



Assessing the accuracy of microwave radiometers and

² radio acoustic sounding systems for wind energy

3 applications

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- 21 Abstract. To assess current remote-sensing capabilities for wind energy applications, a remote-
- 22 sensing system evaluation study, called XPIA (eXperimental Planetary boundary layer
- 23 Instrument Assessment), was held in the spring of 2015 at NOAA's Boulder Atmospheric
- 24 Observatory (BAO) facility. Several remote-sensing platforms were evaluated to determine their
- suitability for the verification and validation processes used to test the accuracy of numerical
- 26 weather prediction models.
- 27 The evaluation of these platforms was performed with respect to well-defined reference systems:
- the BAO's 300-m tower equipped at 6 levels (50, 100, 150, 200, 250, and 300m) with 12 sonic
- anemometers and 6 temperature and relative humidity sensors; and approximately 60 radiosonde
- 30 launches.
- 31 In this study we first employ these reference measurements to validate temperature profiles
- 32 retrieved by two co-located microwave radiometers, as well as virtual temperature measured by
- 33 co-located wind profiling radars equipped with radio acoustic sounding systems. Results indicate
- 34 a mean absolute error in the temperature retrieved by the microwave radiometers below 1.5 °C in
- the lowest 5 km of the atmosphere, and a mean absolute error in the virtual temperature
- measured by the radio acoustic sounding systems below $0.8 \,^{\circ}$ C in the layer of the atmosphere
- 37 covered by these measurements (up to approximately 1.6 2 km). We also investigated the
- 38 benefit of the vertical velocity applied to the speed of sound before computing the virtual
- 39 temperature by the radio acoustic sounding systems. We find that using this correction frequently
- 40 increases the RASS error, and that it should not be routinely applied to all data.
- 41 Water vapor density profiles measured by the MWRs were also compared with similar
- 42 measurements from the soundings, showing the capability of MWRs to follow the vertical profile
- 43 measured by the sounding, and finding a mean absolute error below 0.5 g m^{-3} in the lowest 5 km





- 44 of the atmosphere. However, the relative humidity profiles measured by the microwave
- 45 radiometer lack the high-resolution details available from radiosonde profiles. An encouraging
- 46 and significant finding of this study was that the coefficient of determination between the lapse
- 47 rate measured by the microwave radiometer and the tower measurements over the tower levels
- 48 between 50 and 300 m ranged from 0.76 to 0.91, proving that these remote-sensing instruments
- 49 can provide accurate information on atmospheric stability conditions in the lower boundary
- 50 layer.
- 51
- 52





53 1. Introduction

54	While the increasing deployment of wind turbines increases society's reliance on
55	renewably-generated electricity, the need for accurate forecasts of that power production also is
56	growing (Marquis et al., 2011). Improving wind forecasts at hub height remains the top priority,
57	but challenges derive from the complexity of physical processes occurring at a wide range of
58	spatial and temporal scales. Fundamental to understanding and accurately forecasting these
59	processes is the accurate measurement of the atmospheric parameters such as wind, temperature,
60	and humidity in four-dimensional space. Assessing the capability and accuracy of remote-
61	sensing instruments to capture planetary boundary layer and flow characteristics was one goal of
62	the DOE- and NOAA-sponsored eXperimental Planetary boundary layer Instrumentation
63	Assessment (XPIA; Lundquist et al. 2016) campaign conducted in the spring 2015 at the Boulder
64	Atmospheric Observatory (BAO), located in Erie, Colorado (~20 km east of Boulder and ~30 km
65	north of Denver).
66	Herein, we address some of the objectives of the XPIA campaign – determining the accuracy of
67	temperature, water vapor density, and humidity profiles from state-of-the-art remote-sensing
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- humidity retrieved by MWRs (e.g., Güldner and Spänkuch 2001; Liljegren et al. 2001; Ware et
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76	al. 2003; Cimini et al. 2011; Friedrich et al. 2012) and virtual temperature retrieved by WPRs
77	with RASS (May et al., 1989; Moran and Strauch, 1994; Angevine et al., 1998; Görsdorf and
78	Lehmann, 2000). Studies evaluating the accuracy of MWR measurements using radiosonde
79	observations show consistent results with differences of $1 - 2$ K in temperature, <0.4 g m ⁻³ in
80	water vapor density, and < 20% in humidity for most weather conditions (Güldner and Spänkuch
81	2001; Liljegren et al. 2001; Ware et al. 2003; Cimini et al. 2011). Similar results were derived
82	from comparisons between MWR and <i>in-situ</i> tower observations with differences in temperature
83	ranging from 0.7 – 1.7 K (Friedrich et al. 2012). Studies evaluating the accuracy of RASS
84	measurements using radiosonde and in-situ tower observations show root mean square
85	differences of below 1 °C in virtual temperature (May et al., 1989; Moran and Strauch, 1994;
86	Angevine et al., 1998). Variations in the results were often a function of various factors such as
87	height above ground, season, topography, abrupt changes in the lapse rate, and regional
88	differences in the surrounding vegetation.
89	The analysis presented here builds on the results of these previous studies, but also focuses on
90	several unique aspects. First, in our study we provide a comprehensive assessment and
91	comparison of the accuracy of active (two different RASS systems operating side-by-side in
92	similar modes) and passive (two identical MWRs operating side-by-side in identical modes)
93	remote sensing instruments operated over the period of the XPIA campaign under various
94	meteorological conditions, including cold stable air masses, downslope wind conditions,
95	convective conditions, and rain and snow conditions. The accuracies of the retrievals for the two
96	MWR systems were compared to each other as well as to several <i>in-situ</i> radiosonde soundings
97	and to the tower observations. Virtual temperatures from a 915-MHz WPR with RASS and a
98	449-MHz WPR with RASS were also analyzed and compared to in-situ radiosonde soundings





