

Big grains go far: **Reconciling** **Understanding the** **discrepancy between** tephrochronology **with** **atmospheric and satellite infrared** measurements of volcanic ash

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Abstract. There is a large discrepancy between the size of volcanic ash particles measured ~~from~~ deposits on the ground ~~at least 500 km from their source volcano~~ (known as cryptotephra; ~~20–125 μ m~~ in length) and those reported by satellite remote sensing (~~r_{eff} =~~effective radius of 0.5–9 μ m; 95% of particles <17 μ m diameter). ~~We use~~ Here we present new results from the fields of tephrochronology (a dating technique based on volcanic ash layers), dispersion modelling and satellite remote sensing in an attempt to understand ~~from where it arises. We show that~~ why. A literature review and measurements of prehistoric and recent eruptions were used to characterise the size range of cryptotephra grains. Icelandic cryptotephras deposited in NW Europe have lognormal particle size distributions (PSDs) with median lengths of 20–70 μ m (geometric standard deviation: 1.40–1.66; 95th percentile length: 42–126 microns). ~~This is consistent with semi-quantitative grainsize~~ Grainsize range estimates from the literature ~~Using~~ are similar. We modelled the settling of volcanic ash using measured fall velocities of ash particles, a release height typical of moderate Icelandic eruptions (10 km), and a wind speed typical for NW Europe (10 m s⁻¹), ~~we find to show~~ that an ash cloud can transport particles ~~<80~~up to 80 μ m diameter up to 850 km in 24 hours, ~~so that~~ Thus, even moderately sized Icelandic eruptions can be expected to deposit cryptotephra on mainland Europe. ~~The proportion of cryptotephra in airborne clouds is unknown. We used simulated satellite data of~~ Using simulated satellite infrared data for dispersion-model-derived ash cloud~~to~~ investigate the effect of PSD on satellite retrievals and show that as, we demonstrate a systematic bias towards small grainsizes in retrievals of volcanic ash clouds that contain large proportions of cryptotephra-sized grains. As the median radius of the ~~input~~ simulated PSD increases, fewer

ash-containing pixels are correctly identified. Where retrievals are made of simulated clouds with mass median radii larger than $\sim 10 \mu\text{m}$, the mean retrieved r_{eff} plateaus at around $9 \mu\text{m}$. ~~This is a systematic bias in the retrieval algorithm that would cause the grainsize of distal clouds containing significant cryptotephra and with a theoretical r_{eff} of $>10 \mu\text{m}$ to be underestimated. This cannot~~
25 ~~explain discrepancies in coarser proximal clouds, however, which may be because the complex physics of scattering by highly irregularly-shaped grains is inadequately represented by assuming that particles are dense spheres~~ Assuming Mie scattering by dense spheres when interpreting satellite infrared brightness temperature difference (BTD) data puts an upper limit on retrieved particle sizes. If larger, irregularly shaped ash grains can also produce a BTD effect, this will result in further
30 ~~underestimation of grainsize e.g. in coarse ash clouds close to a volcano.~~

1 Introduction

Comparison ~~of published distal volcanic ash grainsize data highlights striking differences~~ between the fields of volcanology (tephrochronology), ~~and~~ dispersion modelling and satellite remote sensing ~~reveals striking differences in published distal volcanic ash grainsize data~~. Differences in their approaches and frame of reference are highlighted by the terminology of each. In volcanology, ‘coarse’ ash refers to particles 1–2 mm in diameter and those $<64 \mu\text{m}$ are classified as ‘extremely fine’ (White and Houghton, 2006); in atmospheric science (~~dispersion models and satellite remote sensing~~), airborne particles coarser than $2 \mu\text{m}$ diameter are defined as ‘coarse’ aerosol (Seinfeld and Pandis, 2006). Furthermore, volcanologists describe particle sizes via grain lengths, whereas atmospheric scientists use the particle radius. ~~Tephrochronologists, Scientists~~ who extract volcanic ash grains (~~known as cryptotephra~~) from soils or lakes hundreds of kilometers from their source typically report grain lengths of 20–125 μm (Section 1.1). ~~These tephra horizons are known as cryptotephra (hidden ashes) because they are found in deposits that are too thin and too low in concentration to be visible to the naked eye.~~ In contrast, measurements of airborne volcanic ash clouds by satellite remote sensing and direct sampling by aircraft find particle size distributions (PSDs) with median radii of 1–4 μm in which cryptotephra-sized grains form negligible proportions (Section 1.2). Assuming that the cryptotephra were transported to distal regions in volcanic ash ~~clouds~~, their absence from measured ash cloud PSDs, particularly those close to the volcano (Section 1.3), is intriguing ~~and~~. ~~This~~ is the focus of this study, which integrates new results from all three disciplines to investigate the size distributions of distal ~~volcanic ash deposits, cryptotephra~~ cryptotephra deposits, ~~volcanic ash~~ transport models and the influence of larger particles on satellite ~~infrared~~ remote sensing results.

Our results highlight the importance of considering cryptotephra-sized grains in remote-sensing and atmospheric dispersion modelling and the need for empirical, quantitative measurements of the optical and aerodynamic properties of volcanic ash. They are presented in here in three sections: Section 2 covers cryptotephra size distributions, Section 3 covers transport models and Section 4 pertains

to simulated satellite imagery. By presenting results from the three fields in a single manuscript we aim to improve understanding and communication between these diverse disciplines. In each section, particle sizes are described using the dimension appropriate to that field. These are length, diameter and radius, respectively. The findings are discussed in Section 5.

60 1.1 Cryptotephra generation, transport and deposition of cryptotephra

There is abundant evidence for distal (>500 km in the context of this study) volcanic ash transport provided by grains preserved in soil(peat), peat and lake deposits, or in snow and glacial ice, which are identified by tephrochronologists (e.g. Persson, 1971; Dugmore, 1989; Abbott and Davies, 2012). Geochemical analyses by electron probe microanalysis (EPMA) or secondary ion mass spectrometry (SIMS) can link cryptotephra to their source volcano and possibly an eruption of known age, making tephrochronology a powerful dating tool (Swindles et al., 2010; Hayward, 2012). Very scientists researching these deposits (e.g. Persson, 1971; Dugmore, 1989; Abbott and Davies, 2012). Such distal deposits are too thin to form a visible layer, but ash grains can be extracted in the laboratory (Hall and Pilcher, 2002; Swindles et al., 2010). These ‘cryptotephra’ grains (also called ‘microtephra’ or glass ‘shards’) are recognised by their glassy colour (\pm the presence of crystals), their highly irregular shapes and their often vesicular texture (Dugmore, 1989; Lowe, 2011). Their size bubbly (vesicular) texture (Dugmore, 1989; Lowe, 2011; Jennings et al., 2014). Geochemical analyses by electron probe microanalysis (EPMA) or secondary ion mass spectrometry (SIMS) can link cryptotephra to their source volcano and possibly an eruption of known age, making tephrochronology

65 a powerful dating tool (e.g. Swindles et al., 2010; Óladóttir et al., 2011; Hayward, 2012). The size of cryptotephra grains is described by their long axis length, defined as the longest distance between two parallel tangents across the grain, which typically ranges. Cryptotephra grainsizes typically range from 20 to >125 μm . These grains will have been the largest within the depositing cloud, but in reaching distal regions they must have formed a significant proportion of the cloud closer to the

70 volcano. Unfortunately, grainsizes are not routinely reported, and when they are the data are often just exemplar, modal or maximum lengths. More quantitative descriptions of the size distribution could be used to inform dispersion modelling in the far field.

75 Cryptotephra-sized grains are transported to distal regions in ash clouds whose initial PSD The initial PSD of volcanic ejecta leaving the vent of a volcano, collectively known as tephra, depends on the characteristics of the eruptions that produce them eruption that produced it. Particles can range in size over 7 seven orders of magnitude from microns to metres in diameter. The PSD of all ejected particles is known as the Total Deposit Grainsize Distribution Distribution (TGSD; Bonadonna and Houghton, 2005; Rust and Cashman, 2011). The TGSD varies significantly between eruptions and is strongly controlled by internal factors, such as the size distribution of bubbles in the magma, which depends on the magma composition (basaltic eruptions have coarser products than rhyolitic ones), or the gas content, and external factors such as particle collisions, ascent rate and external factors

such as interaction with water (Rose and Durant, 2009). The proportion of cryptotephra-sized grains is larger than that of the finest. Magma compositions typically range from basalt (high in Mg and Fe, dark colour, ρ_{glass} of 2.8–3.0 g cm^{−1}) to rhyolite (high in Si and Al, light colour, ρ_{glass} of 2.4–2.6 g cm^{−1}). Eruptions of rhyolite composition magma tend to produce volcanic ash grains that contain more, smaller bubbles than basaltic eruptions, so rhyolite ash is normally more abundant as well as less dense and slower settling than basalt ash. Interaction between magma and meltwater causes increased fragmentation, however, so subglacial basaltic eruptions can still produce extremely fine ash (e.g. 20 wt% of the Grímsvötn 2004 tephra was <64 μm in length; Jude-Eton et al., 2012). Cryptotephra-sized grains make up a larger proportion of the ejected mass than the particles that are most easily identified in satellite infrared remote sensing data (less than 12 μm diameter). Even in rhyolite eruptions, only around 1/3 of ejected material is finer than 12 μm diameter (Rust and Cashman, 2011) and therefore BTD-active.

