



1 **Limb–nadir matching using non-coincident NO<sub>2</sub>**  
2 **observations: Proof of concept and the OMI-minus-OSIRIS**  
3 **prototype product**

4

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17

18 **Abstract**

19 A variant of the limb-nadir matching technique for deriving tropospheric NO<sub>2</sub> columns is  
20 presented in which the stratospheric component of the NO<sub>2</sub> slant column density (SCD)  
21 measured by the Ozone Monitoring Instrument (OMI) is removed using non-coincident profiles  
22 from the Optical Spectrograph and InfraRed Imaging System (OSIRIS). In order to correct their  
23 mismatch in local time and the diurnal variation of stratospheric NO<sub>2</sub>, OSIRIS profiles, which  
24 were measured just after sunrise, were mapped to the local time of OMI observations using a  
25 photochemical box model. Following the profile time adjustment, OSIRIS NO<sub>2</sub> stratospheric  
26 vertical columns densities (VCDs) were calculated. For profiles that did not reach down to the  
27 tropopause, VCDs were adjusted using the photochemical model. Using air mass factors from  
28 the OMI Standard Product (SP), a new tropospheric NO<sub>2</sub> VCD product – referred to as OMI-



1 minus-OSIRIS (OmO) – was generated through limb-nadir matching. To accomplish this, the  
2 OMI total SCDs were scaled using correction factors derived from the next-generation SCDs  
3 that improve upon the spectral fitting used for the current operational products. One year, 2008,  
4 of OmO was generated for 60°S to 60°N and a cursory evaluation was performed. The OmO  
5 product was found to capture the main features of tropospheric NO<sub>2</sub> including a background  
6 value of about  $0.3 \times 10^{15}$  molecules/cm<sup>2</sup> over the tropical Pacific and values comparable to the  
7 OMI operational products over anthropogenic source areas. While additional study is required,  
8 these results suggest that a limb-nadir matching approach is feasible for the removal of  
9 stratospheric NO<sub>2</sub> measured by a polar orbiter from a nadir-viewing instrument in a  
10 geostationary orbit such as Tropospheric Emissions: Monitoring of Pollution (TEMPO) or  
11 Sentinel-4.

12

## 13 **1 Introduction**

14 Nadir satellite instruments can measure daily global maps of tropospheric nitrogen dioxide  
15 (NO<sub>2</sub>), which, at the surface, is a pollutant linked to smog and acid rain. Tropospheric NO<sub>2</sub> was  
16 first successfully retrieved from the Global Ozone Monitoring Experiment (GOME) (Burrows  
17 et al., 1999), and has since also been measured by the Scanning Imaging Absorption  
18 Spectrometer for Atmospheric Chartography (SCIAMACHY) (Bovensmann et al., 1999),  
19 Ozone Monitoring Instrument (OMI) (Levelt et al., 2006) and GOME 2 (Callies et al., 2000)  
20 nadir-viewing instruments.

21 High concentrations of NO<sub>2</sub> are also found in the stratosphere, where NO<sub>2</sub> has a large seasonal  
22 and diurnal variability due to photochemistry, and, in some case, due to dynamics as well (e.g.,  
23 Dirksen et al., 2011). Therefore retrievals for tropospheric NO<sub>2</sub> from nadir instruments  
24 typically rely on extrapolation or assimilation approaches to determine the stratospheric  
25 contribution to NO<sub>2</sub>. A correct and unbiased removal of stratospheric NO<sub>2</sub> is a major challenge  
26 and represents a significant source of uncertainty in tropospheric NO<sub>2</sub> retrieval products.

27 Various methods have been used to separate stratospheric and tropospheric contributions from  
28 the total NO<sub>2</sub> vertical column density (VCD) measured by nadir satellites. Several of these  
29 techniques assume that the NO<sub>2</sub> distribution over a remote, non-polluted location, like the  
30 Pacific Ocean, is dominated by the stratospheric component. These stratospheric NO<sub>2</sub> values  
31 are then extrapolated to other locations. Hilboll et al. (2013) give a good overview of the  
32 development of these methods from early analyses, which assumed that stratospheric NO<sub>2</sub> does



1 not vary within a latitude band (Martin et al., 2002; Richter and Burrows, 2002) to more  
2 complex techniques, such as the planetary wave-2 zonal analysis technique (Bucsela et al.,  
3 2006). Chemical transport model information can also be used to infer stratospheric NO<sub>2</sub>. In  
4 order to account for biases between the modeled stratosphere and the satellite measurements,  
5 the model data can be scaled to the satellite measurements through comparisons in the Pacific  
6 Ocean (Richter et al., 2005) or the measured total column NO<sub>2</sub> can be assimilated in the model  
7 (e.g., Boersma et al., 2007). These techniques, as applied to operational data products for OMI,  
8 are described in more detail in Sect. 2.1. Another approach is the cloud slicing method  
9 (Belmonte Rivas et al., 2015; Choi et al., 2014), which yields information on the NO<sub>2</sub> vertical  
10 profile. When there is cloud cover, the lower part of the atmosphere is obscured, so the levels  
11 of NO<sub>2</sub> in various layers of the atmosphere above the clouds can be inferred. This approach is  
12 not currently used for any operational data products.

13 Another promising technique involves using the global, vertically resolved stratospheric NO<sub>2</sub>  
14 profiles from satellite instruments that measure in the limb-viewing geometry. Near-daily  
15 global resolution can be obtained using limb instruments that measure scattered sunlight, such  
16 as the Optical Spectrograph and InfraRed Imaging System (OSIRIS) (Llewellyn et al., 2004;  
17 McLinden et al., 2012b) and SCIAMACHY (Bovensmann et al., 1999), as well as instruments  
18 that measure emissions, such as the Michelson Interferometer for Passive Atmospheric  
19 Sounding (MIPAS) (Fischer et al., 2008) and the High Resolution Dynamics Limb Sounder  
20 (HIRDLS) (Gille et al., 2008). These limb-viewing measurements of stratospheric NO<sub>2</sub> can  
21 then be matched to and subtracted from the nadir total column measurement.

22 The limb-nadir matching method has been employed for SCIAMACHY NO<sub>2</sub> (Beirle et al.,  
23 2010; Hilboll et al., 2013; Sierk et al., 2006; Sioris et al., 2004), which provides both limb and  
24 nadir measurements virtually simultaneously and at the same local time. In the most recent  
25 analysis, Hilboll et al. (2013) calculate a stratospheric NO<sub>2</sub> VCD from limb measurements for  
26 each nadir measurement. They adjust the stratospheric VCDs to match the levels observed in  
27 the nadir columns using a latitude-dependent factor calculated daily over the Pacific Ocean,  
28 where levels of tropospheric NO<sub>2</sub> are expected to be low. Since the SCIAMACHY limb and  
29 nadir instruments measure at the same local time, the diurnal variation of NO<sub>2</sub> does not  
30 complicate the limb-nadir matching.

31 In this proof of concept study, the potential of deriving tropospheric NO<sub>2</sub> from measurements  
32 taken by limb and nadir instruments at different local times is explored. OSIRIS limb-viewing



1 stratospheric NO<sub>2</sub> from the descending node of the orbit, measured toward sunrise, and OMI  
2 nadir-viewing column NO<sub>2</sub>, measured near midday, are used to quantify the abundance of  
3 tropospheric NO<sub>2</sub>. OMI tropospheric NO<sub>2</sub> has been well-characterized against other  
4 instruments (Boersma et al., 2011; Lamsal et al., 2014) and has been used in many scientific  
5 studies of tropospheric air pollution (e.g., Duncan et al., 2016; Krotkov et al., 2016; McLinden  
6 et al., 2012a; Russell et al., 2012; Veefkind et al., 2011; Zhou et al., 2012) as well as some work  
7 on stratospheric NO<sub>2</sub> (e.g., Dirksen et al., 2011). OSIRIS stratospheric NO<sub>2</sub> agrees well with  
8 other instruments (Brohede et al., 2007; Kerzenmacher et al., 2008) and provides daily near-  
9 global coverage, which is necessary to match to OMI measurement dates and locations. The  
10 resulting tropospheric VCDs are referred to as the OMI–minus–OSIRIS (OmO) data product.

11 Although, the local time mismatch between OSIRIS and OMI adds a significant complication  
12 due to the diurnal nature of NO<sub>2</sub>, it is also a more realistic scenario in the merging of limb  
13 measurements for some future missions. Nadir-viewing instruments on geostationary platforms  
14 such as the Tropospheric Emissions: Monitoring of Pollution (TEMPO) (Chance et al., 2013;  
15 Zoogman et al., 2014), Sentinel-4 (Ingmann et al., 2012), and Geostationary Environmental  
16 Monitoring Spectrometer (GEMS) (Kim, 2012) will be measuring throughout the sunlit day at  
17 many local times. These measurements could be paired with limb-viewing instruments on polar  
18 orbiters. Ideally, a limb-nadir merging would be carried out through a data assimilation system,  
19 but it is important nonetheless to understand their compatibility in a much simpler framework.

20 In order to create the OmO dataset, the following steps were taken. OSIRIS, OMI, and  
21 photochemical model data were used, as described in Sect. 2. A series of 3-day average  
22 stratospheric VCD maps on a uniform latitude and longitude grid were created from OSIRIS  
23 data for various local times, using scale factors from the photochemical model, as described in  
24 Sect. 3. The OmO data product was then calculated using OMI VCDs and air mass factors  
25 (AMFs), along with matched stratospheric VCDs interpolated from the OSIRIS VCD maps.  
26 These calculations and a correction factor for a known bias in the OMI data (Marchenko et al.,  
27 2015; van Geffen et al., 2015), as well as an assessment of the OmO prototype data, are  
28 described in Sect. 4. A discussion of these results and future applications is given Sect. 5.

29



## 1 **2 Measurements and Modelling Tools**

### 2 **2.1 OMI on Aura**

3 OMI (Levelt et al., 2006) is a nadir-viewing solar backscatter spectrometer on-board the Aura  
4 satellite (Schoeberl et al., 2006), which was launched into a polar orbit about the Earth on 15  
5 July 2004. The satellite was designed to further our understanding of stratospheric and  
6 tropospheric chemistry as well as climate systems through high spectral resolution  
7 measurements in the UV/visible (270–500 nm). OMI follows a sun-synchronous orbit with a  
8 98.2° inclination and an ascending equatorial node crossing of approximately 13:45 local time.  
9 OMI captures a 114° field of view, which covers a width of 2600 km. The swath direction is  
10 perpendicular to the satellite flight path, so with 14 orbits per day there is near complete global  
11 coverage. There are a total of 60 binned pixel positions across the entire swath with an  
12 outermost swath-angle of 57°. In the centered nadir position, the ground pixel size covers 13×24  
13 km<sup>2</sup> (along track by across track) for the UV-2 and visible channels, and 13×48 km<sup>2</sup> for the  
14 UV-1 channel. As the swath-angle increases, the pixel footprint increases to a maximum of  
15 ~15×150 km<sup>2</sup> at the outermost pixel positions. NO<sub>2</sub> is retrieved with the visible channel between  
16 405 and 465 nm, where there is little interference from other absorbers.

17 There are two operational NO<sub>2</sub> data products: the Dutch-OMI NO<sub>2</sub> (DOMINO v2) product  
18 (Boersma et al., 2011) and the NASA standard product (SP v2) (Bucsela et al., 2013). These  
19 two data products are referred to as OMI-SP and OMI-DOMINO, respectively, throughout this  
20 paper. Both products employ a multi-step approach with a common first step. Differential  
21 optical absorption spectroscopy (DOAS) is used to determine the NO<sub>2</sub> slant column densities  
22 (SCDs) by fitting the ratio of earthshine radiance to extra-terrestrial irradiance spectra to  
23 laboratory reference data, Ring spectrum (Chance and Spurr, 1997) and polynomial.  
24 Physically, the SCD represents the total absorption by NO<sub>2</sub> along the average path of the  
25 sunlight through the atmosphere, which includes absorption in both the stratosphere and  
26 troposphere.

27 Different approaches are used for the second step, which is to execute the stratosphere-  
28 troposphere separation. OMI-DOMINO accomplishes stratosphere-troposphere separation by  
29 assimilating the OMI slant columns within the TM4 chemistry-transport model (Boersma et al.,  
30 2007; Dirksen et al., 2011), effectively determining how much NO<sub>2</sub> resides in the stratosphere,  
31 which is then subtracted from the total slant column. For OMI-SP, the stratospheric column is



1 recovered by performing a local analysis of the stratospheric field in cloudy regions or regions  
2 where there is no tropospheric pollution. These values are then extrapolated to polluted regions  
3 using spatial interpolation and smoothing technique technique (Bucsela et al., 2013), which  
4 assumes that changes in tropospheric NO<sub>2</sub> occur on relatively shorter geographical scales than  
5 stratospheric ones.

