabey et al. (2011) and Stanley et al. (2011). In the versions of the instrument used here ambient air is sampled at 2.38 L min⁻¹ with 10 % of the total as aerosol flow drawn through a 1.2 mm (inner diam.) tube to generate a single in-line aerosol beam intersecting a well-defined optical sensing region. The remainder of the flow is filtered and used as a sheath flow to stabilize the aerosol beam and minimise possible detrainment contamination of the optical surfaces within the scattering chamber. Single particles passing through the sensing region intercept a 635 nm diode laser beam, and the elastically scattered forward and sideways intensity is measured. A lookup table based on a standard Mie scattering model (Kaye et al., 2005) is used to convert the forward to side-scatter intensity ratio to optical diameter based on the instrument's response to NIST calibration polystyrene latex (PSL) spheres. The WIBS utilises a quadrant detector to measure the scattered intensity. The signal from each component quadrant is used to calculate an "average" optical diameter over the four scattering solid angles. In addition the standard deviation between the four signal intensities is used to provide a particle asymmetry factor (AF) as a proxy of particle morphology. AF is reported in arbitrary units (a.u) and is based on measurements with calibration particles with different aspect ratios. AF ranges between 8–10 for near spherical particle and 20–100 for a rod- or fibre-like particles. The detectable particle "average optical diameter" range for WIBS-4 is $0.5 < D_0 < 20 \,\mu\text{m}$, with a 50 % detection at $D_{050} = 0.8 \,\mu\text{m}$ (Gabey et al., 2011). The WIBS size range is optimised to sample most airborne bacteria and fungal spores, but only very small pollen. Following initial particle detection and sizing, two optically filtered Xenon flashlamps are sequentially triggered providing excitation wavelengths centered at 280 ± 10 and 370 ± 20 nm. The fluorescence emission is collected by two spherical mirrors and split into two channels using a dichroic filter at 410 nm before being measured by two photomultiplier tubes (PMT).

AMTD

Paper

Discussion Paper

Discussion Paper

Discussion Paper

8, 7303-7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

I ◀ ▶I

Back

Full Screen / Esc
Printer-friendly Version

Close

Interactive Discussion



Both PMTs record fluorescence during the 280 nm excitation phase because no detection bands overlap the excitation band, however only the 410–650 nm PMT detector is active during the 350 nm excitation. In subsequent discussions herein the three fluorescent channels will be referred to as FL1 (fluorescence between 300 and 400 nm, following excitation at 280 nm), FL2 (fluorescence between 410 and 650 nm, following 280 nm excitation) and FL3 (fluorescence between 410 and 650 nm, following excitation at 370 nm). The autofluorescence arising from the 280 nm excitation in biological material is influenced heavily by proteins and the bio-molecule tryptophan, whereas fluorescence from 370 nm excitation is influenced by riboflavin and co-enzyme NAD(P)H, (Stanley et al., 2011; Benson et al., 1979; Billinton and Knight, 2001; Foot et al., 2008; Kaye et al., 2005; Li and Humphrey, 1991). However, fluorescence emission spectra are inherently broad, and interrogating complex microorganisms and micron-sized particles results in a complex mixture of fluorescence emission peaks from many fluorophores that can be difficult to interpret unambiguously (Crawford et al., 2014; Pöhlker et al., 2012).

3 Hierarchical cluster methods

3.1 WASP

Hierarchical Agglomerative Cluster Analysis (HCA) has been demonstrated to be a powerful tool to classify particles (Robinson et al., 2013; Crawford et al., 2014; Gabey et al., 2013), however, the available analysis toolkits are limited by heavy computational burdens making the analysis of large datasets problematic. The WIBS AnalysiS Program (WASP, Robinson et al., 2013) uses the average linkage clustering algorithm and is written in Igor Pro^1 . WASP performs HCA on a random subset of the data limited to a maximum of $\approx 1 \times 10^4$ data points with analysis taking around 4 h on a high powered

AMTD

8, 7303-7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

I ✓ ▶I

Back

Printer-friendly Version

Full Screen / Esc

Close



¹WaveMetrics Inc., OR, USA

Discussion Paper |

Discussi

Conclusions

Abstract

Tables Figures

Introduction

References

M

AMTD

8, 7303-7333, 2015

Cluster analysis of

WIBS data

I. Crawford et al.

Title Page







Full Screen / Esc

Printer-friendly Version

Interactive Discussion



desktop computer². The choice of the number of clusters to retain is manually selected by the inspection of several metrics and the remaining data is attributed to the chosen clusters by comparison to the cluster centroids using a distance based similarlity method as described in Robinson et al. (2013). The authors noted that this comparative method can lead to systematic misattribution when less populous clusters form poorly defined centroids which do not reflect the true spread of the variables. They also noted that particles outside of a specified distance from a cluster centroid are left unclassified, potentially leading to an underpretdiction of cluster concentrations.

3.2 Fastcluster

In this manuscript we use open source HCA methods which can analyse datasets in excess of 1×10^6 points on a desktop computer. Subsampling and comparative attribution is not required as each data point is explicitly clustered. We also test the feasibility of using an automated method for determining the optimum number of clusters to retain.