- and tower observations. A second important contribution of this study is to specifically
- 100 investigate and compare the ability of these active and passive remote-sensing instruments to
- 101 measure lapse rate to be used for wind energy applications. Knowing the atmospheric stability is
- 102 indubitably important for wind energy applications such as wind turbine operations, as
- 103 atmospheric turbulence and wind shear are affected by changes in atmospheric stability.
- 104 Furthermore, as found in Warthon and Lunquist (2012), and Vanderwende and Lundquist
- 105 (2012), atmospheric stability impacts both turbine power production (stable conditions improve
- 106 power performance while the opposite is true for strongly unstable conditions) and wake
- 107 characteristics (Hansen et al., 2012).
- 108 This paper is organized as follows: Section 2 summarizes the experimental design and
- 109 instrument characteristics; Sections 3 and 4 assesses the accuracy of temperature and lapse rates
- 110 derived from MWRs and RASSs, respectively; in Section 5 water vapor density and humidity
- 111 from the MWRs are compared to *in-situ* measurements. Finally, conclusions are presented in

112 Section 6.

113

114 2. Experimental design, instruments and methods

115 2.1 Experimental design

- 116 We assess temperature, water vapor density, and relative humidity accuracy from remote-sensing
- 117 instruments by comparing the observations to *in-situ* observations from radiosondes and
- 118 instruments mounted on a 300-m meteorological tower. The remote sensing instruments include
- two identical 35-channel (21 in the 22-30 GHz band, and 14 in the 51-59 GHz band)
- 120 Radiometrics MWRs, one 915-MHz WPR equipped with RASS, and one 449-MHz WPR also





121	equipped with RASS. Figure 1 shows the instruments used in this study. A detailed description
122	of the instruments, methods, and their integration into the XPIA campaign can be found in
123	Lundquist et al. (2016). The MWRs and WPR-RASSs operated side-by-side (~2 m apart) at the
124	visitor center, at about 600 m southwest of the 300-m meteorological tower. Radiosondes were
125	launched from the visitor center in March (38 soundings), while the remaining 23 soundings
126	were launched in April and May from the water tank site 1000 m to the southeast of the visitor
127	center (see Fig. 1 in Lundquist et al. 2016 for details).
128	
129	2.2. In-situ observations: Radiosonde and 300-m meteorological tower
130	Radiosondes were launched during fair weather conditions at 0800 (1400), 1200 (1800), 1600
131	(2200), and 2000 (0200) LT (UTC) between 9 – 19 March (38 soundings), 15 and 20 – 22 April
132	(10 soundings), and $1 - 4$ May (13 soundings) providing, among others, vertical profiles of
133	temperature, dewpoint temperature, and relative humidity between the surface and > 10 km
134	above ground level (AGL). Fourteen of these soundings were released during stable atmospheric
135	conditions, while the remaining forty seven were launched during unstable conditions.
136	Two types of sounding systems were used during the campaign: the National Center for
137	Atmospheric Research's Mobile GPS Advanced Upper Air Sounding System (MGAUS) was
138	used in March (with a 1 s temporal resolution, an accuracy of 0.25 °C on temperature and of
139	1.5% on relative humidity) for launches from the visitor center, while the Vaisala MW31
140	DigiCORA Sounding System was used in April and May (with a 2 s temporal resolution, an
141	accuracy of 0. 5 °C on temperature and of 5% on relative humidity) for launches from the water
142	tank site.





- 143 The 300-m meteorological tower was equipped with temperature and relative humidity sensors at
- six levels (50, 100, 150, 200, 250, and 300 m) operating continuously at a temporal resolution of
- 145 1 s. Temperature was measured with an accuracy better than 0.1 K (Horst et al., 2016).

146

147 2.3. Microwave radiometers

- 148 Two MWRs, one operated by NOAA (referred to as the NOAA MWR hereafter) and one
- 149 operated by the University of Colorado (CU MWR), ran side-by-side with identical
- 150 configurations. Prior to the experiment, both MWRs were calibrated using an external liquid
- nitrogen target and an internal ambient target (Han and Westwater 2000) and thoroughly
- 152 serviced (sensor cleaning, radome replacement etc.). Both MWRs observed at the zenith and at
- an elevation angle of 15° above the ground (referred to as 15° elevation scans hereafter). The
- 154 instruments were aligned in a way that the 15° elevation scans pointed towards the north and
- south, approximately parallel to the Colorado Front Range. Microwave emissions at the water
- vapor (22-30 GZ) and oxygen (51-59 GHZ) absorption band together with infrared emission at
- 157 9.6-11.5 microns were used to retrieve vertical profiles of temperature (T), water vapor density
- 158 (WVD) and relative humidity (RH) every 2-3 minutes using historic radiosondes and a regression
- 159 methods or neural network (Solheim et al. 1998a, 1998b; Ware et al. 2003). The algorithm, based
- on a radiative transfer model (Rosenkranz 1998), was trained for both MWRs on a 5-year
- 161 radiosonde climatology from the Denver, Colorado, National Weather Service sounding archive,
- 162 based on radiosondes launched at the Denver International Airport, 35 km to the southeast of the
- instrument site. Note that the MWR observes within an inverted cone with a $2^{\circ}-3^{\circ}$ beam width at
- 164 51-59 GHz, and 5°-6° beam width at 22-30 GHz (Ware et al. 2003). Instruments were placed
- 165 next to each other on a trailer \sim 3 m off the ground and at a distance of \sim 2 m to avoid





166	interference. Although the instruments use a hydrophobic radome and forced airflow over the
167	surface of the radome during rain, these instruments become less accurate in the presence of rain
168	as some water deposits on the radome. It has been observed that retrieved temperature and
169	humidity profiles from the 15° elevation scans provide higher accuracy during precipitation
170	compared to the zenith observations by minimizing the effect of liquid water and ice on the
171	radiometer radome (Xu et al., 2014).
172	The vertical resolution of the retrieved profiles ranged from 50 m between the surface and 0.5
173	km AGL; 100 m between 0.5 to 2 km AGL; and 250 m between 2 and 10 km AGL. Both
174	instruments were also equipped with a rain sensor and a surface sensor for observations of
175	temperature, pressure, and relative humidity. These surface observations serve as a boundary
176	condition for the neural network approach. Since the pressure sensor from the NOAA MWR was
177	broken between 5 – 27 April, the inter-comparison for the NOAA radiometer focuses solely on
178	observations collected between 9 March – 4 April and 28 April – 7 May 2015, while the inter-
179	comparison for the CU radiometer includes all dates between 9 March – 7 May 2015.
180	