The PSD evolves during transport as particles fall out of the plume. The terminal velocity of are deposited from the plume based on their terminal velocity. For bubbly and irregularly-shaped volcanic ash particles (typically 0.15–0.35 m s^{−1} for grains around 100 μm in length; Riley et al., 2003) this is typically 0.15–0.35 m s^{−1} (100 μm grains Riley et al., 2003), which is much less than a sphere of the same diameter; a 100 μm grain may fall at the same rate as a sphere 9–50 μm in diameter (Rose et al., 2003). The coarsest particles fall out quickly and PSDs of deposits show that particles >500 μm in length are mostly deposited within tens of kilometres of the volcano (Rose et al., 2001). At In addition, a number of processes promote early deposition of cryptotephra-sized grains and, at distances up to 500 km, deposits contain a significant proportion of ash particles (<100 μm) that are/were deposited much earlier than would be predicted by single particle settling velocities. In proximal areas Within the first tens of kilometers downwind, vertical gravity currents (similar to microbursts 'microbursts') can transport particles to the ground faster than their individual terminal velocities as streak-fallout 'streak fallout' (Eliasson et al., 2014). Aggregation and meteorological processes such as coating of ash particles by ice or water and subsidence of the entire volcanic plume may also be important in the distal evolution of the PSD (Durant et al., 2009). Satellite retrievals of ash cloud mass indicate that after ~24 hours, just a small proportion (<5%) of the erupted mass remains in the cloud to be transported to distal locations (Rose et al., 2000, 2001; Gudmundsson et al., 2012). Comparisons of Numerical Atmospheric-dispersion Modelling Environment (NAME) dispersion model predictions with measurements from aircraft (Dacre et al., 2013) and ground-based LiDAR (Devenish et al., 2012) during the 2010 Eyjafjallajökull eruption found similar proportions (2–6 and 2–12, respectively). (2–6%; Dacre et al., 2013).

125 1.2 Satellite detection of volcanic ash

1.2 Satellite infrared detection of volcanic ash

Satellite

The wide spatial coverage of satellite remote sensing, combined with near-real time data for some methods, makes it a valuable tool for monitoring volcanic ash clouds. Different techniques 130 use different parts of the electromagnetic spectrum. Visible and ultra-violet sensors detect scattered or reflected sunlight. Consequently, they can only be used during daytime. Ash clouds can be seen in satellite photographs (visible light), provided that they are not obscured by meteorological clouds, and ultraviolet spectrometers can be used to map sulphur dioxide, which is often transported alongside the volcanic ash (McCormick et al., 2013). Microwave (mm-wavelength) radiation emitted 135 by the Earth can be used to study volcanic ash clouds, during both night and day. Delene et al. (1996) adapted methods for calculating rainfall rates using satellite-based Special Sensor Microwave Imager (SSM/I) data to estimate the mass of ash fallout from volcanic clouds. As this method is sensitive to particles 0.1–1 mm in diameter that fall out quickly, it is limited to clouds up to a few hours old and close to the volcano. Microwave radiation is also used by ground-based weather radar systems that can retrieve 140 the mass and size distribution of particles within a young volcanic plume within approximately 200 km of the equipment (Marzano et al., 2013; Montopoli et al., 2014). This is an active technique, using man-made radiation. Similarly, light detection and ranging (LiDAR) systems use lasers to measure the height and optical depth of ash clouds at a single location above a measuring station (Marenco and Hogan, 2011; Devenish et al., 2012). Depolarisation measurements can help distinguish 145 irregularly shaped volcanic ash particles from other aerosol. The Cloud Aerosol LiDAR and Infrared Satellite Observations (CALIPSO) system makes space-based LiDAR observations of ash cloud altitude along a narrow track beneath its orbit (e.g. Prata and Prata, 2012), but cannot be used to map the lateral extent of clouds.

Here, we focus on satellite infrared measurements of volcanic ash. These are passive systems 150 that use infrared energy radiated upwards from the Earth's surface, so they can be used in day or night. Geostationary satellites, e.g. Meteosat, provide wide coverage and data are updated in near real time (every 15 minutes for the Spinning Enhanced Visible and Infrared Imager instrument, SEVIRI), making them ideal for mapping ash clouds. Satellite infrared remote sensing distinguishes volcanic ash clouds from meteorological clouds using the different optical properties of ash and 155 water or ice droplets (Prata, 1989; Wen and Rose, 1994). Infrared ~~energy radiated upwards from the earth's surface~~ ~~light~~ is absorbed and scattered by ash, water and ice particles (as well as other aerosols) ~~is it passes through the cloud~~ and this affects the ~~brightness temperature~~ ~~signal~~ measured by a satellite instrument for a given pixel. The brightness temperature difference (BTD) of a pixel between two infrared channels centred at $10.8\text{ }\mu\text{m}$ and $12.0\text{ }\mu\text{m}$ is often used to identify ash clouds 160 (this is sometimes also referred to as the reverse-absorption or split-window method). Volcanic ash is more absorbing at $10.8\text{ }\mu\text{m}$ than at $12.0\text{ }\mu\text{m}$ and gives a negative BTD, whilst water and ice clouds have the opposite characteristics. The ~~strength of absorption and scattering by particles is a function of the wavelength, particle size, particle shape and the complex refractive indices of the~~

165 volcanic glass from which it is formed. Mie theory models these interactions by assuming spherical particles and is applicable where the the particles are of a similar size to the wavelength of the radiation, thus it is important to take account of the particle size distribution (PSD) when using the BTD technique. Coarser particles will not have a differential absorption effect resulting in similar brightness temperatures at $10.8 \mu\text{m}$ and $12.0 \mu\text{m}$. BTD method has been used to identify volcanic ash for over two decades. It has some limitations. Clouds and water vapour in the atmosphere and the ash cloud, and temperature inversions above ice-covered land surfaces can also reduce the strength of the BTD effect (Prata et al., 2001; Kylling et al., 2013). Ash clouds dominated by such coarse particles with high concentrations are optically opaque at high concentrations (Rose et al., 2001) and, although they may, so have a BTD of zero (Rose et al., 2001). In a volcano monitoring setting, these clouds may still be recognised by skilled human operators, but automatic detection using the BTD method is not possible. Particle shape and composition are also important (Pollack et al., 1973; Wen and Rose, 1994; Kylling et al., 2013). More sophisticated ash detection algorithms use extra tests to reduce false positives or negatives, or take volcanic SO_2 into account, by incorporating data from a third infrared channel (Francis et al., 2012; Pavolonis et al., 2013) or even. Methods that take advantage of the many channels of hyperspectral data (Gangale et al., 2010), but these also rely on size-dependant scattering of infrared radiation by ash particles to some extent have also been developed (Gangale et al., 2010).

180 Once a pixel has been identified as ash-contaminated, a retrieval can be made of ash cloud properties such as height, ash column loading and particle effective radius (r_{eff} , which is a function of the PSD - see Appendix; Wen and Rose, 1994; Prata and Prata, 2012; Francis et al., 2012; Pavolonis et al., 2013), can be retrieved. Retrieval algorithms attempt to find the combination of 185 parameters that best produce the observed brightness temperatures in a satellite image. By estimating the thickness of the ash cloud (e.g. 1 km), the ash column loading (in kg m^{-2}) can be converted into a concentration (in mg km^{-3}). These data have become more important since safety rules based on zones of different ash concentration were introduced during the 2010 Eyjafjallajökull eruption 190 for aircraft flying in European airspace. Retrievals are based on the scattering of infrared light according to Mie theory. The strength of absorption and scattering by particles is a function of the wavelength, particle size, particle shape and the complex refractive indices of the volcanic glass from which it is formed (Pollack et al., 1973; Wen and Rose, 1994; Kylling et al., 2014). Mie scattering occurs when particles are of a similar size to the wavelength of the radiation, so the PSD is an 195 important variable. Forward modelling based on Mie theory allows the absorption and scattering coefficients for a given r_{eff} , refractive index (ash composition) and wavelength to be predicted, usually based on the assumption that the particles are dense spheres. Assuming a thin, homogeneous, semi-transparent, surface-parallel cloud, a radiative transfer model can be used uses these coefficients to calculate the BTD for different PSDs (expressed in terms of their effective radius, r_{eff}) and ash mass loading (a function of optical depth, τ_c) for a range of cloud heights and 200 meteorological conditions (Wen and Rose, 1994). Some retrieval methods also assume a fixed ash

cloud altitude (Wen and Rose, 1994; Prata and Prata, 2012). ~~Retrieval algorithms attempt to find the combination of parameters that best produce the observed brightness temperatures in a satellite image (Wen and Rose, 1994; Prata and Prata, 2012; Francis et al., 2012; Pavoloni et al., 2013).~~

Each retrieved r_{eff} represents a PSD containing a narrow range of particle sizes (See Figure 1

205 for examples of different distributions and Appendix for equations). It has been acknowledged since the BTD method was developed that it requires ash clouds dominated by particles $<10 \mu\text{m}$ diameter, which corresponds to PSDs with an effective radius less than $\sim 17 \mu\text{m}$ (Prata, 1989; Wen and Rose, 1994).
A (Prata, 1989; Wen and Rose, 1994). Coarser particles should have no differential absorption effect and so should exhibit similar brightness temperatures at 10.8 and 12.0 μm . The implication of this, 210 assuming that the BTD results from Mie scattering by dense spheres, is that it should not be possible to detect ash clouds close to their source, even if they are sufficiently dilute to be semi-transparent. At the limits of detection, a lognormal distribution with a geometric standard deviation, σ_g , of 2.0, and an effective radius of 17 μm has 95% of particles $<32 \mu\text{m}$ diameter, with 95% of the mass within particles $<135 \mu\text{m}$ (See Figure 1 and Appendix). These distributions therefore contain significant 215 proportions of. Such a distribution would contain cryptotephra-sized particles. Published values of retrieved r_{eff} , however, are never this high, and range from 0.5 to 9 μm (Grainger et al., 2013). Such These distributions have 95% of particles less than 0.9 to 17 μm in diameter, respectively, with 95% of the mass within particles less than 4 or to 72 μm . These retrieved PSDs suggest that the proportion of cryptotephra-sized particles within ash clouds is small to negligible.

