

1 **On the comparisons of tropical relative humidity in the lower and middle troposphere**
2 **among COSMIC radio occultations, MERRA and ECMWF data sets**

3

4 Panagiotis Vergados¹, Anthony J. Mannucci¹, Chi O. Ao¹, Jonathan H. Jiang¹, and Hui Su¹

5

6 ¹ Jet Propulsion Laboratory, California Institute of Technology, Pasadena, California, USA

7

8 **Corresponding author:** P. Vergados, Jet Propulsion Laboratory M/S 138-310B, 4800 Oak
9 Grove Dr., Pasadena, CA, 91109, USA. (Panagiotis.Vergados@jpl.nasa.gov)

10

11

12

13

14

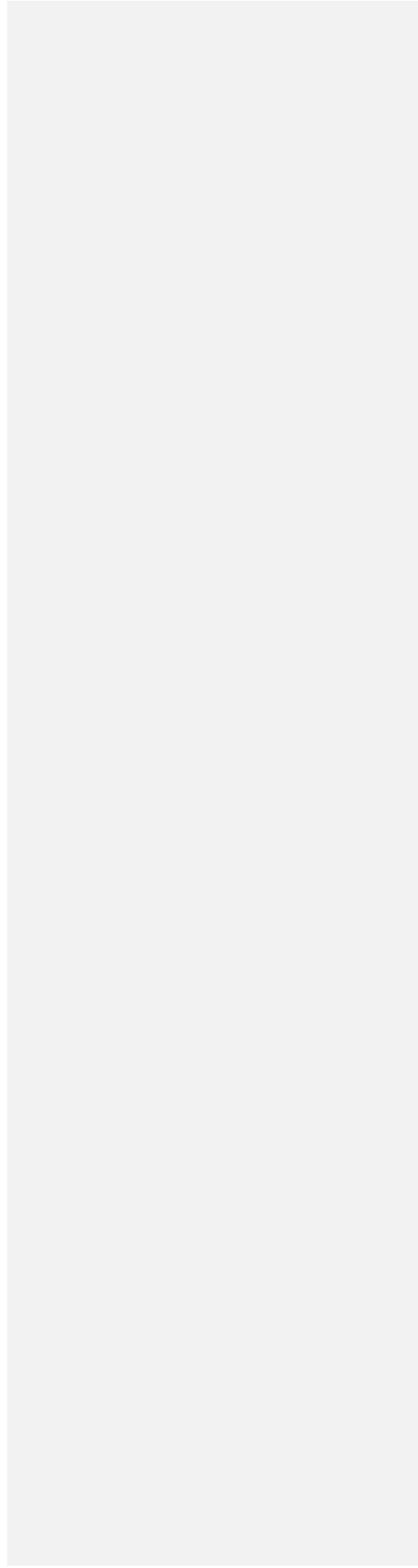
15

16

17

18

19



20 **Abstract.** The spatial variability of the tropical tropospheric relative humidity (RH) throughout
21 the vertical extent of the troposphere is examined using Global Positioning System Radio
22 Occultation (GPSRO) observations from the Constellation Observing System for Meteorology,
23 Ionosphere and Climate (COSMIC) mission. These high vertical resolution observations capture
24 the detailed structure and moisture budget of the Hadley Cell circulation. We compare the
25 COSMIC observations with the European Center for Medium-range Weather Forecast
26 (ECMWF) Re-Analysis Interim (ERA-Interim) and the Modern-Era Retrospective analysis for
27 Research and Applications (MERRA) climatologies. Qualitatively, the spatial pattern of RH in
28 all data sets matches up remarkably well, capturing distinct features of the general circulation.
29 However, RH discrepancies exist between ERA-Interim and COSMIC data sets, which are
30 noticeable across the tropical boundary layer. Specifically, ERA-Interim shows a drier Inter
31 Tropical Convergence Zone (ITCZ) by 15–20% compared both to COSMIC and MERRA data
32 sets, but this difference decreases with altitude. Unlike ECMWF, MERRA shows an excellent
33 agreement with the COSMIC observations except above 400 hPa, where GPSRO observations
34 capture drier air by 5–10%. RH climatologies were also used to evaluate intraseasonal
35 variability. The results indicate that the tropical middle troposphere at $\pm 5\text{--}25^\circ$ is most sensitive to
36 seasonal variations. COSMIC and MERRA data sets capture the same magnitude of the seasonal
37 variability, but ERA-Interim shows a weaker seasonal fluctuation up to 10% in the middle
38 troposphere inside the dry air subsidence regions of the Hadley Cell. Over the ITCZ, RH varies
39 by maximum 9 % between winter and summer.

40

41

42

43 **1. Introduction**

44 Model simulations, reanalyses data sets, and satellite observations show large
45 discrepancies of the global humidity climatology. *Tian et al.* [2013] showed that the tropical
46 boundary layer in the Modern-Era Retrospective Analysis for Research and Applications
47 (MERRA) is 10% drier than the Atmospheric Infrared Sounder (AIRS) observations. Yet, above
48 700 hPa MERRA shows a wetter environment than AIRS by more than 20%. These values are
49 recorded over the Inter Tropical Convergence Zone (ITCZ) – a region characterized by deep
50 convection and persistent cloud coverage. They also reported that a composite of 16 climate
51 models from the Coupled Model Intercomparison Project Phase 5 (CMIP5) archive is 15% drier
52 than the AIRS observations below 600 hPa, but 30% wetter in the middle and upper troposphere.

53 *Jiang et al.* [2012] presented that CMIP5 models are twice as moist as the AIRS and the
54 Microwave Limb Sounder (MLS) observations in the upper troposphere, but in the middle
55 troposphere CMIP5 are moister than AIRS and MLS by 10%. *Chuang et al.* [2010] reported
56 large differences in the interannual anomaly of the upper troposphere humidity between CMIP5
57 models, ECMWF data sets, and AIRS observations over deep convective regions. *Chen et al.*
58 [2008] showed disparities in the humidity field in ERA-40 and NCEP reanalyses – also
59 documented by *Huang et al.* [2005], who had found inconsistent interannual variabilities of the
60 tropical humidity among the ERA-40 and the NCEP reanalyses with respect to the Geophysical
61 Fluid Dynamics Laboratory (GFDL) AM2 model, and the High Resolution Infrared Radiation
62 Sounder (HIRS) observations. *John and Soden* [2007] documented that CMIP3 models show a
63 PBL that is 25% drier than AIRS and ECMWF data sets, while they reported a significant moist
64 bias in the free troposphere of up to 100%. Such discrepancies lead to undesirable

65 inconsistencies among models, reanalyses, and remote sensing platforms, which have greater
66 repercussions in weather forecasting and climate research and their future projections.

67 A viable path towards improving the current models, reanalyses, and satellite
68 observational skills in capturing the water vapor's dynamics is to have observations that are as
69 independent from weather and climate models and reanalyses as possible. Despite the
70 advancements in space-based remote sensing, caveats still exist even in the satellite records. In
71 particular, clouds may contaminate infrared (IR)-based observing platforms (e.g., AIRS [*Fetzer*
72 *et al.*, 2006]), while modeling errors of the Earth's limb radiances can impact microwave (MW)
73 sounder retrievals (e.g., MLS [*Read et al.*, 2007]), introducing biases in the derived humidity
74 climatologies. Both IR and MW sounders have a coarse vertical resolution (e.g., 2–3 km) that is
75 inadequate to resolve the detailed vertical structure of water vapor. *Lin et al.* [2012] and *Boyle*
76 *and Klein* [2010] emphasized that having high spatial resolution atmospheric data, vertically
77 resolved, makes model convection parameterization more responsive to environmental
78 conditions, while *Tompkins and Emanuel* [2000] quantified the required vertical resolution to
79 properly characterize the humidity climatology to be 25 hPa (or ~100 m). Ground-based *in-situ*
80 measurements (e.g., radiosondes, lidars, and radars) are limited over land lacking information
81 over oceanic regions, while different reanalyses exhibit considerable differences (even after the
82 assimilation of satellite observations).

