

Comparison of different Aethalometer correction schemes and a reference multi-wavelength absorption technique for ambient aerosol data

5 Jorge Saturno¹, Christopher Pöhlker¹, Dario Massabò², Joel Brito³, Samara Carbone⁴, Yafang Cheng¹,
Xuguang Chi⁵, Florian Ditas¹, Isabella Hrabě de Angelis¹, Daniel Morán-Zuloaga¹, Mira L. Pöhlker¹,
Luciana V. Rizzo⁶, David Walter¹, Qiaoqiao Wang¹, Paulo Artaxo⁷, Paolo Prati² and Meinrat O.
Andreae^{1,8,9}

¹Max Planck Institute for Chemistry, Biogeochemistry and Multiphase Chemistry Departments, P. O. Box 3060, 55020
Mainz, Germany

10 | ²Department of Physics & INFN, University of Genoa, via Dodecaneso 33, 16146, Genova, Italy

³Laboratory for Meteorological Physics, University Blaise Pascal, Clermont-Ferrand, France

⁴Institute of Agrarian Sciences, Federal University of Uberlândia, Uberlândia, Minas Gerais, Brazil

⁵Institute for Climate and Global Change and School of Atmospheric Sciences, Nanjing University, China

15 | ⁶Department of Earth and Exact Sciences, Institute of Environmental, Chemical and Pharmaceutics Sciences, Federal
University of São Paulo, São Paulo, Brazil

⁷Department of Applied Physics, Institute of Physics, University of São Paulo, Rua do Matão, Travessa R, 187, CEP 05508-
900, São Paulo, SP, Brazil

⁸Scripps Institution of Oceanography, University of California San Diego, La Jolla, CA 92098, USA

⁹Geology and Geophysics Department, King Saud University, Riyadh, Saudi Arabia

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Correspondence to: Jorge Saturno (j.saturno@mpic.de)

Abstract. Deriving absorption coefficients from Aethalometer attenuation data requires different corrections to compensate for artifacts related to filter-loading effects, scattering by filter fibers, and scattering by aerosol particles. In this study, two different correction schemes were applied to 7-wavelength Aethalometer data, using Multi-Angle Absorption Photometer (MAAP) data as a reference absorption measurement at 637 nm. The compensation algorithms were compared to 5-wavelength offline absorption measurements obtained with a Multi-Wavelength Absorbance Analyzer (MWAA), which serves as a multiple-wavelength reference measurement. The online measurements took place in the Amazon rainforest, from the wet-to-dry transition season to the dry season (June – September 2014). The mean absorption coefficient (at 637 nm) during this period was $1.8 \pm 2.1 \text{ Mm}^{-1}$, with a maximum of 15.9 Mm^{-1} . Under these conditions, the filter-loading compensation was negligible. One of the correction schemes was found to artificially increase the short-wavelength absorption coefficients. It

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was found that accounting for the aerosol optical properties in the scattering compensation significantly affects the absorption Ångström exponent (\hat{a}_{ABS}) retrievals. Proper Aethalometer data compensation schemes are crucial to retrieve the correct \hat{a}_{ABS} , which is commonly implemented in brown carbon contribution calculations. Additionally, we found that the wavelength dependence of uncompensated Aethalometer attenuation data significantly correlates with the \hat{a}_{ABS} retrieved from offline MWAA measurements.

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1 Introduction

Aerosol particles scatter and absorb solar radiation in the atmosphere and thus have an important impact on the Earth's radiative budget and climate (Andreae and Ramanathan, 2013; IPCC, 2013; Penner et al., 1992; Yu et al., 2006). Light absorption by atmospheric aerosols is dominated by black carbon (BC), an aerosol species that is emitted by incomplete combustion of biomass or fossil fuels (Bond and

60 Bergstrom, 2006). Black carbon absorbs radiation from infrared to near-UV wavelengths and leads to positive radiative forcing (IPCC, 2013). Other light absorbing aerosols include a class of organics called *brown carbon* (BrC) (Andreae and Gelencsér, 2006), mineral dust (Myhre and Stordal, 2001), and *primary biological aerosol particles* (PBAP) (Després et al., 2012). High uncertainties still remain regarding the aerosol interactions with solar radiation (Andreae and Ramanathan, 2013; Bond et al., 65 2013), especially because ambient aerosol absorption is often measured over a limited wavelength range or at only one wavelength.

The wavelength dependence of aerosol light absorption is expressed by the absorption Ångström exponent (\hat{a}_{ABS}) (Ångström, 1929). The \hat{a}_{ABS} of fresh fossil-fuel derived BC is typically around 1.0, i.e., the absorption changes as a function of λ^{-1} (Bergstrom et al., 2002). However, when BC particle size is 70 larger than 50 nm or becomes coated by non-absorbing materials, the \hat{a}_{ABS} can decrease below 1.0 (Lack and Langridge, 2013). Moreover, the bulk aerosol wavelength dependence can significantly increase in the presence of other light absorbers, such as BrC (Andreae and Gelencsér, 2006; Kirchstetter et al., 2004), reaching high values between 3.5 and 7.0. Assuming a fixed spectral dependence of 1 for BC, several studies have estimated the BrC contribution as a function of \hat{a}_{ABS} (Favez et al., 2010; 75 Sandradewi et al., 2008). However, given the uncertainties associated to the \hat{a}_{ABS} of BC, these methods could potentially provide erroneous BrC estimations (Garg et al., 2016; Schuster et al., 2016a, 2016b; Wang et al., 2016).

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Absorption coefficients and BC mass concentrations are related by the mass absorption cross-section (MAC) (Bond et al., 2013). Ground-based continuous measurements of BC mass concentrations and
90 absorption coefficients are required to retrieve the appropriate ambient aerosol MAC values, since this relationship and its wavelength dependence are affected by the by the mixing state and physical and chemical conditions of the aerosol particles (Flowers et al., 2010; Lack and Cappa, 2010; Moosmüller et al., 2011). Moreover, retrieving the wavelength dependence of ambient aerosol optical properties requires absorption measurements at two or more wavelengths.