In this study we have used the open source Python package fastcluster (Müllner, 2013) which features several common linkages. Of the included linkages the Ward, centroid and median linkages do not require the distances between data points to be stored in memory allowing for memory-saving modes to be used, greatly increasing the maximum number of data points that can be analysed from approximately 7×10^4 to in excess of 1×10^6 points using the test computer described earlier. In order to take advantage of the memory-saving algorithms the Euclidean distance metric must be used. The performance of the memory-saving linkages are assessed using laboratory sampled particles of known type and an ambient data previously analysed with WASP. In a future publication we will assess computational requirements in more detail, presenting results pertinent to "big data" analysis depending on the amount of data retrieved during any given campaign.

²3.4 GHz quad core, 8 thread processor, 16 GB RAM, 64 bit OS.

- 1. Load and quality assure data.
- 2. Filter data.
 - a. Remove particles $D_p < 0.8 \,\mu\text{m}$.
 - b. Remove non-fluorescent particles.
 - c. Remove saturating particles.
 - 3. Normalise data.
 - 4. Cluster data.
 - 5. Validate cluster solutions.
 - 6. Generate cluster products.

These procedures are now discussed.

3.4 Data preparation

Prior to analysis it is necessary to prepare the single particle data to ensure that it is physically meaningful to prevent artefacts biasing the cluster solutions such that any potential to effect the performance of any cluster analysis is minimised.

The particle collection efficiency of the WIBS drops below $50\,\%$ at $\sim 0.8\,\mu m$. We have chosen to integrate number concentrations of particles $> 0.8\,\mu m$ rather than apply a correction factor to the concentrations below this size.

The baseline fluorescence of the instrument is measured during so-called forced trigger (FT) sampling periods where the instrument triggers the flash lamps and records

Discussion Paper

Discussion Paper

Discussion Paper

Discussion Pape

AMTD

8, 7303-7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

M

Close

→

Full Screen / Esc

Back

Printer-friendly Version

Interactive Discussion



Discussion Paper

Printer-friendly Version

the resulant fluorescence in the abscence of aerosol in the sample volume. The WIBS-4 instrument automatically makes such measurements if measured concentrations are lower than 2 counts s⁻¹ for a sustained period of time, on the basis that the coincidence of a forced trigger measurement with a particle in the measurement region is small. The 5 mean fluorescence in a FT period is treated as the baseline fluorescence of the optical chamber during the sample period. For a particle to be considered fluorescent it must exhibit a fluorescence greater than a threshold value, defined as the baseline fluorescence plus 3 standard deviations. The analysis software subtracts this threshold value from measured fluorescence of each sample with all values greater than 0 being considered significantly fluorescent compared to the instrument baseline. Fluorescence measurments below the threshold (i.e. less than 0 after threshold subtraction) are not considered physically meaningful and are clipped at 0. This simplifies the segregation between fluorescent (FL) and non-fluorescent (non-FL) particles.

Sufficiently fluorescent particles (such as pollens) will saturate the PMT and as such it is not possible to accuratly measure their true fluorescence. Data from saturating particles is not physically meaningful and are excluded from analysis.

Cluster validation indices

In order to remove the subjective nature of the method employed by Robinson et al. (2013) to determine the optimum number of clusters to retain we have chosen to use the Calinski-Harabasz criterion (CH) which is defined as being the ratio of the overall between cluster variance to the overall within cluster variance (Calinski and Harabasz, 1974). We calculte the CH index for the first 20 cluster solutions and select the solution with the highest CH value as being the optimal solution.

3.4.2 Data normalisation

In the Robinson et al. (2013) study the prepared data was z-score normalised prior to analysis.

AMTD

8, 7303–7333, 2015

Cluster analysis of **WIBS** data

I. Crawford et al.

Title Page **Abstract**

Introduction

Conclusions References

> **Tables Figures**

M

Close Back

Full Screen / Esc

We investigate the effect of normalisation on clustering performance using the following procedures:

- 1. No normalisation
- 2. Subtract mean, divide by standard deviation (*z*-score)
- 3. Standardise by range
 - 4. Divide by sum
 - 5. Rank

These are the procedures considered in Milligan and Cooper (1988) excluding procedures which produce identical results for the Euclidean metric. They concluded that the range normalisation to be the best performing. We considered procedures proposed by Gnanadesikan et al. (2007) which considered better performing alternatives to the above procedures. However it seems unlikely that the procedures will scale in terms of performance for large data.

Datasets and data preparation

To assess the performance and suitability of the available clustering linkages we first look at a laboratory dataset of known particle types so that the cluster solutions can be compared to the known result. We then trial the best performing methods on ambient data from the BEACHON-RoMBAS experiment experiment which has been studied previously using similar methods (Robinson et al., 2013; Crawford et al., 2014). These datasets are now described in detail.

