# **Dead time effect on the Brewer measurements: Correction**

# 2 and estimated uncertainties

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#### 16 Abstract

Brewer spectrophotometers are widely used instruments which perform spectral measurements of the direct, the scattered and the global solar UV irradiance. By processing these measurements a variety of secondary products can be derived such as the total columns of ozone, sulfur dioxide and nitrogen dioxide, and aerosol optical properties. Estimating and limiting the uncertainties of the final products is of critical importance. High quality data have a lot of applications and can provide accurate estimations of trends.

The dead time is <u>characteristic specific</u> for each instrument and <u>non-improper</u> correction of the raw data for its effect may lead to important errors in the final products. <u>It-The dead time</u> value may change with time and, <u>with</u> the currently used methodology, ist <u>cannot be</u> not always <u>determined</u> sufficient to accurately <u>determine</u> the correct dead time. For specific cases, such as for low ozone slant columns and high intensities of the direct solar irradiance, the error in the retrieved TOC, due <u>to</u> a 10\_ns change in the dead time from its <u>nominal</u>-value <u>in</u>

use, is found to be up to 5%. The error in the calculation of UV irradiance is aboutcan be as 1 2 high as 3-412% near the maximum operational limit of light intensities. While in the existing documentation it is indicated that the dead time effects are important when the error in the 3 4 used value is greater than 2\_ns, we found that for single monochromator Brewers a 2\_ns error 5 in the dead time may lead to uncertainties errors above the limit of 1% in the calculation of TOC; thus the tolerance limit should be lowered. A new routine for the determination of the 6 7 dead time from direct solar irradiance measurements has been created and tested and a 8 validation of the operational algorithm has been performed. Additionally, new methods for 9 the estimation and the validation of the dead time have been developed and are analytically described. Therefore, the present study, in addition to highlighting the importance of the dead 10 11 time for the processing of Brewer datasets, also provides useful information for their quality 12 control and re-evaluation.

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## 14 **1** Introduction

15 In the beginning of the 1980's, the increased concern for the stratospheric ozone depletion (Farman et al., 1985) and its effects on surface UV levels (Kerr and McElroy, 1993; Zerefos, 16 17 2002) stimulated the deployment of the first Brewer ozone spectrophotometers. Until 1996 Brewer instruments were manufactured by SCI-TECSci-Tec Instruments Inc. at Canada. In 18 19 1996, SCI-TECSci Tec Instruments Inc. merged with Kipp and Zonen IncBV, and since then 20 they are produced at Delft, Hollandthe Netherlands. Nowadays, more than 200 instruments are deployed worldwide. Brewers are either single monochromators (versions MKII, MKIV, 21 22 and MKV) or double monochromators (version MKIII) and are-may be equipped with two 23 typesmultiple-board (MB) or single-board (SB)-of electronics (old and new). Although of the 24 same make, the characteristics of individual instruments may differ significantly. The Brewer network provides a variety of products such as the total columns of ozone (TOC) (Kerr et al., 25 26 1981), SO2 (Cappellani and Bielli, 1995) and NO2 (Cede et al., 2006; Diémoz et al., 2014), 27 the aerosol optical depth (AOD) (Bais et al., 2005; Gröbner and Meleti, 2004; Meleti and 28 Cappellani, 2000), as well as global and direct irradiance spectra (Bais et al., 1996; Bais et al., 29 1993). These measurements have supported scientific research for more than 30 years, 30 enabling the investigation of their short-and long-term variability (Glandorf et al., 2005; Weatherhead et al., 1998; Zerefos, 2002) and interactions among them and among other 31 32 atmospheric constituents (Bernhard et al., 2007). Additionally, good quality ground based measurements are very useful for the validation of satellite products which, under specific
conditions, may be highly uncertain (Fioletov et al., 2002).

3 The uncertainty in the TOC retrieval is estimated to about 1% (Kerr et al., 1985), while for 4 well-maintained and properly calibrated instruments, the uncertainty of UV spectral irradiance 5 is estimated to about 10% and 5% for the UVB and the UVA spectral regions respectively 6 (Bais et al., 1996). More recent studies indicated that the measurements can be largely 7 affected by the individual characteristics of each instrument (Gröbner et al., 2006) and that 8 proper corrections are needed in order to keep the uncertainties within the above mentioned 9 limits, or even reduce them further (Garane et al., 2006; Karppinen et al., 2014; Lakkala et al., 10 <u>2008)</u>.

The non-ideal cosine response of the UV irradiance collector may lead to an underestimation 11 12 of the diffuse component of the global irradiance by up to 12% (Garane et al., 2006) and to an underestimation of the direct component that may exceed 20% for solar zenith angles (SZAs) 13 14 greater than 70° (Lakkala et al., 2008). The same studies suggest that the absolute response of the instruments may change by 0.2 - 0.3% per 1°C change of the internal temperature, 15 16 depending on instrument and wavelength of the incident irradiance. Considering the TOC 17 measurements from single monochromator Brewers, stray light effects can lead to 18 underestimation of 2% - 6% for ozone slant columns between 1600 and 2000 Dobson Units 19 (DU) (Karppinen et al., 2014).

20 Yet, there are additional uncertainties related to constructional, technical or operational characteristics of the instruments, which are not adequately investigated and documented, and 21 22 it is debatable whether the applied relevant corrections are optimal. The dead -time (DT) of 23 the photon counting systems used in the Brewers is one of these characteristics. The dead time 24 is a measure of how long a photon counting circuit is unable to detect a second photon after a first photon has been detected (SCI-TEC Instruments Inc., 1999). The probability that a 25 photon reaches the counting system within this "dead" time interval increases with the rate of 26 27 the overall incoming photons (i.e. with intensity of radiation). Thus, measurements the recorded signals have to be properly corrected to compensate the non-linear response of the 28 29 system due to the effect of the dead time. For the correction a dead-time constant (DT) is 30 used, which is initially determined by the manufacturer, but during regular operation it is calculated and recorded on daily basis by the Brewer operating software. For about one third 31 32 of the instruments participating in the RBCC-E calibration campaigns the difference between

the calculated DT differs fromand the DT used in the data correction exceeds the specific 1 2 value.by more than 2ns, which is the maximum tolerable difference according to Granjar et al (2008). Additionally, it is still not fully clear whether the current algorithm for the calculation 3 of the DT and the correction of the data is the most appropriate (Redondas et al., 2012). 4 5 Although there is some documentation for the theoretical description of the dead time effect DT and the for possible methods to determine the the DT and apply correctionsing to the data 6 7 of Brewer spectrophotometers have been adequately documented (Fountoulakis and Bais 8 2014; Kerr, 2010; Kiedron 2007; Kimlin et al., 2005; Redondas and Rodriguez-Franco 2012; 9 Rodriguez-Franco et al., 2014; Savastiouk 2005; SCI-TEC Instruments Inc., 1999), there is little information regarding the associated uncertainties. Additionally, it is still not clear 10 11 whether the currently used algorithm in Brewers is the most appropriate (Redondas et al., 12 2012). and for appropriate methodologies for correcting the measurements. The aim of the 13 present study aims at is to filling this gap in in knowledge and to effectively contribute to the 14 reduction limitation of the uncertainties of the final products derived from Brewer 15 spectrophotometersinstruments.

The objectives of this study have been addressed both experimentally and theoretically. Data 16 17 from five different Brewers were processed and analysed, specifically, from the double monochromator (type MKIII) Brewers with serial numbers 086 (B086), 157 (B157), 183 18 19 (B183) and 185 (B185) and from the single monochromator (type MKII) Brewer with serial 20 number 005 (B005). B005 and B086 operate at the Laboratory of Atmospheric Physics, 21 Aristotle University of -- Thessaloniki, Greece (40.634° N, 22.956° E, 60m a.s.l.). The Brewer 22 instruments spectrophotometers B157, B183 and B185 form the RBCC-ERBCC-E triad and 23 are installed at the Izaña Atmospheric Research Center (28.309° N, 16.499° N, 2373m a.s.l.). The same instruments were used in the closure experiments conducted for this study. 24

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## 26 2 Dead time: calculation and correction of signal

#### 27 **2.1** The radiation detection system

The Brewer spectrophotometers use a photomultiplier <u>tube (PMT)</u> and a photon counting <u>circuitsystem</u> for the detection and counting of the photons passing through the exit slit of the monochromator. A fraction of the photons that reach the PMT generate photon pulses, according to the quantum efficiency (QE) of the PMT (Haus, 2010), and are recorded as

counts. The quantum efficiency OE is a function of wavelength and is taken implicitly into 1 account during the calibration. Low voltage pulses, which are more likely electronic noise and 2 not radiation-induced signal, are filtered out using a voltage discriminator usually set to ~30 3 mV (Kerr, 2010). Thus, the recorded signal is the sum of counts that have been generated 4 5 from photon pulses and counts from thermal noise of the electronics that were not filtered out by the discriminator. The latter are usually referred as "dark counts" (or dark signal) and have 6 7 to be subtracted from the recorded signal. The dark signal is measured by blocking the incoming radiation as part of each sample and is stored on all data records. 8

9 Each photon pulse has a finite temporal width and if two or more photons arrive within this 10 time interval then they merge into a single pulse which is registered as a single count. This The time interval is known as Dead Time (DT ) and is characteristic for each instrument 11 depends on the type and the configuration of the used PMT (Kapusta et al., 2015), thus it is 12 specific for each instrument. (Kerr, 2010). For most Brewers spectrophotometers the DT 13 14 constant, i.e. the nominal value used for the correction of measurements, ranges is between 15 and 45 ns. The probability of a photon to reach the counting system within the dead time 15 increases with increasing signal The DT effect increases non-linearly as a function of the rate 16 of the incident photons (Kerr, 2010; Kipp & Zonen Inc., 2008; SCI-TECSCI-TEC 17 Instruments Inc., 1999); thus the effect of the dead time is more important for higher signals. 18 and the correction of the measurements is complicated. During regular operation, the DT is 19 calculated by measuring and comparing different levels of radiation the irradiance emitted by 20 an internal quartz-halogen 20 Watt lamp (standard lamp). The accuracy of the determined DT 21 22 values depends strongly on the signal to noise ratio, thus on the level of the lamp's signal. A 23 weak signal may lead to large uncertainties. Since the operation of the lamp depends on the operation of other electronic circuits in the instrument, it is not always easy to assess 24 25 determine the effect of how much these factors may on affect the calculated values of the DT. 26 According to the manufacturer (Kipp & Zonen Inc., 2008; SCI-TEC Instruments Inc., 1999), the calculated values of the DT should not deviate from the nominal by more than 2ns. 27 However, during the regular operation of the instruments differences ranging from 2 to 10ns 28 29 are common (Redondas et al., 2012, Rodriguez et al., 2014). For B086 differences of up to 20 ns were found in the record of the monthly mean DT. 30

31 During the setup of <u>a</u> Brewer<u>spectrophotometer</u>, the high\_-voltage of the PMT is set to a
32 value where the slope of the intensity vs voltage is small, sofor which that small shifts in the

1 high voltage do not affect significantly the signal, and the signal to noise ratio is adequately 2 high the dark signal is less than 100 counts when the signal of a measurement is about  $10^6$ 3 counts. This is translated into a signal to noise ratio of ~ 10000 (Kipp & Zonen Inc., 2008), ). The characteristics of the PMT and the counting systemwhich, however, may gradually 4 change with time to lower values. In this case so a proper that re-adjustment of the high 5 voltage is occasionally necessary. Based on data from RBCC-E calibration campaigns 6 7 (Redondas et al., 2012) we estimated that for most of the participated instruments the signal to 8 noise ratio is above the suggested threshold. For low signal to noise ratiosIf the high voltage 9 is not properly adjusted the response of the instrument is no longer linear, even for low-10 intensity signals and high uncertainties are induced in-both in the calculation of the DT and 11 the correction of the signal.

