



1 **Atmospheric CO₂ retrieval from ground based FTIR spectrometer over Shadnagar, India.**

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31 Abstract

32 The column-averaged volume mixing ratio (vmr) of carbon dioxide (XCO_2) has been retrieved
33 over Shadnagar (Latitude= 17.03° N, Longitude= 78.21° E), India at Near Infrared (NIR) spectra
34 using ground based high resolution ($\Delta\nu=0.01\text{ cm}^{-1}$) Fourier Transform InfraRed (FTIR; model
35 IFS125M) spectrometer. It is first of its kind in India for measuring columnar and vertical mixing
36 ratios of atmospheric trace gases and other greenhouse gases (GHGs). In the present study,
37 retrieval of XCO_2 could be performed for a week at near Sun's nadir view (solar zenith angle-
38 SZA, $\pm 75.0^\circ$) using line-by-line radiative transfer algorithm (LBLRTA). Vertical profiles of CO_2
39 have been retrieved at NIR and middle IR (MIR) spectra using a version of the Fast Atmospheric
40 Signature Code 3 (FASCODE3) model which is for the retrieval of atmospheric trace gas profiles.
41 Error residuals between measured and fitted atmospheric transmission lie within $\pm 1.0\%$ for CO_2
42 ($6180\text{-}6380\text{ cm}^{-1}$) and O_2 ($7800\text{-}7940\text{ cm}^{-1}$) respectively. During analysis period mean (standard
43 deviation, 1σ) XCO_2 was observed to be 385.24 ppm (4.22 ppm) with 1.0 % of daily variation.
44 Minimum and maximum averaged molecular column densities of CO_2 (O_2) are 6.15×10^{21}
45 (3.3×10^{24}) molecules/ cm^2 and 8.06×10^{21} (4.72×10^{24}) molecules/ cm^2 respectively. Obtained an
46 average high signal to noise ratio (SNR) of 833 and 625 for NIR and MIR spectra, respectively.

47 Keywords: Column CO_2 , Vertical profile, FTIR, FASCODE3, Trace gases

48 1. Introduction

49 Greenhouse and other trace gases such as carbon dioxide (CO_2), methane (CH_4), ozone (O_3) and
50 nitrous oxide (N_2O) play a vital role in controlling the climate system of the lower atmosphere
51 (Stocker et al., 2013; Smedley et al., 2015; Sreenivas et al., 2016). CO_2 in the atmosphere is the
52 most important contributor to positive radiative forcing that increases the greenhouse effect
53 (Forster et al., 2007). It has increased about 40% from the year 1750 to 2011 with the level of
54 atmospheric abundance of CO_2 was 390.5 ppm (390.3 to 390.7) in the year 2011 (Stocker et al.,
55 2013). To understand better and manage CO_2 emissions, estimates of source and sink strengths
56 are required by the integrated approach of in-situ, remote sensing and model simulation. Currently
57 the information about atmospheric CO_2 is mainly inferred from in situ (Warneke et al., 2005; Petri
58 et al., 2012) and remote sensing technology.

59 Rayner and O'Brien (2001) have shown that space-based column CO_2 can substantially improve
60 understanding of surface fluxes only if they have accuracy and precision of 1-2 ppm with good
61 spatial and temporal coverage. National Aeronautics and Space Administration (NASA) launched
62 a dedicated Orbiting Carbon Observatory-2 (OCO-2) satellite in 2014 to measure column CO_2
63 (<http://oco.jpl.nasa.gov/>). The OCO-2 instrument aimed to measure XCO_2 with a precision better
64 than 0.3 % on spatial scales ($<100\text{ km}$). Air-borne and satellite based measurements of such
65 parameters are subjected to uncertainties associated with the constraints related to the retrieval
66 techniques and limitations inherent to the sensor (A.P. Cracknell & C.A. Varotsos 2014). Thus,
67 direct measured column measurements are potentially a decisive input for atmospheric CO_2
68 inversion because of lower impact from errors in modeled vertical convection (Warneke et al.,
69 2005; Kobayashi et al., 2010). Column measurements are especially important in the tropics, as



70 convection is consistently strong and as a result flux signals are only weakly seen in surface
71 measurements (Gloor et al., 2000).