Fluorescent polystyrene latex spheres

To test the applicability and performance of the memory efficient hierarchical agglomerative clustering linkages available in the Python package fastcluster, 5 different Discussion

AMTD

8, 7303–7333, 2015

Cluster analysis of **WIBS** data

I. Crawford et al.

Title Page

Discussion Paper

Discussion Paper

Discussion

Conclusions **Tables**

Introduction References

Abstract

Figures











Full Screen / Esc

Printer-friendly Version

Interactive Discussion



The three fluorescence measurements (FL1-3), size and asymmetry factor were chosen as inputs. The PSLs exhibit strong fluorescence, with some saturating the PMTs in multiple channels, as such we have chosen to keep saturating particles in the analysis to maximise sample size. Non-Fluorescent particles and particles smaller then 0.8 µm have been excluded from the analysis due to low collection efficiency. AF and size are typically log-normally distributed. In keeping with the analysis peformed in Crawford et al. (2014) and Robinson et al. (2013) we convert these variables to log space prior to analysis. As memory saving is used this limits analysis to using only the Euclidean distance metric.

4.2 The regional BEACHON-RoMBAS experiment

The WIBS was deployed at the Manitou Experimental Forest Observatory (MEFO), located 35 km northwest of Colorado Springs, Colorado, USA (Ortega et al., 2014; Kim et al., 2010) as part of the Rocky Mountain Biogenic Aerosol Study project (BEACHON-RoMBAS) during summer 2011. Details of the experiment and sampling arrangement are given in Crawford et al. (2014). In the Crawford et al. (2014) study HCA was performed on a subset of the WIBS data ($\approx 1 \times 10^4$ particles) using the average linkage with the remaining particles attributed to a cluster by comparison to the cluster centroid. Details of the attribution method and the process of selecting the number of clusters to retain are provided in Robinson et al. (2013). This analysis yielded clusters which were behaviorally consistent with fungal spores and bacteria. We perform analysis of this data set using the methods described in this manuscript which we compare to the Crawford et al. (2014) results.

Discussion

Paper

Discussion Paper

Discussion Paper

Discussion Paper

AMTD

8, 7303-7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

I ◀ ▶I

Back

Full Screen / Esc

Close

Printer-friendly Version

Interactive Discussion



³Manufactured by Polysciences Inc., PA, USA and Duke Scientific Corp., CA, USA.

5.1 Fluorescent polystyrene latex spheres

The Fastcluster package was run with the seven available linkages each with the different normalisation procedures. Note that only the single, ward, centroid and median linkage are available when the memory efficient version of the Fastcluster package is used.

We use the CH index to identify the "optimal" number of clusters and attempt to construct a best match between the desired clusters and proposed clusters. Then to evaluate the performance of the algorithm we calculate the proportion of the data points placed into the same cluster for both the desired and proposed clustering. The results are given in Table 2.

For the full data set we can see that the z-score is the best performing normalisation for all but the single and median linkages where the performance is poorer across all normalisations.

However in Table 3 we repeat the tests for varying sample size where we see that as sample size decreases the range normalisation starts to outperform the *z*-score.

It appears that when using the full data set that the *z*-score normalisation with either the ward linkage or average linkage is the preferred option. When sampling however we see that range normalisation may be better.

An explanation for this behavior could be that the range normalisation suffers with outliers which we are much more likely to encounter for large samples so we would expect better performance for the smaller samples. Contrast this with the z-score where our measurement of the mean and the standard deviation is more accurate with large samples.

Figure 2 shows the cluster centroids for the Ward linkage with range and z-score normalisation. It can be seen that both methods yield similar clusters to the known solution, e.g. the average values of the 4.17 μ m sample are accurately captured by the 5th cluster using range normalisation and the 3rd cluster using z-score normalisation.

iscussion I

Discussion Paper

Discussion Paper

Discussion Pape

AMTD

8, 7303–7333, 2015

Cluster analysis of WIBS data

Title Page

I. Crawford et al.

Abstract

Conclusion

Introduction

Conclusions

References

Tables

Figures









Back



Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Similarly the 3.1 µm green PSL sample is captured by the 4th range normalised and 1st *z*-score normalised clusters. Figures 3 and 4 show a time series of the FL1-3 and size input parameters (AF ommited from figure) which are colour coded by the cluster assignments in Fig. 2. The bottom panel of each figure shows the fraction of each cluster assigned to each sample where it can be seen that both normalisation methods achieve a high level of attribution accuracy with a minimum of 96 % of data points being correctly attributed with no significant misattribution. The results of this experiment suggest that both range and *z*-score normalisation are appropriate when clustering WIBS data using the Ward linkage with each yielding an optimal 5 cluster solution correctly attributing 98 and 98.1 % of the data points respectively. The centroid linkage with *z*-score normalisation also performed well, correctly attributing 97.3 % of the particles into 5 significant clusters.