95 Only few commercially-available techniques offer multi-wavelength absorption measurements. The most commonly used methods are filter-based techniques, including a modified version of the Particle Soot Absorption Photometer (PSAP) (Virkkula, 2010; Virkkula et al., 2005), which measures at three different wavelengths in the visible spectral region, and the Aethalometer (Hansen et al., 1984), which measures the attenuation of light at two or seven different wavelengths (2- λ vs. 7- λ instrument). The
100 above mentioned instruments are filter-based techniques that determine attenuation and suffer from various artifacts (detailed discussion below), converting attenuation coefficients to absorption coefficients requires several corrections (Arnott et al., 2005; Collaud Coen et al., 2010; Schmid et al., 2006; Virkkula et al., 2007; Weingartner et al., 2003) that generally need concomitant scattering and additional absorption measurements. The correction process of filter-based measurement artifacts
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Two well known artifacts affect filter-based absorption measurements by enhancing or reducing the effective optical path length. One of them is related to the multiple scattering effects, which induces a positive bias of light attenuation. The multiple scattering effects are caused by the *scattering by the*
120 *filter fibers* and the *scattering by aerosol particles on the filter*. The scattering by aerosol particles depends on the optical properties and size distribution of the measured aerosol particles. On the other hand, the second effect is related to the “*shadowing*” that deposited aerosol particles cause on each other. This effect, called *filter-loading effect*, reduces the optical path length in the filter and depends on the amount and optical properties of the deposited particles.

125 The bias related to multiple scattering effects can be reduced by measuring the radiation reflected by the filter at different angles and simulating the radiation transfer. This principle was incorporated in the design of the Multi-Angle Absorption Photometry (MAAP) technique (Petzold and Schönlinner, 2004). The design consists of a single-wavelength instrument (637 nm LED light source) that measures the transmitted radiation through a glass-fiber filter and the reflectance at two different angles (130° and
130 165°). Using this configuration and a radiation transfer model, the instrument is able to account for the mentioned artifacts related to multiple scattering and provides approximately “corrected” absorption coefficients (Petzold et al., 2005).

For accurate estimation of absorption coefficients and their spectral dependency, Aethalometer measurements rely on a number of correction procedures, a compilation of different correction schemes
135 can be found in Collaud Coen et al., (2010). The first systematic correction scheme that deals with the different artifacts affecting Aethalometer measurements was proposed by Weingartner et al. (2003). This correction scheme uses a comparison with an indirect light absorption measurement (extinction minus scattering) to estimate a multiple scattering compensation. In addition, a filter-loading correction was estimated by empirically calculating a “shadowing factor”. This correction consists of the following
140 empirical equation that converts attenuation coefficients, σ_{ATN} , into absorption coefficients, σ_{ap} ,

$$\sigma_{\text{ap}} = \frac{\sigma_{\text{ATN}}}{C \cdot R(\text{ATN})} \quad (1)$$

where C accounts for multiple scattering effects on the filter, due to: a) scattering by the filter fibers and b) scattering by aerosol particles embedded on the filter. The factor $R(ATN)$ accounts for the filter-loading effect.

145 Later, Virkkula et al. (2007) proposed another filter-loading correction through calculating the average attenuation before and after a filter change. This correction applied a compensation factor in the form of $(1 + k * ATN)$, where k is calculated for each filter change and ATN corresponds to the attenuation. A similar approach was used to design the dual-spot technology Aethalometer (model AE33) that intrinsically compensates for filter-loading effects using a two beam system with different flow rates
150 (Drinovec et al., 2015).

In a detailed study, Arnott et al. (2005) introduced a scattering correction factor that accounts for the aerosol particle scattering artifact. In a similar way, Schmid et al. (2006) proposed a correction algorithm that included a parameterization of the scattering by filter fibers and scattering by aerosol particles as a function of \hat{a}_{ABS} and an iteration procedure to obtain corrected absorption coefficients.

155 Both correction schemes used photoacoustic spectroscopy (PAS) measurements at 532 nm as a reference absorption measurement. Later, by using MAAP absorption measurements as a reference, Collaud Coen et al. (2010) evaluated the above-mentioned correction algorithms and proposed two new ones based on the Schmid and Arnott corrections. Their algorithms, among several changes to the previous ones, included a new scattering correction parameterization that uses measured optical
160 properties of the aerosol particles instead of the “standard” ones implemented in Schmid and Arnott correction algorithms. The comparison made by Collaud Coen et al. (2010) resulted in a good agreement between MAAP and Aethalometer BC measurements when using the “Schmid-like” correction algorithm. On the other hand, the “Arnott-like” algorithm lead to many negative σ_{ap} values, especially under low absorption conditions (Collaud Coen et al., 2010).

165 Previous studies on Aethalometer compensation schemes have evaluated corrected absorption coefficients in comparison to reference absorption measurements (PAS or MAAP), which were done at only one wavelength. In this study, we use a Multi-Wavelength Absorbance Analyzer (MWAA), introduced by Massabò et al. (2013, 2015), to conduct a systematic multi-wavelength evaluation of ambient data. This way we can estimate the impact of the most common and reliable Aethalometer

170 correction schemes on the \hat{a}_{ABS} uncertainties. We used collected MAAP filter samples from long-term
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2 Materials and methods

2.1 Sampling site and selected data period

Field measurements were carried out at the Amazon Tall Tower Observatory (ATTO) (S 02° 08.602'; W
200 59° 00.033'), located in the Uatumã Sustainable Development Reserve, Amazonas State, Brazil, in the
central Amazon Basin. The site is located 150 km NE of the city of Manaus, upwind of the urban plume.
A detailed description of the site can be found in Andreae et al. (2015).

The atmospheric aerosol was collected by using a 60 m 1-inch diameter stainless steel inlet tube without
size cut-off, installed on a triangular mast since early 2014. The laminar flow rate in the inlet was
205 constant at 30 lpm. The aerosol stream relative humidity was decreased down to 30 – 40 % by using
diffusion driers. In this study, we corrected the data for standard temperature and pressure (273.15 K
and 1013.25 hPa) and did not apply any correction to compensate for particle losses. The sampling
period analyzed here comprises the wet-to-dry transition time (June – July 2014) and part of the dry
season (August – September 2014). In the beginning of the measurement period (beginning of June),
210 aerosol particle number concentrations were very low, in the order of 100-400 cm⁻³, measured by a
Condensation Particle Counter (CPC) (Andreae et al., 2015). These typical wet season conditions
slightly changed during the transition season until the end of August when particle number
concentrations increased to around 500-2000 cm⁻³ (Andreae et al., 2015). The selected measurement
period was a good opportunity to evaluate the Aethalometer performance under different conditions.
215 During this period, the aerosol absorption coefficients increase from near detection limit values to the
highest values measured at the ATTO site during the dry season.

