Thank you for the comments and suggestions. We have made significant revisions based on your comments and suggestions, which have substantially improved the quality of the manuscript. We believe this manuscript is now worthy of publication in AMT.

#### Major comments

1) The general direction of the scientific community in this field is to synergistically combine observations and modeling. In this paper the modelling of aerosols (e.g., transport, processes, etc), as well as data assimilation is not even mentioned. It is crucial to discuss how this new merged observation product contributes to the larger context of the synergy observations/modeling.

#### We added the following text in the manuscript...

The new product will demonstrate the benefits of merging LEO and GEO satellite observations for tracking aerosol plumes in the atmosphere and has the potential to be useful for data assimilation and aerosol forecasting. For instance, the National Aeronautics and Space Administration (NASA) Goddard Earth Observing System version 5 (GEOS-5) model (Rienecker et al., 2008; Molod et al., 2012) only assimilates aerosol observations from MODIS, but having more frequent satellite observations such as from this study will help model simulation and forecasting of aerosol fields.

2) This work is based on the development of a composite NRT product. The need of NRT products to track trans-Pacific transports of aerosols pollutants must be justified. In my opinion, this temporal criterion is not as crucial as it seems to emerge from this manuscript. Can one just study the transport process after the events occurred and then characterize US air quality in terms of internal production and external pollution income a posteriori? Please justify why NRT tracking of abroad pollution is important for air quality in the US.

## We added the following text in the manuscript which justifies NRT tracking of pollution and air quality...

U.S. air quality agencies (e.g., Environmental Protection Agency (EPA)) rely on NRT aerosol products to help provide better forecasts while incorporating the NRT data into their analysis (Al-Saadi et al., 2005). By providing the public with more accurate air quality forecasts, individuals can appropriately adjust their outdoor activities to avoid exposure to poor air quality conditions which can have harmful health impacts. Furthermore, the aerosol indirect effects have recently been incorporated into the Rapid Refresh (RAP) operational model forecast system at the NOAA National Centers for Environmental Prediction (Benjamin et al., 2015). Currently, aerosol fields initialized in the RAP model are based on climatology. Thus, NRT aerosol products can be used to help initialize these operational forecast models with a realistic depiction of the aerosol conditions. 3) US standard atmospheric profiles are used in this method (P10328), not accounting for parameters variability. Using standard climatological profiles is a crude approximation, because the real atmosphere can deviate significantly from standard conditions on, e.g., water vapour, temperature and ozone profiles. I think that this methodology should benefit using more specialized information, e.g., from reanalysis or complementary satellite observations. At least, the uncertainties introduced using this crude approximation must be estimated and discussed.

## We added text, figure, and table in the manuscript in response to this comment in Section 5...

To understand the uncertainty with using temperature, water vapor, and ozone information from U.S. standard profiles in the AOD retrieval procedure, we conducted a sensitivity test where atmospheric profiles from 0.5x0.5° Global Forecast System (GFS) data were input into the 6SV model. We extracted the GFS profiles from locations that represent tropical, midlatitude, and subarctic conditions during January and July 2014, and used different  $\theta_{\theta}$ ,  $\theta$ , and  $R_{sfc}$  for each location to assess their impact on the uncertainty (Table 5). Figure 8a shows compares several of these GFS profiles against the U.S. standard profile (Figure 8a) to show that vastly different atmospheric conditions are being input into the 6SV. For this sensitivity test, we first run the 6SV in atmospheric correction mode to retrieve  $\rho_{min}$  for each profile, which is then used to retrieve  $\rho_{toa}$ . Note we perform this test on MTSAT-2, since its spectral response function extends to slightly larger wavelengths compared to GOES-15 where water vapor absorption has a stronger impact. Overall,  $\rho_{toa}$  is very similar between the U.S. standard and GFS profiles in each region (Figure 8b), which proves that the standard profile is causing only a minimal amount of uncertainty in the AOD retrieval procedure. The uncertainty is slightly higher over subarctic locations due mostly to the much drier conditions than that in the U.S. standard profile, but errors are still less than 1% at an AOD of 3.

	Location	$\theta_0$ (°)	θ (°)	$R_{sfc}$ (%)
Tropical	10°N, 100°E	21	42	10
Midlatitude	40°N, 130°E	42	46	5
Subarctic	55°N, 110°E	54	62	15

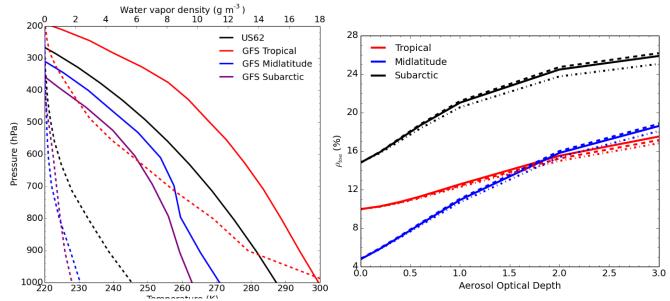


Figure 8. a) Temperature (solid) and water vapor density (dashed) from the U.S. standard profile and GFS profiles extracted from tropical, midlatitude, and subarctic regions. b) 6SV model output of  $\rho_{toa}$  for AOD ranging from 0 to 3 for U.S. standard (solid) and GFS atmospheric profiles from January (dashed) and July (dot-dashed) 2014 in different regions. Specified  $\theta_0$ ,  $\theta$ , and R<sub>sfc</sub> in Table 5 were additional inputs into the model.

4) Same thing for the aerosol models used in this work. Why selecting 6SV continental and desert aerosol models? It is possible to quantify the uncertainties if other aerosols types are observed while using these models?

### We selected the 6SV continental and desert aerosol models after conducting an uncertainty analysis on the different aerosol models in 6SV, which we describe in Section 5.3.

#### 5.3 Aerosol models

The most significant assumption in creating the LUTs in our GEO AOD retrieval algorithms is the selection of the aerosol model as the simulated  $\rho_{toa}$  can vary greatly based on the optical properties of the aerosols. In order to select the 6SV aerosol models that would introduce the least amount of uncertainty in our retrieval algorithms, we conducted a detailed comparison between the observed  $\rho_{sat}$  and simulated  $\rho_{toa}$  using seven different 6SV aerosol models for 24 unique cases occurring over AERONET stations across eastern Asia during March and April 2014. For each case, we provide the 6SV with the  $R_{sfc}$  retrieval value closest to the AERONET station along with precise values of  $\theta_0$ ,  $\theta$ , and  $\varphi$  from the MTSAT-2 imager, and AERONET 550 nm AOD. Then, we simulate the 6SV using these identical input values and compare the  $\rho_{toa}$  values to the MTSAT-2 imager  $\rho_{sat}$  in order to determine the aerosol model for the 24 cases where the continental model (red) simulated the most realistic  $\rho_{toa}$  values as  $\rho_{sat}$  was slightly overestimated at values less than about 16% and underestimated at values greater than about 20%. The average

difference between  $\rho_{sat}$  and  $\rho_{toa}$  for the 24 cases was only -0.09% when using the continental aerosol model leading to the lowest root mean square (RMS) error of 1.31% (Table 6). SSA retrievals from the AERONET stations across eastern Asia were often around 0.90 at 500 nm with a decreasing trend at larger wavelengths, which is nearly identical to the prescribed SSA of the continental model. Although pollution events are observed frequently over eastern Asia, we were surprised by such excellent agreement between AERONET stations and the continental aerosol model due to the fact that dust emitted from the Taklamakan and Gobi deserts is often transported over eastern Asia, especially in the early spring which is the focus of our study. This suggests that pure dust plumes, which are generally associated with SSA values of around 0.95 (Seinfeld et al., 2004; Hsu et al., 2006), often undergo modification to a polluted dust mixture with lower SSA values after being transported over the polluted region of eastern Asia. In fact, during the polluted dust case on 18 March 2014 (i.e., Fig. 2), SSA from the XiangHe AERONET site were around 0.89.

We found a couple dust plumes nearby their source region in the Taklamakan desert on 25 and 29 April 2014. The Taklamakan AERONET site retrieved SSA values from 0.93 to 0.95 for these pure dust plumes, which are comparable to that found for dust during the Asian Pacific Regional Aerosol Characterization Experiment (ACE-Asia) from 30 March to 3 May 2001. Therefore, to better represent the dust optical properties we also incorporated the desert aerosol model into our AOD retrieval algorithm, which uses a more appropriate SSA at 500 nm of about 0.95 that increases with larger wavelengths. Overall, for the instances when our AOD retrieval algorithm correctly identifies polluted and pure dust events, Table 6 suggests that RMS errors will be minimal (~1.3%). These errors can increase to about 3.8% for the instances when our algorithm incorrectly identifies pollution as dust or vice versa. We also observed smoke aerosols during the case studies presented in Section 4, which were shown to have SSA values as low as 0.85 during ACE-Asia (Seinfeld et al., 2004). Thus, we expect similar errors (~3.8%) for instances when our continental aerosol model is used to retrieve AOD in highly absorbing smoke plumes. Note that for scenes involving pure dust plumes the RMS errors mentioned above are more representative of lower bound error estimates due to unrealistic dust scattering properties that can arise from the 6SV Mie-scattering calculations, which can lead to higher uncertainties in AOD (Dubovik et al., 2002; Levy et al., 2007b).

5) "Multi-satellite AOD retrievals", in the title, seems to indicate that a multi-instrument retrieval is made, i.e. a Level 2 product based on the inversion of Level 1 spectra from more than one instrument. This is not at all the case because the Authors merely "compose" different Level 2 products from different instruments. Please, reformulate the title to account for this (e.g., using the word "composite" and putting a less marked accent on "retrievals" - the basis of this method is to combine retrievals produced by other people + new "mono-instrument" products using GOES-15 and MTSAT-2). Please change the Abstract, Introduction and Conclusions accordingly.

We agree and made the necessary changes...The title has been changed to "Monitoring and Tracking the Trans-Pacific Transport of Aerosols Using Multi-Satellite Aerosol Optical Depth Composites" 6) The validation is very superficial and must be extended. The comparison with AERONET observations should be conducted on the individual and merged products, to show, if it is the case, that the merged product brings added value with respect to the individual products.

Okay. See our revised validation section below. However, note that the purpose of the merged product is to provide more frequent observations, not necessarily better in quality, which we do not claim in the manuscript.

#### 6. Validation

We validate our daily AOD composites using level 1.5 AERONET 550 nm AOD from 15 different sites across East Asia and 5 different sites across western United States during the 6 day period (18-23 March 2014) of the trans-Pacific transport event presented in this paper. To conduct a proper validation we calculated the average of the all available AERONET AOD retrievals for each site during the 24 hour period of the daily AOD composites. Then, we use the nearest neighbor approach to find the closest composite grid box to each AERONET site. Fig. 10a shows a high correlation (R = 0.87) between **AERONET AOD** and the daily AOD composites including only LEO satellite retrievals. The slope of the linear regression line indicates the high bias of about 0.10 in the LEO retrievals. Fig. 10b shows a slightly lower correlation of R = 0.79 between AERONET AOD and our daily AOD composite product including both LEO and GEO satellite retrievals, which is not surprising considering the higher uncertainties associated with the GEO retrievals. However, the slope of the linear regression line has decreased to near 1.0 as our daily AOD composite product is associated with a high bias of only 0.024. Overall, this validation exercise has shown the improvement in AOD spatial coverage from inclusion of the GEO retrievals in our AOD composite does not lead to a significantly degraded product. Thus, our composite product can be used with confidence for quantitatively tracking aerosol plumes.

7) Even if I'm not a native English speaker, I have found that English need to be improved throughout the text. In addition, the article is very long and sometimes hard to read (e.g., section 3 is very long and need to be improved in terms of readability). I provide several suggestions to improve the text but this is not exhaustive and I'm not the good person to go more deep than that, as I'm not a native English speaker. Finally, several technical aspects of the method should be eliminated, as they are of limited interest for the AMT readership.

We have made major modifications to the text, which has made the manuscript much easier to follow and read.

#### **Minor Comments**

NOTE: Due to the large number of minor comments, we only list them here if they require some response. All other comments not listed here were included in manuscript.

2) P10320 L17-18: "where the new product can encounter significant uncertainties due to the inclusion of the geostationary AOD retrievals", do you mean that your GOES-15 and MTSAT-2 products have significant uncertainties there? Please reformulate.

#### We reformulated as ...

## where the GOES-15 and MTSAT-2 retrieval algorithms can introduce significant uncertainties into the new product.

3) "The uncertainties associated with geostationary AOD retrievals are expected to be minimized after the successful launch of the next-generation advanced NOAA GOES-R 20 and recently launched JMA Himawari satellites. Observations from these advanced satellites will ultimately provide an enhanced understanding of the spatial and temporal distribution of aerosols over the Pacific", have you any evidence to affirm that (e.g., sensitivity analyses based on synthetic observations)? In addition, I'm not sure that this sentence is pertinent in the Abstract (that's more a perspective to be put in the Conclusions).

We have not performed any sensitivity analysis to confirm this statement. We agree that it should be removed from the abstract. It has been put moved to the conclusions. We believe this statement is still relevant in the manuscript, since the much higher spatial and temporal resolution of the next-generation satellites should help observe cloud-free pixels more frequently. Thus, leading to more frequent AOD retrievals.

11) P10322 L18-19: "spatial distribution of aerosols", at which spatial scale?

We reworded this sentence to read...

## However, the extremely narrow field of view of the vertical curtain-like measurements of CALIOP are of limited value for monitoring the spatial variability of aerosols.

12) P10322 L19-20: "near-real time (NRT) CALIPSO measurements are unavailable", this may be true but can you please give a justification for this?

Actually, this statement could be viewed as inaccurate as an expedited ("NRT") product has recently been released by the CALIPSO team (Grigas et al., 2015). Thus, we removed this statement completely. The main point here is that the very narrow field of view of CALIOP provides limited value for monitoring the spatial variability of aerosols. This now reads... However, the extremely narrow field of view of the vertical curtain-like measurements of CALIOP are of limited value for monitoring the spatial variability of aerosols. Therefore, for this study where we develop a near real time (NRT) AOD composite product for monitoring aerosol plumes across the Pacific Ocean, CALIPSO will only be used to help validate our product.

16) Data section: maybe a list of products and instruments used (or a table) before beginning to discuss them individually would be useful

We did include a table (Table 1) where all instruments and products are listed, but we did not introduce this table until later in the Data section. Therefore, we moved the reference to Table 1 at the beginning of this section...

#### 2. Data

 Table 1 provides a detailed summary of the different satellites and aerosol retrievals used in generating our NRT 6-hourly and daily AOD composite product.

17) P10323 L7: "High quality", how do you estimate that quality is high?

The MODIS AOD is considered high quality due to the rather low uncertainties associated with the product, which we mention a couple sentences later. However, it is probably premature to claim the MODIS product as "high quality" prior to discussing the uncertainties, therefore, we removed this statement.

18) P10323 L11: "as of January 2015", still unavailable?

No, these are now available. Therefore, we updated this statement to read...

## In this study, we use the Collection 5.1 Level 2 AOD product since a NRT version for Collection 6 was not released until early 2016

19) P10323 L13: is the uncertainty or the uncertainty of the uncertainty multiplied by the AOD?

