



Characterization of anthropogenic methane plumes with the Hyperspectral Thermal Emission Spectrometer (HyTES): a retrieval method and error analysis

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Abstract

We introduce a retrieval algorithm to estimate lower tropospheric methane (CH₄)
15 concentrations from surface to 1 km with uncertainty estimates using Hyperspectral
Thermal Emission Spectrometer (HyTES) airborne radiance measurements. After
resampling, retrievals have a spatial resolution of 6x6 m². Total error from single
retrieval is approximately 20%, with the uncertainties determined primarily by
noise and spectral interferences from temperature, surface emissivity, and
20 atmospheric water vapor. We demonstrate retrievals for a HyTES flight line over
storage tanks near Kern River Oil Field (KROF), Kern County, California and find an
extended plume structure in the set of observations with elevated methane
concentrations (3.0±0.6 ppm to 6.0±1.2 ppm), well above mean concentrations
(1.8±0.4 ppm) observed for this scene. With a 20% estimated precision, plume
25 enhancements with more than 1 ppm are distinguishable from the background
noise. HyTES retrievals are consistent with simultaneous airborne and ground-
based in situ CH₄ mole fraction measurements within the reported accuracy of
approximately 0.2 ppm (or ~8%), due to retrieval interferences related to
temperature or H₂O or both.

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1 Introduction

5 Methane (CH_4) is an important greenhouse gas. Although the atmospheric
concentration of CH_4 is substantially lower than that of CO_2 , the radiative forcing per
 CH_4 molecule is 20 times greater than that of CO_2 (Ramaswamy, 2001; Solomon and
(eds.), 2007). Global concentrations of CH_4 have increased nearly threefold from
~700 ppb since preindustrial Holocene (1000 to 1800 A.D) to ~1850 ppb today
10 (Etheridge et al., 1998; NOAA, 2013) with approximately 60-70% of modern CH_4
emissions from anthropogenic sources (Lelieveld et al., 1998).

Major anthropogenic sources of CH_4 include energy, industrial, agricultural, and
waste management sectors (Kirschke et al., 2013). Hence CH_4 levels are often higher
15 than the global mean near areas such as oil fields, coal mines, natural gas systems,
and feedlots. Quantifying and reducing uncertainties associated with anthropogenic
 CH_4 emissions generally depend on the capability to monitor and quantify these
emitters or leaky sources, which can have an out-sized impact on anthropogenic CH_4
emissions (e.g., Caulton et al., 2014 and refs therein). These out-sized impacts of a
20 few leaky sources is one hypothesis for explaining inconsistencies between top-
down and bottom-up estimates of the CH_4 emission inventories for large cities or
gas exploration regions (Wunch et al., 2009; Hsu et al., 2010; Wennberg et al.,
2012; Peischl et al., 2013; Jeong et al., 2013; Wong et al., 2015; McKain et al.,
2015; Kort et al., 2014; Frankenberg et al., 2011).

25 This study presents a quantitative, robust and reliable retrieval algorithm for
estimating concentrations in anthropogenic methane plumes at the 1 – 10 m scale
using airborne radiance measurements from the airborne Hyperspectral Thermal
Emission Spectrometer (HyTES) (Hook et al., 2013, 2015). HyTES is a pushbroom
30 imaging spectrometer that produces a wide swath Thermal Infrared (TIR) image
with high spectral and spatial resolution that incorporates a number of key state-of-



the-art technologies developed at JPL. It utilizes 256 spectral channels between 7.5 to 12 micron and 512 spatial pixels cross track with ~2 meter spatial resolution of when observing from low altitude of about ~1000 m (1 km) above ground level (AGL). Previous studies produced maps of methane distributions from airborne
5 hyperspectral TIR sensor radiances using methods such as the Cluster-Tuned Matched Filter Detection (CMF) (Funk, 2001); however, such correlative approaches do not yield quantitative estimates of the methane plume concentrations or the corresponding methane emission rates. Our approach is based on the methane optimal estimation atmospheric retrieval algorithm developed for use the Aura
10 Tropospheric Emission Spectrometer (Worden et al., 2004; Bowman et al., 2006; Worden et al., 2012). This method quantifies the estimation uncertainties and vertical sensitivity of the estimate using a Bayesian approach.