83 There is an increased need for an improved definition of the Earth's global humidity
84 climatology, which could help discern current discrepancies in models, reanalyses, and
85 observations. *Carlowicz* [1996] emphasized that better tools are needed to measure water vapor,
86 suggesting the Global Positioning System Radio Occultation (GPSRO) technique as a strong
87 candidate, due to its unique characteristics that are valuable to atmospheric monitoring: all-

88 weather sensing, high vertical resolution (100–200 m; *Kursinski et al.* [2000]; *Schmidt et al.*
89 2005]), high specific humidity accuracy (< 1.0 g/Kg), high temperature accuracy (< 0.5 K), and
90 sampling of the full diurnal cycle. On these reasons, we propose constraining past and present-
91 day humidity climatologies by using GPSRO observations. Together with state-of-the-art
92 reanalyses, GPSRO data sets have the potential to greatly improve the current global humidity
93 climatology and its related feedbacks.

94 In 1995, the GPS/METEorology (GPS/MET) radio occultation (RO) experiment
95 demonstrated how atmospheric refractivity, temperature, and water vapor profiles are obtained
96 [*Rocken et al.*, 1997]. Since then, numerous RO missions* have flown, and currently fly,
97 exploring the capabilities of the RO technique as a complementary data set to the existing data
98 records. The National Research Council (NRC) Decadal Survey for Earth Science [*NRC*, 2007]
99 identified radio occultations (ROs) as a critical measurement for weather and climate
100 observations highlighting the fact that all of the appropriate Low Earth Orbit (LEO) missions
101 should include a GPS receiver to augment operational measurements of temperature and water
102 vapor. *Kursinski et al.* [1997], *Rocken et al.* [1997], *Kursinski and Hajj* [2001], and *Colard and*
103 *Healey* [2003] described the retrieval process of humidity profiles from GPSRO observations.
104 *Steiner et al.* [1999], *Gorbunov and Kornblueh* [2001], *Divakarla et al.* [2006], *Ho et al.* [2007],
105 *Chou et al.* [2009], *Ho et al.*, [2010], *Sun et al.* [2010], *Gorbunov et al.* [2011], *Kishore et al.*,
106 [2011], *Wang et al.* [2013], and *Vergados et al.* [2014] validated the GPSRO-based humidity
107 retrievals against reanalyses, radiosondes, and satellite observations, while recently *Kursinski*

* Challenging Mini-Satellite Payload (CHAMP); Constellation Observing System for Meteorology, Ionosphere and Climate (COSMIC); Meteorological Operational Polar Satellite–A (MetOP-A); Gravity Recovery and Climate Experiment (GRACE); TerraSAR-X

108 *and Gebhardt* [2014] reported an innovative technique to further reduce and eliminate retrieval
109 biases in the middle troposphere humidity products.

110 The overarching objective of this study is to use the GPSRO data sets to characterize the
111 tropical humidity climatology. We will conduct our analysis over a seasonal time scale. This is
112 because the spatial patterns and the seasonal cycle of RH are fundamental energy balance
113 quantities and play a critical role to climate research. We will compare the GPSRO observations
114 against ECMWF and MERRA data sets to observationally constrain the strength of seasonal
115 variability in the reanalyses. Our effort on constraining humidity exemplifies an end-to-end
116 application of evaluating and validating the complementarity of GPSRO observations, while
117 gaining new insights about the representation of moist convection that is not properly captured
118 by the reanalyses [e.g., *Dai*, 2006; *Holloway and Neelin*, 2009; *Hannay et al.*, 2009; *Frenkel et*
119 *al.*, 2012], and help provide guidelines for future model improvements.

120 The novelty of our study lies on the fact that we are the first to compare GPSRO
121 observations with MERRA data sets. The motivation for this study resides on the fact that
122 MERRA does not assimilate GPSRO products (unlike ECMWF), providing an additional step
123 towards assessing the GPSRO humidity profiles. Such a study will also provide further insight
124 about the water vapor dynamics, as well as will help us constrain current model physics. We
125 ought to properly characterize the GPSRO-based humidity climatology and place it into
126 perspective with current reanalyses, in order to explore its potential towards advancing our
127 knowledge on tropical weather and climate research. This paper is organized as follows. Section
128 2 describes the datasets, while section 3 presents and discusses our results. Section 4 provides a
129 summary of our current research and our concluding remarks, followed by recommendations on
130 future directions.

131 **2 Data sets**

132 We analyze RH climatologies from GPSRO observations, and ECMWF and MERRA
133 data sets during winter 2007–2009 (December–January–February (DJF)) and summer 2007–
134 2009 (June–July–August (JJA)). We focus at the tropics and subtropics (40°S–40°N) around the
135 globe (180°W–180°E), because this latitudinal belt contains the majority of water vapor and has
136 been identified to be the most sensitive to climate change.

137

138 **2.1 Constellation Observing System for Meteorology, Ionosphere and Climate**

139 COSMIC is a constellation of six microsattellites placed in near-circular Low Earth Orbit
140 (LEO) at ~ 800 km altitude [Schreiner *et al.*, 2007]. They record the phase and amplitude of dual
141 frequency L-band GPS signals ($f_1=1.57542$ GHz; $f_2=1.22760$ GHz) as a function of time. The
142 time derivative of these phase measurements provides an estimate of the Doppler shift of the
143 GPS signals, due to the presence of the Earth’s atmosphere (provided ionospheric contributions
144 have been removed from the observations). Together with COSMIC and GPS orbital information
145 (position and velocity vectors), the Doppler is used to estimate the bending of the GPS signals,
146 from which the refractivity is extracted [Ho *et al.*, 2009]. The relative motion of the COSMIC
147 and GPS satellite pair allows for the vertical scanning of the atmosphere, and the retrieval of
148 vertical profiles of atmospheric refractivity, which in turn contains temperature and humidity
149 information. The GPS L-band frequencies have low sensitivity to clouds and precipitation
150 making them especially useful over cloudy regions.

151 Here, we use the forward refractivity operator [e.g., Smith and Weintraub, 1953;
152 Kursinski *et al.*, 1997; Hajj *et al.*, 2002; Heise *et al.*, 2006] to compute the water vapor pressure:

Panagiotis Vergados 3/9/2015 5:10 PM

Comment [1]:

That was a typo. The correct years, as indicated in all figures is 2007, 2008, and 2009.

$$N = 77.6 \frac{P}{T} + 3.73 \cdot 10^5 \frac{e}{T^2} \Leftrightarrow e = \frac{1}{3.73 \cdot 10^5} (NT^2 - 77.6PT) \quad [1]$$

153

154 Where N (unitless) is the COSMIC refractivity, P (mbar) is the pressure, T (K) is the
155 ECMWF temperature and e (mbar) is the GPSRO-derived water vapor pressure. The refractivity
156 data are obtained from the “wetPrf” COSMIC data files with a vertical resolution of 100 m in the

157 troposphere, while the temperature profiles are provided by ECMWF analysis. We decide to use

158 this method, instead of the “wetPrf” profiles humidity that are the product of a variational

159 assimilation using *a-priori* atmospheric state, because we would like to be as independent from

160 *a-priori* humidity information and its associated errors as possible. Given the COSMIC

161 refractivity accuracy of ~1% at 2 km and ~0.2% at 6–8 km [Schreiner *et al.*, 2007], the major

162 error in the humidity retrieval is the *a-priori* temperature information and its error characteristics.

163 Thus, given robust temperature retrievals from independent data sets, we can solve for the

164 humidity while meticulously quantifying the uncertainties arising from the temperature profiles

165 (cf., Section 3.3). Because Eq. (1) requires that both the GPSRO and the ECMWF data sets be

166 reported at the same pressure levels, we interpolate the ECMWF temperature profiles into the

167 vertical grid of the GPSRO profiles using linear interpolation.

