

1 Dead time effect on the Brewer measurements: Correction 2 and estimated uncertainties

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15 16 **Abstract**

17 Brewer spectrophotometers are widely used instruments which perform spectral
18 measurements of the direct, the scattered and the global solar UV irradiance. By processing
19 these measurements a variety of secondary products can be derived such as the total columns
20 of ozone, sulfur dioxide and nitrogen dioxide, and aerosol optical properties. Estimating and
21 limiting the uncertainties of the final products is of critical importance. High quality data have
22 a lot of applications and can provide accurate estimations of trends.

23 The dead time is specific for each instrument and improper correction of the raw data for its
24 effect may lead to important errors in the final products. The dead time value may change
25 with time and, with the currently used methodology, it cannot be always determined
26 accurately. For specific cases, such as for low ozone slant columns and high intensities of the
27 direct solar irradiance, the error in the retrieved TOC, due to a 10 ns change in the dead time
28 from its value in use, is found to be up to 5%. The error in the calculation of UV irradiance

1 can be as high as 12% near the maximum operational limit of light intensities. While in the
2 existing documentation it is indicated that the dead time effects are important when the error
3 in the used value is greater than 2 ns, we found that for single monochromator Brewers a 2 ns
4 error in the dead time may lead to errors above the limit of 1% in the calculation of TOC; thus
5 the tolerance limit should be lowered. A new routine for the determination of the dead time
6 from direct solar irradiance measurements has been created and tested and a validation of the
7 operational algorithm has been performed. Additionally, new methods for the estimation and
8 the validation of the dead time have been developed and are analytically described. Therefore,
9 the present study, in addition to highlighting the importance of the dead time for the
10 processing of Brewer datasets, also provides useful information for their quality control and
11 re-evaluation.

12

13 **1 Introduction**

14 In the beginning of the 1980's, the increased concern for the stratospheric ozone depletion
15 (Farman et al., 1985) and its effects on surface UV levels (Kerr and McElroy, 1993; Zerefos,
16 2002) stimulated the deployment of the first Brewer ozone spectrophotometers. Until 1996
17 Brewer instruments were manufactured by SCI-TEC Instruments Inc. at Canada. In 1996,
18 SCI-TEC Instruments Inc. merged with Kipp and Zonen BV and since then are produced at
19 Delft, the Netherlands. Nowadays, more than 200 instruments are deployed worldwide.
20 Brewers are either single monochromators (versions MKII, MKIV, and MKV) or double
21 monochromators (version MKIII) and may be equipped with multiple-board (MB) or single-
22 board (SB) electronics. Although of the same make, the characteristics of individual
23 instruments may differ significantly. The Brewer network provides a variety of products such
24 as the total columns of ozone (TOC) (Kerr et al., 1981), SO₂ (Cappellani and Bielli, 1995)
25 and NO₂ (Cede et al., 2006; Diémoz et al., 2014), the aerosol optical depth (AOD) (Bais et
26 al., 2005; Gröbner and Meleti, 2004; Meleti and Cappellani, 2000), as well as global and
27 direct irradiance spectra (Bais et al., 1996; Bais et al., 1993). These measurements have
28 supported scientific research for more than 30 years, enabling the investigation of their short-
29 and long-term variability (Glandorf et al., 2005; Weatherhead et al., 1998; Zerefos, 2002) and
30 interactions among them and among other atmospheric constituents (Bernhard et al., 2007).
31 Additionally, good quality ground based measurements are very useful for the validation of

1 satellite products which, under specific conditions, may be highly uncertain (Fioletov et al.,
2 2002).

3 The uncertainty in the TOC retrieval is estimated to about 1% (Kerr et al., 1985), while for
4 well-maintained and properly calibrated instruments, the uncertainty of UV spectral irradiance
5 is estimated to about 10% and 5% for the UVB and the UVA spectral regions respectively
6 (Bais et al., 1996). More recent studies indicated that the measurements can be largely
7 affected by the individual characteristics of each instrument (Gröbner et al., 2006) and that
8 proper corrections are needed in order to keep the uncertainties within the above mentioned
9 limits, or even reduce them further (Garane et al., 2006; Karppinen et al., 2014; Lakkala et al.,
10 2008).

11 Yet, there are uncertainties related to constructional, technical or operational characteristics of
12 the instruments, which are not adequately investigated and documented, and it is debatable
13 whether the applied relevant corrections are optimal. The dead time of the photon counting
14 systems used in the Brewers is one of these characteristics. The dead time is a measure of how
15 long a photon counting circuit is unable to detect a second photon after a first photon has been
16 detected (SCI-TEC Instruments Inc., 1999). The probability that a photon reaches the
17 counting system within this “dead” time interval increases with the rate of the overall
18 incoming photons (i.e. with intensity of radiation). Thus, the recorded signals have to be
19 properly corrected to compensate the non-linear response of the system due to the effect of the
20 dead time. For the correction a dead-time constant (DT) is used, which is initially determined
21 by the manufacturer, but during regular operation it is calculated and recorded on daily basis
22 by the Brewer operating software. Although the theoretical description of the dead time effect
23 and the methods to determine the DT and apply corrections to the data of Brewer
24 spectrophotometers have been adequately documented (Fountoulakis and Bais 2014; Kerr,
25 2010; Kiedron 2007; Kimlin et al., 2005; Redondas and Rodriguez-Franco 2012; Rodriguez-
26 Franco et al., 2014; Savastiouk 2005; SCI-TEC Instruments Inc., 1999), there is little
27 information regarding the associated uncertainties. Additionally, it is still not clear whether
28 the currently used algorithm in Brewers is the most appropriate (Redondas et al., 2012). The
29 present study aims at filling this gap in knowledge and to effectively contribute to the
30 reduction of the uncertainties of the products derived from Brewer spectrophotometers.

31 The objectives of this study have been addressed both experimentally and theoretically. Data
32 from five different Brewers were processed and analysed, specifically, from the double

1 monochromator (type MKIII) Brewers with serial numbers 086 (B086), 157 (B157), 183
2 (B183) and 185 (B185) and from the single monochromator (type MKII) Brewer with serial
3 number 005 (B005). B005 and B086 operate at the Laboratory of Atmospheric Physics,
4 Aristotle University of Thessaloniki, Greece (40.634° N, 22.956° E, 60m a.s.l.). The Brewer
5 spectrophotometers B157, B183 and B185 form the RBCC-E triad and are installed at the
6 Izaña Atmospheric Research Center (28.309° N, 16.499° N, 2373m a.s.l.). The same
7 instruments were used in the closure experiments conducted for this study.

8

9 **2 Dead time: calculation and correction of signal**

10 **2.1 The radiation detection system**

11 The Brewer spectrophotometers use a photomultiplier tube (PMT) and a photon counting
12 circuit for the detection and counting of the photons passing through the exit slit of the
13 monochromator. A fraction of the photons that reach the PMT generate photon pulses,
14 according to the quantum efficiency of the PMT (Haus, 2010), and are recorded as counts.
15 The quantum efficiency is a function of wavelength and is taken implicitly into account
16 during the calibration. Low voltage pulses, which are more likely electronic noise and not
17 radiation-induced signal, are filtered out using a voltage discriminator usually set to ~30 mV
18 (Kerr, 2010). Thus, the recorded signal is the sum of counts that have been generated from
19 photon pulses and counts from thermal noise of the electronics that were not filtered out by
20 the discriminator. The latter are usually referred as “dark counts” (or dark signal) and have to
21 be subtracted from the recorded signal. The dark signal is measured by blocking the incoming
22 radiation as part of each sample and is stored on all data records.

23 The DT depends on the type and the configuration of the used PMT (Kapusta et al., 2015),
24 thus it is specific for each instrument. For most Brewers the DT is between 15 and 45 ns. The
25 probability of a photon to reach the counting system within the dead time increases with
26 increasing signal (Kerr, 2010; Kipp & Zonen Inc., 2008; SCI-TEC Instruments Inc., 1999);
27 thus the effect of the dead time is more important for higher signals. During regular operation,
28 DT is calculated by measuring and comparing different levels of radiation emitted by an
29 internal quartz-halogen 20 Watt lamp (standard lamp). The accuracy of the determined DT
30 depends strongly on the signal to noise ratio, thus on the level of the lamp’s signal. A weak
31 signal may lead to large uncertainties. Since the operation of the lamp depends on other

1 electronic circuits in the instrument, it is not always easy to assess the effect of these factors
2 on the calculated values of DT.

3 During the setup of a Brewer spectrophotometer, the high voltage of the PMT is set to a value
4 where the slope of the intensity vs voltage is small, so that small shifts in the high voltage do
5 not affect significantly the signal, and the signal to noise ratio is adequately high (Kipp &
6 Zonen Inc., 2008). The characteristics of the PMT and the counting system may gradually
7 change with time so that re-adjustment of the high voltage is occasionally necessary. If the
8 high voltage is not properly adjusted the response of the instrument is no longer linear, even
9 for low-intensity signals and high uncertainties are induced both in the calculation of DT and
10 the correction of the signal.

11 When the signal is very high (e.g. of the order of 10^7 counts·s⁻¹) the detection system is
12 saturated and the measurements cannot be corrected for the non-linear response (Kapusta et
13 al., 2015; Schätzel, 1986). Thus, during regular operation, different neutral density (ND)
14 attenuation filters are used to control the signal to within appropriate levels. The range of the
15 detected signals is different for different types of measurements, thus different ND filters are
16 required. For example, during direct-sun measurements ND filters are used to maintain the
17 signal below $\sim 2 \cdot 10^6$ counts·s⁻¹, and if for any reason the signal exceeds $7 \cdot 10^6$ counts·s⁻¹ the
18 measurements are automatically interrupted. For spectral scans of the global UV irradiance
19 one specific ND filter is used in each instrument depending on its sensitivity. Although this
20 filter keeps the signal below 10^7 counts·s⁻¹, strong signals of $3\text{-}6 \cdot 10^6$ counts·s⁻¹ are not
21 unusual.

22 Measurements of very low signals have large uncertainties. For a mean rate of photons, N,
23 that reach the detector, individual measurements may differ from each other due to the
24 quantized nature of light and the independence of photon detection (Hasinoff, 2014). Since
25 photon counting is a classic Poisson process, the Poisson (photon) noise of the measurements
26 decreases with increasing sampling time. For N photons measured within a time interval t, the
27 fractional 1σ precision is:

$$28 \quad \frac{\Delta S}{S} = \frac{1}{\sqrt{Nt}} \quad (1)$$

29 As further explained in the following, the sampling time of a measurement is defined by the
30 rotating mask which moves (cycles) before the exit slits of the spectrometer. In each position
31 of the mask photons from only one slit are allowed to reach the PMT, for a time interval of

1 0.1147 s. Examples of the uncertainty for different signal levels and commonly used sampling
2 times (number of cycles of the mask multiplied by 0.1147 ns) are presented in Table 1.
3 According to Grajnar et al., (2008) the ideal operating range for the Brewer is between one
4 and two million counts·s⁻¹.

5 At the exit of the monochromator there are six exit slits through which the radiation dispersed
6 by the monochromator is directed to the PMT. When the monochromator is set for ozone
7 observations the nominal wavelengths ($\lambda_{0\rightarrow5}$) corresponding to each slit are 303.2, 306.3,
8 310.1, 313.5, 316.8 and 320.1 nm respectively. Each exit slit can be opened individually,
9 while the others are blocked, by a rotating mask which is synchronized with the photon
10 counting system. The six wavelengths ($\lambda_{0\rightarrow5}$) correspond to positions 0, 2, 3, 4, 5 and 6 of the
11 mask, respectively. There are two extra positions: 1, when all slits are blocked and is used to
12 determine the dark signal, and 7, when two slits corresponding to λ_2 and λ_4 are opened
13 simultaneously, allowing the radiation of both wavelengths to reach the PMT.

