

We thank the Referees for the comments and suggestions. We have improved the manuscript accordingly. Below, we reply and discuss the issues raised up by the Referee.

I. REPLY TO COMMENTS OF REFEREE 1

1. *Section 2 ("State of the art") is a collection of equations that relate various turbulence characteristics with each other. These equations have been taken from a large number of different sources, and it is not clear what the underlying physical assumptions are and to what extent they are consistent across the various sources. For example, it is not explained whether the one-dimensional spectra $E_{11}(k)$ and $E_{22}(k_1)$ and the frequency spectrum $S(f)$ are meant to be one-sided spectra or two-sided spectra.*

$E_{11}(k)$, $E_{22}(k_1)$ and $S(f)$ are the one-sided spectra which, after integration over argument from 0 to ∞ equal the variance of the signal. $E_{ij}(k_1)$ are defined as twice the one-dimensional Fourier transform of $R_{ij}(r_1\mathbf{e}_1) = \langle u_i(\mathbf{x}, t)u_j(\mathbf{x} + r_1\mathbf{e}_1, t) \rangle$ (Pope, 2000). We assume that the flow is statistically stationary and statistics do not depend on time. We ammended Section 2, Eqs. [11] in the manuscript were be corrected to

$$R_{11}(r_1\mathbf{e}_1) = \int_0^\infty E_{11}(k_1) \cos(k_1 r_1) dk_1, \quad (1)$$

$$R''_{11}(r_1\mathbf{e}_1) = - \int_0^\infty E_{11}(k_1) k_1^2 \cos(k_1 r_1) dk_1. \quad (2)$$

2. *Moreover, it is not mentioned which of the relationships follow from Kolmogorov's theory of fully developed, locally homogeneous and isotropic turbulence Kolmogorov (1941a,b) and which are valid for any statistically homogeneous vector fields, regardless of whether or not they are isotropic (Monin and Yaglom, 1975, pp. 16-22)*

Eqs. [11-14] in the manuscript are valid under the assumption of homogeneity alone, however, for further relations (relationship between λ_g and λ_f and Eqs. [15] and [16]) the assumption of local isotropy (Kolmogorov, 1941) is needed, to finally find the value of the dissipation rate.

As the airborne measurements provide signals of velocity along the 1D aircraft flight path, the local isotropy assumption is needed to estimate the dissipation rate ϵ of a 3D turbulent field and the assumption of homogeneity alone (Monin and Yaglom, 1975) is not sufficient.

We added this discussion to Section 2, page 5, lines 8-11.

3. *Additionally, I find it worrisome that the authors sometimes confuse k_1 and k and that some of their equations contain transcendental functions with dimensional arguments...*

Integration in equations [25], [26] and [31] in the old manuscript was performed over non-dimensional variables $k\beta\eta$ and $k_1\beta\eta$. In the manuscript we denoted them by k and k_1 which was confusing. In the ammended version we denoted the non-dimensional variables by ξ and ξ_1 , see Eqs. [27] and [38] in the new manuscript and added a sentence with details of derivation of Eq. [27].

4. *I find it difficult to follow the flow of the authors' reasoning in detail. I am not surprised that the zero-crossing methods can provide ϵ estimates with a quality comparable to the ϵ estimates obtained with traditional spectral retrieval methods. The relative advantages and disadvantages, however, are less clear, and the authors do not discuss and explain them in sufficient depth from a physical point of view.*

In the manuscript we proposed two extensions of zero-crossing method to estimate TKE dissipation rate for low-pass filtered signals, in particular from airborne turbulence measurements with spatial resolution of meters or tens of meters along 1D aircraft tracks. The first of them, described in Sections 3.1 and 4.1 applies additional filtering of the signal and, similarly as the structure function or power spectra methods, is based on the inertial-range arguments.

In spite of the same underlying physical arguments the structure function and power spectra methods are often used simultaneously, for better ϵ estimates (Chamecki and Dias, 2004). Here, the proposed method offers yet another option. Moreover, the second proposed method assumes a model spectrum for the inertial and the dissipation range, hence it is based on different physical arguments than the methods based on the inertial-range scaling only, it additionally makes use of the first similarity hypothesis of Kolmogorov (1941) and a model for the dissipation range spectrum. Still, it can be used for signals with spectral cut-offs, hence it offers an alternative to the spectral retrieval methods.

The possible advantages of the newly proposed approaches (apart from the simplicity

of the number of crossings detection) follow from the simulation analysis performed according to the suggestion of Referee 2. Results are presented in Section 4.2, it seems that at least for the generated synthetic turbulent signals, the number of crossings method is less sensitive to the bias error and an error due to aliasing than the spectral retrieval method.

Advantages and disadvantages of the new approaches are now discussed in more detail in Conclusions.

5. *Page 2, line 23: State of the art This section heading is unnecessarily vague and misleading. I would suggest to replace it with Previous methods to retrieve the energy dissipation rate from measured velocity time series or something similar*

We changed the title of the section, according to the Referee's suggestion.

6. *Eq. [2] fails at wave numbers small compared to $1/L$, where the turbulence is usually anisotropic and is no longer universal.*

In principle, we agree here with the Referee. However, one can assume that the lowest wavenumbers of the spectrum E_{11} available from the measurements are within the validity of the local isotropy assumption and that the largest scales of the flow do not influence the value of dissipation rate. In the revised manuscript on page 3, text before Eq. (3) now reads: "Within the validity of the local isotropy assumption of Kolmogorov (1941), the energy-spectrum function can be approximated by the formula (Pope, 2000):"

7. *P. 3, lines 12ff.: Within the validity of the Taylors hypothesis (1) can be converted to the frequency spectra, where $k = (2f)/U$ and U is the mean velocity of the aircraft. This statement is erroneous or misleading in two respects. First, Taylors frozen-turbulence hypothesis converts the frequency f to the longitudinal wave number k_1 , not to the magnitude $k = |\mathbf{k}|$ of the three-dimensional wave vector \mathbf{k} . Second, U is not the mean velocity of the aircraft but the magnitude of the vector difference between the aircraft velocity and the wind velocity. This magnitude is sometimes referred to as the true air speed.*

We agree with the Referee, we amended the manuscript, accordingly (see page 3 line 12).

8. The integrals in Eqs. 25 and 26 contain the term e^{-k} , the exponential function, however, is a transcendental function and its argument must be dimensionless, such as the argument $\beta k \eta$ in Eq. [24]. Because k is not dimensionless (its dimension is $1/\text{Length}$), Eqs. [25] and [26] cannot be correct.

Integration in Eqs. [25] and [26] in the old manuscript was performed over non-dimensional variables. Below we present the derivation in detail and change the notation to ξ and ξ_1 instead of k and k_1 .