168 Rienecker *et al.* [2011] report that MERRA follows closely the ECMWF temperature

169 variability at monthly and seasonal time-scales, especially in the lower and middle troposphere

170 that is well constrained by radiosonde observations. In particular, at 500 hPa, both analyses show

171 indistinguishable interannual variability, and only at 200 hPa MERRA exhibits a bias of the

172 order of 0.5 K while ECMWF shows the half of that. Therefore, there is no advantage of

173 selecting an analysis over another, given that our own analysis treats multi-year climatology data

174 sets. Hence, in Section 3.3, we performed a sensitivity analysis of the retrieved GPSRO relative

Panagiotis Vergados 3/3/2015 5:14 PM

Comment [2]:

Reviewer #2:

Comment #1 – Addressed.

Panagiotis Vergados 3/3/2015 5:14 PM

Comment [3]:

Reviewer #1:

Comment #1 – Addressed.

Panagiotis Vergados 3/11/2015 10:57 AM

Comment [4]:

Reviewer #1:

Comments #2, #3 and #4 – Addressed.

175 humidity products on temperature uncertainty by introducing a ± 1.0 K temperature error
176 throughout the vertical extent of the troposphere. These results serve as a guide to qualitatively
177 and quantitatively guide the reader of the structural differences of the GPSRO relative humidity
178 products. Additionally, ECMWF is the analysis routinely used by numerous researchers and by
179 the COSMIC Data Analysis and Archive Center (CDAAC) for the retrieval of the GPSRO water
180 vapor pressure profiles.

181 The COSMIC Data Analysis and Archive Center (CDAAC) provides both the COSMIC
182 and the ERA-Interim profiles (cf., cdaac-www.cosmic.ucar.edu/cdaac/). We use the water vapor
183 pressure derived from Eq. (1) to estimate RH with respect to liquid water, which is the World
184 Meteorological Organization (WMO) standard measurement, using:

185

$$RH = \frac{e}{e_s} \times 100\% \quad [2]$$

$$e_s = 6.112 \cdot \exp\left(\frac{17.62 \cdot T}{T + 243.12}\right) \quad [3]$$

186

187 Where e_s (hPa) is the saturation water vapor pressure and T ($^{\circ}\text{C}$) is the temperature. This
188 formula is from the WMO Guide to Meteorological Instruments and Methods of Observation
189 (CIMO Guide, WMO No. 8) formulation [WMO, 2008].

190

191 **2.2 Modern-Era Retrospective Analysis for Research and Application (MERRA, v5.2.0)**

192 From MERRA (v5.2.0) [Rienecker *et al.*, 2011], we use relative humidity (RH)
193 estimations with respect to liquid water available at the Giovanni – Interactive Visualization and

194 Analysis – GES-DISC. The data can be downloaded from <http://gdata1.sci.gsfc.nasa.gov/daac->
195 [bin/G3/gui.cgi?instance_id=MERRA_MONTH_3D](http://gdata1.sci.gsfc.nasa.gov/daac-bin/G3/gui.cgi?instance_id=MERRA_MONTH_3D) and are given in a 1.25°x1.25° latitude–
196 longitude grid and 25 vertical pressure levels in the troposphere. The vertical resolution between
197 the surface and up to 700 hPa is 25 hPa, while between 700 hPa and 300 hPa the vertical
198 resolution becomes coarser decreasing to 50 hPa.

199 MERRA is a NASA analysis based solely on assimilation of satellite observations using
200 Goddard’s Earth Observing System (GOES) version 5.2.0 Data Assimilation System (DAS)
201 [Rienecker *et al.*, 2008]. It primarily assimilates radiances from the AIRS instrument, the
202 Advanced Television and Infrared Observatory Spacecraft Operational Vertical Sounder
203 (ATOVS), and the Special Sensor Microwave Imager (SSM/I). We refer the reader to figure 4 in
204 Rienecker *et al.* [2011] for a detailed description of the rest of the data sets currently being
205 assimilated. The major advantage of using MERRA data sets in this study is that it does not
206 assimilate GPSRO products.

207

208 **2.3. European Center for Medium-Range Weather Forecasts Interim Re-Analysis**

209 ERA-Interim is one of the most advanced global atmospheric models simulating the state
210 of the atmosphere with accuracy similar to what is theoretically possible [Simmons and
211 Hollingsworth, 2002] using a 4DVar method [Simmons *et al.*, 2005]. Primarily, it assimilates
212 radiosonde humidities and AIRS radiances and as November 1, 2006 GPSRO bending angle
213 profiles [Dee *et al.*, 2011]. As a global analysis grid, it can be interpolated to a desired location
214 and its accuracy is based on the error characteristics of the assimilated data. Currently, ERA-
215 Interim uses the T255 grid scheme that translates to approximately 80 km horizontal resolution,
216 and uses 37 vertical pressure levels between 1000 hPa and 1 hPa, with 11 pressure levels

217 available in the troposphere. The ERA-Interim profiles are obtained by the CDAAC database.

218

219 **3. Results**

220 **3.1. Diagnosing the spatial distribution of relative humidity using GPSRO observations**

221 Figure 1 presents the 3-yr zonal-mean RH climatology over the tropics and subtropics (\pm
222 40°) during summer and winter as a function of pressure level and latitude. A direct comparison
223 among all data sets indicates that the spatial distribution patterns of the RH fields match up
224 remarkably well. All data sets display an upward current of moist air, from the lower to the upper
225 troposphere around the equatorial latitudes, which coincides with the ITCZ location. In the
226 middle troposphere, we identify regions of low RH fields centered at $\pm 20\text{--}25^\circ$ between 600 hPa
227 and 500 hPa in both hemispheres, representing areas of dry air subsidence. All these are well-
228 documented features of the Hadley Cell circulation, which are also captured by GPSRO data.

229 Despite the qualitative agreement among the data sets, it is the magnitude of the RH
230 differences with respect to one another we are interested, as we want to: **a)** investigate the
231 GPSRO products, and **b)** examine the reanalyses' representativeness of tropical moist
232 convection. To the best of our knowledge, this is the first time that GPSRO observations are used
233 to study the 3D spatial patterns of the moist thermodynamic budget of the Hadley Cell
234 circulation (that encompasses the ITCZ) and place an observational constraint on the reanalyses
235 data.

236

237 **3.1.1. Comparing GPSRO observations with ECMWF reanalysis**

238 GPSRO observations indicate that the boundary layer (900–700 hPa) over the ITCZ (and
239 in all other latitudes) is systematically moister than ECMWF (cf., Figs. 1, 2). The RH differences

240 are the largest around the equatorial belt, and their magnitude varies with pressure level and
241 geographic location. During winter, we report a maximum absolute difference of ~10% at 900
242 hPa that grows to ~20% at 700 hPa, while during summer these differences are smaller. In the
243 winter middle troposphere (700–500 hPa), GPSRO shows again a wetter ITCZ than ECMWF by
244 5–15%, but at higher latitudes both GPSRO and ECMWF agree remarkably well, because the
245 computed RH differences fall within the GPSRO RH retrieval errors. During summer we notice
246 the same behavior, although the RH differences are smaller than the winter season.

247 Moving higher into the troposphere (< 500 hPa), the GPSRO observations and the
248 ECMWF data set capture well the moisture budget of the ITCZ; however, moving northward the
249 GPSRO observations indicate a moister environment than ECMWF. This behavior is again the
250 same during both seasons. Quantitatively, the GPSRO results are in very good agreement with
251 *Kursinski and Hajj* [2001], who also reported that the NCEP reanalysis captures a wetter ITCZ
252 than the GPS/MET observations by more than 10% in the summer of 1995. Also, *Kishore et al.*
253 [2011] showed that the COSMIC observations are moister than both the ECMWF (by 3–8%) and
254 the Japanese 25-Year Re-Analysis (JRA) (by 2–20%) at tropical regions ($\pm 20^\circ$) in the 2006–
255 2009 period. *Chou et al.* [2009], although conducting their analysis over a small region off the
256 coast of Taiwan, also reported that the NCEP/NCAR reanalysis is more than 30% moister than
257 the COSMIC observations at the 400–300 hPa pressure layer.