HyTES has collected imagery data during flights over the extent of the Kern River Oil
15 Field (KROF), Kern County, California, three different times during February 2015. This paper focuses on describing a retrieval algorithm to quantify CH₄ concentrations based on these HyTES TIR radiances (Sect. 2 and 3). We show the retrieval results for the flight line measured on February 5, 2015, in which a large scale and well developed methane plume is mapped (Sect. 4). In Sect. 5, the retrieval
20 estimated background and plume values are cross-compared with nearby in-situ measurements from airborne and on-road sensors. The conclusions are summarized in Sect. 6. The analysis of more retrieval results for other flight lines will be discussed in the future papers.

25 **2 Retrieval strategy**

We apply the retrieval algorithm originally designed for the remote sensing measurements from Tropospheric Emission Spectrometer (TES) on board of the Earth Observing System's Aura Satellite to HyTES spectra from 7.5 to 9.2 micron
30 band to estimate CH₄ mixing ratios in the boundary layer. The major modification of the TES forward model to simulate measured radiances by the airborne HyTES is to



correct the optical path since the observational instrument is now located about 1 km above the ground instead of above the top of the atmosphere.

2.1 HyTES CH₄ spectral windows

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We use radiances from 7.5 to 9.2 microns (or 1092.3 to 1329.8 wavenumber), which includes the methane band at approximately 8.5 microns to estimate methane. The spectral resolution of approximately 0.0176 microns or 2.12 wavenumbers results in a total of 93 spectral measurements per observations. In Figure 1 the black line shows an example of HyTES measured radiances. There is strong interference in this region from water vapor (H₂O) and nitrous oxide (N₂O), some interference by ozone (O₃), and weak interference from carbon dioxide and few low concentration gases. Thus, we simultaneously retrieve H₂O and N₂O with CH₄ and input the empirical profiles for the other interference gases in the forward model. Atmospheric temperature, surface temperature, and emissivity also affect the observed radiance and are therefore simultaneously retrieved with these trace gases during the inversion iterations.

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20 2.2 Forward model and *a priori* vectors

To simulate radiances observed by the HyTES airborne sensor, the radiative transfer model is driven by a realistic temperature/pressure, surface temperature, atmospheric trace gas concentrations, cloud, and emissivity (Bowman et al., 2006). The forward model is based on the Line-By-Line Radiative Transfer Model (LBLRTM) (Worden et al., 2006) (Alvarado et al., 2012). The *a priori* surface temperature, atmospheric temperature, and water vapor profiles are taken from the National Centers for Environmental Prediction/National Center for Atmospheric Research (NCEP/NCAR) Reanalysis dataset for the appropriate time and location (Kalnay et al., 1996).

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5 Simulated high-resolution forward model radiances are convolved with the HyTES instrument line shape (ILS) function and sampled to the center wavenumber of each HyTES measured frequency. For example, Figure 1 shows a comparison between a HyTES radiance measurement and the forward model radiance based on *a priori* atmospheric profile. Differences between the measurement and model reflect differences in the actual and *a priori* temperature, H₂O, methane, and surface emissivity. Surface temperature and emissivity *a priori* information are derived by atmospherically correcting the HyTES radiance data using an in-scene atmospheric correction (ISAC) approach (Young et al., 2002). The advantage of the ISAC method is that atmospheric correction is accomplished using the hyperspectral data itself without the need for external atmospheric profiles. In addition the issue of spectral band misregistrations is eliminated. The Temperature Emissivity Separation (TES) algorithm (Gillespie et al., 1998) is then applied to the atmospherically corrected radiances to produce a surface temperature and spectral emissivity for the HyTES bands. This approach is currently being used to produce the HyTES Level-2 products available for ordering at <http://www.hytes.jpl.nasa.gov/order>.

