

1 **Controlled weather balloon ascents and descents**
2 **for atmospheric research and climate monitoring**

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18

19 **Abstract**

20 In situ upper-air measurements are often made with instruments attached to weather balloons
21 launched at the surface and lifted into the stratosphere. Present day balloon-borne sensors
22 allow near-continuous measurements from the Earth's surface to about 35 km (3-5 hPa),
23 where the balloons burst and their instrument payloads descend with parachutes. It has been
24 demonstrated that ascending weather balloons can perturb the air measured by very sensitive
25 humidity and temperature sensors trailing behind them, particularly in the upper troposphere
26 and lower stratosphere (UTLS). The use of controlled balloon descent for such measurements
27 has therefore been investigated and is described here. We distinguish between the one balloon
28 technique that uses a simple automatic valve system to release helium from the balloon at a

1 pre-set ambient pressure, and the double balloon technique that uses a carrier balloon to lift
2 the payload and a parachute balloon to control the descent of instruments after the carrier
3 balloon is released at pre-set altitude. The automatic valve technique has been used for several
4 decades for water vapor soundings with frost point hygrometers, whereas the double balloon
5 technique has recently been re-established and deployed to measure radiation and temperature
6 profiles through the atmosphere. Double balloon soundings also strongly reduce pendulum
7 motion of the payload, stabilizing radiation instruments during ascent. We present the flight
8 characteristics of these two ballooning techniques and compare the quality of temperature and
9 humidity measurements made during ascent and descent.

11 **1 Introduction**

12 Weather balloons have been used for climate and meteorological research for more than 100
13 years. The first instrumented, unmanned “free” balloon was launched by Gustave Hermite in
14 1892. His waxed-paper balloon, inflated with illuminating gas (mostly hydrogen and
15 methane), carried a minimum-registering mercury barometer (Hermite, 1892). This was in
16 effect the birth of balloon-borne measurements for scientific studies of the atmosphere. About
17 1900, Richard Assmann at Berlin increased the height ceiling of soundings by introducing a
18 closed rubber balloon to replace those of paper, silk or goldbeater’s skin (Hoinka, 1997).
19 Sounding balloons enabled the discovery of the tropopause (Teisserenc de Bort, 1902) and
20 became a standard tool for atmospheric measurements and meteorological weather prediction.
21 Instruments that send data from balloons to the ground using small radiofrequency
22 transmitters, now commonly known as radiosondes, were invented by Robert Bureau in
23 France in 1929. Some radiosondes are now capable of capturing and transmitting data from
24 other balloon-borne instruments, greatly expanding the measurement capabilities of balloon
25 payloads.

26 With strong evidence of climate change and a refined knowledge that atmospheric
27 composition in the upper troposphere and lower stratosphere (UTLS) plays an important role
28 on radiative effects in Earth’s climate system (Forster and Shine, 2002; Solomon et al., 2010),
29 upper-air [in-situ and remote sensing](#) observations for climate have been given more attention
30 in recent years because so few exist. The 35-year frost point hygrometer (FPH) record of
31 NOAA’s Earth System Research Laboratory (ESRL) at Boulder, Colorado (40°, 105°W),
32 shows the significant variability of UTLS water vapor on inter-annual and longer timescales

1 (Hurst et al., 2011). However, this long data record is limited to only one location in the
2 northern mid-latitudes and should not be used to assess global trends. The Global Climate
3 Observing System (GCOS) Reference Upper Air Network (GRUAN) is designed to produce
4 long-term, climate-quality records of essential climate variables in the troposphere and
5 stratosphere (Trenberth et al., 2002; GCOS-112, 2007; Seidel et al., 2009; Bodeker et al.,
6 2015) at 20-30 globally distributed sites. Primary objectives of GRUAN are to monitor
7 changes in temperature and water vapor profiles in the lower troposphere and the UTLS
8 (Thorne et al., 2005; Randel et al., 2006).

9 Here we describe two novel ballooning techniques that allow instruments to make high-
10 quality measurements while ascending and descending at similar controlled rates of speed.

11 The main reasons for controlled ballooning are: to prevent pendulum motions during ascent,
12 to measure clean, unperturbed air during descent at speeds similar to ascent, and to obtain two
13 vertical profiles at slightly different locations and times with a single balloon launch. The first
14 method uses one balloon and a simple automatic valve to release helium from the balloon
15 once it reaches a pre-set ambient pressure. This method has been used successfully for FPH
16 soundings since the mid-1960s, first by the Naval Research Laboratory in Washington D.C.
17 (Mastenbrook, 1966), then by the NOAA ESRL in Boulder. The other method uses a double
18 balloon technique that was first utilized by Hugo Hergesell in collaboration with the Prince of
19 Monaco in 1905 over the Mediterranean Sea (Hergesell, 1906). The double balloon technique
20 uses a large and small balloon to lift the instruments and control the descent rate, respectively.
21 This technique has recently been revived to measure the radiation budget through the
22 atmosphere (Philipona et al., 2012), where a stable pendulum-free ascent is required to keep
23 the instruments as horizontal as possible. The 2-balloon method also allows high-quality
24 measurements to be made during controlled descent. In the following we also discuss in detail
25 the contamination problems of ascent measurements, demonstrate some advantages and
26 disadvantages of controlled ascent and descent measurements and compare temperature and
27 humidity profiles obtained during traditional (burst) and controlled descents.

28

29 **2. Traditional ballooning and associated problems**

30 Balloon-borne experiments are the backbone for in situ vertical profile measurements of
31 pressure, temperature, humidity, ozone and horizontal winds in the troposphere and
32 stratosphere. Traditional meteorological radio soundings, long employed by national weather

1 services, start with ascent at a fairly steady vertical velocity of 5 m/s up to the altitude of
2 balloon burst (typically ~35 km). After balloon burst the payload falls at high speed (40-60
3 m/s) to about 20 km, where the parachute begins to reduce the rate of descent to <40 m/s
4 (Figure 1). Below 10 km altitude the descent rate slows to <20 m/s if the parachute functions
5 correctly and the payload eventually impacts the surface at 5-15 m/s. This uncontrolled, high
6 velocity descent significantly reduces the vertical resolution of measurements and is often
7 detrimental to the quality of measurements. Almost all balloon soundings are performed in
8 this traditional way and, consequently, only the ascent data are considered useful. Some very
9 sensitive and fast response humidity sensors affected by contamination during ascent have
10 measured quite successfully during the high-speed descent after balloon burst of traditional
11 balloon flights (Lykov, 2009), but for many instruments their performance is worse during
12 rapid free-fall through the stratosphere.

13 Some specific problems are associated with the exclusive use of ascent measurements of
14 temperature and humidity for climate research. Especially in the UTLS, ascent measurements
15 are prone to contamination by the balloon and flight train that lead the sensor payload.
16 Sensors with high sensitivities and rapid response times are also susceptible to the pendulum
17 motion of the payload that moves sensors in and out of the balloon's wake.