220 1.3 Comparing remote sensing PSDs with proximal deposits

In a few cases, satellite retrievals have been made of proximal (~~<200 km in the context of this study~~) volcanic ash clouds where samples have also been taken from the ground. The PSDs of the deposits contain significant cryptotephra-sized (and coarser) grains, yet the retrieved PSDs suggest that these formed a negligible proportion of the depositing ash cloud. For example, the deposits of the 1996 225 eruption of Ruapehu, New Zealand are exceptionally well characterised (Bonadonna and Houghton, 2005). The total grainsize distribution of material deposited on land between 50 and 200 km from the volcano has a mode of 125 μm , with $>\sim 80\%$ of deposited mass made up of grains coarser than 64 μm . This compares to effective radius estimates derived from AVHRR-2 and ATSR-2 data of 2–4 μm in the same region (Prata and Grant, 2001), which would imply that 95% of the mass is 230 within grains with a diameter of less than 16–32 μm .

More recently, SEVIRI retrievals were compared with ground-based sampling during the 2010 Eyjafjallajökull summit eruption (Bonadonna et al., 2011). Samples collected on the ground 56 km downwind from the volcano on 6 May 2010 contained grains 1–500 μm in diameter, with a mode of 64 μm and were deposited at a sedimentation rate of $0.4 \times 10^{-4} \text{ kg m}^{-2} \text{ s}^{-1}$. The nearest available satellite retrieval for the same day was at a location 130 km downwind of the crater. The mean retrieved ash radius was 4 μm corresponding to a sedimentation rate of $0.2\text{--}0.4 \times 10^{-6} \text{ kg m}^{-2} \text{ s}^{-1}$,

which is over 100× less. It was suggested that the 2-orders-of-magnitude discrepancy over 50 km range is a “consequence of ash aggregation and convective instabilities”. [Bonadonna et al. \(2011\)](#) also note that there are limits on the detection of ash particles due to their size, which only allow for the retrieval of particles with diameters of $<20\text{ }\mu\text{m}$.

Taking the Eyjafjallajökull 2010 summit eruption as a whole, Stohl et al. (2011) used SEVIRI data to inform the inversion of a Lagrangian particle dispersion model, and estimated the total mass of ash of 2.8–28 μm diameter emitted over the entire duration of the eruption was $8.3\pm4.2\text{ Tg}$. They included a secondary mode of coarser particles in the input size distribution (modal size=180 μm) in order to match the measured size distributions on the ground. Their estimated erupted mass is nearly an order-of-magnitude lower than the 70 Tg of particles finer than 28 μm calculated by mapping the thickness, mass and grainsize distribution of tephra on the ground (Gudmundsson et al., 2012).

Comparing proximal deposits with satellite retrievals shows a large discrepancy in PSDs. Here we suggest that distal deposits are likely to have the same issue and suggest that it may result, in part, from the lack of sensitivity of the detection and retrieval methods to large particles and to the assumption of spherical particles used in the calculation of the extinction coefficients.

2 Particle size distributions of cryptotephra

2.1 Method

The [size size range](#) of distal cryptotephra grains was constrained by a literature survey and by measurement of cryptotephra from a number of modern and ancient eruptions. [Where smaller amounts were available, grainsize distributions were obtained by measuring the long axes of grains via optical microscope at 400× magnification. Samples for Sample locations are listed in Table 2 and plotted on the map in Figure 2. Cryptotephra from](#) the 2010 Eyjafjallajökull and 2011 Grímsvötn [eruption eruptions](#) were extracted from rainwater collected in [Aberdeenshire \(Scotland: -2.10°E, 57.15°N\), Benbecula \(Scotland: -7.34°E, 57.43°N\), Leicestershire \(Central England: -1.29°E, 52.73°N\), Shetland \(Scotland: -1.14°E, 60.15°N\) and Armagh \(Northern Ireland; -6.65°E, 54.35°N\)](#) (Stevenson et al., 2013). [northern Scotland, central England and Northern Ireland \(Stevenson et al., 2013\) . Organic material was digested with H₂O₂ and the grains were concentrated by centrifuge. Ash from older eruptions \(Hekla S, Hekla 4, Hekla 1104, Hekla 1158, Glen Garry\) was sampled from peat bogs in Malham, Yorkshire \(N. England; -2.17°E, 54.10°N\), Shetland \(Scotland; -0.93°E, 60.72°N\) and Fallahogy \(Northern Ireland; -6.56°E, 54.91°N\). Samples locations are plotted on the map in Figure 2\), northern England and Northern Ireland](#). Peat was ashed at 600°C [in a furnace](#), suspended in 10% HCl for 24 hours, and washed with deionised water. [This removed the organic matter](#) (Hall and Pilcher, 2002; Swindles et al., 2010). Ash grains [from rainwater and peat samples](#) were mounted on a slide with Histomount and identified slides (using Naphrax and Histomount, respectively) and identified by examination under optical microscope at 400× magnification on the basis of their

colour, shape, bubble or crystal content and isotropic structure. Grainsize distributions were obtained by measuring the long axes of grains, using the graticule of the optical microscope. It is not possible to identify grains <10–15 μm long by this method, and some tephrochronology studies sieve samples and only examine grains >20 μm . Around 100 grains were measured in each sample. The grain-size distribution of larger quantities of ash ($>1\text{-g}$) from the 1875 Askja eruption (collected freshly-fallen from snow in Trysil, Norway; 12.32°E , 61.31°N), and the Eyjafjallajökull 2010 eruption (extracted from rainwater in the Faroe Islands: 6.77°E , 62.01°N ; Stevenson et al., 2012) (extracted from rainwater in the Faroe Islands measured by laser diffraction using a Beckman Coulter Counter LS2000, which returns). These instruments measure 0.5 g of material and return the diameter of a sphere with an optically-equivalent sphere area to each particle for the size range 0.4–2000 μm . The equivalent area diameter is approximately 0.6–0.7 \times the long axis length (Riley et al., 2003).

The ancient cryptotephras were linked to specific eruptions based on their stratigraphic positions and their composition, as determined by EPMA analysis. This was carried out at the University of Edinburgh (Shetland Isles samples) and the University of Leeds (N. England samples). At Edinburgh, a CAMECA SX100 electron microprobe with an accelerating voltage of 15 kV, a beam current of 2 nA and diameter of 5 μm was used for the wavelength-dispersive spectroscopy (WDS) analyses. At Leeds a Jeol 8230 electron microprobe with an equivalent setup was used. Microprobes were calibrated using both natural and synthetic standards and instrument stability was monitored using a range of glass standards. TephraBase (Newton et al., 2007) was used to identify the tephras.

2.2 Results

2.2.1 Literature examples of cryptotephra grainsizes

Literature data from a range of sources are summarised in Table 1. They are dominated by single measurements of modal or maximum size. The work of Persson (1971) is a notable exception, and those data have been digitized and included here. Published sizes range from 10–150 μm , with values from 30–60 μm being common.

The deposition of Icelandic cryptotephras in Europe is well-characterised, with 19 cryptotephras from the past 1,000 years identified to date (Swindles et al., 2011). Small eruptions such as Eyjafjallajökull 2010, Grímsvötn 2011 and Hekla 1510 deposited grains up to 110 μm , 80 μm and 70 μm long respectively in the UK (800–1,500 km from source; Stevenson et al., 2012, 2013; Dugmore et al., 1996). The most widespread layers (i.e. the Vedde ash) can be identified in Russia and Slovenia, over 2,500 km from source (Lane et al., 2012). Some of the world's largest eruptions such as the Taupo Whakamaru eruption (New Zealand), the Toba Younger Toba Tuff eruption (Indonesia) and the Campanian Ignimbrite (Italy), deposited ash layers 2–10 cm thick containing significant quantities of grains >64 μm at distances of >1000 km from their source (Matthews et al., 2012; Engwell et al., 2013) (Matthews et al., 2012; Engwell et al., 2013). Such large

eruptions, with Volcano Explosivity Index (VEI) scores of 7 or 8, are rare (recurrence intervals of >1000 and >10,000 years, respectively; Newhall and Self, 1982). However, the correlation of the White River Ash (Alaska, 50 km³ tephra) with the AD860 tephra in Greenland and Europe (7,000 km range) shows that even eruptions of a size that occurs around once-per-century are capable of generating ultradistal cryptotephra (Jensen et al., 2014).