When the signal is very high (e.g. too high of the order of  $10^7$  counts  $\cdot$  s<sup>-1</sup>) the detection system 12 is saturated and the measurements , it cannot be easily corrected for the non-linear response of 13 14 the instrument.(Kapusta et al., 2015; Schätzel, 1986). - Thus, during regular operation, different neutral density (ND) attenuation filters are used to control keep-the signal to within 15 appropriate levels. below  $\sim 1.75 \cdot 10^6$  counts/sec. When the signal exceeds  $4 \cdot 10^6$  counts/sec the 16 measurements are interrupted to avoid damage of the PMT. The range of the detected signals 17 is different for different types of measurements, thus different ND filters are required. For 18 example, during direct--sun measurements ND filters are used to maintain the signal below ~ 19  $2 \cdot 10^6$  counts s<sup>-1</sup>, and if for any reason the signal exceeds  $7 \cdot 10^6$  counts s<sup>-1</sup> the measurements are 20 automatically interrupted. For spectral scans of the global UV irradiance one specific ND 21 filter is used in each instrument depending on its sensitivity. Although this filter keeps the 22 signal below  $10^7$  counts·s<sup>-1</sup>, strong signals of 3-6·10<sup>6</sup> counts·s<sup>-1</sup> are not unusual. 23 24 Measurements of very low signals have large uncertainties. For a mean rate of photons, N,

that reach the detector, individual measurements may differ from each other due to the
quantized nature of light and the independence of photon detection (Hasinoff, 2014). Since
photon counting is a classic Poisson process, the Poisson (photon) noise of the measurements
decreases with increasing sampling time. For N photons measured within a time interval t, the
fractional 1σ precision is:

2 As further explained in the following, the sampling time of a measurement is defined by the 3 rotating mask which moves (cycles) before the exit slits of the spectrometer. In each position of the mask photons from only one slit are allowed to reach the PMT, for a time interval of 4 5 0.1147 s. Examples of the uncertainty for different signal levels and commonly used sampling times (number of cycles of the mask multiplied by 0.1147 ns) are presented in Table 1. 6 7 According to Grajnar et al., (2008) the ideal operating range for the Brewer is between one and two million counts  $\cdot s^{-1}$ . 8 9 At the exit of the monochromator there are six exit slits through which the radiation dispersed by the monochromator is directed to the PMT. When the monochromator is set for ozone 10 11 observations the nominal wavelengths ( $\lambda_{0\rightarrow 5}$ ) corresponding to each slit are 303.2, 306.3, 310.1, 313.5, 316.8 and 320.1 nm respectively. Each exit slit can be opened individually, 12 13 while the others are blocked, by a rotating mask which is synchronized with the photon 14 counting system. The six wavelengths  $(\lambda_{0\rightarrow 5})$  correspond to positions 0, 2, 3, 4, 5 and 6 of the mask, respectively. There are two extra positions: 1, when all slits are blocked and is used to 15 determine the dark signal, and 7, when two slits corresponding to  $\lambda_2$  and  $\lambda_4$  are opened 16

17 <u>simultaneously, allowing the radiation of both wavelengths to reach the PMT.</u>

18 The DT of a Brewer spectrophotometer is determined according to the following procedure: 19 At the exit of the monochromator there are six exit slits through which the radiation dispersed 20 by the monochromator is directed to the PMT. When the monochromator is set for an ozone 21 measurement ("zerooperational" position) (Kipp & Zonen Inc., 2008;) the nominal wavelengths ( $\lambda_{0\rightarrow 5}$ ) corresponding to each slit are 303.2, 306.3, 310.1, 313.5, 316.8 and 320.1 22 23 nm respectively. Each exit slit can be opened individually, while the others are blocked, using 24 a rotating mask which is synchronized with the photon counting system. The six wavelengths 25  $(\lambda_{0\rightarrow 5})$  correspond to the positions 0,2,3,4,5 and 6 of the mask respectively. There is are 26 twoone extra positions (1 and 7) on the mask. When the mask is at position 1 all the slits are 27 blocked; thus, position 1 is used to determine the dark signal. for which When the mask is at position 7 two slits (corresponding to  $\lambda_2$  and  $\lambda_4$ ) are opened simultaneously. In order to 28 29 determine the DT, tThe radiation emitted by spectral irradiance of the standard lamp at 306.3 30 and 313.5 nm is measured sequentially by setting the rotating mask at positions 3 and 5 respectively, followed by a simultaneous measurement <u>of at</u>-both wavelengths by setting the
 mask to position 7.

This sequence is repeated 10 times (10 cycles) and the DT is calculated by the methodology 3 that is described in the following paragraph. The same procedure is repeated 5 times for high 4 intensity signal and 10 times for low intensity signal, and then the mean value and the 5 standard deviation for each set of measurements is determined. Two filter-wheels are 6 7 interposed between the entrance of the optics and the PMT (Kipp & Zonen Inc., 2008), which 8 will be referred as filter-wheel 1 (FW#1) and filter-wheel 2 (FW#2). Each wheel has six holes 9 spaced at 60 degree intervals. One of the holes in each filter wheel is empty while filters with different transmittance are placed in each one of the five remaining positions. Each hole can 10 be selected to intersect the optical axis by rotating its filter-wheel. During the DT 11 12 measurements, a quartz diffuser is selected for FW#1, while for FW#2. an empty aperture is 13 selected for the high intensity measurements and a neutral density (ND) filter of optical 14 thickness 0.5 (~0.316 transmittance) for the low intensity measurements. The sequence is 15 repeated 5 times for a high--intensity signal (using a filter with low -attenuation) and 10 times for a low--intensity signal (using a filter of high -attenuation) and the mean and standard 16 17 deviation for each set are calculated. Measurements are considered reliable when the standard deviation is less than 2.5 ns for high--intensity and 20 ns for low--intensity signals (Grajnar et 18 al., 2008). When the high voltage of the PMT is properly adjusted the results from the high-19 and the low--intensity measurements should agree to within two standard deviations of the 20 former. Although the DT used for the correction of measurements should be within 2 ns of the 21 22 value calculated daily, during the regular operation differences of 2 to 10 ns or even larger 23 (e.g. in B086) are often encountered (Redondas et al., 2012, Rodriguez-Franco et al., 2014). It is not always easy to identify the causes of these differences between the calculated and the 24 25 used DT or between the DT from the high and the low intensity measurements, and whether the DT in use should be set to a new value. Such differences may arise from problems in the 26 optical, mechanical or electronic parts of the instrument (Grajnar et al., 2008). 27

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# 2.2 Theoretical approach <u>for determining of the</u> dead<u>-</u>time <u>constant</u> determination

For a mean rate N of photons that reach a detector, individual measurements may differ from
 each other due to the quantized nature of light and the independence of photon detections
 (Hasinoff, 2014). Photon counting is a classic Poisson process and the Poisson (photon) noise

of the measurements is reduced as the sampling time increases. The fractional 1-sigma
 precision (ΔS/S) is given by the reciprocal of the square route of the photons measured within
 the time t:

$$\frac{\Delta S}{S} = \frac{1}{\sqrt{Nt}} \tag{1}$$

4

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5 Due to DT loss ofSince a portion of the photons are lost due to dead time, the Brewer
6 measurements have no longer Poisson distribution. Thus, Eq. (1) underestimates the 17 <u>lσsigma</u> precision and should be replaced by the more precise Eq. (2) which takes into
8 account the <u>dead time DT</u> effect (Kiedron, 2007):

$$\frac{\Delta S}{S} = \frac{1}{1 - \tau \cdot N_I} \cdot \sqrt{\frac{1}{N_M \cdot t} - \frac{\tau}{t} \cdot (2 - \frac{\tau}{t})} -$$
(2)

10 We here  $N_1$  and  $N_M$  is are the rate of the incoming and photons that generate pulses (in 11 photons·s<sup>-1</sup>) and the detected photons pulses (in counts·s<sup>-1</sup>) respectively, t is the sampling total 12 time of measurements, and  $\tau$  is the DT. and  $N_T$  is the rate of incoming photons. As long as  $N_T$ 13  $N_M$  remains well below ~33·10<sup>6</sup> photons counts·s<sup>-1</sup>, the results from Eqs. (1) and (2) agree to 14 within do not differ by more than 22%, while even for count rates close to 6·10<sup>6</sup> the difference 15 is less than 10% (Kiedron, 2007).

16 The algorithms <u>that which have been developed for the calculation of the dead time DT</u> and 17 for the correction of the signal for its effect are both based on Poisson statistics. According to 18 Schätzel (1986), the average number of <u>photons pulses generated</u> within the dead time  $\tau$  for a 19 mean rate of N<sub>I</sub>-pulses per second is given by:

$$20 \qquad \mu = N_I \cdot \tau \tag{3}$$

21 For a Poisson distribution the probability P(k) of k pulses within  $\tau$  is then given by:

22 
$$P(k) = \frac{1}{k!} \cdot e^{-\mu} \cdot \mu^k$$
(4)

The sum of probabilities for <u>all values of k (=0</u> to infinity) <u>should be equals</u> to unity.; thus  $\underline{tThehe}$  probability of exactly one pulse within  $\tau$  is <u>given by</u>:

25 
$$P(k=1) = \frac{1}{1!} \cdot e^{-\mu} \cdot \mu^{1} = e^{-\mu}$$
 (5)

26 while the probability for one or more <u>photons-pulses</u> within  $\tau$  is-<u>given by</u>:

1 
$$P(k \ge 1) = 1 - P(k = 0) = 1 - e^{-\mu}$$
 (6)

2 <u>Using Eqs. (5) and (6), Tthe ratio of the detected to generated photons pulses pulse rate (N<sub>M</sub>)</u>
 3 against the overall number of pulses (N<sub>I</sub>) using Eqs. (5) and (6) is then:

4 
$$R = \frac{N_{M}}{N_{I}} = \frac{P(k=1)}{P(k\geq 1)} = \frac{\mu \cdot e^{-\mu}}{1 - e^{-\mu}} \approx \frac{\mu \cdot e^{-\mu}}{1 - [1 - \mu + \frac{\mu^{2}}{2} - ...]} \approx e^{-\mu}$$
(7)

5 By replacing  $\mu$  from Eq. (3), equation (7) can be written as:

$$6 \qquad R = \frac{N_M}{N_I} = e^{-N_I \cdot \tau} \tag{8}$$

7 In the Brewer software, Eq. (8) is applied separately to the count rates for for  $\lambda_2$  and  $\lambda_4$  (at slit-8 mask positions 3, 5 and 7 and 5) and to count rates for  $\lambda_2$  and  $\lambda_4$  simultaneously (slit mask 9 position 7.), by setting  $N_I^0 = N_M$  as an initial guess and then by iterating (index j) over the 10 rearranged expression:

11 
$$N_{Ii}^{j+1} = N_{Mi} \cdot e^{N_{Ii}^{j} \cdot \tau^{j}}$$
 (9)

12 For eFinallyach iteration,  $-\tau^{i}$  is determined by:

13 
$$\tau^{j} = \frac{1}{N_{I7}^{j}} \cdot \ln(\frac{N_{I7}^{j}}{N_{M7}}), \text{ with } N_{I7}^{j} = N_{M3}^{j} + N_{M5}^{j}$$
 (10)

14 After 9-10 iterations of Eqs. (9) and (10),  $\tau$  converges to a value that defines DT.

15 <u>Once DT is determined</u>, <u>and is used for the correction of the signals (count rates)</u> measured

16 <u>by the Brewer ments are corrected</u> for the <u>dead time</u> effect of DT, through:

17 
$$N_I^{j+1} = N_M \cdot e^{N_I^{j} \cdot \tau}$$
 (11)

18 Again, Afterafter 9 iterations of Eq. (11) the result converges to the corrected value of the 19 signal count rate  $N_I^9$ .