5.2 BEACHON-RoMBAS

Data from the BEACHON-RoMBAS experiment ($\approx 8.2 \times 10^5$ fluorescent data points) was analysed using the Ward linkage with both range and *z*-score normalisations and also the centroid linkage with *z*-score normalisation. The centroid linkage yielded a solution with only one significantly populated cluster suggesting that it is inappropriate for analysing ambient data. Figure 5 shows the cluster centroids of each Ward normalisation where the range yields a 5 cluster solution and *z*-score a 5 cluster solution. It can be seen that the solutions of each are broadly similar; Range cluster 4 (hereby notated as R_4) is similar to *z*-score cluster 1 (hereby notated as R_4); R_2 is similar to R_4 . Additionally R_1 , R_3 and R_5 are similar to R_2 , suggesting that they are of similar origin with the difference in fluorescence being due to size, morphology or particle age. This is also observed in the *z*-score result in clusters R_2 , R_3 and R_4 . A time series (not shown) of cluster concentrations shows these internally similar clusters to respond in a similar fashion to meteorological events such as rainfall. For ease of interpretation the concentrations of similar clusters have been combined. Figure 6 shows a time series of the combined cluster concentrations for each method and also the cluster

AMTD

8, 7303-7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures













Full Screen / Esc

Printer-friendly Version



concentrations obtained using WASP. It can be seen that the concentrations of clusters $R_1 + R_3 + R_5$, R_2 , $Z_2 + Z_3$ and Z_4 all behave in a similar fashion to the WASP cluster C_3 which was determined to be be representative of bacteria owing to its strong positive response to rainfall (Crawford et al., 2014). The response of clusters R_4 and Z_1 are similar to the WASP cluster R_3 which was determined to be reprentative of fungal spores owing to its diurnal response to relative humidity. Figure 7 compares the concentrations of the similar clusters for each normalisation method. Comparison of R_5 to R_4 (left panel, blue circles, representative of fungal spores) shows each method to yield similar concentrations. Comparison of the bacterial cluster concentrations yields poor correlation between methods when comparing the traces in Fig. 6 (left panel, black diamonds and red squares), however when the concentration of all clusters representative of bacteria are combined (left panel, majenta crosses) the correlation is excellent (R_2 score = 1.00 × R_2 = 1). This suggests that the major difference between the two different normalisation methods is how particles of similar types are partitioned

The right panel of Fig. 7 compares the z-score concentrations to those obtained using WASP. It can be seen that the WASP fungal concentration is overestimated by a factor of approximately 1.5 compared to the z-score result (Z_4 and B_3 , blue circles). The WASP bacterial concentration is underestimated by approximately a factor of 5 compared to the z-score result. Figure 8 shows the hourly average diurnal cycles of the fungal (top panels) and bacterial (bottom panels) cluster concentrations for the z-score result (left panels) and WASP (right panels) over the period 27 July 2011–07 August 2011. Each method displays a similar trend with the fungal clusters exhibiting a minimum during the day owing to the diurnal response of fungal spores to relative humidity and the bacterial clusters responding to the frequent afternoon rain storms (Crawford et al., 2014). Again it can be seen that WASP overestimates the fungal concentration by approximately a factor of 1.5–2 and underestimates the bacterial concentration by a factor of 5–6. The most likely explanation for the observed discrepancies between the WASP and z-score concentrations is due to the introduction of artefacts caused

between the clusters.

AMTD

8, 7303-7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

Abstract

Introduction

<u>Co</u>nclusions

References

Tables

Figures













Full Screen / Esc

Printer-friendly Version



by the subsampling and comparative attribution methods used in WASP. In the fungal spore case misattribution due to a poorly defined centroid can lead to an over estimation as observed here. WASP yields only one cluster representative of bacteria while the *z*-score method yields 3 and the range method 4. This results in WASP failing to attribute data points potentially representative of bacteria to its single bacterial cluster leading to the observed underestimation.

6 Conclusions

Several hierarchical agglomerative cluster analysis linkages and normalisation methods were trialled using several laboratory samples of known particle type and a previously published ambient data set which was analysed using similar methods. The Ward linkage with range and z-score normalisation was found to successfully resolve the 5 test PSL samples with a high level of accuracy, correctly attributing 98 and 98.1 % of the data points respectively. Analysis of the BEACHON-RoMBAS WIBS-3 data yielded similar results using the Ward linkage with the range and z-score normalisation methods. Each method produced 1 cluster representative of fungal spores and several clusters representative of bacterial aerosol where the fungal concenctrations and the sum of the bacterial aerosol concentrations agreed well. The BEACHON-RoMBAS results were compared to the WASP results for the same data set Robinson et al. (2013); Crawford et al. (2014) where it was found that WASP overestimated the fungal spore concentration by a factor of 1.5 and underestimated the bacterial aerosol concentration by a factor of 5 compared to the methods trialled here. This is likely due to errors arising from misatrribution due to poor centroid definition and failure to assign particles to a cluster as a result of the subsampling and comparative attribution method employed by WASP. The methods used here allow for the entire fluorescent population of particles to be analysed yielding an explict cluster attribution for each particle. This improves cluster centroid definition (e.g. allowing for several bacterial clusters compared

AMTD

8, 7303-7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

4 F

M

Close

Full Screen / Esc

Back

Printer-friendly Version



In this paper we have demonstrated that WIBS single particle UV-LIF spectrometer data can be successfully segregated using the Ward hierarchical agglomerative cluster analysis linkage with z-score and range data normalisation. The explicit clustering method employed in this study can be applied to large datasets, removing potential clustering aretfacts associated with the subsampling and attribution method used in previous approaches, improving our capacity to discriminate and quantify PBAP metaclasses. These improved techniques will be of importance for interpreting data from future multi-parameter UV-LIF instruments with improved fluorescence resolution and for extending the measurement technique to real-time quantification for ambient monitoring networks.