2.3 Retrieval methodology

20 The observed radiances (y) by HyTES can be described as the sum of model-calculated radiances ($f(x)$) and the residuals (ε) between two of the radiances.

$$y = f(x) + \varepsilon \quad (1)$$

25 The retrieval algorithm uses an optimal estimation approach (Rodgers, 2000; Bowman et al., 2006). Based on this approach, the estimate can be related to the “true state” (in this case the true distribution of methane, temperature, H₂O, etc.) in the form of the following equation:

$$30 \hat{x} = x_a + A(x_a - x) + Gn \quad (2)$$



where \hat{x} , x_a and x are the retrieved, a priori, and the “true” state vectors respectively. The state vectors for trace gases, such as H₂O, CH₄, and N₂O, are expressed in natural logarithm of volume mixing ratio (VMR). However,
 5 atmospheric temperature, surface temperature, and surface emissivity are all retrieved linearly. We simultaneously estimate all of these parameters; therefore the state vector is given by a combination of these parameters, i.e.:

$$x = \begin{Bmatrix} \ln(q_i^{CH_4}) \\ \ln(q_i^{H_2O}) \\ \ln(q_i^{N_2O}) \\ T_i \\ T_{surface} \\ \epsilon_j \end{Bmatrix} \quad (3)$$

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Where the symbol “ q ” refers to concentration in VMR at atmospheric level “ i ”. The “ T_i ” is a profile of atmospheric temperature and the “ ϵ_j ” is the surface emissivity as a function of wavelength “ j ”. For the retrievals shown here we estimate three levels of the atmosphere. The “ n ” is a vector of measurement noise on the spectral
 15 radiances. The signal-to-noise ratio (SNR) for HyTES measurements varies between 100 and 180 as a function of frequency at the window region for CH₄ retrieval. $\mathbf{G} = \frac{\partial x}{\partial y}$ is the gain matrix, mapping from radiance space into profile space. The averaging kernel, \mathbf{A} , describes the sensitivity of the retrieved state to the true state:

$$20 \quad \mathbf{A} = \frac{\partial \hat{x}}{\partial x} = \mathbf{H}\mathbf{K}^T\mathbf{S}_n^{-1}\mathbf{K} = \mathbf{G}\mathbf{K}, \quad (4)$$

where $\mathbf{K} = \frac{\partial y}{\partial x}$, is the sensitivity of the forward model radiances to the state vector.

\mathbf{H} is the Hessian matrix, which also represents the covariance matrix for the *posterior* state:



$$\mathbf{H} = (\mathbf{K}^T \mathbf{S}_n^{-1} \mathbf{K} + \mathbf{S}_a^{-1})^{-1} \quad (5)$$

\mathbf{S}_n is a covariance matrix for measurement error, a diagonal matrix representing
 5 expected errors resulting from spectral noise that is calculated using the noise
 equivalent temperature difference (NEDT) for the HYTES sensor. \mathbf{S}_a is a covariance
 matrix for *a priori* state.

2.4 Error analysis

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We can characterize the error budget from a single retrieval with the knowledge of
 the uncertainties of the *a priori* state and the measurement noise (Kuai et al., 2014).
 The error in the retrieved state is its difference to the true state:

$$15 \quad \delta \mathbf{x} = \hat{\mathbf{x}} - \mathbf{x} = (\mathbf{I} - \mathbf{A})(\mathbf{x}_a - \mathbf{x}) + \mathbf{G} \boldsymbol{\varepsilon}_n \quad (6)$$

where ‘ \mathbf{I} ’ is a identical matrix. The three terms on the right-hand side of this
 equation is composed of smoothing error, measurement error, and systematic error.

20 The total error covariance matrix after retrieval is

$$\hat{\mathbf{S}} = (\mathbf{I} - \mathbf{A}) \mathbf{S}_a (\mathbf{I} - \mathbf{A})^T + \mathbf{G} \mathbf{S}_n \mathbf{G}^T + \mathbf{G} \mathbf{K}_b \mathbf{S}_b (\mathbf{G} \mathbf{K}_b)^T \quad (7)$$

Since we are most interested in the error for the target gas (CH_4), the submatrix of
 25 the total error covariance matrix for CH_4 can be rewritten, by separating a
 covariance for a cross-state error from the covariance for the smoothing error (e.g.,
 (Worden et al., 2004)), as

$$\hat{\mathbf{S}}_{uu} = (\mathbf{I} - \mathbf{A}_{uu}) \mathbf{S}_a^{uu} (\mathbf{I} - \mathbf{A}_{ig})^T + \mathbf{A}_{uv} \mathbf{S}_a^{vv} (\mathbf{A}_{uv})^T + \mathbf{G} \mathbf{S}_n \mathbf{G}^T, \quad (8)$$



where subscript 'u' refers to the state vector for the target gas and 'v' refers to simultaneously retrieved parameters other than CH₄. Therefore, \mathbf{A}_{uu} represents the submatrix of \mathbf{A} that is associated with the state vector for CH₄. The same applies to \mathbf{S}_a^{uu} and \mathbf{S}_a^{vv} . \mathbf{A}_{uv} refers to the submatrix of \mathbf{A} that relates the sensitivity of the vector 'u' to the vector of 'v'. With this equation, we can attribute the contribution of each the error term in the total error.