Ice cores provide an excellent record of distal cryptotephras as they are less contaminated by mineral grains and because peaks in sulphate concentration can be used to locate ash layers (Abbott and Davies, 2012), thus smaller grains (<5 µm) from extremely distal events can also be identified. 315 Ice core grainsize and distance data are ~~also summarised included~~ in Table 1, and provide further evidence for transport of cryptotephra-sized grains to distances >500–1,000 km from the source volcano. Icelandic volcanoes were the source of 37 of 45 cryptotephras identified in Greenland ice cores (Abbott and Davies, 2012). All layers, most of which were invisible to the naked eye, contained grains >30 µm and many had grains >60 µm ~~diameterlength~~. The shortest distance from Iceland's 320 most active volcanoes to the Greenland core sites is ~1,500 km, but given the prevalence of westerly winds, it is likely that many of these arrived via a much longer, circumpolar route (Mortensen et al., 2005). Cryptotephra grains from volcanoes in the USA (Mount Mazama, Aniakchak, Katmai) and Mexico (El Chichón) are also found. In Antarctica, ice cores (e.g. Dome C, Siple Dome) also yield ash grains; those with different chemistry to local sources (e.g. Antarctic volcanoes, 325 Deception Island, Taupo) are attributed to much more distant (6,000 km) eruptions (i.e. Andean volcanoes; De Angelis et al., 1985). In the case of the 1257 eruption of Samalas volcano, Indonesia ([Lavigne et al., 2013](#)) ([Lavigne et al., 2013](#)), tephra shards <5 µm long with matching compositions have been found at both the GISP2 site in Greenland and the South Pole site in Antarctica (Palais et al., 1992), implying a transportation range of 13,500 km.

330 2.2.2 Measured grainsize distributions of Icelandic cryptotephras

Grainsize distribution curves are compared in Fig. 2a, while the measured data and fitted curves are shown in Fig. 3 ~~and in the Supplement~~. Their statistics are summarised in Table 2. The PSDs for the cryptotephras recovered from peat ~~and measured by counting via optical microscope~~ are best described ($r^2 > 98\%$) by lognormal distributions with number median lengths of 48–70 µm 335 and geometric standard deviations (σ) of 1.40–1.55, corresponding to 95% of particles less than 42–126 µm long. The PSDs of Grímsvötn 2011 cryptotephras were finer (median lengths 19–23 µm). The lognormal distribution ~~implies that assuming a is skewed compared to the~~ normal distribution and ~~reporting has a coarse tail. Reporting the arithmetic~~ mean and standard deviation ~~of lognormally distributed data, as is often done in tephrochronology literature,~~ underestimates the 340 relative ~~importance proportion~~ of fine grains as well as the maximum likely grainsize. The distributions are narrower than those obtained through airborne sampling of distal ash clouds ($\sigma = 1.8–2.5$; Johnson et al., 2012) and contain a negligible proportion (<1 %) of grains <12 µm long (~~that would~~

produce a BTD effect). The particles are vesicular and extremely irregular in shape (Fig. 4), as is typical for cryptotephra. This also makes it difficult to convert a number distribution into a mass distribution. Their optical properties and fall velocities are therefore unlike those of dense spheres.

The cryptotephra size distributions presented here are number distributions in terms of grain length. Due to the vesicular and extremely irregular shape of the grains, it is not appropriate to assume that they are dense spheres when converting to a mass distribution. One possible approach is to convert the median grain lengths into median equivalent volume diameters using the ratios calculated by Riley et al. (2003) for different compositions. These range from 1.05–1.17 for basalt and 1.14–1.33 for rhyolite. Results of this are shown in Table 3. Without specific information on particle shape and vesicularity, it is not possible to determine the correct ratio, and these numbers are reported solely to illustrate that the bulk of the mass of the size distribution is within the larger particles.

Samples from the Askja 1875 and Eyjafjallajökull 2010 eruptions, collected in Sweden (12.25°E, 61.33°N) and the Faroe Islands (–6.79°E, 62.01°N), respectively, contained sufficient material for Coulter Counter laser diffraction particle size analysis, which is sensitive to particles down to 0.4 μm . It records a particle volume distribution based on the equivalent area diameter, defined as the diameter of a sphere with the same cross-sectional area as the particle. The equivalent area diameter is smaller than the long axis length (Riley et al., 2003). The PSDs (Fig. 2b) are best described by Weibull distributions, with a shape of 1.37–1.48 and a scale of 40–55 μm . This form of PSD has been linked to the sequential fragmentation/transport model of tephra grain size evolution (Wohletz et al., 1989) and may be typical of laser diffraction data. Distal Campanian Ignimbrite deposits (Engwell et al., 2013) measured in a similar fashion are also well described by Weibull distributions (shape 0.95–1.22, scale: 58–72) (shape 0.95–1.22, scale: 58–72; Engwell et al., 2013). The Weibull distribution has a fine tail compared to the lognormal distribution. It is possible that such particles were and contains particles $<12 \mu\text{m}$ that would be missed by the microscope counting method. However, Fig. 2 shows that BTD-active particles with diameters $<12 \mu\text{m}$ these particles represent only a small proportion of grains, indicating and that the microscope counting method correctly captures the peak of the cryptotephra size distribution.

The cryptotephra size distributions presented here are number distributions in terms of grain length. Due to the vesicular and extremely irregular shape of the grains, it is not appropriate to assume that they are dense spheres when converting to a mass distribution. One possible approach is to convert the median grain lengths into median equivalent volume diameters using the ratios calculated by Riley et al. (2003) for different compositions. These range from 1.05–1.17 for basalt and 1.14–1.33 for rhyolite. Results of this are shown in Table 3. Without specific information on particle shape and vesicularity, it is not possible to determine the correct ratio, and these numbers are reported to illustrate that the bulk of the mass of the size distribution is within the larger particles. The

380 Mt St Helens 1980 TGSD data also plotted in Fig. 2 illustrate the wide range of particle sizes released at the vent during explosive eruptions.

385 Figure 5 shows the variation in grainsize of Icelandic cryptotephra in Europe with distance from the source volcano. There is significant scatter in the tephrochronological data, which represents particles from different eruptions with different meteorological conditions. Few cryptotephra are finer than 20 μm , although part of this is due to limitations in identifying smaller grains. Most are less than 60 μm long. There is very poor correlation between diameter and transport distance. The UK-deposited cryptotephras from the 2011 Grímsvötn eruption, which are notable for their small grainsize. Stevenson et al. (2013) showed that these were transported from the lowest 4 km of the eruption plume are notable for their small grainsize (Stevenson et al., 2013), which may explain their small size. With the exception of Hekla 1947, UK cryptotephras from Hekla are rhyolite in 390 composition and are characterised by relatively large grainsizes (95% of grains less than 95th percentile grain diameters of 82–125 μm). The coarsest distal examples from the literature correspond to the Saksunarvatn and Vedde Ash tephras, which whose grains are characterised by bubble-wall shaped shards of thin volcanic glass (Lane et al., 2011; Housley et al., 2013).

3 Model constraints on cryptotephra transport

395 3.1 Method

We carried out simple transport modelling to determine the terminal velocity and transport range of 400 cryptotephra particles, which depend on the size, density and shape of the particle, and on atmospheric conditions (including the wind velocity) and the release height. The aim was to investigate the size of ash grains capable of being deposited in Europe following a moderately sized Icelandic eruption. We used two different schemes to calculate particle terminal fall velocity. The simplest possible scheme uses Stokes' settling law and assumed assumes spherical particles with a density of 2300 kg m^{-3} (rhyolitic glass) falling in a constant atmosphere. A more realistic analysis accounts for the non-spherical shape of the particles by using a Reynolds number dependent drag coefficient (Ganser, 1993) that varies with the sphericity (Ψ_R) of the particle (see Appendix B) for details). 405 $\Psi_R = 0.7$ was chosen for the Ganser scheme based on values from Riley et al. (2003) for a rhyolitic composition (Ash Hollow member, Nebraska; $\Psi_R = 0.6–0.8$) composition. The variation in density with grainsize was incorporated by using the relationship presented by Bonadonna and Phillips (2003), where the density decreases linearly from that of dense glass (2300 kg m^{-3} for Askja 1875) to that of pumice (440 kg m^{-3}) as size increases from 8 μm to 2 mm 2000 μm . 410 The more realistic analysis also uses a standard, stratified, atmosphere. In a standard atmosphere where the atmospheric density and viscosity decrease upwards, causing the terminal velocity of the ash particles to increase with height above sea level. The atmospheric effect is minor compared to corrections for the sphericity and density distribution of the ash particles, which act to decrease set-

ting velocity. The two schemes were compared to measured terminal fall velocities (at sea level) of
415 ash particles given by Riley et al. (2003), who report data for basaltic, andesitic and rhyolite compositions as a function of dimensions such as long axis length and equivalent area diameter (Fig. 226). These dimensions correspond to the [microscope](#) measurements made by tephrochronologists and [to](#) optical particle size measuring equipment, respectively (Section 2.1).

A mean wind speed of 10 m s^{-1} was chosen based on NCEP re-analysis data of wind speeds over
420 Iceland during the eruption of Eyjafjallajökull in spring 2010 (Petersen et al., 2012) and timings of contemporary reports of volcanic ash pollution in Europe following Icelandic eruptions (Askja 1875, Hekla 1947, Eyjafjallajökull 2010, Grímsvötn 2011; see Table 4). We used a release height of 10 km, the maximum plume height of the 2010 Eyjafjallajökull eruption, [and which is](#) reasonable for a moderately-sized Icelandic eruption (Gudmundsson et al., 2012). Atmospheric turbulence, rising
425 or subsiding air masses and particle aggregation are neglected in these simple treatments.