Acknowledgements. The authors wish express our gratitude to R. Sarda Esteve (CEA) and J. A. Huffman (University of Denver) for use of their fluorescent calibration particles as part of the BIODETECT experiment, without which the fundamentals of this work could not have been performed. We would also like to acknowledge USFS and NCAR for providing invaluable logistical support and access to the Manitou Experimental Forest field site. We also acknowledge P. Kaye and W. R. Stanley (University of Hertfordshire) for their continued support.

References

- Benson, R., Meyer, R., Zaruba, M., and KcKhann, G.: NoCellular autofluorescence is it due to flavins?, J. Histochem. Cytochem., 27, 44–48, 1979. 7309
 - Billinton, N. and Knight, A. W.: Seeing the wood through the trees: a review of techniques for distinguishing green fluorescent protein from endogenous autofluorescence, Analy. Biochem., 291, 175–97, doi:10.1006/abio.2000.5006, 2001. 7309
- Calinski, T. and Harabasz, J.: A dendrite method for cluster analysis, Commun. Stat.-Theor. M.,
 3, 1–27, doi:10.1080/03610927408827101, 1974. 7312
- Crawford, I., Bower, K. N., Choularton, T. W., Dearden, C., Crosier, J., Westbrook, C., Capes, G., Coe, H., Connolly, P. J., Dorsey, J. R., Gallagher, M. W., Williams, P., Trembath, J., Cui, Z., and

iscussion

Discussion Paper

Discussion Paper

Discussion

Paper

AMTD

8, 7303-7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

Back Close

M

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



- Blyth, A.: Ice formation and development in aged, wintertime cumulus over the UK: observations and modelling, Atmos. Chem. Phys., 12, 4963–4985, doi:10.5194/acp-12-4963-2012, 2012. 7305
- Crawford, I., Robinson, N. H., Flynn, M. J., Foot, V. E., Gallagher, M. W., Huffman, J. A., Stanley, W. R., and Kaye, P. H.: Characterisation of bioaerosol emissions from a Colorado pine forest: results from the BEACHON-RoMBAS experiment, Atmos. Chem. Phys., 14, 8559–8578, doi:10.5194/acp-14-8559-2014, 2014. 7307, 7309, 7313, 7314, 7317, 7318
- Foot, V. E., Kaye, P. H., Stanley, W. R., Barrington, S. J., Gallagher, M., and Gabey, A.: Low-cost real-time multiparameter bio-aerosol sensors, in: Optically Based Biological and Chemical Detection for Defence, 71160I–71160I-12, Cardiff, Wales, United Kingdom, 15 September 2008 doi:10.1117/12.800226, 2008. 7308, 7309
- Gabey, A. M., Stanley, W. R., Gallagher, M. W., and Kaye, P. H.: The fluorescence properties of aerosol larger than 0.8 μm in urban and tropical rainforest locations, Atmos. Chem. Phys., 11, 5491–5504, doi:10.5194/acp-11-5491-2011, 2011. 7308
- Gabey, A. M., Vaitilingom, M., Freney, E., Boulon, J., Sellegri, K., Gallagher, M. W., Crawford, I. P., Robinson, N. H., Stanley, W. R., and Kaye, P. H.: Observations of fluorescent and biological aerosol at a high-altitude site in central France, Atmos. Chem. Phys., 13, 7415–7428, doi:10.5194/acp-13-7415-2013, 2013. 7307, 7309
 - Gnanadesikan, R., Kettenring, J., and Maloor, S.: Better alternatives to current methods of scaling and weighting data for cluster analysis, J. Stat. Plan. Infer., 137, 3483–3496, doi:10.1016/j.jspi.2007.03.026, 2007. 7313
 - Heald, C. L. and Spracklen, D. V.: Atmospheric budget of primary biological aerosol particles from fungal spores, Geophys. Res. Lett., 36, L09806, doi:10.1029/2009GL037493, 2009. 7305
- Hummel, M., Hoose, C., Gallagher, M., Healy, D. A., Huffman, J. A., O'Connor, D., Pöschl, U., Pöhlker, C., Robinson, N. H., Schnaiter, M., Sodeau, J. R., Stengel, M., Toprak, E., and Vogel, H.: Regional-scale simulations of fungal spore aerosols using an emission parameterization adapted to local measurements of fluorescent biological aerosol particles, Atmos. Chem. Phys., 15, 6127–6146, doi:10.5194/acp-15-6127-2015, 2015. 7305, 7307
- Jacobson, M. Z. and Streets, D. G.: Influence of future anthropogenic emissions on climate, natural emissions, and air quality, J. Geophys. Res., 114, D08118, doi:10.1029/2008JD011476, 2009. 7305