6 The final step for both operational NO<sub>2</sub> data products is the determination of the tropospheric  
7 VCD from the residual SCD in the troposphere. In general, the total SCD,  $S$ , is related to the  
8 total VCD,  $V$ , through the tropospheric air mass factor (AMF),  $A$ , by,  $S=V \cdot A$  and is a  
9 measure of the changes in absorption when light traverses an effective or “slant” path through  
10 a tropospheric layer. The AMF is dependent on the path length, which in turn depends on the  
11 solar zenith angle (SZA), the satellite viewing angle, the vertical distribution of absorbing  
12 species, cloud and aerosol properties, and albedo. In the troposphere, a key dependence is the  
13 vertical distribution of NO<sub>2</sub> which is taken from model simulations: the TM4 model (at the time  
14 of the measurement) for the DOMINO product and the Global Modeling Initiative (GMI) model  
15 (monthly) for the SP product. AMFs are calculated using radiative transfer models that  
16 accurately simulate absorption, multiple-scattering, and surface reflection.

17 The OmO prototype dataset was constructed using the AMFs and VCDs from the OMI-SP v2  
18 dataset (Bucsela et al., 2013). An alternate OmO-DOMINO prototype was also constructed  
19 using OMI-DOMINO v2.0 (Boersma et al., 2011) AMFs and VCDs. The OSIRIS stratospheric  
20 VCDs and OmO tropospheric VCDs were compared with both OMI-SP and OMI-DOMINO  
21 v2.0. For all figures and statistics presented in this paper, OMI and OmO data for OMI cloud  
22 radiance fractions (CRF)  $< 0.3$  and SZA  $< 75^\circ$  were used. Additionally, OMI pixels affected  
23 by the row anomaly were removed. Note that both the OMI-SP and OMI-DOMINO retrieval  
24 algorithms correct for across-track variability, or stripes, for pixels that are not affected by the  
25 row anomaly.

## 26 **2.2 OSIRIS on Odin**

27 OSIRIS (Llewellyn et al., 2004; McLinden et al., 2012b) measures the atmospheric limb  
28 radiance of scattered sunlight as a function of tangent altitude from the upper troposphere to the  
29 lower mesosphere. This Canadian instrument is on-board Odin (Murtagh et al., 2002), a  
30 Swedish satellite, which was launched 20 February 2001 into a sun-synchronous orbit near 600  
31 km in altitude with about a 90-minute period. The satellite track is near-terminator and closely



1 follows the local dusk and dawn terminators on the ascending and descending tracks with  
2 northward and southward equatorial crossings at 18:00 and 06:00 local time, respectively.  
3 OSIRIS has near-global coverage from 82°S to 82°N with an orbital inclination of 98° from the  
4 equator. At the tangent point, the atmosphere is in darkness when the SZA is greater than 90°;  
5 the winter hemisphere is largely darkened at the local time of the measurements.

6 OSIRIS includes an optical spectrograph, which is comprised of an optical grating and a charge-  
7 coupled device detector, and an infrared imager. Atmospheric limb radiance is measured by the  
8 optical spectrograph between 280 and 810 nm with a spectral resolution of about 1 nm. Vertical  
9 profiles from approximately 7 to 110 km in tangent altitude are acquired by nodding the  
10 spacecraft. The field of view at the tangent point is roughly 40×1 km<sup>2</sup> (horizontal by vertical)  
11 and successive measurements are separated by about 2 km tangent altitude.

12 In this work, stratospheric profiles from the v5.0 NO<sub>2</sub> dataset (Haley and Brohede, 2007) were  
13 used. Slant column densities are retrieved in the 435–451 nm range, using the DOAS technique.  
14 NO<sub>2</sub> profiles are then retrieved using an optimal estimation technique on a fixed retrieval grid,  
15 from 10–46 km at 2 km intervals. The OSIRIS NO<sub>2</sub> stratospheric VCDs agree to within  
16 0.25×10<sup>15</sup> molecules/cm<sup>2</sup> of the other limb instruments for most latitudes and seasons, as shown  
17 in Appendix A.

18 OSIRIS data from the descending node only were used in this analysis. Due to the diurnal  
19 variation of NO<sub>2</sub>, there are systematic differences between descending and ascending track  
20 measurements, which are taken at morning and evening local times respectively. The  
21 descending node was selected because in the ascending node OSIRIS measures at larger SZAs,  
22 leading to fewer valid measurements in the winter hemisphere. Furthermore, the solar  
23 scattering angle on the ascending track is closer to the forward scattering scenario, which causes  
24 clouds and aerosols to appear very bright leading to the saturation of pixels and the rejection of  
25 some measurements.

26 Additionally, only data for SZA < 88° were used. This largely eliminates errors introduced by  
27 the “diurnal effect”, also called “chemical enhancement” (Hendrick et al., 2006; McLinden et  
28 al., 2006), which is not currently accounted for in the NO<sub>2</sub> retrieval. This occurs because  
29 sunlight passes through a range of SZAs in the atmosphere before reaching the OSIRIS  
30 instrument, and therefore samples NO<sub>2</sub> at different points in its diurnal cycle. This effect is  
31 largest toward SZA = 90°, where NO<sub>2</sub> varies rapidly.



1 The 2008 period was chosen because it covers the time when the descending node measurement  
2 time drifts closest to 07:00 before the sampling time drifts back toward 06:00. This maximizes  
3 the number of valid descending node measurements.

### 4 **2.3 Photochemical box model**

5 In this work, a stratospheric photochemical box model (Brohede et al., 2008; McLinden et al.,  
6 2000; Prather, 1992), is employed to simulate the NO<sub>2</sub> diurnal cycle (see Sect. 3.1) and to adjust  
7 OSIRIS stratospheric VCDs for NO<sub>2</sub> profiles that terminate above the tropopause (see Sect.  
8 3.2). For a particular simulation, the background pressure and temperature atmospheric  
9 profiles, ozone, long-lived tracers (N<sub>2</sub>O, H<sub>2</sub>O, CH<sub>4</sub>), and the NO<sub>y</sub>, Cl<sub>y</sub>, and Br<sub>y</sub> families need to  
10 be specified for each altitude of the OSIRIS profiles. All remaining species are calculated to be  
11 in a 24-h steady-state by integrating the model over 30 days, but fixed to a given Julian day.  
12 Heterogeneous chemistry on background stratospheric aerosols is prescribed by the model, but  
13 no polar stratospheric clouds are included.

14 For the present study, the photochemical model was run at each altitude layer of every OSIRIS  
15 NO<sub>2</sub> profile measurement. N<sub>2</sub>O, CH<sub>4</sub>, NO<sub>y</sub>, Cl<sub>y</sub>, and Br<sub>y</sub> from the Canadian Middle Atmosphere  
16 Model (CMAM) (Jonsson et al., 2004; Scinocca et al., 2008) were interpolated to the month  
17 and latitude of the OSIRIS NO<sub>2</sub> measurement. H<sub>2</sub>O was derived from tracer correlations with  
18 CH<sub>4</sub> (McLinden et al., 2000). OSIRIS ozone profiles (Degenstein et al., 2009), measured at the  
19 same time as NO<sub>2</sub>, were included in the analysis. Outside of the OSIRIS ozone altitude range  
20 and for scans with missing ozone data, the CMAM ozone climatology (Jonsson et al., 2004;  
21 Scinocca et al., 2008) was used. Albedo was also from OSIRIS retrievals (Degenstein et al.,  
22 2009) from the same scan as the NO<sub>2</sub> measurement. Aerosol extinction was interpolated from  
23 2-week OSIRIS aerosol extinction averages (Rieger et al., 2015) and converted to aerosol  
24 surface area assuming a lognormal distribution with a mode radius of 80 nm and a mode width  
25 of 1.6, which is consistent with the OSIRIS aerosol retrieval assumptions. Pressure and  
26 temperature profiles were obtained from the European Centre for Medium-Range Weather  
27 Forecasts (ECWMF) analysis data for the time and location of each OSIRIS scan.

28

### 29 **3 OSIRIS Stratospheric VCD Maps**

30 In order to create the OmO data product, stratospheric VCDs must be available at the latitude,  
31 longitude, date, and local time of each OMI measurement. Therefore, individual OSIRIS



1 stratospheric profiles were scaled to a uniform grid of local times using the photochemical box  
 2 model and stratospheric VCDs were calculated from these profiles. The stratospheric VCDs  
 3 were gridded uniformly in space and time, so that they could be interpolated to OMI  
 4 measurements latitude, longitude, date, and local time. The detailed procedure used to create  
 5 the OSIRIS stratospheric VCD maps is described in this section.

### 6 3.1 Scaling the local time of OSIRIS measurements

7 A key challenge in comparing and merging measurements of stratospheric NO<sub>2</sub> made at  
 8 different local times lies in reconciling the impact of its diurnal cycle due to photochemistry.  
 9 For a detailed description of the diurnal variation of NO<sub>2</sub> and its impact on comparisons  
 10 between satellite instruments, see, e.g., Belmonte Rivas et al. (2014). Over short time-scales  
 11 (~1 minute), NO<sub>2</sub> and NO are in fast photochemical equilibrium and are referred to as NO<sub>x</sub>.  
 12 Since NO is produced by the photolysis of NO<sub>2</sub>, more NO<sub>x</sub> is in the form of NO<sub>2</sub> when there is  
 13 less available sunlight. Therefore, levels of NO<sub>2</sub> are typically lower during the day than  
 14 overnight, with sharp gradients over sunrise and sunset. Furthermore, slower reactions affect  
 15 the overall amount of NO<sub>x</sub> available. The most significant of these reactions is the reaction  
 16 with N<sub>2</sub>O<sub>5</sub>, which occurs over longer time-scales (~hours to days): overnight NO<sub>x</sub> is converted  
 17 to N<sub>2</sub>O<sub>5</sub> and during the day it is released again, causing the amount of NO<sub>2</sub> at sunset to be higher  
 18 than the amount of NO<sub>2</sub> at sunrise.

19 The local times of OSIRIS and OMI measurements are shown in Figure 1. At most latitudes,  
 20 OSIRIS measurements are taken at local times in the morning, while OMI measurements are  
 21 taken in the early afternoon. This affects the matching of OSIRIS and OMI datasets for the  
 22 calculation of the OmO data product. For example, at low latitudes, OSIRIS descending node  
 23 measurements are taken shortly after sunrise, when due to the photochemistry described above,  
 24 there is less NO<sub>2</sub> in the stratosphere than during the OMI afternoon measurements. Therefore,  
 25 the diurnal variation of NO<sub>2</sub> must be accounted for before subtracting OSIRIS stratospheric  
 26 NO<sub>2</sub> from the OMI measurements.

27 The photochemical box model (see Sect. 2.3) was used to adjust, or map, the local time by  
 28 applying a photochemical scaling factor to each layer in the profile ( $z$ ). This is shown in Eq.  
 29 (1):

$$30 \quad \rho_{OSIRIS}(z, t_{new}) = \rho_{OSIRIS}(z, t_{OSIRIS}) \cdot \frac{\rho_{model}(z, t_{new})}{\rho_{model}(z, t_{OSIRIS})}, \quad (1)$$



1 where  $\rho_{OSIRIS}$  and  $\rho_{model}$  are the OSIRIS and modelled  $\text{NO}_2$  number densities at a given altitude  
2 layer, respectively, and  $t_{OSIRIS}$  and  $t_{new}$  are the local times of the OSIRIS measurement and the  
3 new adjusted time. This approach has been successfully applied using the same photochemical  
4 box model to the validation of  $\text{NO}_2$  profiles (Brohede et al., 2007) and the merging of data  
5 products (Brohede et al., 2008).