14 The DT of a Brewer spectrophotometer is determined according to the following procedure:
15 The radiation emitted by the standard lamp at 306.3 and 313.5 nm is measured sequentially by
16 setting the rotating mask at positions 3 and 5 respectively, followed by a simultaneous
17 measurement of both wavelengths by setting the mask to position 7. The sequence is repeated
18 5 times for a high-intensity signal (using a filter with low attenuation) and 10 times for a low-
19 intensity signal (using a filter of high attenuation) and the mean and standard deviation for
20 each set are calculated. Measurements are considered reliable when the standard deviation is
21 less than 2.5 ns for high-intensity and 20 ns for low-intensity signals (Grajnar et al., 2008).
22 When the high voltage of the PMT is properly adjusted the results from the high- and the low-
23 intensity measurements should agree to within two standard deviations of the former.
24 Although the DT used for the correction of measurements should be within 2 ns of the value
25 calculated daily, during the regular operation differences of 2 to 10 ns or even larger (e.g. in
26 B086) are often encountered (Redondas et al., 2012, Rodriguez-Franco et al., 2014). It is not
27 always easy to identify the causes of these differences between the calculated and the used DT
28 or between the DT from the high and the low intensity measurements, and whether the DT in
29 use should be set to a new value. Such differences may arise from problems in the optical,
30 mechanical or electronic parts of the instrument (Grajnar et al., 2008).

1 2.2 Theoretical approach for determining the dead-time constant

2 Since a portion of the photons are lost due to dead time, the Brewer measurements have no
3 longer Poisson distribution. Thus, Eq. (1) underestimates the 1σ precision and should be
4 replaced by the more precise Eq. (2) which takes into account the dead time effect (Kiedron,
5 2007):

$$6 \frac{\Delta S}{S} = \frac{1}{1 - \tau \cdot N_I} \cdot \sqrt{\frac{1}{N_M \cdot t} - \frac{\tau}{t} \cdot (2 - \frac{\tau}{t})} \quad (2)$$

7 where N_I and N_M are the rate of photons that generate pulses (in photons \cdot s $^{-1}$) and the detected
8 pulses (in counts \cdot s $^{-1}$) respectively, t is the sampling time, and τ is the DT. As long as N_M
9 remains well below $\sim 3 \cdot 10^6$ counts \cdot s $^{-1}$, the results from Eqs. (1) and (2) agree to within 2%,
10 while even for count rates close to $6 \cdot 10^6$ the difference is less than 10% (Kiedron, 2007).

11 The algorithms that have been developed for the calculation of DT and the correction of the
12 signal are both based on Poisson statistics. According to Schätzel (1986), the average number
13 of pulses generated within τ is given by:

$$14 \mu = N_I \cdot \tau \quad (3)$$

15 For a Poisson distribution the probability $P(k)$ of k pulses within τ is then given by:

$$16 P(k) = \frac{1}{k!} \cdot e^{-\mu} \cdot \mu^k \quad (4)$$

17 The sum of probabilities for all values of k (0 to infinity) equals unity. The probability of
18 exactly one pulse within τ is:

$$19 P(k = 1) = \frac{1}{1!} \cdot e^{-\mu} \cdot \mu^1 = e^{-\mu} \quad (5)$$

20 while the probability for one or more pulses within τ is:

$$21 P(k \geq 1) = 1 - P(k = 0) = 1 - e^{-\mu} \quad (6)$$

22 Using Eqs. (5) and (6), the ratio of the detected to generated photon pulses is then:

$$23 R = \frac{N_M}{N_I} = \frac{P(k = 1)}{P(k \geq 1)} = \frac{\mu \cdot e^{-\mu}}{1 - e^{-\mu}} \approx \frac{\mu \cdot e^{-\mu}}{1 - [1 - \mu + \frac{\mu^2}{2} - \dots]} \approx e^{-\mu} \quad (7)$$

24 By replacing μ from (3), (7) can be written as:

$$1 \quad R = \frac{N_M}{N_I} = e^{-N_I \cdot \tau} \quad (8)$$

2 In the Brewer software, Eq. (8) is applied separately to the count rates for slit-mask positions
3 3, 5 and 7, by setting $N_I^0 = N_M$ as an initial guess and then by iterating (index j) over the
4 rearranged expression:

$$5 \quad N_{Ii}^{j+1} = N_{Mi} \cdot e^{N_{Ii}^j \cdot \tau^j} \quad (9)$$

6 For each iteration, τ^j is determined by:

$$7 \quad \tau^j = \frac{1}{N_{I7}^j} \cdot \ln\left(\frac{N_{I7}^j}{N_{M7}}\right), \quad \text{with} \quad N_{I7}^j = N_{M3}^j + N_{M5}^j \quad (10)$$

8 After 10 iterations of Eqs. (9) and (10), τ converges to a value that defines DT.

9 Once DT is determined, the signals (count rates) measured by the Brewer are corrected for the
10 dead time effect through:

$$11 \quad N_I^{j+1} = N_M \cdot e^{N_I^j \cdot \tau} \quad (11)$$

12 After 9 iterations of Eq. (11) the result converges to the corrected signal N_I^9 .

13 Given the Poisson nature of photon statistics, there are two formulas that are commonly used
14 to calculate DT (Schätzel, 1986; Yu and Fessler, 2000), which depend on the nature of the
15 counting system. For Brewers, the relevant algorithm is based on the assumption that all
16 photons, either recorded by the counting system or lost, trigger a new dead time period
17 (paralyzable system) and the extended formula (Eq. (8)) is used. If it is assumed that the dead
18 time is triggered only by the photons that are recorded by the counting system (non-
19 paralyzable system) then the following, non-extended formula applies (Schätzel, 1986; Yu
20 and Fessler, 2000):

$$21 \quad R = \frac{1}{1 + \tau \cdot N_I} \quad (12)$$

22 Equation (12) is derived from Eq. (8) by assuming a very small value of τ and by replacing
23 the exponential term with its Taylor expansion. Though, it describes more accurately the
24 effect of DT on non-paralyzable systems (Schätzel, 1986; Yu and Fessler, 2000).
25 Subsequently, a new formula corresponding to Eq. (11) can be derived:

1
$$N_I^{j+1} = N_M \cdot (1 + \tau \cdot N_I^j) \tag{13}$$

2 Although the extended formula is used in the Brewer operating algorithm, it is debatable
3 whether the photon counting system of the Brewer is paralyzable or not. Kiedron (2007) has
4 questioned the appropriateness of this formula for use in Brewers. Additionally, the
5 simplifications of Eq. (7) and the assumption that $N_{I7}=N_{M3}+N_{M5}$ in Eq. (10) that are assumed
6 in the Brewer algorithm could lead to systematic underestimation of DT and subsequently to
7 underestimation of the corrected signal. These concerns are addressed in the following.

8

9 **2.3 Experimental evaluation of the determination of DT**

10 **2.3.1 Extended and non-extended formula**

11 The DT for five Brewers was calculated using the two different approaches, expressed by
12 Eqs. (11) and (13), in order to assess the resulting differences. Typical count rates from the
13 high-intensity dead-time test of the Brewer operating software were used in the calculations.
14 The results are presented in Fig. 1a. For both cases the calculated DT converges after 10
15 iterations, while the differences in the final DT are negligible. Specifically, the DT derived
16 from the non-extended formula (Eq. (13)) is smaller by less than 0.5 ns than the DT from the
17 extended formula (Eq. (11)).

18 In order to estimate the differences in the final products for a paralyzable and a non-
19 paralyzable system, signals from 0 to $7 \cdot 10^6$ counts·s⁻¹ were assumed and corrected for the
20 dead time effect using both formulas for DT values ranging from 15 to 45 ns (Fig. 1b). It is
21 noteworthy that the corrected signal was found to converge after 4-5 iterations, for both
22 methodologies. This implies that it might not be necessary to use 9 iterations in the Brewer
23 software for the correction of the signal. For signals below $\sim 2 \cdot 10^6$ counts·s⁻¹ the differences
24 between the corrected signals with the two methods are less than 0.5%. For higher signals the
25 differences become more important; though, as long as the signal is below $\sim 3 \cdot 10^6$ counts·s⁻¹,
26 the differences are still less than 1.5%. Thus, even if the Brewer counting system is non-
27 paralyzable, the currently used algorithm does not induce important errors for the usual range
28 of signals in direct-sun measurements (between 0 and $2 \cdot 10^6$ counts·s⁻¹). For signals higher

1 than $\sim 3 \cdot 10^6$ counts \cdot s $^{-1}$, which are common for global UV irradiance measurements, and for
2 DT greater than 30 ns the corrected signal may be significantly overestimated.

3 2.3.2 Artificial biases

4 In order to determine the conditions under which the standard Brewer algorithm does not
5 induce artificial biases in the results the following procedure was followed: Theoretical values
6 for the measured count rates N_M were estimated from Eq. (8) assuming different rates of
7 photon pulses N_I and different reference DT values and the DT was recalculated from (9) and
8 (10). As long as the ratio of signals at positions 3 (or 5) and 7 of the slit mask, N_3/N_7 (or
9 N_5/N_7), remains between 0.25 and 0.5 and the signal at position 7 (N_7) remains below 10^7
10 counts \cdot s $^{-1}$, the calculated and the reference DT coincide.

11 When the internal standard lamp is used to calculate DT, the ratio N_3/N_7 is usually ~ 0.4 and
12 10 iterations of Eqs. (9) and (10) are enough to provide an accurate result. However, as it will
13 be discussed later, if a different radiation source (e.g. the Sun) is used to derive DT then the
14 ratio N_3/N_7 might be much smaller. As shown in Fig. 2, when this ratio is less than ~ 0.25 ,
15 more iterations are needed to achieve an accurate estimate of DT; otherwise DT is
16 underestimated. For N_3/N_7 above 0.05, at least 50 iterations are required to derive an estimate
17 close to the reference DT, while for N_3/N_7 above 0.01 the required number of iterations
18 increases to 300. The results shown in Fig. 2 were found independent of the signal at position
19 7 for signal levels between 10^2 and 10^7 counts \cdot s $^{-1}$.

20 2.3.3 Dark signal

21 In the Brewer algorithm, prior to the dead time correction, the dark signal is subtracted from
22 the measured signal (Kerr, 2010). However, Kiedron (2007) suggested that before subtracting
23 the dark signal both the measured and the dark signals should first be corrected for the dead
24 time effect. In the same study it was suggested that even though the dark pulses have no
25 Poisson distribution, the correction of both the dark and the measured signals through Eq. (12)
26 should lead to more accurate signals than without applying dead-time correction to the dark
27 signal.

28 To assess the importance of this suggestion, an attempt was made to quantify the differences
29 arising in the final signals. Different levels of measured signals, ranging from 0 to $7 \cdot 10^6$
30 counts \cdot s $^{-1}$, were assumed, as well as dark signals, ranging from 0 to 10^5 counts \cdot s $^{-1}$, which were

1 added to the former. The derived signals were corrected for the dead time effect and the dark
2 signal using both methods (operational in Brewers and suggested by Kiedron, (2007)) and the
3 resulting corrected signals were compared. The differences are smaller than 0.2% for dark
4 signals below 10^4 counts \cdot s $^{-1}$. Considering that the dark signal in Brewer measurements during
5 normal operation is generally well below this level, the correction of the dark signal for the
6 effect of dead time would not have important impact on the final results. For exceptional
7 cases when the dark signal exceeds this limit, the difference increases fast, but stays below
8 ~1% even for a dark signal of 10^5 counts \cdot s $^{-1}$ and for DT of 45 ns.