18

19 **2.1 Temperature measurement contamination**

20 Instrument payloads are typically suspended 30-50 m below the balloon by a tether string.
21 During the balloon ascent, the gas inside expands adiabatically if there is no heat exchange
22 with the surrounding air. Within the troposphere this cooling of the balloon gas closely tracks
23 the near-adiabatic temperature gradient of the external air. Above the tropopause, where
24 temperature generally increases with height, the balloon gas continues to cool adiabatically
25 but is also heated by the warmer external air. During nighttime this heat transfer cools the air
26 that touches the balloon skin by several degrees, while keeping the temperature of the balloon
27 gas close to the external temperature. During daytime the direction of heat transfer is reversed
28 because solar radiation strongly heats the balloon skin and gas, overpowering the adiabatic
29 cooling of the balloon gas. In both cases the temperature of the air stream that comes in
30 contact with the balloon is altered by heat exchange with the balloon gas (Tiefenau and
31 Gebbeken, 1989; Shimizu and Hasebe, 2010)). Temperatures measured in the wake of the
32 balloon (i.e., during ascent) are thus artificially cool and warm during nighttime and daytime,

1 respectively. Due to the pendulum motion of the tethered instrument payload these artifacts
2 are often observed as short-term negative and positive temperature spikes. Both effects grow
3 with decreasing pressure hence their influences increase with height.

4 Figure 2 shows temperature profiles measured by the very fast-response thermocouple sensor
5 of a Meteolabor SRS-C34 radiosonde and the adverse effects of the nighttime cooling and
6 daytime heating of air that touched the balloon skin just prior to reaching the sensor.
7 Nighttime measurements above 31 km show sharp cold spikes of several degrees while
8 daytime spikes are positive and equally as large. The contamination is manifested as spikes in
9 the measurements because the sensor swings in and out of wake of the balloon. The spikes
10 represent large measurement errors that greatly exceed the 2% precision and accuracy limits
11 prescribed by ~~the Global Climate Observing System (GCOS) Reference Upper Air Network~~
12 ~~for stratospheric temperature measurements GRUAN~~ (GCOS-112, 2007).

14 2.2 Humidity measurement contamination

15 Influences on humidity measurements in the wake of a balloon during ascent are related to the
16 numbers and types of clouds the balloon passes through and the overall moisture content of
17 the tropospheric column. Moisture that ~~collects-is collected~~ on the balloon skin and flight train
18 outgasses continuously during flights, but the effect is especially significant in the extremely
19 dry stratosphere. The high sensitivity hygrometers developed for UTLS water vapor
20 measurements easily measure this contamination during balloon ascent (Vömel et al., 2007;
21 Lykov et al., 2009; Hurst et al., 2011). While the balloon contamination of temperature
22 measurements during ascent can often be reduced with a longer payload tether string, the
23 adverse effects of water vapor outgassing are far more difficult to overcome, especially in
24 very dry regions of the atmosphere.

25 FPH soundings by the Global Monitoring Division (GMD) of NOAA ESRL often show
26 intermittent water vapor measurement contamination during balloon ascent, especially when
27 balloons are launched in cloudy conditions. Persistent ascent measurement contamination
28 starting ~8 km above the tropopause is a typical feature of FPH humidity profiles because
29 temperature and solar irradiance increase with altitude above the tropopause, warming the
30 balloon skin and intensifying outgassing (Figure 3). In uncontaminated conditions the
31 performance of the FPH during ascent and descent is similar because the direction of sample
32 flow through the instrument is irrelevant (i.e., the air intake and exhaust paths are identical).

1 | For these reasons FPH ~~deseent~~ measurements made during controlled descent are preferable
2 | to ascent measurements in the UTLS. The high-resolution controlled descent data can be used
3 | to identify and flag ascent measurements affected by contamination, especially in the UTLS.
4 | In contrast, FPH measurements made after balloon burst, as the payload falls at >20 m/s
5 | through the stratosphere, are of lower vertical resolution and typically poorer quality than the
6 | ascent data, making them less useful in identifying contaminated ascent measurements.

8 | **3 Novel ballooning techniques**

9 | The contamination of temperature and humidity measurements during balloon ascent makes it
10 | desirable to utilize controlled descent of the balloon to obtain high accuracy and precision
11 | measurements. The implementation of controlled descent in a balloon sounding is quite a
12 | departure from traditional ballooning methods and has required the development and
13 | refinement of novel techniques. Here we describe two such techniques.

15 | **3.1 Automatic valve technique**

16 | The NOAA FPH has measured humidity profiles during ascent and controlled descent above
17 | Boulder since 1980 using a single balloon configuration similar to the traditional method. The
18 | only deviation from traditional ballooning is the addition of an automatic valve that releases
19 | helium gas from the balloon at a pre-set pressure, preventing balloon burst and inducing
20 | descent at a controlled rate similar to that of ascent (~5 m/s). The automatic valve has also
21 | been used successfully for monthly FPH soundings at Lauder, New Zealand since 2004 and at
22 | Hilo, Hawaii since 2010.

23 | The automatic valve is of similar design to that built and employed by Mastenbrook (1966).
24 | That valve, first designed in 1964, consisted of a 14.6 cm ID Lucite (acrylic) ring with an
25 | internal aluminum disk sealed by gaskets and retained by a thin nylon string. The assembly
26 | was fit into the neck of a 7000 g neoprene balloon. A radiosonde baroswitch, preset for the
27 | desired activation pressure, connected a 3V battery to a short length of Nichrome wire to burn
28 | the retaining string. The aluminum disk would release from the Lucite ring, allowing helium
29 | to flow from the balloon. Over the years the valve materials were changed from Lucite and
30 | aluminum to phenolic to PVC, for savings of both weight and cost, and the pressure sensor
31 | was modernized.

1 Today's valve consists of a 7.5 cm length of PVC pipe (9 cm OD, 4 mm wall), a pipe cap, two
2 cap anchoring strings and a hot wire (Nichrome) string cutter (Fig. 4). The 175 g valve
3 assembly is inserted into the balloon neck and tightly secured with a plastic cinch band. The
4 string cutter is connected to a small pressure sensor, logic board and battery pack housed in
5 small foam box (100 g total) anchored just below the balloon (Fig. 5). The logic board and
6 pressure sensor are heated to 23°C to maintain the sensor's factory calibration. When the
7 sensor measures ambient pressure lower than the pre-set threshold value the logic board sends
8 current to a Nichrome wire bridge that burns through the cap anchoring strings. The cap falls
9 away and helium flows out of the balloon through the uncapped pipe. Note that only helium is
10 used to fill balloons outfitted with this valve because hydrogen would be ignited by the heated
11 Nichrome wire. To date the heaviest payload successfully flown with this valve was
12 approximately 5 kg. Heavier payloads likely require larger balloons that often have larger
13 necks that don't snugly fit the 9 cm OD pipe. The automatic valve is of simple design,
14 consisting of a 7.5 cm length of PVC pipe (9 cm OD, 4 mm wall), a pipe cap, two cap
15 anchoring strings and an electronic string cutter (Figure 4). The valve assembly is inserted
16 into the balloon neck and tightly secured with a plastic cinch band. The string cutter is
17 connected to a small pressure sensor, logic board and battery pack housed in small foam box
18 anchored just below the balloon (Figure 5). The logic board and pressure sensor are heated to
19 23°C to maintain the sensor's factory calibration. When the sensor measures ambient pressure
20 lower than the pre set threshold value the logic board sends current to a Nichrome wire bridge
21 that burns through the cap anchoring strings. The cap falls away and helium flows out of the
22 balloon through the uncapped pipe. Note that only helium is used to fill balloons outfitted
23 with this valve because hydrogen would be ignited by the heated Nichrome wire.