AMTD

8, 7303–7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

I**4** ►I

Back Close

Full Screen / Esc

Printer-friendly Version



- **AMTD**
 - Cluster analysis of **WIBS** data

8, 7303–7333, 2015

- I. Crawford et al.
- Title Page Introduction **Abstract** Conclusions References Tables **Figures** I◀ Close Back Full Screen / Esc
 - Printer-friendly Version
 - Interactive Discussion

- Kaye, P. H., Stanley, W. R., Hirst, E., Foot, E. V., Baxter, K. L., and Barrington, S. J.: Single particle multichannel bio-aerosol fluorescence sensor, Opt. Express, 13, 3583, doi:10.1364/OPEX.13.003583, 2005. 7307, 7308, 7309
- Kim, S., Karl, T., Guenther, A., Tyndall, G., Orlando, J., Harley, P., Rasmussen, R., and Apel, E.: Emissions and ambient distributions of Biogenic Volatile Organic Compounds (BVOC) in a ponderosa pine ecosystem: interpretation of PTR-MS mass spectra, Atmos. Chem. Phys., 10, 1759–1771, doi:10.5194/acp-10-1759-2010, 2010. 7314
- Li, J. K. and Humphrey, A. E.: Use of fluorometry for monitoring and control of a bioreactor, Biotechnol. Bioeng., 37, 1043-1049 doi:10.1002/bit.260371109, 1991. 7309
- Milligan, G. W. and Cooper, M. C.: A study of standardization of variables in cluster analysis, J. Classif., 5, 181-204, doi:10.1007/BF01897163, 1988, 7313
 - Möhler, O., DeMott, P. J., Vali, G., and Levin, Z.: Microbiology and atmospheric processes: the role of biological particles in cloud physics, Biogeosciences, 4, 1059-1071, doi:10.5194/bg-4-1059-2007, 2007, 7305
- Morris, C. E., Conen, F., Alex Huffman, J., Phillips, V., Pöschl, U., and Sands, D. C.; Bioprecipitation: a feedback cycle linking earth history, ecosystem dynamics and land use through biological ice nucleators in the atmosphere, Glob. Change Biol., 20, 341-351, doi:10.1111/qcb.12447, 2014. 7305
 - Müllner, D.: fastcluster: fast hierarchical, agglomerative clustering routines for R and Python, J. Stat. Softw., 9, 1–18, 2013. 7310
 - Ortega, J., Turnipseed, A., Guenther, A. B., Karl, T. G., Day, D. A., Gochis, D., Huffman, J. A., Prenni, A. J., Levin, E. J. T., Kreidenweis, S. M., DeMott, P. J., Tobo, Y., Patton, E. G., Hodzic, A., Cui, Y. Y., Harley, P. C., Hornbrook, R. S., Apel, E. C., Monson, R. K., Eller, A. S. D., Greenberg, J. P., Barth, M. C., Campuzano-Jost, P., Palm, B. B., Jimenez, J. L., Aiken, A. C., Dubey, M. K., Geron, C., Offenberg, J., Ryan, M. G., Fornwalt, P. J., Pryor, S. C., Keutsch, F. N., DiGangi, J. P., Chan, A. W. H., Goldstein, A. H., Wolfe, G. M., Kim, S., Kaser, L., Schnitzhofer, R., Hansel, A., Cantrell, C. A., Mauldin, R. L., and Smith, J. N.: Overview of the Manitou Experimental Forest Observatory: site description and selected science results from 2008 to 2013, Atmos. Chem. Phys., 14, 6345-6367, doi:10.5194/acp-
 - Pöhlker, C., Wiedemann, K. T., Sinha, B., Shiraiwa, M., Gunthe, S. S., Smith, M., Su, H., Artaxo, P., Chen, Q., Cheng, Y., Elbert, W., Gilles, M. K., Kilcoyne, A. L. D., Moffet, R. C., Weigand, M., Martin, S. T., Pöschl, U., and Andreae, M. O.: Biogenic potassium salt par-

14-6345-2014, 2014, 7314

- ticles as seeds for secondary organic aerosol in the Amazon, Science, 337, 1075-1078, doi:10.1126/science.1223264, 2012. 7309
- Robinson, N. H., Allan, J. D., Huffman, J. A., Kaye, P. H., Foot, V. E., and Gallagher, M.: Cluster analysis of WIBS single-particle bioaerosol data, Atmos. Meas. Tech., 6, 337-347, doi:10.5194/amt-6-337-2013, 2013, 7309, 7310, 7312, 7313, 7314, 7318
- Sands, D., Langhans, V., Scharen, A., and de Smet.: The association between bacteria and rain and possible resultant meteorological implications, J. Hungarian Meteorol. Serv., 86, 148–152, 1982. 7305
- Schumacher, C. J., Pöhlker, C., Aalto, P., Hiltunen, V., Petäjä, T., Kulmala, M., Pöschl, U., and Huffman, J. A.: Seasonal cycles of fluorescent biological aerosol particles in boreal and semi-arid forests of Finland and Colorado, Atmos. Chem. Phys., 13, 11987-12001, doi:10.5194/acp-13-11987-2013, 2013, 7305
- Stanley, W. R., Kaye, P. H., Foot, V. E., Barrington, S. J., Gallagher, M., and Gabey, A.: Continuous bioaerosol monitoring in a tropical environment using a UV fluorescence particle spectrometer, Atmos. Sci. Lett., 12, 195-199, doi:10.1002/asl.310, 2011. 7308, 7309
- Toprak, E. and Schnaiter, M.: Fluorescent biological aerosol particles measured with the Waveband Integrated Bioaerosol Sensor WIBS-4: laboratory tests combined with a one year field study, Atmos. Chem. Phys., 13, 225-243, doi:10.5194/acp-13-225-2013, 2013. 7305, 7307