9 2.3.4 Simplifications in the algorithm

10 In order to assess the effect of the simplifications in Eq. (7) or due to other issues that have
11 not been taken into account, the following experiment has been made:

12 Spectral measurements of the radiation emitted by 3 different sources (the Sun, an external
13 1000 W DXW lamp and the internal 20 W standard lamp) were performed in steps of 5 nm
14 for the operational spectral range of the Brewers that were used. Different levels of the signal
15 were achieved using the internal ND filters, different distances (for the external lamp), or
16 different solar zenith angles (for the Sun). Measurements of the 1000 W lamp (serial number
17 1005) were performed at the Izaña Atmospheric Research Center for different distances
18 ranging from 40 cm to 115 cm, measured between the lamp and the center of the quartz
19 window of the Brewer. The lamp mount could move vertically on a metal rod of 1 m length.
20 When an external lamp is positioned at such short distances, the geometry of the radiation
21 entering the fore-optics of the Brewer is very different from the geometry of the Sun's rays.
22 Additionally, for different distances the radiation does not necessarily originate from the same
23 area of the lamp's filament (Kazadzis et al., 2005). However, for the specific experiment these
24 factors are not important because measurements at different positions of the lamp are not
25 compared to each other. What is important is that the spectrum of the emitted radiation does
26 not change during measurements for each specific position of the lamp. This was ensured by
27 monitoring continuously the intensity and the voltage of the lamp.

28 The relative attenuation between different ND filters was calculated (Sellitto et al., 2006;
29 Redondas et al. 2011) for the standard lamp, for different distances of the external lamp and
30 for different angles of the Sun. All measurements were corrected for the dead time using
31 several values of the DT ranging from zero to about twice the used DT in steps of 0.1 ns.

1 Then, spectral ratios of the signals (relative attenuation) for all pairs of ND filters were
2 calculated. Assuming that the response of each instrument is non-linear (intensity dependent)
3 exclusively due to dead-time effect, correction of the signal with the proper DT (and method)
4 should eliminate the non-linearity. For all wavelengths, the optimal correction should lead to
5 ratios that are independent of the intensity of the incident radiation. This also suggests that
6 correcting the measurements used to derive the relative attenuation of the ND filters with a
7 wrong DT might lead to significant errors. For these measurements, it is important that the
8 high voltage of the PMT is optimal and that the separation between signal and noise works
9 properly, otherwise the results may be misleading. An example for one pair of ND filters and
10 one wavelength is shown for B185 in Fig. 3. From Fig. 3a, the optimum DT for which the
11 calculated attenuation is independent of the measured signal is found at 29.6 ns while for
12 different values of DT the derived relative attenuation depends on the level of the signal.

13 The above described procedure was repeated for all wavelengths and for all possible
14 combinations of filters. Measurements for which the precision, according to (1), is lower than
15 2% were not used in the analysis, as well as measurements with signals (before applying the
16 dead time correction) above $5 \cdot 10^6$ counts \cdot s $^{-1}$. Additionally, some outliers resulting from spikes
17 (Meinander et al., 2003) were rejected by visual inspection. The mean DT and the
18 corresponding standard deviation were then calculated and are shown in Fig. 3b. For B185,
19 the DT that yields the optimum correction is very close to the DT in use and the mean DT
20 calculated regularly from the standard lamp measurements. The standard deviation is nearly 2
21 ns.

22 The same test was performed using B086, operating at Thessaloniki. In this case moving the
23 1000 W lamp vertically was impossible; thus the lamp was fixed at a standard distance of
24 about 40 cm from the center of the quartz window and different intensities were achieved by
25 adjusting the current of the lamp. The test was performed for two periods with different
26 calculated mean DT. In both cases the results were within ~ 1 ns from the mean DT calculated
27 with the standard procedure, and the standard deviation was again of the order of 2 ns. The
28 test is more uncertain when applied on single-monochromator Brewers, mainly due to the
29 stray-light effect (Karppinen et al., (2014) and references therein).

30 If the counting systems of B086 or B185 were non-paralyzable the corrected signal would be
31 significantly overestimated for signals above $\sim 3 \cdot 10^6$ counts \cdot s $^{-1}$ and would lead to
32 overestimation of the ratios. Thus the estimated DT from the ND filters that provides the

1 optimal correction would be lower than the DT calculated from the standard lamp. The fact
2 that this is not happening is a strong indication that the photon counting systems of B086 and
3 B185 are paralyzable, so the correction of the measurements using the extended formula is
4 accurate. The results also reveal that the algorithm currently used is reliable and provides an
5 accurate estimation of the DT.

6 **2.4 Determination of DT from solar measurements**

7 Using the standard lamp as a radiation source for the determination of the DT may
8 occasionally lead to uncertain and noisy results, especially when the signal of the lamp (thus
9 the accuracy of the measurements) is weak. In such cases, in addition to errors induced in the
10 correction of the measured signals, it is difficult to detect possible problems (of mechanical or
11 electronic origin) that may affect the determination of the DT. The operation of the lamp is
12 not independent of the operation of the other electronic circuits of the instrument. Thus, it is
13 not always easy to detect if the observed changes in DT are real. The sun is a more reliable
14 and stable (under specific conditions) source compared to the standard lamp; thus using the
15 solar measurements for the calculation of the DT would eliminate a great part of the
16 uncertainties. Problems may arise when the sun is partially or fully covered by clouds,
17 resulting to rapidly changing or very low intensity, respectively, and increased uncertainties in
18 the determination of DT. Thus, this method is unsuitable for locations with long periods of
19 cloudiness. Other factors that may increase the uncertainty of the derived DT are changes in
20 intensity of direct solar radiation due fast changes in the SZA early in the morning or late in
21 the evening and in the concentration of various absorbing or scattering atmospheric
22 constituents.

23 New routines for the determination of the DT from direct-sun measurements were developed
24 and tested on Brewers 005, 086, 157, 183, and 185 for a period of about 10 months. The
25 methodology is very similar to that used with the standard lamp (Sect. 2.1), but the number of
26 iterations has increased from 10 to 50, to avoid underestimation of the calculated DT due to
27 small values of the ratio N_3/N_7 . Concerning the measurement procedure, the zenith prism is
28 directed towards the Sun, appropriate ND filters are used to avoid overexposure of the PMT,
29 and the DT is calculated only for one signal level, instead of 2 (high and low intensity) that
30 are used with the standard lamp. The implementation of the specific routine aims mainly at
31 reducing the uncertainty in the DT calculation, as complementary to the standard algorithm
32 that uses the standard lamp. Measurements at two different signal levels are not applicable in

1 this case, since the DT is calculated for a wide range of intensities due to the large temporal
2 variability of solar radiation. Usually 10 cycles of the slit mask were used for each DT
3 measurement. For a certain period the routine was run in B185 with 40 cycles in order to
4 increase the accuracy in the determination of the DT.

5 At Thessaloniki, five consecutive measurements were performed each time and then the mean
6 DT and the standard deviation were derived. The gratings of B086 were moved to a position
7 where the ratio N3/N7 remains between about 0.3 and 0.5. At Izaña, the mean DT and the
8 standard deviation were derived from four consecutive measurements, with the gratings set at
9 the ozone measurement position. In this analysis all measurements with standard deviation
10 higher than 1.5 ns and with signal at position 7 below 10^5 counts·s⁻¹ were rejected. To avoid
11 very low signal levels at positions 3 and 5, only measurements for N3/N7 ratios between 0.15
12 and 0.85 were used. In Fig. 4, the DT derived for three of the five Brewers investigated is
13 presented as a function of day of the year (DOY).

14 For B086, the DT derived from the standard lamp is much noisier than from the sun during
15 the first months of the year. This is due to the very low intensity of the standard lamp used in
16 that period. In April (DOY 94) the standard lamp was replaced with one of higher intensity,
17 which resulted in substantial reduction of the noise in the estimated DT. Further improvement
18 in the DT results can be observed after DOY 142, when the number of cycles was increased
19 from 10 to 20. During the analysed period, the DT derived from direct-sun measurements is
20 very stable and less noisy than the DT from the standard lamp (from both high- and low-
21 intensity measurements). Prior to August 2014 (DOY 220) the direct-sun based determination
22 of the DT was performed only once per day near the local noon (SZAs ranging from ~ 63° in
23 December to ~ 17° in June) in order to achieve stable and high-intensity signal. Although the
24 noise was very low during that period, there are too few measurements available. Since the
25 beginning of August, several measurements were performed each day at different SZAs
26 between ~75° and the local noon; thus the amount of available data has increased, but also the
27 noise. The response of B086 is low; therefore reduction in intensity is reflected immediately
28 on the estimated DT. The DT derived during the period of study is about 38–39 ns, 3–4 ns
29 lower than the used DT, and is independent of the radiation source.

30 For B157, the agreement between the DT from the standard lamp and the Sun is very good.
31 Some outliers in DT derived from the direct-sun measurements are due to data of low
32 intensity recorded at large SZAs. As for B086, the DT derived for B157 from both the

1 standard lamp and the sun is 4–5 ns lower than the DT of 32 ns used in regular operation.
2 Considering that B157 is one of the standard Brewer triad instruments of AEMET, the DT
3 used for the signal correction should be reduced by 4–5 ns, at least for the specific period. The
4 most possible reason for the difference between the calculated and the used DT is the gradual
5 change of the characteristics of the photon counting system.

6 For B185, the second triad Brewer, the results are similar with B157. For the first part of 2014
7 (before DOY 190), the mean measured DT is lower than the used DT during this period. In
8 this day the operationally used DT was changed from 33 to 29 ns, after ensuring that no
9 realignment of the optics and no resetting of the PMT were needed, following the suggestions
10 of Grajnar et al., (2008). The mean DT from the sun and the standard lamp are in good
11 agreement during the entire period of measurements. After DOY 190 the spread in the DT
12 from the direct-sun measurements is smaller compared to the previous period (and compared
13 to the high intensity DT from the standard lamp) as a result of the increase in the number of
14 cycles from 10 to 40. The conclusions from the analysis of the DT for B086, B157 and B185
15 are valid also for B005 and B183; thus their results are not presented.

16 Rodriguez-Franco et al (2014) suggested that the calculated DT is not necessarily the one
17 which provides the optimal signal correction and further investigation is needed. The present
18 study showed that the procedure used for the determination of the DT provides accurate
19 results as long as the measurements are performed under appropriate conditions (as already
20 discussed in sections 2.1–2.3 and is further discussed in Sect. 2.5). However, even if the
21 correct DT is known and used, there are other factors that might lead to non-linear responses
22 of the photon detection system which might be falsely perceived as improper DT correction.

23 **2.5 Factors affecting the determination of DT**

24 In order to determine the optimum instrumental settings for the calculation of the DT,
25 continuous direct-sun measurements were performed at Izaña with B185 during two
26 consecutive cloud-free days with nearly zero aerosol optical depth. Every about 40 min, five
27 consecutive DT measurements were performed using each time different grating settings,
28 corresponding to different wavelengths at the two exit slits. The five wavelengths
29 corresponding to position 3 of the slit mask are 306.3, 317, 331.5, 345 and 354.5 nm, while
30 for position 5 the five wavelengths are about 7 nm longer. This way, measurements for
31 different intensities, wavelengths, and N3/N7 ratios were performed for very similar SZAs

1 and atmospheric conditions. During the first day, 40 cycles were used for the first set of
2 wavelengths (306.3 nm at position 3) and 10 cycles for the other four sets. During the second
3 day, the number of cycles was changed to 10 and 5 respectively.

4 Due to the different combinations of wavelengths the recorded N3/N7 ratio ranges from 0.05
5 to 0.5. The DT derived from measurements with N3/N7 ratios between 0.15 and 0.5 is very
6 close to the used DT constant (29ns). For the same value the optimum DT correction was
7 achieved, as discussed in Sect. 2.3. For ratios lower than 0.15 the spread of the derived DT is
8 very large and its mean values are smaller than the used DT, even after 10000 iterations of
9 Eqs. (9) and (10), possibly due to the increased noise in the lower intensity measurement (at
10 position 3 or 5). The intensity of radiation does not affect the calculated DT, but is important
11 for the uncertainty of the measurements. The calculated standard deviation decreases with
12 increasing signal. For signal at position 7 between 500,000 and 1,000,000 counts·s⁻¹ the
13 standard deviation of DT is smaller than 5% (~2ns) when 10 or more cycles are used in the
14 measurements. For signals higher than 10⁶ counts·s⁻¹ the standard deviation is below 3%
15 (~1ns) and decreases even more for higher signals. Larger number of cycles leads to smaller
16 standard deviation and therefore reduced uncertainty in the determination of the DT. When
17 the number of cycles increases from 5 to 10 the standard deviation decreases by a factor of 2.
18 The same fractional decrease in the standard deviation is found also when the number of
19 cycles increases from 10 to 40. It should be noted that no wavelength dependence was
20 detected in the determination of the DT. Finally, calculation of the DT using the standard
21 lamp for different temperatures within a specific day revealed no changes for temperatures
22 ranging from 17 to 35°C.