2.2 Instrumentation

A 7- λ Aethalometer (model AE31, Magee Scientific Company, Berkeley, USA), nominal wavelengths:
370, 470, 520, 590, 660, 880, and 950 nm, was used to measure attenuation coefficients σ_{ATN} , which are
220 reported by the instrument as [equivalent black carbon \(BC_e\)](#) BC-mass concentrations. Details about the
measurement principle and the different corrections to the data are explained in the next section.

Scattering coefficients, σ_{sp} , were measured by a 3- λ nephelometer (Model Aurora 3000, Ecotech Pty
Ltd., Knoxfield, Australia), nominal wavelengths: 450, 525, and 635 nm. The instrument was manually
calibrated using CO₂ as span gas. Zero tests and spans were conducted periodically. The scattering

225 coefficients measured by the instrument were corrected for truncation errors following the method proposed by Müller et al. (2011), using the sub- μm correction factors as function of the scattering Ångström exponents. The detection limits, calculated as three standard deviations of 1-min resolution particle-free air measurements, were 1.1, 0.9, and 0.7 Mm^{-1} at 450, 525, and 635 nm, respectively. Due to a malfunction of the 635 nm channel, we excluded those data from our calculations.

230 | A Multi-Angle Absorption Photometer, MAAP (Model ~~Carusse~~-5012, Thermo Electron Group, Waltham, USA) was used to measure the absorption coefficient at 637 nm. The instrument uses a glass-fiber filter tape, where the aerosol particles are collected on a sample spot. Light transmission (at 0°) and reflectance at two different angles (130° and 165°) are measured every 5 min (Petzold et al., 2005). A radiative model calculation provides the light absorption coefficient derived from the absorbance
235 | measurements and accounts for the light scattering by filter fibers and aerosol particles deposited on the filter. The instrument reports BC_e mass concentrations calculated by assuming a mass absorption cross-section (MAC) of $6.6 \text{ m}^2 \text{ g}^{-1}$, based on Bond et al. (2006). A measurement bias after every filter change can occur if the absorption coefficients exceed $\sim 20 \text{ Mm}^{-1}$ (Hyvärinen et al., 2013), which was not the case during the period of this study. The instrument sampled at a flow rate of 500 l h^{-1} in series with the
240 | nephelometer and was configured to trigger a filter change when transmission reached a minimum of 60% or after 24 h. Therefore, more samples were collected during the dry season, when the aerosol particle concentration was higher and the transmission threshold was reached quickly. All data obtained from the online measurements (Nephelometer, Aethalometer, and MAAP) were aggregated to 30-min means. MAAP data below the detection limit (0.132 Mm^{-1} with 30-min resolution) were excluded from
245 | the analysis.

The MWAA was used to measure the light absorption coefficients on MAAP-collected filter samples. This instrument was developed by Massabò et al. (2013) and measures the light transmitted through a filter sample (forward hemisphere) and the light reflected at two different angles (backward hemisphere) in a similar configuration to the MAAP. By using a radiative transfer model, the light
250 | absorption coefficients can be calculated. The instrument design offers the advantage of accounting for the multiple scattering effects and is able to measure absorption coefficients at three different wavelengths, as initially introduced, and was later upgraded to measure at five different wavelengths

(375, 407, 532, 635, and 850 nm) (Massabò et al., 2015). The MAAP aerosol-laden filter tape was collected at the ATTO site and analyzed by MWAA at the University of Genoa, Genoa, Italy. During transport of the samples, they could be affected by aging of the organic aerosol, microbial processes and/or loss of semi-volatile material (Laskin et al., 2015; Saleh et al., 2014). In order to avoid these issues, the samples were collected everyday directly from the MAAP and kept frozen (-4 °C) during the campaign time and transported in a cool bag with blue ice (~72 h) to the laboratory in Genoa. We reanalyzed some samples after being stored at room temperature during three days to investigate the potential aging of carbonaceous material collected on the filters and found no significant differences in the absorbance results measured by the MWAA.

2.3 Aethalometer measurements and corrections

The Aethalometer continuously measures light attenuation on an aerosol-laden filter. The attenuation is defined, according to the Lambert-Beer law, as

$$ATN = -100 \cdot \ln \left(\frac{I}{I_0} \right) \quad (2)$$

where I and I_0 are the light intensity transmitted through an aerosol loaded and an original area of the filter tape, respectively. A list of symbols and acronyms is provided in Table 1. The instrument is programmed to calculate the equivalent black carbon (BC_e) mass concentration by assuming that a change in attenuation (ΔATN) is caused by an increase in the BC mass deposited on the filter substrate during an interval Δt (min), as follows:

$$BC \text{ (ng/m}^3\text{)} = \frac{A \cdot \Delta ATN}{\alpha_{ATN} \cdot Q \cdot \Delta t} \quad (3)$$

where A is the filter area (1.67 cm²), α_{ATN} is the λ -dependent BC mass attenuation cross-section (14625 nm m² g⁻¹ λ^{-1} (14625/ λ)) and Q is the volumetric flow rate in l min⁻¹. By using the α_{ATN} recommended by the manufacturer, we reversed the calculation to convert reported mass concentrations back to attenuation coefficients, as

$$\sigma_{ATN} = BC \text{ (ng/m}^3\text{)} \cdot \alpha_{ATN} \quad (4)$$

Two different correction schemes were applied to our dataset, including the Schmid et al. (2006) and the Collaud Coen et al. (2010) algorithms. These two correction schemes were chosen because both of them compensate for the three artifacts that affect Aethalometer measurements. The Arnott and Collaud
 280 Coen's Arnott-like corrections were excluded due to their limitations when dealing with low-absorption data. Both, Collaud Coen and Schmid corrections, require concomitant scattering measurements and a reference absorption measurement, which in our case was the MAAP. Moreover, we present and discuss a comparison of corrected Aethalometer data to the multi-wavelength light absorption measurement obtained from the MWAA.

285 2.3.1 Schmid correction algorithm

The Schmid correction consists of an iterative procedure, which is applied to each measured attenuation spectrum. The compensated absorption coefficients, σ_{ap} , are calculated from attenuation coefficients, σ_{ATN} , by accounting for the different artifacts,

$$\begin{aligned}
 \sigma_{ap} &= \frac{\sigma_{ATN}}{(C_{ref} + C_{sca}) \cdot R} \\
 &= \frac{\sigma_{ATN}}{\left(C_{ref} + m_s \frac{\omega_0}{1 - \omega_0} \right) \left[\left(\frac{1}{f} - 1 \right) \left(\frac{\ln ATN - \ln 10}{\ln 50 - \ln 10} \right) + 1 \right]} \quad (5)
 \end{aligned}$$

where C_{ref} compensates for the scattering effects in comparison with a reference absorption measurement, C_{sca} accounts for the scattering effect of non-absorbing aerosol particles and R , for the filter-loading effect. The Schmid formulation uses the scattering factor m_s and ω_0 to calculate C_{sca} and the filter loading correction proposed by Weingartner et al. (2003), which takes $ATN = 10\%$ as a
 295 reference point and includes the shadowing factor parameter, f , which describes the slope between σ_{ATN} and $\ln(ATN)$.