In order to derive Eqs. [25] and [26] we considered a relation between N_{cut} and N_L

$$u'^2 N_L^2 = u_{cut}'^2 N_{cut}^2 \left(1 + \frac{\int_{k_{cut}}^{\infty} k_1^2 E_{11} dk_1}{\int_0^{k_{cut}} k_1^2 E_{11} dk_1} \right), \quad (3)$$

and assumed a certain form of the energy spectrum applicable in the inertial and the dissipation range

$$E(k) = C \epsilon^{2/3} k^{-5/3} e^{-\beta k \eta}, \quad (4)$$

the corresponding one-dimensional spectrum E_{11} , for the range of scales where the local isotropy assumption holds, was calculated using Eq. [2] from the manuscript

$$E_{11}(k_1) = C \epsilon^{2/3} \int_{k_1}^{\infty} k^{-8/3} e^{-\beta k \eta} \left(1 - \frac{k_1^2}{k^2} \right) dk. \quad (5)$$

With the following change of variables $\xi = \beta k \eta$ in this integral we obtain

$$E_{11}(k_1) = C \epsilon^{2/3} (\beta \eta)^{5/3} \int_{k_1 \beta \eta}^{\infty} \xi^{-8/3} e^{-\xi} \left(1 - \frac{(k_1 \beta \eta)^2}{\xi^2} \right) d\xi. \quad (6)$$

We next introduce (6) into (3) and once again change the variables to $\xi_1 = k_1 \beta \eta$. We obtain

$$u'^2 N_L^2 = u_{cut}'^2 N_{cut}^2 \left[1 + \frac{\int_{k_{cut} \beta \eta}^{\infty} \xi_1^2 \int_{\xi_1}^{\infty} \xi^{-8/3} e^{-\xi} \left(1 - \frac{\xi_1^2}{\xi^2} \right) d\xi d\xi_1}{\int_0^{k_{cut} \beta \eta} \xi_1^2 \int_{\xi_1}^{\infty} \xi^{-8/3} e^{-\xi} \left(1 - \frac{\xi_1^2}{\xi^2} \right) d\xi d\xi_1} \right] = u_{cut}'^2 N_{cut}^2 \mathcal{C}_{\mathcal{F}}, \quad (7)$$

where the correcting factor $\mathcal{C}_{\mathcal{F}}$ equals

$$\mathcal{C}_{\mathcal{F}} = 1 + \frac{\int_{k_{cut} \beta \eta}^{\infty} \xi_1^2 \int_{\xi_1}^{\infty} \xi^{-8/3} e^{-\xi} \left(1 - \frac{\xi_1^2}{\xi^2} \right) d\xi d\xi_1}{\int_0^{k_{cut} \beta \eta} \xi_1^2 \int_{\xi_1}^{\infty} \xi^{-8/3} e^{-\xi} \left(1 - \frac{\xi_1^2}{\xi^2} \right) d\xi d\xi_1}. \quad (8)$$

The form of both equations is identical as [25] and [26], but the integration variables are denoted ξ and ξ_1 , instead of k and k_1 . We amended the manuscript, accordingly. At the same time we note that the results of analysis performed in Sections 4 and 5 remained unchanged.

II. REPLY TO COMMENTS OF REFEREE 2

1. *They do not perform a sensitivity study on the choice of dissipation range model. They use a specific exponential model from Pope (2000), but if they had read the discussion in that reference, they would have noted that Pope does not consider that model to be accurate. And as the authors point out, the dissipation range spectrum has a significant effect on the number of zero crossings.*

In the cited reference [Pope, 2000] three different forms for the function f_η were considered, the exponential, the Pao and an improved form, which will be further referred to as the "Pope spectrum", see Eqs. (6.248), (6.249), (6.254) therein. All the three forms of the dissipative spectra integrate to ϵ i.e. they satisfy the requirement $\epsilon = 2\nu \int k^2 E(k) dk$. According to the analysis of experimental data, the Pope spectrum provides the best fit in the dissipative range [Pope 2000].

In the revised manuscript, in Section 4.3 we compared results with both the exponential and the Pope forms of function f_η . We show that the obtained ϵ estimates are very close to each other. To explain this we first note that in the proposed model (Eq. [22] in the manuscript) only the integral of the dissipative spectrum $k_1^2 E_{11}(k_1)$ is present. The spectral cut-off of the data considered in our work ($5Hz$) is in the inertial range, where $k_1^2 E_{11}(k_1)$ with both forms of f_η functions are almost indistinguishable, see Fig. 1. At the same time integrals of the remaining (recovered) parts of $k_1^2 E_{11}(k_1)$ are almost equal (as both dissipative spectra $2\nu k^2 E(k)$ integrate to ϵ). As a result, for the given spectral cut-off the ϵ estimates are almost the same, independently of the form of the f_η function. This might change for larger cut-off frequencies. We expect that in case the cut-off frequency is placed in a region influenced by the form of f_η function, the Pope spectrum will provide better estimates of the TKE dissipation rate. We included the new results and the above discussion in the revised manuscript in Section 4.3.

2. *Furthermore, they do not address practical issues inherent in digital signal processing: spectral bias due to finite temporal windows, aliasing due to temporal sampling, as well as sensor bias and noise. It seems that these artefacts might have a significant impact on a zero-crossing method. For example, it is not hard to see how sensor bias and*

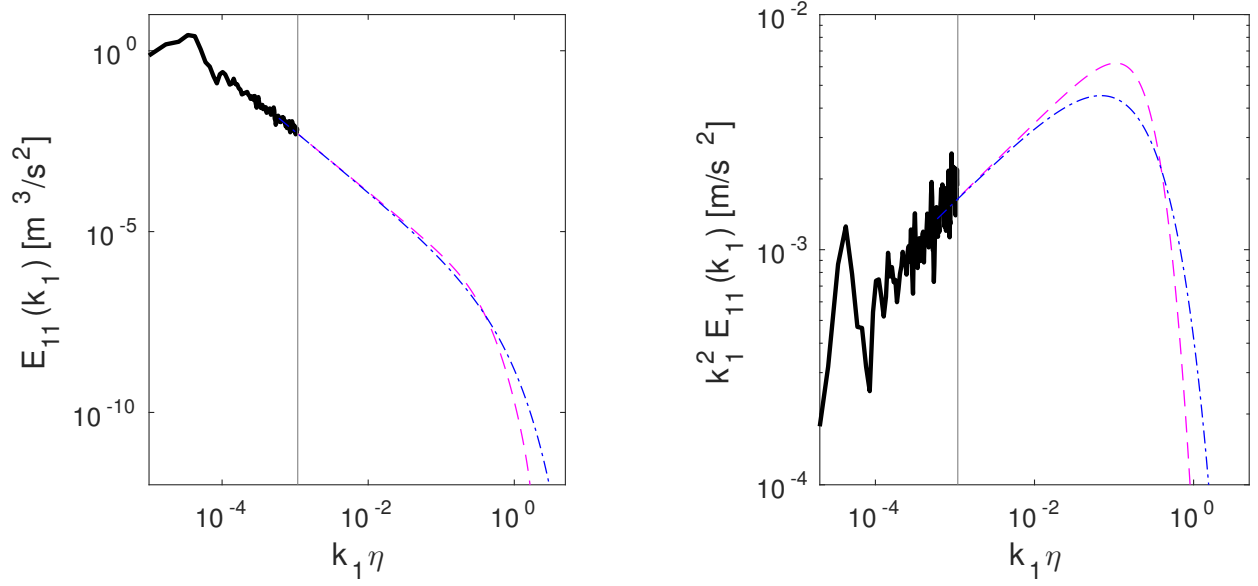


FIG. 1. Functions $E_{11}(k_1)$ and $k_1^2 E_{11}(k_1)$ calculated for the measured signal (black line), exponential spectrum (dot-dashed blue line), Pope spectrum (dashed magenta line).

noise, could significantly impact zero crossings, especially for low SNR data.

As suggested by the Referee we performed simulation analysis, [Frehlich et al. (2001), Sharman et al. (2014)], in order to address the issues of the influence of finite temporal windows and aliasing on ϵ estimates. In the revised manuscript, in Section 4.2, we present and discuss the obtained results. As far as the sensor bias is concerned, in fact both the variance of the noise as well as variance of its derivative influence the measured number of crossings. This issue was studied in detail by Sreenivasan et al. (1983), hence, we did not discuss it in the manuscript. Moreover, Poggi & Katul (2010) suggested to use the threshold- instead of the zero-crossings in case of low SNR signals. In our application the noise influences largely the higher frequencies (above $5Hz$) which are removed by the low-pass filter used in the proposed number of crossings method. Moreover, use of the threshold- instead of zero-crossings did not lead to any systematic change of our estimates. In the revised manuscript we included a discussion concerning the sensor bias, referring to the two mentioned papers, in Section 4.2.