### 6 **3.2 OSIRIS stratospheric VCD calculations**

7 Stratospheric  $\text{NO}_2$  VCDs were calculated from OSIRIS profiles that had been adjusted to the  
8 desired local time. When  $\text{NO}_2$  profile data is available from the tropopause ( $z_{trop}$ ) to the top of  
9 the atmosphere ( $z_{toa}$ ), the stratospheric VCD is the integral of the  $\text{NO}_2$  number densities over  
10 altitude layers, as shown in Eq. (2).

$$11 \quad V_{strat} = \int_{z_{trop}}^{z_{toa}} \rho(z) dz \quad . \quad (2)$$

12 OSIRIS v5.0  $\text{NO}_2$  profiles extend to 46 km, which is effectively the top of atmosphere since  
13  $\text{NO}_2$  number densities are very low above this altitude. The altitude of the thermal tropopause  
14 was calculated using lapse rates from the National Center for Environmental Prediction (NCEP)  
15 reanalysis data (Kalnay et al., 1996). The OMI-DOMINO algorithm also uses the thermal  
16 tropopause (Dirksen et al., 2011), but the OMI-SP algorithm uses a dynamical tropopause  
17 definition (Bucsela et al., 2013). The method used to calculate the tropopause is expected to  
18 have a minimal impact on stratospheric VCDs because concentrations of  $\text{NO}_2$  are small near  
19 the tropopause. Furthermore, the tropopause definition was found to have very little effect on  
20 the OMI-SP retrievals (Bucsela et al., 2013).

21 For OSIRIS profiles that extend to or below the thermal tropopause, the  $\text{NO}_2$  number density  
22 was interpolated to the tropopause altitude and a trapezoidal integration was performed from  
23 the tropopause to the top of the  $\text{NO}_2$  profile to calculate the stratospheric VCD. For OSIRIS  
24 profiles that do not extend below the tropopause, the stratospheric VCD was calculated using  
25 information from the photochemical model, as shown in Eq. (3):

$$26 \quad V_{strat} = V_{part}^{OSIRIS} \cdot \left( \frac{V_{strat}^{model}}{V_{part}^{model}} \right) \cdot (3)$$

27 The modelled stratospheric VCD ( $V_{strat}^{model}$ ) was integrated using the same technique as for  
28 the OSIRIS profiles that extend below the tropopause (Eq. (2)). Partial VCDs from the OSIRIS



1 ( $V_{part}^{OSIRIS}$ ) and model profiles ( $V_{part}^{model}$ ) were calculated by a summation over the altitude  
 2 layers with valid OSIRIS NO<sub>2</sub> measurements, as shown in Eq. (4):

$$3 \quad V_{part} = \sum_{z_{min}}^{z_{max}} \rho(z_i) \cdot \Delta z, \quad (4)$$

4 where  $z_{min}$  and  $z_{max}$  are the minimum and maximum altitude levels of available NO<sub>2</sub> data  
 5 in the given OSIRIS profile and  $\Delta z$  is the altitude difference between OSIRIS profile layers,  
 6 which is 2-km for the OSIRIS v5.0 NO<sub>2</sub> dataset. This summation technique was chosen for the  
 7 calculation of the partial stratospheric columns because, compared with a trapezoidal  
 8 integration, this maximized the amount of information coming from the lowermost available  
 9 layer of the OSIRIS measurements.

10 Figure 2 shows statistics for the lowest altitude of the OSIRIS measurement relative to the  
 11 thermal tropopause for individual scans. Negative altitude differences indicate that OSIRIS  
 12 measured to altitudes below the thermal tropopause. Positive altitude differences indicate that  
 13 the OSIRIS profiles terminated above the thermal tropopause and that therefore the OSIRIS  
 14 VCDs were scaled to the full atmosphere using Eq. (3). For 45°S to 45°N, median altitude  
 15 differences are negative, indicating the more than 50% of the profiles reach the tropopause.  
 16 Between 65°S and 55°N, 75% of the profiles reach within 4 km of the tropopause. At high  
 17 latitudes, the tropopause can be very low and therefore more OSIRIS profiles terminate higher  
 18 above the tropopause. In order to avoid relying too heavily on the model scale factors at high  
 19 latitudes, the OmO data product was calculated between 60°S and 60°N only. Additionally,  
 20 profiles that terminate > 5 km above the tropopause were excluded from the analysis.

21 OSIRIS profiles that extended below the tropopause were used to test the effect of the VCD  
 22 scaling given in Eq. (3). The results of these tests are shown in Figure 3.  $VCD_{strat}^{full}$  are the  
 23 OSIRIS stratospheric VCDs calculated using the full OSIRIS profiles and Eq. (2). Data from  
 24 the same OSIRIS profiles are removed for two layers above the tropopause. This yields profiles  
 25 that, on average, terminate 3.4 km above the tropopause.  $VCD_{strat}^{cor}$  is then calculated from these  
 26 profiles using Eq. (3). At most latitudes,  $VCD_{strat}^{cor}$  is within 2% of  $VCD_{strat}^{full}$ , which amounts  
 27 to roughly  $5 \times 10^{13}$  molecules/cm<sup>2</sup> of the stratospheric VCD, suggesting that the model  
 28 corrections are performing well. This yields conservative estimates because most OSIRIS  
 29 profiles used in this analysis extend closer to the tropopause, as shown in Figure 2. However,  
 30 it should be noted that there is a sampling bias in these tests as OSIRIS profiles that extend  
 31 below the tropopause are more often available for higher tropopauses.



### 1 **3.3 Calculation of gridded stratospheric VCD maps**

2 For interpolation to OMI measurements (latitude, longitude, date, local time), daily sets of  
3 OSIRIS VCD maps were created for hourly local times, ranging from 0-23 hours. Note that  
4 the hourly local time resolution of these maps is sufficient for interpolation to OMI NO<sub>2</sub>  
5 measurements because OMI measures for SZA < 80° when NO<sub>2</sub> is not varying rapidly with  
6 local time.

7 In order to calculate these maps, OSIRIS profiles for the time-period of interest were selected.  
8 Profiles for 65°S to 65°N were used to produce VCD maps that were reliable for 60°S to 60°N,  
9 the latitude range over which OmO is calculated. These profiles were scaled to the 0-23 hour  
10 local time grid using the photochemical box model (see Sect. 3.1) and stratospheric VCDs were  
11 calculated from these profiles (see Sect. 3.2). For each local time, a filtering function was  
12 applied to the stratospheric VCDs in order to ensure a smooth field and account for irregular  
13 sampling. The filtering algorithm calculates the great circle distances between the regular grid-  
14 point and each sparse VCD data point in latitude and longitude. It then averages the sparse data  
15 at the grid-point, weighting it by a Gaussian function of the great circle distances in latitude and  
16 longitude. If the total weight of data at a given grid-point is < 1, the grid-point is left empty.  
17 This essentially smooths the data to a finer grid; a 1° latitude and 1° longitude grid was used  
18 here.

19 Various combinations of Gaussian weighting standard deviations and time averaging windows  
20 (1-day, 2-day, 3-day, and 5-day) were tested. A three day averaging window was selected, i.e.,  
21 each daily map includes measurements from the given date, the previous day, and the next day.  
22 Standard deviations for the Gaussian weighting of 6° in latitude and 10° longitude were chosen,  
23 reflecting the spatial coverage of OSIRIS measurements. These settings yield good spatial  
24 coverage in the stratospheric maps, while providing reasonable resolution of features in the  
25 VCDs. Due to the averaging and smoothing of the data, rapid changes or sharp spatial gradients  
26 in NO<sub>2</sub>, for example when vortex remnants reach mid-latitudes, may be smoothed out. This is  
27 a limitation of OSIRIS sampling.

28 Figure 4 shows examples of the OSIRIS VCD maps for 4 March 2008 and 21 June 2008 at the  
29 approximate OSIRIS and OMI measurement times. Note that, as described above, each of these  
30 maps is made up using 3 days of OSIRIS data. The 4 March VCD maps have global coverage  
31 from 65°S to 65°N, the latitude range over which OSIRIS profiles were included in the analysis.  
32 The 21 June maps have limited coverage in the southern hemisphere. This is because OSIRIS



1 does not measure NO<sub>2</sub> in the winter hemisphere. The VCD maps for 07:00 LT, the approximate  
2 OSIRIS measurement time, have lower levels of NO<sub>2</sub> than the VCD maps for 13:00 LT, the  
3 approximate OMI measurement time. These differences with local time are typically ~0.4-  
4  $0.5 \times 10^{15}$  molecules/cm<sup>2</sup>, and can locally reach values of up to  $\sim 1 \times 10^{15}$  molecules/cm<sup>2</sup>. This  
5 demonstrates the effect of the diurnal scaling of NO<sub>2</sub> prior to matching the OSIRIS and OMI  
6 measurements.

7

#### 8 **4 Calculation of OMI-minus-OSIRIS (OmO) Tropospheric NO<sub>2</sub>**

9 This section describes the steps involved in creating the OmO prototype dataset, using  
10 stratospheric VCDs from OSIRIS and AMFs and VCDs from the operational OMI-SP data  
11 product, and presents comparisons of the OmO and OMI operational datasets. OSIRIS  
12 stratospheric VCD maps were interpolated to the OMI measurement date, location and local  
13 time. The interpolated OSIRIS stratospheric VCDs were compared against OMI-SP and OMI-  
14 DOMINO stratospheric VCDs, as described in Sect. 4.1. Corrections for a known high bias in  
15 OMI SCDs are presented in Sect. 4.2. OmO tropospheric NO<sub>2</sub> VCDs were calculated using to  
16 the equations given in Sect. 4.3. The quality of the matching between the OMI and OSIRIS  
17 stratospheres is discussed in Sect. 4.4, and the OmO tropospheric VCDs are presented and  
18 assessed in Sect. 4.5. In Sect. 4.6, an alternate OmO-DOMINO tropospheric VCD dataset is  
19 constructed and is used to interpret the relative contributions of the data used to calculate OmO.

#### 20 **4.1 Comparison of Stratospheric VCDs from OMI-SP and OSIRIS**

21 In order to obtain an OSIRIS stratospheric VCD for each OMI pixel, a linear interpolation in  
22 latitude, longitude, and local time was performed over the OSIRIS stratospheric VCD maps  
23 (see Sect. 3.3) corresponding to the OMI measurement day. Figure 5 shows the number of  
24 OMI-SP measurements that were successfully matched to the OSIRIS stratosphere using the  
25 OSIRIS gridded VCD maps. In the tropics ~90% of OMI profiles were matched to the OSIRIS  
26 stratosphere. Toward mid-latitudes, this drops to ~75-80% in the northern hemisphere and ~60-  
27 70% in the southern hemisphere, because OSIRIS coverage is limited to the summer  
28 hemisphere.

29 Figure 6 shows a comparison between OMI-SP stratospheric VCDs and OSIRIS stratospheric  
30 VCDs, interpolated from the VCD maps. Percent differences in VCD were binned according  
31 to latitude and month for 2008. The OSIRIS VCDs are smaller than the OMI-SP VCDs for all



1 latitudes and months, with percent differences of  $\sim -20\%$  to  $-30\%$  in the tropics and  $\sim -15\%$  to  
2  $-25\%$  at mid-latitudes. This is due to a known bias in the OMI data, as discussed in Sect. 4.2.  
3 For the most-part, percent differences at a given latitude are reasonably consistent across  
4 months. This suggests that the modelled diurnal variation scale factors, which vary seasonally,  
5 are performing well. There are, however, some outliers, particularly in the winter hemisphere  
6 for April – July for  $15^{\circ}\text{S}$  to  $35^{\circ}\text{S}$  and November – December for  $25^{\circ}\text{N}$  to  $45^{\circ}\text{N}$ . The largest  
7 discrepancies occur toward the edge of the OSIRIS measurement range, and are therefore may  
8 suggest uncertainties in the OSIRIS measurements and/or photochemical model scale factors  
9 for larger SZAs  $\sim 85\text{--}88^{\circ}$  at these latitudes.

10

#### 11 **4.2 OMI SCD Bias Correction**

12 A high bias in the OMI stratospheric VCDs has been observed in comparisons with other  
13 satellite instruments (Belmonte Rivas et al., 2014) and is largely explained by a known high  
14 bias in the OMNO2A v1 SCDs of roughly 20-30% due to issues with the spectral fitting  
15 (Marchenko et al., 2015; van Geffen et al., 2015). The OMNO2A v1 SCDs are used for both  
16 the OMI-DOMINO v2.0 and OMI-SP v2.1 retrievals. OMI tropospheric VCDs are  $\sim 10\text{--}15\%$   
17 smaller in polluted regions and  $\sim 30\%$  smaller in non-polluted regions after SCDs are corrected  
18 for the spectral fitting bias (Marchenko et al., 2015).