181 2.4. WPR-RASSs

182 Wind profiling radars are primarily used to measure the vertical profile of the horizontal wind

vector (Strauch et al., 1984; Ecklund et al., 1988). The remote measurement of virtual

temperature in the lower atmosphere is achieved with the associated RASS, co-located with the

185 WPR. Usually a WPR-RASS system is set up to operate in wind mode for a large fraction of

each hour and in RASS mode for the remaining small fraction. When the system is in RASS

187 mode, the RASS emits a longitudinal acoustic wave upward in the air that generates a local





- 188 compression and rarefaction of the ambient air. These density variations are tracked by the
- 189 Doppler radar and the speed of sound is measured. From the measurement of the speed of sound,
- 190 the virtual temperature (T_v) in the boundary layer can be obtained (North et al., 1973).
- 191 The 915-MHz WPR RASS settings were selected to sample the boundary layer from 120 m to
- 192 1618 m in the vertical with a 62 m resolution, while the 449-MHz WPR RASS sampled the
- boundary layer from 217 m to 2001 m with a 105 m resolution.
- 194 Several factors can undermine the accuracy in T_v measurements from RASSs. For example,
- 195 vertical velocity can influence the accuracy of RASS measurements (May et al., 1989; Moran
- and Strauch, 1994) because the apparent speed of sound measured by the radar is equal to the
- 197 sum of the true speed of sound and the vertical air velocity. Previous studies (Moran and Strauch,
- 198 1994; Angevine and Ecklund, 1994; Görsdorf and Lehmann, 2000) have found conflicting
- results on the overall accuracy of T_v measurements by RASSs from correcting the speed of sound
- 200 for the vertical velocity. Görsdorf and Lehmann (2000) found that the vertical velocity correction
- 201 improves the accuracy of RASS temperature measurements only in situations when the error of
- 202 the measured vertical velocities is smaller than the magnitude of vertical velocity itself. This
- 203 situation is more likely to occur under unstable conditions in the boundary layer. In some cases,
- they found that this correction can decrease the accuracy of RASS, especially in situations with
- 205 only light horizontal winds and a lower reliability of vertical wind measurements. Our systems
- 206 provided both corrected and uncorrected vertical velocity, enabling us to investigate the accuracy
- 207 of RASS measurements of T_{ν} both corrected and uncorrected for vertical air motion.





- 209 Since the volumes sampled by the MWRs and RASSs are substantially larger than those sampled
- by the soundings or the tower-based measurements, vertical averaging and linear interpolation
- 211 were used to facilitate comparison. Particularly, when comparing measurements from MWRs
- and RASSs to sounding observations we averaged the data of the soundings over the heights of
- the MWRs and RASSs. When comparing measurements from RASSs to the tower observations
- 214 we linearly interpolated the data of the tower over the heights of the RASSs, while when
- comparing measurements from the MWRs to the tower observations no spatial interpolation or
- averaging was applied since MWR derived temperature levels (0, 50, 100, 150, 200, 250, 300 m)
- 217 were the same height levels as the in situ tower observations.
- 218

219 **3.** Accuracy of the temperature profiles

220 3.1. MWRs versus sounding observations

Differences in temperature between the two MWRs were analyzed before comparing to sounding 221 observations. Profiles derived from 15° elevation scans between the surface and 10 km were 222 223 compared during the time periods when both instruments were functioning (9/3/2015 - 4/4/2015)in Fig. 2a; 28/4/2015 - 7/5/2015 in Fig. 2b). Note that the off-zenith scans towards the north and 224 south were averaged to reduce the impact of any horizontal inhomogeneity of the atmosphere. 225 226 Although MWRs operated side-by-side with exactly the same configurations (section 2.3), mean absolute error (MAE) between the two systems ranged between $0.7 - 0.9^{\circ}$ C (Fig. 2). Note that 227 228 the lack of data in April was due to a malfunctioning pressure sensor of the NOAA MWR. In 229 general, the CU MWR observed lower temperatures (T) than did the NOAA MWR with the bias between the two instruments [computed as $(T_{CUMWR} - T_{NOAAMWR})$] ranging between -0.4 – -230





- 231 0.6° C. Since the coefficient of determination, R², value was 1.00 during the inter-comparison
- 232 period, we consider the two MWRs in good agreement with each other.
- 233 For the comparison between MWRs and sounding observations, the data set was divided into
- three periods in order to account for differences in the sounding systems and their locations, as
- well as differences in the atmospheric conditions. The three periods of comparison consist of
- 236 March, with cooler temperatures and partially snow-covered terrain; May, with mainly warm,
- convective weather; and a transition period in April with a mixture of cool, rainy and warm,
- sunny weather. Differences in temperatures between the MWRs and soundings are shown for
- 239 March in Fig. 3, April in Fig. 4, and May in Fig. 5 between the surface and 5 km AGL. Scatter
- 240 plot comparisons between soundings and the radiometer observations show that MAEs in
- temperature were slightly larger in March, ranging between 1.3 1.5 °C (Fig. 3a-b) compared to
- April and May, where values ranged between $0.9 1.1^{\circ}$ C (Figs. 4a, 5a-b). As previously
- 243 indicated in Fig. 2, the CU MWR underestimated the temperatures compared to the sounding
- observations with a bias of -0.3 -0.8°C in March, April and May. The NOAA MWR showed no
- bias (defined as T_{MWR} $T_{Radiosonde}$) in March but overestimated temperatures in May with a bias of 0.4°C.
- 247 Temperatures derived from the MWR zenith scans were also compared to the sounding
- 248 observations as presented in Table 1. Several studies have suggested to use off-zenith
- observations at 15° to avoid temperature saturation and reduce scatter (Cimini et al., 2011;
- Friedrich et al., 2012). In the present data set the zenith measurements performed better than the
- 251 averaged 15° elevation scans in terms of bias for the CU MWR in March, but not for the NOAA
- 252 MWR. Despite the higher resolution from the 15° elevation scans, values of MAE and R² are
- surprisingly almost identical for the off-zenith and zenith measurements for all three periods.