AMTD

8, 7303–7333, 2015

Cluster analysis of **WIBS** data

I. Crawford et al.

Title Page **Abstract** Introduction Conclusions References **Tables Figures** I◀ Back Close

Printer-friendly Version

Full Screen / Esc



Discussion Paper

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

AMTD

8, 7303-7333, 2015

Discussion Paper

Abstract Introduction References

Conclusions

Figures

Tables











Full Screen / Esc

Printer-friendly Version

Interactive Discussion



Table 1. Properties of the polystyrene latex spheres sampled.

PSL sample	Size [µm]	Doping	Sample size
1	4.17	None	8927
2	3.1	Green	7976
3	2.2	Red	8942
4	2.1	Blue	8796
5	1	Green	5055

Table 2. Performance of the different linkages and normalisation procedures for the full data set in terms of the percentage of data points placed into the same cluster as the known clustering. In bold are the best performing normalisations for each linkage.

	None	z-score	range	sum	rank
Single	48.065	24.384	48.065	47.996	42.160
Complete	87.996	96.039	87.531	85.126	82.390
Average	87.432	97.791	87.406	65.772	96.990
Weighted	85.439	89.675	64.843	82.798	65.056
Ward	72.606	98.136	98.036	97.726	98.011
Centroid	87.423	97.264	87.446	65.772	96.805
Median	82.361	80.575	82.974	84.912	65.501

AMTD

8, 7303-7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

Abstract Introduction Conclusions References **Tables Figures**

Back Close

Full Screen / Esc

Printer-friendly Version



8, 7303-7333, 2015

Cluster analysis of WIBS data

AMTD

I. Crawford et al.

Title Page Introduction **Abstract** Conclusions References Tables **Figures** []◀ M

Back

Full Screen / Esc

Close

Printer-friendly Version



Table 3. Performance of the ward for varying sample size.

Sample size	500	1000	5000	10 000	20 000
z-score range			• •	97.543 98.065	

Full Screen / Esc

Printer-friendly Version

AMTD

8, 7303-7333, 2015

Cluster analysis of **WIBS** data

I. Crawford et al.

Title Page

Abstract

Conclusions

Tables

Back

Introduction

References

Figures

Close



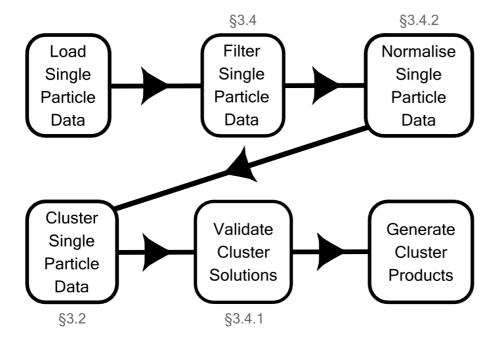


Figure 1. Schematic of procedure followed to generate cluster products from raw data. Relevant sections for each sub-procedure are labelled where appropriate.

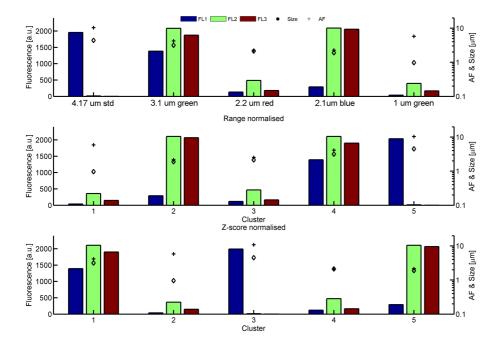


Figure 2. Top panel: average FL1-3 detector intensites (blue, green and brown bars, left axis), size (diamond, right axis) and asymmetry factor (cross, right axis) for the 5 PSL samples. Middle and bottom panels: the same as for the top panel but for the Ward linkage solution centroids using range (middle) and *z*-score (bottom) normalisation.