Questions have been raised (Kiedron, 2007) whether the algorithms used for the calculation of the DT and the correction of the signal are the most appropriate and whether the simplifications in the algorithm used for the DT calculation can lead to systematic underestimation of its value and subsequently of the corrected signal. These issues are addressed below.

1 Given the Poisson nature of photon statistics, there are two formulas that are commonly used 2 to calculate the DT (Schätzel, 1986; Yu and Fessler, 2000), which depend on the nature of the 3 counting system. For Brewers, the relevant algorithm for the calculation of the DT is has been based on the assumption that all photons, either recorded or not recorded by the PMT 4 5 counting system or lost, trigger a new dead time DT period (paralyzable system) and the extended DT formula is used (Eq. (8)) is used. If it is we assumed that the dead time DT is 6 7 triggered only byfrom the photons that are recorded byfrom the PMT-counting system (non-8 paralyzable system) then the following, non-extended, non-extended DT-formula applies 9 (Schätzel, 1986; Yu and Fessler, 2000)should be used:

$$10 \qquad R = \frac{1}{1 + \tau \cdot N_I} \tag{12}$$

Equation (12) is derived from Eq. (8) by assuming a very small value of  $\tau$  dead time and by replacing the exponential term with its Taylor expansion. Though, it describes more accurately the effect of DT on non-paralyzable systems (Schätzel, 1986; Yu and Fessler, 2000). Subsequently, a new formulaequation corresponding to Eq. (11) can be derived:

15 
$$N_I^{j+1} = N_M \cdot (1 + \tau \cdot N_I^j)$$
(13)

16 Although the extended formula is used in the Brewer operating algorithm, it is debatable 17 whether the photon counting system of the Brewer is paralyzable or not. Kiedron (2007) has questioned the appropriateness of this formula It is not clear if the formula used for use in 18 Brewers the calculation of the DT in Brewers and for the correction of the measured signal is 19 the most appropriate (Kiedron, 2007). Additionally, the simplifications of Eq. (7) and the 20 21 assumption that  $N_{17}=N_{M3}+N_{M5}$  in Eq. (10) that are assumed applied in the Brewer algorithm for the DT calculation could lead to systematic underestimation of DT of its value and 22 23 subsequently to underestimation of the corrected signal. These concerns are addressed in the following. 24

# 2.3 Experimental evaluation of the DT determination of DT

# 2.3.1 Extended and non-extended formulaDT

The DT for five different-Brewers was calculated <u>using by</u>-the two different approaches, (expressed by Eqs. (11) and (13)), in order to assess the resulting differences. <u>Typical count</u> <u>rates from the high--intensity dead-time DT-test of the Brewer operating software were used</u> in the calculations. The results are presented in Fig. (1<u>a</u>). For both cases the calculated DT <u>values were found to converges simultaneously</u> after 9–10 iterations (Fig. (1a)), while the differences <u>in between the final derived DT values</u> are negligible. Specifically, when the <u>DT</u> <u>derived from the non-extended DT formula</u> (Eq. (13)) is used the calculated DT is <u>smaller by</u> less than 0.5 ns lower than for the DT from the extended <u>DT</u> formula (Eq. (11)).

In order to estimate the differences <u>inbetween</u> the final products for a paralyzable and a nonparalyzable system, <u>signals\_count\_rates\_from</u> 0 to  $7 \cdot 10^{6.5}$  counts:<u>s^1/sec</u> were assumed and corrected <u>for the dead time effect</u> using both formulas for DT <del>nominal</del>-values ranging from 15 to 45\_ns (Figs. (1b) and (1c)). It is noteworthy that the corrected signal was found to converge after 4--or-5 iterations, for both methodologies. This implies that it might not be necessary to use 9 iterations in the Brewer software for the correction of the signal.

We notice that in all cases the results are already converging after 4 or 5 iterations, 17 simultaneously for both methodologies. This implies that it might not be necessary to perform 18 9 iterations for the correction of the signal. For signals count rates below lower than  $\sim 2.10^6$ 19  $counts \cdot s^{-1}$  /sec-the differences between the corrected signals values with the two methods are 20 21 lower-less than 0.45%. For higher signals count rates the differences become more important; though, as long as the signal is below between  $10^6$  and  $\sim 3 \cdot 10^{6.5}$  ( $\sim 3.2$  million) counts s<sup>-1</sup>/s, the 22 23 differences become more important the differences are still less than 1.5%. Thus, even if the Brewer counting system is non-paralyzable, the currently used algorithm does not induce 24 important errors for the usual range of signals in direct-sun measurements (between 0 and 25  $2 \cdot 10^6$  counts  $\cdot s^{-1}$ ). For signals higher than  $\sim 3 \cdot 10^6$  counts  $\cdot s^{-1}$ , which are common for global UV 26 irradiance measurements, and for DT greater than 30 ns the corrected signal may be 27 28 significantly overestimated. For DT values below 30ns the differences remain below 1% for the entire range of count rates, while for DT of 45ns, the differences exceed 1% only for count 29 rates higher than 10<sup>6.4</sup> (~2.5 million) counts/s. 30

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#### 1 2.3.2 Artificial biases

2 In order to determine the conditions under which the standard Brewer algorithm does not 3 induce artificial biases in the results the following procedure was followed: Theoretical values 4 ofor the measured count rates of N<sub>M</sub> were estimated from Eq. (8) assuming for 5 different rates of incoming photon pulses rates NI and for different reference DT values and by using Eq. (8). Then the DT was recalculated from Eqs. (9) () and (110). As long as the 6 7 ratio of signals between the count rates at positions 3 (or 5) and 7 of the slit mask, N3/N7 (or 8 N5/N7), remains between 0.25 and 0.5 and the true-signal count rate at position 7 (N7) remains below 10<sup>7</sup> counts/scounts·s<sup>-1</sup> (the maximum measured signal is usually below 3.10<sup>6</sup> 9 counts/s), the calculated and the reference DT coincide. 10

11 When the internal standard lamp is used to calculate DT, the ratio N3/N7 is usually ~0.4 and 10 iterations of Eqs. (9) and (10) are enough to provide an accurate result. However, as it will 12 be discussed later, if a different radiation source (e.g. the Sun) is used to derive DT then the 13 ratio N3/N7 might be much smaller. As shown in Fig. 2, when this ratio is less than ~0.25, 14 more iterations are needed to achieve an accurate estimate of DT; otherwise DT is 15 16 underestimated. For N3/N7 above 0.05, at least 50 iterations are required to derive an estimate 17 close to the reference DT, while for N3/N7 above 0.01 the required number of iterations increases to 300. The results shown in Fig. 2 were found independent of the signal at position 18 7 for signal levels between  $10^2$  and  $10^7$  counts  $\cdot$  s<sup>-1</sup>. 19

On the other hand, as deduced from Fig. (2), the calculated DT is lower than the "real" DT
 when the ratio N3/N7 is below ~0.25 for all reference DTs. This result remains valid also for
 pulse rates different than 10<sup>6</sup> counts/s.

# 23 2.3.3 Dark signal

The thermal noise of the electronics is responsible for the dark signal which is recorded even when no radiation reaches the PMT. Thus iIn the Brewer algorithm, prior to the <u>dead time</u> dead time<u>DT</u> correction, the dark signal is subtracted from the measured signal (Kerr, 2010). However, Kiedron (2007) suggested that before subtracting the dark signal both the measured and the dark signals should first be corrected for the dead time effect. In the same study it was suggested that even though the dark pulses have no Poisson distribution, <u>using Eq. (12) for</u> the the correction of both the dark and the measured signals <u>through Eq. (12)</u> should lead to more accurate signals correction than without applying dead-time correction to the if the dark
 signal-is not corrected for the DT effect.

To assess the importance of this suggestion, an attempt was made to In the following we 3 4 attempt to quantify the differences arising in the final signals. results between the two approaches concerning the correction of the dark signal for the DT effect. To achieve that, 5  $\frac{d}{d}$  Different levels of measured signals count rates, ranging from 0 to 7.10<sup>6.5</sup> counts/scounts s<sup>-1</sup>, 6 were assumed, as well as dark signals, were assumed. On these count rates different dark 7 signals were added, ranging from 0 to  $10^5$  counts/scounts·s<sup>-1</sup>, which were added to the 8 9 former.- Then the derived signals count rates were corrected for the dead time effect and the 10 dark signal using both methods (operational in Brewerss and suggested by Kiedron, (2007)) and the resulting corrected signals were compared. For low dark signals ( $<10^4 - 00$  counts/sec) 11 no-<u>The</u> differences could be detected are smaller than 0.2%. for dark signals below  $10^4$ 12 counts  $\cdot s^{-1}$ /sec. Considering that the dark signal in Brewer measurements - These are becoming 13 14 more important as the assumed signal, the dark signal, and the dead time are increasing. However, as long as the dark signal is below 10<sup>4</sup> counts/s, the difference between the results 15 from the two methods is lower than 0.2%, even for a dead time of 60 ns. Since during normal 16 operation of a Brewer the dark signal is generally well below lower than this level, the 17 correction of the dark signal for the effect of dead time DT effect would not have important 18 impact on the final results. For exceptional cases when the dark signal exceeds this limit, T the 19 difference increases fast, -when the dark signal approaches  $10^{5}$  counts/sec, but stays below 20 ~1.6% even for a dark signal of  $10^5$  counts·s<sup>-1</sup> and for count rates of  $10^{6.5}$ -counts/s and DT 21 dead time of 60-45 ns. 22

# 23 2.3.4 Simplifications in the algorithm

In order to <u>assess the effect of detect if the calculated DT is underestimated due to</u> the simplifications in Eq. (7) or <u>due to other issues if the algorithm is not proper for reasons</u> that have not been taken into account, the <u>following experiment has been made</u>: <u>below described</u> <u>method has been developed</u>.