As a first step, C_{ref} is calculated for attenuation coefficients corresponding to attenuation values lower than 10% , when the filter-loading correction is considered negligible ($ATN < 10\%$; $R \approx 1$). By using MAAP absorption coefficient measurements, it is possible to obtain C_{ref} as follows:

300 The Schmid correction consists of an iterative procedure, which is applied to each measured attenuation spectrum, as explained by Rizzo et al. (2010). As a first step, the reference C (C_{ref}) is calculated for

attenuation coefficients corresponding to attenuation values lower than 10 %, when the filter-loading correction is considered negligible ($ATN < 10 \%$; $R \approx 1$). By using MAAP absorption coefficient measurements, it is possible to obtain C_{ref} as follows:

$$305 \quad C_{ref} = \frac{\sigma_{ATN,10}}{\sigma_{MAAP}} \quad (65)$$

where σ_{MAAP} is the absorption coefficient measured by the MAAP at 637 nm and $\sigma_{ATN,10}$ is the attenuation coefficient at 637 nm (λ_{MAAP}) when $ATN < 10 \%$.

Attenuation coefficients at 590 nm were interpolated to 637 nm assuming a power-law relationship as,

$$\sigma_{ATN}(637 \text{ nm}) = \sigma_{ATN}(590 \text{ nm}) \cdot \left(\frac{637 \text{ nm}}{590 \text{ nm}}\right)^{-\hat{a}_{ATN}} \quad (76)$$

310 The attenuation Ångström exponent \hat{a}_{ATN} used in this step was calculated by applying a log-log fit to σ_{ATN} vs. λ , where \hat{a}_{ATN} was obtained from the slope as follows:

$$\ln \sigma_{ATN} = -\hat{a}_{ATN} \ln(\lambda) + \ln(\text{constant}) \quad (87)$$

Absorption Ångström exponents (\hat{a}_{ABS}) were obtained in a similar way in further calculations.

The multiple scattering correction factor, C_{ref} , obtained from Eq. (65) was averaged over the sampling period to calculate the measured filter-loading correction factor, R_{meas} , as

$$\text{—————} (8)$$

Weingartner et al. (2003) found that the linear relationship between σ_{ATN} and $\ln(ATN)$ can be used to parameterize the filter-loading effect. The slope of this relationship was given by a parameter called the shadowing factor, f . By applying a linear fit to Eq. (8) vs. ATN data, it is possible to obtain the shadowing factor as follows

$$320 \quad R_{meas} = \frac{\sigma_{ATN}}{\sigma_{MAAP} \cdot C_{ref}} \quad (9)$$

Weingartner et al. (2003) found that the linear relationship between σ_{ATN} and $\ln(ATN)$ can be used to parameterize the filter-loading effect. The slope of this relationship was given by the shadowing factor parameter, f . By applying a linear fit to the R_{meas} values obtained from Eq. (9) and the attenuation data, as shown in Eq. (10), the term $(1/f - 1)$ can be obtained from the slope.

Assuming f is wavelength independent, the averaged f is used to calculate R from Eq. (9) at different wavelengths:

The next step is the parameterization of C as a function of the AAE. In order to do that, C was rewritten as:

$$R = \left(\frac{1}{f} - 1 \right) \left(\frac{\ln \text{ATN} - \ln 10}{\ln 50 - \ln 10} \right) + 1 \quad (10)$$

Assuming f is wavelength independent, the averaged f is used to calculate R at different wavelengths.

In the next step, C , understood as the overall multiple scattering correction factor ($C_{\text{ref}} + C_{\text{sca}}$), is parameterized as a function of λ . The single scattering albedo, ω_0 , at 637 nm is used in the following equation to calculate C as

where C^* corresponds to the multiple scattering effect by filter fibers, m_s to the aerosol scattering effect and ω_0 to the single scattering albedo. The C^* and m_s values were taken from Arnott et al. (2005) (see Table S1), and the ω_0 was calculated at 637 nm using measured scattering and absorption coefficients at 637 nm. The values of ω_0 at different AE wavelengths were obtained by using the following equation:

$$C = C^* + m_s \frac{\omega_0}{1 - \omega_0} \quad (11)$$

where C^* corresponds to the multiple scattering effect by filter fibers and m_s to the aerosol scattering factor found by Arnott et al. (2005) (see Table S1). The implemented approach is useful to examine any wavelength dependence on C . The values of ω_0 were interpolated to the different Aethalometer wavelengths by using the Eq. (12), assuming that absorption and scattering coefficients follow a power-law wavelength dependence described by \hat{a}_{ABS} and \hat{a}_{SCA} , respectively.

$$\begin{aligned} \omega_0(\lambda) &= \frac{\sigma_{\text{sp}}}{\sigma_{\text{sp}} + \sigma_{\text{ap}}} \\ &= \frac{\omega_{0,\text{ref}} \left(\frac{\lambda}{\lambda_{\text{ref}}} \right)^{-\hat{a}_{\text{SCA}}}}{\omega_{0,\text{ref}} \left(\frac{\lambda}{\lambda_{\text{ref}}} \right)^{-\hat{a}_{\text{SCA}}} + (1 - \omega_{0,\text{ref}}) \left(\frac{\lambda}{\lambda_{\text{ref}}} \right)^{-\hat{a}_{\text{ABS}}}} \quad (12) \end{aligned}$$

Different \hat{a}_{ABS} values (1; 1.25; 1.5; 1.75; 2) are then used to generate different correlation factors between $\ln(C)$ vs. $\ln(\lambda)$. The coefficients resulting from a quadratic fit are used to parameterize C as a function of \hat{a}_{ABS} (see Fig. 4 in Schmid et al. (2006)). An iteration procedure is used to force the convergence of \hat{a}_{ABS} . In our calculations, the data converged after seven iterations.