3.2 Results

Given a horizontal wind speed of 10 m s^{-1} , particles can be transported 850 km in 24 hours. This is consistent with results of detailed climatological analysis that found that ash from a small Hekla eruption has a 15% probability of reaching Scotland, Northern Ireland, Norway or Sweden within
430 24 hours, but that transport as far as the Mediterranean was also possible in that time (Leadbetter and Hort, 2011). The formation of cryptotephra deposits also depends on how long the particles remain airborne. This was calculated using each of the particle terminal velocity schemes, along with the distance travelled in that time. The results are shown in Fig. 6 and summarised in Table 5.

All schemes predict that cryptotephra sized particles released by a moderately-sized Icelandic
435 eruption can remain airborne for at least 24 hours and can travel as far as the distance to London under reasonable wind conditions. The Stokes' and Ganser schemes give similar results, with the Ganser scheme predicting that particles can travel slightly further. Using the [Riley et al.'s](#) terminal velocity data for [Ash Hollow](#) rhyolite particles results in a significant increase in the predicted travel distance of ash particles compared to the Stokes' and Ganser schemes. It corresponds
440 to a $3\times$ increase over dense spheres for $50 \mu\text{m}$ equivalent area diameter particles (Fig. 6) [These results show that in the absence of processes such as rainfall or aggregation, we should expect even moderately-sized Icelandic eruptions to deposit cryptotephra in mainland Europe.](#) Ash Hollow data are presented both in terms of particle length and particle equivalent area diameter. For rhyolite, the particle length is $1.44\text{--}1.71\times$ the equivalent area diameter of the same particle (Riley et al., 2003).
445 The measured terminal velocity of rhyolite particles was lower than basaltic particles, which fell at the same rate as rhyolite particles $1.18\text{--}1.68\times$ their equivalent area diameter. The uncertainties on measured Ash Hollow particle lengths for given terminal velocities are not known but are likely to be significant.

These results show that in the absence of processes such as rainfall or aggregation, we should expect even moderately-sized Icelandic eruptions to deposit cryptotephra in mainland Europe. The calculated transport distances of particles are compatible with our cryptotephra grainsize distributions and with measurements of maximum grainsize by tephrochronologists (Fig. 6). Median cryptotephra transport distances from our results are generally well represented by the calculated distances using the Stokes' or Ganser schemes, but calculations based on measured Ash Hollow fall velocities are closer to maximum grainsize measurements and the coarsest literature values.

4 Satellite infrared retrievals of cryptotephra-rich plumes

4.1 Method

We investigated how satellite infrared retrievals of ash characteristics change as the particle size increases. We used a modelling approach based on simulated satellite imagery representing data from the Spinning Enhanced Visible and Infrared Imager (SEVIRI) instrument on the geostationary Meteosat satellite (Millington et al., 2012; Kylling et al., 2013). Consequently, the input parameters were known and could be controlled. As the assumptions used in generating the simulated images are the same as those used in the retrievals, this represents a validation of the retrieval algorithm itself and not the physics of the BTD technique. Mie theory was used to model the absorption and scattering coefficients, which were combined to form a scaled extinction coefficient for volcanic ash with different refractive indices and size distributions at different wavelengths of infrared. This quantifies the sensitivity of the BTD effect to particle composition and size. It is an approximation for the effects of multiple scattering and therefore a better indication of the extinction properties than the single-scattering extinction coefficient. The refractive indices for andesite (Pollack et al., 1973) were used, in common with other studies (e.g. Pavolonis et al., 2006; Francis et al., 2012). Millington et al. (2012) quantified the effect of using the refractive indices of andesite, volcanic dust, obsidian and desert dust to simulate images of volcanic ash clouds. They found that data simulated using andesite and desert dust refractive indices gave the best agreement with measured satellite data for the 2010 Eyjafjallajökull eruption and the effect of varying refractive index on the simulated BTD was much smaller than that of changing the concentration or particle size distribution. For single particles, the geometric standard deviation (σ) was set to 1.0001 to effectively create an infinitely narrow distribution where all the particles are a single size, and the mass median radius (r_m) of the size distribution was varied from 0.1 μm to 25 μm . To simulate an ash cloud with a range of sizes, the σ was set to 2.0, similar to Pavolonis et al. (2013).

Radiative transfer calculations were performed using RTTOV-11, which is a very fast radiative transfer model for nadir viewing passive infrared and microwave satellite radiometers, spectrometers and interferometers (see Matricardi, 2005; Saunders et al., 2012, for details of the RTTOV-11 aerosol scattering and absorption scheme and validation data). The inputs to RTTOV-11 were Nu-

485 merical Atmospheric-dispersion Modelling Environment (NAME; Jones et al., 2006) simulations
of a volcanic ash cloud and Numerical Prediction Weather (NWP) meteorological data from the Met
Office's Global version of the Unified Model (Davies et al., 2005) (Davies et al., 2005). RTTOV-11
was run without water and ice clouds in the simulations such that the ash cloud was simulated in a
clear sky (surface and atmospheric water vapour and temperature variations were still present).

490 Simulations were performed using meteorological data and ash clouds modelled by NAME from
the Eyjafjallajökull eruption for 12:00 UTC on the following dates: 14th and 15th April, 6th, 7th,
8th, 9th, 11th, 13th, 14th, 15th, 16th and 17th May. In each case, the location, altitude and concen-
tration of volcanic ash predicted by NAME were used. The concentration data were converted to
number density assuming the same lognormal PSD in all pixels and interpolated onto the NWP grid
for modelling. The interpolation is necessary because the atmospheric dispersion model, NAME, is
495 run at a finer resolution than the NWP model. In a real ash cloud the size distribution would vary
downwind from the volcano as grains are deposited (Rose et al., 2001); this is a topic for future
studies of simulated imagery. As the aim of this study was to compare a range of PSD and weather
conditions, comparisons were made on a pixel-by-pixel basis and using a homogeneous cloud grain-
size does not affect our conclusion. The geometric standard deviation of the PSD (σ) was fixed at
500 2.0, following Pavolonis et al. (2013) and in line with airborne measurements of the Eyjafjallajökull
ash cloud ($\sigma=1.8\text{--}2.5$; Turnbull et al., 2012; Johnson et al., 2012) and the mass median radius of the
PSD was varied from $0.5\text{--}32\text{ }\mu\text{m}$. The outputs are simulated brightness temperatures (BTs) for SE-
VIRI infrared channels. High concentrations of ~~large~~ particles cause ash clouds to become opaque
(Rose et al., 2001). In ~~these simulations the simulations presented here~~, the concentration of ash
505 was sufficiently low for the clouds to be optically semi-transparent, even when dominated by larger
particles.

510 Retrievals were made on the simulated images using the method of Francis et al. (2012). The
primary test for volcanic ash uses the brightness temperature difference method on the 10.8 and
12.0 μm channels; additional pixels may be detected by tests using data from the $8.7\text{ }\mu\text{m}$ channel
and simulated water-vapour-corrected, clear-sky radiances, or removed by a test using the effective
cloud emissivities and a spatial filtering test. Once ash-contaminated pixels have been identified, a
retrieval of the physical properties is carried out using data from channels centred at $10.8\text{ }\mu\text{m}$, $12.0\text{ }\mu\text{m}$
and $13.4\text{ }\mu\text{m}$ to obtain estimates for the ash layer pressure (p_{ash} ; a proxy for the altitude of the
cloud), the ash column mass loading (L), and the ash size distribution effective radius (r_{eff}). The
515 geometric standard deviation, σ , of the retrieved ash cloud was fixed at 2.0. These values can then
be compared to the original input values (see Figure 8 for methodology flowchart). The retrievals
are carried out using a one-dimensional variational (1D-Var) framework, which attempts to reach a
statistically optimal estimate of the three physical properties of ash (p_{ash} , L , r_{eff}) consistent with the
satellite data (real or simulated) and any prior background knowledge by minimising a cost function
520 (Francis et al., 2012). ~~This has the advantage that the~~ The a priori effective radius used by the Met

Office in an operational setting is 3.5 μm . The total cost of the solution, which describes how closely the result matches the measured radiances and (weak) *a priori* constraints, can be used as a measure of uncertainty. The lower the total cost, the better the fit of the lower the level of uncertainty in the retrievals modelled solution to the observations.

525 **4.2 Results**

Initial modelling using Mie theory shows that, for SEVIRI, a negative BTD can only occur for individual (or monodisperse) spherical andesite particles (using refractive indices from Pollack et al. (1973)) with diameters less than $\sim 12.6 \mu\text{m}$ and that the effect is strongest for particles with diameters $< 6 \mu\text{m}$ (Fig. 7). Only these particles contribute to the BTD effect, and we refer 530 to them here as 'BTD-active'. However, volcanic ash clouds contain particles with a range of sizes. Calculations using a lognormal PSD with geometric standard deviation (σ) of 2.0, show that a (weak) negative BTD is produced for distributions with mass median radius up to $21.5 \mu\text{m}$. This corresponds to $r_{\text{eff}} = 16.5 \mu\text{m}$, which is in good agreement with Wen and Rose (1994). The sensitivity is low for for mass median radii $> 6 \mu\text{m}$.