24 When the valve opens and helium starts to flow the balloon continues to ascend, slows until it
25 reaches neutral buoyancy (float) then begins to descend as more helium is released. As the
26 balloon descends the controlled rate slows from $5.4 \pm 0.4 \text{ m s}^{-1}$ at 22–25 km to $3.1 \pm 0.3 \text{ m s}^{-1}$
27 below 14 km (Fig. 1) for two reasons. First, the balloon's downward movement causes a ram
28 air pressure to develop at the valve opening. Depending on the competing forces, this either
29 restricts helium loss from the balloon or pushes air into the balloon, inflating it and increasing
30 frictional drag. Second, as the balloon descends the internal gas is warmed by solar heating
31 and the intake of warmer air, increasing its buoyancy. As the balloon descends the controlled
32 rate slows from $5.4 \pm 0.4 \text{ m s}^{-1}$ at 22–25 km to $3.1 \pm 0.3 \text{ m s}^{-1}$ below 14 km (Figure 1) as
33 more helium escapes from the balloon. The greatest risk of failure for this method of

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1 controlled descent is an early burst before the valve opens. To keep this risk low the pressure
2 threshold is cautiously set to 16 hPa (~29 km). Since 2008 controlled descents were achieved
3 for ~75% of the balloons outfitted with a valve; most of the failures occurred because
4 balloons burst prematurely. A parachute is employed in the flight train as a safeguard in case
5 the balloon bursts (Fig. 4).

7 **3.2 Double balloon technique**

8 The double balloon technique uses a carrier balloon to lift the payload and a second smaller
9 balloon that acts like a parachute once the carrier balloon is released. Each balloon is fixed to
10 a vertex of a triangular frame of lightweight aluminum that connects them to the payload
11 below (Figure 6). The triangle is equipped with a release mechanism to cut the 20 m string of
12 the carrier balloon at a pre-set altitude. An emergency parachute is fixed between the triangle
13 and the parachute balloon in case the smaller balloon bursts. The large carrier balloon is
14 inflated with enough hydrogen to lift the payload at 5 m/s during ascent while the smaller
15 parachute balloon is inflated with enough helium to maintain a descent rate of ~ 5 m/s once
16 the carrier balloon is released.

17 The Intelligent Balloon Release Unit (IBRU), (Figure 6 and 7) is housed in a rectangular
18 Styrofoam box mounted on the horizontal triangle edge between the attachment rings of the
19 two balloons. The IBRU system is based on a microcontroller that controls the GPS and the
20 release mechanism for the carrier balloon. The tether string of the carrier balloon is attached
21 to a bolt inside the release mechanism. In front of the bolt a tungsten wire is wrapped around
22 the string. At the pre-set GPS altitude the IBRU burns the string, releasing the carrier balloon.
23 Depending on how far apart the two balloons are the carrier balloon release can be quite
24 rough for the parachute balloon. The initial descent velocity can reach up to 10 m/s but ~~then~~
25 within a few seconds it slows down to the desired speed. At a descent altitude of 3000 masl
26 the IBRU switches on a mobile phone, finds a network and starts transmitting its coordinates
27 via text message at regular intervals until the payload reaches the ground.

28
29 The launch process for the double balloon method has been improved over the last several
30 years and is now comparable in effort to performing a regular radio sounding. Nevertheless,
31 there are several different steps that require extra care. The gas amount for the two balloons is

1 first determined in a spreadsheet, where the weights of all parts are summed and the correct
2 gas amounts for 5 m/s ascent and descent are calculated. The two balloons are then filled as
3 their lifting capacity is measured with a scale. The IBRU system is configured using a PC to
4 set the release altitude and the mobile phone number. Once the balloons are filled they are
5 attached to the triangle. The payload is then attached to the third vertex of the triangle and the
6 entire flight train is lifted up and affixed to a launching pole prior to release (Figure 8).

7 During ascent the two balloons have a tendency to separate, with the larger balloon leading.
8 The triangle between the two balloons acts as a fix point stabilizing the payload. Comparisons
9 have clearly shown that the pendulum motion usually observed on single balloon flights is
10 strongly reduced with the double balloon technique. Figure 9 shows the horizontal travel of
11 the payload over the first 2000 m of ascent during two simultaneous radiosonde flights, one
12 using the traditional single balloon configuration (blue) and the other utilizing the double
13 balloon (red) method. The two radiosondes travel in the same general direction but the single
14 balloon payload moves in circles of up to 10 m radius due to pendulum motion while the
15 double balloon payload does not. The reduced pendulum motion of the double balloon
16 method is very important for radiation measurements where instruments need to remain as
17 horizontal as possible during flight.

18 The double balloon method also improves the stability of descent rates compared to ascent
19 rates. Two SRS-C34 radiosondes were flown together using a 1200 g carrier balloon and an
20 800 g parachute balloon. The IBRU was set to release the carrier balloon at 20 km. Figure 10
21 a) shows the ascent and descent rates of the payloads as a function of altitude. The ascent rate
22 averaged 5 ± 0.8 m/s (1σ), whereas the mean descent rate of 4 ± 0.3 m/s was slightly slower
23 but more consistent during the entire descent. Figure 10 b) shows the Doppler velocity, the
24 instantaneous movement of the radiosonde measured by the GPS, demonstrating that the
25 descent is more quiescent than the ascent despite double ballooning.

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29 **4 Advantages and Disadvantages of Controlled Balloon Descent**

30 As described above the main advantage gained using controlled balloon descent for
31 temperature and humidity measurements is the decreased potential for measurement

1 contamination compared to ascent measurements. Double ballooning further strongly reduces
2 the pendulum motion of the payload, an important factor for radiation measurements. It
3 should be noted here that there are also some disadvantages when making measurements with
4 certain types of instruments during controlled balloon descent.

5 Some radiosonde sensors do not perform as well during descent because their orientations are
6 optimized for best performance during ascent. There are three main factors for sensors that
7 differ between ascent and descent: the direction and strength of ventilation flow past the
8 sensor, the vertical structure of the parameter being measured and the vertical gradient of
9 environmental parameters such as temperature. For example, some radiosondes have thin wire
10 temperature sensors mounted on a sensor boom oriented to receive maximum ventilation flow
11 towards the radiosonde during ascent. Reversing the direction of travel changes the direction
12 of ventilation flow from the radiosonde package over the sensor boom towards the
13 temperature sensor. During controlled descent these flow path differences are exacerbated by
14 the weaker ventilation flow; the rapid descent after balloon burst would instead provide much
15 stronger ventilation. Another example of a controlled descent disadvantage is that radiosonde
16 capacitive polymer humidity sensors respond slowly to RH changes when cold, so they
17 perform better going from warmer to colder temperature environments (i.e., ascent through
18 the troposphere).

19 Temperature measurements by International Met Systems iMet-1-RS radiosondes show
20 distinct warm biases and additional noise during controlled descent compared to ascent
21 (Figure 11). Using an FPH flight at Boulder as an example, the iMet temperature
22 measurements during controlled descent (~5 m/s) were warmer than ascent temperatures by
23 an average of 1°C from 18 km to the profile top at 28.1 km (Figure12). This bias and most of
24 the variability in the ascent-descent temperature differences between 18 and 25 km are caused
25 by the reversed ventilation flow during descent. If the descent temperature profile in Figure
26 12 is used to calculate RH from the descent FPH measurements the warm temperature bias
27 propagates a mean relative dry bias of 13% and triples the noise in descent RH values. For
28 these compelling reasons we selectively use ascent temperature profiles interpolated to
29 descent altitudes to calculate descent RH values for FPH flights.