AOD is multiplied by the second value in these error equations (0.05 over ocean and 0.15 over non-bright surfaces). The first value indicates the sensitivity of the MODIS instrument to AOD over ocean and non-bright surfaces (MODIS is insensitive to AOD less than 0.03 and 0.05 over ocean and non-bright surfaces, respectively). Therefore, the minimal error of MODIS over these surfaces in 0.03 and 0.05.

20) P10323 L14-15: "MODIS AOD...numerous spectral bands", why? Please develop the discussion about why the presence of a lot of spectral bands improves the accuracy of AOD retrievals.

#### We revised the text to read...

MODIS AOD is usually retrieved with rather low uncertainties due primarily to the availability of numerous spectral bands. For example, the MODIS team uses seven reflective solar bands to help distinguish between aerosol types in the atmosphere, which has led to the development of a complex aerosol retrieval that uses a total of 14 different aerosol models, nine over ocean and five over land (Levy et al., 2007b). The ocean aerosol models use optical properties representative of four types of water soluble particles, three types of wet sea salt particles, and two types of dust-like particles. Although MODIS over land retrieval only uses five aerosol models (continental, generic, non-absorbing urban-industrial, absorbing smoke, and spheroid dust), they separate between soluble, dust, and soot mode particles for the continental aerosol model, and accumulation and coarse mode particles for the other four models. A noteworthy strength of the MODIS AOD retrieval algorithm is the use of T-Matrix code (Dubovik et al., 2006) to compute scattering properties for the spheroid dust model, which can lead to significant improvement in characterizing the scattering of dust aerosols and reduce uncertainties in AOD (Dubovik et al., 2002; Levy et al., 2007b).

The 36 spectral bands of MODIS are also utilized to develop a robust cloud mask that limit cloud contamination from impacting the AOD retrievals. For instance, thin cirrus clouds are difficult to detect and can cause biases in AOD when located above aerosols (Huang et al., 2011), however, the 1.38  $\mu$ m band onboard MODIS helps mask these clouds and reduce uncertainties in AOD (Ackerman et al., 2006). MODIS also carries the 0.47, 0.66, and 2.12  $\mu$ m bands that help reduce AOD uncertainties by improving the estimation of surface reflectivity over dark land surfaces, such as vegetation and soils (Levy et al., 2007a).

23) P10325 L2: "only have 5 spectral bands", why not mentioning their spectral resolutions and nominal wavelengths?

We included a table in the manuscript....

The major disadvantage of these imagers onboard the GOES-15 and MTSAT-2 is that they only have 5 spectral bands, consisting of one visible and four infrared bands (Table 2), compared to the 22 and 36 bands of MODIS and VIIRS.

	GOES-15 and (MTSAT-2)		
Imager Band	Center Wavelength (µm)	Spatial Resolution (km)	
1	0.63 <b>(0.675)</b>	1 (1.25)	
2	3.9 <b>(3.75)</b>	4 (5)	
3	6.48 <b>(6.75)</b>	4 (5)	
4	10.7 <b>(10.8)</b>	4 (5)	
5	N/A (12.0)	N/A (5)	
6	13.3 <b>(N/A)</b>	4 <b>(N/A)</b>	

Table 2. Center wavelength and spatial resolution of spectral bands onboard GOES-15 and MTSAT-2. MTSAT-2 is denoted in red parenthesis. The GOES-15 imager carries bands 1-4 and 6 while the MTSAT-2 imager carries bands 1-5.

25) P10325 L9-11: "The GOES AOD : : : (2008)", and what about the MTSAT-2 algorithm?

We included this sentence discussing a MTSAT AOD retrieval algorithm...

## Similar uncertainties were associated with a MTSAT AOD retrieval algorithm when validated against ground-based instrumentation at the AErosol RObotic NETwork (AERONET) stations (Kim et al., 2008).

26) P10325 L20-22: "We utilize...exponent", why not directly comparing to the optical properties at 500 nm, by keeping in mind that a (small, due to the only 50 nm spectral distance) difference exists due to the different wavelengths? And if you really want to derive the AOD at 550 nm, please characterise the uncertainty arising from this conversion (error propagation using the Ångström law).

## We continue to derive AOD at 550 nm, but have added information on the uncertainty from using our interpolation method. We added the following text...

We utilize AOD and single scattering albedo data at 500 and 675 nm, then calculate the angstrom exponent by logarithmic interpolation between these wavelengths to derive AOD at 550 nm. Reported uncertainties for the Level 2.0 cloud-screened and quality-assured AOD and single scattering albedo data are approximately 0.01-0.015 and 0.03-0.07, respectively (Schmid et al., 1999; Dubovik et al., 2000). The interpolation method to derive AOD at 550 nm introduces an additional uncertainty of 0% to 10% (Eck et al., 1999).

33) P10326 L25: "tasks", you mean "steps", "phases", "stages"? Same thing for other occurrences of "task"

#### We changed to step/steps.

34) P10327 L4: "large amount of effort to complete", you mean it is the most critical step in terms of the computational cost?

#### We changed this to simply read...

## In this section, we discuss each of these steps, but place much of the focus on the four major steps involved in the MTSAT and GOES AOD retrieval algorithms (right side of Fig. 1).

35) Figure 1 is OK but isn't it possible to produce a similar figure about the overall methodology, i.e., from the individual products to the merged final product?

#### Yes, we updated the figure to show overall methodology (see revised Figure 1).

36) P10327 L7: why this date/time (18/03/2014, 05:01)?

#### Changed this to read...

## on 18 March 2014 at 0500 UTC when a polluted dust plume was being transported over the Yellow Sea and Sea of Japan.

38) P10327 L 16: "solar zenith angle", isn't it the "cosine of the solar zenith angle"?

#### Yes, we simply forgot to include the cosine in Equation 1. This has been corrected.

39) All this part linked to Eq. 1 is not clear at all to me. Maybe you can try to reformulate?

We reformulated this section, which we think makes it more understandable...

For the MTSAT-2 visible imagery data, we must convert the nominal reflectance  $(\rho_{nom})$  to a calculated reflectance using the monthly linear regression coefficients provided by the Meteorological Satellite Center of JMA. Equation (1) shows how the  $\rho_{nom}$  is converted to the calculated or satellite reflectance  $(\rho_{sat})$  where  $C_{\theta}$  and  $C_{1}$  are the intercept and slope linear regression coefficients, *d* is the Earth-Sun distance in astronomical units, and  $\theta_{\theta}$  is the solar zenith angle.

40) P10328 L10-13: please refer to my major revision 3

#### See our response to your major revision 3...

## Our sensitivity tests in Section 5.1 show that using U.S. standard profiles cause uncertainties in AOD of less than 1%, which agrees with the findings in Levy et al. (2013).

41) P10328 L16-18: please refer to my major revision 4

42) P10329 L5: as for comment 41, please refer to my major revision 4

#### See our response to major revision 4.

43) P10330 L15-18: "However...Naeger et al., 2013b)" what do you mean with "dust has a unique spectral signature in the 10.8 and 12.0 microns bands"? To me, dust particles can have variable mineralogical composition and, as such, variable spectral signatures. Please explain.

#### We provided a clearer explanation in the manuscript, it now reads...

However, we were able to develop more sophisticated dust detection techniques for MTSAT as dust particles have a larger imaginary index of refraction at 10.8  $\mu$ m compared to 12.0  $\mu$ m while most other aerosol and cloud types have an opposite trend (Ackerman et al., 1997). This can influence a negative difference between the 10.8 and 12.0  $\mu$ m bands, which can be used to identify dust aerosols (Sokolik, 2002; Naeger et al., 2013b).

44) P10331 L15-16: "sunglint regions cause high biased AOD retrievals", and then are screened out?

This reads poorly, we do use a common sunglint test to remove all possible impacted pixels. We simply removed this sentence, but added a sentence earlier in this section that reads...

#### Note that we also detect and screen out all possible sunglint affected pixels during this step.

45) P10331 L17-18: what do you mean with "special"?

#### "unique" would be better here, but we simply removed "special".

46) P10331 L26-27: "due to the non existent...", you mean that the test is not performed?

Yes, we reworded this sentence to read...

## Although similar to MTSAT, the GOES dust detection technique is not as robust as the 10.8-12.0 $\mu$ m test cannot be included in the GOES technique due to the absence of the 12.0 $\mu$ m band.

47) P10332 L7-13: "our automated scripts continually search for...", this is more a technical detail, and is of limited interest for an AMT paper.

#### We agree. The sentence has been removed.

48) P10333 L3-on: with reference to my major comment 7 and specific comment 32, e.g. isn't this paragraph a good candidate for a specific and separate sub-section?

#### Yes, we included a sub-section here.

49) P10333 L3-on: you give reasons why these different Level 2 products are "compatible" (including supporting references) but an estimation of the uncertainties introduced by merging different products seems to me still necessary. For example, what is the impact of using instruments with different spatial resolution and observation geometry and timing? How does each compare with the others? As your paper introduces a new merged product, these questions are pertinent and need a clear and more precise answer than "we do not expect significant issues when averaging the MODIS and VIIRS AOD retrievals for generating our AOD composite product": this is not convincing

We introduced an entire new section in Uncertainties to respond to this comment...

#### **5.4 NRT AOD composites**

When generating our AOD composite product, uncertainties may arise from averaging the individual GEO and LEO AOD retrievals onto a common grid (Section 3.6) due to differences in their AOD retrievals, spatial resolution, observation times, and viewing geometry. We expect minimal uncertainties with averaging the GEO AOD onto a common grid, since we developed very similar retrievals algorithms for the GOES and MTSAT imagers that use the same 6SV aerosol model and LUT approaches. In addition, there will only be a small number of instances when both GOES an MTSAT AOD retrievals fall within the same grid box due to the limited overlap between their geographical coverage. Conversely, when averaging the MODIS and VIIRS AOD, significant uncertainties may arise due to the differences between their AOD algorithms as discussed in Section 2.2. Fig. 9a-b shows an example of the MODIS Level 2 AOD retrievals and VIIRS AOD retrievals for the 18 March 2014 case study presented in Section 4.1. These LEO sensors show a very similar spatial distribution of AOD throughout the domain where both retrieve AOD > 1 for the polluted dust plume extending northeast from eastern China (~33°N, 120°E) to the Sea of Japan (~36°N, 135°E) and AOD > 0.5 throughout much of Southeast Asia (~16°N, 100°E). The most significant difference between the LEO AOD retrievals appear over the Korean peninsula (~38°N, 128°E) where MODIS and VIIRS AOD is around 0.7 and 0.5, respectively. Both algorithms utilize their dust models to retrieve AOD in this region, therefore, VIIRS is likely biased low due to the assumption of spherical dust particles in the model. Nevertheless, the correlation between VIIRS and MODIS AOD throughout this entire domain is very high (R = 0.92), which suggests that our approach of averaging VIIRS and MODIS AOD to generate the AOD composite leads to a minimal uncertainties.

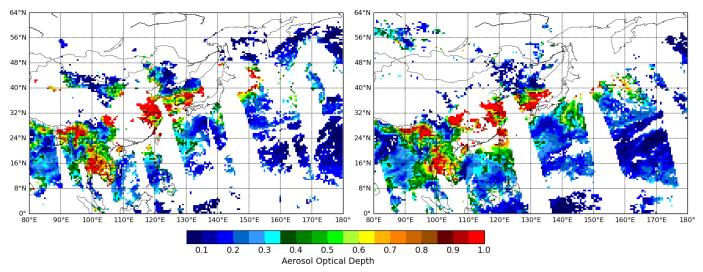


Figure 9. a) MODIS Level 2 AOD retrievals and b) VIIRS AOD retrievals for the 18 March 2014 case study presented in Section 4.1. The MODIS and VIIRS AOD retrievals are regridded onto identical domains for comparison purposes.

50) P10334 L6-8: "The product is valid...18 March", the word "valid" here looks a little bit strange. You would want to say that you impose that the central time in the time interval of the used products is chosen as the "nominal time" of the merged product?

#### Okay. We changed this to read...

The central time of the AOD composite product is 0000 UTC, since we used satellite information between 1200 UTC on 17 March and 1200 UTC on 18 March to generate the product.

51) P10335 L7: "the much finer", finer than ...?

We reworded this sentence...

The much finer spatial resolution of the MODIS (~0.5 km at nadir) and VIIRS visible channels (~0.74 km at nadir) compared to the GEO sensors allows retrievals to be performed in these cloud-free regions.

52) The section 4.1 is sensibly more readable than section 3 but the discussion on the differences between the two LEO and GEO products and between them and the merged product might benefit from the identification, on Fig. 4, of the area discussed in the inherent text (e.g., "around 38\_N, 165\_E", P10334 L14 and P10335 L4, etc)

Okay, we included some more in the text. Here is an example...

A fairly extensive aerosol plume is moving from southeast China to the tropical Pacific around 20°N 120°E while aerosol layers with AOD > 0.5 are impacting much of Southeast Asia (~15°N, 100°E).

53) P10335 L 9-11: "Furthermore...VIIRS", the reader is asked to see these biases using the very small subfigures. It is very hard. Can you maybe provide a small further subfigure with the comparison of these estimations, or a more precise description of the areas you look at and a calculated, quantitative, bias value?

The statement "Furthermore, MTSAT/GOES AOD is biased low and high depending on whether the retrieval is poleward and equatorward of 30°N, respectively, by an average of 0.02 compared to MODIS/VIIRS" was actually providing overall bias values for all pixels poleward and equatorward of 30°N. We reworded this sentence to be clearer...

Furthermore, MTSAT/GOES has a high bias in AOD of 0.02 compared to MODIS/VIIRS when comparing the average of all AOD retrievals poleward of 30°N while a low bias of the same magnitude exists for AOD retrievals equatorward of 30°N.

We included some more information in the remainder of the paragraph to better describe the individual areas we are analyzing, it now reads...

However, regions of larger discrepancies are apparent when comparing Fig. 3a and b. For instance, MTSAT AOD is about 0.2 lower than MODIS/VIIRS around 30°N 137°E. The MODIS/VIIRS AOD in this area is generally within ±0.05 of the MISR AOD (Fig. 3e) while MTSAT is lower than MISR by -0.05 to -0.20 (Fig. 3d). The closer agreement between MISR and MODIS/VIIRS AOD suggests that the LEO retrievals are more accurate than MTSAT. Note that MISR retrievals are strictly for intercomparison purposes and completely independent of the AOD composite product. This tendency of MTSAT/GOES to be biased low is primarily caused by cloud cover influencing the  $\rho_{min}$  image which then leads to overestimations in the  $R_{sfc}$  retrievals (Fig. 2b). Clouds tend to impact the  $R_{sfc}$ retrievals more often in the north and central Pacific as the MTSAT/GOES spatial resolution decreases. On the other hand, the high bias over the tropical Pacific is mostly due to cloud contamination influencing the MTSAT AOD retrievals, which is evident by the areas of AOD > 1.0 appearing over parts of the tropical western Pacific ( $\sim 2^{\circ}N$ , 115°W). Neither MODIS/VIIRS or MISR depict these same areas of AOD > 1.0. There are large discrepancies between AOD retrievals across portions of Southeast Asia as indicated by the MISR overpass around 15°N 107°E. MODIS/VIIRS AOD is generally between 0.5 and 0.7 in this region while MTSAT AOD reaches 1.0 in some locations. MISR AOD is considerably lower with values of around 0.3.

54) P10335 16-18: "Note that...product", has this information been given before?

Yes, we mention this in the Data section... Section 2.5.