19 In order to match the OSIRIS stratospheric VCDs to the OMI measurements, the OMI total  
20 SCD must be corrected for its high bias. Marchenko et al. (2015) found that the best predictor  
21 of the relative SCD bias is the SCD itself, with small SCDs ( $< 5 \times 10^{15}$  molecules/cm<sup>2</sup>) having a  
22  $\sim 30\%$  positive bias and large SCDs ( $\sim 5 \times 10^{16}$  molecules/cm<sup>2</sup>) a  $\sim 10\%$  bias. Therefore, the  
23 SCD-dependent correction factors shown in Table 1 were applied to the OMI total SCDs, using  
24 the methodology described in Sect. 3.3. Outside the range of SCDs listed in Table 1, correction  
25 factors were estimated using a linear extrapolation.

26 Figure 7 shows an example of bias correction factors, for all OMI-SP measurements on 4 March  
27 2008. The correction factors vary with latitude, with values closer to one at higher latitudes,  
28 where SCDs are larger. The shape of this curve is consistent with the variation in biases  
29 between the OSIRIS and OMI-SP stratospheric VCD datasets, as shown in Sect. 4.1. At  
30 northern hemisphere mid-latitudes, there is a wider range of bias correction factors because a  
31 larger range of SCDs are observed in polluted regions.



### 1 4.3 Calculation of OMI-minus-OSIRIS Tropospheric VCD

2 This section outlines the methodology used to calculate the OmO tropospheric NO<sub>2</sub> VCD data  
 3 product. The OMI total SCD ( $S_{tot}^{OMI}$ ) can be expressed as the sum of the stratospheric and  
 4 tropospheric SCDs ( $S_s^{OMI}$  and  $S_t^{OMI}$ ), which are calculated from the stratospheric and  
 5 tropospheric AMFs ( $A_s^{OMI}$  and  $A_t^{OMI}$ ) and VCDs ( $V_s^{OMI}$  and  $V_t^{OMI}$ ) as follows:

$$6 \quad S_{tot}^{OMI} = S_s^{OMI} + S_t^{OMI} = V_s^{OMI} \cdot A_s^{OMI} + V_t^{OMI} \cdot A_t^{OMI}. \quad (5)$$

7 Similarly, the bias-corrected OMI SCDs can be related to the OSIRIS stratospheric VCD  
 8 ( $V_s^{OSIRIS}$ ) and the inferred (OmO) tropospheric VCD component ( $V_t^{OmO}$ ), using the AMFs from  
 9 the OMI operational products:

$$10 \quad S_{tot}^{OMI} \cdot \gamma = V_s^{OSIRIS} \cdot A_s^{OMI} + V_t^{OmO} \cdot A_t^{OMI}, \quad (6)$$

11 where  $\gamma$  is the OMI SCD bias correction factor described in Sect. 4.2. Solving for the OmO  
 12 VCD gives,

$$13 \quad V_t^{OmO} = (\gamma \cdot S_{tot}^{OMI} - V_s^{OSIRIS} \cdot A_s^{OMI}) / A_t^{OMI} \quad (7)$$

14 An alternate form, and the one used to compute the OmO product, is obtained by combining  
 15 Eq. (5) and Eq. (7):

$$16 \quad V_t^{OmO} = \gamma \cdot V_t^{OMI} + (\gamma \cdot V_s^{OMI} - V_s^{OSIRIS}) \cdot A_s^{OMI} / A_t^{OMI}. \quad (8)$$

17 OmO tropospheric VCDs were computed using AMFs and VCDs from the OMI-SP product.  
 18 The ratio of air mass factors represents the different sensitivities to NO<sub>2</sub> located in the  
 19 troposphere and in the stratosphere. Typically, the ratio  $A_s^{OMI} / A_t^{OMI}$  is greater than one,  
 20 indicating that OMI is more sensitive to NO<sub>2</sub> within the stratosphere. OmO was not calculated  
 21 for the small number of OMI measurements for which the ratio  $A_s^{OMI} / A_t^{OMI} > 15$ , indicating  
 22 that the OMI measurement is not very sensitive to the troposphere. For each OMI pixel,  $V_s^{OSIRIS}$   
 23 was interpolated from the OSIRIS gridded VCD maps (see Sect. 4.1) and  $\gamma$  was interpolated to  
 24 the OMI SCD (see Sect. 4.2).

### 25 4.4 Matching of OSIRIS and OMI stratospheres

26 Over unpolluted regions, the OmO tropospheric VCDs should be small and, subsequently, the  
 27  $(\gamma \cdot V_s^{OMI} - V_s^{OSIRIS})$  term in Eq. (8) should also be small. Therefore, the matching of the  
 28 OSIRIS and OMI stratospheres can be assessed by comparing OSIRIS stratospheric VCDs with  
 29 OMI VCDs scaled with  $\gamma$ , over unpolluted regions. Figure 8 shows annual average stratospheric



1 VCDs for OMI-SP, OMI-DOMINO, and OSIRIS, binned by latitude over unpolluted regions  
2 (OMI tropospheric VCDs  $< 0.5 \times 10^{15}$  molecules/cm<sup>2</sup>). Annual average OMI-SP and OMI-  
3 DOMINO stratospheric VCDs are larger than OSIRIS VCDs by  $\sim 0.6 \times 10^{15}$  for all latitudes.  
4 When OMI-SP and OMI-DOMINO stratospheric VCDs are scaled with  $\gamma$ , agreement with  
5 OSIRIS is to within  $0.2 \times 10^{15}$  molecules/cm<sup>2</sup> at all latitudes. This suggests that the OMI and  
6 OSIRIS stratospheres are well-matched. Standard deviations over the year of the individual the  
7  $\gamma$ -scaled OMI VCDs are similar to OSIRIS VCDs at most latitudes. At 25°S, 35°S, and 55°N,  
8 the standard deviation in the OSIRIS VCDs is larger than the standard deviation in the OMI-  
9 SP or OMI-DOMINO VCDs.

10 OMI-SP, OMI-DOMINO and OSIRIS stratospheric VCD maps are shown for 4 March 2008 in  
11 Figure 9. The OMI VCDs are larger than the OSIRIS VCDs, due to the high bias in the OMI  
12 SCDs. There is somewhat less structure in the OSIRIS VCDs than in the OMI VCDs. For  
13 example, OMI-DOMINO and OMI-SP stratospheric VCDs are enhanced across the northern  
14 hemisphere Pacific and Mexico, but enhancements are not apparent in the OSIRIS data. There  
15 is a large maximum in the OMI-DOMINO stratospheric VCDs over eastern China and Korea,  
16 which is not apparent in the OMI-SP or OSIRIS VCDs. These features across the northern  
17 hemisphere Pacific and Mexico, and over eastern China and Korea all persist in the OMI data  
18 over the OSIRIS 3-day sampling period and cover a large enough area that they could be  
19 resolved, although perhaps somewhat distorted, by the OSIRIS VCD maps. Therefore, these  
20 local differences between the OSIRIS and OMI stratospheric VCDs cannot be attributed to the  
21 smoothing and averaging of the OSIRIS measurements.

#### 22 **4.5 OmO Tropospheric VCDs**

23 Figure 10 shows the OmO tropospheric VCDs, also for 4 March 2008. At most locations, OmO  
24 VCDs are similar to the OMI-SP and OMI-DOMINO VCDs, with a few notable differences.  
25 OmO VCDs are larger than OMI-SP and OMI-DOMINO VCDs over the northern hemisphere  
26 Pacific and Mexico, which is consistent with the differences in the observed features in the  
27 stratospheric VCDs. OmO VCDs are larger than OMI-DOMINO VCDs over eastern China  
28 and Korea, as OmO effectively redistributes NO<sub>2</sub> from the stratosphere into the troposphere  
29 through the second term in Eq. (8). Over much of India and China, OmO VCDs are smaller  
30 than the OMI-SP VCDs.



1 Maps of annual average comparisons between OmO, OMI-SP, and OMI-DOMINO  
2 tropospheric NO<sub>2</sub> are shown in Figure 11. Over unpolluted regions, differences between OmO  
3 and the operational OMI data products are fairly small, suggesting that the matching of the  
4 OSIRIS and OMI stratospheres was effective. OmO has less NO<sub>2</sub> than OMI-SP and OMI-  
5 DOMINO over polluted regions such as the eastern United States, Europe, and eastern China.  
6 This is expected as the OMI-SP and OMI-DOMINO tropospheric VCDs are biased high by  
7 ~10-15% over polluted regions due to the bias in the SCDs (Marchenko et al., 2015). Over  
8 Korea, both the OMI-SP and OmO VCDs are larger than the OMI-DOMINO VCDs. At  
9 southern hemisphere midlatitudes, both the OmO and OMI-DOMINO VCDs are biased low  
10 relative to OMI-SP VCDs. Overall, the differences between the OmO VCDs and the  
11 operational OMI data products are within the range of the differences between the two  
12 operational OMI data products.

13 Tropospheric VCDs over the Pacific Ocean can be used to assess the quality of the stratosphere-  
14 troposphere separation because tropospheric VCDs are expected to be near background levels.  
15 Figure 5 from Hilboll et al. (2013) shows climatological monthly mean tropospheric VCDs over  
16 the Pacific (180°W to 150°W) binned according to month and latitude over 1998-2007, as  
17 calculated from Oslo CTM2 model simulations (Søvde et al., 2008). At most latitudes,  
18 tropospheric VCDs are  $< 3 \times 10^{14}$  molecules/cm<sup>2</sup> according to the model results. For northern  
19 hemisphere mid-latitudes, tropospheric VCDs are somewhat larger, ranging from  $\sim 2\text{-}7 \times 10^{14}$   
20 molecules/cm<sup>2</sup>, with the largest values at  $\sim 55^\circ\text{N}$  in winter months.

21 Figure 12 shows monthly mean tropospheric VCDs from OMI-SP, OMI-DOMINO and OmO  
22 over the Pacific (180°W to 150°W). The OMI-SP VCDs vary less with latitude and have no  
23 mean negative values, unlike the OMI-DOMINO and OmO VCDs. This is expected as the  
24 OMI-SP stratosphere-troposphere separation uses measurements over unpolluted regions,  
25 including the Pacific, to estimate stratospheric NO<sub>2</sub>. In the tropics, average VCDs from all  
26 three datasets are  $< 3 \times 10^{14}$  molecules/cm<sup>2</sup>, which is consistent with background levels. At  
27 northern hemisphere mid-latitudes, OmO mean VCDs increase slightly, ranging from  $\sim 2.5 \times 10^{14}$   
28 to  $\sim 5 \times 10^{14}$  molecules/cm<sup>2</sup>. This is different from the OMI-SP and OMI-DOMINO VCDs,  
29 which mostly remain  $< 3 \times 10^{14}$  molecules/cm<sup>2</sup>, but is consistent with the Oslo CTM2 model  
30 simulations. At 55°N, both OmO and DOMINO mean VCDs are close to zero. In the southern  
31 hemisphere, VCDs for all three datasets decrease with latitude, reaching values near 0  
32 molecules/cm<sup>2</sup> in the OMI-SP and negative values in the OMI-DOMINO and OmO datasets at



1 45°S and 55°S. There are some large outliers in the OmO VCDs for April-July in the southern  
2 hemisphere, suggesting a positive bias in the OmO dataset, likely because the OMI and OSIRIS  
3 stratospheres were not well-matched (see Sect. 4.1). This is consistent with the observed  
4 differences between OSIRIS and OMI-SP stratospheric VCDs for the same latitudes and  
5 months. The standard deviations of the individual OmO and DOMINO tropospheric VCDs  
6 over each month are also shown. All three datasets have smaller standard deviations in the  
7 tropics and larger standard deviations toward mid-latitudes in both hemispheres. For the most-  
8 part, the variability in the OmO VCDs is slightly smaller than the variability in the OMI-  
9 DOMINO VCDs.

#### 10 **4.6 Alternate OmO-DOMINO Tropospheric VCDs**

11 The OmO dataset is affected by the scaling of OSIRIS stratospheric VCDs to the OMI local  
12 times, OMI SCD bias correction factor, the difference between the OMI and OSIRIS  
13 stratospheres, and the choice of the OMI version of AMFs and VCDs (see Eq. (8)). In order to  
14 gain some insight into the impact of these various terms in the OmO calculation, an alternate  
15 OmO-DOMINO dataset was constructed using the OMI-DOMINO VCDs and AMFs (Figure  
16 13). Over unpolluted regions, the OmO and OmO-DOMINO VCDs are very similar. Over  
17 polluted areas, the OmO-DOMINO VCDs are somewhat larger than the OmO VCDs.  
18 However, these differences are smaller in magnitude than the differences between the two OmO  
19 products and the operational OMI data products (Figure 11 and Figure 13). The relative  
20 contribution of the various terms in Eq. (8) on the OmO and OmO-DOMINO datasets are  
21 discussed in the paragraphs below.