Using different AAE ($a_{\text{ABS}} = 1; 1.25; 1.5; 1.75; 2$), C was calculated and the obtained values were used to plot $\ln(C)$ vs. $\ln(\lambda)$. The coefficients resulting from a quadratic fit were used to parameterize C as a function of AAE (see Fig. 4 in Schmid et al. (2006)). The AAE resulting from the iterative procedure converged after seven iterations in our calculations.

2.3.2 Collaud Coen correction algorithm

In this study we implemented the Collaud Coen correction algorithm that resembles the Schmid correction (see eq. 14b in Collaud Coen et al. (2010)). This algorithm is different from the original Schmid algorithm in the calculations of the filter-loading effect and the multiple scattering correction factor. As shown in Eq. (6), the Schmid algorithm filters the data for $\text{ATN} < 10\%$ in order to account only for the scattering by filter fibers in the C_{ref} calculation. On the other hand, Collaud Coen algorithm applies a prior filter-loading correction and then, by dividing the reference absorption data (MAAP) by the Aethalometer attenuation coefficients, they obtain C_{ref} , which accounts for both, scattering by filter fibers and scattering by embedded aerosol particles.

In this study we implemented the Collaud Coen correction algorithm that resembles the Schmid correction (see eq. 14b in Collaud Coen et al. (2010)). This algorithm is different from the original Schmid algorithm in the calculations of the filter-loading effect and the multiple scattering correction factor.

Regarding the filter-loading effect, Collaud Coen et al. used the linear dependency of the shadowing factor, f , on the single scattering albedo, ω_0 , expressed by Eq. (12), to calculate f using measured ω_0 and assuming m was constant ($m = 0.74$).

$$\text{————— (12)}$$

Additionally, they found statistically better results by correlating σ_{ATN} vs. ATN , instead of the logarithmic correlation proposed by Weingartner et al. (2003), which was implemented by Schmid et al. (2010). Considering no filter-loading artifact for $\text{ATN} = 0$, they proposed the following equation, which replaces Eq. (9):

$$f = m \cdot (1 - \omega_0) + 1 \quad (13)$$

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380 (2010). Considering no filter-loading artifact for ATN = 0, they proposed the following equation, which replaces Eq. (10):

In this case, ω_0 was averaged for every filter spot period (from one filter spot change to the next) and this average was used for calculating every measurement included in the corresponding filter spot period. The different ω_0 values at different wavelengths were calculated by using Eq. (11) but including
385 attenuation Ångström exponents (\hat{a}_{ATN}) because \hat{a}_{ABS} is not known yet.

Filter-loading corrected data is then divided by the MAAP absorption coefficients to obtain an average C_{ref} . Regarding the embedded aerosol scattering effects, the Collaud Coen correction includes a change in the aerosol scattering effect parameter expressed as m_s in Eq. (10). The constant m_s values used by Schmid et al. (2010) correspond to ammonium sulfate. Collaud Coen substituted them by the measured
390 aerosol scattering properties by using the following equation

$$R = \left(\frac{1}{m(1-\bar{\omega}_0)+1} - 1 \right) \left(\frac{\text{ATN}}{50} \right) + 1 \quad (14)$$

In this case, ω_0 was averaged for every filter spot period (from one filter spot change to the next) and this average was used for calculating every measurement included in the corresponding filter spot period. The ω_0 values at different wavelengths were calculated by using Eq. (12) but including
395 attenuation Ångström exponents (\hat{a}_{ATN}) because \hat{a}_{ABS} is not known yet.

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400 aerosol scattering properties by using the following equation

$$m_s = \beta_{\text{SCA}}^{(d-1)} \cdot c \cdot \lambda^{(-\hat{a}_{\text{SCA}}(d-1))}; \quad (15)$$

$$d = 0.564;$$

$$c = 0.00032910 \text{ } (\sigma_{\text{sp}} \text{ in } \text{Mm}^{-1} \text{ units})$$

$$e = 0.79732 \text{ } (\sigma_{\text{sp}} \text{ in } \text{Mm}^{-1} \text{ units})$$

405 where β_{SCA} is the scattering proportionality constant and c and d are constants corresponding to the power-law relation between σ_{ATN} and σ_{sp} , previously reported by Arnott et al. (2005).

Finally, the corrected absorption coefficients are calculated in a similar way to Eq. (5) but using m_s from Eq. (15) and averaging C_{ref} , m_s , ω_0 and R over a filter spot period; i.e., from a filter change time to the subsequent one.

410 **2.3.3 Considerations for the algorithms' comparison**

Both algorithms used in this study calculate C_{ref} in different ways. As shown in Eq. (5), the Schmid algorithm filters the data for $\text{ATN} < 10\%$ in order to account only for the scattering by filter fibers in the C_{ref} calculation. On the other hand, Collaud Coen algorithm applies a prior filter-loading correction and then, by dividing the reference absorption data (MAAP) by the Aethalometer attenuation coefficients, they obtain C_{ref} , which accounts for both, scattering by filter fibers and scattering by embedded aerosol particles. The latter approach makes it difficult to separate the contributions by filter fibers and aerosol particles to the multiple scattering compensation. Therefore, we decided to make use of the Schmid formulation when analyzing and presenting C_{ref} in this work; i.e., C_{ref} only accounting for scattering by filter fibers.

420 **3 Results and discussion**

The beginning of the sampling period is characterized by low scattering coefficients compared to the second half of the period when scattering increases significantly. Several scattering peaks can be observed after the beginning of August (see Fig. 1a). Occasionally, local or regional biomass burning plumes reach the site during the dry season and scattering by aerosol particles increases significantly due to enhanced concentration of fine mode aerosol particles, which are more efficient in scattering light in the visible range. The major effect of multiple scattering artifacts is evident when comparing MAAP measured absorption coefficients and Aethalometer measured attenuation coefficients (see Fig. 1b). The absorption coefficients averaged $1.8 \pm 2.1 \text{ Mm}^{-1}$, with the minimum values occurring in the beginning of the sampling period, whereas a maximum of absorption (up to 15.9 Mm^{-1} , measured by MAAP) took place between 18 and 23 August 2014. Calculated back-trajectories using the HYSPLIT model (Draxler and Hess, 1998) confirmed that air masses on the days of maximum absorption and scattering were coming from south and south east, an area with intense fire activity, see supplementary