72 National Remote Sensing Center (NRSC) of Indian Space Research Organization (ISRO) has
73 established a dedicated Atmospheric Sciences Lab (ASL) to record, monitor and analyzes the
74 greenhouse and other trace gases along with radiation measurements towards understanding the
75 impact of atmospheric processes and assess the air quality. A high resolution (maximum
76 $\Delta\nu=0.0035\text{ cm}^{-1}$; optical path depth (OPD) of 257 cm) FTIR spectrometer for measuring
77 atmospheric trace gases and GHGs has been installed and currently operational on clear sky days
78 at ASL, Shadnagar since March 2014. We hope these measurements provide highly reliable and
79 accurate standard data sets over a long period. Additionally, they provide complementary
80 information to the satellite measurements such as diurnal variations.

81 In the present study, NIR and MIR spectra have been utilized to retrieve column averaged and
82 vertical profile of CO_2 using LBLRTA and radiative inverse model (FASCODE3).

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84 2. Data measurement and analysis

85 High resolution ($\Delta\nu=0.01\text{ cm}^{-1}$; OPD=90 cm) Sun spectra have been obtained using ground based
86 FTIR spectrometer over Shadnagar region (Latitude=17.03° N, Longitude=78.21° E) of India have
87 been evaluated for a week. An IFS 125M FTIR housed at ASL is equipped with set of beam
88 splitters and detectors such as Potassium Bromide (KBr) and Calcium fluoride (CaF_2) beam splitters
89 and Mercury Cadmium Telluride (MCT) and Indium antimonide (InSb) detectors cooled with liquid
90 nitrogen (LN_2). Details are summarized in table 1. In the present study, the measured spectra using
91 InSb detector to understand the typical columnar concentrations of CO_2 by implementing the basic
92 LBLRTA at 6100 cm^{-1} - 6400 cm^{-1} spectral range. Also attempted to retrieve CO_2 vertical profile
93 information in NIR and MIR spectral range using FASCODE3 model (Notholt 1994). The
94 FASCODE3 (Smith et al., 1978; Wang et al., 1996) coupled with an inversion module is based on
95 the optimal estimation method of Rodgers (1976), provides error analysis tools necessary to
96 determine the information content of the retrievals. The reader is referred to detailed retrieval
97 methods and their error analysis explained in Miller et al. (1999). Observations covering the
98 spectral range from 6000 cm^{-1} to 8000 cm^{-1} were used to retrieve the column averaged dry mole
99 fraction (DMF) of CO_2 and vmr of O_2 (Wallace and Livingston, 1990 and Yang et al., 2002).

100 Implemented LBLRTA for the analysis of solar spectra absorption features to retrieve the
101 columnar abundance of CO_2 in the atmosphere. In the present study, the absorption bands for CO_2
102 at 6100 cm^{-1} - 6400 cm^{-1} and for O_2 at 7800 cm^{-1} - 7960 cm^{-1} have been used. Retrieval includes
103 various options such as scaling of *a priori* profiles of pressure (P), temperature (T) and volume
104 mixing ratios (vmr). In this study, we have used *a priori* profiles (vmr) simulated over Izana
105 (Tenerife, 28.3° N, 16.5° W) by National Center for Atmospheric Research/Whole Atmosphere
106 Community Climate Model (NCAR/WACCM). Typical climatological profile of CO_2 and (P, T)
107 obtained for 44 levels (~120 km) from NCAR/WACCM and analysis provided by NASA Goddard
108 Space Flight Center (GSFC, science@hyperion.gsfc.nasa.gov). The CO_2 and O_2 spectral
109 parameters were obtained from High Resolution Transmission (HITRAN) 2012 database



110 (Rothman et al., 2013). Figure 1 provides atmospheric signal measured over Shadnagar with
 111 different combination of detectors and beam splitters.