55) Figures 4 and 5: the small grey rectangles in Fig. 4c, which are referred to, as well, in Fig. 5 are hardly visible. Isn't it possible to arrange Fig 4 differently to enlarge the individual panels?

#### Yes, please see this modified figure. NOTE: This figure is now Fig. 3.

56) Does the comparison with CALIOP-CALIPSO show that the merged AOD product is a significant improvement in describing this plume with respect to the individual LEO and GEO products? For me, it is not actually this evident...

We never make this claim during this discussion, and we didn't expect this comparison with CALIOP to show a significant improvement with the merged AOD product due to the very limited spatial resolution of the CALIOP profiles. Note that we never refer to the individual GEO and LEO AOD products (Fig. 3a and b) at any time during this discussion. We only use CALIOP to better understand if our merged product is realistically representing the intensity of aerosol plumes, which we state at the end...

## Overall, the CALIPSO transects indicate our AOD composite realistically represents the intensity of the aerosol plumes throughout western Pacific and Asia.

59) Section 4.2: you never mention possible sink and evolution processes for the aerosols during transport. The fact that the trajectories initialised at one spatiotemporal location go towards one specific direction at the end of a HYSPLIT run of x days does not mean that you'll find the same aerosols there, after x days of trajectory run. A fraction of the aerosol population might have been removed by sink processes or transformed by evolution processes. More aerosols might reach the final location due to other sources. This absolutely needs to be discussed here.

#### This is now mentioned in this section, it now reads...

## Note that these trajectory calculations do not account for aerosol particulate transport and wet and dry deposition, which can influence aerosol pathways, especially during long-range transport events.

60) P10338 L1: "Google Earth", please say somewhere that you show your trajectories on Google Earth visualisation, if you really need to use Google Earth (why not using a map like those of Fig 4 instead?)

#### We revised this figure to be consistent with our previous maps. Please see Figure 5.

61) P10338 L9-12: "However, the HYSPLIT model was too aggressive in predicting the transport of the aerosol plume as indicated in Fig. 6 where the aerosol plume is already over the landmass of North America by 22 March at 05:00 UTC.", I'm pretty sure that it was not really aggressive, you just don't consider possible sink and evolution processes but just transport. This leads certainly to an overestimation of the aerosol

plume at distal locations.

We agree and have revised these statements, it now reads...

However, the majority of the HYSPLIT ensemble runs predict the aerosol plume to be further east than shown in the AOD composite with a handful of runs showing the plume over western North America by 22 March at 0500 UTC. These differences in aerosol plume location between the HYSPLIT runs and the AOD composite are likely attributed to the fact the model does not account for long-range aerosol transport processes.

62) P10338 L22-24: isn't it useful to be shown?

#### We didn't want to include too many CALIPSO images in this paper, so we just reference one here. Might be useful to show, but probably not necessary.

63) Paragraph from P10339 L11 to the end of section 4: why do you calculate and discuss the statistics of the number of pixels here? The spatial coverage improvement of the merged product should be discussed before, maybe even in the Methodology section.

# We think the discussion on the statistics is better suited after showing the case studies. This way we are able to present the AOD composite maps, which show some improvement in AOD spatial coverage. Then, we can discuss the quantitative statistics on the case studies at the end.

64) The "Uncertainties" section should appear before and is incomplete in the present version. The uncertainties introduced by the merging process itself, which is the most interesting to be discussed in such a manuscript, is not even mentioned. The discussion on the uncertainties introduced by the use of one fixed aerosol model is only superficially tackled. It should be discussed, e.g., how microphysics (aerosol size distribution), chemical (composition and then refractive index) and vertical distributions prescribed affect the AOD retrievals.

#### See our response to major revision 4 where some of your comments here have been addressed. However, we believe it is outside the scope of our study to address all the possible uncertainties you mention here. Addressing all of these uncertainties is an entire paper in itself.

65) I suggest to separate the "Uncertainties" part from the "Validation" part (last paragraph) of section 5. Validation is OK at the end of the paper, while the uncertainties estimation should be discussed before, like mentioned in the specific comment 64.

#### Done.

67) The discussion on the outliers: can you justify why you think that these outliers are linked to the choice of the aerosol model? Using a different aerosol model the

correlation improves?

#### We discuss this in the updated Uncertainties section (see major revision 4).

68) P10341 L21-24: "Although the MODIS ans VIIRS...can be made", this sentence is far too strong: it seems that you're arguing that MODIS and VIIRS never make usable measurements due to clouds and sun glint (globally? Over the Pacific Ocean?). This is in contradiction with what you show in Figs. 4-5b, for example. Please reformulate this sentence.

Yes, we agree this sentence is too strong. We reformulated to...

Although the MODIS and VIIRS LEO satellites generally provide high-quality AOD retrievals in cloud-free scenes (excluding the polar region), they only observe the same area once or twice daily, which increases the likelihood of cloud and sun glint contamination. This can cause gaps in daily AOD coverage as shown by the case studies presented in this paper.

69) P10342 L2-5: "Overall, when combining...Pacific Ocean", this improvement must be better justified and quantified in the text and in the conclusions.

We hope you at least agree that the AOD composite lead to some increase in spatial coverage of AOD. If not, this seems like a MAJOR comment that should have been listed at the beginning. Anyways, this sentence might appear a bit strong, so we revised it to read...

Overall, when merging the GEO and LEO retrievals, we generated a daily AOD composite product that provided additional spatial coverage of AOD across our domain from Asia to the North America.

70) P10342 L7-8: "were able to be recognized" is a clumsy expression. Please reformulate.

#### We reformulated to read...

We showed that the coverage of aerosol plumes propagating from Asia to the western Pacific were better captured by our AOD composite product than the individual GEO and LEO products.

72) P10342 L28 – P10343 L2: it is a strange choice not to show the 6 hours merged product when we all agree that the use of the new GEO products, due to its fine temporal resolution, has a greater impact on this merged product that that shown in this paper.

We were debating whether to include an example of the 6-hourly AOD composite product, but ultimately decided to only show the daily composite, since the 6-hourly product is not as useful for tracking the trans-Pacific transport of aerosols. However, we did restructure this sentence to read...

We did not show examples of the 6-hourly AOD composite product, since the daily product is more pertinent for the focus of this paper where we track the trans-Pacific transport of aerosols.

73) P10343 L 2-8: finally you mention data assimilation. A discussion about this must be included in the introduction as well.

Done.

74) Please mention the spectral resolution of the next-generation GEOs as well.

We included these details in the revised sentence, it now reads...

These advanced GEO satellites perform full disk scans every 5 minutes, and carry sensors consisting of 16 spectral bands with 0.5 km spatial resolution at nadir for the 0.64  $\mu$ m visible band with a spectral resolution from about 0.59  $\mu$ m to 0.69  $\mu$ m

75) Table 3: what is the meaning of the "time" subscript?

The "time" subscript simply indicates that these are temporal techniques to better separate between the spectral techniques in this table. To further clarify this, we included a sentence in the caption.

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4	Monitoring and Tracking the Trans-Pacific Transport of Aerosols Using
5	Multi-Satellite Aerosol Optical Depth Composites
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#### 1 Abstract

2 The primary goal of this study was to generate a near-real time (NRT) aerosol optical 3 depth (AOD) product capable of providing a comprehensive understanding of the aerosol spatial 4 distribution over the Pacific Ocean, in order to better monitor and track the trans-Pacific 5 transport of aerosols. Therefore, we developed a NRT product that takes advantage of 6 observations from both low-earth orbiting and geostationary satellites. In particular, we utilize 7 AOD products from the Moderate Resolution Imaging Spectroradiometer (MODIS) and Suomi 8 National Polar-orbiting Partnership (NPP) Visible Infrared Imaging Radiometer Suite (VIIRS) 9 satellites. Then, we combine these AOD products with our own retrieval algorithms developed 10 for the NOAA Geostationary Operational Environmental Satellite (GOES-15) and Japan 11 Meteorological Agency (JMA) Multi-functional Transport Satellite (MTSAT-2) to generate a 12 NRT daily AOD composite product. We present examples of the daily AOD composite product 13 for a case study of trans-Pacific transport of Asian pollution and dust aerosols in mid-March 14 2014. Overall, the new product successfully tracks this aerosol plume during its trans-Pacific 15 transport to the west coast of North America as the frequent geostationary observations lead to a 16 greater coverage of cloud-free AOD retrievals equatorward of about 35°N while the polar-17 orbiting satellites provide a greater coverage of AOD poleward of 35°N. However, we note 18 several areas across the domain of interest from Asia to North America where the GOES-15 and 19 MTSAT-2 retrieval algorithms can introduce significant uncertainties into the new product. 20

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#### 1 1. Introduction

2 Although stricter emission control standards in the United States have led to a reduction 3 in the domestic emissions of particulates since the 1980s, degraded air quality conditions over 4 the western United States have occurred due to foreign dust and pollution aerosols (Yu et al., 5 2012). For instance, the expanding cities and rapid industrialization of East Asia are major 6 source regions of pollution emissions while biomass burning across Southeast Asia release 7 smoke into the atmosphere (Streets et al., 2003). In addition, frequent dust storms originate from 8 the Taklamakan and Gobi deserts in the late winter and early spring with a daily average dust 9 emission of 1.58 million tons in April (Zhao et al., 2006). These smoke and dust aerosols 10 combine with the other pollutants in a trans-Pacific transport that occurs frequently during late 11 winter and early spring when the East Asian winter monsoon is near its peak strength (Gong et 12 al., 2006). This East Asian winter monsoon brings cold, dry air outbreaks leading to strong 13 surface winds that can efficiently pick up dust from the deserts. Once lofted in the atmosphere, 14 the dust, smoke, and pollution aerosols are quickly transported to the western Pacific by a 15 persistent offshore wind flow from the Asian continent (Talbot et al., 1997). Then, the aerosols 16 are carried by strong mid- to upper-level westerly winds across the Pacific to the western United 17 States where they can be transported from the free troposphere towards the ground. These 18 transported aerosols can reduce the air quality across the United States which can increase the 19 risk of lung cancer and cardiopulmonary mortalities (Pope et al., 2002). Consequently, limiting 20 domestic emissions in the United States alone does not assure that the aerosol effects on human 21 health will be reduced as the total mass of aerosols entering the United States from overseas is 22 similar to that emitted domestically (Yu et al., 2012). Not only can aerosols degrade the air 23 quality, but they can also have direct and indirect radiative effects in the atmosphere through 24 interacting with solar radiation and clouds which can have significant impacts on the climate and 25 weather (e.g., Khain et al., 2005; Ault et al., 2011; Naeger et al., 2013a). Therefore, it is 26 important that we continuously monitor aerosols at a global scale, and determine their 27 concentration and spatial variability, especially during the late winter and early spring period 28 when the trans-Pacific transport of Asian aerosols occurs rather frequently. 29 A major issue when attempting to monitor aerosols across the Pacific is the large amount

A major issue when attempting to monitor aerosols across the Pacific is the large amount of cloud cover that often resides over this region. In fact, Mace et al. (2009) used merged data from the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) and

1 Clouds at satellite to show that cloud cover exceeded 90% throughout the North Pacific between 2 July 2006 and June 2007. Aerosol optical depth (AOD) retrievals are generally avoided in 3 cloudy regions due to the difficulty in separating the visible reflectance of the clouds and 4 aerosols that lead to biases in the retrievals. Zhang et al. (2005) found that cloud contamination 5 and cloud brightening lead to an overestimation of 10-20% in the monthly averaged Moderate 6 Resolution Imaging Spectroradiometer (MODIS) AOD over oceans. The MODIS Collection 6.0 7 AOD product has been refined to reduce the cloud contamination issues in Collection 5.1 (Levy 8 et al., 2013). Nonetheless, cloud cover continues to hinder our ability to monitor the trans-9 Pacific transport of aerosols when using observations from passive (LEO) satellites alone. There 10 has been recent progress on retrieving AOD for absorbing aerosols above clouds from MODIS 11 (Jethva et al., 2013; Meyer et al., 2015) and the Ozone Monitoring Instrument (OMI) (Torres et 12 al., 2012), which could prove very beneficial for tracking the trans-Pacific transport of aerosols. 13 These retrieval techniques take advantage of the highly reflective nature of clouds along with the 14 absorption characteristics of aerosols in the near-UV to visible wavelengths to retrieve AOD 15 when aerosols are lofted above clouds. However, these retrieval techniques rely on a larger 16 number of assumptions than cloud-free aerosol retrievals, which often leads to significant 17 uncertainties of greater than 50% (Jethva et al., 2013; Torres et al., 2012). The CALIPSO 18 satellite carries the active Cloud-Aerosol Lidar and Orthogonal Polarization (CALIOP) 19 instrument that is more equipped for detecting aerosol plumes above cloud layers (Winker et al., 20 2010). However, the extremely narrow field of view of the vertical curtain-like measurements of 21 CALIOP are of limited value for monitoring the spatial variability of aerosols. Therefore, for 22 this study where we develop a near real time (NRT) AOD composite product for monitoring 23 aerosol plumes across the Pacific Ocean, CALIPSO will only be used to help validate our 24 product.

U.S. air quality agencies (e.g., Environmental Protection Agency (EPA)) rely on NRT aerosol products to help provide better forecasts while incorporating the NRT data into their analysis (Al-Saadi et al., 2005). By providing the public with more accurate air quality forecasts, individuals can appropriately adjust their outdoor activities to avoid exposure to poor air quality conditions which can have harmful health impacts. Furthermore, the aerosol indirect effects have recently been incorporated into the Rapid Refresh (RAP) operational model forecast system at the NOAA National Centers for Environmental Prediction (Benjamin et al., 2015). Currently, aerosol fields initialized in the RAP model are based on climatology. Thus, NRT aerosol
 products can be used to help initialize these operational forecast models with a realistic depiction
 of the aerosol conditions.

4 In this study, we merge observations from LEO and geostationary (GEO) satellites in 5 order to develop a NRT 6-hourly and daily AOD composite product centered over the Pacific 6 Ocean. The new product will demonstrate the benefits of merging LEO and GEO satellite 7 observations for tracking aerosol plumes in the atmosphere and has the potential to be useful for 8 data assimilation and aerosol forecasting. For instance, the National Aeronautics and Space 9 Administration (NASA) Goddard Earth Observing System version 5 (GEOS-5) model 10 (Rienecker et al., 2008; Molod et al., 2012) only assimilates aerosol observations from MODIS, 11 but having more frequent satellite observations such as from this study will help model 12 simulation and forecasting of aerosol fields. In the following sections, we discuss the data 13 products used in this study (Section 2), present the methodology for generating NRT AOD 14 composite product (Section 3), show results from a case study of trans-Pacific transport of Asian 15 aerosols (Section 4), discuss uncertainties (Section 5) and validation (Section 6), and conclude 16 with a summary and discussion (Section 6).

17

#### 18 2. Data

Table 1 provides a detailed summary of the different satellites and aerosol retrievals used
 in generating our NRT 6-hourly and daily AOD composite product.