3 Retrieval results and error budget

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Figure 1 shows an example of the retrieved radiances (red) fitting with the observed radiances (black) much better than *a priori* radiances (blue). In the bottom plot, the residuals become random and symmetric about zero after retrieval. Figure 2 shows how the retrieved profiles of H₂O, CH₄, N₂O, and atmospheric temperature compared with their *a priori* profiles, as well as for the surface temperature.

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We used an *a priori* "covariance" matrix for methane to regularize the methane retrieval. This covariance has diagonal values of 0.32 (squared) and off-diagonal values of the empirical correlations between levels. We find this covariance results in a Degrees-Of-Freedom for Signal (DOFS) of approximately 1 (for the retrieval shown in Figure 3, we obtain 0.7). With this DOFS, we expect to observe variations that are larger than the calculated posterior uncertainties that are partly based on the *a priori* covariance. Note that detection of plumes partly depends on the vertical distribution of the plume. For example, the averaging kernel shown in Figure 3 peaks at approximately 0.6 km above the surface. If plume concentrations are all below HyTES most sensitive level (0.6 km), for example when boundary layer height is below 0.6 km, the plume will be trapped primarily near the surface (where the averaging kernel is approximately 0.15) then it will be more challenging to detect the enhancement with these retrievals.

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We find that the a *posteriori* uncertainty for the observed methane column (or average of the observed CH₄ for the three retrieved atmospheric levels) is approximately 20%, for example 0.4 ppm for a background value of 2 ppm (see Figure. 3). The dominant sources of the total error are the smoothing error, measurement error, atmospheric temperature error, H₂O error, and emissivity error. The contributions of surface temperature, and N₂O error to the total error are quite small.

4 Mapping and quantify a methane plume from HyTES data

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We ran the CH₄ retrievals of HyTES observations acquired from one flight line collected over one of many active plumes, west of the KROF, on February 5th, 2015. The majority of detected point sources are originated from storage tanks (detected by cluster matched filter; Hulley, *et al.*, 2015).

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An image of 1000 by 512 pixels is originally taken, covering approximately 2 km² area centered about 35° latitude and 119° longitude. The radiance measurements are resampled every 3 by 3 pixels to reduce the measurement noise and reduce computational time. Therefore, the spatial resolution of single target retrieval is now 6×6 m² after the resampling.

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A map of the retrieved CH₄ concentrations in the boundary layer is shown along with an image of CH₄ concentration variability calculated using CMF method (Hulley *et al.*, 2015) in Figure 4. Both of them are the cut-off area over the plume. In the CMF image, the intensity of white pixels corresponds to higher concentrations of methane. Both images consistently show a large-scale and well-developed CH₄ plume with maximum enhancements relative to background right above several storage tanks at the surface. Elevated CH₄ concentrations are observed in the plume in excess of 3±0.6 ppm within box **b** in Figure 4 with a maximum enhancement of 6±1.2 ppm and decreasing towards the downwind side and spread over a larger

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area (Figure 4 box **c** and **d**). Lower concentrations of about 1.8 ppm are observed for the rest of this scene presenting a background region (such as box **a**). Some scattered, high-biased concentrations of approximately 2.3 ppm are observed away from the plume or at the upwind side of the point source that are likely artifacts of spectral interference from temperature and H₂O because they co-vary with their retrieved quantities and because they are within the calculated uncertainties. Note that the uncertainties are not necessarily a normal distribution as they also depend on variations in the interfering quantities such as temperature and H₂O. Consequently, methane variations at these levels are very difficult to distinguish from the background variations given the estimated uncertainties of ~20% (or ~0.5 ppm).

We used chi-square less than 1.2 as the quality control, where chi-square is the root mean square of the ratio of spectral residuals to the measurement noise. White pixels are those bad retrievals fail to pass the quality flag. For example, two blocks at upper right side away from the point source are estimated of unusually elevated CH₄ for more than 7 ppm, which are resulting from abnormal large negative thermal contrasts.