30 Another potential disadvantage of balloon flights with controlled descent is that payloads can
31 travel more than twice the distance from the launch site compared to traditional burst flights.
32 This, of course, depends on the strengths and directions of winds during a flight, and is only a

1 disadvantage if payload recovery is required. The reception of telemetry from radiosondes
2 may also be curtailed prematurely during descent if the balloon travels a long distance from
3 the launch site.

4 In contrast to most other radiosondes the thermocouple temperature sensor of the Meteorolabor
5 SRS-C34 radiosonde is not mounted in a sensor boom, but is fixed to thin wires that extend at
6 a 45° angle upward and is at least 100 mm away from the radiosonde (Figure 13). Thus, the
7 airflow around the radiosonde is not guided over the temperature sensor during the descent.

8 According to the last WMO intercomparison, the uncertainty of SRS-C34 daytime
9 temperature measurements is less than 0.2°C in the troposphere and about 0.4°C in the upper
10 stratosphere (Nash et al., 2011). Figures 14 a) and b) show ascent and descent temperature
11 profiles of two SRS-C34 radiosondes flown about 2 m apart on a bamboo boom during the 20
12 km flight. The two panels show that ascent and descent profiles are very similar and that
13 small temperature differences between them at about 5000, 13000 and 15500 m are measured
14 by both radiosondes. The temperature differences measured between sonde 1 and sonde 2 are
15 shown in Figure 14 c) for the ascent and the descent. The temperature differences (descent
16 minus ascent) presented in Figure 14 d) shows that both sondes measured similar differences
17 at all altitudes for the 1000 m resolution (thick lines) as well as for the 100 m resolution (thin
18 lines).

19 To check if the temperature sensors mounted above the radiosonde measure correctly during
20 ascent and descent, the two radiosondes were equipped with additional temperature sensors
21 fixed to thin wires extending downward from the bottom of the radiosonde at a 45° angle and
22 100 mm away from the box. Temperature measurements by the bottom sensors (Figure 15)
23 are very similar to those by the top sensors (Figure 14). The two figures demonstrate that the
24 100 m resolution ascent-descent measurement disparities at particular locations (5000, 13000
25 and 15500 m) are real differences in the atmosphere. With the ascent starting around 10:00
26 local time on a more or less cloud free day, the measurements show temperature profiles
27 during ascent and descent that are within 0.4 °C (1000 m resolution), except around 13000 m,
28 where the atmosphere was apparently slightly colder during the descent. On the other hand
29 slightly warmer temperatures were measured in the lower troposphere during descent, which
30 is reasonable given the normal daytime temperature increase after 10:00.

31

1 **5 Conclusions**

2 Controlled weather balloon ascents and descents are technically feasible and are needed
3 primarily for atmospheric research and climate monitoring because they greatly reduce the
4 potential of measurement contamination by the balloon and flight train, especially for
5 measurements of temperature and water vapor in the UTLS. Controlled descent is also helpful
6 for the proper filling of air cores with whole air samples that are later analyzed to determine
7 vertical profiles of atmospheric gases. Two different methods of achieving controlled descent
8 have been described, both of which have been in use for years and tested for different
9 purposes. Advantages and disadvantages are shown and technical descriptions are presented
10 for the two non-traditional ballooning methods.

11 The most important advantage of controlled descent is that the air being measured is
12 unperturbed by the balloon and flight train. The double balloon technique also strongly
13 reduces pendulum motion during ascent and allows smooth flights for radiation sensors or
14 other instruments that require horizontal stability. A distinct disadvantage is that some
15 radiosonde sensors, especially temperature sensors mounted on booms, are oriented optimally
16 for ascent measurements, and therefore may be prone to additional noise and/or measurement
17 biases during descent due to reversed sensor ventilation flow. Another potential disadvantage
18 is that capacitive polymer RH sensors start their descent in an extremely cold environment
19 where they respond slowly, but measurements during controlled descent are preferable to
20 those during free-fall in the stratosphere. Radiosondes with thin wire temperature sensors not
21 mounted on sensor booms are much less sensitive to the direction of ventilation flow and are
22 well-suited for measurements during balloon descent.

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29

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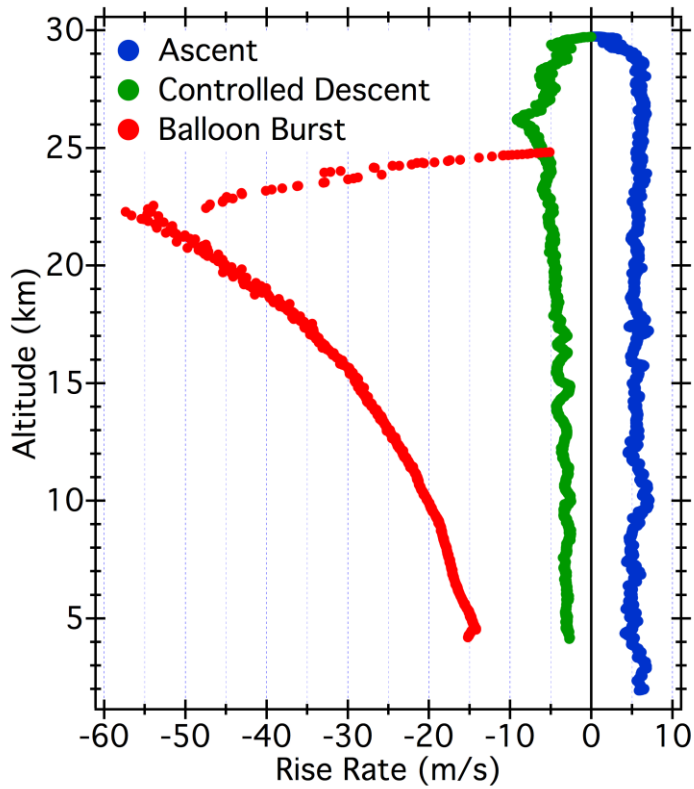
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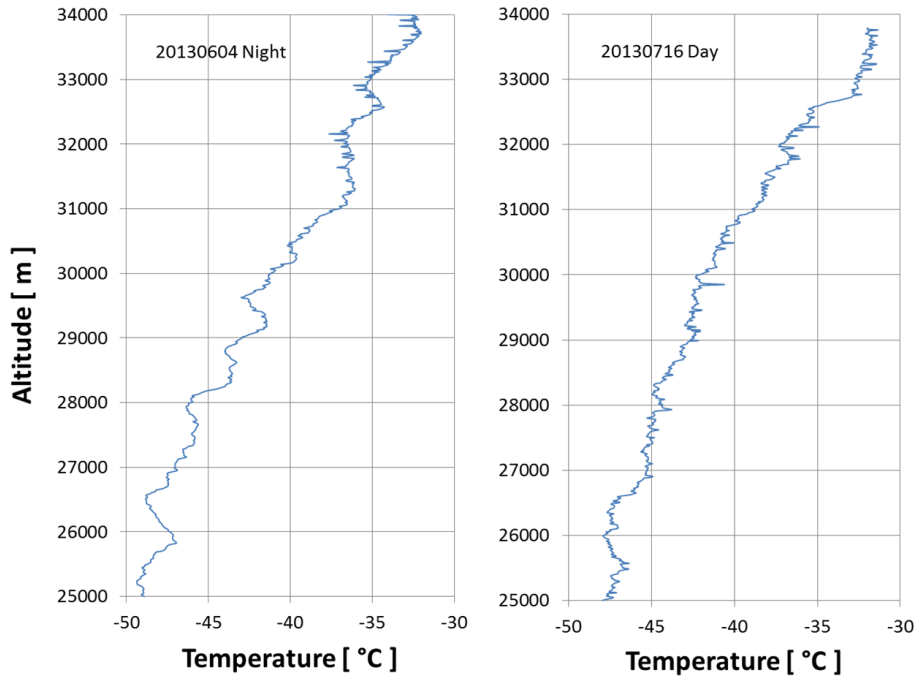


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7 Figure 1: Typical balloon vertical velocities during ascent (blue) followed by controlled
8 descent using the automatic valve method (green) or descent after balloon burst (red). The
9 ascent profile shows the valve opened at 28.5 km, first reducing the ascent rate to zero (float)
10 then establishing a slow and fairly steady descent rate <10 m/s (green). The red profile
11 indicates the balloon burst prematurely at 25 km, prior to activation of the valve. The descent
12 rate after burst initially exceeded 50 m/s then was gradually slowed to <20 m/s by the
13 parachute. For both descent profiles reception of the radiosonde telemetry signal was lost at
14 ~4 km.