material, Fig. S1. Levoglucosan measurements further confirmed the predominance of biomass burning originated aerosol particles (not shown). From 01 June to 01 August 2014, the attenuation coefficient at 637 nm had a median of 5.1 Mm^{-1} ($3.2 - 7.9$, interquartile range, IQR). Then, during the first days of August, it increased slightly until the biomass burning event took place on 18 – 23 August 2014. The maximum attenuation coefficient during this event reached 115 Mm^{-1} . Details about this event, regarding chemical composition and CCN activity, are presented in (Pöhlker et al., 2016a, 2016b). The observed absorption and attenuation coefficients represent typical conditions at the ATTO site for the wet, transition and dry periods. In the next sections, we present data compensated to account for the different filter artifacts and study the influence of the applied compensation algorithms on the \hat{a}_{ABS} . The artifacts that affect the \hat{a}_{ABS} retrieval from filter-based multi-wavelength absorption measurements could be avoided by using PAS methods that have been successfully implemented to measure light absorption by suspended aerosol particles (e.g., Ajtai et al., 2010). However, PAS measurements have high detection limits and have only been implemented at near-source measurement sites (Cappa et al., 2012; Cheng et al., 2016; Lewis et al., 2008) and not in clean environments like central Amazonia.

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3.1 Aethalometer corrections

Immediately after every Aethalometer filter change, aerosol particles are collected on a clean new spot. Under these conditions, the filter-loading effect is considered to be negligible because there is not enough aerosol on the filter to “darken” the substrate (Virkkula et al., 2007). Therefore, the only bias to the Aethalometer response is given by the scattering effects by filter fibers. The scattering by filter fibers, expressed as C_{ref} , was calculated by using Eq. (5), assuming $R \approx 1$ for data corresponding to $\text{ATN} < 10\%$. The C_{ref} time series is shown in Fig. 2. We observed that C_{ref} decreased somewhat from June – July to August – September when the average \pm one standard deviation values were 6.3 ± 1.5 and 4.9 ± 1.1 , respectively. Additionally, we observed a larger C_{ref} variability during the transition period, which may increase the uncertainty of the corrected absorption coefficients. This seasonal effect on the multiple scattering compensation parameter could be related to the condensation or adsorption of semi-volatile organic compounds or liquid organic aerosol particles on the filter fibers, inducing a change in the filter matrix optical properties (Collaud Coen et al., 2010; Subramanian et al., 2007; Weingartner et al., 2003). The Schmid algorithm uses an average C_{ref} for further calculation of the filter-loading correction factor, R . We found that using an overall average C_{ref} significantly affects the calculation of

the shadowing factor (f). Therefore, two different averages of C_{ref} were implemented in this work for the two above-mentioned periods, transition (June – July) and dry season (August – September).

Subsequent multiple scattering correction calculations were conducted using real-time C_{ref} values.

490 The measured filter-loading calibration factor (R_{meas}) was obtained by using Eq. (8). Then, by following the Schmid algorithm, the shadowing factor was calculated by applying a fit to equations (8) and (9) (Rizzo et al., 2011; Schmid et al., 2006). The calculated average shadowing factors were 1.10 ± 0.10 and 1.04 ± 0.08 for June-July and August-September, respectively. These values were lower compared to those obtained for darker aerosols ($f = 1.23 - 1.89$) (Weingartner et al., 2003) and for biomass
495 burning aerosol ($f = 1.2$) (Schmid et al., 2006). At 660 nm, the Aethalometer wavelength that is closer to the MAAP measurement wavelength, The filter-loading correction calculation resulted in R correction factors of 0.98 ± 0.02 and 1.010.99 ± 0.01 at 880 nm, for June – July and August – September, respectively. A slight wavelength dependence was observed; the R values were up to 4% higher at 370 nm compared to those calculated at 95880 nm during the cleanest period of this study (June – July). A
500 similar behavior was observed during August – September. As explained by Schmid et al. (2006), this wavelength dependency is related to the fact that R depends on ATN, which increases with decreasing wavelength. The obtained R correction factors were very close to 1, i.e., the filter loading effect barely affected the conversion from attenuation to absorption coefficients, even during the most polluted period, August – September. A filter-loading correction factor close to 1 was expected since the average
505 ω_0 measured during the campaign was 0.88 ± 0.04 at 637 nm. A high ω_0 is related to the predominance of scattering aerosol particles, which diminishes the shadowing effect of dark aerosol particles embedded in the filter matrix (Weingartner et al., 2003).

To compare both correction schemes in terms of the filter-loading correction, C_{ref} was recalculated after compensating all the data for filter loading by: 1) following the Schmid et al. correction and, 2) the
510 Collaud Coen et al. correction, which includes ω_0 in the shadowing factor calculation and the relationship σ_{ATN} vs. ATN. We found no statistical difference between the two correction algorithms in terms of the filter-loading compensation because this effect was generally low over the sampling period. More information about the effect of increasing attenuation on the calculated C_{ref} after applying the filter-loading correction can be found in the supplementary material (Figure S2).

515 As already mentioned, the multiple scattering effects significantly affect the correction of Aethalometer data by a factor of 5 to 7. According to previous studies, the multiple scattering correction is the most important one in ambient aerosol with a high ω_0 (Collaud Coen et al., 2010; Rizzo et al., 2011; Schmid et al., 2006; Segura et al., 2014). The seasonal variability of C can be explained by the different scattering properties of the aerosol particles in the different seasons (Collaud Coen et al., 2010). In order to compare the different scattering contributions to C , we calculated C_{ref} and C_{sca} by using the Collaud Coen algorithm. C_{sca} was calculated using Eq. (514) in this case. We observed that a lower ω_0 during the biomass burning period was related to a decrease in the scattering correction factor, C_{sca} . ~~The relative contribution of each, C_{sca} and C_{ref} , was examined and it was found that th-~~ The relative contribution from the scattering correction decreases with decreasing ω_0 , ~~and i-~~ ~~In contrast, it decreases with~~ increasing β_{sca} , see Fig. 3. No correlation was found between C_{sca} and $\hat{a}_{\text{SCA}_{\text{sca}}}$ since the scattering Ångström exponent was quite stable during the sampling period with the exception of the few days influenced by regional biomass burning (see Fig. S3). In other words, the C_{sca} relative contribution was only affected by variations on β_{sca} and ω_0 . Given that R is almost negligible in our dataset, the comparison between both algorithms was done in terms of their different ways to treat the multiple scattering effects.