535 Comparison A comparison between the input and the retrieved ash parameters for two example grainsize distributions (with PSD mass median radius of $4 \mu\text{m}$ and $12 \mu\text{m}$) as, is shown in Fig. 9a–c. It demonstrates the sensitivity of satellite identification of ash-containing pixels and retrievals to grainsize. In both cases, the retrieved effective radii are scattered across a range of values ($\pm 3\text{--}8 \mu\text{m}$ around the mean) due to variations in atmospheric, ground and ash cloud conditions (Fig. 9d,e).

540 Fewer ash-containing pixels are correctly identified detected when the grainsize is coarser and the retrieved effective radius is an underestimate. An infrared image may In the case of missed pixels, a forecaster in an operational Volcanic Ash Advisory Centre (VAAC) setting may still be able to identify a volcanic ash cloud because single-channel infrared images can show the presence of cooler material in the ash-filled pixels and visible imagery may show scatter from the aerosols, which 545 a forecaster may be able to recognise in an operational VAAC setting, but. However, it would not be detected by an automatic BTD method and therefore no retrievals would be automatically performed no retrievals are possible.

Fig. 10a shows the relationship between the mass median radius of the input PSD and the retrieved effective radius. There is large scatter in the retrieved effective radii, due to variations in the 550 atmospheric and volcanic plume conditions. The mean value follows the theoretical line until the mass median radius increases beyond $\sim 10 \mu\text{m}$. At larger sizes, the mean retrieved effective radius is lower than the theoretical effective radius and the underestimation increases as the mass median radius increases. The mean retrieved effective radius reaches a plateau at around $9 \mu\text{m}$ as the infrared retrievals have reducing reduced sensitivity to the increasing proportion of larger particles. This may 555 explain a lack of published retrieved effective radii greater than this value (Grainger et al., 2013). As the mass median radius of the PSD increases it is increasingly difficult to find a solution to the

retrieval problem as the sensitivity to the larger particles decreases. Where an increasing proportion of larger particles are present, Above a mass median radius of $21.5 \mu\text{m}$, ash-containing pixels are only detected by additional tests using extra information (e.g. use of $8.7 \mu\text{m}$ brightness temperatures Francis et al., 2012) incorporating data from the $8.7 \mu\text{m}$ channel and water vapour corrections (Francis et al., 2012); these would be missed by methods relying solely on the BTD. Fewer two-channel BTD. There are fewer ash-containing pixels with low levels of uncertainty in the simulated images that have well-fitting solutions in the retrieval (low cost values) were present, so the density of values for these sizes is lower. At the largest grainsizes, many retrievals result in an effective radius else closer to the *a priori* value set in the retrieval problem of $3.5 \mu\text{m}$.

The effect of changing the *a priori* effective radius can be demonstrated by running the retrievals with a value of $15 \mu\text{m}$ (Fig. 10b). This is much higher than the value used in an operational setting. Again, the mean value follows the theoretical line for particle distributions with mass median radius of $<6 \mu\text{m}$, but the results are more scattered than in the $3.5 \mu\text{m}$ case and there is a significant population of retrieved r_{eff} values around $9\text{--}14 \mu\text{m}$. For input mass median radii of $6\text{--}22 \mu\text{m}$, the retrieved effective radius is overestimated. Above this size the mean effective radius reaches a plateau at $16.7 \mu\text{m}$, which is the theoretical maximum size at which a PSD can exhibit the BTD effect.

The averaging kernel (Rodgers, 2000; Thomas et al., 2009) of a retrieval can quantify its sensitivity to the *a priori* estimates. The averaging kernel elements and the degrees of freedom of signal were calculated for each retrieved pixel (See Supplement for plots and more details). Theoretically, these range from 0–1 and 0–3 respectively, where 1 and 3 represent a perfect retrieval controlled only by the true state of the system. Using the operational *a priori* parameters, the median averaging kernel elements for effective radius, mass loading and ash top pressure are 0.95, 0.97 and 0.84. The median degrees of freedom of signal score is 2.7. This shows that retrievals are affected by the *a priori* estimates to some extent and that the mass loading and effective radius are more sensitive than the ash layer pressure to the true state of the system. The retrieval is most sensitive to small particles (mass median input radius $<10 \mu\text{m}$) and large mass loadings ($>2 \text{ g m}^{-2}$). Where ash-containing pixels have been identified, the degrees of freedom of signal at a concentration corresponding to low contamination of airspace (0.2 mg m^{-3} , or mass loading of 0.2 g m^{-2} for a 1 km thick cloud) is 2.0–2.4. Thus, the choice of *a priori* values is most important in distal clouds with low mass loadings, even though they are dominated by smaller particles.

The percentage of the input mass retrieved for a given mass median radius of the size distribution is shown in Fig. 11. The dashed line shows data from pixels correctly identified as containing ash and represents the accuracy of the retrieval method. The solid line compares the total ash input from the NAME model with the total mass retrieved and is sensitive to both the detection method and the retrieval method. Here, a cut-off mass loading value of 0.2 g m^{-2} was used. This is equivalent to a concentration of 0.2 mg m^{-3} for a 1 km ash cloud, which is the minimum concentration recorded on the ash concentration charts issued as supplementary charts by the London VAAC and the minimum

that can be reliably detected by satellite remote sensing has been suggested as the limit of sensitivity of the BTD method (Prata and Prata, 2012). For PSD with small geometric mass median radius of 1–2 μm , the detection and retrieval steps work very well and $\sim 100\%$ of mass is retrieved. As the geometric mass median radius increases the accurate identification of ash-contaminated pixels steadily decreases, with an approximately linear decrease of 5% per unit increase in geometric mass median radius. The retrievals tend to overestimate the mass loading for PSD with geometric mass median radii 6–10 μm by up to 60%. At greater particle sizes the retrieved mass loadings decrease, so the combined effect of underestimated detection and underestimated retrievals result in the mass loadings being increasingly underestimated. For a PSD with a mass median radius of 12 μm only $\sim 65\%$ of the mass is retrieved from pixels where ash is detected. This reduces to $< 25\%$ when considering all ash-contaminated pixels as many pixels that contain large ash particles are no longer identified.

605 5 Discussion

5.1 Distal Cryptotephra transport of cryptotephra to distal regions

Icelandic cryptotephra are found across NW Europe and provide information on the grainsize of particles carried to distal regions in volcanic plumes. The PSD for Our tephrochronology results show that PSDs of cryptotephra long axis lengths in the UK are lognormal, with very small proportions of particles small enough to contribute to the BTD effect theoretically BTD-active particles. The sizes are consistent with single-grain measurements from around the world and with distal grainsize distributions from much larger eruptions (Table 1). This implies that grains 20–125 μm are present in distal ash clouds, and that they comprise a larger fraction of the PSD closer to the volcano.

Most damaging ash-aircraft encounters occur within 24 hours of the onset of an eruption (Guffanti et al., 2010). At wind velocities observed during recent eruptions (Table 4), an ash plume could travel 500–1600 km in this time and our model results confirm the potential for cryptotephra-sized grains to remain airborne to these distances, even from moderately-sized eruptions. The transport models also highlight the moderate effect of incorporating shapesphericity, density and atmospheric stratification on terminal velocity calculations. The effect of using measured fall velocities from Riley et al. (2003) is even larger and can result in a 3× increase in particle travel range compared to dense spheres (Note: uncertainty on this figure may be high as error data were not available). When comparing volcanic ash grains of different compositions, our calculations also show that rhyolite grains are more likely to reach the UK than basaltic ones (see Fig. ??Supplement), which may partly explain the dominance of rhyolitic grains in European cryptotephra, despite explosive basaltic eruptions being more common in Iceland (Lawson et al., 2012).

The proportion Our modelling results show that transport of cryptotephra-sized grains in a distal ash cloud volcanic ash grains to distal regions should be expected, even from moderately-sized eruptions.

The PSD within ash clouds is not well constrained; this is an important question in understanding distal transport of volcanic ash. ~~Cryptotephra grainsize distributions indicate that grains that are too coarse to contribute to the BTD effect must be present within the plume at distances over 500–1000 km from the source volcano, and in relatively larger quantities closer to the vent. Satellite retrievals suggest that the plume PSD~~ Our results indicate that cryptotephra-sized grains should be present in distal ash clouds, while the assumption of Mie scattering by dense spheres implies that any ash cloud exhibiting a BTD is dominated by much smaller particles. The exact (small) proportion of cryptotephra-sized grains implied by a published r_{eff} can only be calculated if both the effective radius and the geometric standard deviation of the lognormal distributions are reported.