21 2.1 MODIS

22 We utilize the MODIS instrument onboard the LEO Aqua and Terra satellites, which has 23 36 spectral bands with center wavelengths between 0.41 and 14.5  $\mu$ m and spatial resolutions of 24 250 m, 500 m, and 1000 m. In general, the MODIS team retrieves AOD by comparing the 25 reflectances from the solar bands to a lookup table of computed reflectances based on 26 sun/satellite geometry, surface reflectance, and aerosol type (Remer et al., 2005). HAOD 27 products have been under development by the MODIS team with the most recent release of the 28 Collection 6 Level 2 AOD product (Remer et al., 2013). In this study, we use the Collection 5.1 29 Level 2 AOD product, since a NRT version for Collection 6 was not released until early 2016. 30 The Collection 5.1 release of MODIS dark target algorithm provides a 10 km AOD product with 31 uncertainties over ocean and non-bright surfaces of  $\pm 0.03 \pm 0.05$ \*AOD and  $\pm 0.05 \pm 0.15$ \*AOD,

1 respectively (Remer et al., 2005; Levy et al., 2010). MODIS AOD is usually retrieved with 2 rather low uncertainties due primarily to the availability of numerous spectral bands. For 3 example, the MODIS team uses seven reflective solar bands to help distinguish between aerosol 4 types in the atmosphere, which has led to the development of a complex aerosol retrieval that 5 uses a total of 14 different aerosol models, nine over ocean and five over land (Levy et al., 6 2007b). The ocean aerosol models use optical properties representative of four types of water 7 soluble particles, three types of wet sea salt particles, and two types of dust-like particles. 8 Although MODIS over land retrieval only uses five aerosol models (continental, generic, non-9 absorbing urban-industrial, absorbing smoke, and spheroid dust), they separate between soluble, 10 dust, and soot mode particles for the continental aerosol model, and accumulation and coarse 11 mode particles for the other four models. A noteworthy strength of the MODIS AOD retrieval 12 algorithm is the use of T-Matrix code (Dubovik et al., 2006) to compute scattering properties for 13 the spheroid dust model, which can lead to significant improvement in characterizing the 14 scattering of dust aerosols and reduce uncertainties in AOD (Dubovik et al., 2002; Levy et al., 15 2007b).

16 The 36 spectral bands of MODIS are also utilized to develop a robust cloud mask that 17 limit cloud contamination from impacting the AOD retrievals. For instance, thin cirrus clouds 18 are difficult to detect and can cause biases in AOD when located above aerosols (Huang et al., 19 2011), however, the 1.38 µm band onboard MODIS helps mask these clouds and reduce 20 uncertainties in AOD (Ackerman et al., 2006). MODIS also carries the 0.47, 0.66, and 2.12 µm 21 bands that help reduce AOD uncertainties by improving the estimation of surface reflectivity 22 over dark land surfaces, such as vegetation and soils (Levy et al., 2007a). Note that the NRT 23 version of the Collection 5.1 AOD product is distributed with an average latency of 24 approximately 90 minutes via NASA's Land and Atmosphere Near real-time Capability for 25 Earth observing system (LANCE) data system.

26 2.2 VIIRS

In addition to MODIS, our NRT product incorporates AOD retrievals from the
Visible/Infrared Imager and Radiometer Suite (VIIRS) onboard the Suomi National Polarorbiting Partnership (NPP) satellite. The VIIRS instrument with its 22 spectral bands was
designed to continue the decade-long success of retrieving AOD from MODIS, therefore, the
VIIRS AOD retrieval algorithm has similar strengths as just discussed for MODIS. For instance,

1 the VIIRS aerosol retrieval uses the same nine ocean aerosol models as discussed for MODIS. 2 The only minor difference between these ocean aerosol models is the very minimal difference in 3 band wavelengths. Although both VIIRS and MODIS use five different aerosol models over 4 land, they use different values of mean radius, standard deviation of volume distribution, and 5 refractive indices to represent each model. Also, the dust aerosol models over land for these 6 LEO retrievals differ in that the VIIRS dust model is based on Mie-scattering calculations that 7 assume spherical particles while MODIS accounts for non-spherical particles. As a result, we 8 expect VIIRS AOD to encounter higher uncertainties than MODIS when retrieving AOD for 9 dust plumes over land. In addition, there are some significant differences between the VIIRS 10 and MODIS cloud masks and internal screening tests (Jackson et al., 2013). Nevertheless, Liu et 11 al. (2014) conducted an extensive validation of VIIRS AOD against the Maritime Aerosol 12 Network (MAN) where they found that 71% of VIIRS retrievals were within the expected 13 uncertainty range of MODIS retrievals over ocean. Although VIIRS AOD will likely show 14 larger differences when compared to MODIS for scenes of dust aerosols over land, our AOD 15 composite product is centered over the middle of the Pacific Ocean where the LEO ocean aerosol 16 models will be important.

17 Although VIIRS follows the same orbit-track as MODIS Aqua, it is capable of providing 18 additional information on the spatial distribution of AOD due to a wider swath width and higher 19 spatial resolution at swath edge than MODIS (Hillger et al., 2013). VIIRS has a swath width of 20 3000 km versus 2330 km for MODIS and a spatial resolution at swath edge of approximately 1.5 21 km versus 5 km for MODIS. We process AOD data from the VIIRS aerosol EDR via the NOAA 22 Comprehensive Large Array-Data Stewardship System (CLASS) subscription service. However, 23 the VIIRS AOD product via NOAA CLASS has approximately a seven hour latency compared 24 to the 90 minute latency of MODIS AOD via NASA LANCE data system. Consequently, VIIRS 25 retrievals are not incorporated into our 6-hourly composite product as the latency of VIIRS 26 exceeds the time window of the product.

27 2.3 GOES-15 and MTSAT-2

Even though the advanced MODIS and VIIRS instruments provide high-quality AOD retrievals, they fly onboard LEO satellites that observe the same location only once per daytime period. Thus, cloud cover can lead to large gaps in the coverage of AOD when only analyzing data from instruments onboard LEO satellites, especially over the generally cloudy Pacific

1 Ocean. To mitigate this issue and more effectively track the trans-Pacific transport of aerosols, 2 we incorporate the high temporal resolution measurements from the NOAA Geostationary 3 Operational Environmental Satellite (GOES-15) and Japan Meteorological Agency (JMA) Multi-4 functional Transport Satellite (MTSAT-2) geostationary platforms into the NRT AOD composite 5 product. This study uses the northern hemisphere scan modes with a 30 minute temporal resolution for both GOES-15 and MTSAT-2. The high temporal resolution of these GEO 6 7 sensors can lead to a higher frequency of cloud-free observations than that provided by the LEO 8 sensors, which can help increase the spatial coverage of AOD.

9 The major disadvantage of these imagers onboard the GOES-15 and MTSAT-2 is that 10 they only have 5 spectral bands, consisting of one visible and four infrared bands (Table 2), 11 compared to the 22 and 36 bands of MODIS and VIIRS. Consequently, these GEO sensors are 12 very limited in their capability to distinguish between various aerosol types in the atmosphere, 13 therefore, we are unable to replicate the complex aerosol retrievals of MODIS and VIIRS. 14 Instead, we develop simplified aerosol retrievals based on only two aerosol models (continental 15 and desert) as discussed in Section 3.3. Similar to the VIIRS retrieval, the desert aerosol model 16 used in these GEO retrievals assumes spherical particles. The surface reflectance retrievals 17 (Section 3.2) and cloud masks (Section 3.4) developed for these GEO sensors are also less robust 18 than the LEO sensors due to the lower availability of spectral bands. As a result, AOD retrievals 19 from these GEO satellites will typically have higher uncertainties than those from LEO sensors 20 as shown by the validation study of Paciorek et al. (2008) where the GOES AOD uncertainty 21 range over dark land surfaces was 18-34% with lower values expected over water. Similar 22 uncertainties were associated with a MTSAT AOD retrieval algorithm when validated against 23 ground-based instrumentation at the AErosol RObotic NETwork (AERONET) stations (Kim et 24 al., 2008). Note that easily accessible archives containing AOD data are not available for the 25 GOES-15 and MTSAT-2 satellites, therefore, we develop our own retrieval algorithms for these 26 satellites. The GOES AOD retrieval algorithm developed for this study is similar to the GOES 27 Aerosol/Smoke Product (GASP) discussed in Prados et al. (2008). The latency of our GOES and 28 MTSAT AOD retrievals are typically less than 30 minutes.

29 2.4 AERONET

In this study, we use ground-based instrumentation at AERONET stations to assist with
 developing accurate AOD retrieval algorithms for the GOES and MTSAT satellites. AERONET

1 stations are located throughout the globe, but we focus on 10 of those set across eastern Asia, 2 since aerosols usually propagate over this region prior to their trans-Pacific transport. The sun-3 sky radiometer instruments at the AERONET stations provide very accurate measurements of 4 aerosol optical properties from the ultraviolet to the near-infrared (Holben et al., 1998). We 5 utilize AOD and single scattering albedo (SSA) data at 500 and 675 nm, then calculate the 6 angstrom exponent by logarithmic interpolation between these wavelengths to derive AOD at 7 550 nm. Reported uncertainties for the Level 2.0 cloud-screened and quality-assured AOD and 8 SSAretrievals are approximately 0.01-0.015 and 0.03-0.07, respectively (Schmid et al., 1999; 9 Dubovik et al., 2000). The interpolation method to derive AOD at 550 nm introduces an additional uncertainty of 0% to 10% (Eck et al., 1999). At the time of this study, Level 2.0 data 10 11 is not available at a number of AERONET stations across East Asia, therefore, we utilize the 12 Level 1.5 cloud-screened data for these particular stations.

13 2.5 MISR

14 We also utilize AOD retrievals from the Multi-angle Imaging SpectroRadiometer (MISR) 15 onboard EOS Terra satellite to compare against the NRT AOD composite product. The MISR 16 instrument observes the Earth in four spectral bands (0.446, 0.557, 0.671, 0.866 µm) and has 17 nine cameras operating at nine different angles, four in forward, four in backward and one in 18 nadir direction. Its swath width is about 360 km (Diner et al., 2002), and due to the narrow swath width, near global coverage is obtained in 8-9 days at the equator and 2 days near the poles. The 19 20 relevant MISR data set for this paper is the level 2 aerosol data (MIL2ASAE) containing AOD at 21 4 spectral channels. A detailed description of the aerosol algorithm is given in Kahn et al. (Kahn 22 et al., 2005). MISR AOD over ocean validated using Maritime Aerosol Network (MAN) and 23 found that that MISR AODs are positively biased by about 0.04 with respect to surface measured 24 AODs (Witek et al., 2013). In order to compare against the NRT AOD composite product, the MISR 0.557 µm AOD at 17.6 km spatial resolution have been gridded to 0.5°x0.5° resolution. 25 26 2.6 CALIPSO

The Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) carries an active lidar that emits pulses of light at 532 and 1064 nm to produce vertical profiles of the atmosphere (Winker et al., 2003). CALIPSO has the unique ability to measure aerosols above clouds, therefore, we use the level 1B 532 attenuated backscatter profiles to help confirm areas of high AOD among clouds in the AOD composite product. In addition, CALIPSO measures the altitude where aerosols are located in the atmosphere, which we use as an input into the NOAA Hybrid Single Particle Lagrangian Integrated Trajectory Model (HYSPLIT) to forecast the transport path of Asian aerosols. Note that AERONET, MISR, and CALIPSO data are only used to help validate our AOD composite product. In other words, AOD retrievals from these instruments are completely independent of our product.

6

#### 7 3. Methodology

8 The schematic flow diagram in Fig. 1 shows the steps involved in generating the NRT 9 AOD composite product. In this section, we discuss each of these steps, but place much of the 10 focus on the four major steps involved in the MTSAT and GOES AOD retrieval algorithms 11 (right side of Fig. 1).

12 3.1 Clear sky background image

13 In order to effectively describe the MTSAT and GOES retrieval algorithms, we present 14 an example of how AOD is retrieved for a MTSAT-2 image on 18 March 2014 at 0500 UTC 15 when a polluted dust plume was being transported over the Yellow Sea and Sea of Japan. For 16 the MTSAT-2 imager visible data, we must convert the nominal reflectance ( $\rho_{nom}$ ) to a calculated 17 reflectance using the monthly linear regression coefficients provided by the Meteorological Satellite Center of JMA. Equation (1) shows how the  $\rho_{nom}$  is converted to the calculated or 18 19 satellite reflectance ( $\rho_{sat}$ ) where  $C_0$  and  $C_1$  are the intercept and slope linear regression 20 coefficients, d is the Earth-Sun distance in astronomical units, and  $\theta_0$  is the solar zenith angle. 21 The linear regression coefficients for March 2014 are 1.2257 and -0.0006 for  $C_0$  and  $C_1$ ,

$$\rho_{sat} = \frac{(C_0 + C_1 * \rho_{nom}) * d^2}{\cos(\theta_0)}$$
(1)

22 respectively. The first step of the MTSAT AOD retrieval algorithm is to gather visible imagery 23 data at 0500 UTC beginning 19 February through 18 March (28 total) and calculate  $\rho_{sat}$ . Then, 24 we find the second lowest  $\rho_{sat}$  for each pixel during the 28 day period, which generates the clear-25 sky background ( $\rho_{min}$ ) image. The same approach is taken to generate the  $\rho_{min}$  for the GOES-15 26 imager except that the  $\rho_{sat}$  is calculated based on calibration coefficients provided by the NOAA 27 NESDIS Center for Satellite Applications and Research (STAR). Note that we chose the 28 day 28 period after conducting sensitivity tests using 21, 24, 28, and 35 day periods, which is discussed 29 in Section 5. Although our sensitivity tests indicated that 28 days is the optimal period, the

variation in solar geometry throughout the four week period can still lead to considerable
 uncertainties, especially at lower surface reflectances.

3 3.2 Surface reflectance  $(R_{sfc})$  retrieval

4 For the second step, we retrieve the surface reflectance  $(R_{sfc})$  by removing the 5 atmospheric effects from the  $\rho_{min}$  image via a look-up table (LUT<sub>sfc</sub>). The LUT<sub>sfc</sub> is generated 6 using the Version 1.1 of the Second Simulation of a Satellite Signal in the Solar Spectrum Vector 7 (6SV) radiative transfer model (RTM) (Kotchenova et al., 2006; Kotchenova and Vermote, 8 2007)6SV code. The highly accurate 6SV code contains the same atmospheric correction 9 procedure used by the MODIS team (Vermote and Kotchenova, 2008). However, in developing 10 the LUT<sub>sfc</sub>, we are required to make some assumptions regarding the state of the atmosphere 11 throughout the  $\rho_{min}$  image. First, in order to remove the atmospheric effects, we used 12 temperature, water vapor, and ozone information from the U.S. standard profiles already 13 available in the 6SV code. Our sensitivity tests in Section 5.1 show that using U.S. standard profiles cause uncertainties in AOD of less than 1%, which agrees with the findings of Levy et 14 15 al. (2013). Second, we assume a background AOD of 0.05 since the visible bands onboard the 16 MTSAT-2 and GOES-15 satellites have been shown to be insensitive to low concentrations of 17 AOD (Knapp et al., 2005). After making these assumptions, the 6SV code is used to simulate 18 the top of atmosphere reflectance ( $\rho_{toa}$ ) values for a range of 16  $\theta_0$ , 16 viewing zenith angles ( $\theta$ ), 19 15  $\varphi$ , and 8  $R_{sfc}$  (i.e., LUT<sub>sfc</sub>). We search the LUT<sub>sfc</sub> for the solar/satellite geometry that most 20 closely matches that for each pixel in the MTSAT-2 and GOES-15 imager scan. After 21 identifying the solar/satellite geometry match, we retrieve the  $R_{sfc}$  for each pixel by interpolating 22  $\rho_{min}$  to the simulated  $\rho_{toa}$  values. Fig. 2a displays the MTSAT-2 imager  $\rho_{sat}$  on 18 March 2014, 23 where a plume of dust and pollution extending from China to over the Sea of Japan trails behind 24 a frontal band associated with a low-pressure system near Japan. The  $R_{sfc}$  retrievals for each pixel in the MTSAT-2 imager scan are shown in Fig. 2b where we only retrieve the  $R_{sfc}$  when the 25 26  $\theta_0$  and  $\theta$  is less than 70° as AOD retrievals at larger angles are associated with significant 27 uncertainty (e.g., Ignatov and Stowe, 2002). Also, AOD is not retrieved for the pixels 28 highlighted in red ( $R_{sfc} > 35\%$ ), since they are likely contaminated with either cloud, snow, or 29 ice. However, we are able to attempt an AOD retrieval for the majority of the pixels in the  $R_{sfc}$ 30 image as most of the scene appears to be uncontaminated.