22 In order to match the OMI and OSIRIS stratospheres, both the OSIRIS and OMI datasets were  
23 scaled prior to stratospheric subtraction. The OSIRIS stratospheric VCDs, measured in the  
24 morning, were scaled to the OMI afternoon local time using a photochemical model, typically  
25 increasing the OSIRIS stratospheric VCDs by  $\sim 0.5 \times 10^{15}$  molecules/cm<sup>2</sup>. The OMI SCD bias  
26 correction factor was applied to OMI SCDs before creating the OmO and OmO-DOMINO  
27 datasets. This correction factor is required in order to properly match the OMI and OSIRIS  
28 stratospheres, for both the OMI-SP and OMI-DOMINO datasets (Figure 8). Without the  
29 correction factor, both the OmO and OmO-DOMINO tropospheric VCDs would be very large  
30 ( $\sim 1 \times 10^{15}$  molecules/cm<sup>2</sup>) over the unpolluted Pacific Ocean. After the application of the diurnal  
31 variation scaling and OMI SCD bias correction, the OMI-SP, OMI-DOMINO and OSIRIS  
32 stratospheres VCDs agree to within  $\sim 0.2 \times 10^{15}$  molecules/cm<sup>2</sup> on an annual average basis over



1 unpolluted areas (Figure 8). Furthermore, good overall matching of the stratosphere is  
2 demonstrated by the OmO tropospheric VCDs over the Pacific Ocean (Figure 12). At specific  
3 locations/times, the differences in the stratospheric VCDs can be much larger (Figure 9), but  
4 this is difficult to quantify because of the role of the OMI SCD bias correction, which varies  
5 according to the magnitude of the SCD. Therefore, the scaling of OSIRIS to the OMI local  
6 time and the OMI SCD bias correction play similar and important roles for the both the OmO  
7 and OmO-DOMINO datasets.

8 The OmO VCDs also depend on the ratio of AMFs ( $A_s/A_t$ ), which scales the difference between  
9 the OSIRIS and OMI stratospheric VCDs. Over unpolluted regions, this ratio is  $\sim 1.25$  and is  
10 nearly identical for both OMI-SP and OMI-DOMINO. Over polluted regions, the ratio is larger,  
11 reaching annual averages of  $\sim 3-4$  in some locations. Therefore, differences between OSIRIS  
12 and OMI stratospheric VCDs are amplified over unpolluted areas through the dependence on  
13  $A_s/A_t$  in Eq. (8). Over polluted areas,  $A_s/A_t$  is somewhat smaller for OMI-SP than for OMI-  
14 DOMINO, though the relationship is complicated because Eq. (8) also depends on the OMI  
15 tropospheric VCDs, which also differ between the two operational OMI products, primarily  
16 over polluted regions (Figure 11). This is consistent with the observed differences between the  
17 OmO and OmO-DOMINO datasets, which are largest over polluted areas.

18 Overall, these tests suggest that the stratospheric matching between OSIRIS and OMI has a  
19 larger influence on the OmO dataset than the choice of OMI AMFs. The stratospheric matching  
20 currently depends on the OSIRIS and operational OMI stratospheric VCDs, as well as the OMI  
21 SCD bias correction. Considering the complex manner in which biases in SCDs are transferred  
22 into the OMI-SP and OMI-DOMINO stratospheric VCD, which can then affect unconstrained  
23 (polluted) locations, it is impossible at this time to disentangle the impact of the SCD bias from  
24 the larger issue of how well each method of stratospheric removal performs. Therefore, the  
25 stratospheric matching between OSIRIS and OMI will be better understood once bias-corrected  
26 OMI SCDs are available.

27

## 28 **5 Summary and Future Applications**

29 The technique of matching nadir- and limb-viewing satellite retrievals to quantify tropospheric  
30  $\text{NO}_2$  is explored in this work using OMI nadir measurements and OSIRIS limb measurements  
31 to create the OmO tropospheric  $\text{NO}_2$  dataset. As nadir-viewing instruments cannot resolve  $\text{NO}_2$   
32 in the vertical, additional information or assumptions based on unpolluted regions are required



1 to determine quantities in the upper and lower atmospheric regions. Currently, there are two  
2 operational products for OMI, which estimate stratospheric NO<sub>2</sub> using different methods. The  
3 OMI-DOMINO product assimilates OMI SCDs into the TM4 model and then subtracts  
4 modelled stratospheric NO<sub>2</sub>. The OMI-SP dataset estimates stratospheric VCDs for locations  
5 with background levels of tropospheric NO<sub>2</sub> and then uses an extrapolation technique to infer  
6 stratospheric VCDs across the globe.

7 The new OmO tropospheric NO<sub>2</sub> dataset uses information from OSIRIS profile measurements  
8 in order to estimate the stratospheric contribution to OMI SCD measurements. OSIRIS NO<sub>2</sub>  
9 stratospheric VCDs were found agree to within  $0.25 \times 10^{15}$  molecules/cm<sup>2</sup> of the SCIAMACHY,  
10 HIRDLS, and MIPAS limb instruments for most latitudes and seasons (Appendix A). OSIRIS  
11 profile measurements of stratospheric NO<sub>2</sub> were scaled to a range of local times using a  
12 photochemical model. Stratospheric VCDs were calculated and were gridded onto daily maps  
13 of stratospheric NO<sub>2</sub> for various local times. The OSIRIS VCD maps are averaged over a 3-  
14 day window, in order to gain sufficient coverage from the OSIRIS measurements, which could  
15 smooth out rapid variations in stratospheric NO<sub>2</sub>, such as vortex intrusions. For each OMI  
16 measurement, the OSIRIS VCD maps were interpolated to the latitude, longitude, and local  
17 time of the OMI measurement. Then the OSIRIS stratospheric VCD and OMI-SP VCDs and  
18 AMFs were used to calculate the OmO product for 60°S – 60°N. In order to match the OSIRIS  
19 and OMI data products, corrections for a known bias in OMI SCDs were applied based on the  
20 findings of Marchenko et al. (2015). After accounting for a bias in the OMI SCDs, the OSIRIS  
21 and OMI annual average stratospheric VCDs agree to within  $0.2 \times 10^{15}$  molecules/cm<sup>2</sup> between  
22 60°S and 60°N. Therefore, no additional corrections were applied to the data.

23 The OmO tropospheric VCDs reproduced the broad features of the OMI-SP and OMI-  
24 DOMINO tropospheric VCDs. Furthermore, over the Pacific Ocean, the OmO VCDs were  
25 consistent with background levels of NO<sub>2</sub> at most latitudes, suggesting that, overall, the  
26 stratospheric NO<sub>2</sub> signal has been successfully removed using the OSIRIS dataset. There are  
27 high biases in the OmO dataset for ~20°S-40°S in April – July, which are consistent with  
28 observed systematic differences between the OSIRIS and OMI datasets for these latitudes and  
29 months. Despite this, the matching of the OSIRIS and OMI stratospheres is very good given  
30 the rudimentary nature of the OMI SCD-bias correction. No corrections were applied to  
31 account for biases between the OSIRIS and OMI datasets. This differs from the technique of  
32 Hilboll et al. (2013), who matched SCIAMACHY limb and nadir measurements through daily



1 corrections based on the comparisons over the Pacific Ocean. At present, errors remaining after  
2 the simple OMI bias-correction cannot be separated from those in OSIRIS stratospheric VCD.  
3 As such a more quantitative assessment of the potential of this approach can be made only once  
4 the next version of OMI SCDs are available.

5 The results of this study show preliminary success in the compatibility of limb and nadir  
6 measurements taken at different local times in a simpler framework. This technique could be  
7 improved by better accounting for biases between the OMI and OSIRIS datasets. For example,  
8 in a full analysis, limb-measured stratospheric NO<sub>2</sub> and nadir-measured columns could be  
9 assimilated together in a chemical transport model to estimate stratospheric NO<sub>2</sub>.

10 This work underlines the challenge associated with matching polar orbiting, limb-viewing  
11 instruments with future geostationary nadir-viewing instruments as the measurements occur at  
12 many local solar times. By the end of the decade, three geostationary satellite instruments will  
13 measure NO<sub>2</sub> in the nadir viewing geometry: the TROPOspheric Monitoring Instrument  
14 (TROPOMI) (Veefkind et al., 2012) with coverage over Europe, the Tropospheric Emissions:  
15 Monitoring of Pollution (TEMPO) (Zoogman et al., 2014) with coverage over North America,  
16 and the Geostationary Environmental Monitoring Spectrometer (GEMS) (Kim, 2012) with  
17 coverage over eastern Asia. While this study demonstrates that limb and nadir measurements  
18 could be matched to retrieve tropospheric NO<sub>2</sub>, there are currently no planned limb instruments  
19 to overlap with these geostationary missions. OSIRIS is well-beyond its expected life-time and  
20 there are no planned satellites that can measure stratospheric NO<sub>2</sub> beyond 2017, when the  
21 Stratospheric Aerosol and Gas Experiment III (SAGE III) on the International Space Station  
22 (ISS) reaches the end of its one-year design lifetime.

23



## 1 **Appendix A: Comparison of OSIRIS NO<sub>2</sub> to other satellite instruments**

2 In order to assess the OSIRIS v5 NO<sub>2</sub> product, OSIRIS data for 2005-2007 were compared  
3 against the results of Belmonte Rivas et al. (2014). The study included limb satellite  
4 measurements from MIPAS (IMK-IAA version 4.0; Funke et al., 2005), HIRDLS (version 7;  
5 Gille et al., 2012), and SCIAMACHY (v3.1; Bauer et al., 2012), as well as satellite nadir  
6 measurements from OMI (KNMI DOMINO version 2.0 Boersma et al., 2004, 2011) and  
7 SCIAMACHY (KNMI-BIRA TM4NO2A version 2.3; Boersma et al., 2004). Belmonte Rivas  
8 et al. (2014) found that the limb stratospheric VCDs from SCIAMACHY-limb, MIPAS, and  
9 HIRDLS agree to within  $0.25 \times 10^{15}$  molecules/cm<sup>2</sup>, which is better than 10%, when all  
10 observations are adjusted to the HIRDLS local time. Nadir SCIAMACHY and OMI  
11 stratospheric VCDs are biased relative to the limb instruments by -20% ( $-0.5 \times 10^{15}$   
12 molecules/cm<sup>2</sup>) and +20% ( $0.6 \times 10^{15}$  molecules/cm<sup>2</sup>), respectively.

13 OSIRIS profiles were averaged using the methodology of Belmonte Rivas et al. (2014).  
14 OSIRIS profiles were scaled to the HRDLS local time of ~15:30 LT (Fig. 3 of Belmonte Rivas  
15 et al., 2014), using the photochemical model described in Sect 2.3 and the methodology  
16 described in Sect. 3.1. The photochemical model runs for the 2005-2007 OSIRIS profiles  
17 presented here use the settings described by Brohede et al. (2008). Volume mixing ratio (VMR)  
18 profiles were averaged daily in 2° latitude bins from 64°S to 80°N. Partial column profiles  
19  $n_v(z_i)$  were calculated from the VMRs ( $V$ ) using

$$20 \quad n_{v(z_i)} = 10 \cdot N_A / (g \cdot M_{air}) \cdot 0.5 \cdot (V_{i+1} + V_i) \cdot (p_{i+1} - p_i) \quad (A1)$$

21 where  $N_A$  is Avogadro's constant ( $6.022 \times 10^{23}$  molecules/mole),  $g$  is the Earth's gravity (9.80  
22 m/s<sup>2</sup>), and  $M_{air}$  is the molar mass of air (28.97 g/mole). The pressure increments in hPa were  
23  $p_i = 1000 \cdot 10^{-i/24}$  for  $i = 0-120$ . Belmonte Rivas et al. (2014) imposed collocation criteria as  
24 well as some smoothing, which were not included here. Therefore, the comparisons presented  
25 here are similar to the figures of Belmonte Rivas et al. (2014), but not identical.