Spectral Mmeasurements of the radiation spectral irradiance emitted by 3 different sources
 (the sSun, an external 1000 Watt DXW lamp and the internal 50-20 W standard lamp) were
 performed in steps of 5 nm for the operational spectral range of the Brewers that were used-.
 spectrophotometers using dDifferent levels of the signal were achieved using the internal ND

filters (different positions of FW#2), different distances (for the external lamp), or different 1 2 solar zenith angles (for the Sun).- and different levels of intensities. In order to achieve different levels of irradiance when the Sun is used as a radiation source, the measurements 3 were performed for several solar zenith angles. Measurements of thethe 1000 Watt lamp 4 5 intensity (DXW lamp with serial number 1005) were performed at the Izaña Atmospheric Research Center for different distances (ranging from 40 cm to 115 cm, measured) between 6 7 the lamp and the center of the quartz window of the Brewer. -The lamp mount could move 8 vertically on a metal rod of 1 m length. When an external lamp is positioned at such short 9 distances, the geometry of the radiation entering the fore-optics of the Brewer is very different from the geometry of the sSun's rays. Additionally, for different distances the radiation does 10 11 not necessarily originate from the same area of the lamp's filament (Kazadzis et al., 2005). 12 However, for the specific experiment these factors are not important because measurements at 13 for different positions of the lamp are not compared to each other. What is important is that the spectrum of the emitted radiation does not change during measurements for each specific 14 15 position of the lamp. This was ensured by monitoring continuously <del>T</del>the intensity and the voltage of the lamp-were continuously monitored in order to ensure that the lamp irradiance 16 17 was stable during the measurements.

18 Then tThe relative attenuation between between the different ND filters positions of FW#2
19 wasis calculated (Sellitto et al., 2006; Redondas et al. 2011) for the standard lamp, and for
20 different distances of the external lamp and for different angles of the sSun.

The All measurements d spectral irradiances from all the different light sources were 21 22 corrected for the dead time DT-using several values of the DT ranging from zero to about 23 twice the used DT constant in steps of 0.1 ns. Then, spectral ratios of the signals ratios 24 (relative attenuation) for between all pairs of the different ND filters positions of FW#2-were calculated. for each wavelength. The transmittance of the ND filters is known to be 25 independent of intensity. Assuming that the response of each instrument is non-linear 26 (intensity dependent) exclusively due to dead-time effectDT, correction of the signal with the 27 proper DT value (and method) should eliminate the non-linearity. For all wavelengths, the 28 optimal DT correction should lead to signal ratios of pairs of FW#2 positions that are 29 independent of the intensity of the incident radiation. This also suggests that correcting 30 31 theusing an improper DT for correcting measurements used to derive the relative for the determination of the attenuation of the ND filters with a wrong DT might lead to significant 32

important errors. For these measurements, it is important critical that the high voltage of the PMT is optimal and that the separation between signal and noise works properly, otherwise the results may be misleading. An example for one pair of ND filters and one wavelength is shown for B185 in Fig. (3). From Fig. (3a), the optimum DT for which the calculated attenuation is independent of the measured signal count rate is found at 29.6 ns, while for different values of DT the derived relative attenuation depends on the level of the signal.

7 while lower and higher DT values result in remarkable differences.

8

9 The above described procedure was repeated for all wavelengths and for all possible 10 combinations of filters. Irradiance values Measurements for which the noise to signal 11 ratioprecision, according to Eq.-(1), is lower than 2% is very high were not used in the 12 analysis, as well as measurements with signals (before applying the dead time correction) 13 above  $5 \cdot 10^6$  counts s<sup>-1</sup>. Additionally, some outliers resulting from spikes (Meinander et al., 14 2003) were rejected by visual inspection. The mean DT and the corresponding standard 15 deviation are-were then calculated from the remaining values, as and are shown in Fig. (3b).

For B185, the <u>DT that yields the optimum correction <del>DT</del> is very close to both the nominal <u>DT</u>
 value in use and the mean DT calculated regularly from the standard lamp measurements. The
 standard deviation is nearly 2\_ns.
</u>

19 The same test was performed using for-B086, operating at Thessaloniki. In this case moving the 1000 Watt lamp vertically was impossible; thus the lamp was fixed at to-a standard 20 21 distance of about 40 cm from the center of the quartz window and different intensities were 22 achieved by adjusting the current of the lamp-current. For B086 tThe test was performed for 23 two periods with different calculated mean DT. In both cases the results were within ~1 ns 24 from the mean DT calculated with the standard procedure, and the standard deviation was again of the order of 2 ns. The test is more uncertain when applied on single-monochromator 25 26 Brewers, mainly due to the stray-light effect (Karppinen et al., (2014) and references therein).

27 If the counting systems of B086 or B185 were non-paralyzable the corrected signal would be 28 significantly overestimated for signals above  $\sim 3 \cdot 10^6$  counts s<sup>-1</sup> and would lead to 29 overestimation of the ratios. Thus the estimated DT from the ND filters that provides the 30 optimal correction would be lower than the DT calculated from the standard lamp. The fact 31 that this is not happening is a strong indication that the photon counting systems of B086 and B185 are paralyzable, so -the correction of the measurements using the extended formula is accurate. The results discussed abovealso reveal that the algorithm currently used is reliable and provides an accurate estimation of the DT, as long as the count rates at positions 3 and 5 of the slit mask do not differ significantly. However, as it is analytically explained in the following paragraphs, operational or technical issues of the instrument may affect the determination of the DT, leading to important errors in the measured signals, and consequently, in the derived final products.

#### 8 2.4 Determination of DT from solar measurements

9 Using the standard lamp as a radiation source for the determination of the DT may 10 occasionally lead to uncertain and noisy results, especially when the signal of the lamp (thus the signal to noise ratioaccuracy of the measurements) is weaklow. In Ssuch casesresults, in 11 12 addition to inducing errors induced in the proper correction of the measured signals, it is also make difficult to the detection of possible problems (of mechanical or electronic origin) that 13 14 may affect the determination of the DT. The operation of the lamp is not independent of the operation of the other rest-electronic circuits of the instrument. Thus, it is not always easy to 15 detect if the observed changes in DT are real. The sun is a more reliable and stable (under 16 17 specific conditions) source compared to the standard lamp; thus using the solar measurements for the calculation of the DT would eliminate a great part of the uncertainties. Problems may 18 19 arise when the sun is partially or fully covered by clouds, resulting to rapidly changing or very low intensity, respectively, and increased uncertainties in the determination of DT-. 20 Thus, this method is unsuitable for locations with long periods of cloudiness. Other factors 21 22 that may increase the uncertainty of the derived DT are changes in intensity of direct solar 23 radiation due fast changes in the SZA early in the morning or late in the evening and in the 24 concentration of various absorbing or scattering atmospheric constituents.

New routines for the determination of the DT from direct\_-sun measurements were <u>developed</u>
created\_and tested on Brewers 005, 086, 157, 183, and 185 <u>for during</u> a period of about 10
months.

The methodology used for the DT determination from direct sun measurements is very similar to that used with the standard lamp (described in Sect. 2.1), but the number of iterations has increased from 10 to 50, to avoid underestimation of the calculated DT due to small values of the ratio N3/N7. Concerning <u>The main differences are in</u> the measurement procedure, :- the

zenith prism is directed points towards the Ssun instead to the internal lamp, and appropriate 1 2 ND filters are used in order to avoid PMT overexposure of the PMT, and - the DT is calculated only for one signal level, instead of 2 s-(high and low intensity) that are used with 3 the standard lamp. The implementation of the specific routine aims mainly at reducing the 4 uncertainty in the DT calculation, as -complementary to the standard algorithm that uses the 5 standard lamp. Measurements at two different signal levels are not applicable in this case, 6 7 since the DT is calculated for a wide range of intensities due to the large temporal variability 8 of solar radiation. Usually 10 cycles of the slit mask were used for each DT measurement. For 9 a certain period the routine was run in B185 with 40 cycles in order to increase the accuracy in the determination of the DT. 10

Different number of cycles (different signal integration times), ranging from 10 to 40 11 (integration time of ~1 to ~4.5s), was used in different instruments during different periods. 12 At Thessaloniki, five consecutive measurements were performed each time and then the mean 13 DT<del>dead time</del> and the standard deviation were derived. The gratings of B086 were moved to a 14 15 position where the ratio N3/N7 remains ratio remained within the acceptable limits between about ~-0.3 and 0.5, as discussed previously. At Izaña, the mean DTdead time and the 16 17 standard deviation were derived from four consecutive measurements, with the gratings set at 18 the ozone measurement position.- The main problem when using the sun as radiation source is the possibility of being partially or fully covered by clouds, resulting to rapidly changing or 19 very low irradiance, respectively. In these cases, the uncertainty of the calculated DT is 20 extremely high. Thus, iIn this analysis we rejected all measurements with standard deviation 21 higher than 1.5 ns and with signal count rates at position 7 of the slit mask below  $10^5$ 22 <del>counts/s</del>counts $\cdot$ s<sup>-1</sup> -were rejected. To avoid very low signal levels at positions 3 and 5, only 23 measurements for N3/N7 ratios between 0.15 and 0.85 were used. In Fig. (4), the DT derived 24 25 for three of the five Brewers investigated studied areis presented as a function of day of the 26 year (DOY).

For B086, the DT derived from the standard lamp is much noisier than from the sun during the first months of the year. This is due to the very low intensity of the standard lamp used in that period. In April (DOY 94) the standard lamp was replaced with one of higher intensity, which resulted in substantial reduction of the noise in the estimated DT. Accordingly, the noise in DT results was reduced. Further improvement in the DT results can be observed after DOY 142, when the number of cycles was increased from 10 to 20. During the

analyzed analysed period, the DT derived as calculated from direct-sun measurements of solar 1 2 radiation is very stable and less noisy than the DT from the standard lamp (from both the high- and the-low--intensity measurements). Prior to August 2014 (DOY 220) the direct--sun 3 based measurements for the determination of the DT wasere performed only once per day 4 5 near the local noon (SZAs ranging from ~ 63° in December to ~ 17° in June) in order to achieve have very stable and solar irradiance with high-intensity signalies. Although the 6 7 noise wasis very low during thatis period, there are too few measurements available. Since the 8 beginning of August, several measurements were performed eachper day at different SZAssolar zenith angles\_between ~75° and the local noon; thus the amount of available data 9 has increased, but also the level of the noise. The response of B086 is a very lowinsensitive 10 11 instrument; therefore any reduction in the level of intensity is reflected immediately on the 12 estimated DT. The DT derived during the period of study is about 38-39 ns, 3-4 ns lower 13 than the used DT, and is independent of the radiation source.