112 Spectra were recorded at 0.01 cm⁻¹ resolution. It is possible to derive zenith column densities of
 113 CO₂, CH₄, N₂O, CO, O₃, C₂H₆ and HF. The present study reports retrievals of CO₂ and O₂ gases
 114 for one week during 08th, 10th, 15th, 16th, 21st and 23rd March 2016.

115 3. Results and discussion

116 The measured spectra were analyzed at various times throughout the day particularly solar zenith
 117 angle (SZA) at around nadir view (±75.0°) to obtain the atmospheric signal over the study region.
 118 The spectra are analyzed are generally co-additions of 2-4 individual spectra, each taking 5 minutes
 119 to acquire. In our analysis, an average SZA taken to be 75°.0. Figure 2 shows a sample (21st March
 120 2016) spectral analysis in which two spectral bands of CO₂ and O₂ were fitted against measured.
 121 FASCODE3 model has been used to fit the CO₂ and O₂ spectra against measured transmittance
 122 spectra. It computes spectral transmittance, radiance and optical depth for a given spectral range.
 123 The spectral line parameters are based on the latest HITRAN line list (Rothman et al., 2013). Heart
 124 of the FASCODE3 is a line-by-line calculation which computes atmospheric transmittance at very
 125 high spectral resolution. Summary of the retrievals and model inputs with SNRs of spectral
 126 windows provided in table 2.

127 In our analysis, we used central wave numbers (ν_c) for CO₂ and O₂ are 6348 cm⁻¹ and 7808 cm⁻¹
 128 respectively. It has an advantage of being collected using the same detector and the ratio of CO₂/O₂
 129 will mostly cancel out the systematic effects such as instrument line shape (ILS). In figure 2b and
 130 2d residual (measured-calculated) errors were shown for CO₂ and O₂ that lie within ±1.0%
 131 respectively. The time series column-averaged concentration of CO₂ were shown in figure 3. These
 132 limited spectra particularly selected to obtain vertical column abundance at near nadir view over
 133 Shadnagar region. Thus, these spectra were obtained during 11:30 LT to 12:30 LT for 5 min
 134 interval where SZA is 75.0°. The ratio of CO₂ and O₂ column amounts, scaled by the standard
 135 atmospheric O₂ fraction have been computed as

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$$137 \quad X_{\text{CO}_2\text{DMF}}(\text{ppm}) = 0.2095 \times \left[\frac{\text{Column of } X_{\text{CO}_2}}{\text{Column } \text{O}_2} \right] \text{ --- (1)}$$

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139 Time series observations were averaged within the selected time interval which may probably
 140 reduce the thin clouds impact on absorption features and instrumental effects such as line shape.
 141 The column-averaged CO₂ was observed to be minimum (maximum) of 381.0 ppm (390.0 ppm).
 142 Minimum and maximum averaged molecular column densities of CO₂ (O₂) are 6.15×10²¹
 143 (3.3×10²⁴) molecules/cm² and 8.06×10²¹ (4.72×10²⁴) molecules/cm² respectively. Daily means of
 144 the standard deviations (1σ) are 3.04 ppm, 3.58 ppm, 3.40 ppm, 0.74 ppm, 0.84 ppm and 3.12 ppm
 145 respectively.

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147 In the present study, using FASCODE3 we retrieved CO₂ concentration in the vertical profile for
148 one day (21st April 2015 and 23rd March 2016) in two consecutive years as shown in figure 4.
149 These retrievals are critically dependent on atmospheric pressure and temperature profiles. Present
150 study used NIR (6100-6400 cm⁻¹) and MIR (660-700 cm⁻¹) spectral windows for sensitivity
151 comparison for two different days in different years. Both the profiles are likely to be same with
152 the varied temperature input. The mean standard deviations (1 σ) for NIR and MIR are 0.07 ppm
153 and 0.08 ppm respectively. Since the temperature and pressure profiles are essential to the retrieval,
154 a priori profile information of (P, T) obtained from reanalysis data provided by NASA-GSFC
155 (science@hyperion.gsfc.nasa.gov). The criteria for choosing these bands also include the relative
156 spectral line intensities, the number of lines and minimization of molecular species interferences
157 on main species. FASCODE3 also computes the strength of line intensities in the retrieval window
158 and the total number of lines (shown in table 3).