31 3.3 AOD retrieval

1 The third step consists of retrieving the GOES and MTSAT AOD via additional LUTs, 2 which are created similar to LUT<sub>sfc</sub> except that we use the 6SV code to simulate the  $\rho_{toa}$  for 7 3 different AODs in addition to the range of  $\theta_0$ ,  $\theta$ ,  $\varphi$ , and  $R_{sfc}$  used in creating LUT<sub>sfc</sub>. The most 4 significant assumption in creating these LUTs is the selection of the aerosol model as the 5 simulated  $\rho_{toa}$  can vary greatly based on the optical properties of the aerosols. Thus, prior to 6 selecting an aerosol model for our domain, we conduct a detailed comparison between the 7 observed  $\rho_{sat}$  and simulated  $\rho_{toa}$  using seven different 6SV aerosol models for 24 unique cases 8 occurring over AERONET stations across eastern Asia during March and April 2014. Overall, 9 this comparison showed that selecting the 6SV continental and desert aerosol models would 10 introduce the least amount of uncertainty compared to the other aerosol models available in the 11 code, which is discussed in Section 5.3. Therefore, to represent these aerosol models we create 12 two separate LUTs for retrieving AOD, LUT<sub>cont</sub> and LUT<sub>dust</sub>. We retrieve AOD based on these LUTs by using the  $R_{sfc}$  from the surface reflectance retrieval step and then interpolating  $\rho_{sat}$  to the 13 14 simulated  $\rho_{toa}$  values to retrieve the AOD. Note that we do not invoke the LUT<sub>dust</sub> unless a pixel 15 passes a handful of dust detection techniques that are explained in Section 3.4. Also, separate 16 LUTs were generated for the visible bands of the MTSAT-2 and GOES-15 imagers due to 17 having unique spectral response functions. The spectral response functions for the visible bands 18 onboard these satellites were not available in the 6SV1.1 RTM, therefore, we updated the 6SV 19 source code to account for these bands prior to producing the LUTs.

20 3.4 Cloud and dust detection

21 For the fourth and final step of the MTSAT and GOES retrieval algorithms, we use cloud 22 and dust detection procedures to disregard contaminated AOD retrievals and identify any pixels 23 influenced by dust. Developing accurate cloud detection algorithms for these GEO satellites is 24 not a trivial step due to their lower spatial resolution and limited number of spectral bands. In an 25 effort to obtain as much information as possible from 4 spectral bands (6.7 µm water vapor band 26 is not used), we extract reflectance and temperature from the 3.9 µm band based on Mecikalski 27 et al. (2010). Then, we use spectral, spatial, and temporal techniques based on the reflectance 28 and temperature information from the 4 spectral bands to identify clouds and dust in each 29 satellite image. The cloud/dust detection techniques for these satellites are similar even though 30 the GOES imager has a 13.3 µm band instead of the 12.0 µm band onboard MTSAT. However, 31 we were able to develop more sophisticated dust detection techniques for MTSAT as dust

1 particles have a larger imaginary index of refraction at 10.8 µm compared to 12.0 µm while most 2 other aerosol and cloud types have an opposite trend (Ackerman, 1997). This can influence a 3 negative difference between the 10.8 and 12.0 µm bands, which can be used to identify dust 4 aerosols (Sokolik, 2002; Naeger et al., 2013b). In this paper, we will only briefly step through 5 the MTSAT cloud/dust detection procedure (Table 3), but will note any important differences 6 that arise in the GOES procedure. The techniques shown in Table 3 were developed after close 7 examination of dozens of MTSAT satellite images involving cloud and aerosols throughout the 8 year 2014. Thus, this procedure can be applied during any season, but note that it will generally 9 overestimate cloud coverage as its main purpose is to limit sub pixel cloud contamination and 10 cloud adjacency effects from impacting the AOD retrievals. The spatial techniques help greatly 11 with disregarding AOD retrievals impacted by these cloud effects over both land and ocean, but 12 they work especially well over the homogeneous ocean surface where the thresholds for cloud 13 detection were able to be set to lower values. Note that we also detect and screen out all possible 14 sunglint affected pixels during this step.

15 Fig. 2c shows the overall results of the cloud/dust procedure for  $\theta_0$  and  $\theta < 75^\circ$  on 18 16 March 2014 at 0500 UTC. We run the procedure in the same order as shown in Table 3. After 17 passing one of the spectral, spatial, or temporal techiques, the pixel is immediately labeled as 18 cloud using the corresponding number in the right-hand column. A pixel must fail all the cloud 19 detection techniques to be labeled cloud-free. We only retain AOD retrievals that are associated 20 with cloud-free pixels. Approximately 80% of the valid MTSAT pixels are labeled as cloud and 21 the spectral techniques detect about 71% of these clouds. Spectral technique #3 does not appear 22 in the GOES cloud/dust procedure due to the absence of the 12.0 µm band. The spatial 23 techniques detect a significant fraction of the remaining clouds ( $\sim 28\%$ ), especially in regions of 24 scattered cumulus clouds over the ocean. Although the impact of the temporal techniques 25 appears very minimal in Fig. 2c, they are able to detect some lingering clouds (e.g.,  $\sim 40^{\circ}$ N, 26 120°E) that could lead to artifacts in the NRT AOD composite product.

Since possible dust regions can be mislabeled as cloud by our procedure due to their
similar spectral characteristics, we use four dust techniques to locate pixels mislabeled as cloud
and relabel them as cloud-free. The special techniques were developed based on the fact that
dust regions can have strong positive 3.8-10.8 µm values similar to clouds, but are often more
homogeneous than clouds while influencing 10.8-12.0 µm values less than -1 K. A considerable

1 number of pixels are relabeled as cloud-free in northern China. The final dust technique in Table 2 3 operates only on cloud-free pixels. If a pixel passes this technique, then the AOD is revised 3 based on the LUT<sub>dust</sub> instead of the LUT<sub>cont</sub> that was assumed for each pixel during the third step. 4 Although similar to MTSAT, the GOES dust detection technique is not as robust as the 10.8-12.0 5 µm test cannot be included in the GOES technique due to the absence of the 12.0 µm band. 6 After applying the cloud/dust detection procedure, we arrive at our final cloud-cleared AOD map 7 for this MTSAT imager scan at 0500 UTC on 18 March (Fig. 2d). The map shows high AOD > 8 1.0 associated with the thick pollution and dust plume propagating from eastern Asian to over the 9 Pacific Ocean. Pollution and smoke plumes are also causing high AOD in regions across 10 southern Asia. Thus, we are able to depict some features on this AOD map, but the large gaps in 11 coverage due to clouds make it difficult to fully understand the spatial distribution of aerosols. 12 3.5 LEO AOD products

While retrieving AOD from the GEO imager data, we also process the Aqua and Terra MODIS AOD via the NASA LANCE data system and VIIRS AOD via the NOAA CLASS service (left side of Fig. 1). To help prevent poor quality MODIS AOD from being introduced into our AOD composite maps we use the MODIS cloud fraction parameter and quality assurance flags to ignore retrievals associated with marginal confidence and cloud cover > 70%. We disregard poor quality VIIRS AOD by using the quality flags to ignore retrievals where at least one pixel among the 8x8 pixel region cloud or cirrus contaminated.

20 3.6 NRT AOD composites

21 In order to generate the NRT AOD composites, we use all the valid GEO and LEO AOD data that was processed over a 24 hour period beginning 1200 UTC each day. At the end of the 22 23 24 hour AOD composite period, AOD from the GEO and LEO sensors are regridded and 24 averaged onto a common domain with 0.5°x0.5° spatial resolution centered over the central 25 Pacific Ocean in order to effectively track the trans-Pacific transport of aerosols. The rather 26 coarse 0.5°x0.5° grid is suitable for our particular application where larger scale, more 27 homogeneous aerosol plumes are the focus. We use a nearest neighbor approach to find and 28 calculate the average of all AOD retrievals for each GEO imager that fall within each grid box. 29 This same operation is performed for the LEO AOD retrievals. Uncertainties with the averaging 30 the GEO and LEO AOD onto the same grid are discussed in Section 6. These GEO and LEO 31 AOD composite maps are then merged together to generate the final daily AOD composite maps. When merging these composite maps, the LEO AOD is chosen to represent a grid box when both
 LEO and GEO AOD are available due to the higher uncertainty associated with the GEO
 retrievals.

4 In our current methodology, we do not apply techniques to account for the differences in 5 observation times or spatial resolutions between the GEO and LEO sensors, which may lead to 6 undesirable jumps in AOD when merging the different sensors on a common grid. However, 7 according to the case in Section 4, our simplified approach does not lead to frequent AOD jumps 8 in our daily composite product. We plan to develop more advanced methodologies in the future 9 for merging AOD information from the next-generation NOAA GOES-R and recently launched JMA Himawari satellites. Our primary goal here is to develop and evaluate a simple 10 11 methodology that shows the application of merging AOD from current GEO and LEO satellite 12 sensors.

13

#### 14 **4. Results**

15 4.1 18 March 2014

16 The top three panels in Fig. 3 display the daily AOD composites for the case study on 18 17 March 2014. The central time of the AOD composite product is 0000 UTC, since we used 18 satellite information between 1200 UTC on 17 March and 1200 UTC on 18 March to generate 19 the product. Fig. 3a is an example of the daily AOD composite with only MTSAT/GOES 20 retrievals while Fig. 3b is an example with only MODIS/VIIRS retrievals. All these satellite 21 retrievals are combined onto the same map to generate the final version of the daily AOD 22 composite product, which shows a more complete picture of the spatial distribution of aerosols 23 during this 24 hour period (Fig. 3c). A massive aerosol plume extends from eastern China to 24 almost the central Pacific region as moderate AOD of approximately 0.6 is identified around 25 38°N 165°E. Some moderate to thick aerosols are still propagating from the central China 26 region behind the extensive cloud feature in the MTSAT 0.68 µm image suggesting that poor air 27 quality and visibility may plague eastern China in the short-term. A fairly extensive aerosol 28 plume is moving from southeast China to the tropical Pacific around 20°N 120°E while aerosol 29 layers with AOD > 0.5 are impacting much of Southeast Asia (~15°N, 100°E). It is important to 30 note that the MTSAT/GOES retrievals blend into Fig. 3c rather well due to the overall good 31 agreement in the spatial patterns of AOD between Fig. 3a and b. For example, the aerosol plume moving from Southeast China to the tropical Pacific is not fully represented using
 MODIS/VIIRS AOD retrievals alone. Fortunately, the MTSAT retrievals fill this region with
 AOD that blends in very well with the surrounding MODIS/VIIRS AOD. Also, the massive

4 aerosol plume from eastern China is more fully represented in Fig. 3c than in Fig. 3b, since

5 MTSAT is able to observe additional cloud-free regions among the plume.

6 Although the spatial patterns of AOD compare fairly well between Fig. 3a and b, some 7 important differences exist between the maps. The MTSAT/GOES AOD map fails to represent 8 the region of moderate AOD identified in the MODIS/VIIRS map around 38°N 165°E. This 9 region is nearly outside the viewing range of GOES but well within the viewing range of 10 MTSAT. However, MTSAT is unable to find a cloud-free pixel among this region of broken 11 clouds (Fig. 2a) due to its relatively coarse spatial resolution of 5 km at nadir. The much finer 12 spatial resolution of the MODIS (~0.5 km at nadir) and VIIRS visible channels (~0.74 km at 13 nadir) compared to the GEO sensors allows retrievals to be performed in these cloud-free 14 regions. Furthermore, MTSAT/GOES has a high bias in AOD of 0.02 compared to 15 MODIS/VIIRS when comparing the average of all AOD retrievals poleward of 30°N while a low 16 bias of the same magnitude exists for AOD retrievals equatorward of 30°N. However, regions of 17 larger discrepancies are apparent when comparing Fig. 3a and b. For instance, MTSAT AOD is 18 about 0.2 lower than MODIS/VIIRS around 30°N 137°E. The MODIS/VIIRS AOD in this area 19 is generally within ±0.05 of the MISR AOD (Fig. 3e) while MTSAT is lower than MISR by -20 0.05 to -0.20 (Fig. 3d). The closer agreement between MISR and MODIS/VIIRS AOD suggests 21 that the LEO retrievals are more accurate than MTSAT. Note that MISR retrievals are strictly 22 for intercomparison purposes and completely independent of the AOD composite product. This 23 tendency of MTSAT/GOES to be biased low is primarily caused by cloud cover influencing the 24  $\rho_{min}$  image which then leads to overestimations in the  $R_{sfc}$  retrievals (Fig. 2b). Clouds tend to impact the  $R_{sfc}$  retrievals more often in the north and central Pacific as the MTSAT/GOES spatial 25 26 resolution decreases. On the other hand, the high bias over the tropical Pacific is mostly due to 27 cloud contamination influencing the MTSAT AOD retrievals, which is evident by the areas of 28 AOD > 1.0 appearing over parts of the tropical western Pacific (~2°N, 115°W). Neither 29 MODIS/VIIRS or MISR depict these same areas of AOD > 1.0. There are large discrepancies 30 between AOD retrievals across portions of Southeast Asia as indicated by the MISR overpass 31 around 15°N 107°E. MODIS/VIIRS AOD is generally between 0.5 and 0.7 in this region while

1 MTSAT AOD reaches 1.0 in some locations. MISR AOD is considerably lower with values of 2 around 0.3. These large differences in AOD across the region make it very difficult to assess the 3 MTSAT retrievals using these passive sensors alone. The highly uncertain AOD retrievals can 4 be attributed to the complex terrain of Southeast Asia along with the scattered cloud coverage on 5 this day. Fortunately, it is very unlikely that any aerosol plumes originating from this region will 6 undergo trans-Pacific transport.