14 For B157, the agreement between the DT from the standard lamp and the sun-Sun is very 15 good. Some outliers in DT derived from the direct-sun measurements are due to the inclusion data of low intensity recorded at large SZAssolar zenith angles when the intensity was low. 16 17 As for B086, the DT derived for B157 from both the standard lamp and the sun is 4-5 ns 18 lower than the DT of 32 ns used in regular operation. It should be noted that in this case, as well as in the case of B086, the calculated DT (from both the internal standard lamp and the 19 20 sun) is lower than the nominal. Considering that B157 is a one of the standard Brewer triad instruments of AEMET, well-maintained instrument, the DT used for the signal correction 21 22 should be reduced by 4-5 ns-lower than the used DT constant of 32ns, at least for the specific 23 presented period. The most possible reason for the difference between the calculated and the used DT is the gradual change of the characteristics of the photon counting system. 24

25 For B185, the second triad Brewer, the DT from the sun is lower and noisier compared to the DT from the standard lamp. The main reason is the low ratio of the count rates between 26 positions 3 and 7 of the slit mask when the DT is calculated from direct sun measurements. 27 The ratio ranges between 0.05 and 0.25 for the majority of the direct sun measurements, while 28 29 it remains within 0.4 and 0.5 when the irradiance of the standard lamp is measured results are 30 similar with B157. For the first part of 2014 (before DOY 190), the mean measured DT is 31 lower than the used DT constant used during this period. In this day the operationally used DT constant was changed from 33 to 29 ns, after ensuring that no realignment of the optics 32

and no resetting of the PMT were needed, following the suggestions of Kimlin-Grajnar et al.,
(20052008). The mean DT from the sun and the standard lamp are in good agreement during
the entire period of measurements. After DOY 190 the spread in the DT from the direct--sun
measurements is smaller compared to the previous period (and compared to the high intensity
DT from the standard lamp) as a result of the increase in the number of cycles from 10 to 40.
The conclusions from the analysis of the DT for B086, B157 and B185 are valid also for
B005 and B183; thus their results are not presented.

8 Rodriguez-Franco et al (2014) suggested that the calculated dead timeDT is not necessarily 9 the one which provides the optimal signal correction and further investigation is needed. The 10 present study showed that the procedure used for the determination of the DT provides 11 accurate results as long as the measurements are performed under appropriate conditions (as 12 already discussed in sections- 2.1—2.3 and is further discussed in Sect. Sect. 2.5). However, 13 even if the correct DT of the PMT is known and used, there are other factors that might lead 14 to non-linear responses of the photon detection system which might be falsely perceived as 15 improper DT correction.

#### 16 **2.5** Factors affecting the determination of DT

In order to determine the optimum instrumental settings for the calculation of the DT, 17 18 continuous direct--sun measurements were performed during two consecutive very clear days 19 at Izaña with B185 during two consecutive cloud-free days with nearly zero aerosol optical 20 depth. Every Aabout every 40 min, five consecutive DT measurements were performed using 21 each time different grating settings, corresponding to different wavelengths at so that each time the irradiance passing through the two exit slits was of different wavelength. The five 22 23 wavelengths corresponding to position 3 of the slit mask arewere 306.3, 317, 331.5, 345 and 24 354.5 nm, while for position 5 the five wavelengths are were higher by about 7 nm longer. This way, measurements for different intensities, wavelengths, and N3/N7 ratios were 25 26 performed for very similar SZAs and atmospheric conditions. During the first day, 40 cycles were used for the first set of wavelengths (306.3 nm at for position 3) and 10 cycles for the 27 28 other four sets. During the second day, the number of cycles was changed to 10 and 5 29 respectively.

30 Due to the different combinations of <u>wavelengths measurements</u> the recorded N3/N7 ratio 31 ranges from 0.05 to 0.5. The DT derived from measurements with N3/N7 ratios between 0.<del>3</del>

15 and 0.5 is very close to the used DT constant (29ns). For the same value the optimum DT 1 2 correction was achieved, as discussed in Sect. Sect. 2.3. For ratios lower than 0.15 the spread of the derived DT is very large and its mean values are smaller than the used DT, -even after 3 10000 iterations of Eqs. (9) and (10), possibly due to the increased noise in the lower intensity 4 measurement (at position 3 or 5). As expected, for ratios lower than 0.3 the DT is 5 underestimated. The intensity of irradiance radiation level does not affect the mean calculated 6 7 DT; but is important for the uncertainty of the measurements. The calculated standard deviation decreases with increasing signal count rates. For signal count rates (at position 7) 8 between 500,000 and 1,000,000 counts/scounts·s<sup>-1</sup> -the standard deviation of DT is smaller 9 than ~5% (~2ns) of the calculated DT, as long as the N3/N7 ratio remains within the 10 11 acceptable limits of 0.3 0.5 and when 10 or more cycles are used in the measurements. For signals <del>count rates</del> higher than  $10^6$  <del>counts/s</del> counts  $\cdot$  s<sup>-1</sup> -the standard deviation is below smaller</del> 12 13 than 3% (~1ns) of the calculated DT and decreases even more for higher signalcount ratess. 14 Larger Increasing the number of cycles leads to smaller also decreases the standard deviation 15 and therefore reduced the uncertainty in the determination of the DT. When the number of cycles increases from 5 to 10 the standard deviation decreases by a factor of 2. The same 16 fractional decrease in the standard deviation is found also when the number of cycles 17 18 increases from 10 to 40. It should be noted mentioned that no wavelength dependence was 19 detected in the determination of the DT. The same occurs for the temperature effects. FinallyIn order to look for possible dependencies from temperature, calculation of finally, the 20 DT using the standard lamp was calculated for different temperatures within a specific day by 21 22 using measurements with the standard lamp and revealed no changes was detected for 23 temperatures ranging from 17 to 35°C.

24

# 25

# 3 Effects of DT on the uUncertainties of the final products

In the following, an attempt is made to quantify the main uncertainties in the calculation of UV irradiance, TOC and AOD due to the uncertainties <u>errors</u> in the estimation of the DT. Effects in the calculation of the total columns of SO<sub>2</sub> and NO<sub>2</sub> are not discussed, because uncertainties from other sources are much higher, due to the usually small column amounts (the order of a few DU) of these species (Fioletov et al., 1998; Wenig et al., 2008). Dead time <u>eErrors in DT</u> are also expected to affect the results of different diagnostic tests in the Brewer, such as the measured intensity of the internal lamps and the determination of the <u>transmittance attenuation</u> of the ND filters, <u>which and in turn may affect</u> the accuracy of the final products. <u>Although iI</u>t is difficult to quantify these uncertainties; <u>however</u>, they are believed to be of less importance compared to those discussed below.

#### 4 3.1 UV irradiance

The spectral irradiances measured by the Brewer generally ranges between  $10^{-6}$  and  $1 \text{ Watt} \cdot \text{m}^{-1}$ 5  $\frac{12}{10}$ , and is calculated by multiplying the corrected for the effect of DT signal count rates-N<sub>I</sub> 6 7 with a proper calibration functionactor. Thus, uncertainties in N<sub>I</sub> due to inaccurate DT correction of the raw signal N<sub>M</sub> are directly transferred to the final product. The effect of a 8 9 specific DT errors in DT on the calculated UV irradiance depends on the measured signal N<sub>M</sub> and the actual value of the DT, and -mainly on the intensity of the incident radiation can be 10 estimated by Eq. (11). Fig. 5 shows the effect on irradiance caused by deviations in the used 11 12 DT<sub>7</sub> in the range  $\pm 2ns - \pm 10ns_7$  from four three characteristic reference values, as a function of the intensity N<sub>M</sub> (photons/s) of the incident radiation. – The effect of an error in DT was 13 investigated for signals in the range 0 -  $7 \cdot 10^6$  counts s<sup>-1</sup>. For direct--sun measurements that are 14 used for the retrieval of to calculate TOC and AOD the signal is usually kept below  $\sim 1.75 \cdot 10^6$ 15 counts·s<sup>-1</sup> (dashed line in Fig. 5) using ND filters. As long as the intensity count rate signal 16 remains below  $\frac{10^6}{10}$ -that level<del>photons/s</del>, even a large change of 10ns in the DT leads to a 17 18 corresponding change in the calculated irradiance of up to about 42%. For higher intensities the effect increases rapidly fast, so that for signals intensities near  $5 \cdot 10^{6.5}$  (~3.2 million 19 <del>photons/s)</del> counts  $\cdot$  s<sup>-1</sup> a change in the DT of only 2ns –a level that is commonly usually 20 encountered in Brewers- causes 1~2% change in irradiance. Larger deviations, however, 21 result in larger errors in irradiance that cannot be neglected. For example, a 10ns change in 22 the DT leads to differences in the calculated irradiance ranging from about 35% to 512%, 23 depending on the actual value of the DT. 24

25 Given that for a properly maintained instrument the DT used for the correction of the 26 measurements does not differ by more than  $\pm 2$  ns from the calculated DT, we can estimate the 27 fractional 1 $\sigma$  uncertainty in the measured UV irradiance based on the work of Bernhard and 28 Seckmeyer (1999) and the results presented in Fig. (5):

29  $u = \frac{E(-2ns) + E(+2ns)}{2 \cdot \sqrt{3}}$ 

22

(14)

where E(-2ns) and E(+2ns) are the errors in UV irradiance due to corresponding errors of -2 1 2 and +2 ns in the used DT respectively. For DT values between 15 and 45 ns, the  $1\sigma$ uncertainties are 0.12 - 0.13%, 0.25 - 0.28% and 0.69 - 1.13% for signals of 1, 2 and 5 3 million counts  $\cdot$  s<sup>-1</sup> respectively. For a sampling time of ~-2.3 s (20 cycles), which is commonly 4 used in direct-sun measurements to derive TOC and AOD, the combined uncertainty due to 5 photon noise and DT errors is less than ~0.2%, as long as the measured signal is between  $-10^5$ 6 and  $\sim 1.75 \cdot 10^6$  counts s<sup>-1</sup>. For a shorter sampling time,  $\sim 0.23$  s (2 cycles), commonly used in 7 spectral UV scans, the same uncertainty of less than ~0.2% occurs for signals between ~- $10^6$ 8 and  $1.75 \cdot 10^6$  counts  $\cdot s^{-1}$ . Finally, for sampling times between ~0.1 and 4.5 s (corresponding to 9 1-40 cycles) the signal with the minimum uncertainty lies between 0.5 and 1.5 million 10 counts  $\cdot s^{-1}$ . Below and above the specific range, the uncertainties are dominated by photon 11 noise and DT, respectively. 12

In order to get-quantify tative estimates of the errors that may be introduced in the global 13 14 spectral irradiance measurements due to uncertainties in the determination of the DT, data from Brewers instruments operating in Thessaloniki and Izaña were processed using different 15 values of the DT. For instruments with low sensitivity, such as B086, the recorded signal for 16 global UV irradiance measurements) barely exceeds  $2 \cdot 10^6$  counts s<sup>-1</sup>, even at very small<del>low</del> 17 SZAs. Thus, a large change in the DT of 10 ns in the DT, relative to the nominal value in use 18 of (42 ns), leads to a change in the noon global spectral irradiance of less than 2%, even at 19 UV-A wavelengths (strong radiation) during a very clear (cloud-free -and ,-low--aerosol day 20 near the summer solstice (~17° SZAsolar zenith angle at Thessaloniki). At SZAs larger than 21  $60^{\circ}$  the maximum measured signal, is usually less than  $10^{6}$  counts s<sup>-1</sup> and the uncertainties due 22 to DT errors are negligible. However, at short wavelengths (e.g.  $\lambda < 305$  nm) the signal is 23 usually of the order of  $10^4$  counts s<sup>-1</sup> or lower, so the 1- $\sigma$  uncertainty of the measurements 24 solely due to the photon noise is 2% or higher. The same A much smaller change of 2 ns in the 25 DT for an instrument with high sensitivity, such as B185 (with a DT in use constant of 29 ns) 26 for which the recorded signal may exceed  $6 \cdot 10^6$  counts s<sup>-1</sup>, is enough to cause leads to 4 a 2% 27 change in noon irradiance for local noon at Izana (~6° SZA at Izañasolar zenith angle)., and 28 29 about 2.5% change in the daily integral of the irradiance at 350 nm. For smaller, and more usual, changes in the DT of 2ns, the corresponding change in irradiance at local noon is less 30 31 than 1% while for the daily integral the change is negligible. Finally, when no correction for the DT is applied, the global irradiance is underestimated by up to 9%. Due to the different 32 atmospheric conditions at Izaña (compared to Thessaloniki) and the higher responsivity of 33

1 B185 (compared to B086), under cloud-free skies the signal of B185 at around 305 nm is 2 usually higher than  $10^4$  counts·s<sup>-1</sup> for SZAs smaller than  $70^\circ$ , and the  $1\sigma$  uncertainties due to 3 photon noise are less than ~2%. For wavelengths in the UV-B region, the effect of the DT is

4 negligible since the radiation is much weaker for both instruments.