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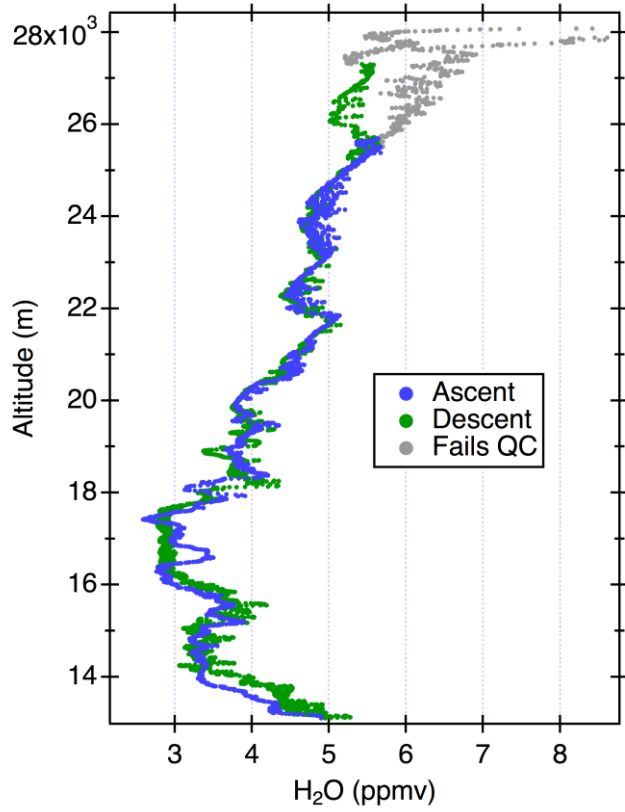
9 Figure 2: Stratospheric temperature profiles measured from ascending balloons during
10 nighttime (left) and daytime (right) with a Meteolabor SRS-C34 radiosonde. The nighttime
11 profile exhibits negative temperature spikes above 31 km while the daytime profile shows
12 positive spikes above 25 km. Both ascent profiles are affected by the exchange of heat
13 between the balloon gas and the external air that was in contact with the balloon skin just
14 prior to reaching the temperature sensor.

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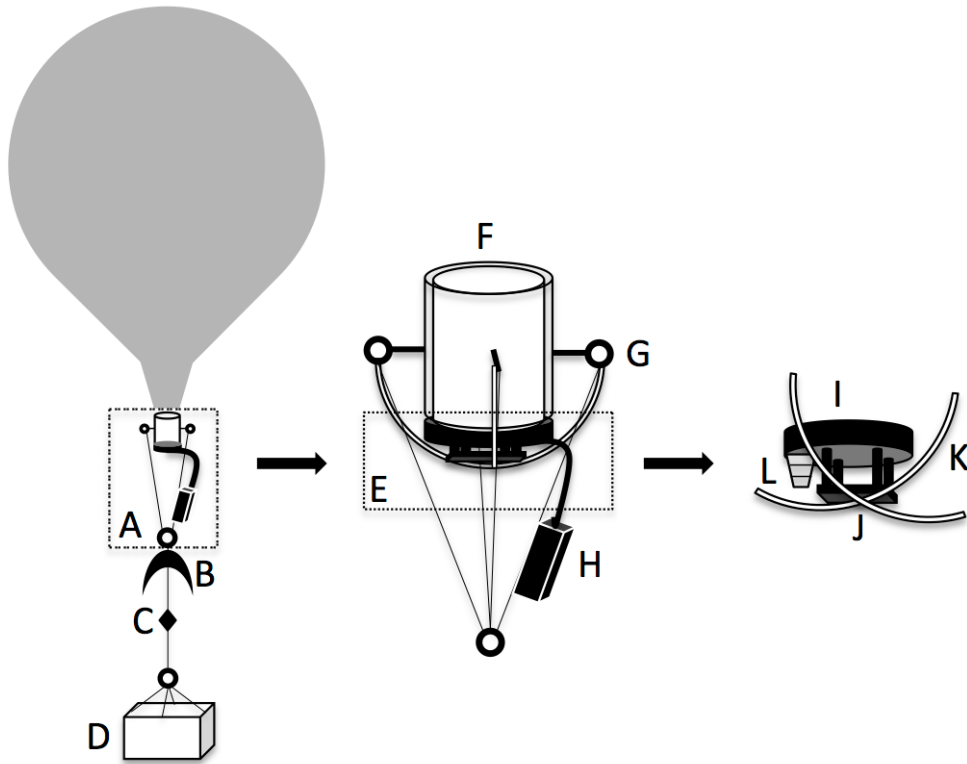
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7 Figure 3: Stratospheric water vapor mixing ratio profiles measured by the NOAA FPH during
8 balloon ascent (blue) and controlled descent (green) over Boulder, Colorado. The two profiles
9 are similar except above 25.5 km where the ascent measurements become contaminated by
10 the persistent outgassing of moisture from the balloon and flight train. High quality,
11 uncontaminated FPH measurements (those passing quality control) resume during controlled
12 descent at ~27 km, approximately 1 km below the altitude of balloon turnaround (float).

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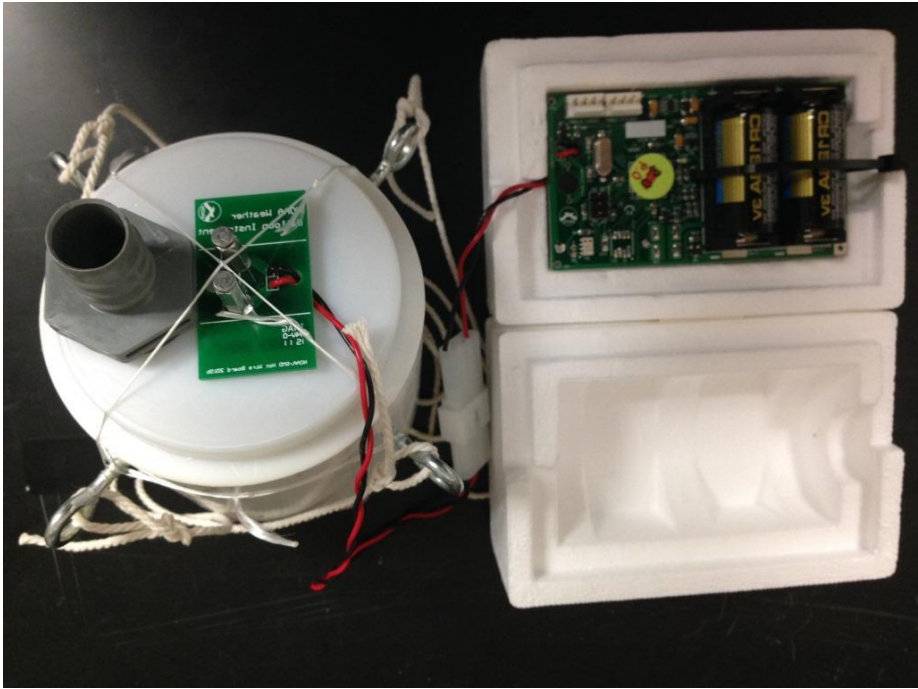
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7 Figure 4: For the single balloon method of controlled descent the balloon flight train consists
8 of (A) the automatic balloon valve and pressure sensor assemblies, (B) a parachute, (C) a 52-
9 m string unwinder and (D) the instrument payload. The valve and pressure sensor assemblies
10 include (E) a valve cap assembly, (F) a PVC pipe segment, (G) four screw-in eyelets and (H)
11 a pressure sensor, logic board and batteries. The pipe cap assembly includes (I) a pipe cap, (J)
12 a hot wire string cutter, (K) two cap anchoring strings and (L) a helium fill port.