7 The CALIPSO made several transects directly over the aerosol plumes across the western 8 Pacific and eastern Asia on 18 March. We analyze the CALIPSO transects indicated in Fig. 3c 9 (black lines) from east to west. Fig. 4a shows the 532 nm attenuated backscatter profiles from 10 about 0320 UTC on 18 March where moderate backscatter values are measured from an aerosol 11 plume at approximately 3 km in height (box 1). The CALIPSO Vertical Feature Mask (VFM) 12 and aerosol subtype browse images confirmed this region of moderate backscatter as aerosol 13 consisting of dust and polluted dust. This aerosol layer is likely interacting with the high, thick 14 clouds to the north in Fig. 4a. The daily AOD composite product (Fig. 3c) reveals moderate to 15 high AOD in the vicinity of this aerosol layer. Several noteworthy aerosol plumes are measured 16 by CALIPSO during the transect at about 0500 UTC (Fig. 4b). First, moderate backscatter 17 values are associated with an aerosol layer from about 18-24°N (box 2). Even though the 18 CALIPSO transect shows scattered low clouds residing beneath much of the aerosol layer, the 19 AOD composite is still able to depict AOD ranging from 0.3 to 0.7 in this same area. Second, 20 CALIPSO measures very strong backscatter signals from the aerosol region in box 3, which is 21 clearly shown in the composite product by the large area of AOD > 1. The CALIPSO aerosol 22 subtype algorithm labels this aerosol region as a mixture of dust and polluted dust. Lastly, 23 CALIPSO measures moderate backscatter from a fairly thin aerosol plume mixed with smoke 24 and polluted dust in box 4. A close inspection of the AOD composite reveals a confined area of 25 AOD ~ 0.3 in the vicinity of the aerosol plume. A couple hours later at about 0640 UTC 26 CALIPSO flew directly over the very complicated scene of Southeast Asia (Fig. 4c) consisting 27 of clouds located within an aerosol layer (box 5) and then aerosols above terrain features (box 6). 28 The CALIPSO VFM image revealed that portions of the aerosol layer in box 5 were cloud-free, 29 particularly near 10°N. CALIPSO measures moderate to high backscatter in these cloud-free 30 regions, which suggests that the AOD > 0.5 shown in the AOD composite represents the aerosol 31 layers across this region rather well. Thus, it is very likely that MISR is severely

underestimating the AOD in this region while the MODIS/VIIRS and MTSAT AOD retrievals
 are performing much better. Lower backscatter is measured by CALIPSO over the terrain
 features in box 6, and the AOD composite shows lower AOD ranging from 0.4 to 0.5 in this
 same area. Overall, the CALIPSO transects indicate our AOD composite realistically represents
 the intensity of the aerosol plumes throughout western Pacific and Asia.

6 7

#### 4.2 HYSPLIT trajectory analysis

8 We investigate the transport pathways for three of the aerosol plumes identified in the 9 CALIPSO 532 nm attenuated backscatter profiles (boxes 1, 2, and 5) through the online HYSPLIT tool from NOAA Air Resources (http://ready.arl.noaa.gov/HYSPLIT.php) (Rolph, 10 11 2016) to calculate the forward trajectories of air parcels. Note that these trajectory calculations 12 do not account for aerosol particulate transport and wet and dry deposition, which can influence 13 aerosol pathways, especially during long-range transport events. We run HYSPLIT for an 14 ensemble of 27 trajectories from each aerosol plume location for a 96 hour period beginning at 15 0500 UTC on 18 March until that same time on 22 March using National Centers for 16 Environmental Prediction (NCEP) reanalysis meteorological data. The CALIPSO attenuated 17 backscatter profiles are used to estimate the altitude of the three aerosol plumes, which are all 18 around 3 km. The start altitude of the trajectory simulations is a necessary input that must be 19 given to the NOAA HYSPLIT model when making these simulations. Fig. 5 shows that nearly 20 all the HYSPLIT trajectories initialized from position 1 (box 1) propagate the polluted dust 21 plume to over the far eastern extent of the Pacific Ocean or over the Pacific Northwest by 22 22 March at 0500 UTC. This dust/pollution plume is an excellent example of a typical trans-Pacific 23 transport pathway via the midlatitude westerly winds (Wilkening et al., 2000). The ensemble of 24 trajectories initialized from position 2 (box 2) suggest the aerosol plume is likely to move 25 westward. A handful of trajectories show the plume moving slowly eastward, but they never 26 make any significant headway toward North America by the end of the 4 day period. All the 27 trajectories initialized from position 5 (box 5) located over Southeast Asia took the aerosol 28 plume south and west of the initial position. Consequently, the possible discrepancies in AOD 29 that can appear over Southeast Asia are not considered a significant problem when using the 30 AOD composites to track the trans-Pacific transport of aerosols.

31

1 4.3 23 March 2014

2 To confirm whether this polluted dust plume reached the western coast of North America 3 we generated the daily AOD composite with a central time of 0000 UTC on 23 March shown in Fig. 6c. The daily AOD composite depicts moderate AOD of 0.4 to 0.5 over the extreme eastern 4 5 Pacific, which suggests that remnants of the plume in the western Pacific experienced trans-6 Pacific transport. However, the majority of the HYSPLIT ensemble runs predict the aerosol 7 plume to be further east than shown in the AOD composite with a handful of runs showing the 8 plume over western North America by 22 March at 0500 UTC. These differences in aerosol 9 plume location between the HYSPLIT runs and the AOD composite are likely attributed to the 10 fact the model does not account for long-range aerosol transport processes. This case study 11 shows the utility of the AOD composites for model validation and the potential benefit of using 12 the composites for model assimilation.

13 The overall results of the panels in Fig. 6 are similar to that shown for the 18 March case. Again, the MTSAT/GOES retrievals in Fig. 6a blend nicely into the MODIS/VIIRS AOD map in 14 15 Fig. 6b to generate a more robust spatial distribution of aerosols. Many of the same features are 16 revealed on the MTSAT/GOES and MODIS/VIIRS AOD maps, such as the dense aerosol plume 17 over eastern Asia. However, the MTSAT/GOES AOD map also reveals areas of optically 18 thicker aerosol over northern China (~40°N, 106°E) that are not indicated on the MODIS/VIIRS 19 map. CALIPSO measured a fairly large region of moderate backscatter from dust aerosols 20 during a nighttime transect over this same region in northern China on 23 March at about 1900 21 UTC (not shown), which suggests that MTSAT is realistically representing the AOD over this 22 region. Over the extreme eastern Pacific, GOES retrieves moderate AOD for the transported 23 polluted dust plume, but MODIS/VIIRS is able to retrieve a larger region of AOD associated 24 with this plume due to their significantly higher spatial resolution in these northerly locations. 25 MTSAT/GOES retrievals appear to encountering issues in similar areas as shown for the 18 26 March case. For instance, the MTSAT/GOES AOD retrievals are biased high compared to 27 MODIS/VIIRS across the tropical Pacific, especially in the latitude band from 10-20°N over the 28 eastern Pacific. However, some of the areas of elevated AOD around 0.3 depicted in the MTSAT/GOES map correspond well to that shown in the MODIS/VIIRS map (e.g., ~15°N, 29 30 165°W). MTSAT AOD is significantly lower than MODIS/VIIRS along the coast of southeast 31 China (~25°N, 115°E) due to persistent cloud cover in this area throughout the 28 day period

1 used to retrieve  $R_{sfc}$ . Large discrepancies between MTSAT and MODIS/VIIRS AOD are once 2 again appearing in areas throughout Southeast Asia and eastern India.

3 To quantitatively show the gain in spatial coverage due to the inclusion of the GEO 4 sensors in our daily AOD composite maps we calculate the number of valid AOD retrievals 5 along with the percent coverage of those retrievals based on the total number of available grid 6 boxes (70400 grid boxes) across our composite domain. These statistics are calculated for the 7 daily AOD composite maps when only LEO (MODIS/VIIRS) and GEO (MTSAT/GOES) 8 sensors are considered and when both LEO and GEO sensors are considered in developing our 9 final product. We show statistics for a six day period, which includes the 18 and 23 March case 10 studies presented in this paper (Table 4). Overall, the LEO sensors provide more spatial 11 coverage compared to the GEO sensors (67% versus 60%) during this six day period. As shown 12 in this paper, the higher percentage for the LEO sensors is partly due to the fact that they have 13 better coverage throughout the northern regions of the AOD composite domain. The LEO 14 sensors also have better coverage over the central Pacific and over the far eastern and western 15 portions of the composite domain, which is due to the limited geographical coverage of the GEO 16 sensors. The GEO retrieval algorithms developed in this study further limit their geographical 17 coverage by restricting  $\theta$  to less than 70° to avoid the very large uncertainties that arise at these 18 oblique angles. Although the LEO coverage is better than GEO, introducing the GEO sensors 19 into the AOD composite maps still leads to considerable increases in spatial coverage for our 20 final product. For the six day period in Table 4, the inclusion of the GEO sensors improves the 21 spatial coverage of AOD from 67% for the LEO sensors to 81% for our merged LEO/GEO 22 product. The GEO sensors lead to an improvement in the spatial coverage of AOD as their high 23 temporal resolution allows for the identification of more cloud-free regions where a valid AOD 24 can be retrieved.

25

### 26 **5. Uncertainties**

27 5.1 Atmospheric profiles

To understand the uncertainty with using temperature, water vapor, and ozone information from U.S. standard profiles in the AOD retrieval procedure, we conducted a sensitivity analysis where atmospheric profiles from 0.5x0.5° Global Forecast System (GFS) data were input into the 6SV model. We extracted the GFS profiles from locations that represent

1 tropical, midlatitude, and subarctic conditions during January and July 2014, and used different 2  $\theta_0$ ,  $\theta_1$ , and  $R_{sfc}$  for each location to assess their impact on the uncertainty (Table 5). Fig. 7a shows 3 compares several of these GFS profiles against the U.S. standard profile to show that vastly 4 different atmospheric conditions are being input into the 6SV. For this sensitivity analysis, we 5 first run the 6SV in atmospheric correction mode to retrieve  $\rho_{min}$  for each profile, which is then 6 used to retrieve  $\rho_{toa}$ . Note we perform this analysis on MTSAT-2, since its spectral response 7 function extends to slightly larger wavelengths compared to GOES-15 where water vapor 8 absorption has a stronger impact. Overall,  $\rho_{toa}$  is very similar between the U.S. standard and 9 GFS profiles in each region (Fig. 8b), which proves that the standard profile is causing only a 10 minimal amount of uncertainty in the AOD retrieval procedure. The uncertainty is slightly 11 higher over subarctic locations due mostly to the much drier conditions than that in the U.S. 12 standard profile, but errors are still less than 1% for an AOD of 3.

13 5.2 Surface reflectance ( $R_{sfc}$ ) retrieval

A significant source of uncertainty in our study is associated with the  $R_{sfc}$  retrievals due to 14 15 the variation in solar geometry throughout the 28 day period. Overall, we found that the 16 uncertainty in AOD increases with decreasing  $R_{sfc}$  values. For instance, the AOD uncertainty 17 can be as high as 17% at  $R_{sfc}$  values of 10% but increase to almost 34% at  $R_{sfc}$  values of 5%. 18 Note that these values represent the maximum possible uncertainty that can be associated with a 19 cloud-free  $R_{sfc}$  retrieval. Nevertheless, this uncertainty is the most likely explanation for the 20 MTSAT/GOES AOD bias found over portions of the Pacific Ocean on 18 and 23 March. When 21 attempting to use a 21 and 24 day period for the  $R_{sfc}$  retrievals, we noticed major issues with 22 cloud contamination that led to significant underestimations in AOD.

23 5.3 Aerosol models

24 The most significant assumption in creating the LUTs in our GEO AOD retrieval algorithms is the selection of the aerosol model as the simulated  $\rho_{toa}$  can vary greatly based on 25 26 the optical properties of the aerosols. In order to select the 6SV aerosol models that would 27 introduce the least amount of uncertainty in our retrieval algorithms, we conducted a detailed 28 comparison between the observed  $\rho_{sat}$  and simulated  $\rho_{toa}$  using seven different 6SV aerosol 29 models for 24 unique cases occurring over AERONET stations across eastern Asia during March 30 and April 2014. For each case, we provide the 6SV with the  $R_{sfc}$  retrieval value closest to the 31 AERONET station along with precise values of  $\theta_0$ ,  $\theta$ , and  $\varphi$  from the MTSAT-2 imager, and

1 AERONET 550 nm AOD. Then, we simulate the 6SV using these identical input values and 2 compare the  $\rho_{toa}$  values to the MTSAT-2 imager  $\rho_{sat}$  in order to determine the aerosol model with 3 the least amount of uncertainty. Fig. 8 presents the results from each aerosol model for the 24 4 cases where the continental model (red) simulated the most realistic  $\rho_{toa}$  values as  $\rho_{sat}$  was 5 slightly overestimated at values less than about 16% and underestimated at values greater than 6 about 20%. The average difference between  $\rho_{sat}$  and  $\rho_{toa}$  for the 24 cases was only -0.09% when 7 using the continental aerosol model leading to the lowest root mean square (RMS) error of 8 1.31% (Table 6). SSA retrievals from the AERONET stations across eastern Asia were often 9 around 0.90 at 500 nm with a decreasing trend at larger wavelengths, which is nearly identical to 10 the prescribed SSA of the continental model. Although pollution events are observed frequently 11 over eastern Asia, we were surprised by such excellent agreement between AERONET stations 12 and the continental aerosol model due to the fact that dust emitted from the Taklamakan and 13 Gobi deserts is often transported over eastern Asia, especially in the early spring which is the 14 focus of our study. This suggests that pure dust plumes, which are generally associated with 15 SSA values of around 0.95 (Seinfeld et al., 2004; Hsu et al., 2006), often undergo modification to 16 a polluted dust mixture with lower SSA values after being transported over the polluted region of 17 eastern Asia. In fact, during the polluted dust case on 18 March 2014 (i.e., Fig. 2), SSA from the 18 XiangHe AERONET site were around 0.89.

19 We found a couple dust plumes nearby their source region in the Taklamakan desert on 20 25 and 29 April 2014. The Taklamakan AERONET site retrieved SSA values from 0.93 to 0.95 21 for these pure dust plumes, which are comparable to that found for dust during the Asian Pacific 22 Regional Aerosol Characterization Experiment (ACE-Asia) from 30 March to 3 May 2001. 23 Therefore, to better represent the dust optical properties we also incorporated the desert aerosol 24 model into our AOD retrieval algorithm, which uses a more appropriate SSA at 500 nm of about 25 0.95 that increases with larger wavelengths. Overall, for the instances when our AOD retrieval 26 algorithm correctly identifies polluted and pure dust events, Table 6 suggests that RMS errors 27 will be minimal ( $\sim 1.3\%$ ). These errors can increase to about 3.8% for the instances when our 28 algorithm incorrectly identifies pollution as dust or vice versa. We also observed smoke aerosols 29 during the case studies presented in Section 4, which were shown to have SSA values as low as 30 0.85 during ACE-Asia (Seinfeld et al., 2004). Thus, we expect similar errors (~3.8%) for 31 instances when our continental aerosol model is used to retrieve AOD in highly absorbing smoke plumes. Note that for scenes involving pure dust plumes the RMS errors mentioned above are
more representative of lower bound error estimates due to unrealistic dust scattering properties
that can arise from the 6SV Mie-scattering calculations, which can lead to higher uncertainties
in AOD (Dubovik et al., 2002; Levy et al., 2007b).