#### 5 3.2 Total ozone column

6 The retrieval of TOC with a Brewer spectrophotometer is based on the analysis of nearsimultaneous direct-sun spectral irradiance measurements at four wavelengths (Kerr et al., 7 8 1981). Five sets of measurements are performed within about 2 minutes and the mean TOC 9 and the corresponding standard deviation are calculated. Before each set of measurements, the 10 intensity of the irradiance is tested and an appropriate ND filter is used to keep the maximum recorded signal between  $\sim -0.5 \cdot 10^6$  and  $1.75 \cdot 10^6$  counts s<sup>-1</sup>. is inserted in the radiation path to 11 12 avoid overexposure of the PMT. For the retrieval of TOC from direct sun measurements it is also necessary to know the extraterrestrial the so-called extra-terrestrial constant (ETC) is 13 14 required (Kerr et al., 1981). The ETC can be either calculated by using the Langley extrapolation method (Thomason et al., 1983) or transferred from a standard instrument 15 through side-by-side comparison of TOC ozone-measurements (Fioletov et al., 2005). 16

17 Because the correction for the DT applies to measurements of irradiance and its effect 18 depends on the level of irradiance, the effect on the retrieval of TOC depends basically on the 19 differences in the signal count rates at different slits positions 3 - 6 of the slit mask 20 (wavelengths 310.1, 313.5, 316.8 and 320.1nm). Such differences are caused by atmospheric 21 influences on the solar spectrum (e.g., from ozone absorption, Rayleigh scattering, and SZA) 22 and by the shape of the spectral response of the instrument. The latter may significantly differ 23 between instruments, particularly for Brewers of different type. For example, the presence of 24 the UG11-NiSO4 filters combination in single-monochromator Brewers changes significantly the shape of the spectral response, compared to double-monochromator Brewers, leading to 25 26 different correlation between the levels of irradiance measured at the four slits.

Although the shape of the spectral response differs between instruments which are equipped with different <u>electronics-PMTs (e.g. for B086 and B185)</u> the<u>se</u> differences <u>are were</u> not found to be as important as between single- and double-monochromator Brewers. In the following, the effect of the DT correction on the determination of the ETC and the <u>retrieval of</u> TOC from direct sun measurements are discussed for the single-monochromator B005 and the double-monochromator B185. The same analysis for the MKIII Brewers 157 and 183, not
shown here, yielded similar results to those for B185.

# 3 3.2.1 ETC from Langley plots

Usually, in order tTo derive the ETC from Langley plots, continuous measurements of direct-4 sunthe irradiance at for the wavelengths used for the calculation of TOC are performed during 5 half days (morning to noon or noon to evening) with stable atmospheric conditions (clear 6 7 skvies, stable TOC, low and stable AOD). Then the ratio of the logarithms of signals used for 8 the calculation of TOC-(Kerr et al., 1981) is derived and plotted against the air-mass (secant 9 of the SZA for SZAs greater than about 75°). The ETC is equal to the intercept of the resulting linear fit. Errors in the determination of the DT may introduce errors in the 10 calculation of the ETC. Although the irradiance levels increase with decreasing SZA, 11 12 Although the use of the ND filters are used to protect prevents the PMT from exposure from exposure to very high intensities for which are mostly affected by the dead timerole of DT is 13 14 eritical, errors in. However, the effect of the DT are still errors remains important when the signal is near the its-high--intensity threshold. Langley plots for about 10 days were derived 15 16 from measurements with the MKIII Brewers 157, 183, and 185 in Izaña and the MKII Brewer 17 **B**005 in Thessaloniki. Although the atmospheric conditions at Thessaloniki are not usually 18 favourable for application of the the determination of the ETC with the Langley method, a few days with relatively stable atmospheric conditions were found in within one year's record 19 20 of measurements which were used indicatively for the purposes of this study. For the MKIII 21 Brewers, the change in the derived ETC for a 2 ns change in the DT is typically lessower than 22 3 units, rising to up to ~15 units for a 10 ns change in the DT. The corresponding changes in 23 the ETC for the MKII Brewer are 8 and 40 units respectively. Such errors in the 24 determination of the ETC influence directly the calculated TOC. The reasons for the 25 differences between the two types of Brewers are mainly caused by differences in the shape of their spectral response. results for the two instruments are discussed in the following section. 26

In Fig. (6), changes in the calculated TOC due to changes in the ETC resulting from typical
errors in the DT are presented. The error in TOC increases smoothly with decreasing ozone
slant column. For B185, the change in TOC due to a 2ns change in the DT is generally less
than 0.5%, rising to about 1.5% for a 10ns change in the DT for slant ozone columns lower
than 500 DU. For B005, the change in TOC for a 2ns change in the DT is up to 1% for small
ozone slant columns, increasing to ~4.5% for 10ns change in the DT.

# <u>3.2.2 Effect of DT on TOC fromon</u> direct-sun measurements <u>used in TOC</u> <u>retrieval</u>

In Fig. 6, changes in TOC due to changes in the ETC resulting from typical errors in the DT
are presented. The error in TOC increases smoothly with decreasing ozone slant column. For
B185, the change in TOC due to a 2 ns change in DT is generally less than 0.5%, rising to
about 1.5% for a 10 ns change in DT, for slant ozone columns below 500 DU, while for B005
the corresponding changes are 1% and 4.5% respectively.

8 The effect of DT on TOC derived from direct-sun measurements during 20 days in June 2013 9 at Thessaloniki (B005) and Izaña (B185) has been investigated, by applying different offsets 10 to the nominal value of the DT that is used to correct the measured irradiances (Fig. 7). For 11 this analysis, the ETC has been kept constant, irrespective of the used DT. The effect of 12 different offsets in DT on the calculated TOC is presented in Fig. (7) as function of the ozone 13 slant column recorded at each station.

14 For all cases, the maximum changes in TOC occur become greatest just before (*fafter*) a new 15 ND filter of higher (Alower) optical density is set. At this point the change in intensity is large 16 rises and the dead time DT effect on the measured signal increases. This indicates that the 17 effect on the calculated TOC becomes stronger for higher intensities of the direct solar irradiance. Additionally, the changes in TOC increases as the ozone slant column decreases, 18 19 due to stronger intensityies of the incoming radiation and to changes in the distribution of 20 radiation on different slits. In accordance with the results shown in Fig. 6of the sensitivity 21 analysis presented in Sect. 3.2.1, for small changes in the DT ( $\pm 2$  ns) the effect on TOC 22 derived from B185 is small, generally, below 0.5%, and for B005 up to ~1.5%. For larger 23 changes in the DT (± 10ns) the effect on TOC is no more negligible for B185 and much 24 stronger for B005, occasionally reaching 5%. The stronger effect of DT on TOC derived from single-monochromator Brewers was also confirmed by Redondas et al. (2011) and Rodriguez-25 26 Franco et al. (2014).

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As already mentioned, the different effects of changes in the DT on TOC measurements (as
well as on the determination of the ETC) between single- and double-monochromator
Brewers is mainly caused by the different shape of their spectral response. The spectral

response determines the balance of the radiation levels at the four slits, which, in turn, affects
 calculated DT.

3 In old versions of the Brewer algorithm, there is an additional issue related to the effect of the 4 DT on the measured signals when a ND filter is set. Before starting a direct-sun measurement, 5 an automatic intensity check takes place in order to determine which ND filter should be used 6 for the safe operation of the PMT. For this intensity check the signal at 320.1 nm (position 6) 7 is measured. In old versions of the Brewer operating software, the selection of the ND filter to 8 be used in a direct-sun measurement is done through an automatic intensity check of the level 9 of the signal at position 6 (320.1 nm). For double-monochromator Brewers, the signal at the 10 other wavelengths (positions 3-5) is significantly weaker. Due to the shape of their spectral response, inlower than at 320.1 nm (position 6), due to the shape of the spectral response. 11 Thus, only the signal at position 6 is actually affected by the DT when it reaches the threshold 12 13 for setting a denser filter. This is not the case for single-monochromator Brewers and f, which have different spectral responses. For small ozone slant columns the signal at positions 4 and 14 15 5-(313.5 and 316.8 nm) is higher compared to than at position 6 320.1 nm and occasionally higher than the threshold used to set a higher density filter. -In such cases, the high-intensity 16 17 signals are more susceptible to the signals at these positions are greatly affected by the DT. 18 As discussed in Sect. 3.1, errors in DT, leading to errors -may induce important errors in the 19 correction of high-intensity signals, and consequently to in the derived TOC-values. As the 20 ozone slant column increases the intensity at positions 4 and 5 316.8 nm and at 313.5 nm 21 decreases faster and gradually becomes smaller lower than at position 6320.1 nm. Although the specific problem has been is solved in the more recent versions of the Brewer operating 22 23 softwarealgorithm, it remains important for past datasets or for instruments still operating 24 with an for cases when an old version of the softwarealgorithm is still in use.

# 25 **3.2.23** Combined effect of DT on ETC and TOC

In this section In order to investigate the combined effect of errors in DT errors on both the ETC derived by the Langley method and the direct-sun measurements used in the retrieval of the TOC is investigated, measurements, an analysis similar with the previous section is followed. Specifically, the dead time DT effects on the ETCs that were estimated for B005 and B185 in Sect.Sect. 3.2.1 were applied to the ETC that is used in reprocessing the direct\_31 sun measurements.

1 From Figs. (6), (77), and (8) it appears that the effect of falsely calculated ETC due to errors 2 in DT in the calculation of the ETC lead to changes in TOC of opposite sign compared to those caused by the correction of the signal with wrong DT.changes on TOC due to DT 3 errors. The first effect of DT errors on the ETC is dominant for large ozone slant columns, 4 5 while the second effect on the calculation of TOC is dominant for small ozone slant columns. Specifically, for large ozone slant columns the results are similar with those of Fig. (6), while 6 7 for small ozone slant columns the large changes in TOC shown in Fig. (7) are suppressed 8 since the two effects are balanced. Even in this case, a 10 ns change in DT leads to 3% change in the calculated TOC for B005. 9

#### 10 **3.2.3**3.2.4 Transfer of the ETC calibration from a reference instrument

The ETC is usually transferred from a reference to the Brewer being calibrated, instrument. 11 To achieve that, the two collocated instruments collect using a series of simultaneous TOC 12 measurements. Possible DT errors in DT in in either reference instrument or the instrument 13 14 being calibrated -may affect the calculation of the ETC.. Even if the reference instrument is a well maintained and calibrated MKIII Brewer for which the DT error is negligible, it is 15 16 difficult to quantify the effects of DT errors on the ETC solely from the calibrated instrument. 17 There are two different methods for transferring the ETC from the reference to the calibrated 18 instrument (Redondas and Rodriguez-Franco, 2012): 1) the "one-point calibration", where 19 only the ETC for the calibrated instrument is calculated and 2) the "two-point calibration", 20 where the differential ozone absorption coefficient is calculated at the same time with the 21 ETC coefficient (Kerr et al., 1981). The effect of possible DT errors in DT depends on the 22 method.