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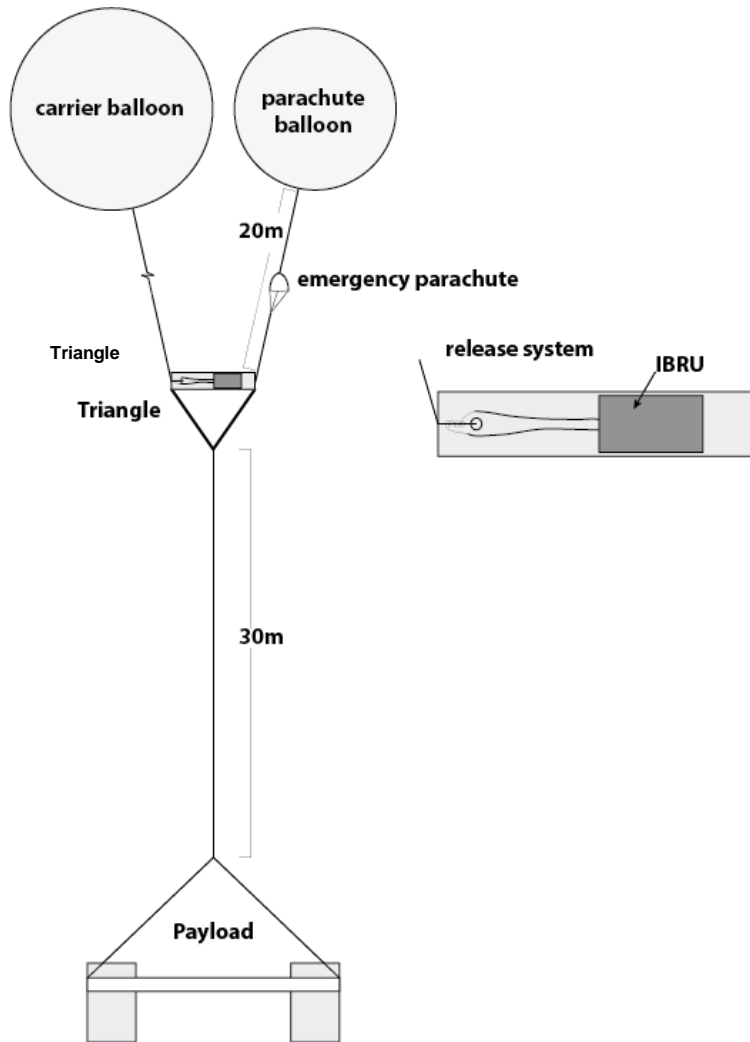


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10 Figure 5: Automatic balloon valve (left) and pressure sensor assembly (right). Two thin
11 strings anchoring the white circular pipe cap to the pipe are stretched across the hot wire
12 string cutter. The foam box houses the pressure sensor, logic board and batteries. A cork is
13 inserted in the gray helium fill port on the white pipe cap after the balloon is filled.

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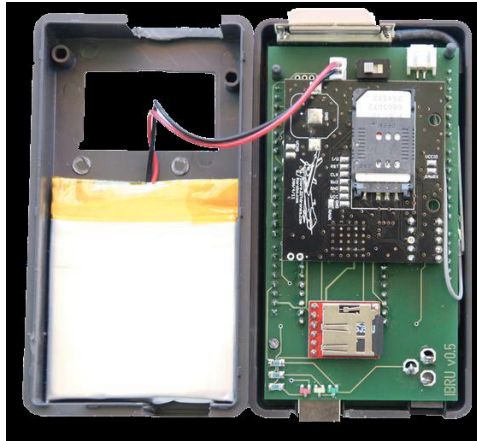
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Figure 6: Double balloon sounding configuration with carrier and parachute balloon connected to the payload via the triangle that includes the IBRU release mechanism.

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13 | Figure 7: The **Intelligent Balloon Release Unit (IBRU)** consists of a microcontroller that
14 | controls the GPS, the release mechanism and a mobile phone to send text messages with the
15 | coordinates of the payload before landing.

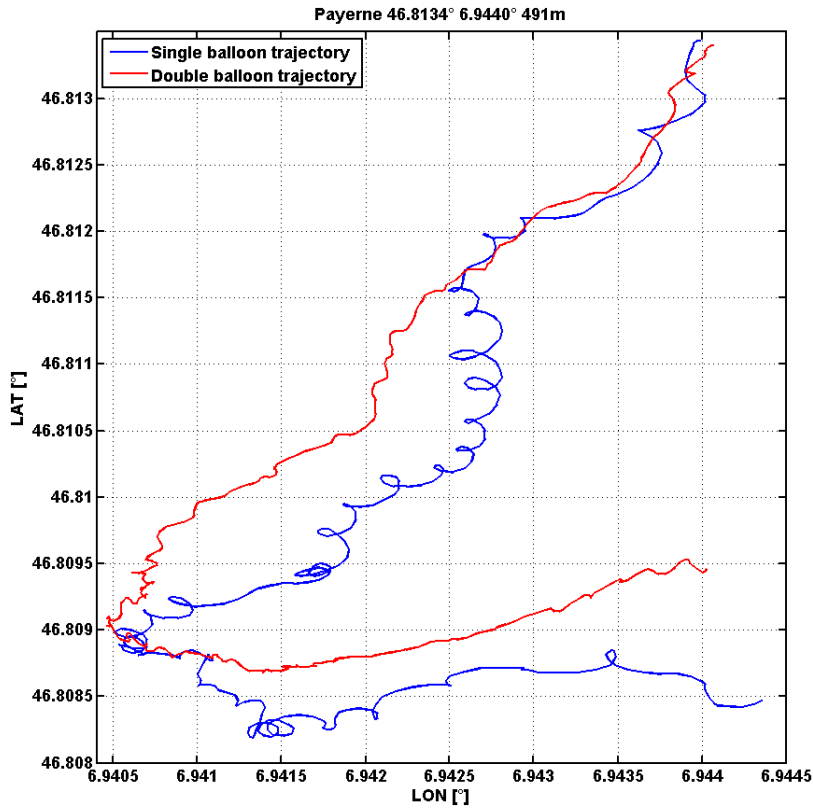
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3 Figure 8: Flight configuration for the double balloon method. Each of the two balloons and
4 payload are attached to a vertex of a triangular aluminium frame outfitted with the Intelligent
5 Balloon Release Unit that releases the carrier balloon at a pre-set altitude. The configuration is
6 shown attached to the launching pole just prior to release.