23

24 **3 Effects of DT on the uncertainties of the final products**

25 In the following, an attempt is made to quantify the main uncertainties in the calculation of
26 UV irradiance, TOC and AOD due to errors in the estimation of the DT. Effects in the
27 calculation of the total columns of SO₂ and NO₂ are not discussed, because uncertainties from
28 other sources are much higher, due to the usually small column amounts (the order of a few
29 DU) of these species (Fioletov et al., 1998; Wenig et al., 2008). Errors in DT are also
30 expected to affect the results of different diagnostic tests in the Brewer, such as the measured
31 intensity of the internal lamps and the determination of the transmittance of the ND filters,
32 which in turn may affect the accuracy of the final products. Although it is difficult to quantify

1 these uncertainties, they are believed to be of less importance compared to those discussed
2 below.

3 **3.1 UV irradiance**

4 The spectral irradiance measured by the Brewer generally ranges between 10^{-6} and $1 \text{ W}\cdot\text{m}^{-2}$,
5 and is calculated by multiplying the corrected for the effect of DT signal N_I with a proper
6 calibration function. Thus, uncertainties in N_I due to inaccurate DT correction of the raw
7 signal N_M are directly transferred to the final product. The effect of a specific error in DT on
8 UV irradiance depends on the measured signal N_M and the actual value of the DT, and can be
9 estimated by Eq. (11). Fig. 5 shows the effect on irradiance caused by deviations in the used
10 DT in the range $\pm 2\text{ns} - \pm 10\text{ns}$ from three characteristic reference values as a function of N_M .
11 The effect of an error in DT was investigated for signals in the range $0 - 7\cdot 10^6 \text{ counts}\cdot\text{s}^{-1}$. For
12 direct-sun measurements that are used for the retrieval of TOC and AOD the signal is usually
13 kept below $\sim 1.75\cdot 10^6 \text{ counts}\cdot\text{s}^{-1}$ (dashed line in Fig. 5) using ND filters. As long as the signal
14 remains below that level, even a large change of 10ns in DT leads to a corresponding change
15 in the calculated irradiance of up to about 2%. For higher intensities the effect increases fast,
16 so that for signals near $5\cdot 10^6 \text{ counts}\cdot\text{s}^{-1}$ a change in DT of only 2ns—a level that is commonly
17 encountered in Brewers—causes $\sim 2\%$ change in irradiance. Larger deviations, however, result
18 in larger errors in irradiance that cannot be neglected. For example, a 10ns change in DT leads
19 to differences in irradiance from 5% to 12%, depending on the actual value of DT.

20 Given that for a properly maintained instrument the DT used for the correction of the
21 measurements does not differ by more than $\pm 2 \text{ ns}$ from the calculated DT, we can estimate the
22 fractional 1σ uncertainty in the measured UV irradiance based on the work of Bernhard and
23 Seckmeyer (1999) and the results presented in Fig. (5):

$$24 \quad u = \frac{E(-2\text{ns}) + E(+2\text{ns})}{2\cdot\sqrt{3}} \quad (14)$$

25 where $E(-2\text{ns})$ and $E(+2\text{ns})$ are the errors in UV irradiance due to corresponding errors of -2
26 and +2 ns in the used DT respectively. For DT values between 15 and 45 ns, the 1σ
27 uncertainties are 0.12 – 0.13%, 0.25 – 0.28% and 0.69 – 1.13% for signals of 1, 2 and 5
28 million $\text{counts}\cdot\text{s}^{-1}$ respectively. For a sampling time of $\sim 2.3 \text{ s}$ (20 cycles), which is commonly
29 used in direct-sun measurements to derive TOC and AOD, the combined uncertainty due to
30 photon noise and DT errors is less than $\sim 0.2\%$, as long as the measured signal is between 10^5

1 and $\sim 1.75 \cdot 10^6$ counts \cdot s $^{-1}$. For a shorter sampling time, ~ 0.23 s (2 cycles), commonly used in
2 spectral UV scans, the same uncertainty of less than $\sim 0.2\%$ occurs for signals between $\sim 10^6$
3 and $1.75 \cdot 10^6$ counts \cdot s $^{-1}$. Finally, for sampling times between ~ 0.1 and 4.5 s (corresponding to
4 1– 40 cycles) the signal with the minimum uncertainty lies between 0.5 and 1.5 million
5 counts \cdot s $^{-1}$. Below and above the specific range, the uncertainties are dominated by photon
6 noise and DT, respectively.

7 In order to quantify the errors in global spectral irradiance measurements due to uncertainties
8 in the determination of the DT, data from Brewers operating in Thessaloniki and Izaña were
9 processed using different values of the DT. For B086, the recorded signal for global UV
10 irradiance measurements barely exceeds $2 \cdot 10^6$ counts \cdot s $^{-1}$, even at very small SZAs. Thus, a
11 change of 10 ns in DT, relative to the value in use (42 ns), leads to a change in the noon
12 spectral irradiance of less than 2% at UV-A wavelengths (strong radiation) during a cloud-
13 free and low-aerosol day near the summer solstice ($\sim 17^\circ$ SZA at Thessaloniki). At SZAs
14 larger than 60° the maximum measured signal, is usually less than 10^6 counts \cdot s $^{-1}$ and the
15 uncertainties due to DT errors are negligible. However, at short wavelengths (e.g. $\lambda < 305$ nm)
16 the signal is usually of the order of 10^4 counts \cdot s $^{-1}$ or lower, so the $1\text{-}\sigma$ uncertainty of the
17 measurements solely due to the photon noise is 2% or higher. A much smaller change of 2 ns
18 in the DT for B185 (DT in use 29 ns) for which the recorded signal may exceed $6 \cdot 10^6$
19 counts \cdot s $^{-1}$, is enough to cause a 2% change in noon irradiance ($\sim 6^\circ$ SZA at Izaña). Due to the
20 different atmospheric conditions at Izaña (compared to Thessaloniki) and the higher
21 responsivity of B185 (compared to B086), under cloud-free skies the signal of B185 at around
22 305 nm is usually higher than 10^4 counts \cdot s $^{-1}$ for SZAs smaller than 70° , and the 1σ
23 uncertainties due to photon noise are less than $\sim 2\%$. For wavelengths in the UV-B region, the
24 effect of the DT is negligible since the radiation is much weaker for both instruments.

25 **3.2 Total ozone column**

26 The retrieval of TOC with a Brewer spectrophotometer is based on the analysis of near-
27 simultaneous direct-sun spectral irradiance measurements at four wavelengths (Kerr et al.,
28 1981). Five sets of measurements are performed within about 2 minutes and the mean TOC
29 and the corresponding standard deviation are calculated. Before each set of measurements, the
30 intensity of the irradiance is tested and an appropriate ND filter is used to keep the maximum
31 recorded signal between $\sim 0.5 \cdot 10^6$ and $1.75 \cdot 10^6$ counts \cdot s $^{-1}$. For the retrieval of TOC the so-
32 called extra-terrestrial constant (ETC) is required (Kerr et al., 1981). The ETC can be either

1 calculated using the Langley extrapolation method (Thomason et al., 1983) or transferred
2 from a standard instrument through side-by-side comparison of TOC measurements (Fioletov
3 et al., 2005).

4 Because the correction for the DT applies to measurements of irradiance and its effect
5 depends on the level of irradiance, the effect on TOC depends basically on the differences in
6 the signal at positions 3 – 6 of the slit mask (wavelengths 310.1, 313.5, 316.8 and 320.1nm).
7 Such differences are caused by atmospheric influences on the solar spectrum (e.g., from
8 ozone absorption, Rayleigh scattering, and SZA) and by the shape of the spectral response of
9 the instrument. The latter may significantly differ between instruments, particularly for
10 Brewers of different type. For example, the presence of the UG11-NiSO₄ filters combination
11 in single-monochromator Brewers changes significantly the shape of the spectral response,
12 compared to double-monochromator Brewers, leading to different correlation between the
13 levels of irradiance measured at the four slits.

14 Although the shape of the spectral response differs between instruments which are equipped
15 with different PMTs (e.g. for B086 and B185) these differences are not as important as
16 between single- and double-monochromator Brewers. In the following, the effect of the DT
17 correction on the determination of the ETC and the retrieval of TOC are discussed for the
18 single-monochromator B005 and the double-monochromator B185. The same analysis for the
19 MKIII Brewers 157 and 183, not shown here, yielded similar results to those for B185.

20 3.2.1 ETC from Langley plots

21 To derive the ETC from Langley plots, continuous measurements of direct-sun irradiance at
22 wavelengths used for the calculation of TOC are performed during half days (morning to
23 noon or noon to evening) with stable atmospheric conditions (clear skies, stable TOC, low
24 and stable AOD). Then the ratio of the logarithms of signals used for the calculation of TOC
25 is derived and plotted against the air-mass (secant of the SZA for SZAs greater than about
26 75°). The ETC is the intercept of the resulting linear fit. Errors in the determination of DT
27 may induce errors in the calculation of the ETC. Although ND filters are used to protect the
28 PMT from exposure to very high intensities which are mostly affected by dead time, errors in
29 DT are still important when the signal is near the high-intensity threshold. Langley plots for
30 about 10 days were derived from measurements with the MKIII Brewers 157, 183, and 185 in
31 Izaña and the MKII Brewer 005 in Thessaloniki. Although the atmospheric conditions at

1 Thessaloniki are not usually favourable for application of the Langley method, a few days
2 with relatively stable atmospheric conditions were found in one year's record of
3 measurements which were used indicatively for the purposes of this study. For the MKIII
4 Brewers, the change in the derived ETC for a 2 ns change in DT is typically less than 3 units,
5 rising to ~15 for a 10 ns change. The corresponding changes for the MKII Brewer are 8 and
6 40 units respectively. Such errors in the determination of the ETC influence directly the
7 calculated TOC. The differences between the two types of Brewers are mainly caused by
8 differences in the shape of their spectral response.

9 3.2.2 Effect of DT on direct-sun measurements used in TOC retrieval

10 In Fig. 6, changes in TOC due to changes in the ETC resulting from typical errors in DT are
11 presented. The error in TOC increases smoothly with decreasing ozone slant column. For
12 B185, the change in TOC due to a 2 ns change in DT is generally less than 0.5%, rising to
13 about 1.5% for a 10 ns change in DT, for slant ozone columns below 500 DU, while for B005
14 the corresponding changes are 1% and 4.5% respectively.

15 The effect of DT on TOC derived from direct-sun measurements during 20 days in June 2013
16 at Thessaloniki (B005) and Izaña (B185) has been investigated, by applying different offsets
17 to the DT that is used to correct the measured irradiances (Fig. 7). For this analysis, the ETC
18 has been kept constant, irrespective of the used DT.

19 For all cases, the maximum changes in TOC occur just before (after) a ND filter of higher
20 (lower) optical density is set. At this point the change in intensity is large and the dead time
21 effect on the measured signal increases. This indicates that the effect on the calculated TOC
22 becomes stronger for higher intensities. Additionally, the change in TOC increases as the
23 ozone slant column decreases, due to stronger intensity of the incoming radiation and to
24 changes in the distribution of radiation on different slits. In accordance with the results shown
25 in Fig. 6, for small changes in DT (± 2 ns) the effect on TOC derived from B185 is small,
26 generally, below 0.5%, and for B005 up to ~1.5%. For larger changes in the DT (± 10 ns) the
27 effect on TOC is no more negligible for B185 and much stronger for B005, occasionally
28 reaching 5%. The stronger effect of DT on TOC derived from single-monochromator Brewers
29 was also confirmed by Redondas et al. (2011) and Rodriguez-Franco et al. (2014).