3. *So, they need to address the question of why one would want to use their method over more standard approaches (unless of course, one had data with significant content in the dissipation range), and how their method is susceptible/tolerant to signal processing*

artifacts. I feel strongly that they need to perform a simulation analysis to answer these questions in a statistical sense (see for example, Frehlich, et al. JAM 2001).

Based on the results of the performed simulation analysis we argue that the number of crossing method has certain advantages over standard methods. We created sets of artificial velocity signals with a prescribed form of the energy spectrum [Frehlich et al. (2001), Sharman et al. (2014)]. Results are presented in Section 4.2. At least for these artificial velocity signals, the obtained ϵ values were less sensitive to the aliasing error than the estimates from the power spectral method. Moreover, the bias due to the finite temporal windows was smaller for the number of crossing method, however, on the cost of larger uncertainty (larger standard deviations) of the estimated dissipation rate values.

These differences in errors of the number of crossing and the power spectral method can make the former an additional tool to improve ϵ estimates from the atmospheric measurements.

Moreover, we argue that the number of crossings method applied to the fully-resolved signals has become a fairly standard tool for ϵ estimates, used also in the atmospheric measurements, see e.g. Poggi & Katul (2010). Therein, the discussed advantages of the method are that no gradient measurements are required (to estimate the Taylor microscale λ), no assumptions about scaling laws in structure functions (and power spectra) are needed and no simplifications in the TKE budget are adopted (for which ϵ is computed as residual). The method proposed in the current manuscript, in particular, the second approach based on the recovered part of the spectrum, generalises number of crossing method and makes it applicable also for signals with spectral cut-off. Off course, an additional cost is that certain form of the energy spectrum must be assumed. The method can be interesting in particular for data with cut-offs reaching the dissipation range, but still with part of this range missing (or contaminated with noise). In such case, using only the inertial-range estimates may lead to a significant loss of information, as the data from the dissipation range are not taken into account.

In the revised manuscript we extended the discussion about advantages and disadvantages of the new methods in Conclusions.

III. LIST OF CHANGES

1. We modified Section 2, according to the suggestions of Referee 1, we described the applied assumptions, corrected Eqs. [11] and in Eq. [4] we use constant C_1 instead of α . We corrected the text on page 3, line 13 to $k_1 = (2\pi f)/U$ and defined U according to the suggestion of Referee 1.
2. In Eq. [9] we use k_1 instead of k
3. We corrected the definition of R_{11} on page 4, line 23. We now write “We will now introduce the two-point correlation of velocity $R_{ij}(r_1\mathbf{e}_1) = \langle u_i(\mathbf{x}, t)u_j(\mathbf{x} + r_1\mathbf{e}_1, t) \rangle$ and assume that the flow is statistically stationary and statistics do not depend on time.”
4. According to suggestions of Referee 2 in Section 3.2 we discuss both the simple exponential and the Pope spectrum in Eq. (23), (24) in the following formulas instead of $e^{\beta k\eta}$ we write $f_\eta(\beta k\eta)$
5. We added Section 4.2 ”Simulation analysis and error estimates” according to suggestions of Referee 2.
6. In Section 4.3 we added result of ϵ estimates with the use of the Pope spectrum and a discussion of results.
7. In conclusions we discuss advantages and disadvantages of new proposals in more detail, as suggested by both Referees.
8. We replaced Fig. 13 with results obtained from more precise calculations of integrals on non-uniform grid.

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Novel approaches to estimating turbulent kinetic energy dissipation rate from low and moderate resolution velocity fluctuation time series

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Abstract. In this paper we propose two approaches to estimating the kinetic energy dissipation rate, based on the zero-crossing method by Sreenivasan et al. [*J. Fluid Mech.*, **137**, 1983]. The original formulation requires a fine resolution of the measured signal, down to the smallest dissipative scales. However, due to finite sampling frequency, as well as measurement errors, velocity time series obtained from airborne experiments are characterized by the presence of effective spectral cut-offs. In contrast to the original formulation the new approaches are suitable for use with signals originating from such experiments. The fittingness of the new approaches is tested using measurement data obtained during the Physics of Stratocumulus Top (POST) airborne research campaign [as well as synthetic turbulence data](#).

1 Introduction

Despite the fact that turbulence is one of the key physical mechanisms responsible for many atmospheric phenomena, information on Turbulent Kinetic Energy (TKE) dissipation rate ϵ based on in-situ airborne measurements is scarce. Research aircraft are often not equipped to measure wind fluctuations with spatial resolution better than few tens of meters (Wendisch and Brenguier, 2013). Due to various problems related to e.g. inhomogeneity of turbulence along the aircraft track and/or artifacts related to inevitable aerodynamic problems (Khelif et al., 1999; Kalgorios and Wang, 2002; Mallaun et al., 2015), estimates of ϵ at such low resolutions using power spectral density or structure functions are complex and far from being standardised (e.g. compare procedures in Strauss et al. (2015), Jen-La Plante et al. (2016)). The question arises: can we do any better? Or at least can we introduce alternative methods to increase robustness of ϵ retrievals?

In the literature, there exist several different methods to estimate ϵ using the measured velocity signal as a starting point. One of them is the zero- or threshold-crossing method (Sreenivasan et al., 1983) which, instead of calculating the energy spectrum or velocity structure functions, requires counting of the signal zero- or threshold crossing events, see Fig. 1a. Their mean number per unit length is related to the turbulent kinetic energy dissipation rate. The zero-crossing method is based on a direct relation between ϵ and the root mean square of the velocity derivative $\langle(\partial u/\partial t)^2\rangle$ (Pope, 2000), hence, the measured signal should be resolved down to the smallest scales. However, this is not achievable in the case of the moderate-resolution flight measurements, where the sampling frequency is typically 2 – 3 orders of magnitude smaller than the frequency corresponding

to the Kolmogorov scales. As a result, the number of zero-crossings per unit length N_L for such signal is much smaller than the one corresponding to a high resolution velocity signal where turbulence intensity is the same.

Interestingly, Kopeć et al. (2016) have shown, that the dissipation rates estimated from such N_L using very low resolution signals, although underestimated, were proportional to ϵ calculated using structure functions scaling in the inertial range. In the follow up analyses we found that this is also the case for moderate-resolution airborne data from different sources. This led us to a question whether it would be possible to modify the zero-crossing method such that it can also be applied to moderate- or low-resolution measurements whilst mitigating the observed underestimation at the same time. In this work we propose two possible modifications of the zero-crossing method. The first one is based on a successive filtering of a velocity signal and inertial-range-inertial range arguments. In the second approach we use an analytical model for the unresolved part of the spectrum and calculate a correcting factor to N_L , such that the standard relation between ϵ and N_L can be used.

The new approaches are tested on velocity signals obtained during the Physics of Stratocumulus Top (POST) research campaign, which was designed to investigate the marine stratocumulus clouds and the details of vertical structure of stratocumulus-topped boundary layer (STBL) (Gerber et al., 2013; Malinowski et al., 2013). The observed winds were measured using the CIRPAS Twin-Otter research aircraft with sampling frequency $f_s = 40\text{Hz}$, which corresponds to the resolution 1.375m for the speed of the aircraft $U = 55\text{m/s}$. ~~The frequency f_s is placed in the inertial range of the power spectral density (PSD) of the measured signal~~ 55m/s . Additional tests of the method with synthetic velocity signals as suggested by Frehlich et al. (2001) are also performed.