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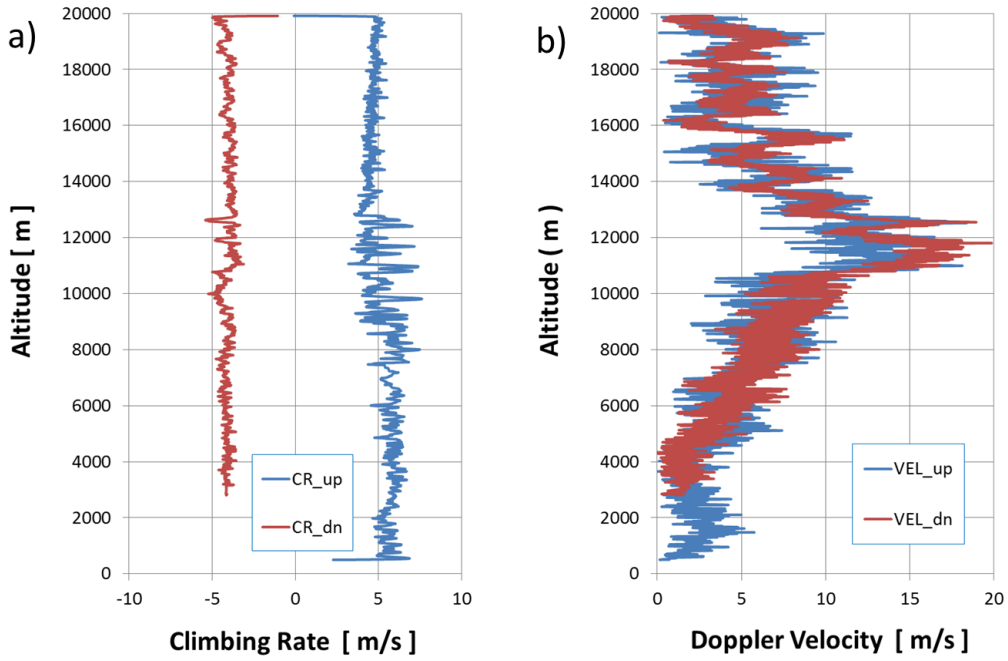
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6 Figure 9: Horizontal trajectories of two radiosonde flights launched simultaneously at
7 Payerne, Switzerland, on 12 May 2011. The two curves show the GPS latitude and longitude
8 coordinates over the first 2000 m of ascent for the standard single balloon configuration (blue)
9 and the double balloon configuration (red). Circles of up to 10 m radius in the single balloon
10 radiosonde's trajectory show the pendulum motion of the payload that is absent in the double
11 balloon radiosonde's trajectory.

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9 Figure 10: Double balloon flight to 20 km and back. Graph a) shows the ascent and descent
10 rates as functions of altitude. Graph b) shows the Doppler velocity, which demonstrates that
11 for the double ballooning method the descent is more quiescent than the ascent.

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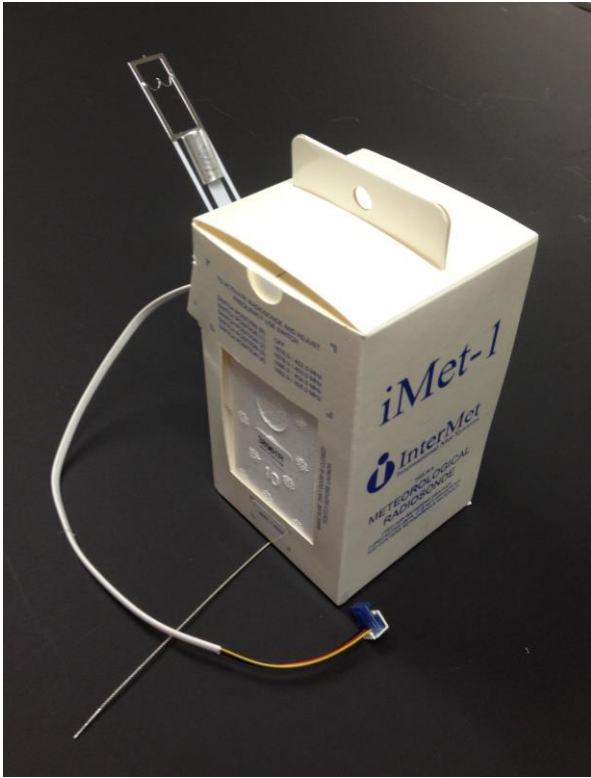
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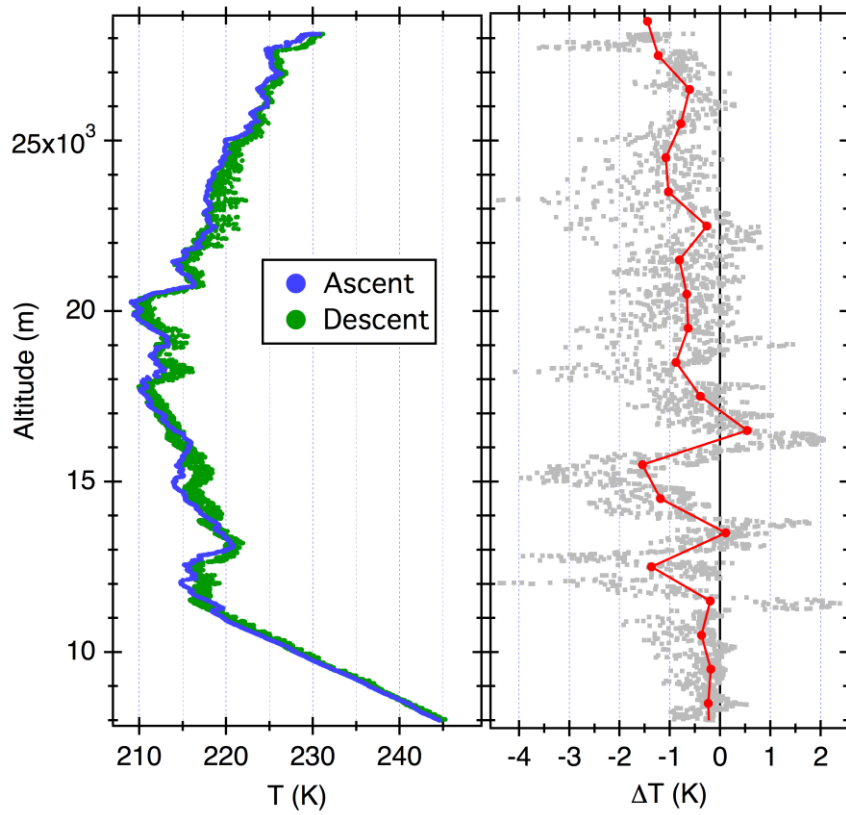
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Figure 11: Intermet iMet-1-RS radiosonde used for FPH flights at Boulder



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Figure 12 : Ascent and descent temperature measurements by an iMet-1-RS radiosonde during a daytime balloon flight over Boulder (left). The descent measurements are biased warm and are noisier than the ascent measurements due to contamination by the reversed direction of sensor ventilation during descent. (b) Differences between the ascent and descent temperature measurements (gray) and the median ascent-descent differences within 1 km altitude bins (red) more clearly show the warm biases and increased noise during descent.