- 254 Slopes are closer to one for the 15° elevation scans. We decided to base the rest of the study on
- 255 off-zenith averaged observations at 15° elevation angle because 15° elevation scans provide
- higher accuracy compared to the zenith observations during precipitation (Xu et al., 2014).
- 257 MAE in temperature between the MWRs and radiosondes as a function of height, shown in Figs.
- 258 3c, 4b, 5c, indicates two different patterns in the cooler March conditions compared to a warmer
- 259 April and May. In March, MAEs were below 2°C at altitudes below 3.5 km for the CU MWR
- with a continuous increase up to 2.7°C at 4.5 km AGL (Fig. 3c). The NOAA MWR showed a
- similar behavior with a slightly lower MAE that the CU MWR. In April and May, however,
- 262 MAEs were below $\sim 2^{\circ}$ C at all levels not showing the increase in MAE that was seen in March
- 263 above 3.5 km.

Bias in temperature between the MWRs and radiosondes as a function of height (Figs. 3d, 4c,

- 265 5d) showed negative bias in a shallow layer near the surface, positive values below ~1 km (~1.5
- km) for the CU (NOAA) MWR and mostly negative values above. The negative bias below 250
- m is related to the surface inversions often observed at night or early morning (an example if
- which is shown in Fig. 6a). The details of the inversion were consistently in error with the
- 269 MWRs too cold at the surface and too warm above a few hundred meters due to the inversion
- 270 height being displaced too high. Above 1.5 km, for some of the profiles, radiosonde temperatures
- 271 strongly differed from the MWR observations (an example if which is shown in Fig. 6b), which
- might be related to strong observed winds aloft (winds larger than 10 m s^{-1} for these
- 273 circumstances, not shown) that transported the sounding farther away from the MWR
- 274 encountering different air masses. Despite their coarser resolution, the MWRs were capable of
- 275 capturing important gradients in the temperature profile the existence or lack of surface





- temperature inversions at around few hundred meters AGL and the overall decrease in
- temperature with height (examples of which are shown in Fig. 6c-d).
- 278 To further evaluate how the transition from a stable nighttime to a more convective boundary
- 279 layer during the day might affect the accuracy of the temperature observation, the CU MWR
- retrieved temperatures were compared to the radiosonde temperatures at different times of the
- 281 day (0700 1200 LT; 1300 1800 LT; 1900 2400 LT), as presented in Fig. 7. This figure
- contains only the CU MWR because the CU and NOAA MWR were in good agreement over the
- two periods presented in Fig. 2, and the CU MWR has a larger dataset because of the outage of
- the NOAA MWR in April. No significant differences between these different times of the day
- were noticed. For this reason, it can be concluded that the MWR was capable of retrieving
- temperatures with a MAE of around 1.2 1.3°C during different atmospheric stability
- 287 conditions.
- In summary below 3.5 km we find consistent behavior of the MWRs among the different months
- and similar error statistics for different times of the day using MWR data up to 5 km.
- 290

291 **3.2 RASS versus sounding observations**

- 292 Temperature observations from the RASSs were compared to radiosonde observations in the
- same manner as for the MWRs. As mentioned in section 2.4, we investigate the accuracy of T_{ν}
- 294 RASS measurements corrected and uncorrected for vertical air motion. Without the vertical
- velocity correction (uncorrected T_{ν}), no important differences between the three periods of
- radiosonde launches emerged (figure not shown). Results of the comparison between uncorrected
- 297 T_v measurements from the 915-MHz and the 449-MHz RASS and all the radiosondes launched





- in March, April and May are presented in Fig. 8a, b. The MAE for uncorrected T_{y} observations
- 299 was 0.7° C with a bias of $0.2 0.3^{\circ}$ C (defined as T_{RASS} $T_{\text{Radiosonde}}$).
- 300 The impact of the vertical velocity correction is shown in the profile of MAE (Fig. 8c). For
- uncorrected T_{ν} (solid lines), MAEs are below 1 °C throughout the entire RASS sampling height.
- However, for corrected T_{ν} (dashed lines), MAEs are larger than those for the uncorrected T_{ν} , for
- 303 both the 915-MHz and 449-MHz RASS, with larger values for the 915-MHz RASS. Similar
- results were also found for vertical profiles of the bias (Fig. 8d), for both RASSs. The bias is
- around 0.3 °C for the 915-MHz RASS and remains nearly constant with height (solid blue line);
- the 449-MHz RASS indicates slightly negative biases below 400 m (solid magenta line),
- 307 increasing to around 0.2°C above. For both RASSs, the use of the vertical velocity correction in
- 308 the computation of T_{ν} increases the bias substantially (dashed lines), similarly to the impact on
- 309 the MAE generated by this correction. This dataset included little convective activity, and so
- using the values of T_{ν} corrected for the vertical velocity from RASS measurements is not
- beneficial in this study consistent with the results of Görsdorf and Lehmann (2000). Moreover,
- 312 the correction is more negative on the 915-MHz RASS T_{ν} which is an indication that the vertical
- 313 velocity measurements are more difficult for this system compared to the 449-MHz RASS.
- 314

315 **3.3. MWRs versus** *in-situ* tower observations

316 In the next step of our assessment, hourly-averaged temperatures from the *in-situ* tower

- 317 observations were compared to temperatures derived by the CU MWR for all dates between 9
- 318 March 7 May (Fig. 9). The data set was not divided in different months since the overall
- statistics in section 3.1 indicated little variation between the months. The CU MWR is in better
- 320 agreement with the tower observations, with a MAE of $0.8 \,^{\circ}$ C (Fig. 9a), than it was with the