The present paper is structured as follows. In section 2 we review existing methods to estimate dissipation rate of the turbulent kinetic energy. Next, in Section 3 we propose the two modifications of the zero-crossing method. They are applied to a single signal from flight 13 and synthetic turbulence data and discussed in detail in Section 4. Next, in Section 5 we apply the procedures to several data sets from flights 10 and 13 to show that the results of new approaches compare favourably with those obtained from standard power-spectrum and structure function methods. This is followed by Conclusions where the advantages of the new proposals and perspectives for further study are discussed.

25 **2 ~~State of~~ Previous methods to retrieve the ~~art~~energy dissipation rate from measured velocity time series**

The need to estimate the turbulent kinetic energy dissipation rate ϵ as well as variety of available data resulted in formulating a number of estimation methods. Two of the most commonly used approaches are the frequency spectrum and the structure-function approach. Both are based on the inertial-range-inertial range arguments, which follow from the Kolmogorov's second similarity hypothesis (Kolmogorov, 1941), hence, they are also called "indirect methods" (Albertson et al., 1997). ~~In the~~
30 ~~homogeneous and isotropic turbulence~~With the assumption of local isotropy the one-dimensional longitudinal and transverse wavenumber spectra in the inertial range are given by (~~Pope, 2000~~)(Kolmogorov, 1941; Monin and Yaglom, 1975; Pope, 2000):

$$E_{11}(k_1) = C_1 \epsilon^{2/3} k_1^{-5/3}, \quad E_{22}(k_1) = C'_1 \epsilon^{2/3} k_1^{-5/3}. \quad (1)$$

Here k_1 is the longitudinal component of the wavenumber vector $\mathbf{k} = (k_1, k_2, k_3)$, $C_1 \approx 0.49$ and $C'_1 \approx 0.65$. E_{11} is related

5 to the energy-spectrum function $E(k) \div$

$$E_{11}(k_1) = \int_{k_1}^{\infty} \frac{E(k)}{k} \left(1 - \frac{k_1^2}{k^2}\right) dk, \quad (2)$$

here $k = |\mathbf{k}|$. As discussed in Pope (2000) experimental data confirm Eqs. (1) within 20% of the predicted values of C_1 and C'_1 over two decades of wavenumbers. ~~The~~ Within the validity of the local isotropy assumption of Kolmogorov (1941), the energy-spectrum function ~~in the whole wavenumber range~~ can be approximated by the formula (Pope, 2000):

$$10 \quad E(k) = C \epsilon^{2/3} k^{-5/3} f_L(kL) f_\eta(k\eta), \quad (3)$$

here $C \approx 1.5$ as supported by experimental data, f_L and f_η are non-dimensional functions, which specify the shape of energy-spectrum in, respectively, the energy-containing and the dissipation range. L denotes the length scale of large eddies and $\eta = (\nu^3/\epsilon)^{1/4}$ is the Kolmogorov length scale connected with the dissipative scales. The function f_L tends to unity for large kL whereas f_η tends to unity for small $k\eta$, such that in the inertial range the formula $E(k) = C \epsilon^{2/3} k^{-5/3}$ is recovered.

15 Within the validity of the Taylor's hypothesis Eq. (1) can be converted to the frequency spectra, where $k = (2\pi f)/U$ $k_1 = (2\pi f)/U$ and U is the ~~mean velocity of the aircraft~~ magnitude of the vector difference between the aircraft velocity and the wind velocity. In order to estimate the dissipation rate from the atmospheric turbulence measurements, several assumptions should be taken. Most importantly, one assumes that the turbulence is homogeneous and isotropic and that the inertial range scaling Eqs. (1) holds. Then, frequency spectrum of the longitudinal velocity component ~~reads (e.g., Oncley et al., 1996; Siebert et al., 2006)~~ in the inertial range is (e.g., Oncley et al., 1996; Siebert et al., 2006) :

$$20 \quad S(f) = \underline{\alpha} C_1 \left(\frac{U}{2\pi}\right)^{2/3} \epsilon^{2/3} f^{-5/3}. \quad (4)$$

All one-dimensional spectra considered here are the one-sided spectra, that is, integrating E_{11} , here $\alpha \approx 0.5$. With this E_{22} or $S(f)$ functions over argument from 0 to ∞ yields the variance of the signal. With Eq. (4), the turbulent kinetic energy dissipation rate can be estimated from the PSD of the measured signal.

25 Alternatively, one can consider the n -th order longitudinal structure functions $D_n = \langle (u_L(x+r, t) - u_L(x, t))^n \rangle$, here u_L is the longitudinal component of velocity. In the inertial subrange, the second and third-order structure functions are related to the dissipation rate ϵ by the formulas (Pope, 2000):

$$D_2(r) = C_2 \epsilon^{2/3} r^{2/3}, \quad D_3(r) = -\frac{4}{5} \epsilon r. \quad (5)$$

Experimental results of Saddoughi and Veeravalli (1994) indicate that $C_2 \approx 2$. with an accuracy of $\pm 15\%$.

Another method, also based on the formula (3) is the velocity variance method (Fairall et al., 1980; Bouniol et al., 2004; O'Connor et al., 2010). Let us consider a stationary signal $u(t)$. The variance of this signal $\langle u^2(t) \rangle = u'^2$ is equal to the integral of the power spectral density $S(f)$ over the frequency space.

Let us now filter the signal $u(t)$ with a band-pass filter with cut-off numbers $[f_{low}, f_{up}]$ in the frequency space. We obtain a
 5 signal $u_f(t)$ with the variance

$$u_f'^2 = \int_{f_{low}}^{f_{up}} S(f) df. \quad (6)$$

The above formula represents the portion of kinetic energy of $u(t)$ contained in the frequencies between f_{low} and f_{up} . If we introduce Eq. (3) for $S(f)$ into (6) and integrate, we finally obtain the following formula for the dissipation rate:

$$\epsilon = \left[\frac{2(2\pi)^{2/3} u_f'^2}{3\alpha U^{2/3} (f_{low}^{-2/3} - f_{up}^{-2/3})} \frac{2(2\pi)^{2/3} u_f'^2}{3C_1 U^{2/3} (f_{low}^{-2/3} - f_{up}^{-2/3})} \right]^{3/2}. \quad (7)$$

Yet another method, also used in the atmospheric turbulence analysis (Sreenivasan et al., 1983; Poggi and Katul, 2009, 2010; Wilson, 1995; Yee et al., 1995), is based on the number of zero- or level-crossings of the measured velocity signal. It dates back to the early work of Rice (1945) who considered a stochastic processes q and its derivative with respect to time $\partial q / \partial t$. He then assumed that these two processes have Gaussian statistics and are independent. The formulation of this method results from investigating how frequently the signal crosses the level zero $q(t) = 0$, see Fig. 1a. Working under those assumptions
 15 Rice (1945) showed that the number of ~~crossings~~ up-crossings of the zero level per unit time is:

$$N^2 = \frac{\langle (\partial q / \partial t)^2 \rangle}{4\pi^2 \langle q^2 \rangle}. \quad (8)$$

As $\langle (\partial q / \partial t)^2 \rangle$ is proportional to the dissipation rate of the kinetic energy, the zero-crossing method can be used to estimate this quantity. As it was argued by Sreenivasan et al. (1983), Eq. (8) holds also with less restricted assumptions, with only q having Gaussian statistics and, moreover, even for strongly non-Gaussian velocity signals the number of zero-crossings was close to
 20 the theoretical value from Eq. (8). For a spatially varying signal, Eq. (8) can be expressed as follows, using the characteristic wavenumber k_c (He and Yuan, 2001):

$$k_c = \sqrt{\frac{\int_0^\infty k^2 E_{11} dk}{\int_0^\infty E_{11} dk}} \sqrt{\frac{\int_0^\infty k_1^2 E_{11} dk_1}{\int_0^\infty E_{11} dk_1}}. \quad (9)$$