159 For the spectral band in the NIR region (6100-6400 cm⁻¹), spectral line lists are 14,247 which are
160 less compared to that of this band in the MIR region (660-670 cm⁻¹) of 44,065 lines. Fortunately,
161 these two spectral regions are less influenced by other molecular species as shown in table 3.

162 **4. Conclusion**

163 This work presents the column-averaged concentration and an attempt made to retrieve vertical
164 profile of CO₂ concentration using a line-by-line radiative transfer algorithm and FASCODE3 over
165 Shadnagar region of India. Six day direct solar radiation spectra were utilized with high spectral
166 resolution of 0.01 cm⁻¹ recorded by ground-based FTIR spectrometer. Measured transmittance
167 spectra compared against model computed transmittance spectra and found to be good agreement
168 with high SNR. The mean residual lie within $\pm 1.0\%$ for CO₂ and O₂ spectral windows. During the
169 analysis period mean (standard deviation, 1 σ) XCO₂ was observed to be 385.24 ppm (4.22 ppm)
170 with 1.0 % of variation in selected SZA period. This precision has to be improved by using near
171 real time meteorological information acquired by INSAT-3D. Present study used NIR (6100-6400
172 cm⁻¹) and MIR (660-700 cm⁻¹) spectral windows to compare retrieved vertical profile of CO₂
173 during two different days. The mean standard deviations of retrieved CO₂ (1 σ) for NIR and MIR
174 bands are 0.07 ppm and 0.08 ppm respectively. To understand the spectral dependencies and
175 resolution for trace gas retrievals, further analysis required using FASCODE3 and that would form
176 the future work. In addition, attempts would be made to use near real time temperature and pressure
177 profiles from satellite data to improve the retrieval accuracy.

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258 **Tables**

259 Table 1 Measurement details using FTIR 125M (Bruker make)

Detector	Beam Splitter	Spectral Range (cm ⁻¹)	Resolution (Δν) cm ⁻¹	OPD (cm)	SNR	Noise (rms)
MCT	KBr	600-4800	0.01	90	625	0.16
InSb	CaF ₂	1000-11000	0.01	90	833	0.12

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261 Table 2 Model inputs and retrieved column averaged CO₂/O₂ windows

Detector	Beam splitter	Retrieval window (cm ⁻¹)	Central wavenumber (ν _c cm ⁻¹)	Species	SNR	Noise(rms)
MCT	KBr	660-700	668	CO ₂ profile	1111	0.09
InSb	CaF ₂	6100-6400/7800-7960	6348/7808	XCO ₂ /O ₂	2941/2564	0.03/0.04
Inputs-FASCODE3						
Spectra library (HITRAN2012)	SZA	Observed height from mean sea level (km)	Modified Atmospheric (tropical) model	Retrieval height (km)	Mean mixing ratio of CO ₂ (assumed 390 ppm, Stocker et al. 2013)	

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263 Table 3 FASCODE3 computed line strength in NIR (6100 cm⁻¹-6400 cm⁻¹) and MIR (660 cm⁻¹-700 cm⁻¹)
 264 spectral region