~~Satellite grains <10 μm in diameter. As satellite~~ PSDs overlap with the lower size range of cryptotephra PSDs. ~~these views may be consistent in distal regions.~~ For example, Prata and Prata (2012) retrieved an r_{eff} of 5.6 μm for an ash cloud near the Faroe Islands from Eyjafjallajökull eruption on 15 April 2010. Assuming a lognormal distribution with $\sigma=2.0$, 50% of the plume mass is contained in particles <14.3 μm in diameter (and up to 95% is within particles <44.5 μm). This is compatible with the ~~modal~~ median equivalent area diameter of particles deposited in the Faroe Islands by the Eyjafjallajökull eruption (40 μm ; see Fig 2b, but doesn't account for the largest particles or aggregates (>100 μm ; Stevenson et al., 2012). ~~This is less likely in proximal clouds.~~

5.2 Limitations of aircraft measurements of volcanic ash PSD

Published PSDs for airborne ash clouds are mostly limited to distal plumes, or to areas of low ash concentration around the plume margins ~~and may also be limited by the sampling method~~. For example, the plume from the Eyjafjallajökull 2010 eruption was sampled by the UK's Facility for Airborne Atmospheric Measurements (FAAM) aircraft and by the Deutches Zentrum für Luft- und Raumfahrt (DLR) Falcon aircraft. Both aircraft used wing-mounted sensors that estimate the grain-size of particles via optical scattering with nominal ranges of 0.6–50 μm (CAS instrument on FAAM) and 1–25 μm (FSSP-300 instrument on DLR Falcon). They also carried cloud imaging probes (CIP-15 with size range 15–930 μm on FAAM and 2D-C with range 25–800 μm on the DLR Falcon) that could detect much larger particles. Neither aircraft sampled the most concentrated parts of the plume during or immediately after the most explosive phases of the eruption (14–17 April, 5–6 May; Gudmundsson et al., 2012). FAAM reported that the most-concentrated ash ($>600 \mu\text{g m}^{-3}$) was measured 700 km down wind on 14 May 2010 and contained particles up to 35 μm diameter (Johnson et al., 2012). The DLR Falcon sampled the plume repeatedly, recording concentrations up to 765 $\mu\text{g m}^{-3}$ with grainsizes up to $\sim 20 \mu\text{m}$ diameter (Schumann et al., 2010). In both cases, much coarser particles were detected associated with meteorological clouds, but these were interpreted as water/ice. In another example, volcanic ash particles were identified on the air filters of the cabin cooling system of the NASA DC-8 aircraft that flew through ash from the Hekla 2000 eruption at a

distance of 1,500 km from the volcano. Ash grains were 1–10 μm in length (Pieri et al., 2002), but
665 it is not clear if this is representative of the size in the cloud.

The lack of coarser cryptotephra-sized grains in these results may be a consequence of sampling
during weak phases of eruptions and outside the highest concentration regions in the centre of the
plume. The coarsest grains are likely to be deposited from the climactic phases of eruptions and from
the most concentrated parts of their plumes. Alternatively, coarser ash grains may be associated with
670 ice as hydrometeors (Durant et al., 2009), especially ~~during subglacial eruptions where if an eruption~~
~~was subglacial, with~~ large quantities of water ~~are present~~ at the vent.

The grainsize distribution within more concentrated plumes closer to the volcanoes was measured
by Hobbs et al. (1991). A 10 km high plume from Mt Redoubt was sampled on 8 January 1990 at
a location 130 km downwind, when the cloud was 2.5 hours old. Measurements were made with
675 a forward light scattering particle size instrument with a ~~nominal~~-stated range of 2–47 μm . The
measured distribution contains particles of all sizes from <1 μm and is dominated by those in the
10–30 μm size range.

However, there is evidence that this does not represent the true size distribution within the plume.

The shape of the size distribution (and those from the Mt St Helens and St Augustine eruptions,
680 also measured by Hobbs et al (Hobbs et al., 1991)) shows that it has been truncated so as to contain
no particles coarser than 40 μm . This is due to the upper size limit of the instrument and is why
all emission fluxes were reported as corresponding to particles <48 μm diameter. In fact, it can be
expected that 50% of the material erupted during a short-lived, subplinian andesite eruption such as
the 8 January 1990 Redoubt eruption, will have a grainsize coarser than 100 μm (e.g. Mt Spurr 1992;
685 Durant et al., 2009), and that these particles will still be airborne after just 2.5 hours([Fig. ??](#)). This
was demonstrated by the encounter between flight KLM867 and the ash from a previous eruption of
Mt Redoubt on 15 December 1989, which took place further downwind, at a distance of 280 km from
the volcano. Analysis of the aircraft found “heavy contamination” of the engine oil with particles
690 up to 60 μm and a “substantial population” of 100 μm particles on the aircraft exterior (Casadevall,
1994). Thus the distributions presented in Hobbs et al. (1991) underestimate the concentration of
cryptotephra-sized particles (and coarser) in the airborne plume. This is important because they are
commonly used by VAACs to initialise atmospheric dispersion models (e.g. Webster et al., 2012).

5.3 Factors affecting satellite retrievals

Analysis of simulated satellite [infrared](#) images presented here shows that [the retrieval algorithm](#)
695 [performs best for simulated clouds with mass median radius less than 5 \$\mu\text{m}\$. This corresponds to](#)
[particles <10 \$\mu\text{m}\$ diameter, which have the highest differential absorption between the two infrared](#)
[bands. When using the Met Office operational settings in the retrieval algorithm with an *a priori*](#)
[effective radius of 3.5 \$\mu\text{m}\$, the](#) retrieved effective radii are systematically underestimated in clouds
with mass median radii greater than \sim 10 μm . This discrepancy arises because the retrieval problem is

700 ill-posed, with many possible combinations of r_{eff} , mass loading, cloud height and meteorological parameters that would cause the observed (or simulated) BTD signal. ~~The retrieval algorithm finds that a solution involving a lower mass loading of smaller particles (which contribute strongly to BTD effect) has a lower cost than a higher mass loading of a coarse PSD in which only a small proportion of grains contribute to the BTD effect~~ Using a high *a priori* effective radius of 15 μm
705 causes overestimation of retrieved effective radius for mass median radius above 5 μm . Analysis of the averaging kernel (see Supplement for details) shows that the choice of *a priori* effective radius becomes more important as the ash cloud concentration and the proportion of BTD-active particles decrease, causing a reduction in the influence of the observations on the retrieval. Our results apply to the method of Francis et al. (2012), but the ~~significantly~~ higher sensitivity of the
710 BTD method to the finest grainsizes and the absence of published r_{eff} values greater than 10 μm , even in proximal plumes, indicate that it is likely to be a feature of all similar retrieval algorithms. The results also highlight how incorporating meteorological information and brightness temperatures from other infrared channels allows ash-containing pixels to be identified that would otherwise be missed using the BTD method alone. As hyperspectral infrared satellite data become more widely
715 available (e.g. Gangale et al., 2010), using information from the extra bands may better constrain retrievals.

720 Systematic underestimation of ash cloud mass is a result of both the reduced detection rate of ash-filled pixels containing large particles and the under-estimation of the mass loading within pixels that are correctly identified as ash-filled but that contain large particles. This has implications for our understanding of plume processes, as satellite data are used to track decreasing plume mass via deposition and to estimate the proportion transported to distal areas (Rose et al., 2000, 2001). ~~This informs, and thus our understanding of ash-cloud processes. Reliable sedimentation from volcanic plumes. Reliable ash cloud mass~~ data are also important for aviation safety. The London VAAC uses estimates of the distally transported mass proportion to initialise the NAME dispersion model
725 (Webster et al., 2012). Satellite-derived mass loadings are also increasingly used directly for advice to the aviation industry and in inversion modelling (e.g. Stohl et al., 2011). It is therefore important that the bias towards small particle sizes and low mass loadings is incorporated into any interpretation of satellite retrievals.

730 Meteorological factors complicate retrievals, both in simulations and real-life clouds. The main effect is to add noise, causing the retrieved r_{eff} from a single input distribution to have a range of values. For this reason, we recommend that histograms of retrieved effective radius from many pixels across the cloud should not be presented in a manner in which they could be mistaken for the grainsize distribution in the cloud. In a real plume, high atmospheric water vapour loading can produce positive BTDs, while temperature inversions above ice-covered land surfaces can produce
735 negative BTDs (Prata et al., 2001). Furthermore, the presence of volcanic gases or ice forming upon ash particles may also affect the BTD signal. ~~Our simulations were carried out without water and~~

ice clouds. Including them in the simulations is likely to decrease the number of pixels in which ash was successfully detected. This was the finding of Kylling et al. (2013), who reported that detection was difficult when ash clouds were mixed with, or located only slightly above, water clouds.

740 The simulations consider an idealised situation where ash particles are ~~dense spheres~~ assumed to be ~~dense spheres that scatter infrared light according to Mie theory. Existing methods for retrievals from volcanic ash clouds also use this assumption, which dictates that any cloud exhibiting a BTD will be interpreted as having a PSD dominated by particles <10 μm in diameter.~~ Recently, investigations using computer models of the optical properties of non-spherical, vesicular particles ~~using computer models~~ shows that irregular particles can produce negative BTD at coarser grainsizes (~~up to 20 μm~~) than dense spheres (~~Kylling et al., 2014~~) (~~up to 20 μm ; Kylling et al., 2014~~). The same study also concludes that the ~~the~~ assumption of dense spherical particles can underestimate the retrieved mass by 30% compared with porous spheres and that ~~uncertainty~~ ~~uncertainty~~ in particle shape increases the error to 50%. This is a physical factor that may ~~contribute to the limited range of published retrieved effective radii and may also~~ explain why retrievals are possible from proximal clouds that should be too coarse to exhibit a BTD effect (e.g. Ruapehu 1996, Eyjafjallajökull 2010 Prata and Grant, 2001; Bonadonna et al., 2011). Thus, these errors should be taken into account when using retrievals that have used the ~~dense sphere assumption~~ (e.g. Ruapehu 1996, Eyjafjallajökull 2010; Prata and Grant, 2001; Bonadonna et al., 2011). Real ash particles (such as those in Fig. 4) are even more irregular than those modelled by (Kylling et al., 2014). It may be possible for a platy ash ~~shard grain~~ 5 μm thick to exhibit the BTD effect, despite having a length and width that would be reported by tephrochronologists of 50–100 μm . Making a retrieval on an ash cloud containing such grains on the assumption of dense spheres will lead to a systematic, and potentially significant, underestimation of the particle size. Current refractive index data have been measured from thin sections (e.g. Pollack et al., 1973) or from grains sieved 750 to less than <22.5 μm in size (Grainger et al., 2013). Further quantitative, empirical data on the optical properties of ash samples of varied size, shape and composition are required to better-constrain this effect. Given the large difference between fall velocities of real and simulated ash particles, these would ideally be combined with measurements of aerodynamic properties, thus improving dispersion modelling inputs, too.