The characteristic wavelength is equal to $\lambda_c = 2\pi / k_c$. Hence, the mean number of crossings (up- and downcrossings) per unit length N_L , with, on average, two crossing per λ_c is

$$N_L = \frac{2}{\lambda_c} = \frac{1}{\pi} k_c. \quad (10)$$

We will now introduce the two-point correlation of velocity $R_{11}(r_1 \mathbf{e}_1) = \langle u_1(\mathbf{x}) u_1(\mathbf{x} + r_1 \mathbf{e}_1) \rangle$. $R_{ij}(r_1 \mathbf{e}_1) = \langle u_i(\mathbf{x}, t) u_j(\mathbf{x} + r_1 \mathbf{e}_1, t) \rangle$ and assume that the flow is statistically stationary and statistics do not depend on time. Using the inverse Fourier transform,
 R_{11} and its derivatives can be written in terms of ~~the inverse Fourier transform of~~ E_{11} (Pope, 2000) as follows (Pope, 2000) :

$$5 \quad R_{11}(r_1 \mathbf{e}_1) = \int_0^\infty E_{11}(k_1) \underline{e^{ik_1 r_1}} \underline{\cos(k_1 r_1)} dk_1, \quad R_{11}''(r_1 \mathbf{e}_1) = - \int_0^\infty E_{11}(k_1) k_1^2 \underline{e^{ik_1 r_1}} \underline{\cos(k_1 r_1)} dk_1. \quad (11)$$

Using With those relationships we can rewrite Eq. (9) in the following manner:

$$k_c = \sqrt{\frac{\int_0^\infty k_1^2 E_{11}(k_1) dk_1}{\int_0^\infty E_{11}(k_1) dk_1}} = \sqrt{\frac{-R''_{11}(0)}{R_{11}(0)}}. \quad (12)$$

~~On the other hand, $R''_{11}(0)$ and $R_{11}(0)$~~ We further define the Taylor longitudinal microscale λ_f ~~(or the Taylor transverse microscale $\lambda_g = \lambda_f/\sqrt{2}$ if we consider the transverse velocity correlations):~~ with the use of $R''_{11}(0)$ and $R_{11}(0)$

$$10 \quad \lambda_f = \left(-\frac{1}{2} \frac{R''_{11}(0)}{R_{11}(0)} \right)^{-1/2}. \quad (13)$$

Hence, Eq. ~~10~~ (10) implies that the number of crossings per unit length is related to the longitudinal Taylor's microscale λ_f through

$$\lambda_f = \frac{\sqrt{2}}{\pi} \frac{1}{N_L} \implies \frac{1}{\lambda_f^2} = \frac{1}{2} \pi^2 N_L^2. \quad (14)$$

~~A Relations (11–14) are valid for any statistically homogeneous vector fields, regardless of whether or not they are isotropic (Monin and Yaglom, 1975). However, homogeneity alone is not a sufficient assumption to estimate the TKE dissipation rate ϵ of a 3D turbulent field from velocity signals measured along the 1D aircraft flight path (Chamecki and Dias, 2004). We further use the local isotropy assumption to write a relation between dissipation and the Taylor microscales~~ reads (Pope, 2000) (Pope, 2000)

$$\epsilon = \frac{15\nu u'^2}{\lambda_g^2} = \frac{30\nu u'^2}{\lambda_f^2} = \frac{15\nu u'^2}{\lambda_g^2}, \quad (15)$$

where $\lambda_g = \lambda_f/\sqrt{2}$ is the Taylor transverse microscale. Hence, finally, substituting Eq. (14) into Eq. (15) we obtain (Poggi and Katul, 2010)

$$\epsilon = 15\pi^2 \nu u'^2 N_L^2. \quad (16)$$

For the transverse velocity time series Eq. (16) has a factor 7.5 instead of 15.

3 New proposals to estimate dissipation rate from a velocity signal with a truncated high-frequency part of the energy spectrum

Based on EqEqs. (9) and (10) it is clear that the number of zero-crossings is related to the dissipation spectra $D_{11}(k) = 2\nu k^2 E_{11}(k)$:

$$\pi^2 u'^2 N_L^2 = \int_0^\infty k^2 E_{11} dk. \quad (17)$$

Figure 1b presents the profile of $D(k) = 2\nu k^2 E(k)$ where $E(k)$ is described by the model spectrum (3) with $f_\eta = \exp(-\beta k \eta)$ (Pope, 2000), here $\beta = 2.1$ and $\eta = 2mm$. It is clear-seen that the large wavenumber (small scale) part of the spectrum has the most significant impact on the resulting value of N_L .

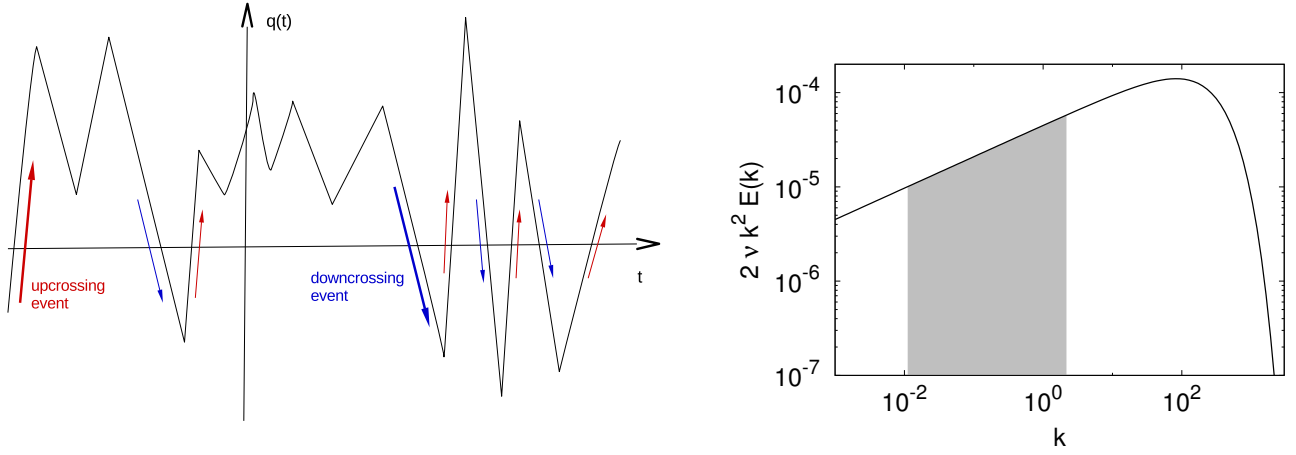


Figure 1. a) A signal $q(t)$ crossing the level $q = 0$. b) Dissipation spectra: the range of k -numbers covered by the POST measurements is denoted by the colour shading.

At the same time the data available from the POST measurements, where the sampling frequency was restricted to $f_s = 40\text{Hz}$, can only account for a small part of the total dissipation spectrum (shaded regions in Fig. 1b). If one was to use this zero-crossing method (Eq. 16) in order to estimate ϵ it is clear that the measured number of signal zero-crossings would lead to significant underestimation of the spectrum integral as compared to the full spectrum measurements down to the very small scales. We would like to propose reformulation of the original zero-crossing method in order to estimate the dissipation rate from the number of signal zero-crossings based on a restricted range of k -values available from the airborne measurements. Two proposals for such procedures are given further in the article.