Figure 5 (a) show that the distributions for methane concentrations over the hotspot area (box (b), (c) and (d) in Figure 4) are distinguishable from the distributions of background areas (box (a)). The signal of those significant enhancements over the storage tanks is larger than the background uncertainties. The distribution for the plume in the box (b) displays a nice long tail structure. Similar asymmetric distribution is found for box (c) and (d), outflows of the emission followed wind direction. For the background areas, the histogram in box (a) has more of a Gaussian distribution.

5 Comparison of HyTES to airborne and ground-based in situ CH₄

30 A methane profile was measured in tandem with HyTES flights on Feb. 5 by an instrumented aircraft used by the CARVE (Carbon in Arctic Reservoirs Vulnerability



Experiment) project during its winter downtime (Miller and Dinardo, 2012). CARVE
uses rapid response cavity ring down spectroscopy (G1401, Picarro Inc.) to measure
CH₄ (as well as CO₂ and CO) in flight, and records temperature, pressure, and
location. CARVE data were collected by flying toward and away from the plume area
5 within the boundary layer (between 963 to 979 mbar), and then spiraling up in a
larger area to get the vertical profile in the free troposphere between 963 mbar and
692 mbar. For the lower boundary layer (i.e., below 979 mbar), surface CH₄
observations were used from vehicle transects that intersected the plume. The
vehicle observations were made by an on-board G2401 (Picarro, Inc.) that was
10 calibrated against the G1401 on CARVE.

Within the boundary layer, we divided CARVE and on-road data into in plume and
out of plume measurements, giving us two profiles to represent the plume profile
and background profile (Figure 6). The two profiles, interpolated from three
15 atmospheric levels (i.e., surface to 979 mbar from on-road, 979 to 963 for airborne
in boundary layer, and 963 to 897 for the free troposphere in the HyTES partial
column), are then convolved with the HyTES averaging kernel and CH₄ *a priori*
constraint which represents the HyTES “instrument” function that accounts for the
instrument characteristics and retrieval approach for HyTES methane estimates
20 with the following equation

$$\hat{x} = x_a - \mathbf{A}(x - x_a) \quad (9)$$

Table 1 summarizes the vertical average of CH₄ below 1 km at both background
25 region and plume area by CARVE and HyTES. The quantitative retrievals of HyTES
data suggest the background value of the whole area is 1.80±0.20 ppm, consistent
with the in situ CH₄ measurements convolved with averaging kernel. The bias of 0.22
ppm to in situ data is consistent with the estimated systematic error of 6~7% due to
temperature or H₂O bias or both (see Figure 3). The precision of 0.20 ppm is
30 consistent with the estimated random error of 8% from measurement noise. The



average of the plume pixels (>2.2 ppm) in box (**b**) is 2.86 ppm, very close to the value of the plume measured in situ. The errors due to temperature and H_2O in form of random errors increase the precision to 0.62 ppm.

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6 Conclusions

In this study, we estimated CH_4 concentrations in one of many active plumes in the Kern River Oil Field using airborne thermal IR radiance measurements from HyTES flying at approximately 1 km AGL. The DOFS for a retrieval of CH_4 concentration from HyTES measurements is close to 1. The uncertainties are about 20% of the estimated CH_4 concentrations for an integrated column between the surface and aircraft. The primary sources of the uncertainties are found to be measurement noise, atmospheric temperature, surface emissivity, and H_2O . Minor errors are introduced by N_2O and surface temperature.

Enhanced methane concentrations of approximately 3 ± 0.6 to 6 ± 1.2 ppm are observed above some storage tanks. The background value around this region is approximately 1.8 ± 0.2 ppm. This methane source is observed continuously releasing elevated methane during other HyTES flight lines on Feb. 8 and 9, 2015. This background value of 1.8 ± 0.2 ppm is consistent with aircraft measurements of background methane in the region of approximately 2 ppm as the accuracy of the HyTES data is approximately 8% or ~ 0.16 ppm

A future study will apply this retrieval algorithm to a larger number of point sources and cross compare the HyTES quantitative retrievals with in situ measurements, such as road data or other airborne observations, such as CARVE and the Next Generation Airborne Visible Infrared Spectrometer (AVIRIS-NG) during a multi-aircraft, multi-platform campaign.