258

259 **3.1.2. Comparing GPSRO observations with MERRA reanalysis**

260 Relative to MERRA data sets, both during the summer and winter seasons, GPSRO
261 observations show a slightly drier boundary layer at 900 hPa, but this dryness quickly disappears
262 at higher altitudes, demonstrating an excellent agreement between the two data sets (cf., Figs 1–

263 3). Quantitatively, the maximum absolute RH difference is found over the ITCZ at 900 hPa
264 having a value of ~15%, but decreases significantly down to less than 3% aloft. The magnitude
265 of the reported differences is smaller than the GPSRO RH retrieval errors marking an excellent
266 agreement between MERRA and GPSRO across the entire tropical region, which is statistically
267 significant to the 95% confidence level. In the middle troposphere, between 700 hPa and 400
268 hPa, GPSRO and MERRA data sets show again an excellent agreement with the magnitude of
269 the RH differences having a value of less than 3% at all latitudes.

270 It is at 400 hPa when we start noticing that GPSRO observations are drier than the
271 MERRA data sets by 5%. This dryness increases to 15% at 300 hPa over the ITCZ and the rest
272 of the tropical region. Such discrepancies are shown in both seasons. Despite the quantitative
273 differences of the RH in the upper troposphere, qualitatively, GPSRO and MERRA data sets are
274 in excellent agreement as they both capture the spatial variability of the RH in both hemispheres.

275

276 **3.2. Diagnosing the seasonal variability of relative humidity from GPSRO observations**

277 Previous studies by *Su et al.* [2014], *Fasullo and Trenberth* [2012] and *Hall and Qu*
278 [2006] highlighted the fact that seasonal variations of RH are representative of their relationship
279 under global warming. Hence, it is of first-order importance to cross-compare and constrain the
280 present-day seasonal cycle of RH among different data sets, in order to advance our knowledge
281 of the behavior of the Earth's energy and humidity climatology in future climate projections.
282 Figure 4 shows the seasonal RH variability as the difference between the summer and winter
283 climatologies derived in Section 3.1, separately for each data set. Qualitatively, all data sets
284 match up remarkably well capturing the same spatial patterns.

285 Current analysis indicates that the middle troposphere (700–500 hPa) centered at ± 5 – 25°
286 in both hemispheres shows the maximum RH seasonal differences, indicating that it is the most
287 sensitive region to seasonal variations. Quantitatively, both GPSRO observations and MERRA
288 data sets show RH differences of -30% (southern hemisphere) and $+36\%$ (northern hemisphere),
289 whereas the ECMWF reanalysis differences range between -22% (southern hemisphere) and
290 $+28\%$ (northern hemisphere). Quantitatively, our estimated differences from GPSRO, MERRA,
291 and ECMWF are in very close agreement with recently published research using the latest AIRS
292 (v. 6) observations [Ruzmaikin *et al.*, 2014], who reported equatorial RH fluctuations of $\sim 30\%$.
293 Although GPSRO observations and MERRA reanalysis show the same range of RH seasonal
294 variations, the ECMWF reanalysis presents a weaker seasonal variability by about 10%.

295 Over the ITCZ, around the equatorial belt, all data sets indicate that RH varies the least
296 between winter and summer throughout the vertical extent of the troposphere. We report RH
297 differences from GPSRO observations, and ECMWF and MERRA reanalyses of the order of:
298 ~ 3 – 5% , ~ 3 – 7% , and ~ 2 – 9% , respectively. All data sets agree on the magnitude of the seasonal
299 variations of RH, whereas their small range implies that ITCZ climatology is not as sensitive to
300 seasonal cycle, unlike the middle troposphere inside the dry subsidence regions of the Hadley
301 Cell circulation.

302

303 **3.3. Error characterization of the GPSRO humidity on temperature uncertainty**

304 The percentage error of the GPSRO–derived RH profiles, due to temperature errors, at a
305 certain pressure level is mathematically expressed as (after accounting Eqs. (2, 3)), and is shown
306 in Fig. 5 as a function of pressure level:

307

$$\frac{\delta RH}{RH} = \frac{\left(\frac{\partial RH}{\partial T}\right)}{RH} \cdot \delta T \quad \longleftrightarrow \quad \frac{RH = \frac{e}{e_s}}{\left[\frac{2NT}{b \cdot e_s} - \frac{aP}{b \cdot e_s} - \frac{4.284 \cdot 10^3 \cdot T^2 \cdot \left(N - \frac{aP}{T}\right)}{b \cdot e_s (T - 30.14)^2} \right]} \cdot \frac{\delta T}{RH} \quad [4]$$

309

310 In Fig. 5, we have used one-year worth of data (summer and winter 2007) and have
 311 assumed a temperature error of ± 1.0 K at all pressure levels and latitudes. The results indicate
 312 that the RH error increases with increasing altitude, due to the decreasing water vapor
 313 concentration (and consequently its contribution to the atmospheric refractivity). Quantitatively,
 314 the RH error obtains a value smaller than 5% in the lower troposphere and smaller than 9% in the
 315 middle troposphere. These results are also in a very good agreement with *Vergados et al.* [2014],
 316 who estimated a $< 3\%$ and $< 8\%$ GPSRO RH retrieval error in the lower and middle troposphere
 317 with respect to collocated radiosondes at $\pm 30^\circ$, respectively, for a temperature error of ± 1.0 K.
 318 Above 400 hPa, Fig. 5 shows an increase of the RH error up to 30% at 300 hPa.

319 The magnitude of the retrieval error in the lower and middle troposphere is smaller than
 320 the reported differences between the GPSRO and ECMWF reanalysis in section 3, marking the
 321 statistical significance of the observed discrepancies within the boundary layer and aloft.
 322 However, in the upper troposphere, the retrieval error grows larger than the documented GPSRO
 323 and ECMWF differences, and consequently, we can not derive a statistically significant
 324 conclusion about the observed discrepancies.

325

326 4. Discussion and conclusions

327 Figures 1–3 present that MERRA reanalysis and GPSRO observations are in excellent
 328 agreement capturing the tropical humidity climatology, both qualitatively and quantitatively, in
 329 the lower and middle troposphere. Excluding pressure layers below 900 hPa and above 400 hPa
 330 (where the atmospheric conditions render the GPSRO-derived RH fields less accurate), the

Panagiotis Vergados 3/11/2015 10:47 AM
 Comment [5]:
 Reviewer #1
 Comment #5 – Addressed.

331 Pearson correlation coefficient between the two data sets for both seasons is greater than 0.80 at
332 the 95% confidence level based on the Student *t*-test statistics. In the upper troposphere, the
333 observations suggest a drier environment than MERRA by ~15%. Most importantly, these two
334 data sets are independent, as MERRA does not assimilate any GPSRO product; hence, their
335 degree of correlation and statistical differences is a strong indicator of the quality of the GPSRO-
336 derived RH climatology.

337 Figures 1–3 show that the ECMWF reanalysis is systematically drier than the GPSRO
338 observations throughout the vertical extent of the troposphere, although this disagreement
339 becomes smaller closer to the upper troposphere. The maximum differences are found over the
340 ITCZ location and can reach up to 30%, suggesting that ECMWF underestimates the moisture
341 budget of the ascending branch of the Hadley Cell circulation. Northward from the ITCZ and at
342 higher altitudes, the disagreement between the two data sets diminishes and falls within the
343 estimated GPSRO RH uncertainty errors [e.g., *Vergados et al.*, 2014; *Kursinski and Gebhardt*,
344 2014], thus becoming statistically insignificant. In the upper troposphere, both ECMWF and
345 GPSRO data sets capture properly the moisture budget of the ITCZ, albeit we start noticing small
346 RH differences within the dry subsiding regions northward from the ITCZ.