26 Figure A1 shows OSIRIS partial column profiles versus latitude averaged over four seasons for  
27 1 February 2005 – 31 Jan 2008. The OSIRIS profiles reproduce the altitude variation and  
28 seasonality of the other datasets, shown in Fig. 6 of Belmonte Rivas et al. (2014) over the same  
29 altitude range. Stratospheric VCDs were calculated from the OSIRIS partial column profiles  
30 from the top altitude of the available measurements to 287 hPa. OSIRIS stratospheric VCDs  
31 are shown in Fig. A2, alongside SCIAMACHY limb, MIPAS, HIRDLS, SCIAMACHY nadir,



1 and OMI measurements. This figure is similar to Figure 8 of Belmonte Rivas et al. (2014).  
2 OSIRIS stratospheric VCDs are within  $0.25 \times 10^{15}$  molecules/cm<sup>2</sup> of the other limb instruments  
3 (SCIAMACHY-limb, MIPAS, and HIRDLS) for most latitudes and seasons. However, there  
4 are some localized differences between all four limb instruments of  $\sim 0.5 \times 10^{15}$  molecules/cm<sup>2</sup>,  
5 especially toward higher latitudes. These biases are not due to broad differences in sampling,  
6 as similar differences are also observed in Figure 8 of Belmonte Rivas et al. (2014), where  
7 collocation criteria were imposed. Therefore the localized differences between the limb  
8 instruments are related to uncertainties in the measurements or the local time corrections.

9

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18



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1 **Tables**

2

3 **Table 1: Correction factors,  $\gamma$ , applied to the OMI SCDs as a function of the OMI SCD.**

4 **Correction factors are from Marchenko et al. (2015) and account for the high bias in the**

5 **OMI SCDs.**

SCD	$\gamma$
$\times 10^{16}$ molecules/cm <sup>2</sup>	
0.5755	0.7645
0.8518	0.8049
1.2147	0.8152
1.7336	0.8475
2.3842	0.8721
3.3740	0.8912
4.4346	0.9017
5.4794	0.9082
6.4403	0.9169
7.5376	0.9218

6

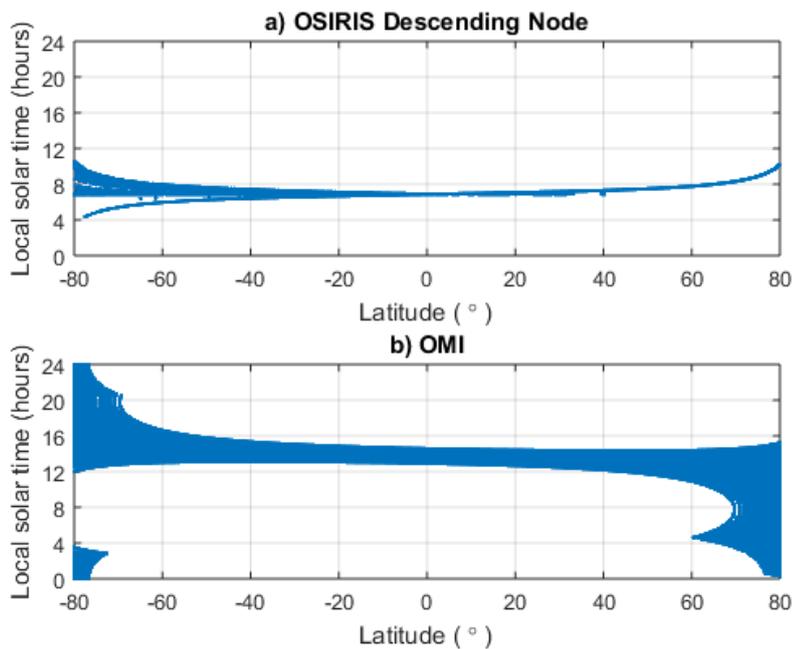
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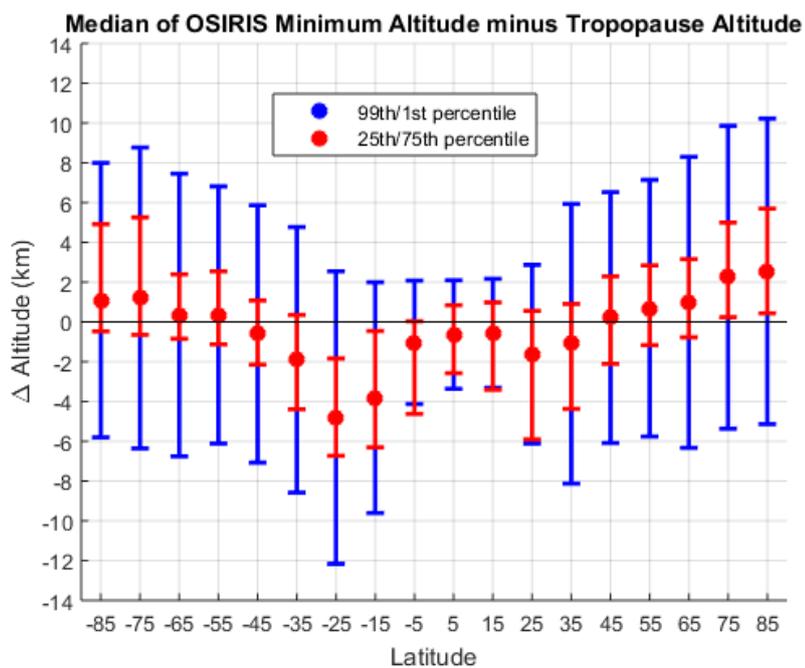
1 **Figures**

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3

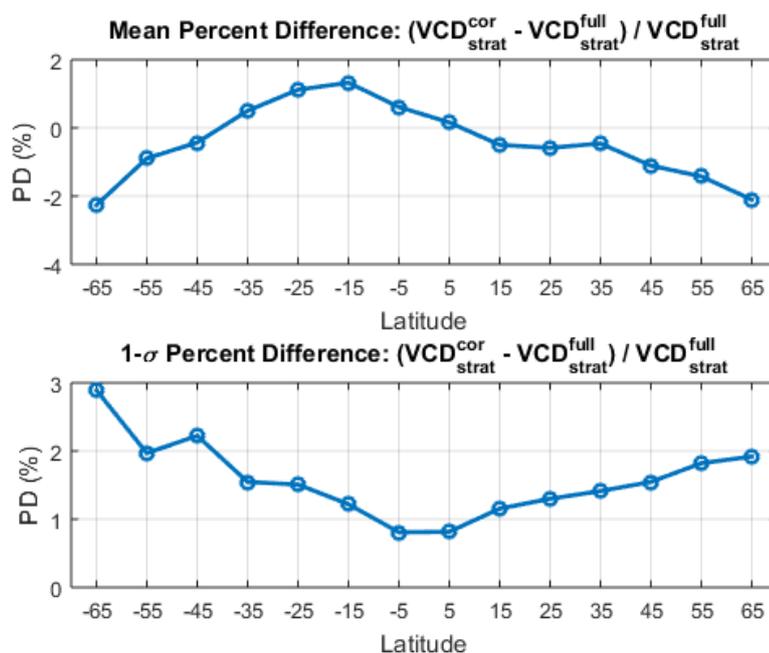
4 **Figure 1: Local solar time versus latitude for (a) OSIRIS descending node and (b) OMI**  
5 **measurements in 2008. OSIRIS local times are for all profiles in 2008. OMI local times**  
6 **are sampled for one day in each month.**



1

2 **Figure 2: Difference between OSIRIS minimum measurement altitude and the**  
3 **tropopause altitude. Median and percentiles (red: 1<sup>st</sup> and 99<sup>th</sup>, blue: 25<sup>th</sup> and 5<sup>th</sup>) are**  
4 **shown within 10° latitude bins. Altitude differences < 0 indicate that OSIRIS profiles**  
5 **extended below the tropopause. Altitude differences > 0 indicate that OSIRIS profiles**  
6 **terminated above the tropopause.**

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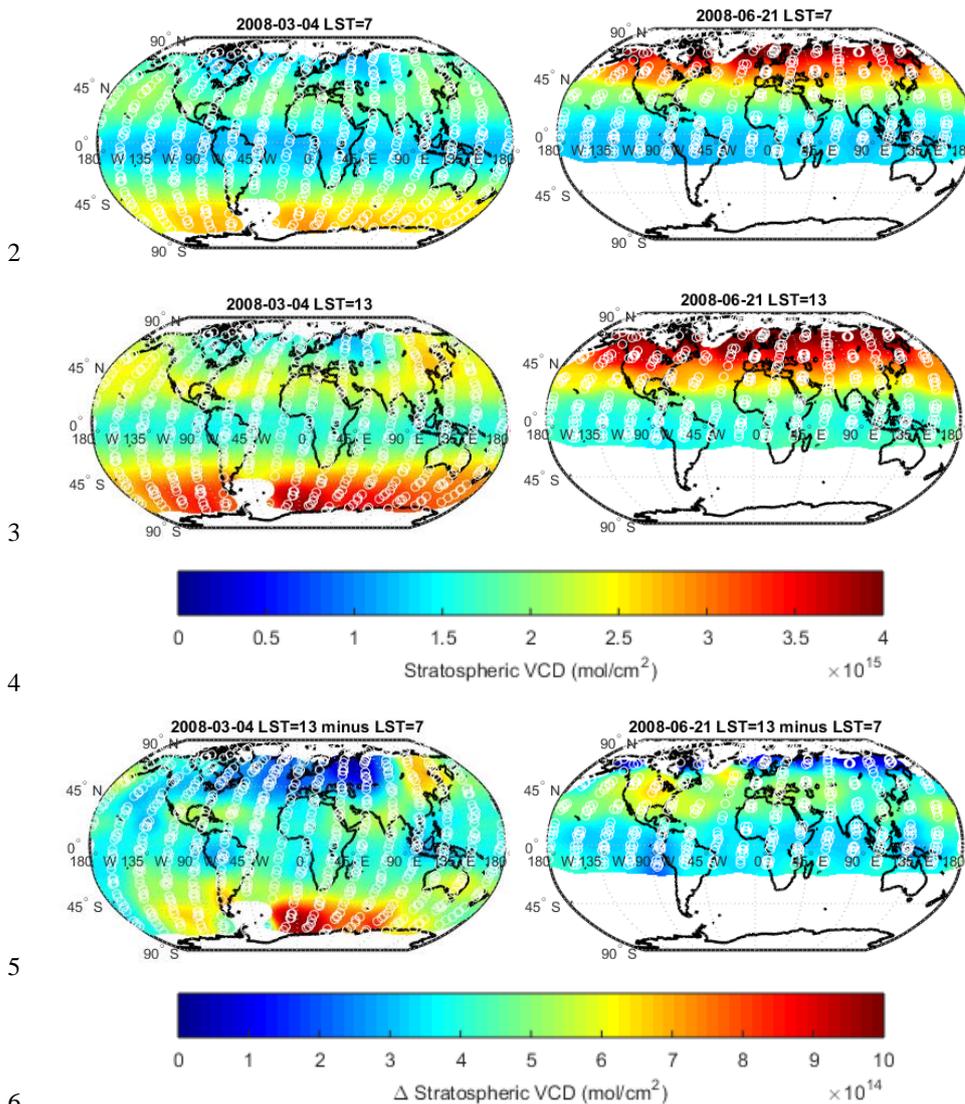
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2 **Figure 3: Effect of model scaling of OSIRIS partial VCDs for OSIRIS profiles that**  
3 **terminate ~3-4 km above the thermal tropopause. (a) Mean and (b) 1-sigma of percent**  
4 **difference of  $VCD_{strat}^{cor}$  minus  $VCD_{strat}^{full}$  within 10° latitude bins.**