5 5.4 NRT AOD composites

When generating our AOD composite product, uncertainties may arise from averaging 6 7 the individual GEO and LEO AOD retrievals onto a common grid (Section 3.6) due to 8 differences in their AOD retrievals, spatial resolution, observation times, and viewing geometry. 9 We expect minimal uncertainties with averaging the GEO AOD onto a common grid, since we 10 developed very similar retrievals algorithms for the GOES and MTSAT imagers that use the 11 same 6SV aerosol model and LUT approaches. In addition, there will only be a small number of 12 instances when both GOES an MTSAT AOD retrievals fall within the same grid box due to the 13 limited overlap between their geographical coverage. Conversely, when averaging the MODIS 14 and VIIRS AOD, significant uncertainties may arise due to the differences between their AOD 15 algorithms as discussed in Section 2.2. Fig. 9a-b shows an example of the MODIS Level 2 AOD 16 retrievals and VIIRS AOD retrievals for the 18 March 2014 case study presented in Section 4.1. 17 These LEO sensors show a very similar spatial distribution of AOD throughout the domain 18 where both retrieve AOD > 1 for the polluted dust plume extending northeast from eastern China 19 (~33°N, 120°E) to the Sea of Japan (~36°N, 135°E) and AOD > 0.5 throughout much of 20 Southeast Asia (~16°N, 100°E). The most significant difference between the LEO AOD 21 retrievals appear over the Korean peninsula (~38°N, 128°E) where MODIS and VIIRS AOD is 22 around 0.7 and 0.5, respectively. Both algorithms utilize their dust models to retrieve AOD in 23 this region, therefore, VIIRS is likely biased low due to the assumption of spherical dust particles 24 in the model. Nevertheless, the correlation between VIIRS and MODIS AOD throughout this 25 entire domain is very high (R = 0.92), which suggests that our approach of averaging VIIRS and 26 MODIS AOD to generate the AOD composite leads to a minimal uncertainties.

27

## 28 6. Validation

We validate our daily AOD composites using level 1.5 AERONET 550 nm AOD from 15
 different sites across East Asia and 5 different sites across western United States during the 6 day
 period (18-23 March 2014) of the trans-Pacific transport event presented in this paper. To

1 conduct a proper validation we calculated the average of the all available AERONET AOD 2 retrievals for each site during the 24 hour period of the daily AOD composites. Then, we use the 3 nearest neighbor approach to find the closest composite grid box to each AERONET site. Fig. 4 10a shows a high correlation (R = 0.87) between AERONET AOD and the daily AOD 5 composites including only LEO satellite retrievals. The slope of the linear regression line 6 indicates the high bias of about 0.10 in the LEO retrievals. Fig. 10b shows a slightly lower 7 correlation of R = 0.79 between AERONET AOD and our daily AOD composite product 8 including both LEO and GEO satellite retrievals, which is not surprising considering the higher 9 uncertainties associated with the GEO retrievals. However, the slope of the linear regression line 10 has decreased to near 1.0 as our daily AOD composite product is associated with a high bias of 11 only 0.024. Overall, this validation exercise has shown the improvement in AOD spatial 12 coverage from inclusion of the GEO retrievals in our AOD composite does not lead to a 13 significantly degraded product. Thus, our composite product can be used with confidence for 14 quantitatively tracking aerosol plumes.

15

#### 16 **7. Conclusion**

17 The primary goal of this study was to generate a NRT daily AOD composite product that 18 combines GEO and LEO satellite observations to assist with monitoring and tracking the trans-19 Pacific transport of aerosol plumes. In this paper, we present examples of the AOD composite 20 product for a case study of trans-Pacific transport of Asian aerosols in mid-March 2014. 21 Although the MODIS and VIIRS LEO satellites generally provide high-quality AOD retrievals 22 in cloud-free scenes (excluding the polar region), they only observe the same area once during 23 the daytime period, which increases the likelihood of cloud and sun glint contamination. This 24 can cause gaps in daily AOD coverage as shown by the case studies presented in this paper. 25 Thus, we take advantage of the high temporal resolution of the GOES-15 and MTSAT-2 GEO 26 satellites by developing AOD retrieval algorithms based on the continental and desert aerosol 27 models in the 6SV1.1 RTM. We also develop a unique cloud/dust detection algorithm utilizing 28 spectral, spatial, and temporal techniques to disregard cloud contaminated pixels and locate dust 29 pixels for the desert aerosol model. Overall, when merging the GEO and LEO retrievals, we 30 generated a daily AOD composite product that provided additional spatial coverage of AOD 31 across our domain from Asia to the North America. We showed that the coverage of aerosol

plumes propagating from Asia to the western Pacific were better captured by our AOD composite product than the individual GEO and LEO products. Our AOD composite also showed increased spatial coverage of AOD across the eastern Pacific, which can assist with tracking the trans-Pacific transport of aerosols. Additionally, the incorporation of the 6SV desert model into our AOD retrieval algorithms helped depict areas of dust plumes over the Gobi and Taklamakan deserts that were not shown by the LEO products.

7 Although the AOD composite product showed an increase in spatial coverage of AOD 8 across our domain from Asia to North America, we noted several issues pertaining to the GEO 9 AOD retrievals. We showed that high biases in AOD can appear in the tropical Pacific due to 10 cloud artifacts impacting the GEO retrievals, which were more apparent over the tropical western 11 Pacific than over the tropical eastern Pacific. However, we also found that biases in AOD can 12 arise from the 28 day composite technique contained within the  $R_{sfc}$  retrieval procedure. The 13 variation in solar geometry during the 28 day composite technique can lead to uncertainties in 14 AOD of up to 34% over areas of minimal  $R_{sfc}$  such as the tropical Pacific. Conversely, we found 15 that the GEO AOD retrievals are generally biased low across the northern Pacific from cloud 16 artifacts impacting the  $R_{sfc}$  retrieval procedure. We did not discuss uncertainties in the GOES 17 AOD retrieval algorithm over land as we are mostly concerned with tracking the aerosol plumes 18 during their transport to the west coast of North America. Nevertheless, our assessment of the 19 GOES AOD retrieval algorithm over land showed that it performs adequately over the west coast 20 of the United States.

21 We did not show examples of the 6-hourly AOD composite product, since the daily 22 product is more pertinent for the focus of this paper where we track the trans-Pacific transport of 23 aerosols. Nevertheless, it is important to note that the GEO AOD retrievals have a greater 24 impact on this product, since LEO satellites have limited coverage across our domain during the 25 6 hour time window. Thus, the 6-hourly product can have important implications for aerosol 26 forecasting as the shorter time window is more appropriate for the AOD assimilation process. 27 Thus, the assimilation of both LEO and GEO satellite AOD retrievals can provide a more 28 comprehensive coverage of AOD into chemistry models (e.g., Weather Research and Forecasting 29 coupled with Chemistry (WRF-Chem)), which can help improve the representation of the 30 simulated aerosol fields. This can ultimately improve air quality forecasts and the simulation of 31 the aerosol-cloud-precipitation processes.

1 The future capability of GEO satellites for monitoring and tracking aerosol plumes will 2 be greatly enhanced with the upcoming launch of the next-generation NOAA GOES-R and 3 recently launched JMA Himawari satellites. These advanced GEO satellites perform full disk 4 scans every 5 minutes, and carry sensors consisting of 16 spectral bands with 0.5 km spatial 5 resolution at nadir for the 0.64  $\mu$ m visible band with a spectral resolution from about 0.59  $\mu$ m to 6 0.69 µm. Uncertainties associated with GEO AOD retrievals will be greatly reduced when using 7 GOES-R and Himawari measurements. Also, the much improved spatial resolution of these 8 satellites will help track aerosol plumes across the northern Pacific. This paper showed that 9 GOES-15 and MTSAT-2 do not have adequate spatial resolution to track aerosol plumes in the 10 northern parts of the Pacific. The very high temporal resolution of 5 minutes for the new 11 generation satellites will also lead to a more complete understanding of the aerosol spatial 12 distribution across the Pacific.

13

## 14 Acknowledgements

15 The MODIS Level 2 AOD products were downloaded from the Land, Atmosphere Near real-16 time Capability for EOS (LANCE) system operated by the NASA/GSFC/Earth Science Data and 17 Information System (ESDIS) with funding provided by NASA/HQ. AOD from the VIIRS 18 aerosol Environmental Data Record was downloaded using the NOAA Comprehensive Large 19 Array-Data Stewardship System (CLASS) subscription service. The CALIPSO data was 20 obtained from the NASA Langley Research Center Atmospheric Science Data Center. The 21 authors gratefully acknowledge the NOAA Air Resources Laboratory (ARL) for the provision of 22 the HYSPLIT transport and dispersion model and READY website (http://www.ready.noaa.gov) 23 used in this publication. We would like to thank the anonymous reviewers who helped improve 24 the paper through their useful comments. 25 26 27 28

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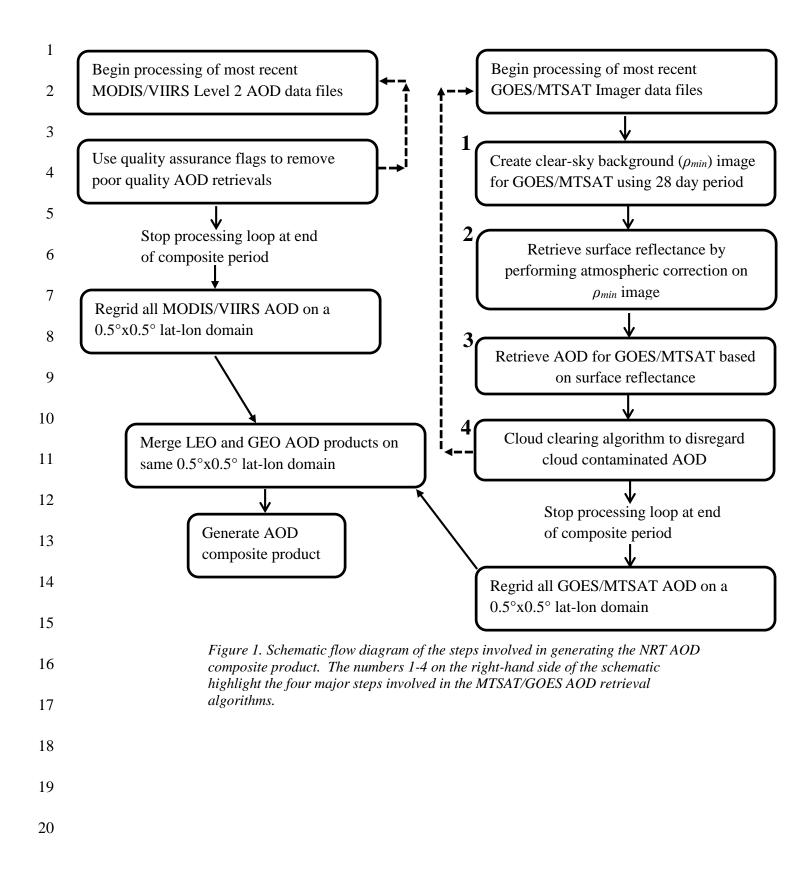
Satellite Instrument	Latency (min)	Spectral Bands	Repeat Coverage	Swath width (km)	Local Equator Crossing Time	Spatial resolution (at nadir)	Visible bands for 550 nm AOD retrieval	AOD uncertainty
Aqua/Terra MODIS	90	36	1 to 2 days	2330	Aqua - 1030 Terra - 1330	10x10 km	Band 1: 620- 670 nm Band 3: 459- 479 nm	$\pm 0.03 \pm 0.05$ *AOD over ocean
S-NPP VIIRS	420	22	daily	3000	1330	6x6 km	Band M5: 662-682 nm Band M3: 478-488 nm	See MODIS
GOES-15 Imager	30	5	30 minutes	N/A	N/A	4x4 km	Band 1: 533- 709 nm	18-34%; lower uncertainty over water
MTSAT-2 Imager	30	5	30 minutes	N/A	N/A	5x5 km	Band 1: 540- 816 nm	See GOES- 15

Table 1.	Summary of	<sup>F</sup> satellites	instruments	used in	producing	the NRT	T AOD	product.
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	GOES-15 and (MTSAT-2)				
Imager Band	Center Wavelength (µm)	Spatial Resolution (km)			
1	0.63 <b>(0.675)</b>	1 (1.25)			
2	3.9 <b>(3.75)</b>	4 (5)			
3	6.48 <b>(6.75)</b>	4 (5)			
4	10.7 <b>(10.8)</b>	4 (5)			
5	N/A (12.0)	N/A <b>(5)</b>			
6	13.3 <b>(N/A)</b>	4 <b>(N/A)</b>			

Table 2. Center wavelength and spatial resolution of spectral bands onboard GOES-15 and MTSAT-2. MTSAT-2 is denoted in red parenthesis. The GOES-15 imager carries bands 1-4 and 6 while the MTSAT-2 imager carries bands 1-5.

5	
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1.5	



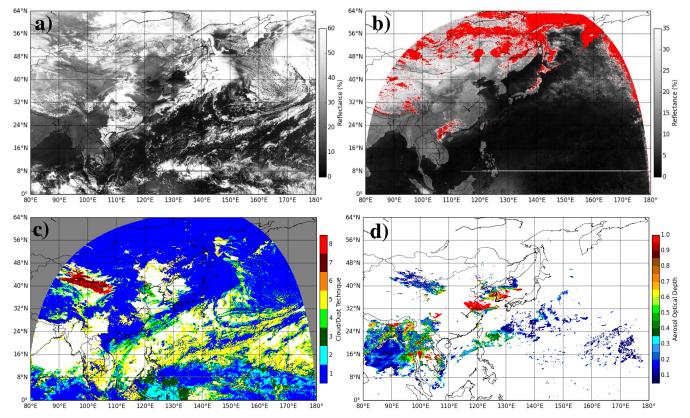
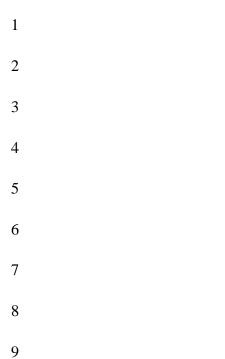


Figure 2. All panels pertain to 18 March 2014 at 0500 UTC. a) MTSAT-2 imager 0.68  $\mu$ m reflectance scan. b)  $R_{sfc}$  retrievals for each valid pixel in MTSAT-2 imager scan where pixels having  $R_{sfc} > 35\%$  are denoted in red. c) Cloud/dust detection results where the colorbar labels relate to technique # in Table 3. d) MTSAT AOD retrievals.