347 Figure 1 demonstrates that both MERRA and GPSRO data sets capture the same strength
348 of the winter and summer large-scale atmospheric ascent, which hydrates the middle and the
349 upper troposphere, markedly noticeable over the ITCZ. During summer, we observe a sharper
350 and more organized convection than during winter. Although ECMWF is qualitatively similar to
351 MERRA and GPSRO data sets during summer, it underestimates the strength of hydration during
352 winter. Based on *Huang et al.* [2006] and *John and Soden* [2007] theory that moisture vertical
353 transport from the lower to the upper troposphere (mainly due to deep convection) should be

354 responsible for the documented model discrepancies, we conclude that GPSRO captures stronger
355 convection than ECMWF.

356 Figure 4 shows that at seasonal time scales GPSRO observations, and MERRA and
357 ECMWF reanalyses capture the same RH patterns, with the middle troposphere over the regions
358 of dry air subsidence (cf., Figs. 1 and 4) is most sensitive to seasonal oscillations. The GPSRO
359 and MERRA data sets show an excellent agreement in capturing the magnitude of the seasonal
360 variability of RH; however, ECMWF shows a weaker seasonal oscillation by ~10%.

361 Finally, we must clarify that during summer (JJA) in 2007, 2008 and 2009, the El Niño
362 Southern Oscillation (ENSO) index was <0.4 (in absolute value). We had a weak El Niño event
363 in the winter (DJF) of 2006–2007 period (+0.7), a moderate La Niña in the winter of 2007–2008
364 period (-1.5), and a weak La Niña during the winter of 2008–2009 period (-0.8). For reference,
365 the ENSO index time series from 1950 to present fluctuates within the (-3, 3) range
366 (<http://www.esrl.noaa.gov/psd/enso/mei/>). Hence, although ENSO is contained in all data sets,
367 there is no strong forcing present. Such a natural variability affects the Earth’s temperature field
368 throughout the vertical extent of the troposphere and stratosphere [Randel et al., 2009], if not
369 higher up, at all latitudinal belts. Additionally, GPSRO observations’ unprecedented vertical
370 resolution and global coverage provides a more detailed picture of the tropical 3D thermal
371 structure than MERRA and ECMWF reanalysis. Consequently, one could argue that the GPSRO
372 observations might better capture the ENSO signal than the ECMWF and MERRA reanalyses.
373 To-date, numerous studies have demonstrated GPSRO observations’ potential of capturing such
374 a natural variability [Lackner et al., 2011; Steiner et al., 2011; Scherllin-Pirscher et al., 2012].

375
376

Panagiotis Vergados 3/12/2015 2:01 AM
Comment [6]:
Reviewer #1
Comment #8 – Addressed.

Panagiotis Vergados 3/11/2015 10:46 AM
Comment [7]:
Reviewer #1
Comment #9 – Addressed.

377 **Acknowledgments:**

378 This research was carried out at the Jet Propulsion Laboratory, California Institute of
379 Technology, under a contract with the National Aeronautics and Space Administration. We thank
380 the Giovanni – Interactive Visualization and Analysis tool for making publicly available the
381 MERRA and GPCP data sets, as well as the University Corporation for Atmospheric Research
382 (UCAR) for providing the COSMIC data sets.

383

384

385

386

387

388

389

390

391

392

393

394

395

396

397

398

399

400 **References:**

- 401 Boyle, J., and S. A. Klein (2010), Impact of horizontal resolution on climate model forecasts of
402 tropical precipitation and diabatic heating for the TWP-ICE period, *J. Geophys. Res.*,
403 **115**, D23113, doi:10.1029/2010JD014262
- 404 Carlowicz, M., (1996), Scientists need better tools to measure water vapor, *Eos Trans. AGU*,
405 *77*(2), **11**, doi:10.1029/95EO00011
- 406 Chen, J., A. D. Del Genio, B. E. Carlson, and M. G. Bosilovich (2008), The spatiotemporal
407 structure of twentieth-century climate variations in observations and reanalyses. Part I:
408 Long-term trend., *J. Clim.*, **21**, pp. 2611-2633, doi:10.1175/2007JCLI2011.1
- 409 Chou, M.-D., C.-H. Weng, and P.-H. Lin (2009), Analyses of FORMOSAT-3/COSMIC humidity
410 retrievals and comparisons with AIRS retrievals and NCEP/NCAR reanalyses, *J.*
411 *Geophys. Res.*, **114**, D00G03, doi:10.1029/2008JD010227
- 412 Dai, A. (2006), Precipitation characteristics in eighteen coupled climate models, *J. Climate*, **19**,
413 pp. 4605–4630, doi:http://dx.doi.org/10.1175/JCLI3884.1
- 414 Divakarla, M. G., C. D. Barnet, M. D. Goldberg, L. M. McMillin, E. Maddy, W. Wolf, L. Zhou,
415 and X. Liu (2006), Validation of Atmospheric Infrared Sounder temperature and water
416 vapor retrievals with matched radiosonde measurements and forecasts, *J. Geophys.*
417 *Res.*, **111**, D09S15, doi:10.1029/2005JD006116
- 418 Fasullo, J. T., and K. E. Trenberth (2012), A less cloudy future: The role of subtropical
419 subsidence in climate sensitivity, *Science*, **338**, pp. 792–794,
420 doi:10.1126/science1227465
- 421 Frenkel, Y., A. J. Majda, and B. Khouider (2012), Using the Stochastic Multicloud Model
422 to Improve Tropical Convective Parameterization: A Paradigm Example, *J. Atmos.*

423 *Sci.*, **69**, pp. 1080–1105

424 Gorbunov, M. E., and L. Kornblueh (2001), Analysis and validation of GPS/MET radio
425 occultation data, *J. Geophys. Res.*, **106**(D15), pp. 17,161–17,169

426 Gorbunov, M. E., A. V. Shmakov, S. S. Leroy, and K. B. Lauritsen (2011), COSMIC Radio
427 Occultation Processing: Cross-Center Comparison and Validation. *J. Atmos. Oceanic
428 Technol.*, **28**, pp. 737–751, doi:http://dx.doi.org/10.1175/2011JTECHA1489.1

429 Hajj, G. A., E. R. Kursinski, L. J. Romans, W. I. Bertiger, and S. S. Leroy (2002), A technical
430 description of atmospheric sounding by GPS occultation, *J. Atmos. Sol. Terr. Phys.*, **64**,
431 pp. 451–469, doi:10.1016/S1364-6826(01)00114-6

432 Hannay, C., et al. (2009), Evaluation of forecasted southeast Pacific stratocumulus in the NCAR,
433 GFDL, and ECMWF models, *J. Clim.*, **22**, pp. 2871–2889, doi:10.1175/2008JCLI2479.1

434 Heise, S., J. Wickert, G. Beyerle, T. Schmidt, and Ch. Reigber (2006), Global monitoring of
435 tropospheric water vapor with GPS radio occultation aboard CHAMP, *Adv. Space Res.*,
436 **37**, pp. 2222–2227, doi:10.1016/j.asr.2005.06.066

437 Ho, S.-P., G. Kirchengast, S. Leroy, J. Wickert, A. J. Mannucci, A. Steiner, D. Hunt, W.
438 Schreiner, S. Sokolovskiy, C. Ao, M. Borsche, A. von Engeln, U. Foelsche, S. Heise, B.
439 Iijima, Y.-H. Kuo, R. Kursinski, B. Pirscher, M. Ringer, C. Rocken, and T. Schmidt
440 (2009), Estimating the uncertainty of using GPS radio occultation data for climate
441 monitoring: Intercomparison of CHAMP refractivity climate records from 2002 to 2006
442 from different data centers, *J. Geophys. Res.*, **114**, D23107, doi:10.1029/2009JD011969.