30 In old versions of the Brewer operating software, the selection of the ND filter to be used in a
31 direct-sun measurement is done through an automatic intensity check of the level of the signal

1 at position 6 (320.1 nm). For double-monochromator Brewers, the signal at the other
2 wavelengths (positions 3–5) is significantly weaker. Due to the shape of their spectral
3 response, in single-monochromator Brewers and for small ozone slant columns the signal at
4 positions 4 and 5 is higher compared to position 6 and occasionally higher than the threshold
5 used to set a higher density filter. In such cases, the high-intensity signals are more
6 susceptible to errors in DT, leading to errors in the derived TOC. As the ozone slant column
7 increases the intensity at positions 4 and 5 decreases faster and gradually becomes smaller
8 than at position 6. Although the specific problem has been solved in more recent versions of
9 the Brewer operating software, it remains important for past datasets or for instruments still
10 operating with an old version of the software.

11 3.2.3 Combined effect of DT on ETC and TOC

12 In this section the combined effect of errors in DT on both the ETC derived by the Langley
13 method and the direct-sun measurements used in the retrieval of TOC is investigated.
14 Specifically, the dead time effects on the ETCs that were estimated for B005 and B185 in
15 Sect. 3.2.1 were applied to the ETC that is used in reprocessing the direct-sun measurements.

16 From Figs. 6, 7, and 8 it appears that the effect of falsely calculated ETC due to errors in DT
17 lead to changes in TOC of opposite sign compared to those caused by the correction of the
18 signal with wrong DT. The first is dominant for large ozone slant columns, while the second
19 for small ozone slant columns. Specifically, for large ozone slant columns the results are
20 similar with those of Fig. 6, while for small ozone slant columns the large changes in TOC
21 shown in Fig. 7 are suppressed since the two effects are balanced. Even in this case, a 10 ns
22 change in DT leads to 3% change in TOC for B005.

23 3.2.4 Transfer of the ETC calibration from a reference instrument

24 The ETC is usually transferred from a reference to the Brewer being calibrated, using a series
25 of simultaneous TOC measurements. Possible errors in DT in either reference instrument or
26 the instrument being calibrated may affect the calculation of the ETC. There are two different
27 methods for transferring the ETC from the reference to the calibrated instrument (Redondas
28 and Rodriguez-Franco, 2012): 1) the “one-point calibration”, where only the ETC for the
29 calibrated instrument is calculated and 2) the “two-point calibration”, where the differential
30 ozone absorption coefficient is calculated at the same time with the ETC (Kerr et al., 1981).
31 The effect of possible errors in DT depends on the method.

1 As shown in Fig. 7, errors in DT affect the TOC measurements significantly when the
2 intensity of the signal is high and/or the ozone slant column is small. The difference in TOC
3 due to the use of an incorrect DT cannot be eliminated simply by replacing the ETC that has
4 been derived from the incorrect DT. As it appears from Fig. 7 and Fig. 8, although a change in
5 the ETC may partially or fully counteract the TOC errors for small ozone slant columns and
6 high intensities, it leads to larger deviations from the reference TOC for large ozone slant
7 columns and/or low intensities. If the two-point calibration is used, the differences observed
8 in Fig. 7 can be balanced by a combined change of the ETC and the differential absorption
9 coefficient. This way, the change of the ETC would suppress the effect of the DT error for
10 low ozone slant columns, while the change of the differential absorption coefficient would
11 counteract the differences in TOC for large slant columns. It is obvious that the use of an
12 incorrect DT leads to different ETCs between the one-point and the two-point calibrations.
13 The DT effect when transferring the ETC from a reference instrument cannot be easily
14 quantified for none of the two methods. However it is not expected to be more important than
15 the impact of the same DT error on the ETC calculation with the Langley method.

16 **3.3 Aerosol optical depth**

17 Estimates of the AOD can be also derived from Brewer spectrophotometers using direct-sun
18 spectral measurements (Meleti and Cappellani, 2000; Kazadzis et al., 2007). As the error in
19 AOD is equal to the natural logarithm of the ratio between the erroneous and the correct
20 signal, divided by the air mass, changes in the measured irradiance of 1% and 5% lead to
21 changes of opposite sign in the absolute levels of the derived AOD of about 0.01 and 0.05
22 respectively for air-mass close to unity. As can be seen from Fig. 4, for very high intensities
23 errors of this level may arise from changes in DT of 2 ns and 10 ns, respectively. Considering
24 that the overall absolute uncertainties in the calculation of AOD range between 0.05 and 0.07
25 (Kazadzis et al., 2007), only large DT errors, of the order of 10 ns, have important effects,
26 even if the AOD has been derived for small air-mass using high intensity measurements.

27

28 **4 Evaluation of the dead time for past datasets: Methods and difficulties**

29 As already mentioned, in a Brewer's history there might be periods when the calculated DT
30 may differ from the one in use by more than 2 ns. Interventions on the instrument, such as
31 repairing the electronics, resetting the high voltage, or re-aligning the optics may result in

1 suppression of the differences between the calculated and used DT (Grajnar et al., 2008;
2 Kimlin et al., 2005). However, during regular operation it is not always easy to assess whether
3 the derived DT is the actual and whether its application would improve the quality of the
4 measurements. In addition, unusual day-to-day variations of the calculated DT or indications
5 of temperature or intensity dependence complicate further this assessment. For such cases,
6 only analysis of TOC measurements before and after an ND filter is set, or comparison with
7 TOC derived from co-located instruments or from satellites, can reveal whether the
8 measurements are properly corrected for non-linearity effects. Spectral UV irradiance is more
9 sensitive to changes in atmospheric constituents and SZA; thus it is more difficult to get the
10 same information by comparing datasets of spectral UV irradiance.

11 For example, in June 2007 the preamplifier board of the PMT of B005 was replaced. Before
12 the replacement the mean measured DT was ~ 23 ns while the used DT was 34 ns. After the
13 repair the measured DT agreed very well with the DT in use. In order to assess whether the
14 mean DT measured during the two different periods provides the optimal signal correction,
15 the TOC record was recalculated from intensities corrected with the mean DT and compared
16 with satellite data. The comparison was made for two periods: one month before and one
17 month after the change of the preamplifier board. Data from the NASA EOS-Aura satellite,
18 which carries the Ozone Monitoring Instrument (OMI) were used. The specific satellite
19 passes over Thessaloniki, where B005 is located, daily close to local noon. For the
20 comparison only clear-sky measurements of TOC for air-mass below 1.15 were used. The
21 ratio of the TOC derived from the Brewer using two different DT corrections (23 and 34 ns
22 respectively) and the OMI-TO3 (Bhartia et al., 2002) was plotted as a function of the
23 measured intensity at 320.1 nm. If the Brewer measurements were properly corrected for non-
24 linearity the ratio would be independent of intensity. The ratios for the two periods,
25 normalized with the mean over each period to remove absolute biases, are shown in Fig. 9.

26 For the first period, the ratio derived for the DT in use shows a clear dependence on intensity
27 (Fig. 9c) which is practically removed when the measured DT was used (Fig. 9a). For the
28 second period, the DT in use (which now coincides with the measured DT) results in very
29 small dependence on intensity (Fig. 9d), whereas when the mean DT of the first period is used
30 the dependence is strong (Fig. 9b).

31 In a similar study the DT for B070 was found ~ 10 ns below the DT in use, but when it was
32 applied to the data the agreement with the TOC of the reference B183 became worse

1 (Rodriguez-Franco et al., 2014). It must be clarified in this point that if the TOC ratio of a
2 Brewer and a reference instrument (ground based or satellite borne) is independent of
3 intensity, this does not necessarily mean that the used DT is the actual DT of its photon
4 counting system. The dead time correction may also compensate for instrumental
5 malfunctions or settings that lead to real or artificial non-linear behaviour of the instrument,
6 as for example, the combination of errors in the ETC and the differential absorption
7 coefficient. Thus comparisons with TOC from other instruments provide an indication only of
8 remaining non-linearity issues after the correction for the dead time effect. Since non-linearity
9 in the measurements of TOC may not be exclusively due to dead-time, the DT that provides
10 the optimal correction is not necessarily the actual DT of the system. Therefore, it is safer to
11 check the validity of DT with ND filters, as described in Sect. 2.3.

12

13 **5 Conclusions**

14 In this study, we assess the effects of dead time on different products that are delivered by
15 Brewer spectrophotometers, such as, spectral UV irradiance, TOC and AOD. Moreover we
16 assess the effectiveness of different methodologies to determine accurately the DT and the
17 applied corrections to the measured radiation signals. The analysis of data from closure
18 experiments and long-term measurements provides reliable estimates of the uncertainties
19 associated with corrections applied for the dead time effects and reveals the importance for
20 accurate determination of DT.

21 From a theoretical point of view, the application of either the extended or the non-extended
22 approaches on Brewer measurements provide similar estimates of the DT. However,
23 differences are revealed when the two approaches are applied for the correction of the signals.
24 For signals higher than $\sim 2.5 \times 10^6$ counts \cdot s $^{-1}$ the non-extended approach results in more than
25 1% lower signals compared to those derived from the extended approach for the same value
26 of the DT. As the signal decreases these differences are gradually eliminated. There are strong
27 indications that the photon counting system of the Brewer is paralyzable and the currently
28 used extended theory for the calculation of DT and the correction of the measurements
29 provides accurate results.

30 In the current Brewer algorithm, nine iterations of Eq. (12) are performed for the correction of
31 the measured signals. We have found that the corrected signal converges already after 5
32 iterations, independently of intensity and the value of DT, for both the extended and the non-

1 extended approaches. However, there is no reason to suggest reducing the number of
2 iterations operationally since the time saved from the extra iterations is imperceptible.

3 The correction of the dark signal for the dead time effect was found unnecessary, as long as
4 the level of the dark signal remains below 10^4 counts·s⁻¹.

5 Further evaluation of the current algorithm for the determination of DT indicates that 10
6 iterations of Eqs. (9) and (10) are enough to give accurate results, as long as the signals at slit
7 mask positions 3 and 5 are of similar level (i.e. within a factor of 2); otherwise the number of
8 iterations has to be increased. Fifty iterations were found enough to provide accurate results
9 for signal ratios (N3/N5) between ~0.05 and 20. Increasing the signal and the number of
10 cycles reduces the noise and the uncertainty of the final products. Specifically, as long as the
11 signal level remains above 10^6 counts·s⁻¹, measurements with 10 cycles are sufficient to keep
12 the uncertainty of the calculated DT below 3% (~1 ns).

13 Measurements of the direct solar irradiance (which is usually stronger than the Brewer's
14 internal standard lamp) provide more accurate estimates of DT with lower uncertainty. In
15 order to achieve that, measurements should be performed at wavelength settings resulting in
16 intensity ratio (N3/N7) between 0.15 and 0.85 and signal at position 7 above 10^6 counts·s⁻¹,
17 while the number of iterations of (9) and (10) has to be increased to 50. Since at some
18 locations or seasons direct-sun measurements might not be achievable for long periods, this
19 method for estimating the DT should be used only complementarily to the standard-lamp-
20 based method. Occasionally, the standard-lamp based method can lead to very noisy results so
21 that the derived DT might not be the most suitable for the correction of the signals. In such
22 cases, and if direct-sun based estimates of the DT are not available, the DT can be determined
23 by optimizing the spectral transmittance of ND filters derived from measurements that are
24 corrected with different DT values.