3.1 Method based on successive filtering of a signal

- 15 Let us consider a signal $u_1(t)$ resolved in a certain range of frequencies $f_0 < f < f_1$. Converting the wavenumber spectrum to the frequency spectrum we obtain from Eq. (17) the following relation for the number of signal-crossings per unit time

$$u_1'^2 N_1^2 = 4 \int_{f_0}^{f_1} f^2 S(f) df. \quad (18)$$

Similarly as in the velocity variance method described in Section 2, let us now filter the signal using a low-pass filter characterized by a different cut-off frequency $f_2 < f_1$. In such a case we obtain a different signal $u_2(t)$ with a reduced number of

- 5 zero-crossings $N_2 < N_1$:

$$u_2'^2 N_2^2 = 4 \int_{f_0}^{f_2} f^2 S(f) df. \quad (19)$$

If we subtract [Eq. \(19\)](#) from [Eq. \(18\)](#) we obtain

$$(u_1'^2 N_1^2 - u_2'^2 N_2^2) = 4 \int_{f_2}^{f_1} f^2 S(f) df. \quad (20)$$

In the inertial range $S(f)$ is described by [Eq. \(4\)](#), hence, if both f_1 and f_2 belong to the inertial range

$$10 \quad (u_1'^2 N_1^2 - u_2'^2 N_2^2) = 4 \underline{\textcolor{blue}{C_1}} \left(\frac{U}{2\pi} \right)^{2/3} \epsilon^{2/3} \int_{f_2}^{f_1} f^{1/3} df = 3 \underline{\textcolor{blue}{C_1}} \left(\frac{U}{2\pi} \right)^{2/3} \epsilon^{2/3} (f_1^{4/3} - f_2^{4/3}). \quad (21)$$

If we proceed further and filter the signal using a series of cut-off frequencies $f_i < f_2$, we can estimate ϵ from [Eq. \(21\)](#) using a linear least squares fitting method.

3.2 Method based on recovering the missing part of the spectrum

In this method we attempt to account for the impact of the missing part of the dissipation spectrum by introducing a correcting factor to the number of zero-crossings per unit length N_L . The number of crossings per unit length is calculated from the measured signal where the fine-scale fluctuations having the highest wavenumber k_{cut} will be denoted by N_{cut} and the variance of this signal will be denoted by $u_{cut}'^2$. From [Eq. \(17\)](#) it follows that N_{cut} is related to N_L by the formula

$$u'^2 N_L^2 = u_{cut}'^2 N_{cut}^2 \frac{\int_0^\infty k_1^2 E_{11} dk_1}{\int_0^{k_{cut}} k_1^2 E_{11} dk_1} = u_{cut}'^2 N_{cut}^2 \left(1 + \frac{\int_{k_{cut}}^\infty k_1^2 E_{11} dk_1}{\int_0^{k_{cut}} k_1^2 E_{11} dk_1} \right). \quad (22)$$

We then assume a certain form of the energy spectrum, [Eq. \(3\)](#) ~~with $f_\eta = e^{-\beta k \eta}$, here $\beta = 2.1$ (Pope, 2000) and~~. We take $f_L = 1$, as the largest scales do not contribute much to the final value of the dissipation rate and we will consider two different forms of f_η , as proposed in Pope (2000) . First being a simple exponential form

$$\underline{\textcolor{blue}{f_\eta = e^{-\beta k \eta}}}, \quad (23)$$

with $\beta = 2.1$ and a second, more complex formula

$$\underline{\textcolor{blue}{f_\eta = e^{\left\{ -[(\beta k \eta)^4 + (\beta c_\eta)^4]^{1/4} + \beta c_\eta \right\}}}}, \quad (24)$$

here $\beta = 5.2$ and $c_\eta = 0.4$. With this, the energy spectrum reads

$$E(k) = C \epsilon^{2/3} k^{-5/3} \underline{\textcolor{blue}{e^{-\beta k \eta} f_\eta(\beta k \eta)}}, \quad (25)$$

here $C = 1.5$. The integral of the dissipation spectrum $2\nu k^2 E(k)$ should be equal to ϵ , which ~~implies that results in $\beta = 2.1$:~~ Hence, rather than being an empirical constant, the value of in [Eq. \(23\)](#) and provides a relation between β and c_η in [Eq. \(25\)](#) is fixed by theoretical constrains. The 24). The latter case, due to the additional degree of freedom in f_η fits the experimental data better in the dissipative range (Pope, 2000) .

The corresponding one-dimensional spectrum E_{11} can be calculated from Eq. (2)

$$E_{11}(k_1) = C\epsilon^{2/3} \int_{k_1}^{\infty} k^{-8/3} e^{-\beta k \eta} f_{\eta}(\beta k \eta) \left(1 - \frac{k_1^2}{k^2}\right) dk. \quad (26)$$

As a result of introducing Eq. (26) into Eq. (22) and some additional rearrangements we obtain

10 (22) and ~~some additional rearrangements we obtain~~ once again change the variables to $\xi_1 = \beta k_1 \eta$. As a result we obtain

$$u'^2 N_L^2 \approx u'_{cut}{}^2 N_{cut}^2 1 + \frac{\int_{k_{cut}\beta\eta}^{\infty} k_1^2 \int_{k_1}^{\infty} k^{-8/3} e^{-k} \left(1 - \frac{k_1^2}{k^2}\right) dk dk_1}{\int_0^{k_{cut}\beta\eta} k_1^2 \int_{k_1}^{\infty} k^{-8/3} e^{-k} \left(1 - \frac{k_1^2}{k^2}\right) dk dk_1} \underbrace{e^{-k} 1 - \frac{k_1^2}{k^2} \left[1 + \frac{\int_{k_{cut}\beta\eta}^{\infty} \xi_1^2 \int_{\xi_1}^{\infty} \xi^{-8/3} f_{\eta}(\xi) \left(1 - \frac{\xi_1^2}{\xi^2}\right) d\xi d\xi_1}{\int_0^{k_{cut}\beta\eta} \xi_1^2 \int_{\xi_1}^{\infty} \xi^{-8/3} f_{\eta}(\xi) \left(1 - \frac{\xi_1^2}{\xi^2}\right) d\xi d\xi_1} \right]}_{\mathcal{C}_{\mathcal{F}}} = u'_{cut}{}^2 N_{cut}^2 \mathcal{C}_{\mathcal{F}} \quad (27)$$

here $\mathcal{C}_{\mathcal{F}}$ is the correcting factor $\mathcal{C}_{\mathcal{F}} = 1 + \frac{\int_{k_{cut}\beta\eta}^{\infty} k_1^2 \int_{k_1}^{\infty} k^{-8/3} e^{-k} \left(1 - \frac{k_1^2}{k^2}\right) dk dk_1}{\int_0^{k_{cut}\beta\eta} k_1^2 \int_{k_1}^{\infty} k^{-8/3} e^{-k} \left(1 - \frac{k_1^2}{k^2}\right) dk dk_1}$.

The value of ϵ can be calculated numerically using an iterative procedure.

As a starting point for this procedure, a first guess for the Kolmogorov length $\eta = (\nu^3/\epsilon)^{1/4}$ should be given. With this, we calculate the correcting factor $\mathcal{C}_{\mathcal{F}}$ from Eq. (27) and next taking either the form Eq. (23) or (24) for f_{η} . Next, from Eq. (16) the value of dissipation can be estimated as

$$\epsilon = 15\pi^2 \nu u'^2 N_{cut}^2 \mathcal{C}_{\mathcal{F}}. \quad (28)$$

We start the next iteration by calculating again the Kolmogorov length $\eta = (\nu^3/\epsilon)^{1/4}$, the corrected value of $\mathcal{C}_{\mathcal{F}}$ from Eq. (27) and the new value of ϵ from Eq. (28). After several iterations the procedure converges to the final values of the dissipation rate and Kolmogorov's length η with an error defined by a prescribed norm $\Delta\eta = |\eta^{n+1} - \eta^n| \leq d_{\eta}$. The successive steps are summarized in a form of ~~algorithm 3.2.~~ Algorithm 1.