443 Ho, S.-P., Y.-H. Kuo, and S. Sokolovskiy (2007), Improvement of the temperature and moisture
444 retrievals in the lower troposphere using AIRS and GPS radio occultation measurements,
445 *J. Atmos. Oceanic Technol.*, **24**, pp. 1726–1737, doi:10.1175/JTECH2071.1

446 Ho, S.-P., X. Zhou, Y.-H. Kuo, D. Hunt, and J.-H. Wang (2010), Global Evaluation of
447 Radiosonde Water Vapor Systematic Biases using GPS Radio Occultation from COSMIC
448 and ECMWF Analysis, *Remote Sens*, **2**(5), pp. 1320-1330, doi:10.3390/rs2051320

449 Holloway, C. E., and J. D. Neelin (2009), Moisture vertical structure, column water vapor, and
450 tropical deep convection, *J. Atmos. Sci.*, **66**, pp. 1665–1683,
451 doi: <http://dx.doi.org/10.1175/2008JAS2806.1>

452 Huang, X., V. Ramaswamy, and M. D. Schwarzkopf (2006), Quantification of the source of
453 errors in AM2 simulated tropical clear-sky outgoing longwave radiation, *J. Geophys.*
454 *Res.*, **111**, D14107, doi:10.1029/2005JD006576

455 Jiang, J.H., et al. (2012), Evaluation of Cloud and Water Vapor Simulations in IPCC AR5
456 688 Climate Models Using NASA “A-Train” Satellite Observations, *J. Geophys. Res.*,
457 **117**, D14105, doi:10.1029/2011JD017237

458 John, V. O., and B. J. Soden (2007), Temperature and humidity biases in global climate models
459 and their impact on climate feedbacks, *Geophys. Res. Lett.*, **34**, L18704,
460 doi:10.1029/2007GL030429

461 Kishore, P., M. Venkat Ratnam, S. P. Namboothiri, I. Velicogna, G. Basha, J. H. Jiang,
462 K. Igarashi, S. V. B. Rao, and V. Sivakumar (2011), "Global (50S–50N) distribution of
463 water vapor observed by COSMIC GPS RO: Comparison with GPS radiosonde, NCEP,
464 ERA Interim, and JRA-25 reanalysis datasets", *JASTP*, **73**(13), pp. 1849–1860

465 Kursinski, E. R., and G. A. Hajj (2001), A comparison of water vapor derived from GPS
466 occultations and global weather analyses, *J. Geophys. Res.*, **106**(D1), pp. 1113–1138,
467 doi:10.1029/2000JD900421

468 Kursinski, E. R., G. A. Hajj, S. S. Leroy, and B. Herman (2000), The GPS radio occultation

469 technique, *Terr. Atmos. Ocean. Sci.*, **11**, pp. 53–114

470 Kursinski, E. R., G. A. Hajj, J. T. Schofield, R. P. Linfield, and K. R. Hardy (1997), Observing
471 Earth's atmosphere with radio occultation measurements using the Global Positioning
472 System, *J. Geophys. Res.*, **102**(D19), pp. 23,429–23,465, doi:10.1029/97JD01569

473 Kursinski, E. R., S. B. Healy, and L. J. Romans (2000), Initial results of combining GPS
474 occultations with ECMWF global analyses within a 1DVar framework, *Earth Planets
475 Space*, **52**, pp. 885–892

476 [Lackner, B. C., A. K. Steiner, G. C. Hegerl, and G. Kirchengast \(2011\), Atmospheric climate
477 change detection by radio occultation data using a fingerprinting method, *J.
478 Clim.*, **24**, 5275–5291, doi:10.1175/2011JCLI3966.1](#)

479 Lin, Y., et al. (2012), TWP-ICE global atmospheric model intercomparison: Convection
480 responsiveness and resolution impact, *J. Geophys. Res.*, **117**, D09111,
481 doi:10.1029/2011JD017018

482 Read, W. G., et al. (2007), Aura Microwave Limb Sounder upper tropospheric and lower
483 stratospheric H₂O and relative humidity with respect to ice validation, *J. Geophys.
484 Res.*, **112**, D24S35, doi:10.1029/2007JD008752

485 Rienecker, M. M., M. J. Suarez, R. Todling, J. Bacmeister, L. Takacs, H.-C. Liu, W. Gu,
486 M. Sienkiewicz, R. D. Koster, R. Gelaro, I. Stajner, and J.E. Nielsen (2008), The
487 GOES-5 Data Assimilation System – Documentation of versions 5.0.1, 5.1.0, and
488 5.2.0, *NASA Tech. Rep.*, Series on Global Modeling and Data Assimilation,
489 NASA/TM-2008-104606, **27**, 92 p.

490 Rienecker, M. M., and Coauthors (2011), MERRA: NASA's Modern-Era Retrospective
491 Analysis for Research and Applications. *J. Climate*, **24**, pp. 3624–3648,

492 doi: <http://dx.doi.org/10.1175/JCLI-D-11-00015.1>

493 Rocken, C., et al. (1997), Analysis and validation of GPS/MET data in the neutral
494 atmosphere, *J. Geophys. Res.*, **102**(D25), pp. 29849–29866, doi:10.1029/97JD02400

495 Ruzmaikin, A., H. H. Aumann, and E. M. Manning (2014), Relative Humidity in the
496 troposphere with AIRS. *J. Atmos. Sci.*, **71**, pp. 2516–2533,
497 doi: <http://dx.doi.org/10.1175/JAS-D-13-0363.1>

498 [Scherllin-Pirscher, B., C. Deser, S.-P. Ho, C. Chou, W. Randel, and Y.-H. Kuo \(2012\), The](#)
499 [vertical and spatial structure of ENSO in the upper troposphere and lower stratosphere](#)
500 [from GPS radio occultation measurements, *Geophys. Res. Lett.*, **39**, L20801,](#)
501 [doi:10.1029/2012GL053071](#)

502 Schmidt, T., S. Heise, J. Wickert, G. Beyerle, and C. Reigber (2005), GPS radio occultation with
503 CHAMP and SAC-C: Global monitoring of thermal tropopause parameters, *Atmos.*
504 *Chem. Phys.*, **5**, pp. 1473–1488

505 [Schreiner, W., C. Rocken, S. Sokolovskiy, S. Syndergaard, and D. Hunt \(2007\), Estimates of the](#)
506 [precision of GPS radio occultations from the COSMIC/FORMOSAT-3 mission,](#)
507 [Geophys. Res. Lett.](#), **34**, L04808, doi: 10.1029/2006GL027557

508 Simmons, A. J., and A. Hollingsworth (2002), Some aspects of the improvement in skill of
509 numerical prediction, *Q. J. R. Meteorol. Soc.*, **128**, pp. 647–677

510 Simmons, A. J., M. Hortal, G. Kelly, A. McNally, A. Untach, and S. Uppala (2005), ECMWF
511 analyses and forecasts of stratospheric winter polar vortex breakup: September 2002 in
512 the southern hemisphere and related events, *J. Atmos. Sci.*, **62**, pp.668–689

513 Smith, E., and S. Weintraub (1953), The constants in the equation for atmospheric
514 refractive index at radio frequencies, *Proceedings of the I.R.E.*, **41**, pp. 1035–1037

515 [Steiner, A. K., B. C. Lackner, F. Ladstädter, B. Scherllin-Pirscher, U. Foelsche, and G.](#)
516 [Kirchengast \(2011\), GPS radio occultation for climate monitoring and change](#)
517 [detection, *Radio Sci.*, **46**, RS0D24, doi:10.1029/2010RS004614](#)

518 Steiner, A. K., G. Kirchengast, and H. P. Ladreiter (1999), Inversion, error analysis, and
519 validation of GPS/MET occultation data, *Ann. Geophysicae*, **17**, pp. 122–138