25 An independent check of the DT that provides the optimum correction to the measured signals
26 has been achieved from short-term comparisons of the derived TOC with data from satellites.
27 The specific methodology can be used alternatively or complementarily to the currently used
28 methodologies. However, assessing the accuracy of DT by comparison with data from other
29 instruments might not be safe because other parameters may interfere. Errors in parameters
30 that are used to derive TOC (e.g. ETC, differential absorption coefficient) might lead to
31 artificial non-linearity which is subsequently balanced by the use of an incorrect DT.

1 Analysing TOC when ND filters change (Rodriguez-Franco et al., 2014) or irradiances as
2 described in Sect. 2.3.4 can lead to safer conclusions.

3 Errors in UV irradiance spectral measurements are less than 2% even for 10 ns error in DT,
4 as long as the signal remains below $2 \cdot 10^6$ counts \cdot s $^{-1}$. However, the signal may be as high as 6–
5 7 million counts \cdot s $^{-1}$. As the incident irradiance is getting closer to this limit the errors are
6 becoming more important. For such high signals, a 2 ns error in DT results into 2-4% error in
7 irradiance, rising to ~10% for 10 ns error. For the calculation of TOC, the uncertainties
8 related to dead time effect are highly dependent on the shape of the instrument's spectral
9 response; thus on the type of the instrument. For double-monochromator Brewers, the error in
10 TOC does not exceed 2%, even for 10 ns error in DT, while for single-monochromator
11 Brewers the error may increase to 5%. The tolerance of 2 ns suggested by Grajnar et al.
12 (2008) has a negligible effect on TOC for double-monochromator Brewers, and up to 1% for
13 single-monochromator Brewers. Thus, according to Eq. (14), the 1σ uncertainty in TOC from
14 single-monochromator Brewers, solely due to errors in DT is ~0.6%. As the target for the
15 total uncertainty in TOC measurements is 1% (Kerr et al., 1985), it is obvious that the
16 suggested tolerance for DT has to be lowered. The effect of DT errors in the calculation of
17 AOD is found to be less important compared to errors in UV irradiance and TOC.

18 Based on the results of this study we can summarize the following recommendations:

- 19 • The determination of DT from direct-sun measurements should be used as complementary
20 to the standard method with the internal standard lamp. Measurements for signals at the
21 slit-mask positions 3 and 5 that differ by more than an order of magnitude should not be
22 used to derive DT. To achieve uncertainty below ~1 ns in the determination of DT, it is
23 recommended that 10 or more cycles are used with the signal at position 7 above 10^6
24 counts \cdot s $^{-1}$. The number of iterations of Eqs. (9) and (10) in the processing algorithm
25 should be increased to 50 when the ratio $N3/N7$ is less than ~0.3.
- 26 • Regarding the TOC measurements from single-monochromator Brewers, the tolerance of
27 2 ns in the DT error should be reduced to 1 ns. Additionally, before a ND filter is set, the
28 intensity at slit-mask positions 5 and 6 (316.8 and 320.1 nm) should be checked in order
29 to keep the maximum signal at all slits below the PMT safety threshold.
- 30 • Lowering the intensity threshold for both the single- and the double-monochromator
31 Brewers is not recommended. Although it would reduce the uncertainties in TOC and

1 AOD due to DT errors, it would also reduce the accuracy of the measurements, especially
2 at slit-mask positions 2 and 3.

- 3 • In global spectral irradiance measurements the signal may reach $\sim 6 \cdot 10^6$ counts \cdot s $^{-1}$ where
4 the effect of errors in DT becomes very important. However, using different ND filters to
5 reduce this effect might result in increased uncertainties due to errors in the determination
6 of the ND filters' transmittance (Redondas et al., 2011). Using a standard ND filter to
7 reduce the responsivity of Brewers that measure such high signals would also reduce the
8 accuracy of the measurements at shorter wavelengths. However, by using two different
9 ND filters, one that is currently used for short wavelengths and one of stronger attenuation
10 for longer wavelengths, the signal can be kept within the desired levels for the entire
11 operational spectral range. The attenuation of the two ND filters can be implicitly taken
12 into account during calibration. Furthermore, keeping the uncertainties in the calculation
13 of DT below 1 ns and applying an appropriate post-correction to the measurements using
14 the optimal DT can also reduce errors to less than 2%.

15 This study has been accomplished in the framework of COST 1207 which aims at establishing
16 a coherent network of European Brewer Spectrophotometer monitoring stations and, among
17 other, to harmonize operations and achieve consistency in quality control and quality
18 assurance. The results and suggestions of the present study will hopefully contribute to
19 improve the quality of the Brewer products. Given that some Brewers are in operation since
20 the early 1980's, more accurate correction for the dead time effect would lead to more
21 accurate detection of trends in ozone, global UV irradiance and other products, and to more
22 reliable data that can be used for the validation of satellite products, and other applications in
23 physical (Erickson III et al., 2015) and health (Lucas et al., 2015) sciences.

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- 3

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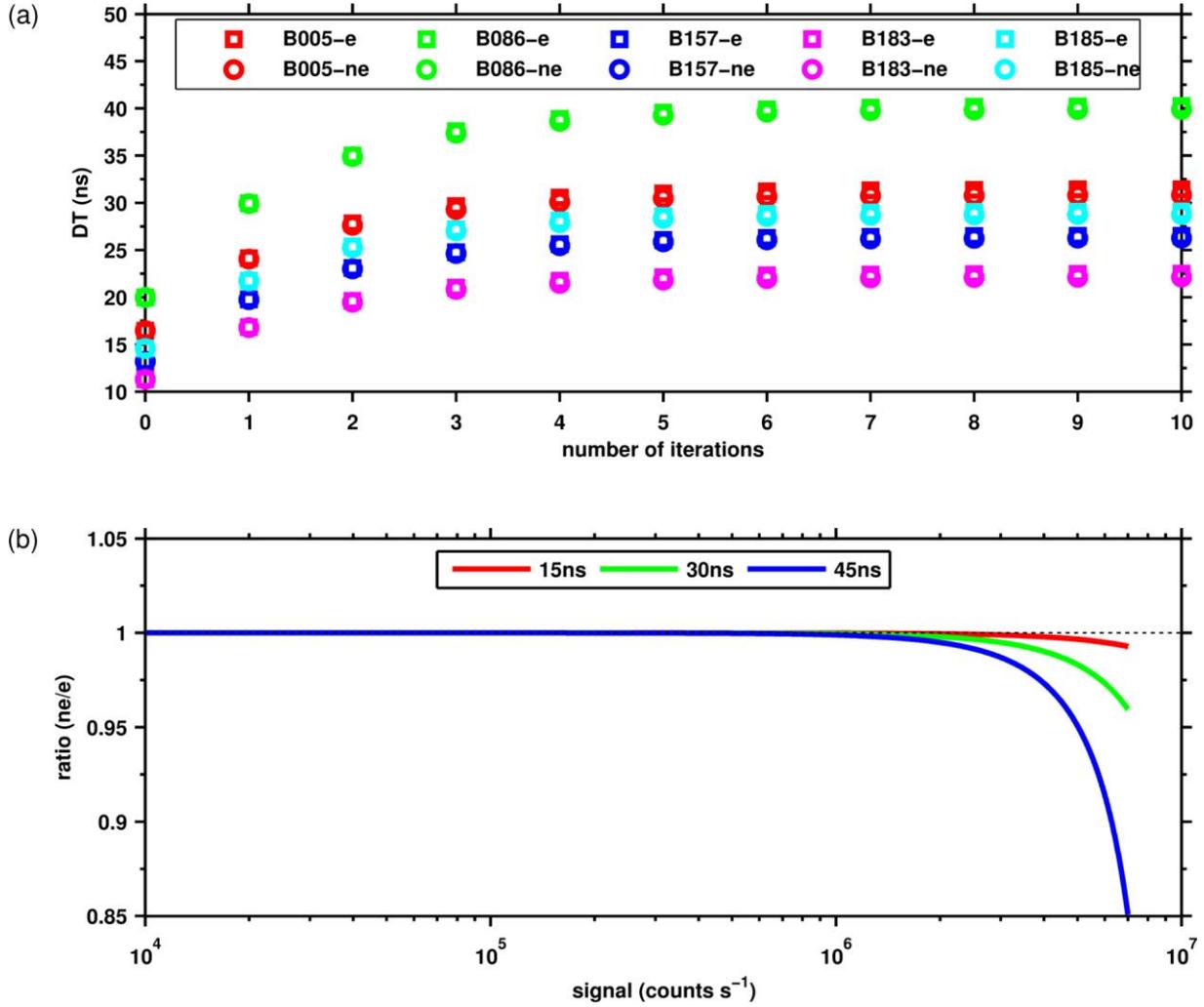
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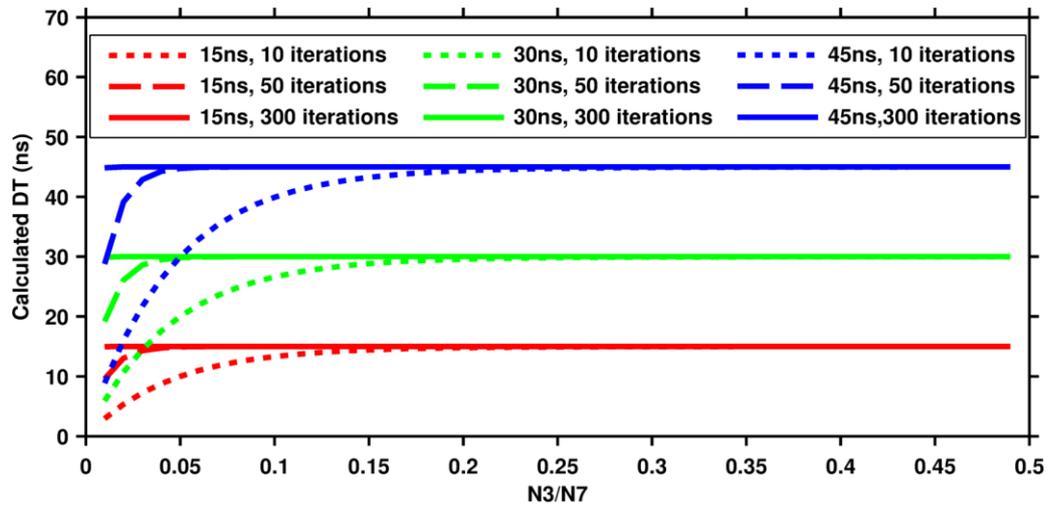


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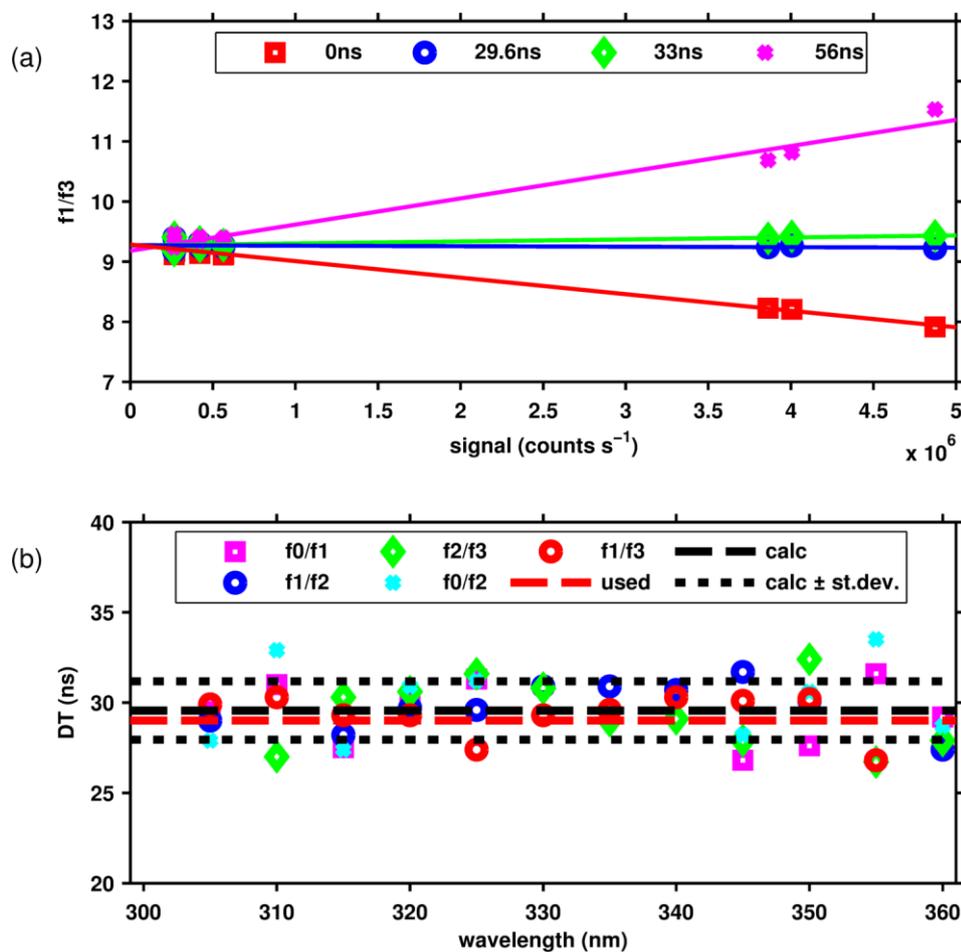
4 Figure 1. (a) DT derived with the extended (e) and the non-extended (ne) approach for five
5 Brewers with DT ranging from 19 to 42 ns as a function of the number of iterations. (b) Ratio
6 between signals corrected using the non-extended and the extended approach as a function of
7 the logarithm of the measured, uncorrected for the dead time, signal (in $\text{counts}\cdot\text{s}^{-1}$), for 3
8 different values of DT.