23 As shown in Fig. (7), the DT errors in DT affect the TOC measurements significantly when the intensity of the signal is high and/or the ozone slant column is smalllow. The difference in 24 TOC due to the use of an incorrect DT value cannot be eliminated simply by replacing 25 26 changing the ETC that has been derived from the incorrect DT. As it appears from Figs. (7)27 and Fig. (8), although a change in the ETC may partially or fully counteract the TOC errors 28 for small<del>low</del> ozone slant columns and high intensities, it leads to larger higher deviations 29 from the reference TOC for large high ozone slant columns and/or low intensities. If the two-30 point calibration is used, the differences observed in Fig. (7) can be balanced by a combined change of the ETC and the differential absorption coefficient-used for the calculations. This 31 32 way, the change of the ETC would suppress the effect of the DT error for low ozone slant columns, while the change of the differential absorption coefficient would counteract the differences in TOC for <u>large lower</u>-slant <u>columnspaths due to the change of the ETC</u>. It is obvious that the use of an incorrect DT leads to different ETCs between the one-point and the two-point calibrations. The DT effect when transferring the ETC from a reference instrument cannot be easily quantified for none of the two methods. However it is not expected to be more important than the impact of the same DT error on the ETC calculation with the Langley method.

#### 8 **3.3** Aerosol optical depth

9 Estimates of the AOD can be also derived from Brewer spectrophotometers using direct-sun 10 spectral measurements (Meleti and Cappellani, 2000; Kazadzis et al., 2007). As the error in 11 AOD is equal to the natural logarithm of the ratio between the erroneous and the correct signal, divided bywith the air mass,. We estimated that changes in the measured irradiance of 12 1% and 5% lead to changes of opposite sign in the absolute levels of the derived AOD of 13 14 about 0.01 and 0.05 respectively for air--mass close to unity. As can be seen from Fig. (4), for very high intensities errors of this level may arise from can be induced for very high 15 intensities by changes in the DT of 2 ns and 10 ns, respectively. The estimated errors in AOD 16 17 are inversely proportional to air \_mass. Considering that the overall <u>absolute</u> uncertainties in the calculation of AOD range between 0.05 and 0.07 (Kazadzis et al., 2007), only the effect of 18 large DT errors, of the order of 10\_ns, have is-important effects, even if the AOD has been 19 derived for small air--mass using high intensity measurements. 20

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# 22 4 Evaluation of the dead time for past datasets: Methods and difficulties

As already mentioned, in a Brewer's history there might be periods when the calculated DT 23 24 may differ from the one in use nominal by more than 2 ns. Interventions on the instrument, most of these cases, such as repairing problems in the electronics, resetting the high voltage, 25 or re-aligning the optics may could result in suppression of the differences between the 26 calculated DT and used DT constant (Grajnar et al., 2008; Kimlin et al., 2005). However, 27 during regular operation it is not always easy to decide assess whether the derived DT is the 28 actual real and whether if its application would improve the quality of the measurements. In 29 30 addition, unusual day-to-day variations of the calculated DT or indications of temperature or intensity dependence complicate further this assessmentdecision. For such cases, only 31

1 analysis of TOC measurements before and after an ND filters is set, or comparison with TOC
2 derived from co-located instruments or from satellites, can reveal whether the Brewer
3 measurements are properly corrected for non-linearity effects or not. Spectral UV irradiance is
4 more sensitive to changes in of the atmospheric constituents parameters and the SZA; thus it
5 is more difficult to get the same information by comparing datasets of spectral UV irradiance.

6 For example, in June of 2007 the preamplifier board of the PMT of B005 was replaced. 7 Before the replacement the mean measured DT was ~23 ns while the used DT constant was 8 34 ns. After the repair placement the measured DT agreed coincided very well with the DT 9 constantin use. In order to assess whether the mean measured DT measured during for the two 10 different periods provides the optimal signal correction, the TOC record was recalculated from intensities , then corrected with the mean measured DT and finally compared with 11 12 satellite data. The comparison was made for two periods: one month before and one month after the change of the preamplifier board. Data from the NASA EOS-Aura satellite, which 13 14 carries the Ozone Monitoring Instrument (OMI) were used. The specific satellite passes over 15 Thessaloniki, where B005 is located, daily close to local noon. For the comparison only clearsky measurements of TOC for air-mass below 1.15 were used. The ratio of the TOC derived 16 17 from the Brewer using two different for DT corrections (equal to 23 and 34 ns respectively) 18 and the OMI-TO3 (Bhartia et al., 2002) iwas plotted as a function of the measured intensity 19 (from B005) at 320.1 nm. If the Brewer measurements wereare properly corrected for non-20 linearity the ratio would should be independent of intensity. The ratios for the two periods, 21 normalized with the mean over each period to remove absolute biases, are shown in Fig. (9).

For the first period, the ratio derived for the DT <u>constant in use</u> shows a clear dependence on intensity (Fig. (9c)), which is practically removed when the measured DT <u>iwas</u> used (Fig. (9a)). For the second period, the DT <u>constant in use</u> (which now coincides with the measured DT) results in very small dependence <u>onfrom the</u> intensity (Fig. (9d)), whereas <u>when if</u> the mean DT of the first period <u>is were</u>-used the <u>ratio would</u>-depend<u>ence is</u> strongly <u>on intensity</u> (Fig. (9b)).

In a similar study the DT for B<u>070 rewer with serial number 070</u>-was found ~10\_ns below the DT constant<u>in use</u>, but when it was applied to the data the agreement with the TOC of the reference B183 became worse (Rodriguez-Franco et al., 2014). It must be clarified in this point that if the <u>TOC</u> ratio <u>of a Brewer between and a reference instrument (the ground-based</u> <u>or and the satellite borne)</u> <u>TOC (or between two different ground based instruments)</u> is

independent of the intensity of the signal, this does not necessarily mean that the used DT is 1 2 the actual real DT of its the PMT photon counting system. The dead time DT correction may also compensate for instrumental malfunctions or settings that lead to real or artificial non-3 linear behaviour of the instrument, as -fF or example, the combination of errors in the ETC 4 5 and the differential absorption coefficient. might be "translated" in a DT that is different from the calculated, when the TOC from a Brewer is compared with the TOC from a satellite or 6 7 another ground-based instrument. Thus the comparisons with the TOC from other instruments 8 provides an indication only of whether there are any remaining non-linearity issues after the 9 correction for the dead timeDT effect. Since non-linearity in the measurements of TOC may not be exclusively due to dead-timeDT, the DT that provides the optimal correction is not 10 11 necessarily the actual real DT of the system PMT. Therefore, lit is safer to check the validity 12 of DT with ND filters, as described in Sect. 2.3.

13

## 14 **5 Conclusions**

In this study, we assess the effects of <u>dead time DT</u> on different products that are delivered by Brewer spectrophotometers, such as, spectral UV irradiance, TOC and AOD. Moreover we assess the effectiveness of different methodologies to determine accurately the DT and the applied corrections to the measured radiation signals. The analysis of data from closure experiments and long\_-term measurements provides reliable estimates of the uncertainties associated with corrections applied for the <u>dead time DT</u> effects and reveals the importance for accurate determination of <del>the DT</del>.

22 From a or the theoretical point of view, the application of either the extended or the nonextended approaches on Brewer measurements provide similar estimates of the DT. However, 23 differences are revealed when the two approaches are applied for the correction of the signals. 24 For signals with count rates higher than  $\sim 2.5 \times 10^6$  counts/scounts  $\cdot$  s<sup>-1</sup> the non-extended 25 approach results in more than 1% lower signals compared to those derived from the extended 26 approach for the same value of the DT. As the signal count rate is decreasesing these 27 differences are gradually eliminated. There are strong indications that the photon counting 28 29 system of the Brewer is paralyzable and the currently used extended theory for the calculation of DT and the correction of the measurements provides accurate results. 30

In the current Brewer algorithm, nine iterations of Eq. (12) are performed for the correction of
 the measured signals. Here wWe have found that the corrected signal converges already after

5 iterations, independently of intensity and the value of DT, for both the extended and the
 non-extended approaches. However, there is no reason to suggest reducing e-the number of
 iterations operationally since the time saved from the extra iterations is imperceptible.

4 The correction of the dark signal for the <u>dead time\_DT</u> effect was found unnecessary, as long 5 as the level of the dark signal remains below  $10^4 \frac{\text{counts/s}}{\text{counts}}$ .

Further evaluation of the current algorithm for the determination of the DT indicates that 10 6 7 iterations of Eqs. (9) and (10) are enough to give accurate the results, are accurate as long as 8 the signals at slit mask-positions s-3 and 5 areis of similar level (i.e. within a factor of 2); 9 otherwise the . If the ratio between the count rates is outside these limits, then the DT constant is underestimated number of iterations has to be increased. Fifty iterations were found to be 10 enough to provide accurate results for signal ratios (N3/N5) between ~0.05 and 20. Increasing 11 12 the signal and the number of cycles reduces the noise and the uncertainty of the final products. Specifically, as long as the signal level remains above  $10^6$  counts/scounts s<sup>-1</sup>, 13 14 measurements with 10 cycles are sufficient to keep the uncertainty of the calculated DT below 15 3% (~1\_ns).

Measurements of the direct solar irradiance (which is usually stronger than the radiation of the 16 17 Brewer's internal standard lamp) provide more accurate estimates of the DT with lower uncertainty. In order to achieve that, the measurements should be performed at wavelength 18 19 settingss resulting in with-intensity ratio (N3/N7) ranging between 0.3-15 and 0.785 and, and signal level (at position 7) above  $10^6$  counts/scounts s<sup>-1</sup>, while the number of iterations of Eqs. 20 (9) and (10) has to be increased to 50. Since at some locations or seasons direct--sun 21 measurements might not be achievable for long periodstime, this method for estimating the 22 23 DT these should be used only complementarily to the standard--lamp-based method<del>determination of the DT</del>. Occasionally, the standard-lamp-based method <del>DT</del> can lead 24 to be very noisy results so that the derived DT values might not be the most suitable for the 25 correction of the signals. In such cases, and if direct--sun- based estimates of the DT are not 26 available, the DT can be determined by optimizing the spectral transmittance of ND filters 27 28 derived from measurements that are corrected with different DT values.