520 Sun, B., A. Reale, D. J. Seidel, and D. C. Hunt (2010), Comparing radiosonde and COSMIC
521 atmospheric profile data to quantify differences among radiosonde types and the effects
522 of imperfect collocation on comparison statistics, *J. Geophys. Res.*, **115**, D23104,
523 doi:10.1029/2010JD014457

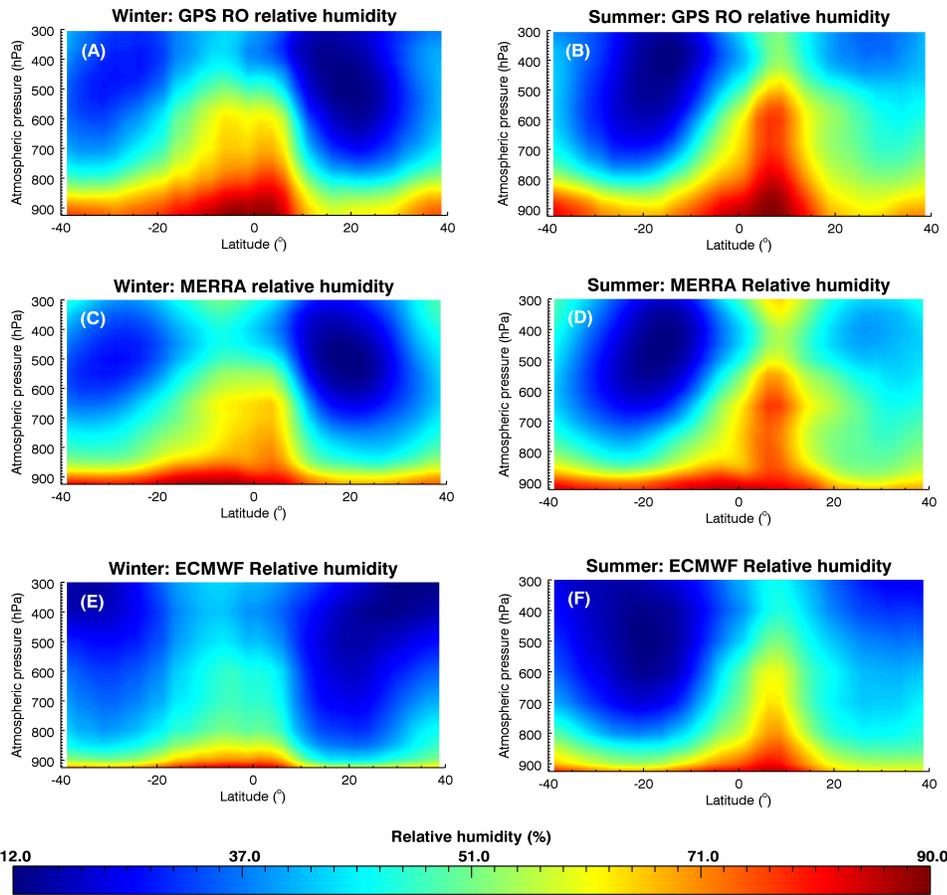
524 Tompkins, A. M., and K. A. Emanuel (2000), The vertical resolution sensitivity of simulated
525 equilibrium temperature and water-vapour profiles, *Q. J. R. Meteorol. Soc.*, **126**,
526 pp. 1219–1238

527 Vergados, P., A. J. Mannucci, and C. O. Ao (2014), Assessing the performance of GPS radio
528 occultation measurements in retrieving tropospheric humidity in cloudiness: A
529 comparison study with radiosondes, ERA-Interim, and AIRS data sets, *J. Geophys. Res.*
530 *Atmos.*, **119**, pp. 7718–7731, doi:10.1002/2013JD021398

531 Wang, B. R., X.-Y. Liu, and J.-K. Wang (2013), Assessment of COSMIC radio occultation
532 retrieval product using global radiosonde data, *Atmos. Meas. Tech.*, **6**, pp. 1073–1083,
533 doi:10.5194/amt-6-1073-2013

534 Weckwerth, T. M., V. Wulfmeyer, R. M. Wakimoto, R. Michael Hardesty, J. W. Wilson, and R.
535 M. Banta (1999), NCAR–NOAA lower tropospheric water vapor workshop, *Bull.*
536 *Americ. Meteorol. Soc.*, **80**, pp. 2339–2357

537



538

539 **Figure 1.** Pressure–latitude cross–sections of relative humidity during winter (DJF; left column)

540 and summer (JJA; right column) seasons averaged over the 2007–2009 period using GPSRO (A

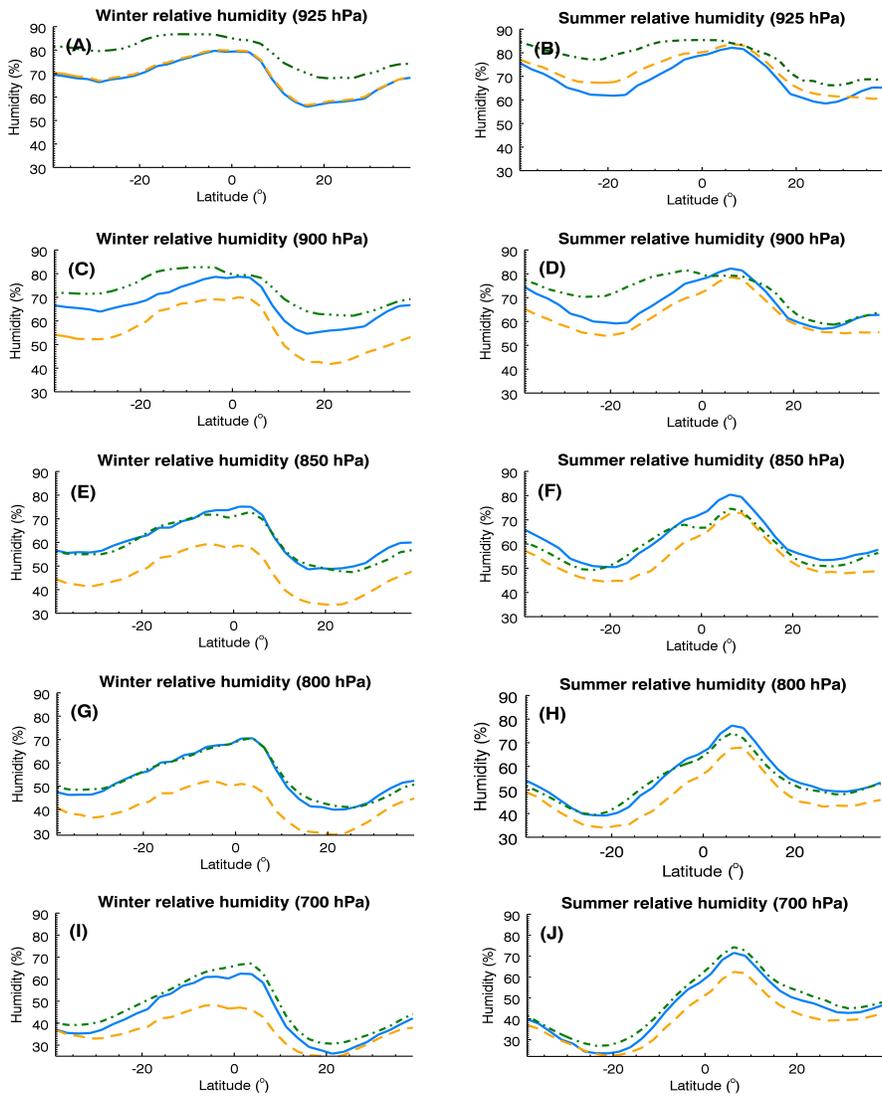
541 and B) observations, and MERRA (C and D) and ECMWF (E and F) reanalyses.