- sounding observations (MAE= 1.2 °C; Fig. 7a). The MWR temperatures show a positive bias of 321 322 0.8 °C compared to the *in-situ* temperature observations. The vertical profile of MAE calculated between the MWR and *in-situ* temperature observations (Fig. 9b, solid line) indicates higher 323 values of $\sim 1^{\circ}$ C at 150 – 250 m, which is exactly the heights where the MAE between the MWR 324 325 and the radiosondes showed a local maximum in MAE (Figs. 3c, 4b, 5c). The vertical profile of bias in temperature between MWR and *in-situ* observations (Fig. 9b, dashed line) show that the 326 327 bias is the main contribution to the error, as the value of the bias and of the MAE are very similar 328 to each other. 329 While radiosondes were only launched during rain- and snow-free conditions, the comparison 330 with tower observations (Fig. 9) contains measurements during both times with precipitation and without precipitation. A comparison between MWR and *in-situ* temperatures observations from 331 332 the tower (Fig. 10) shows that the MAE was slightly lower during rainy conditions $(0.8 \,^{\circ}\text{C})$ than during rain-free conditions (0.9 C), but the overall statistics are not particularly compromised. 333
- Note that we used the rainfall sensor MWRs are equipped with to divide the dataset between
- times with and without precipitation.
- 336

337 3.4. RASS versus *in-situ* tower observations

338 Hourly-averaged temperatures from the *in-situ* tower observations were compared to

temperatures derived by the RASSs for all dates between 9 March – 7 May (Fig. 11). Again, the

- 340 data set was not divided in different months since the overall statistics in section 3.2 indicated
- 341 little variation between the months. Since RASS T_{ν} profiles provided data at different heights
- than the tower observations, hourly averaged tower measurements were linearly





- interpolated/extrapolated to the 915-MHz RASS's lowest four altitudes (120, 182, 245, and 307
- m), and over the 449-MHz RASS's lowest two altitudes (217 and 322 m). As for the comparison
- with the radiosondes presented in section 3.2, the effect of applying the correction for the vertical
- velocity to the T_{ν} computation by the RASS systems was again investigated.
- For the uncorrected T_{ν} , the MAE for the RASSs were similar when using the *in situ* tower
- observations (Fig. 11a-b) as when using the radiosonde observations (Fig. 8a-b). For bias, both
- 349 RASSs slightly underestimated virtual temperatures compared to the tower observations, with a
- bias of -0.1 °C for the 915-MHz RASS and -0.4 °C for the 449-MHz RASS. These numbers are
- 351 within the expected accuracy of RASS measurements (May et al., 1989).
- Vertical profiles of uncorrected T_{ν} MAEs and biases calculated between the tower and both the
- 353 915-MHz and 449-MHz RASSs (solid blue and magenta lines in Fig. 11c-d) show more accurate
- results than when using the RASS vertical velocity correction (dashed blue and magenta lines).
- As previously found in section 3.2, the vertical velocity correction (dashed lines) was not
- beneficial to neither the 915-MHz nor the 449-MHz RASS.
- We note that comparing Figs. 3-5 to Fig. 8, and Fig. 9 to Fig. 11, the RASS has lower error
- statistics than the MWRs which was also shown in Fig. 15 of Lundquist et al. 2016.
- 359

360 4. Accuracy of the lapse rate

- 361 Several studies have suggested that surface temperature inversions might be smoothed by
- 362 remote-sensing instruments with coarse spatial resolutions (Solheim et al. 1998b; Reehorst
- 2001). Nevertheless, accurate representation of the lapse rate and consequently of atmospheric
- 364 stability is essential for wind energy operators to better predict the presence of vertical wind





shear (more likely to happen during stable conditions) and turbulence affecting the load on rotors 365 366 (more likely to happen during unstable conditions). Although it is more appropriate to use lapse 367 rate of potential temperature or virtual potential temperature to provide information on stability conditions (Friedrich et al, 2012), as a first step we want to compare the ability of MWR with 368 that of the RASS at evaluating atmospheric stability conditions in the lower boundary layer. To 369 allow this comparison, we first computed the lapse rate of temperature ($\gamma_T = -dT/dz$) between 370 371 50 m and 300 m observed by the CU MWR and compared it with the *in-situ* tower observations 372 including all dates between 9 March – 7 May (Fig. 12a). Statistics indicate that for the lapse rate 373 of temperature measured by the CU MWR and the *in-situ* tower measurements the MAE was about 2.1 °C km⁻¹ with a R² of 0.91. The same analysis was performed for the lapse rate of virtual 374 temperature ($\gamma_{T_v} = -dT_v/dz$) computed between the first and fourth level of the 915-MHz RASS 375 376 measurements (120-307 m) with the *in-situ* tower observations (Fig. 12b), and for the lapse rate of virtual temperature (γ_{T_n}) computed between the first and second level of the 449-MHz RASS 377 measurements (217-322 m) with the *in-situ* tower observations (Fig. 12c). To have a compatible 378 379 comparison between the ability of the MWR at measuring lapse rate with that of the RASSs we computed the same statistics (MAE, bias, R^2 , slope) presented in Fig. 12a, but first interpolating 380 the CU MWR observations over the heights covered by the 915-MHz RASS over the tower 381 measurements (120-307 m), and later interpolating the MWR observations over the heights 382 covered by the 449-MHz RASS over the tower measurements (217-322 m). The first gave a R^2 = 383 0.89 for the CU MWR and $R^2 = 0.81$ for the 915-MHz RASS, while the second gave a $R^2 = 0.79$ 384 for the CU MWR and $R^2 = 0.6$ for the 449-MHz RASS, resulting in the best R^2 for the MWR. 385 In addition to the lapse rates of temperature (γ_T) , we calculated lapse rate of potential 386 temperature from CU MWR measurements, as $\gamma_{\theta} = -d\theta/dz$ (differences with the lapse rate of 387