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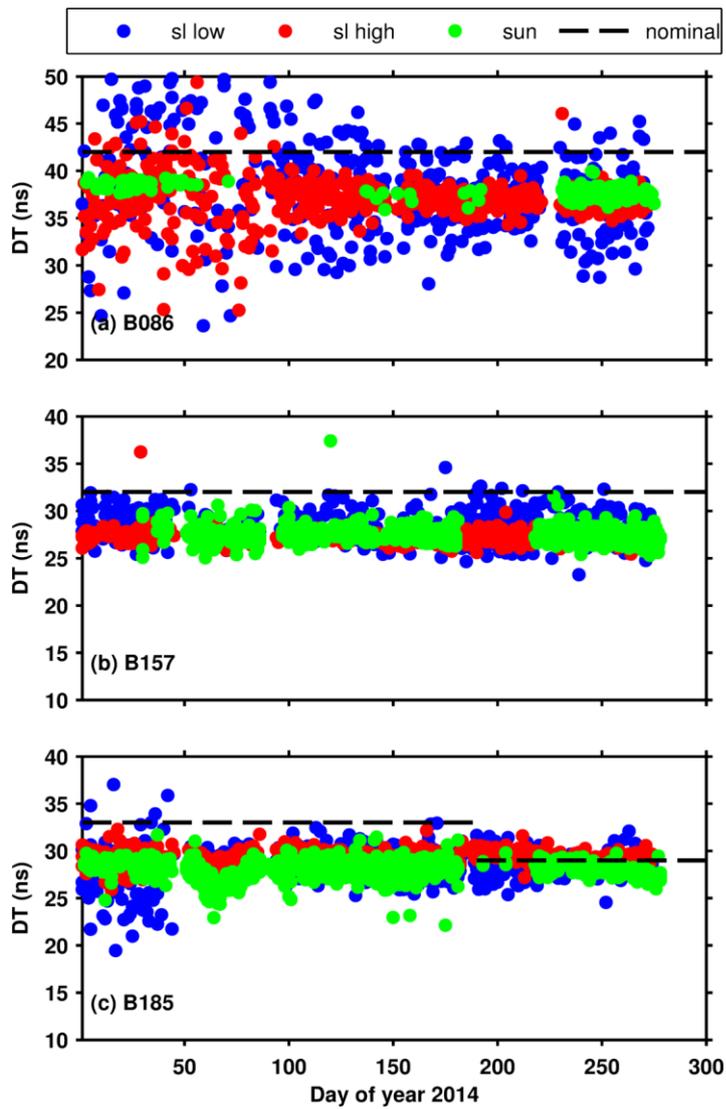
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Figure 2. DT as derived by the standard Brewer software as a function of the ratio of signals at slit-mask positions 3 and 7 ($N3/N7$) and for 3 different reference DT values (15, 30 and 45 ns) using 10, 50 and 280 iterations.



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Figure 3. (a) Ratio of signals at 345 nm measured with ND filters 1 and 3 (optical densities 0.5 and 1.5) and corrected with four different values of DT as a function of the signal measured with ND filter 1. (b) The optimum calculated DT for different wavelengths and different relative attenuations (pairs of ND filters). The derived mean values and the used DT constant are also shown. All the results are for B185.



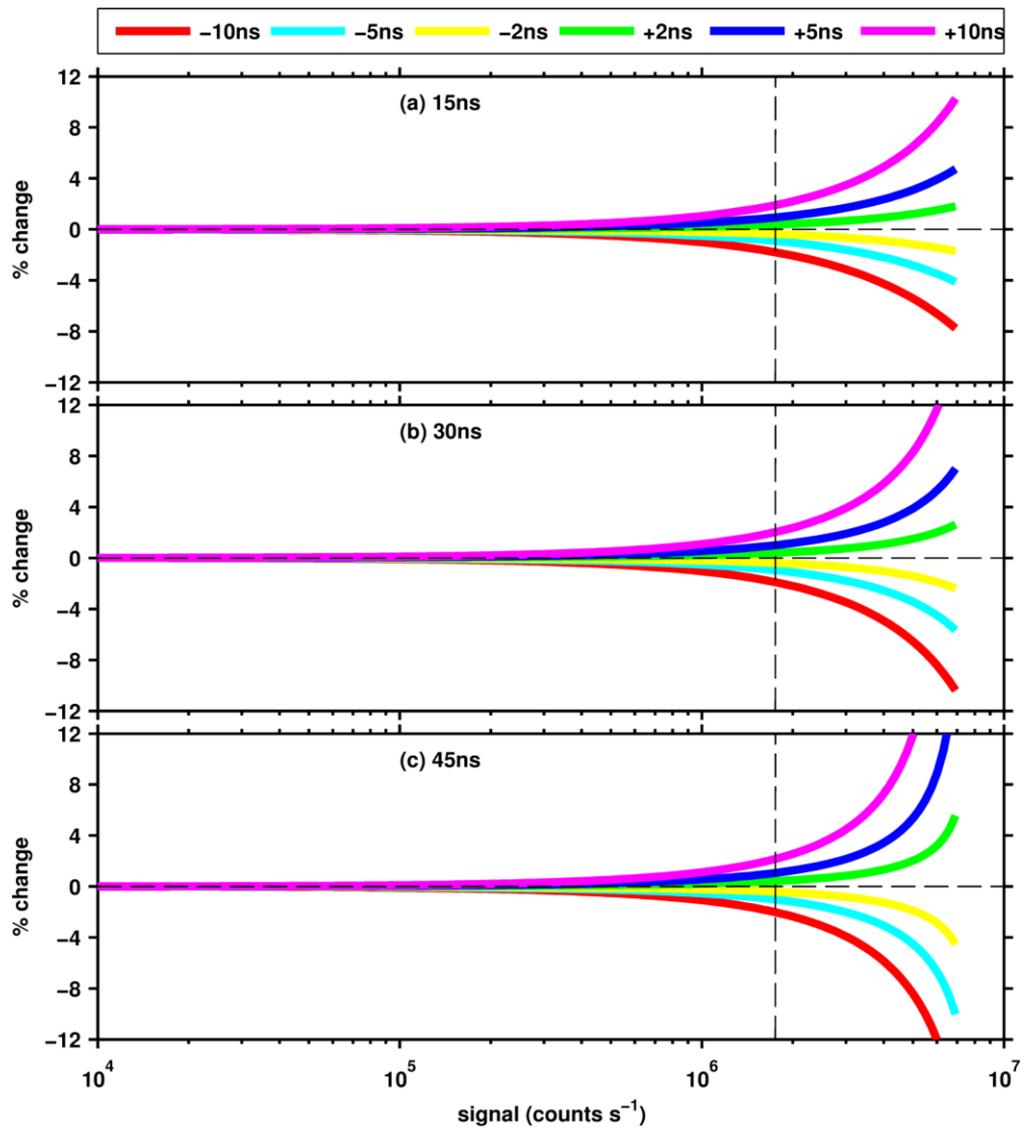
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3 Figure 4. DT calculated from measurements of the standard lamp (high and low intensity) and
 4 the sun as a function of day of year 2014 for three Brewers (a) B086, (b) B157, and (c) B185.

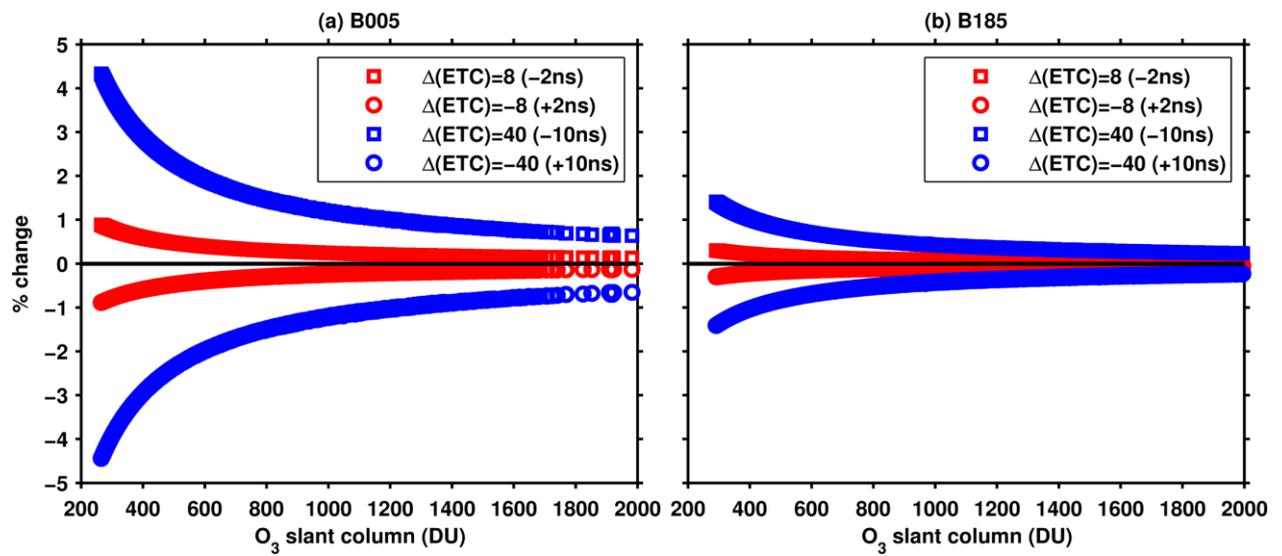
5 Dashed lines represent the DT constant used by the instruments in each period.