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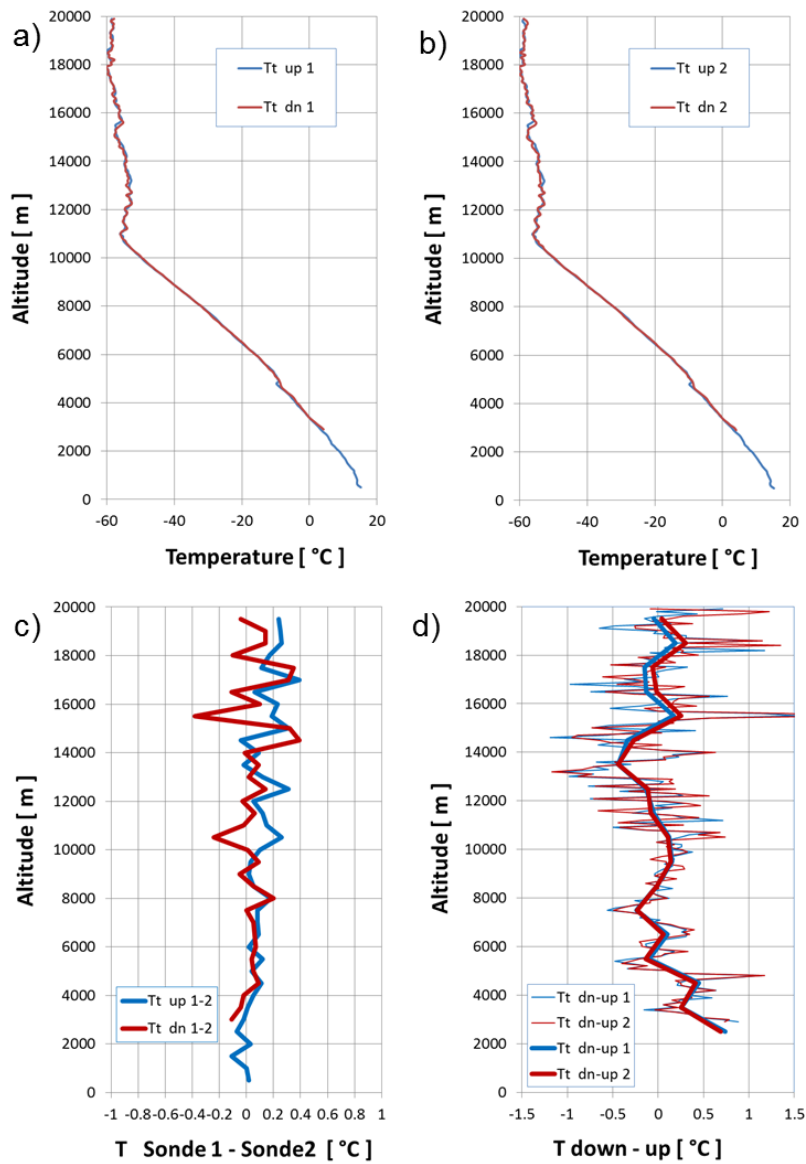
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9 Figure 13: Meteolabor SRS-C34 radiosonde with original thermocouple temperature sensor
10 fixed to thin wires that extend at a 45° angle upward (above right) and an additional
11 thermocouple at a 45° angle downward (below right).

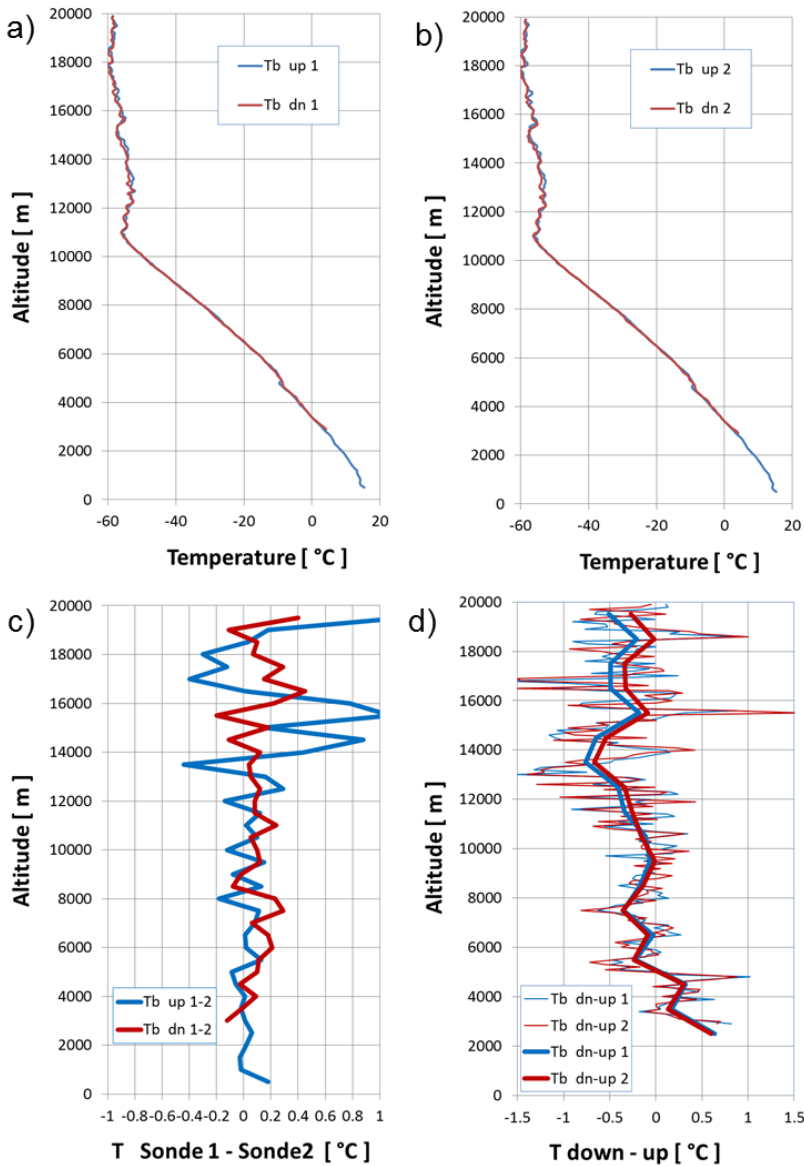
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3 Figure 14: Temperature measurements by two SRS-C34 radiosondes during ascent to 20 km
 4 and controlled descent using standard temperature sensors (Tt) mounted to the top of the
 5 radiosondes. Shown are (a) ascent and descent temperature profiles measured by sonde 1, (b)
 6 same as (a) but measured by sonde 2, (c) temperature differences between the two sondes and
 7 (d) descent-ascent temperature differences for each of the two sondes at vertical resolutions of
 8 100 m (thin curves) and 1000 m (thick curves).



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3 Figure 15: Temperature measurements by two SRS-C34 radiosondes during ascent to 20 km
 4 and controlled descent using additional temperature sensors (Tb) mounted to the bottom of
 5 the radiosondes. Shown are (a) ascent and descent temperature profiles measured by sonde 1,
 6 (b) same as (a) but measured by sonde 2, (c) temperature differences between the two sondes
 7 and (d) descent-ascent temperature differences for each of the two sondes at vertical
 8 resolutions of 100 m (thin curves) and 1000 m (thick curves).