388	virtual potential temperature were practically unnoticeably). The statistics (MAE, bias, R ² , slope)
389	were calculated for γ_{θ} using different tower levels and the results are presented in Table 2. We
390	note that the agreement between the lapse rate of potential temperature measured by the CU
391	MWR and the <i>in-situ</i> tower measurements is best when it is computed between 50 m and 300 m
392	(larger dz), with a coefficient of determination of 0.91. A comparison between the time series of
393	γ_{θ} (between 50 and 300 m) as computed by the <i>in-situ</i> tower measurements and as computed by
394	the CU MWR is presented in Fig. 13 for all dates between 9 March – 7 May. The CU MWR
395	follows the diurnal cycle of $d\theta/dz$ quite well, with the largest differences occurring at the
396	minimum and maximum values.
397	To better quantify the differences in temperature between the CU MWR and the <i>in-situ</i>
398	observations, the data set was finally divided into times when the atmosphere was stable
399	$(d\theta/dz \ge 0)$ and unstable $(d\theta/dz < 0)$, based on the observations conducted by the CU MWR
400	presented in Fig. 13. Temperatures observed by the CU MWR were compared during stable and
401	unstable conditions to <i>in-situ</i> tower observations at six height levels between $50 - 300$ m.
402	Smaller MAE's occurred in unstable conditions (MAE = $0.8 ^{\circ}\text{C}$; Fig. 14a) compared to stable
403	conditions (MAE = 1.2 °C ; Fig. 14b). Similarly the bias was smaller in unstable conditions
404	compared to stable, and R ² was larger.
405	
406	5. Accuracy of the MWR water vapor density and humidity profiles

407 Differences in water vapor density (WVD) and relative humidity (RH) between the two MWRs

408 were analyzed before comparing to sounding observations. Profiles derived from averaged 15°

- 409 elevation scans between the surface and 10 m AGL were compared during the time periods when
- 410 both instruments were functioning (9/3/2015 4/4/2015 in Fig. 15a-c; 28/4/2015 7/5/2015 in Fig. 15a-c; 28/4/2015 in Fig. 15a-c; 28/4/2015 in Fig. 15a-c; 28/4/2015 7/5/2015 7/5/2015 7/5/2015 7/5/2015 7/5/2015 7/5/2015 7/5/2015 7/5/2015 7/5/2015





411 Fig. 150-d). For the wyd combanson, the MAE s for the two systems were 0.1 and 0.2 g m	411	Fig. 15b-d). For the WVL	comparison, the MAE's for	the two systems were 0.1 and 0.2 g m ⁻³ .
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- 412 the biases were ~ 0.1 g m⁻³ and R² was very close to 1 (Fig. 15a-b). For the *RH* comparison, the
- 413 MAE's were 4.1 and 4.8%, the biases were 2.1 and 0.9%, while the coefficients of determination
- 414 were both 0.96 (Fig. 15c-d). The values of bias, R^2 , and slope indicated a good agreement
- 415 between the instruments over the periods during which they were both functioning properly.
- 416 Lastly, water vapor density and relative humidity derived from the MWRs between the surface
- and 5 km AGL are compared to radiosonde observations from March, April, and May (Figs. 16-
- 418 19 for WVD; Figs. 20-23 for RH). For WVD, in March and April, MAE for both instruments
- show values equal to 0.3 g m⁻³, also reported by Cimini et al. 2011, the bias was close to 0 g m⁻³
- 420 and the coefficient of determination was 0.92 (Fig. 16a-b and Fig. 17a). Vertical profiles of MAE
- 421 (Fig. 16c and Fig. 17b) show values of about 0.3 0.2 g m⁻³ up to 3 3.5 km, with decreasing
- 422 MAE above 3.5 km. Larger MAEs were observed for WVD in May (Fig. 18) compared to March
- 423 and April. MAE is equal to 0.5 g m⁻³ (Fig. 18a-b) with R^2 values of about 0.92. Vertical profiles
- 424 of MAE in May indicate larger values below 2.5 km, where WVD profiles from the sounding
- showed more variability, decreasing above. Overall MWRs are able to follow the radiosonde
- 426 vertical profile of *WVD* as presented in Fig. 19, although some information is missed due to the
- 427 coarser MWR resolution compared to the sounding observations.
- 428 For RH, MAE for both instruments show values below 10 % in March (Fig. 20a-b). A relatively
- 429 large scatter (R^2 of ~0.8) is an indication of large variation in relative humidity. Some of the
- 430 variability and associated large scatter might be attributed to the sounding encountering different
- 431 air masses or even clouds at higher altitudes, as indicated by the vertical profiles of MAE. These
- 432 profiles show that the MAE's are about 5 8% below ~1 km, with the MAE continuously
- 433 increasing with increasing height (Fig. 20c). Larger MAEs were observed in April and May





- 434 (Figs. 21-22) compared to March (Fig. 20). MAE's range between 11 14% with R² values of
- 435 about 0.5 (Fig. 21a and Fig. 22a-b). Vertical profiles of MAE in April and May indicate a similar
- 436 pattern compared to March. Lower values (5 12%) were observed below ~1 km, while larger
- 437 values occurred around 1 km and between 3 4 km. Since the three-dimensional humidity field
- 438 is highly variable and strongly depends whether or not the instruments (both MWR and
- sounding) encountered clouds, the large MAE's between 1 4 km are most likely due to changes
- 440 in air mass or the existence of clouds.
- 441 High-resolution soundings with vertical resolution of few meters show much more detail
- 442 compared to the smooth MWR humidity profiles, as seen in Fig. 23. These examples show that
- 443 while the MWRs are capable of reproducing the general trend compared to sounding
- observations, differences between the MWR and the sounding can be as high as 20-25%.