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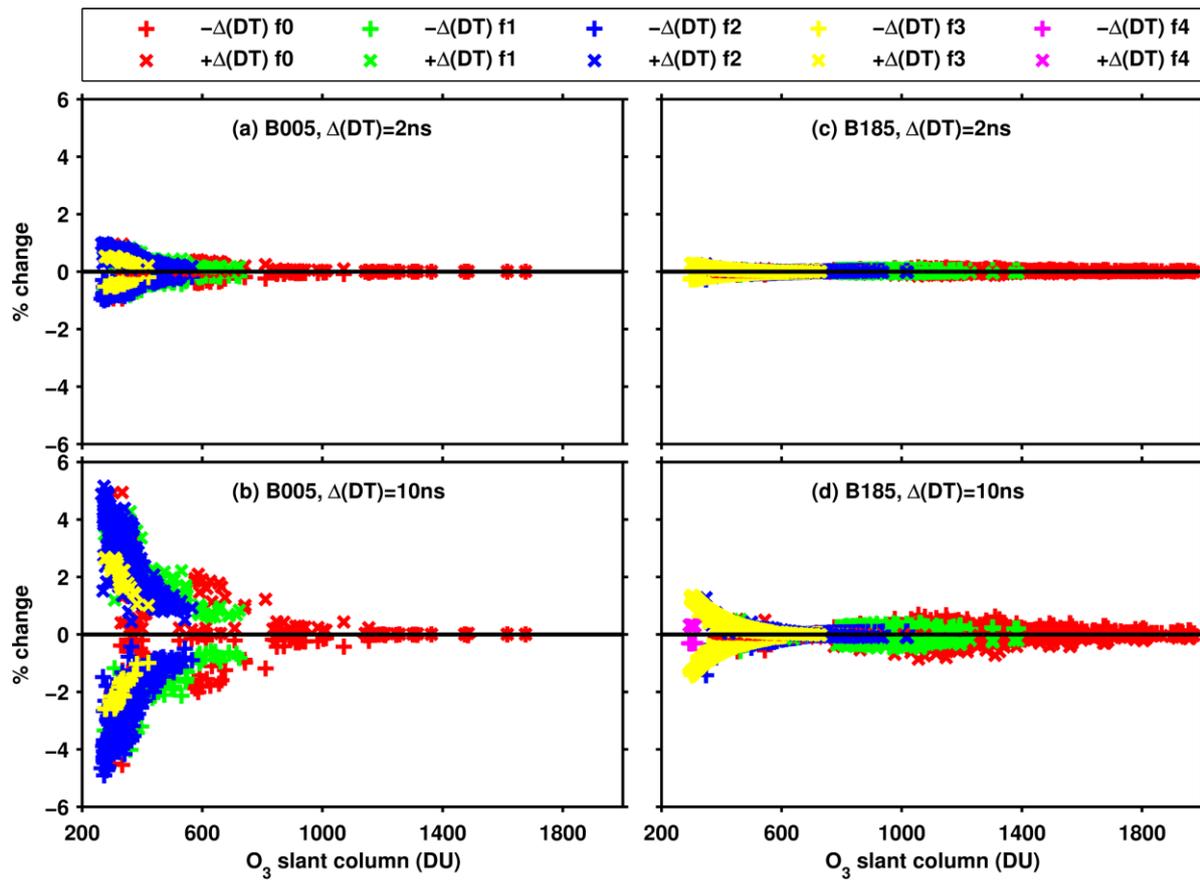
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Figure 5. Changes in UV irradiance as a function of the measured signal due to errors in the determination of DT, for different values of the reference DT: (a) 15ns, (b) 30ns, and (c) 45ns. The vertical dashed line marks the cut-off limit of $\sim 1.75 \cdot 10^6$ counts \cdot s⁻¹ used for direct-sun measurements.



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Figure 6. Changes (%) in the calculated TOC due to changes in the ETC resulting from ± 2 ns and ± 10 ns change in DT, as a function of the slant column of ozone for B005 (a) and B185 (b). For B005 the used ETC has been changed by ± 8 units for a ± 2 ns change of the DT and by ± 40 units for a ± 10 ns change of the DT. For B185, the corresponding changes of the ETC are ± 3 units and ± 15 units.

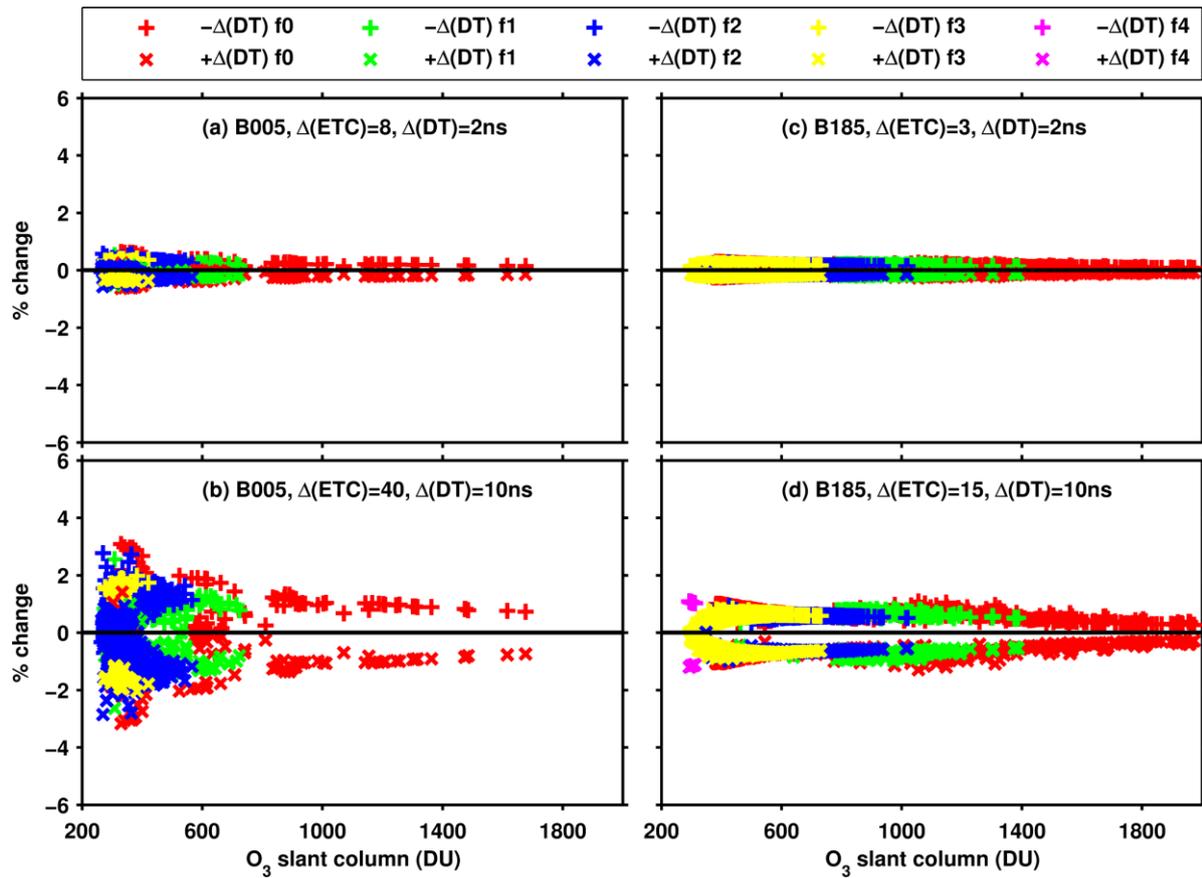


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3 Figure 7. Changes (%) in TOC derived from direct-sun measurements due to offsetting the
 4 DT by ± 2 ns (a, c) and ± 10 ns (b, d), as a function of ozone slant column, for B005 (a, b) and
 5 B185 (c, d). Different colors refer to data measured without (red) and with neutral density
 6 filters of optical density 0.5 (green), 1 (blue), 1.5 (yellow) and 2 (magenta). The reference DT
 7 is 34 ns for B005 and 29 ns for B185.

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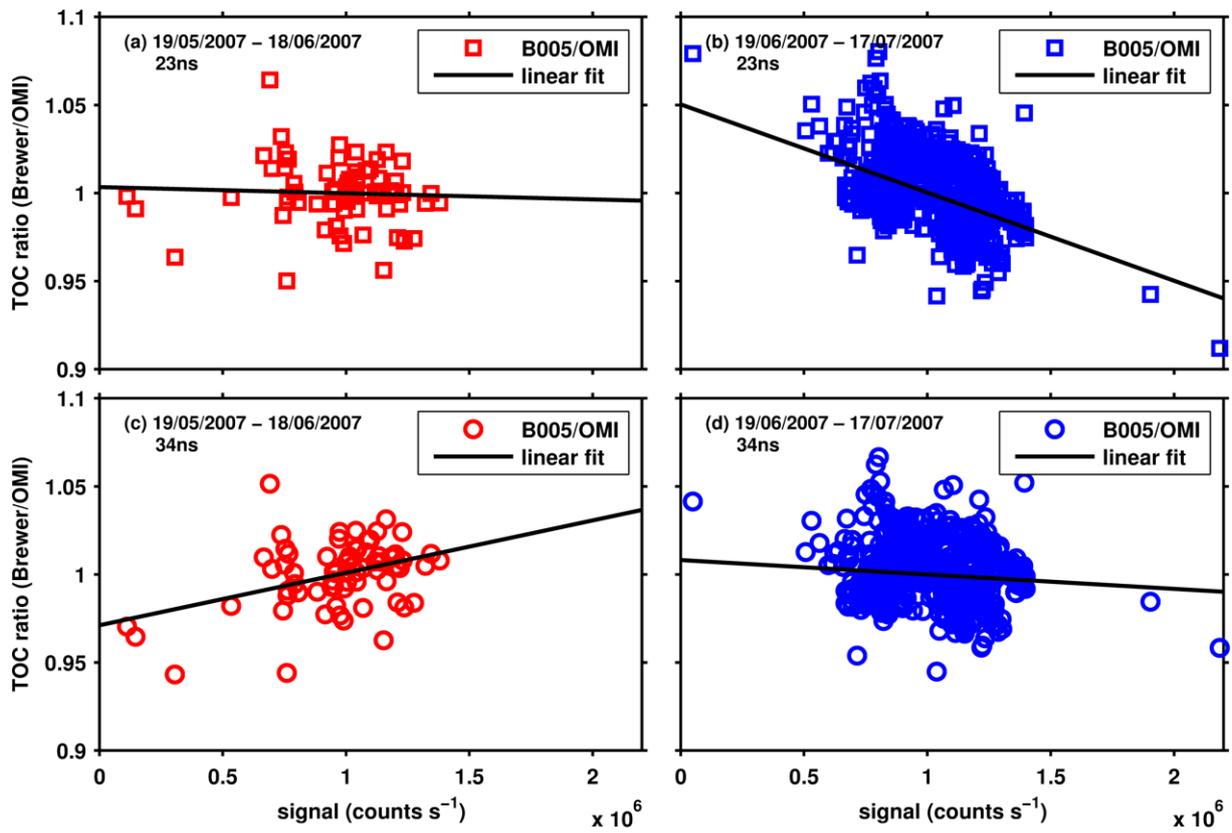


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3 Figure 8. Changes (%) in TOC calculated from direct-sun measurements due to ± 2 ns (a, c)
 4 and ± 10 ns (b, d) changes in the DT, as a function of ozone slant column, for B005 (a, b) and
 5 B185 (c, d). For each change of the DT, the ETC used for the calculations is subjected to the
 6 changes described in Sect. 3.2.1. Different colors refer to data measured without (red) and
 7 with neutral density filters of optical density 0.5 (green), 1 (blue), 1.5 (yellow) and 2
 8 (magenta). The reference DT is 34 ns for B005 and 29 ns for B185.

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Figure 9. Ratio of TOC derived from B005 for different DT values and OMI, as a function of the Brewer measured signal at slit-mask position 5 (320.1 nm).

1 Table 1. Uncertainty (1σ) in % of the measured signal due to photon noise for different levels
 2 of the signal and number of cycles.

Counts·s ⁻¹	1 cycle	2 cycles	4 cycles	6 cycles	10 cycles	20 cycles	30 cycles	40 cycles
10 ²	29.53	20.88	14.76	12.05	9.34	6.60	5.39	4.67
10 ³	9.33	6.60	4.67	3.81	2.95	2.09	1.70	1.48
10 ⁴	2.95	2.09	1.48	1.21	0.93	0.66	0.54	0.47
10 ⁵	0.93	0.66	0.47	0.38	0.30	0.21	0.17	0.15
10 ⁶	0.29	0.21	0.15	0.12	0.09	0.07	0.05	0.05

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