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Table 1. CH₄ vertical average below HyTES flight height. In situ CH₄ profile with AK is the in situ data convolved with HyTES averaging kernel.

Unit (ppm)	Background	In plume
In situ CH ₄	2.13	3.34
In situ CH ₄ with AK	2.02	2.84
HyTES	1.80±0.2	2.86±0.62

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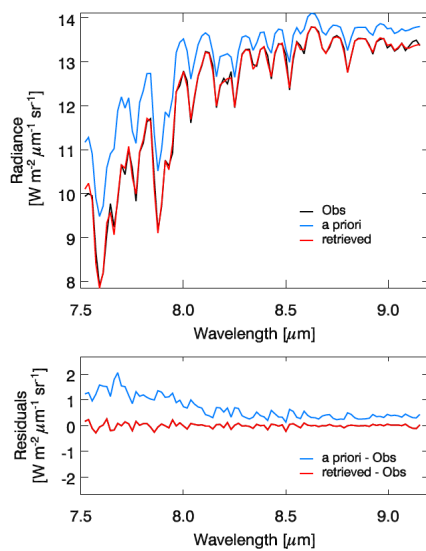


Figure 1. The spectral window for CH₄ retrievals. Top: HyTES measured radiances (black) and two model calculated radiances from *a priori* (blue) and retrieved states (red). Bottom: residuals to the observations.

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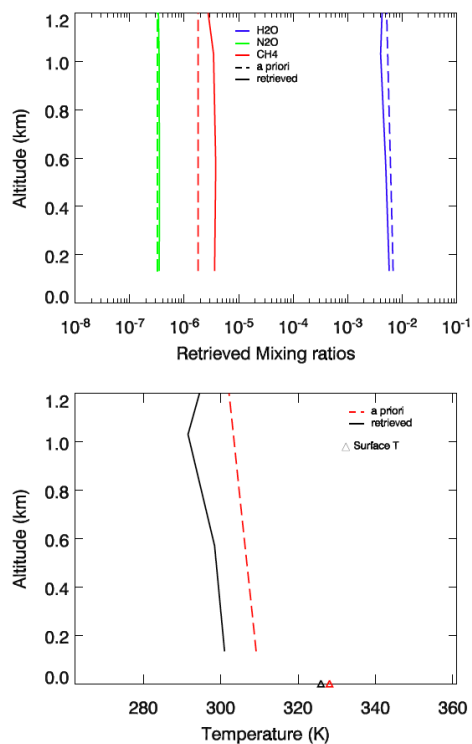


Figure 2. *A priori* (dash or red) and retrieved (solid or black) atmospheric states (H₂O, N₂O, CH₄, temperature, and surface temperature).

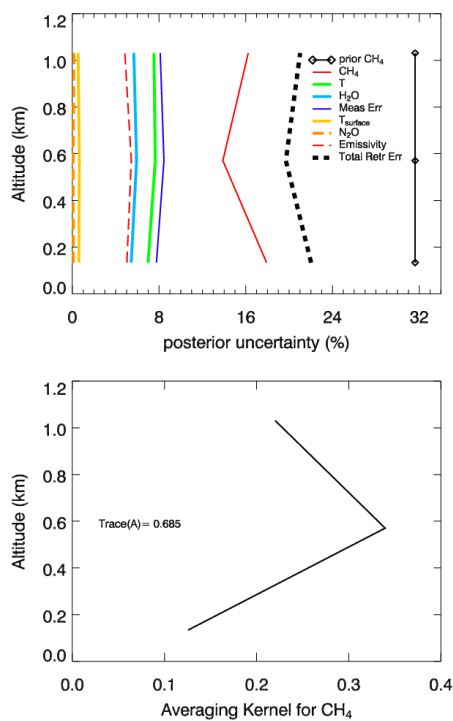


Figure 3. Error budget of the total error in retrieved CH₄. The uncertainties of the *a priori* CH₄ (about 32%) drop to 20%, total error after a retrieval.