445

446 **6. Conclusions**

- 447 Data collected during the XPIA campaign in spring 2015 were used to assess the accuracy of
- temperature, water vapor density, and relative humidity profiles from two MWRs, one 915-MHz
- 449 WPR-RASS system, and one 449-MHz WPR-RASS system with respect to in-situ reference
- 450 measurements from 61 radiosonde launches and temperature and relative humidity
- 451 measurements at six different levels from a 300-m co-located tower. Results indicate a mean
- 452 absolute error in the temperature retrieved by the MWRs below 1.5 °C for the layer of the
- 453 atmosphere up to 5 km. However, the details of the inversions were consistently in error, with
- the MWRs too cold at the surface and too warm above 250 m. Our results revealed that the
- 455 overall statistics for MWRs temperature measurements were slightly better for unstable
- 456 conditions than stable, while the overall statistics for MWR temperature measurements were not





- 457 particularly compromised during rainy conditions, compared to rain-free conditions. In addition
- 458 we find consistent behavior of the MWRs among the different months and similar error statistics
- 459 for different times of the day.
- 460 For the RASSs we found a mean absolute error in the virtual temperature below 0.8 °C in the
- 461 layer of the atmosphere covered by these measurements (up to approximately 1.6 2 km) and
- 462 that using the values of T_{ν} corrected for the vertical velocity can decrease temperature accuracy,
- and should only be used with caution. For this dataset, the correction for the vertical velocity
- 464 applied to calculate T_v was not beneficial to the accuracy of RASS measurements of T_v under any
- 465 weather condition. In general the RASSs have overall lower error statistics than the MWRs for
- the layer of the atmosphere covered by the RASSs.
- 467 We additionally assessed the accuracy of these remote-sensing instruments at measuring
- 468 atmospheric stability conditions in the lower boundary layer, finding a coefficient of
- determination between the lapse rate measured by the MWR and the tower measurements over
- the tower levels between 50 and 300 m ranged from 0.76 to 0.91, with the best value (0.91)
- found when the lapse rate is computed between 50 m and 300 m (larger dz). These positive
- 472 results demonstrate that profiling microwave radiometers can be useful for understanding
- 473 conditions that can lead to strong vertical wind shear or turbulence, which can affect the load on
- 474 rotors.
- 475 We also assessed the accuracy of MWRs at retrieving water vapor density profiles, finding a
- 476 mean absolute error below 0.5 g m^{-3} for the layer of the atmosphere up to 5 km.
- 477 Finally, our study unsurprisingly revealed that relative humidity profiles measured by the MWR
- 478 lack high resolution details compared to radiosonde measurements with differences between the
- 479 MWR and the sounding that can be as high as 20-25% and in average, for the layer of the





- 481 unique dataset collected for XPIA to combine the information obtained from WPR potential
- 482 refractivity profiles and from MWR potential temperature profiles to improve the accuracy of
- 483 atmospheric humidity profiles (Bianco et al., 2005).
- 484
- 485

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- 609 Table 1. Statistical values for the NOAA and CU MWRs vs radiosonde observations of *T*
- 610 for the three periods of radiosonde launches and for the zenith and at 15° off-zenith angles.
- 611 Bias and MAE are in (°C).

	March (38 radiosonde)				Ap	oril (13 ra	adioson	de)	May (10 radiosonde)			
	Bias	MAE	R ²	slope	Bias	MAE	R ²	slope	Bias	MAE	R ²	slope
CU MWR (zenith) vs radiosonde	-0.3	1.5	0.98	0.91	0.2	1.1	0.99	0.94	0.2	1.1	0.99	0.93
CU MWR (15° elevation) vs radiosonde	-0.8	1.5	0.98	0.93	-0.2	0.9	0.99	0.97	-0.3	1.1	0.98	0.97
NOAA MWR (zenith) vs radiosonde	-0.5	1.4	0.98	0.98 1.03			-0.1	1	0.99	1.04		
NOAA MWR (15° elevation) vs radiosonde	0	1.3	0.98	0.94	Missing data			0.4	1	0.98	0.97	

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620 Table 2. Statistical values for the CU MWRs vs tower observations of lapse rate (γ_{θ} =

$-d\theta/dz$) for different tower levels. Bias and MAE are in (°C km⁻¹).

Laps	e rate (γ_0	$\theta_{\theta} = -d\theta$	∂/dz)	Lapse rate $(\gamma_{\theta} = -d\theta/dz)$				Lapse rate $(\gamma_{\theta} = -d\theta/dz)$				Lapse rate $(\gamma_{\theta} = -d\theta/dz)$			
Between 50 - 150 m				Between 50 - 200 m				Between 50 - 250 m				Between 50 - 300 m			
Bias	MAE	\mathbb{R}^2	slope	Bias	MAE	\mathbb{R}^2	slope	Bias	MAE	R ²	slope	Bias	MAE	\mathbb{R}^2	slope
0.76	5.7	0.76	0.59	0.52	4.0	0.82	0.70	-0.19	3.0	0.88	0.81	-0.1	2.2	0.91	0.96
	622		•	•				•			•	•	•		•

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- 635 Figure 1: Instruments used in this study. From the left: 300-m equipped meteorological
- 636 tower (photo credit: Katie McCaffrey), radiosonde, 2 MWRs, 915-MHz and RASS system
- 637 (photo credit: Katie McCaffrey), 449-MHz and RASS system (photo credit: Katie
- 638 McCaffrey).







Figure 2: Comparison between temperature observed by CU MWR and NOAA MWR
between: a) 9 March – 4 April, and b) 28 April – 7 May, 2015. The missing days in April
coincide with the failure of the NOAA MWR surface sensor. A 1-to-1 line is indicated in
solid red, and the regression is shown by the dashed red line.

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Figure 3: MWRs vs radiosonde comparison of *T* for 9 – 19 March including 38 radiosonde
launches: a)-b) One-to-one comparisons between *T* observed by the radiosondes and the a)
NOAA and b) CU MWR between the surface and 5 km AGL. One-on-one line is indicated
as solid black line and the regression as dashed black line. c)-d) Vertical profiles of MAE
and Bias for the same variable for the NOAA MWR (blue line) and CU MWR (red line).









695 Note that the pressure sensor of the NOAA MWR was broken between 5 – 27 April,

696 therefore the NOAA MWR vs radiosonde comparison (*T*) over this period is not presented.

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Figure 5: Same as in Fig. 3, but for the May period of 13 radiosonde launches.