530 A scatter plot of both corrections' outputs vs. MAAP measurements is shown in Fig. 4. We found that corrected AE data fitted very well the MAAP measurements ~~for both correction algorithms. The slopes were in the case of the Schmid correction, with a slope of 1.054 (1.042 – 1.06) and 1.03 (1.02 – 1.03) for the 5); i.e., the Schmid and Collaud Coen correction overestimates the absorption coefficient by only 2 – 5 %. In the case of the Collaud Coen corrections, respectively, with significant correlation factors., it was found that the AE corrected absorption coefficients were underestimated by 19 – 21 %.~~ According to our calculations, the Collaud Coen algorithm tends to produce higher C_{ref} values than the Schmid algorithm. The slight difference between both correction schemes in terms of the comparison to MAAP measurements can be related to the parameterization of C applied by Schmid et al., which is not implemented by Collaud Coen et al., and the way Collaud Coen et al. estimate C_{ref} .

3.2 Absorption Ångström Exponent

545 The MWAA was used as a reference multi-wavelength measurement since it accounts for multiple scattering effects by means of a similar configuration to the MAAP. Light absorption coefficients obtained from the MWAA (at 635 nm) and from the MAAP (at 637 nm) were compared by applying an linear regression to both datasets after integrating the MAAP data over the filter total sampling times, as shown in Fig. 5. The fit resulted in a MWAA underestimation of 14 to 18% when fitting the whole dataset. In general, all values measured by the MWAA at 635 nm were below the MAAP measurements at 637 nm with a decreasing offset towards lower absorption coefficients. This could be associated to a significant volatilization of the absorbing aerosol collected during the polluted period. The comparison
550 Aethalometer – MWAA at different wavelengths was based on the assumption that these losses are wavelength-independent.

MWAA data measured at five different wavelengths was used to retrieve \hat{a}_{ABS} by applying a log-log fit as expressed in Eq. (7). Figure 6 shows the MWAA Ångström exponents and their uncertainty intervals, together with the values obtained from the two different Aethalometer corrections and the original \hat{a}_{ATN} .
555 The MWAA \hat{a}_{ABS} retrieved from each filter were not all statistically optimal; 30 out of 175 had a $R^2 < 0.85$. All the values below this R^2 limit were excluded from the results shown in Fig. 6. Absorption Ångström exponents obtained using the Schmid correction were mostly higher than the MWAA results. On the other hand, the Collaud Coen correction resulted in a better approach to reproduce the MWAA data, with most of the results in the MWAA uncertainty range. During the biomass burning period, from
560 18 to 23 August 2014, the BrC contribution became more important and caused an increase in the \hat{a}_{ABS} and both algorithms' results became similar to each other and slightly higher than the MWAA \hat{a}_{ABS} . After the biomass burning episode, when the scattering and absorption coefficients fell down to background levels, the offset between both algorithms, in terms of \hat{a}_{ABS} , widened again. In this regard, the Collaud Coen algorithm, which includes a modified scattering correction, seems to be more appropriate to
565 retrieve the \hat{a}_{ABS} for a broader range of absorption coefficients.

A scatter plot of the \hat{a}_{ABS} data, including the corresponding linear fits, is shown in Fig. 7. The data analyzed in this comparison includes only filters that had a σ_{ap} vs. λ log-log fit with $R^2 > 0.85$. Although both algorithms overestimate the \hat{a}_{ABS} retrieved from the MWAA measurements, the Collaud Coen

570 algorithm produces a lower offset and a better linear fit, with a $R^2 = 0.72$. On the other hand, the Schmid algorithm seems to be artificially enhancing the absorption at lower wavelengths. When applying linear regressions forced through the origin, the overall tendency showed a statistically significant \hat{a}_{ABS} overestimation by the Schmid algorithm and a better fit for the Collaud Coen algorithm (not shown). The original attenuation Ångström exponent (\hat{a}_{ATN} , without applying any compensation) was also found to fit quite well the MWAA-retrieved \hat{a}_{ABS} , (Slope IQR: 0.89 – 1.10 with $R^2 = 0.75$, not shown). This finding is in accordance with Ajtai et al., 2011 who found a good agreement between 4-λ PAS measurements and the Aethalometer raw wavelength dependence at a sub-urban site.

580 The MWAA was used as a reference multi-wavelength measurement since it accounts for multiple scattering effects by means of a similar configuration to the MAAP. Light absorption coefficients obtained from the MWAA (at 635 nm) and from the MAAP (at 637 nm) were compared by applying an linear regression to both datasets after integrating the MAAP data over the filter total sampling times. The fit resulted in an MWAA underestimation by 14 to 18% when fitting the whole dataset. However, when comparing only data from the polluted period (18 – 23 August 2014), the MWAA underestimation was only ~5 %. A scatter plot, including the fits, can be seen in Fig. 5. The MWAA underestimation for low absorption coefficient samples might be related to the proximity to the instrument detection limits.

585 The possibility of losing part of the BrC aerosol of medium volatility was also considered and all data with $\sigma_{\text{ap}} < 1 \text{ Mm}^{-1}$ were considered with caution when making any interpretation in the further analysis. MWAA data measured at five different wavelengths was used to retrieve \hat{a}_{abs} by applying a log-log fit as expressed in Eq. 7. Figure 6 shows the MWAA Ångström exponents and their uncertainty intervals, together with the values obtained from the two different Aethalometer corrections. The MWAA \hat{a}_{abs} retrieved from each filter were not all statistically optimal; 30 out of 175 had a $R^2 < 0.85$. All the values below this R^2 limit were excluded from the results shown in Fig. 6. Absorption Ångström exponents obtained using the Schmid correction were mostly higher than the MWAA results. On the other hand, the Collaud Coen correction resulted in a better approach to reproduce the MWAA data, with most of the results in the MWAA uncertainty range. The original attenuation Ångström exponent was also found to fit very well the MWAA-retrieved AAE, see Fig. S4. During the biomass burning period, from 18 to 595 23 August 2014, the BrC contribution became more important and caused an increase in the AAE and

both algorithms' results became similar to each other and slightly higher than the MWAA \hat{a}_{abs} . After the biomass burning episode, when the scattering and absorption coefficients fell down to background levels, the offset between both algorithms, in terms of \hat{a}_{abs} , widened again. In this regard, the Collaud Coen algorithm, which includes a modified scattering correction, seems to be more appropriate to retrieve the AAE for a broader range of absorption coefficients:

A scatter plot of the AAE data, including the corresponding linear fits, is shown in Fig. 7. The data analyzed in this comparison includes only filters that had a σ_{ap} vs. λ log-log fit with $R^2 > 0.85$. Although both algorithms overestimate the AAE retrieved from the MWAA measurements, the Collaud Coen algorithm produces a lower offset and a better linear fit, with a $R^2 = 0.72$. On the other hand, the Schmid algorithm seems to be artificially enhancing the absorption at lower wavelengths. When applying linear regressions forced through the origin, the overall tendency showed a statistically significant AAE overestimation by the Schmid algorithm and a better fit for the Collaud Coen algorithm (not shown).