765 6 Conclusions

We ~~suggest four reasons for the~~ have reviewed and supplemented the evidence that volcanic ash particles 20–125 μm in length can be transported >500 km from their source volcanoes. We also used simple models to show that this is to be expected, even from moderately sized eruptions. These results highlight a discrepancy between the size of volcanic ash particles reported by tephrochronologists and by satellite remote sensing. We suggest three reasons for this that add to our understanding 770 of the difference between the two results.

The first is the way that tephrochronologists measure and report grainsize. ~~The Two factors cause reporting of slightly higher grainsizes compared to remote sensing methods. Firstly, the~~ long axis length measurements made by tephrochronologists are around $1.5 \times$ the equivalent area diameter of the same particles. ~~Furthermore, as~~ ~~Secondly, as manually-measured~~ cryptotephra size distributions are lognormal, ~~reporting when tephrochronologists report~~ the arithmetic mean grainsize ~~as opposed to the geometric mean~~ it gives the impression that the modal grainsize is larger than it is. ~~However, comparison~~ ~~We recommend that the geometric mean and standard deviation are used in future.~~ Comparison of grainsize distributions measured ~~manually~~ by optical microscope and (lower size limit of 10–15 μm) with those measured by laser particle size analyser (range of 0.4–2000 μm) demonstrates that modal grainsize is still captured correctly by manual measurements ~~and so difficulty~~. ~~Difficulty~~ in identifying the smallest grains is ~~therefore~~ not a large source of error in reported cryptotephra sizes.

The second reason is that r_{eff} represents a size distribution extending to much coarser grain sizes. For example, where $r_{\text{eff}}=8 \mu\text{m}$ and the geometric standard deviation, σ is 2.0, 95% of the mass is contained in particles $<64 \mu\text{m}$. For this reason, σ should always be reported alongside r_{eff} values and histograms of r_{eff} should not be presented in a way that could be misunderstood as a PSD. Cryptotephra grains may therefore be represented by the coarse tail of the distribution and distal aircraft measurements of dilute ash clouds from weak eruptions are consistent with this. It should be noted that there are no reliable published grainsize distributions obtained by direct sampling within concentrated (~~→~~ ~~1 g~~ 1 g m^{-3}) ash clouds. ~~Cryptotephra~~ ~~Cryptotephra-sized~~ grains within the coarse tail of the distribution cannot be the whole explanation, however, as r_{eff} values of 10–17, which are theoretically possible, are not reported in the literature, even for proximal clouds.

~~Here we highlight Retrievals carried out on simulated satellite infrared imagery illustrate~~ a third reason: low r_{eff} values can result from ~~the~~ systematic underestimation by retrieval algorithms. This occurs because ~~solutions with low masses dominated by small particles, to which the infrared data are more sensitive, are preferable to those with high masses dominated by large most sensitive to particles~~. ~~The~~ $<6 \mu\text{m}$ in radius. Where these represent a small proportion of the simulated ash cloud, the solution is poorly constrained and the *a priori* choice of retrieved effective radius becomes more important. Solutions dominated by small, strongly BTD-active particles require relatively low ash column loadings to generate the same BTD effect as those containing large, non-BTD-active particles, which can lead to underestimation in the retrieved ash cloud mass. This is an important consideration for VAACs as the combined effect of undetected pixels and ~~underestimation~~ underestimation of retrieved mass loading causes over 50% of the mass of the cloud to be missed. ~~This is an important consideration for VAACs, yet it is~~

~~The above reasons are~~ still insufficient to explain the $10 \times$ discrepancy between ground- and satellite-based estimates of deposit mass in proximal areas.

The fourth reason is We hypothesise that this results from the physics of infrared scattering by vesicular and highly irregular volcanic ash particles. As recently highlighted by Kylling et al. (2014), 810 using the dense spheres approximation underestimates the size of particles that are able to contribute to the BTD effect. The largest distal tephra grains have a platy morphology and can be 50–100 μm long, but $<5 \mu\text{m}$ thick; it may be possible that they contribute to the BTD effect in certain orientations. Under the dense spheres approximation, these would be interpreted as having a diameter of any BTD signal is assumed to result from particles with diameter $<12 \mu\text{m}$. (Kylling et al., 2014) demonstrated 815 that simulated spherical particles containing bubbles could exhibit a BTD effect up to 20 μm diameter. We suggest that empirical, quantitative studies into the optical and aerodynamic properties of volcanic ash grains of varied composition and size are essential to address this problem.

Appendix A: Particle size distributions and the effective radius

The size distribution of airborne volcanic ash is typically modelled as lognormal, as defined by 820 Equation A1.

$$n(r) = \frac{N_0}{\sqrt{2\pi} \ln(\sigma)} \frac{1}{r} \exp\left(-\frac{(\ln r - \ln r_m)^2}{2\ln^2(\sigma)}\right) \quad (\text{A1})$$

where N_0 is the total number density, r is the particle radius and r_m is the number median radius (which is equal to the number *geometric* mean radius). There is frequently confusion, particularly across different subjects, in the meaning of σ and so care must be taken when comparing size distributions in the literature. In this formulation, σ is the *geometric* standard deviation, such that $\ln(\sigma)$ is 825 the standard deviation of the logarithms of the grainsizes, and 95.5% of the distribution lies within the range $r_n \div \sigma^2$ to $r_n \times \sigma^2$ (Limpert et al., 2001). Values of σ of ~ 2 are commonly used to describe the PSD of volcanic ash clouds (Pavolonis et al., 2013).

It can be shown that the particle size distribution in terms of mass is also lognormal (Seinfeld and 830 Pandis, 2006), with the same geometric standard deviation, σ , and with a mass median radius r_m related to the number median radius r_n by:

$$r_m = r_n \exp(3\ln^2 \sigma) \quad (\text{A2})$$

The effective radius is the size of particle in a uniformly-sized suspension of that scatters infrared in an equivalent manner to the combined effect of all particle sizes in a size distribution. It is calculated by 835 Equation A3.

$$r_{eff} = \frac{\int_0^\infty r^3 n(r) dr}{\int_0^\infty r^2 n(r) dr} \quad (\text{A3})$$

where r is the particle radius and $n(r)$ is the number density per unit radius. The effective radius for the lognormal size distribution in terms of number median radius and geometric standard deviation is:

$$840 \quad r_{eff} = r_n \exp \left(\frac{5}{2} \ln^2 \sigma \right) \quad (A4)$$

For a PSD with geometric standard deviation (σ) of 2.0, the effective radius is therefore equal to $3.32 \times$ the number median radius (r_n) and $0.79 \times$ the mass median radius (r_m). Curves showing the grainsize distributions that correspond to different effective radii are shown in Fig. 1.

Appendix B: Equations for terminal velocity of non-spherical particles

845 The terminal fall velocity (W_T) of a single particle falling in air is given by Eq. B1 ([Maryon et al., 1999](#)) ([Maryon et al., 1999](#)) .

$$W_T = \left(\frac{4}{3} \frac{D}{C_D} g \frac{\rho_P - \rho}{\rho} \right)^{1/2} \quad (B1)$$

D is the particle diameter, C_D is the drag coefficient, g is gravitational acceleration, ρ is fluid density and ρ_P is particle density. Equation B1 can be applied to all particle shapes and Reynolds numbers (Re) by defining an appropriate drag coefficient (C_D) and taking the particle diameter to 850 be that of an equivalent sphere with the same volume (D_v). For spherical particles at low Reynolds numbers (i.e. $Re \ll 1$), $C_D = 24/Re$ and Equation B1 simplifies to Stokes' law. This was used for the simplest scheme and is appropriate for particles up to $100 \mu\text{m}$ diameter. For the more realistic scenario, the drag coefficient (C_D) for non-spherical particles was calculated using the scheme presented by Ganser (1993) as recommended by Alfano et al. (2011) and described by Eq. B2.

$$855 \quad C_D = \frac{24}{Re K_1} \left(1 + 0.1118 [Re(K_1 K_2)]^{0.6567} \right) + \frac{0.4305 K_2}{1 + \frac{3305}{Re K_1 K_2}} \quad (B2)$$

$$K_1 = \frac{3}{1 + 2 \Psi_R^{-0.5}} \quad (B3)$$

$$K_2 = 10^{1.84148} (-\log \Psi_R)^{0.5743} \quad (B4)$$

$Re = \frac{\rho W_T D_v}{\eta}$ is the Reynolds number and η is the fluid viscosity. The particle morphology is characterised using the sphericity parameter (Ψ_R), which is defined in 2-dimensions by Riley 860 et al. (2003, ; Eq. B5) as the ratio between the projected area (A_P) and the square of the projected perimeter (P_P):

$$\Psi_R = \frac{4\pi A_P}{P_P^2} \quad (B5)$$

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