Algorithm 1 Procedure of iterative ϵ determination based on missing spectrum part recovery

```

 $\epsilon \leftarrow 15\pi^2 \nu u'^2 N_{cut}^2$ 
 $\eta \leftarrow (\nu^3/\epsilon)^{1/4}$ 
 $\Delta\eta \leftarrow 100d_{\eta}$ 
while  $\Delta\eta > d_{\eta}$  do
    Use Eq. (27) to calculate  $\mathcal{C}_{\mathcal{F}}$ 
     $\epsilon \leftarrow 15\pi^2 \nu u'^2 N_{cut}^2 \mathcal{C}_{\mathcal{F}}$ 
     $\Delta\eta \leftarrow |\eta - (\nu^3/\epsilon)^{1/4}|$ 
     $\eta \leftarrow (\nu^3/\epsilon)^{1/4}$ 
end while

```

It should be noted that in this approach we do not have the empirical ~~inertial-range~~ inertial range constant C' , and we calculate the dissipation rate directly from the formula with viscosity, Eq. (28), as in the original zero-crossing method see Eq. (16) and Poggi and Katul (2010).

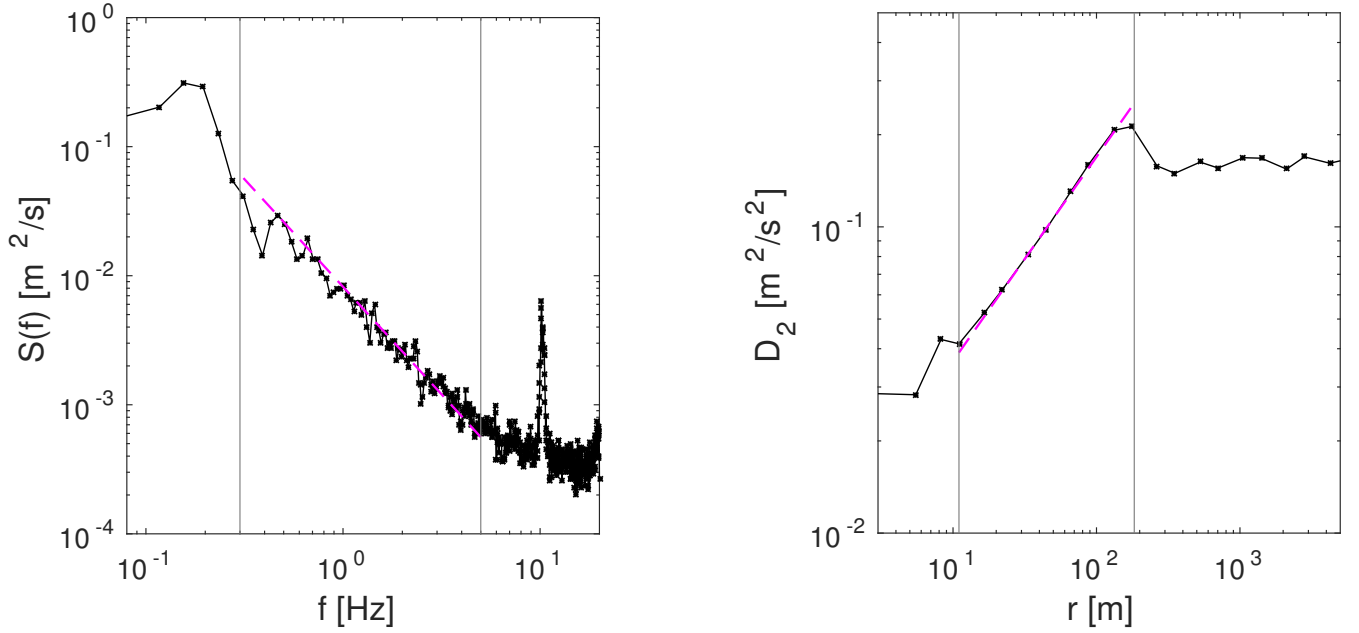


Figure 2. a) Frequency spectrum of the measured signal (POST), b) second order structure function. Polynomial fit is presented as a coloured dashed line.

4 In depth analysis of the proposed methods' behaviour

4.1 Method based on the number of zero-crossings of successively filtered signal

In order to present the more detailed properties of the procedure we used velocity signal from one of the horizontal flight segments that took place within the turbulent atmospheric boundary layer. This segment was a part of flight 13 of the POST airborne research campaign (Gerber et al., 2013; Malinowski et al., 2013). The data were provided in the East, North, Up (ENU) coordinate system. For further study we have chosen the second (NS) velocity component. The signals sampling frequency was $f_s = 40\text{Hz}$ and the duration was $t = 438.75\text{s}$. The mean-flight-magnitude of the mean vector difference between the aircraft velocity and the wind velocity U during that time was about 55ms^{-1} and the standard deviation $u' = 0.28\text{ms}^{-1}$.

We have estimated the dissipation rate based on the number of zero-crossings, according to the methods outlined in section 3.1. The dissipation rate calculated from the frequency spectrum and the structure function for the whole flight fragment Eqs. (4) and (5) was equal, respectively, $\epsilon_{PSD} = 2.48 \times 10^{-4}\text{m}^2\text{s}^{-3}$ and $\epsilon_{SF} = 2.52 \times 10^{-4}\text{m}^2\text{s}^{-3}$. These values were obtained from the linear least-squared fit procedure in the range $f = 0.3 - 5\text{Hz}$ for the frequency spectrum and $r = 11 - 183\text{m}$ for the structure function, see Fig. 2.

Before applying the threshold crossing procedures the signal had to be filtered in order to eliminate errors due to large scale tendencies as well as small scale measurements noise. For this purpose we used the sixth order low-pass Butterworth filter