3.3 Overestimation of near-UV absorption by AE corrections

The unexpectedly high \hat{a}_{ABS} , especially that obtained by applying the Schmid algorithm, is probably caused by an artificial enhancement of the near-UV absorption. Figure 8 shows the relative enhancement of the absorption coefficients at 370 nm, compared to the MWAA absorption at 375 nm. No interpolation was applied to match both wavelengths since they are close enough that the differences are negligible (~3 % for a \hat{a}_{ABS} of 2.0). It is clear that the Schmid algorithm almost always overestimated the absorption at 370 nm. Only a few filters showed a difference close to or below zero. On average, the Schmid algorithm overestimation relative to MWAA was a factor of 0.46 ± 0.31 . In the case of the Collaud Coen algorithm, the average difference was slightly positive, being a factor of 0.19 ± 0.32 , and reaching an average of 0.12 ± 0.12 for $\sigma_{\text{ap}} > 5 \text{ Mm}^{-1}$, during the biomass burning event. A near-UV over or underestimation of the data, will substantially affect brown carbon calculations, if apportionment algorithms based on the wavelength dependence of absorption are used. More details on the effects of inaccurate \hat{a}_{ABS} on the BrC/BC apportionment are discussed in Garg et al., 2016; Schuster et al., 2016a, 2016b; Wang et al., 2016 and references there in. A BrC estimation is beyond the scope of this paper.

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630 On average, the Schmid algorithm overestimation relative to MWAA was a factor of 0.46 ± 0.31 . In the case of the Collaud Coen algorithm, the average difference was slightly negative (underestimation), being a factor of -0.07 ± 0.30 , and reaching even lower values during the biomass burning event. A near-UV over- or underestimation of the data, will substantially affect brown carbon calculations, if apportionment algorithms based on the wavelength dependence of absorption are used. A BrC

635 estimation is beyond the scope of this paper. However, we suggest critically evaluating corrected Aethalometer data when using it to retrieve BrC / BC contributions.

3.4 Adaptation of the Collaud Coen algorithm

As shown before, the Collaud Coen algorithm underestimates absorption coefficients compared to the reference absorption measurement (MAAP). The reason for this underestimation might be related to the

640 way the algorithm calculates C_{ref} by dividing Aethalometer filter loading corrected data by MAAP data. By doing this, the calculated C_{ref} accounts for the scattering by embedded aerosol particles and filter fibers when it should only account for the scattering by filter fibers as explained in section 2.3.3. Therefore, we considered to test the Collaud Coen algorithm by calculating C_{ref} as in the original Schmid correction, using Eq. (5), but calculating it only for ATN values $< 5\%$ to make sure only the

645 multiple scattering by filter fibers was responsible for the measurement artifact. The result of this modified Collaud Coen correction scheme is illustrated by Fig. 9. We found that using this approach the Collaud Coen corrected data underestimates the MAAP data by only 6–9%, which is within the standard deviation of the measurement. The correlation coefficient ($R^2 = 0.88$) was similar to that obtained for the comparison Schmid—MAAP (Fig. 4, $R^2 = 0.89$), however. The adapted Collaud Coen

650 algorithm did not affect the retrieved AAE values and it was significantly correlated with the MWAA-

retrieved AAE (slope: 1.14 (1.03–1.26) and $R^2 = 0.72$), similar to the values obtained for the unmodified Collaud Coen algorithm (see Fig. 7). Although the modified Collaud Coen algorithm does not provide a better approach to retrieve AAE from Aethalometer data compared to the original algorithm, it improves the comparison to MAAP measurements at 637 nm.

655 4 Conclusions

We applied two different correction algorithms to compensate for the various Aethalometer absorption measurement artifacts. The compensated data was compared to an offline multi-wavelength reference absorption measurement technique. This comparison allowed studying the effects of the correction schemes on the absorption at lower wavelengths and showed how this affects the \hat{a}_{ABS} retrieval. We found that both analyzed algorithms efficiently reproduce the reference MAAP absorption coefficients from Aethalometer data. However, the Schmid algorithm overestimates the \hat{a}_{ABS} compared to that obtained by the multiple wavelength measurement (MWAA). On the other hand, the Collaud Coen algorithm as well as the “raw” Aethalometer attenuation spectral dependence reproduced quite well the \hat{a}_{ABS} values obtained from MWAA measurements. The under- or overestimation of short-wavelength absorption coefficients by compensation algorithms is a factor that has to be considered when using corrected Aethalometer data to apportion the black and brown carbon contributions to total absorption. When comparing the absorption coefficients obtained from the different correction algorithms to the reference measurement at 370 nm, we found that the Collaud Coen algorithm is more appropriate to achieve the best comparison at this wavelength, especially for data with $\sigma_{\text{ap}} > 5 \text{ Mm}^{-1}$. The Schmid algorithm resulted in high enhancements of the absorption coefficients at 370 nm over the sampling period.

~~We applied two different correction algorithms to compensate for the various Aethalometer absorption measurement artifacts. The compensated data was compared to an offline multi-wavelength reference absorption measurement technique. This comparison allowed studying the effects of the correction schemes on the absorption at lower wavelengths and showed how this affects the AAE retrieval. We found that the Schmid algorithm is a good approach to reproduce the reference MAAP absorption coefficients from Aethalometer data but overestimates the AAE compared to that obtained by the multiple wavelength measurement (MWAA). On the other hand, the Collaud Coen algorithm as well as~~

680 the “raw” Aethalometer attenuation spectral dependence reproduced quite well the AAE values obtained
from MWAA measurements. The data analysis indicates that the “live” scattering correction
implemented in the Collaud Coen algorithm is appropriate to achieve more realistic absorption
coefficients at lower wavelengths. However, the Collaud Coen algorithm underestimated absorption at
637 nm when compared to MAAP. This offset was reduced by slightly modifying the algorithm in the
685 calculation of the multiple scattering correction factor. The adapted algorithm was found more
appropriate to achieve the best correlations with the MAAP absorption coefficients. The under- or
overestimation of short-wavelength absorption coefficients by compensation algorithms is a factor that
has to be considered when using corrected Aethalometer data to apportion the black and brown carbon
contributions to total absorption.

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