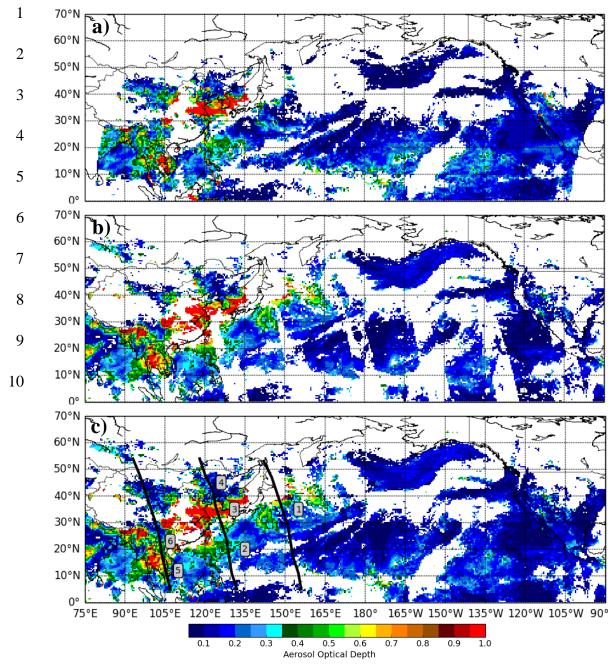


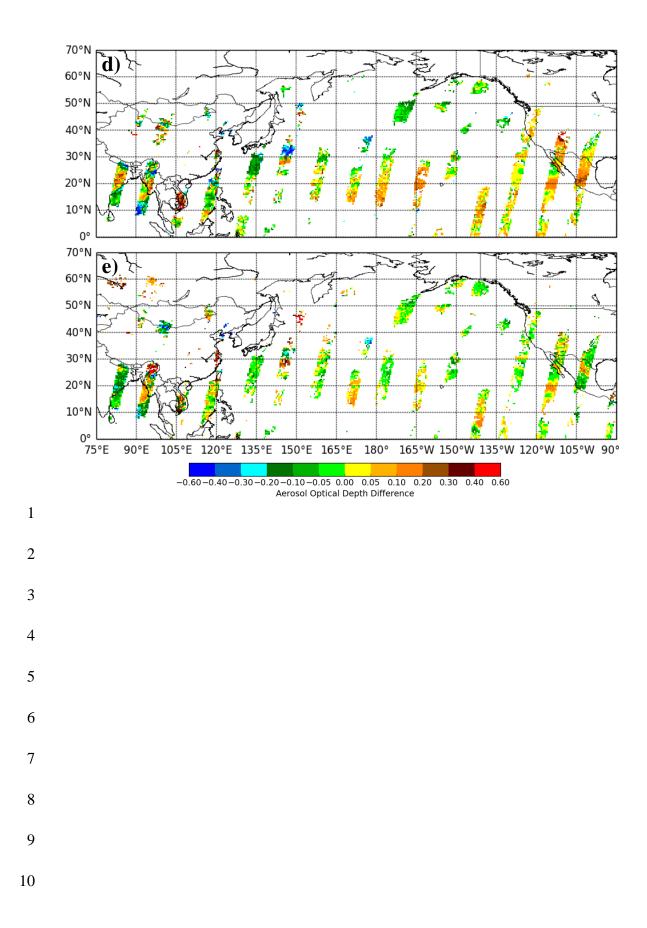
MTSAT cloud/dust detection algorithm					
Techniques	Condition	#			
Spectral Techniques					
3.8 - 0.68 μm < -20%	Land/Water	1			
3.8 - 12.0 μm > 25 K	Land	2			
3.8 - 12.0 μm > 12 K	Water	2			
10.8 - 12.0 $\mu m >$ 2.0 K and 3.8 - 10.8 $\mu m >$ 2.0 K	Water	3			
Spatial Techniques					
$3x3 \sigma 10.8 \ \mu m > 4.0 \ K \text{ or } 3x3 \sigma 12.0 \ \mu m > 4.0 \ K$	Land	4			
$3x3 \sigma 10.8 \ \mu m > 1.0 \ K \text{ or } 3x3 \sigma 12.0 \ \mu m > 1.0 \ K$	Water	4			
$3x3~\sigma~0.68~\mu m > 3.0\%$ or $5x5~\sigma~0.68~\mu m > 3.0\%$	Land	5			
$3x3~\sigma~0.68~\mu m > 1.5\%$ or $5x5~\sigma~0.68~\mu m > 1.5\%$	Water	5			
<b>Temporal Techniques</b>					
10.8 $\mu m_{time} > 3.0 \text{ K}$ or 12.0 $\mu m_{time} > 3.0 \text{ K}$	Water	6			
10.8 $\mu m_{\textit{time}} > 10.0~K$ and 12.0 $\mu m_{\textit{time}} / 10.8~\mu m_{\textit{time}} > 1.0~K$	Land	6			
Dust Techniques					
10.8 - 12.0 μm < -1.0 K	Cloud	7			
$3x3 \sigma 0.68 \ \mu m < 4.0\% \ \& \ 5x5 \sigma 0.68 \ \mu m < 4.0\%$	Cloud	7			
3.8 - 10.8 μm > 4.0 K	Cloud	7			
$12.0 \ \mu m > 258 \ K$	Cloud	7			
10.8 - 12.0 μm <= -0.5 K or Rsfc > 20%	Cloud-free	8			

Table 3. MTSAT cloud clearing algorithm with the various techniques and thresholds in 1 the left column, center column shows the conditions when the techniques are used, and technique # relating to cloud/dust detection algorithm results in Figure 2c are in the 2

right column. The "time" subscript indicates a temporal technique.

- 3
- 4
- 5





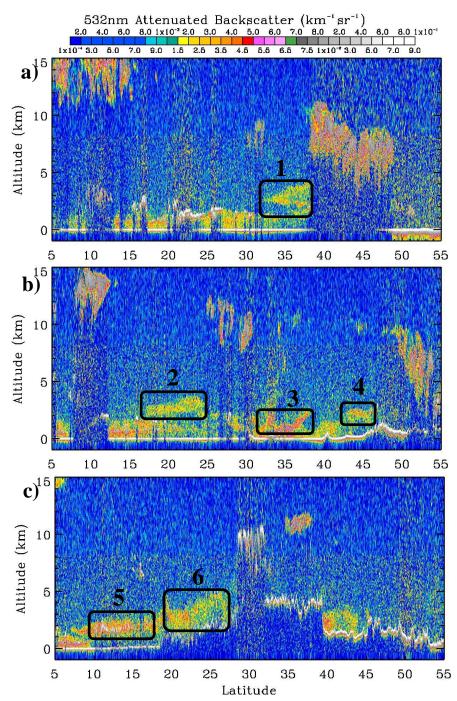
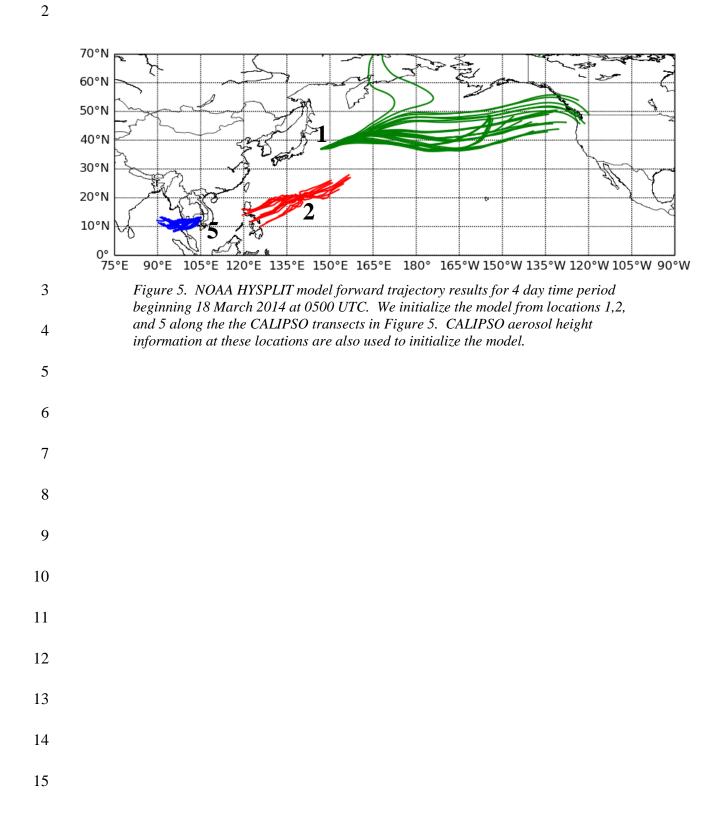
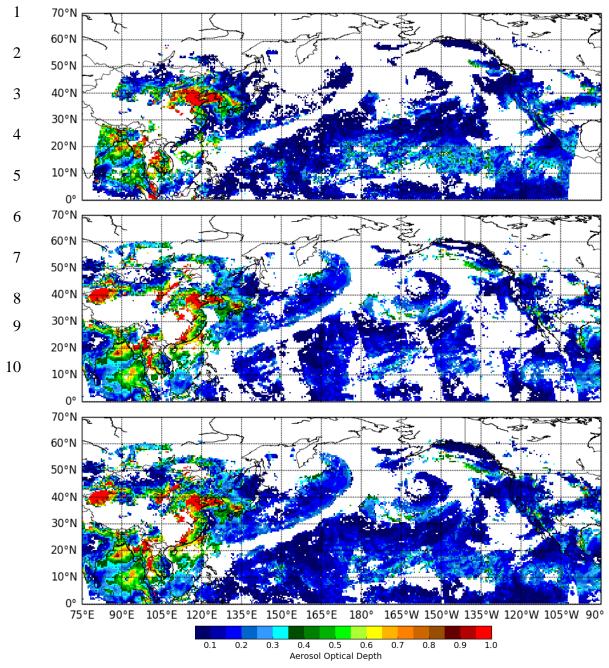


Figure 4. All panels show CALIPSO 532 nm attenuated backscatter profiles on 18 March 2014. CALIPSO transects are indicated in Figure 4c (black lines), which are increasing in time from east to west with a) at about 0320 UTC, b) at about 0500 UTC, and c) at about 0640 UTC. Boxes highlight regions of interest. The location of these boxes along the CALIPSO transects are also indicated in Figure 4c.





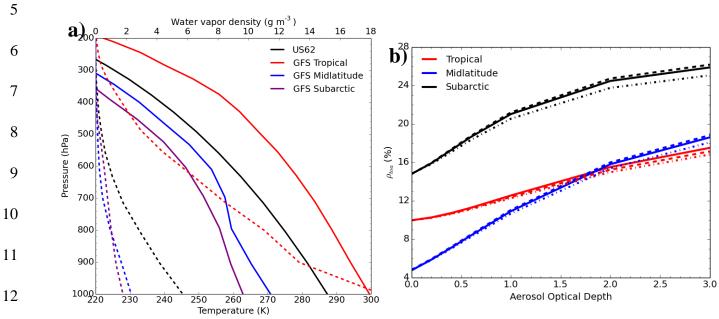
- Figure 6. Panels show the daily AOD composites with central time at 0000 UTC on 23 March 2014. a) Example of the daily AOD composite when only MTSAT/GOES
   retrievals are utilized, b) example of when only MODIS/VIIRS retrievals are utilized, c) final version of our daily AOD composite product.
- 13
- 14
- 15

Case	LEO coverage (# pixels / %)	GEO coverage (# pixels / %)	COMP coverage (# pixels / %)
18-Mar	48368 / 69	43906 / 62	58564 / 83
19-Mar	49980 / 71	45023 / 64	60050 / 85
20-Mar	46543 / 66	41348 / 59	55792 / 79
21-Mar	50071 / 71	44998 / 64	61755 / 88
22-Mar	43851 / 62	39004 / 55	52095 / 74
23-Mar	44960 / 64	40540 / 58	53580 / 76
Average	47296 / 67	42470 / 60	56973 / 81

Table 4. The coverage for the daily AOD composite maps when only LEO (MODIS/VIIRS) and GEO (MTSAT/GOES) sensors are considered compared to when both LEO and GEO sensors are considered (COMP) in our final product. We present the coverage statistics for six days including the 18 and 23 March case studies analyzed in this paper. The number of valid AOD retrievals across our AOD composite domain along with the percent coverage based on the total number of available grid boxes are shown.

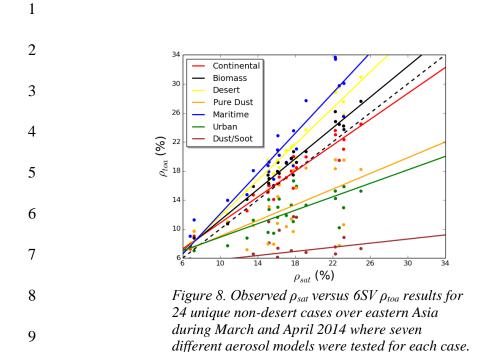
	Location	θ <sub>0</sub> (°)	θ (°)	$R_{sfc}$ (%)
Tropical	10°N, 100°E	21	42	10
Midlatitude	40°N, 130°E	42	46	5
Subarctic	55°N, 110°E	54	62	15

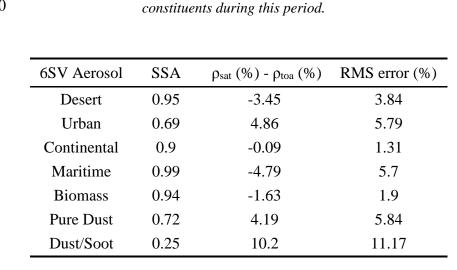
2 Table 5. Location of GFS profiles used to estimate the uncertainty associated with U.S. standard profiles in the 6SV code4.



13 Figure 7. a) Temperature (solid) and water vapor density (dashed) from the U.S. standard profile and GFS profiles extracted from tropical, midlatitude, and subarctic regions. b) 6SV model output of  $\rho_{toa}$  for AOD ranging from 0 to 3 for U.S. standard (solid) and GFS atmospheric profiles from January (dashed) and July

<sup>14 (</sup>dot-dashed) 2014 in different regions. Specified  $\theta_0$ ,  $\theta$ , and  $R_{sfc}$  in Table 5 were additional inputs into the model.





Dust and pollution were the primary aerosol

11Table 6. Average difference between observed  $\rho_{sat}$  and 6SV $\rho_{toa}$  for the 24 cases along with RMS error for each 6SV12aerosol model. SSA at 500 nm is also shown for each aerosol<br/>model.

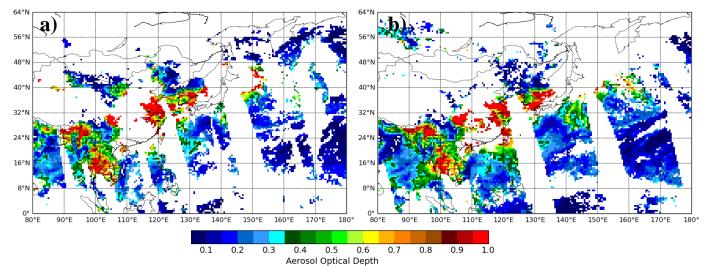


Figure 9. a) MODIS Level 2 AOD retrievals and b) VIIRS AOD retrievals for the 18 March 2014 case study presented in Section 4.1. The MODIS and VIIRS AOD retrievals are regridded onto identical domains for comparison purposes.

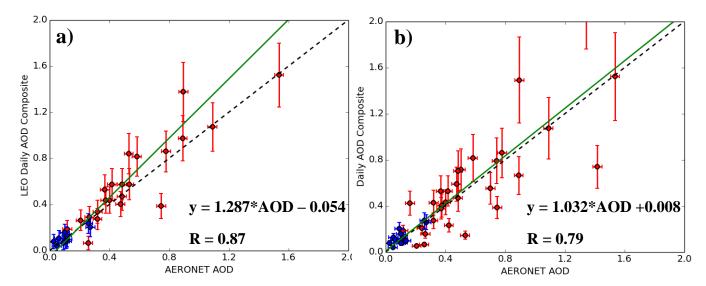


Figure 10. a) Daily average of level 1.5 AERONET 550 nm AOD retrievals versus the daily AOD composite including only LEO satellite retrievals for the six day period from 18-23 March 2014
(Table 4). b) Same as a) except daily average of AERONET AOD retrievals versus our daily AOD composite product (GEO and LEO retrievals). AERONET AOD from 15 different sites across
East Asia (red) and 5 different sites across western United States (blue) were used to validate the daily AOD composites. Error bars are based on ±0.015 uncertainty for AERONET AOD retrievals, ±0.05 ± 0.15\*AOD for LEO retrievals, and 25% uncertainty for our daily AOD composite product. The 25% uncertainty is the average of the GEO uncertainty range (18-34%). The linear regression lines are in solid green with corresponding equations and correlation coefficients in the lower right corner.