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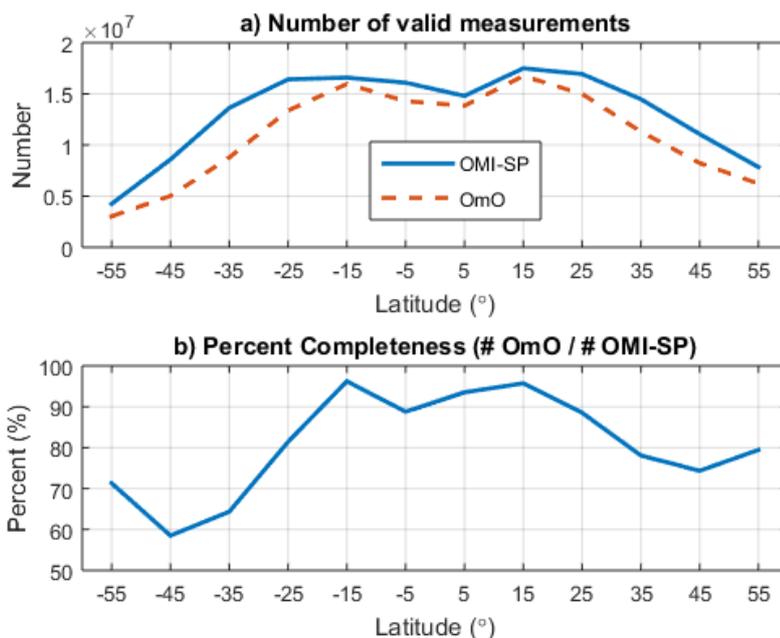


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7 **Figure 4: OSIRIS stratospheric VCD maps for 4 March 2008 (left panels) and 21 June**  
8 **2008 (right panels). The maps are shown for LST = 07:00 (top panels), corresponding to**  
9 **the approximate OSIRIS measurement time, and LST = 13:00 (middle panels),**  
10 **corresponding to the approximate OMI measurement time. Difference maps for LST =**  
11 **13:00 minus 07:00 (bottom panels) are also shown. The white circles indicate the locations**  
12 **of the OSIRIS measurements used to create the maps.**

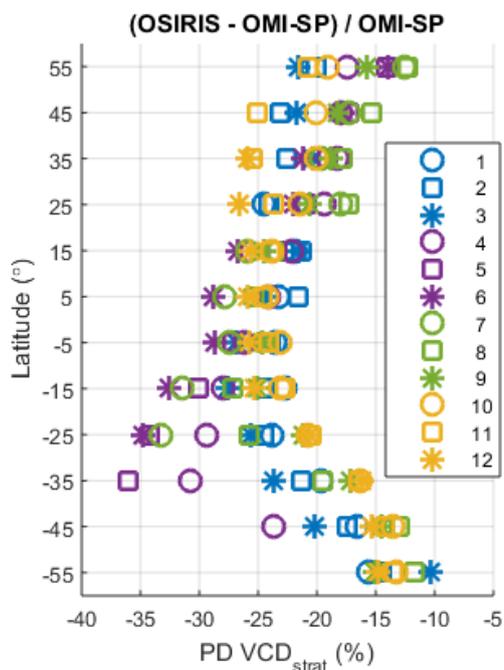
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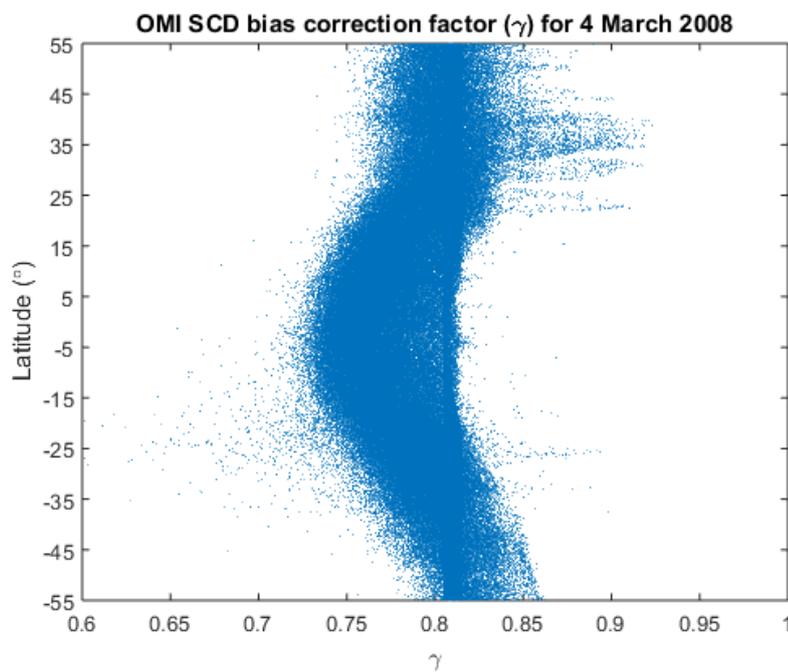
2 **Figure 5: (a) Number of valid measurements for OMI-SP (blue line) and for OmO (red**  
3 **dashed line). (b) Percent completeness of the OmO-SP dataset (number of valid OmO**  
4 **measurements / number of valid OMI-SP measurements). Statistics were calculated in**  
5 **10° latitude bins.**

6



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2 **Figure 6: Percent difference of OSIRIS minus OMI-SP stratospheric VCDs (x-axis),**  
3 **binned according to latitude (y-axis) and month (legend).**

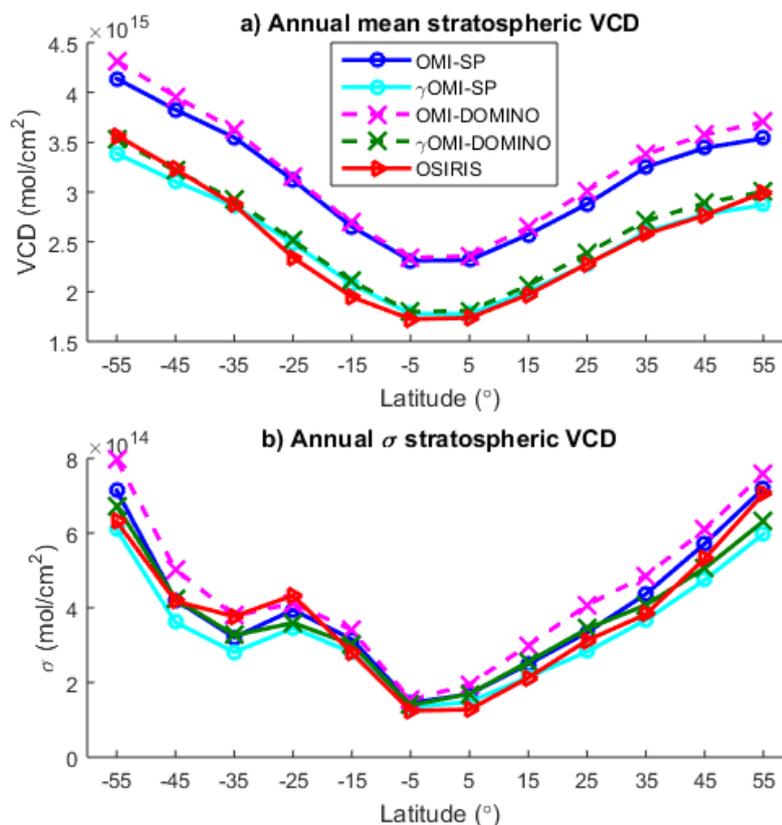


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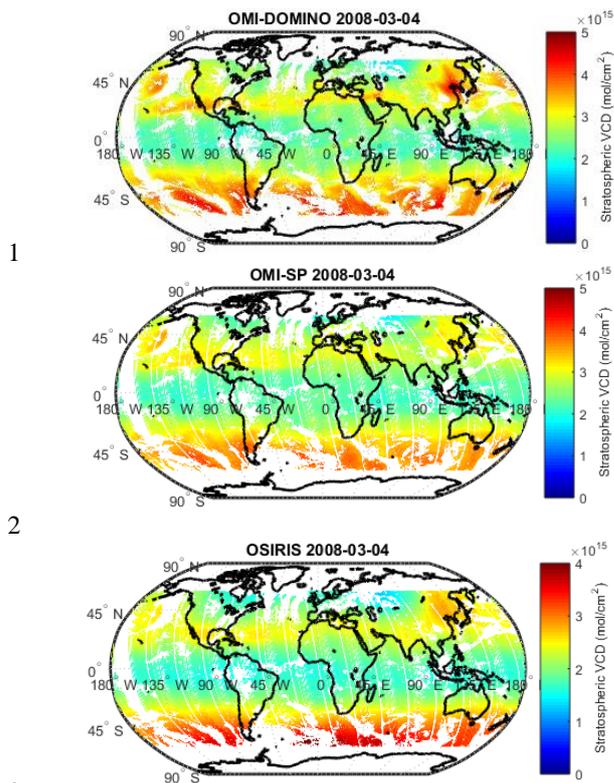
2 **Figure 7: OMI SCD bias correction factors versus latitude for OMI-SP measurements on**  
3 **4 March 2008.**

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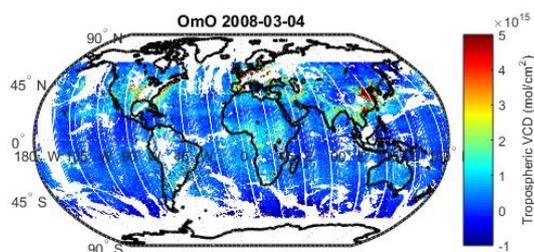
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 2 **Figure 8: Annual (a) mean and (b) standard deviation of stratospheric VCDs for 2008 in**  
 3  **$10^\circ$  latitude bins for measurements with OMI tropospheric VCDs  $< 0.5 \times 10^{15}$**   
 4 **molecules/cm<sup>2</sup>. Stratospheric VCDs for OMI-SP (blue circles), OMI-SP scaled by  $\gamma$**   
 5 **(cyan circles), OMI-DOMINO (magenta X's), OMI-DOMINO scaled by  $\gamma$  (green X's),**  
 6 **and OSIRIS VCD maps interpolated to the OMI measurement time/location (red**  
 7 **triangles) are shown. Mean and standard deviation are calculated over individual OMI**  
 8 **measurements for the entire year.**  
 9