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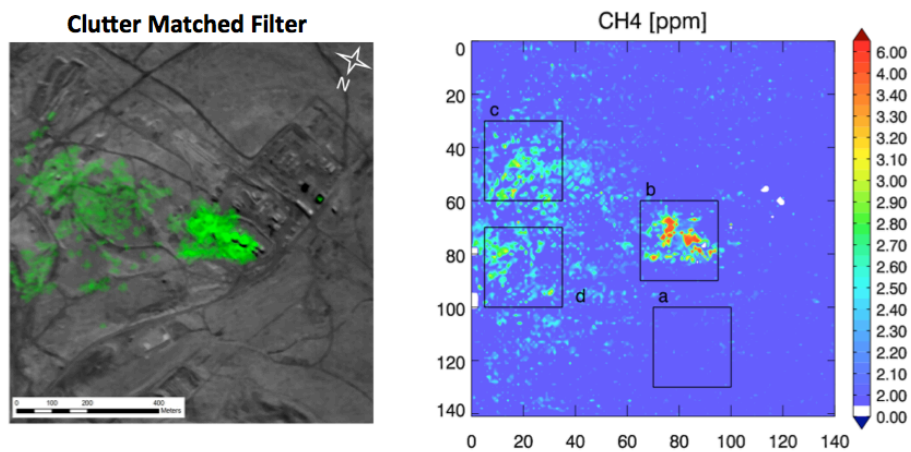


Figure 4. Left: HyTES detected methane plumes (in green) from oil tanks on Feb. 5, 2015 in Kern County, CA and overlaid on grayscale surface temperature image.
5 Right: The methane concentration of the same image from the retrieval estimation. White pixels are missing data.

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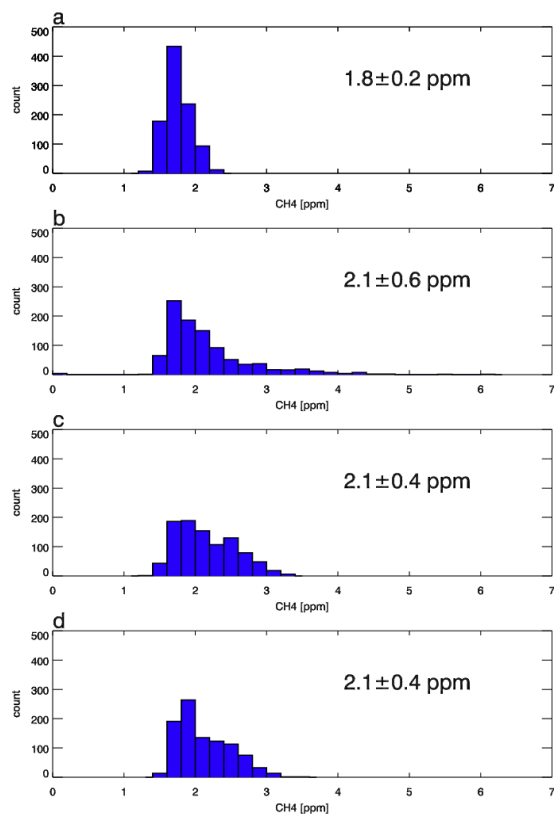


Figure 5. The distributions the methane concentrations in the area of four boxes
5 defined in Figure 4.

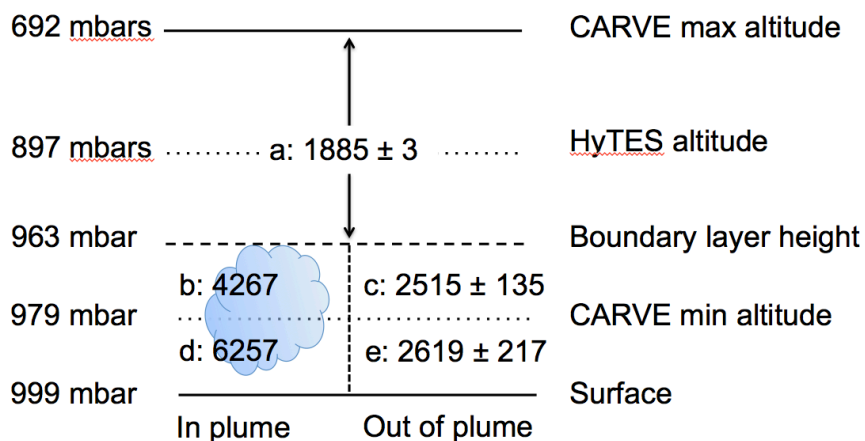


Figure 6. Partial column average to profile CH₄ in the plume and background region with CARVE and vehicle data.

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