29 <u>The DT that provides the optimal signal correction has been estimated by performing</u> 30 <u>measurements of the irradiance from the sun and external lamps using different ND filters.</u> An 31 independent check of the DT that provides the optimum correction to the measured signals 32 <u>has been was also</u> achieved from short-term comparisons of the derived TOC with data from

satellites. The specific methodology can be used alternatively or complementarily to the 1 2 currently used methodologies. or from co-located stable instruments. However, assessing the accuracy of DT by comparison with data from other instruments this method might not be very 3 safe because other parameters may interfere.- Errors in parameters that are used to derive 4 5 TOC (e.g. ETC, differential absorption coefficient) might lead to artificial non-linearity in the final products which is subsequently balanced by the use of an incorrect DT when the data are 6 7 compared against data from reference instruments. Analysing TOC when ND filters change 8 (Rodriguez-Franco et al., 2014) or irradiances as described in Sect. 2.3.4 can lead to safer 9 conclusions.

10 Errors in Considering the correction of the UV irradiance spectral measurements are less than 2%, even for an error of 10 ns error in the DT does not induce errors greater than ~1.52% on 11 the calculated irradiance, as long as the signal count rate remains below  $2 \cdot 10^6$ 12 counts/scounts s<sup>-1</sup>. However, Tthe maximum signal count rate for global or direct sun UV 13 scans does not usually may be as high as exceed be of the order of 3 - 3.5x6 ---7 million  $10^{6}$ 14 <del>counts/s</del>counts·s<sup>-1</sup>. As the incident irradiance is getting closer to this limit the errors are 15 becoming more important. For such high signalscount rates, a 2 ns error in the DT results into 16 an error of  $\sim 12$ ---4% error in the irradiance, which risinges to  $\sim 510\%$  for 10 ns error in the 17 **DT**. For the calculation of TOC, the uncertainties related to dead time effect the DT are highly 18 19 dependent on the shape of the instrument's spectral response; thus on the type of the 20 instrument. For the double-monochromator Brewers, the error in TOC does not exceed 2%, 21 even for 10 ns error in the DT, while for single-monochromator Brewers the error may increase escalate to ~5%. The tolerance of 2 ns suggested by the manufacturer for the DT 22 23 error (Kipp & Zonen Inc., 2008; SCI-TEC Instruments Inc., 1999)Grajnar et al. (2008) has a negligible effect impact on TOC for double-monochromator Brewers, and up to 1% for 24 25 single-monochromator Brewers. Thus, according to Eq. (14), the 1- $\sigma$  uncertainty in TOC from single--monochromator Brewers, solely due to errors in DT is ~0.6%. As the target for the 26 27 total uncertainty in TOC measurements is 1% (Kerr et al., 1985), it is obvious that the 28 suggested this tolerance for the DT has to be lowered. The effect of DT errors in the 29 calculation of AOD is found to be of less importantee compared to errors in UV irradiance 30 and TOC.

Based on the results of this study we can summarize the following
<u>recommendations</u>:

1 The determination For the calculation of the dead timeDT from direct-sun measurements 2 of the sun, these should be used as performed complementary to the standard method with 3 the internal standard lamp. Measurements for for wavelengths and SZAs that ensure comparable signals at the two-slit-mask positions s - (3 and 5) that differ by more than an 4 5 order of magnitude should not be used to derive DT., To achieve uncertainty below ~1 ns in the determination of DT, it is recommended that 10 or more cycles are used with -and 6 for the signals at positionslit 7 that remain above  $10^6$  counts/scounts  $\cdot$ s<sup>-1</sup>. The number of 7 8 iterations of Eqs. (9) and (10) in the processing algorithm should be increased to 50 when 9 the ratio N3/N7 is less than  $\sim 0.3$ .

10 For the correction of the signal the nine iterations of Eq. (12) can be reduced to five without affecting the quality of the Brewer products. This will reduce the time required for several 12 operational routines.

- 13 Regarding the TOC measurements from single-monochromator Brewers, the tolerance of 2 ns in the DT error should be reduced changed to 1 ns. Additionally, before a ND filter is 14 set, the intensity at slit-mask positions 5 and 6 (for both the 320.1 and the 316.8 and 320.1 15 16 nm) should be checked in order to keep the maximum signal at all slits below the PMT 17 safety defined threshold.
- Lowering the intensity threshold for both the single- and the double-monochromator 18 19 Brewers is not recommended. Although it would lead to smaller reduce the uncertainties in TOC and AOD due to DT errors-, it would also reduce the accuracy of the measurements, 20 21 especially at slit-mask positions 2 and 3.
- In During global spectral irradiance measurements the signal may reach  $\frac{1}{2} \frac{3.5 \times 6}{10^6}$ 22  $\frac{\text{counts/scounts}^{-1}}{\text{counts}^{-1}}$  where the <u>effect\_impact\_of\_the DT</u> errors <u>in DT\_is\_becomesing</u> very 23 important. However, using different a-ND filters in order to reduce limit this e-effect 24 25 impact of the DT errors might result in to increased uncertainties due to errors in the 26 determination of the ND filters' transmittance (Redondas et al., 2011). Using a standard 27 ND filter to reduce the responsivity of Brewers that measure such high signals would also 28 reduce the accuracy of the for-measurements at shorterlower wavelengths. However, by 29 using two different ND filters, the one that is currently used for shortlower wavelengths and one of stronger attenuation for longer wavelengths, the signal can be kept within the 30 31 desired levels for the entire operational spectral range. The attenuation of the two ND filters can be implicitly taken into account during calibration. Furthermore, keeping the 32

uncertainties in the calculation of DT below 1 ns and applying an appropriate –postcorrection to the measurements using the optimal DT can also reduce errors to less than 2%.

This study has been accomplished in the framework of COST 1207 which aims ato 4 5 establishing a coherent network of European Brewer Spectrophotometer monitoring stations 6 and, among others, to harmonize operations and achieve consistency in quality control and 7 quality assurance. The results and the suggestions of the present study will hopefully 8 contribute to improve the quality of the Brewer products. Given that some Brewers are in 9 operation since the early 1980's, more accurate DT-correction for the dead time effect would 10 lead to more accurate detection of trends in ozone, global UV irradiance and other products, and to more reliable data that can be used for the validation of satellite products, and other for 11 several-applications in physical (Erickson III et al., 2015) and health (Lucas et al., 2015) 12 sciences. 13

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Figure 1. (a) <u>DT derived with the Eextended (e) and the non-extended (ne) approach DT for</u>
five Brewers with DT constants ranging from 19 to 42\_ns as a function of the number of
iterations. (b) Corrected counts/sec for different number of iterations and different DT. (eb)
Ratio between <u>signals the corrected using the non-extended and the non-extended approach</u>
DT as a function of the logarithm of the measured, uncorrected for the <u>dead time\_DT</u>, <u>signal</u>
(in count<u>s s<sup>-1</sup></u>), for 3 different values of DT rate.



Figure 2. DT as <u>derived by it is calculated with</u> the standard <u>Brewer</u> Brewer algorithmsoftware as a function of the ratio of signals at slit-mask, positions 3 and 7 (for different N3/N7) ratios and for 4-3 different reference DT values (15, 30 and 45 ns) using 10, 50 and 280 iterations.



Figure 3. (a) <u>Ratio of signals at 345 nm measured with ND filters 1 and 3 (optical densities</u>
0.5 and 1.5) and corrected with four different values of DT as a function of the signal
<u>measured with ND filter 1.Relative attenuation between positions 0 1 and 1 3 of FW#2 for</u>
irradiance signals at . (b) The optimum calculated DT value for different wavelengths and
different relative attenuations (pairs of ND filters). The derived mean values and the used DT
constant are also shown. All the results are for B185.



Figure 4. DT calculated from measurements of the standard lamp (high and low intensity) and the sun <u>as a function of day of year 2014</u> for three Brewers (a) <u>B</u>086, (b) <u>B</u>157, and (c) <u>B</u>185. The results are for 2014 and are presented as a function of DOY. Dashed lines represent the DT constant used by the instruments in each period.



Figure 5. Changes in UV irradiance as a function of intensity the measured signal due to
errors in the determination of the DT, for different values of the reference DT: (a) 15ns, (b)
30ns, and (c) 45ns, and (d) 60ns. The vertical dashed line marks the cut-off limit of ~1.75 · 10<sup>6</sup>
counts ·s<sup>-1</sup>, used for direct--sun measurements.





Figure 6. Changes (%) in the calculated TOC as a consequence of <u>due to changes in</u> the ETC change-resulting from <u>due to ±2</u> ns and ±10 ns change in the DT, as a function of <u>the</u> slant column of ozone <u>for</u>. Results are presented for B005 (a) and B185 (b). For B005 the used ETC has been changed by ±8 units for a ±2 ns change of the DT and by ±40 units for a ±10 ns change of the DT. For B185, the corresponding changes of the ETC are ±3 units and ±15 units.



Figure 7. Changes (%) in TOC derived calculated from direct-sun measurements due to <u>offsetting the DT by</u>  $\pm 2$  ns (a, c) and  $\pm 10$  ns (b, d) <del>changes in the DT</del>, as a function of ozone slant column, for B005 (a, b) and B185 (c, d). Different colors refer to data measured without (red) and with neutral density filters of optical density 0.5 (green), 1 (blue), 1.5 (yellow) and 2 (magenta). The reference DT is 34 ns for B005 and 29 ns for B185.



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Figure 8. Changes (%) in TOC calculated from direct-sun measurements due to ±2ns (a, c) and ±10ns (b, d) changes in the DT, as a function of ozone slant column, for B005 (a, b) and B185 (c, d). For each change of the DT, the ETC used for the calculations is subjected to the changes described in Sect.Sect. 3.2.1. Different colors refer to data measured without (red) and with neutral density filters of optical density 0.5 (green), 1 (blue), 1.5 (yellow) and 2 (magenta). The reference DT is 34 ns for B005 and 29 ns for B185.



Table 1. Uncertainty (1 $\sigma$ ) in % of in-the measured signal due to photon noise for different

Counts·s <sup>-1</sup>	<u>1 cycle</u>	2 cycles	4 cycles	<u>6 cycles</u>	10 cycles	20 cycles	<u>30 cycles</u>	40 cycles
$\underline{10^2}$	<u>29.53</u>	<u>20.88</u>	<u>14.76</u>	<u>12.05</u>	<u>9.34</u>	<u>6.60</u>	<u>5.39</u>	<u>4.67</u>
$\underline{10^3}$	<u>9.33</u>	<u>6.60</u>	<u>4.67</u>	<u>3.81</u>	<u>2.95</u>	<u>2.09</u>	<u>1.70</u>	<u>1.48</u>
<u>10</u> <sup>4</sup>	<u>2.95</u>	<u>2.09</u>	<u>1.48</u>	<u>1.21</u>	<u>0.93</u>	<u>0.66</u>	<u>0.54</u>	<u>0.47</u>
<u>10<sup>5</sup></u>	<u>0.93</u>	<u>0.66</u>	<u>0.47</u>	<u>0.38</u>	<u>0.30</u>	<u>0.21</u>	<u>0.17</u>	<u>0.15</u>
<u>10<sup>6</sup></u>	<u>0.29</u>	<u>0.21</u>	<u>0.15</u>	0.12	<u>0.09</u>	0.07	0.05	<u>0.05</u>

2 <u>levels of the signal and number of cycles.</u>