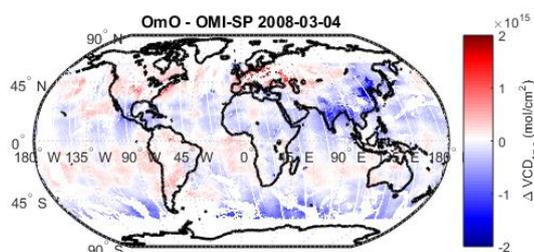
5 **Figure 9: Maps of stratospheric VCDs for 4 March 2008 for OMI-SP (top), OMI-**  
6 **DOMINO (middle) and OSIRIS interpolated to the location of OMI measurements**  
7 **(bottom). Note that different color scales are used for the OMI and OSIRIS VCDs.**  
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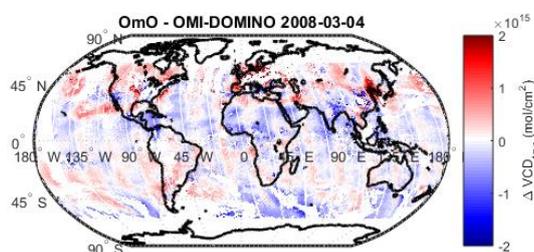
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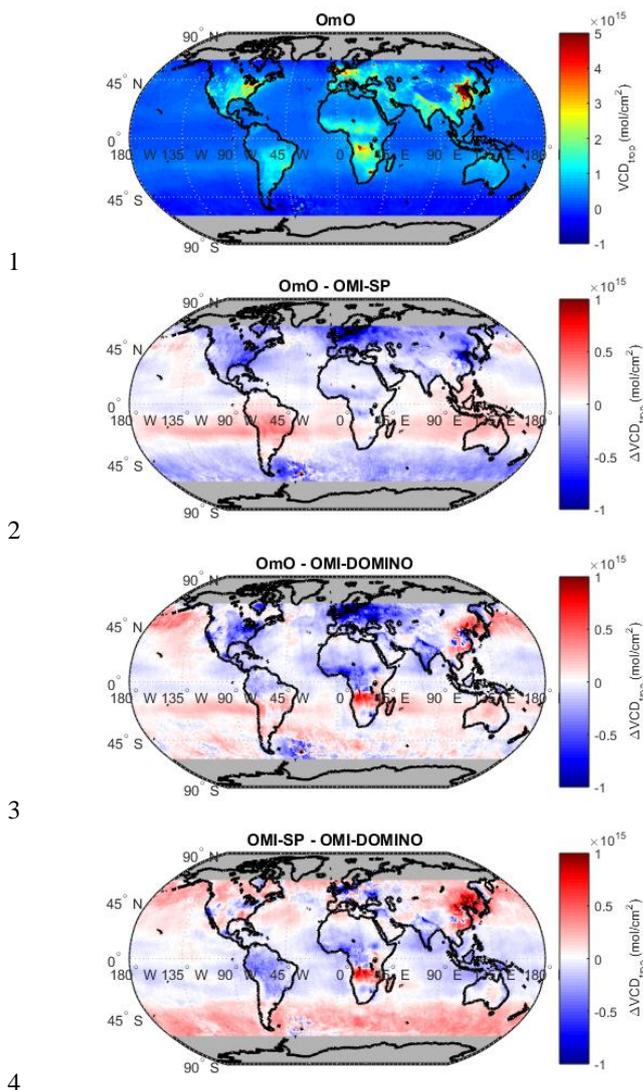
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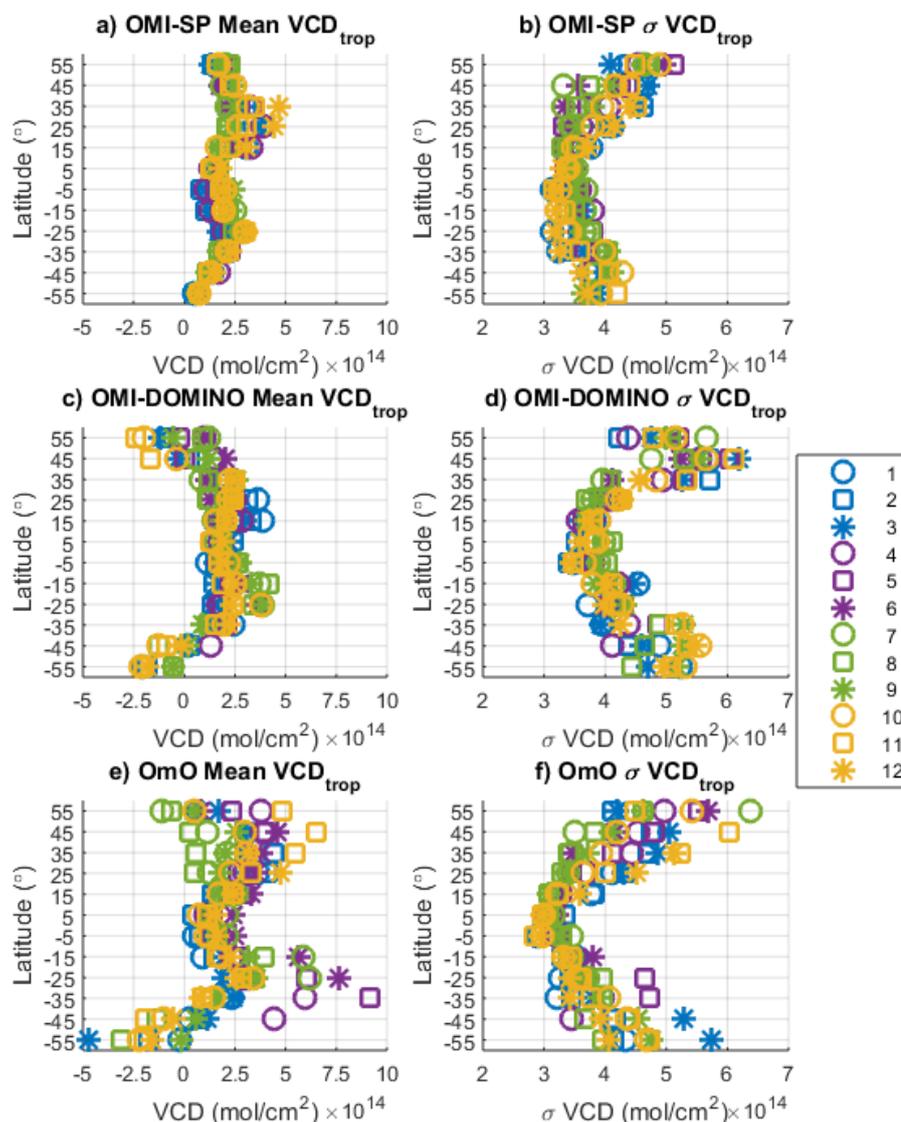
5 **Figure 10: Maps of tropospheric VCDs for 4 March 2008 for OmO (top), the difference**  
6 **between OmO and OMI-SP (middle), and the difference between OmO and OMI-**  
7 **DOMINO (bottom).**

8



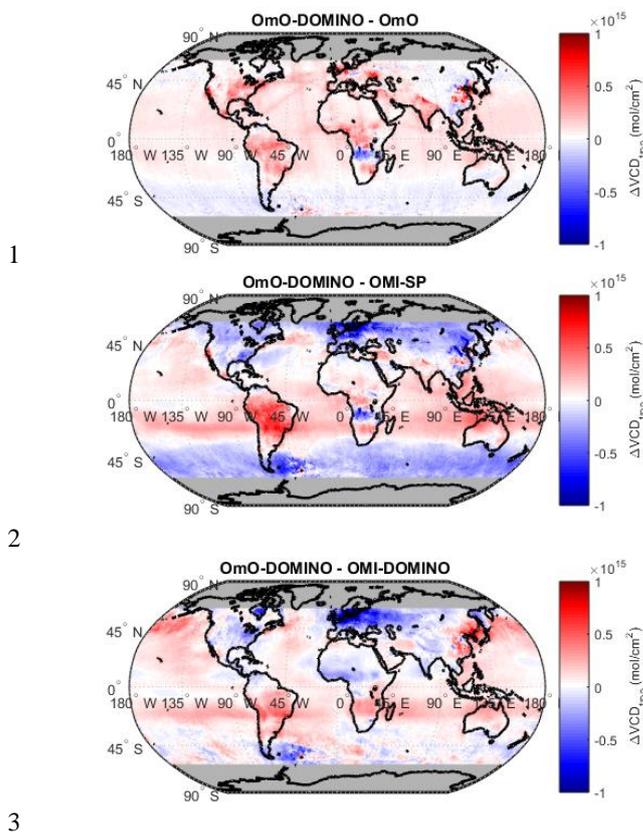
5 **Figure 11: Maps of annual average (top) OmO tropospheric VCDs and differences**  
6 **between tropospheric VCDs for (top-middle) OmO minus OMI-SP, (bottom-middle)**  
7 **OmO minus OMI-DOMINO, and (bottom) OMI-SP minus OMI-DOMINO. Maps are**  
8 **averaged on a 1°x1° grid.**

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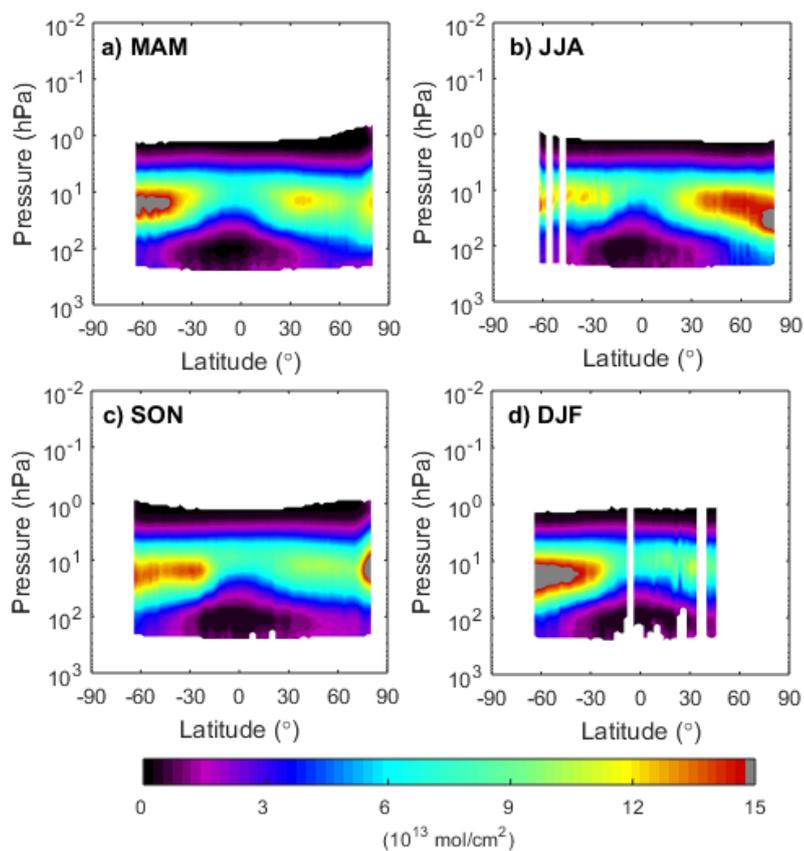


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 2 **Figure 12: Mean and standard deviation of VCDs in the Pacific (150°W to 180°W),**  
 3 **calculated monthly (legend) in 10° latitude bins. (a) OMI-SP mean VCD, (b) OMI-SP**  
 4 **standard deviation of VCD, (c) OMI-DOMINO mean VCD, (d) OMI-DOMINO**  
 5 **standard deviation of VCD, (e) OmO mean VCD, and (f) OmO standard deviation of**  
 6 **VCD.**

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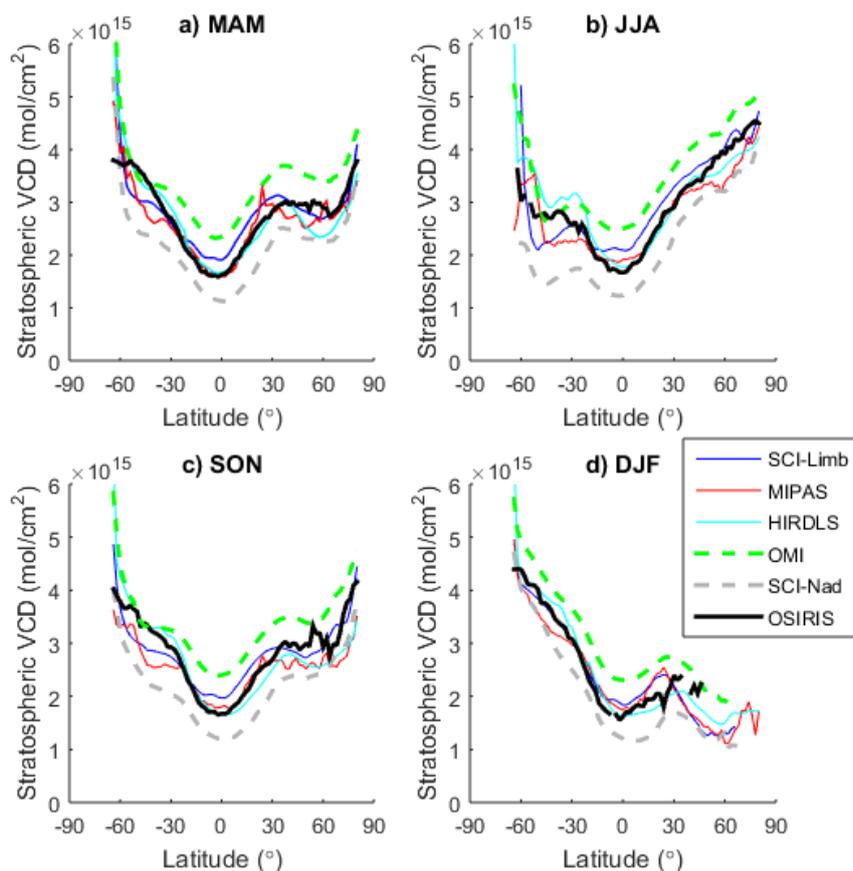
**Figure 13: Maps of differences between OmO-DOMINO and (top) OmO, (middle) OMI-SP, and (bottom) OMI-DOMINO annual average tropospheric VCDs. Map is averaged on a 1x1° grid.**



1

2 **Figure A1. OSIRIS seasonal mean NO<sub>2</sub> partial column profiles in 2° latitude bins for (a)**  
3 **March-April-May (MAM), (b) June-July-August (JJA), (c) September-October-**  
4 **November (SON) and (d) December-January-February (DJF).**

5



1

2 **Figure A2: Seasonal averages of stratospheric NO<sub>2</sub> VCDs for 2005-2007 in 2° latitude bins**  
3 **for (a) March-April-May (MAM), (b) June-July-August (JJA), (c) September-October-**  
4 **November (SON) and (d) December-January-February (DJF). SCIAMACHY limb (blue**  
5 **line), MIPAS (red line), HIRDLS (cyan line), OMI (green dashed line), SCIAMACHY nadir**  
6 **(grey dashed line), and OSIRIS (thick black line) are all shown. Figure is adapted from**  
7 **Belmonte Rivas et al. (2014).**