542

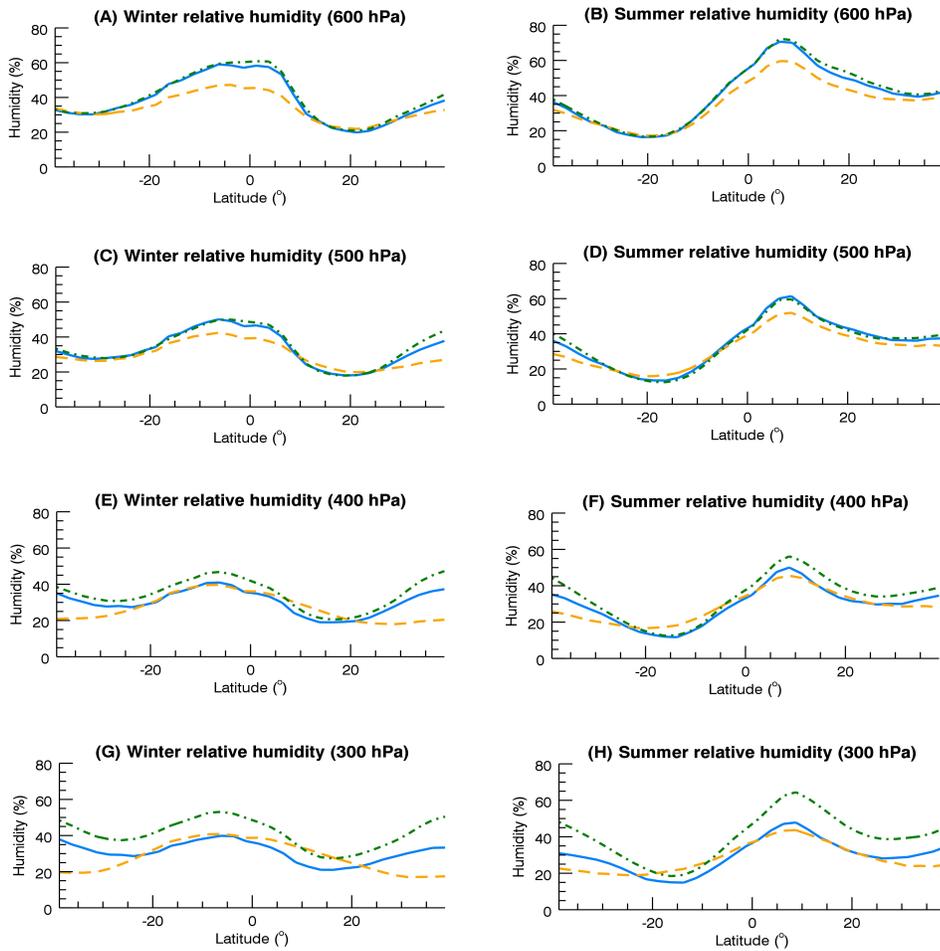
543

544

545



546 **GPSRO (solid blue)** **MERRA (dashed dot green)** **ECMWF (dashed orange)**
 547 **Figure 2.** Boundary layer zonal mean moisture climatology during winter (DJF; left column) and
 548 summer (JJA; right column), averaged over the 2007–2009 period from GPSRO (solid blue)
 549 observations, and MERRA (dashed dot green) and ECMWF (dashed orange) reanalyses.



GPSRO (solid blue) MERRA (dashed dot green) ECMWF (dashed orange)

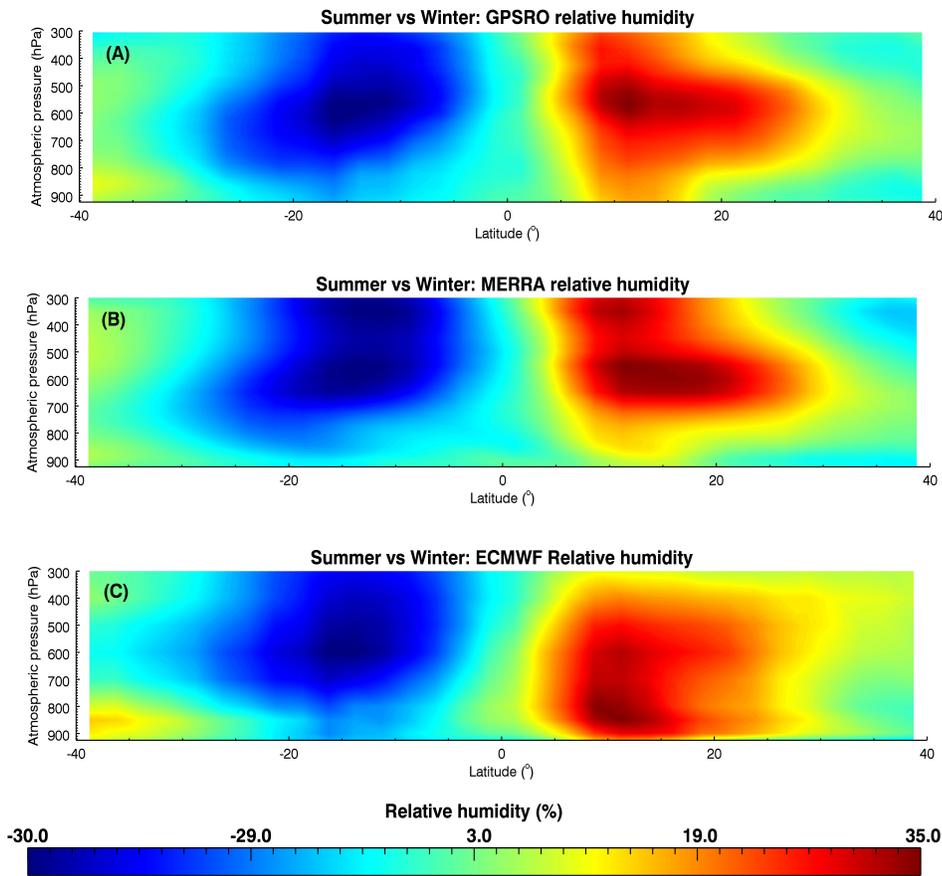
550
551 **Figure 3.** Same as Figure 2, but for the middle-to-upper troposphere

552

553

554

555



556

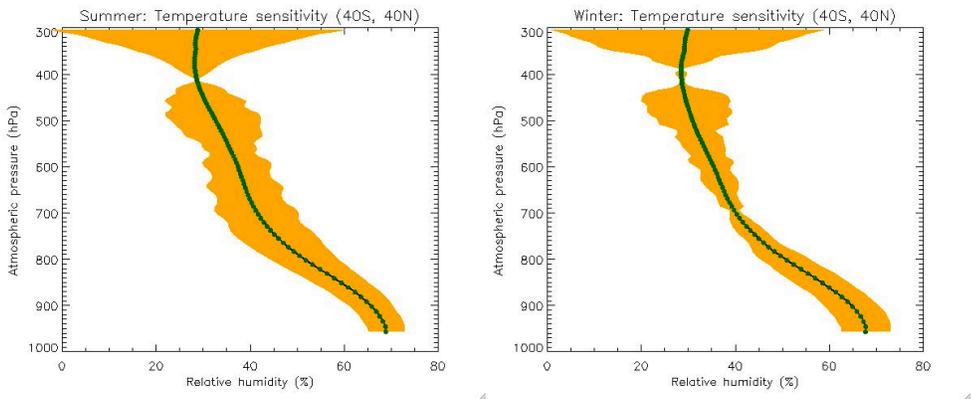
557 **Figure 4.** Pressure–latitude cross–sections of seasonal variability (summer versus winter) of the
 558 relative humidity climatology averaged over the 2007–2009 period using: (A) GPSRO
 559 observations, and (B, C) MERRA and ECMWF reanalyses, respectively.

560

561

562

563



564

565 **Figure 5.** GPSRO RH sensitivity error analysis on ± 1.0 K temperature uncertainty for summer
 566 (left) and winter (right) using one year of data set from 2007, as a function of pressure level. The
 567 orange shaded region shows the boundaries of the errors.