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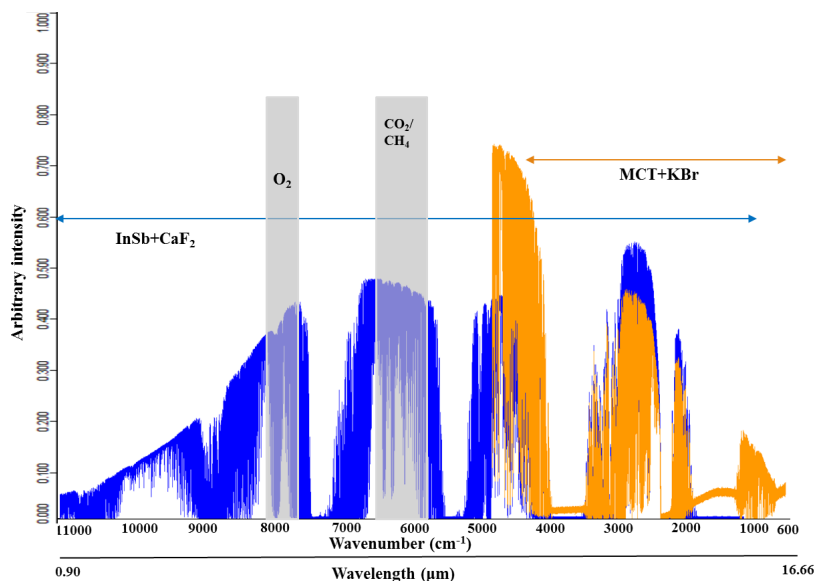
Molecule	No.of Lines (NIR/MIR)	Line Strengths (sum) (NIR/MIR)
H ₂ O	1543/937	2.61E-27/2.97E-23
CO ₂	14247/44065	1.55E-25/1.377E-20
N ₂ O	236/1257	3.90E-26/4.405E-22
CO	677/0	6.84E-26/0.0
O ₂	91/0	5.04E-33/0.0



275 **Figures**

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Figure 1 FTIR Measured Solar Spectra in the NIR and MIR spectral regions

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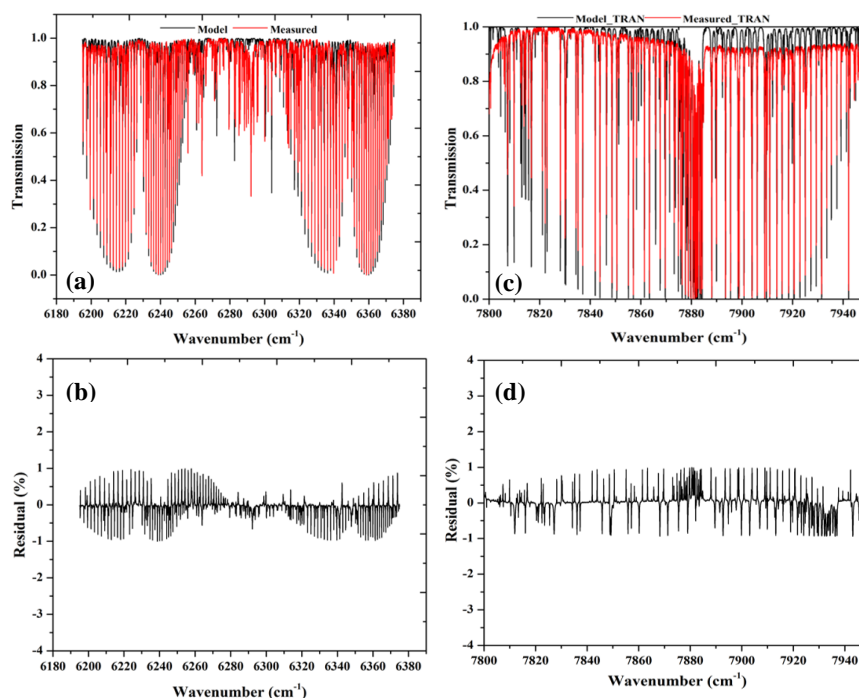


Figure 2 NIR spectra measured on 21st March 2016. a) Red lines indicate measured transmittance and black lines represent fitted transmittance for CO₂ b) residual error for CO₂ c) Transmittance fitted versus measured in O₂ spectral range d) residual error of O₂