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Figure 6: Vertical profiles of temperature as observed by MWRs (blue line: NOAA MWR;
red line: CU MWR) and radiosonde (black line) at: a) 0800 LT (1400 UTC) on 15 March,
b) 0700 LT (1300 UTC) on 16 March, and c) 0800 LT (1400 UTC) on 10 March 2015, d)
0800 LT (1400 UTC) on 4 May 2015.







Figure 7: CU MWR vs radiosonde comparison of temperature over: a) 0700 – 2400 LT, b)
0700 – 1200 LT, c) 1300 – 1800 LT, and d) 1900 – 2400 LT between the surface and 5 km
AGL. Data were collected on 9 – 19 March (38 soundings), 15 April and 20 – 22 April (10
soundings), and 1 – 4 May (13 soundings). Note that no radiosonde were launched between
0100-0600 LT. One-on-one line is indicated as solid black line and the regression as dashed
black line.







Figure 8: 915-MHz RASS (light blue) and 449-MHz RASS (magenta) vs radiosonde
comparison of *Tv* over the 3 periods (March, April, and May) of radiosonde launches
combined together. a)-b) One-to-one comparison between radiosonde and a) 915-MHz
between 120 m and ~1.6 km AGL and b) 449-MHz RASS between 217 m – ~2 km AGL.
The correction for the vertical velocity was NOT applied. One-on-one line is indicated as
solid black line and the regression as dashed black line. c)-d) Vertical profiles of MAE and
Bias for *T_v* with (dashed lines) and without (solid lines) vertical velocity correction.







Figure 9: CU MWR vs tower comparison of temperature for all dates between 9 March – 7
May. a) One-to-one comparison. One-on-one line is indicated as solid black solid line and
the regression as dashed black line. b) Vertical profiles of MAE and Bias for the same
variable. Temperatures were observed at the tower at 50, 100, 150, 200, 250, and 300 m
AGL, which collocates with MWR levels.







Figure 10: CU MWR vs tower temperature measurements during: a) rainy conditions, b)

823 no-rain conditions as measured by the CU MWR. One-on-one line is indicated as black

824 solid line and the regression as dashed black line.







Figure 11: 915-MHz (light blue) and 449-MHz (magenta) RASS vs tower comparison of T_{ν} for all dates between 9 March – 7 May. a-b) One-to-one comparisons between in-situ tower observations and uncorrected T_{ν} observations. One-on-one line is indicated as solid black line and the regression as dashed black line. c)-d) Vertical profiles of MAE and Bias for T_{ν} with (dashed lines) and without (solid lines) the vertical velocity correction. Height is AGL.

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Figure 12: Comparison of atmospheric lapse rate for all dates between 9 March – 7 May,
2015 for: a) CU MWR vs tower (between first and last level of the tower measurements, 50300m), b) 915-MHz RASS vs tower (between first and fourth level of the 915-MHz RASS
measurements, 120-307m), c) 449-MHz RASS vs tower (between first and second level of
the 915-MHz RASS measurements, 217-322m). Negative lapse rate represents stable
atmospheric conditions. One-on-one line is indicated as solid black line and the regression
as dashed black line.







- 889 Figure 13: Lapse rate of potential temperature between 50 and 300 m AGL derived from
- 890 observations conducted by the CU MWR (red line) and from tower observations (black
- 891 line) for all dates between 9 March 7 May.
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Figure 14: CU MWR vs tower comparison of T for all dates between 9 March – 7 May, 903 for: a) $d\vartheta/dz < 0$, b) $d\vartheta/dz \ge 0$ between 50 – 300 m. Stability was determined by

905 temperature differences measured by the CU MWR. One-on-one line is indicated as solid

black line and the regression as dashed black line. 906

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Figure 15: Comparison between a-b) WVD and c-d) RH observed by CU MWR and NOAA
MWR between 9 March – 4 April 2015 (a and c) and 28 April – 7 May 2015 (b and d). The
missing days in April coincide with the failure of the NOAA MWR surface sensor. One-onone line is indicated as solid red line and the regression as dashed red line.











934 radiosonde launches. a)-b) are one-to-one comparisons of WVD observed by the

935 radiosondes and the a) NOAA and b) CU MWR between the surface and 5 km AGL. One-

- 936 on-one line is indicated as solid black line and the regression as dashed black line. c)
- 937 Vertical profiles of MAE for the same variable.









966Figure 17: Same as in Fig. 16, but for 15 and 20 – 22 April including 10 radiosonde

967 launches. Note that the pressure sensor of the NOAA MWR was broken between 5 – 27

968 April, therefore the NOAA MWR vs radiosonde comparison (*WVD*) over this period is not
969 presented.



















Figure 19: Vertical profiles of WVD as observed by MWRs (blue line: NOAA MWR; red line: CU MWR) and radiosonde (black line) at a) 1800 LT (0000 UTC) on 16 March, b)

0200 LT (0800 UTC) on 19 March, and c) 2200 LT (0400 UTC) on 3 May 2015.







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Figure 20: MWR vs radiosonde comparison of *RH* over the March period of 38 radiosonde
launches. a)-b) are one-to-one comparisons of *RH* observed by the radiosondes and the a)
NOAA and b) CU MWR between the surface and 5 km AGL. One-on-one line is indicated
as solid black line and the regression as dashed black line. c) Vertical profiles of MAE for
the same variable.







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1026 Figure 21: Same as in Fig. 20, but for 15 and 20 – 22 April including 10 radiosonde

1027 launches. Note that the pressure sensor of the NOAA MWR was broken between 5 – 27

April, therefore the NOAA MWR vs radiosonde comparison (*RH*) over this period is not
presented.

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1051 Figure 22: Same as in Fig. 20, but for the May period of 13 radiosonde launches.

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Figure 23: Vertical profiles of *RH* as observed by MWRs (blue line: NOAA MWR; red
line: CU MWR) and radiosonde (black line) at a) 1800 LT (0000 UTC) on 16 March, b)
0200 LT (0800 UTC) on 19 March, and c) 2200 LT (0400 UTC) on 3 May 2015.

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