AMTD

8, 7303-7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

I ◀ ▶I

Back

Printer-friendly Version

Full Screen / Esc

Close





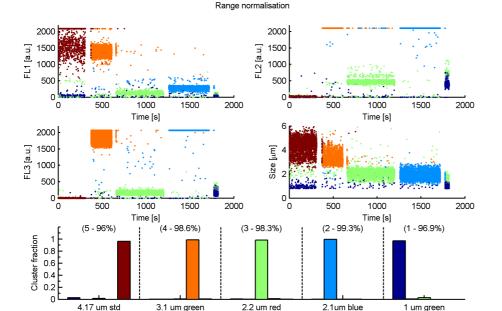


Figure 3. Time series of PSL samples with data points coloured by cluster assignment for ward linkage and range normalisation. Bottom panel shows the fraction of each cluster assigned to each sample with the most populated cluster annotated above.

Sample

AMTD

8, 7303-7333, 2015

Cluster analysis of **WIBS** data

I. Crawford et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures









Full Screen / Esc

Printer-friendly Version



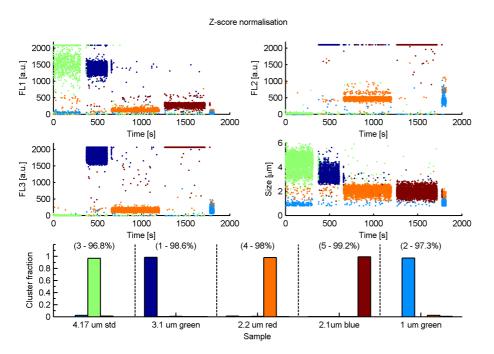


Figure 4. Same as Fig. 3 but for ward linkage and *z*-score normalisation.

AMTD

8, 7303-7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.





8, 7303-7333, 2015

Cluster analysis of WIBS data

AMTD

I. Crawford et al.



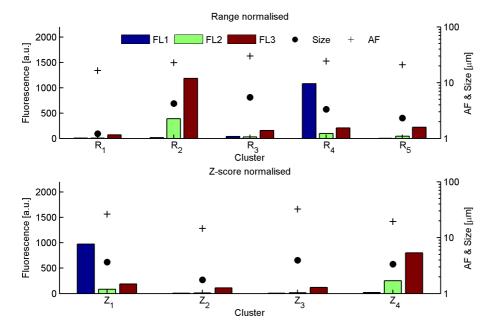


Figure 5. Same as Fig. 2 but for BEACHON-RoMBAS ambient data.

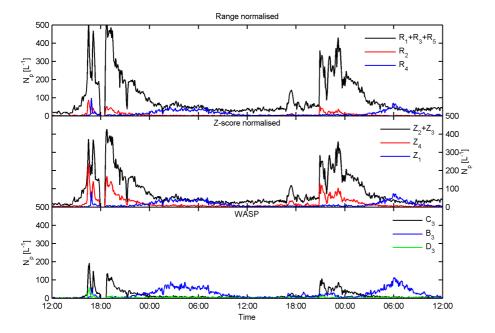


Figure 6. Time series of BEACHON-RoMBAS cluster concentrations using ward linkage with range (top) and *z*-score (middle) normalisation as compared to the solutions obtained using WASP (bottom) for the period 12:00 p.m. 26 July 2011 to 12:00 28 July 2011. Clusters with similar centroids have been combined. See text for details.

AMTD

8, 7303-7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

Close

Full Screen / Esc

Back

Printer-friendly Version





Discussion Paper

Discussion Paper

Printer-friendly Version



Interactive Discussion

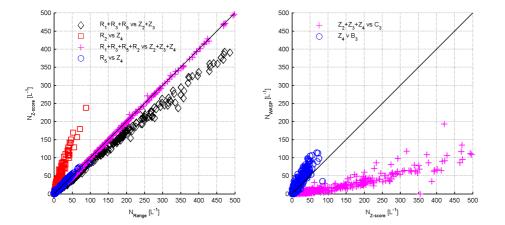


Figure 7. Left: comparison of Ward linkage cluster concentrations using range and z-score normalisation for BEACHON-RoMBAS. Right: comparison of Ward linkage cluster concentrations (z-score normalisation) to WASP cluster concentrations.

AMTD

8, 7303-7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.



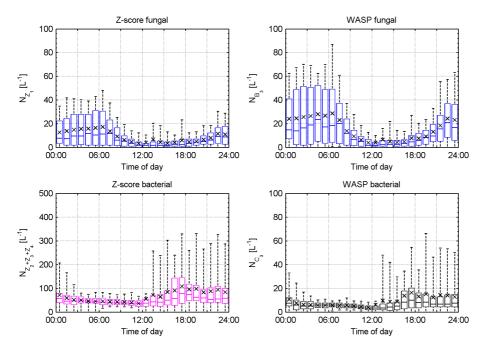


Figure 8. Top panels: hourly average diurnal cycle of fungal cluster concentration for *z*-score normalisation (left) and WASP (right) over the period 27 July 2011–07 August 2011. Bottom panels: same as for top panels but for the bacterial clusters. Whiskers denote 5th and 95th percentiles. Mean value indicated by x marker. Note change in scale for bacterial panels.

AMTD

8, 7303-7333, 2015

Cluster analysis of WIBS data

I. Crawford et al.

Title Page

Abstract Introduction

Conclusions References

Tables Figures

I

▶ I

Back Close

Printer-friendly Version

Full Screen / Esc