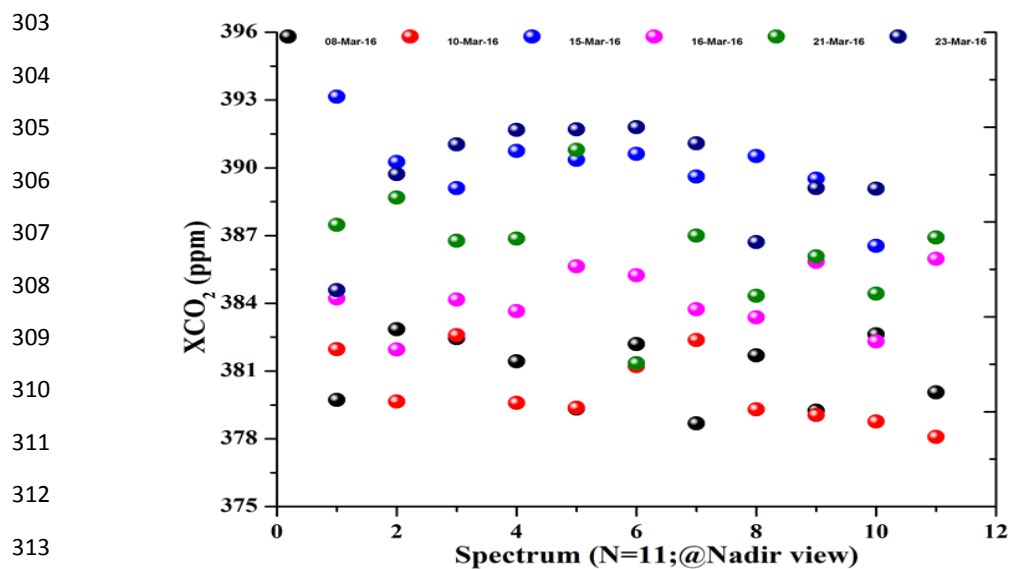


Figure 3 Time series column-averaged volume mixing ratio of CO₂ (XCO₂) over Shadnagar region

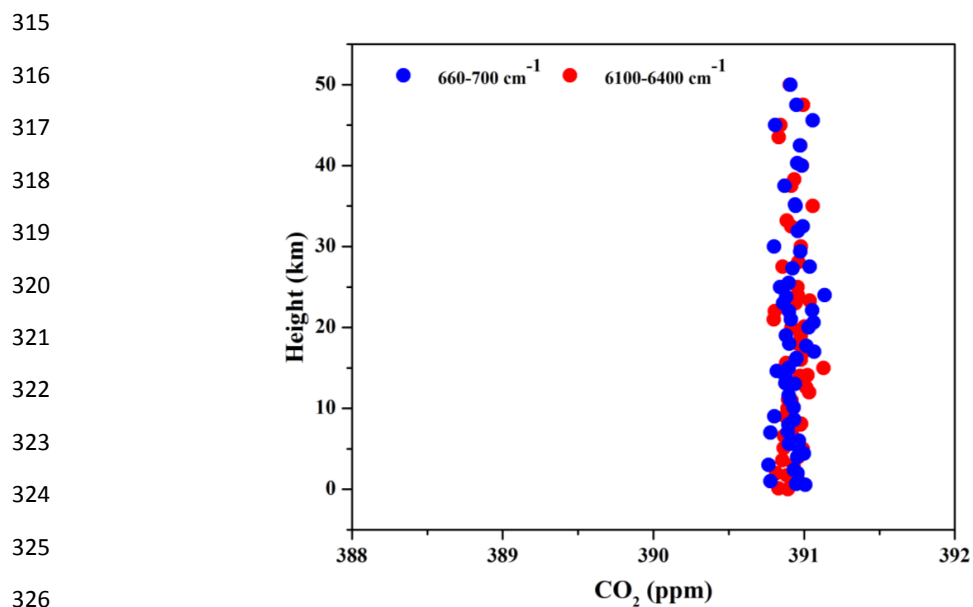


Figure 4 Volume mixing ratio of CO₂ retrieved on 21st April 2015 and 23rd March 2016