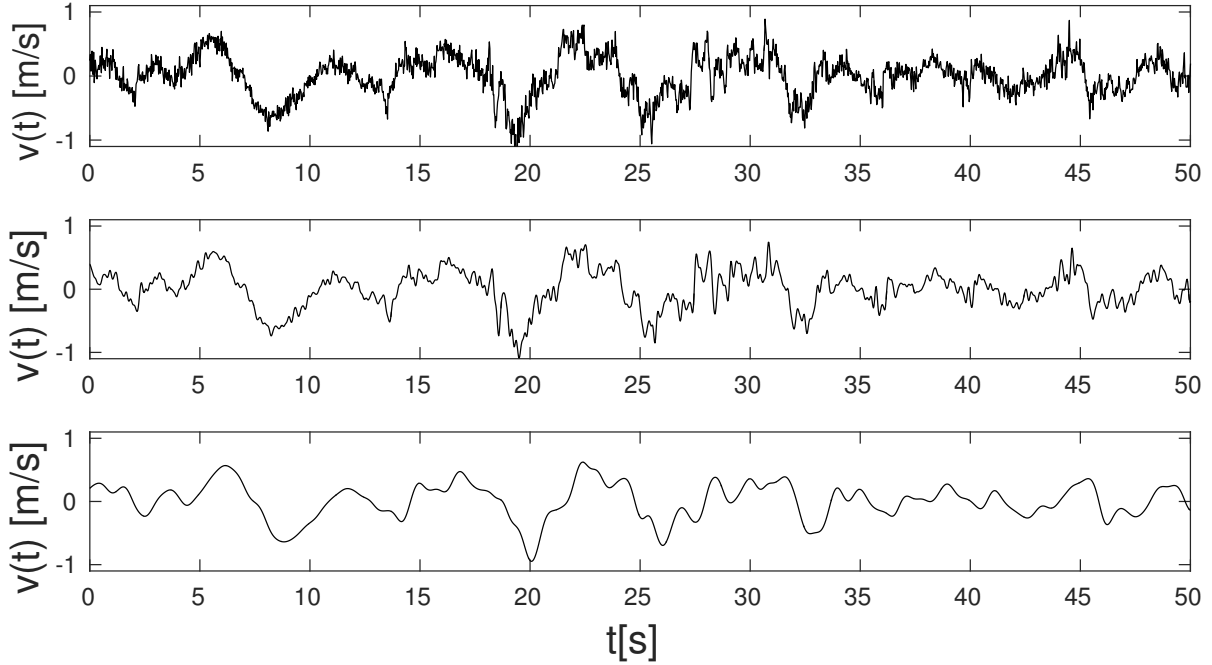


Figure 3. Velocity-Measured velocity fluctuations: top graph - unfiltered signal, middle graph - signal filtered with $f_{cut} = 5$ Hz, bottom graph - signal filtered with $f_{cut} = 1$ Hz.

([Butterworth, 1930](#)) implemented in Matlab ([Butterworth, 1930](#))[®]. Figure 3 presents the velocity signal over $t = 50$ s before filtering (top graph) and the same signal after filtering with $f_{cut} = 5$ Hz and $f_{cut} = 1$ Hz.

The probability density functions (PDF) of the normalised original signal and the filtered signals (Figure 4a) can all be approximated by the normalised Gaussian distribution, hence, the application of the zero-crossing method is justified, also for the filtered signals. It is worth noting that the spectra ($f^2 S(f)$, Fig. 4a) display a peak at $f = 10$ Hz. This phenomenon has been indicated in the previous analyses of POST (Jen-La Plante et al., 2016) and appears due to measurement errors. We will address this issue further in this paper.

In order to use the method based on successive signal filtering we filtered the signal with different values of f_{cut} in the range $f_{cut} = 0.1 - 19$ Hz. For each $f_{cut} = f_i$ we calculated the number of zero-crossings N_i based on the filtered signal. The zero-crossing event was detected when the product of two consecutive values of velocity fluctuation $v(t)v(t + \Delta t) < 0$, here $\Delta t = 1/f_s = 0.025$ s. ~~First observation is that N_i decreases with decreasing f_i , see Fig. 5a.~~ In order to estimate the value of dissipation rate we used Eq. (21) that was for the convenience of use rewritten as

$$(u_i'^2 N_i^2 - u_1'^2 N_1^2) = 3 \underline{a} \underline{C}_1 \left(\frac{U}{2\pi} \right)^{2/3} \epsilon^{2/3} (f_i^{4/3} - f_1^{4/3}). \quad (29)$$

Results for $f_1 = 0.3$ Hz and f_i in the range (0.3 Hz, 5 Hz) are presented in Fig. 5b. Using Eq. (29) we have used linear fitting of the differences $u_i'^2 N_i^2 - u_1'^2 N_1^2$ against $f_i^{4/3} - f_1^{4/3}$. The resulting value for the analysed flight section was $\epsilon_{NCF} = 2.54 \times$

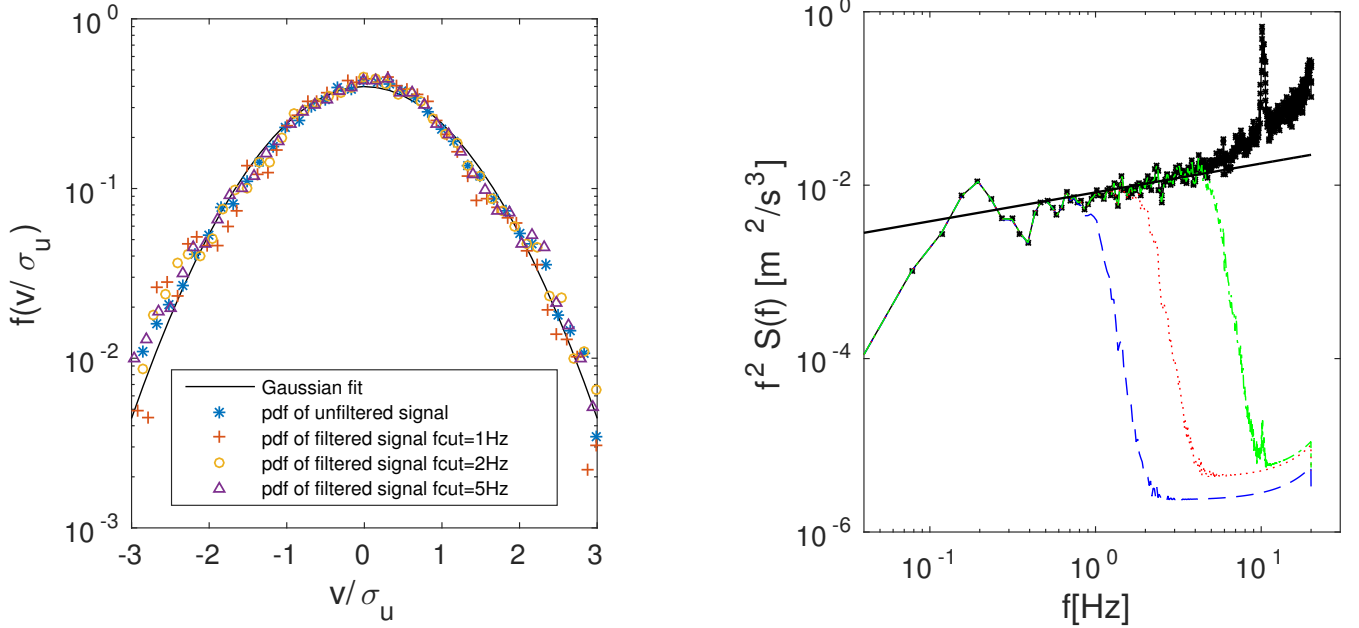


Figure 4. a) PDF's of the normalised unfiltered and filtered [measured](#) signals compared with the normalised Gaussian curve. b) Spectra $f^2 S(f)$ of the unfiltered signal (black line with symbols), signal filtered with $f_{cut} = 5$ Hz (green, solid line), signal filtered with $f_{cut} = 2$ Hz (red dotted line), signal filtered with $f_{cut} = 1$ Hz (blue, dashed line).

$10^{-4} m^2 s^{-3}$. This value is comparable with the estimations performed using classic methods based on the power spectra and structure functions which resulted respectively in $\epsilon_{PSD} = 2.48 \times 10^{-4} m^2 s^{-3}$ and $\epsilon_{SF} = 2.52 \times 10^{-4} m^2 s^{-3}$.

4.2 ~~Method based on missing spectrum recovery~~Simulation analysis and error estimates

- 10 ~~The same signal was also analysed using the second method proposed in Section 3.2, Eqs.~~ Even if the local isotropy assumption of Kolmogorov (1941) is satisfied with a good accuracy, the TKE dissipation rate estimates are subject to errors that can result from a finite sampling frequency of a signal, a finite time window, sensor bias and noise. The last of those three causes was investigated in Sreenivasan et al. (1983), where it was shown that both the variance of the noise $\langle n^2 \rangle$ as well as variance of its derivative $\langle \dot{n}^2 \rangle$ influence the measured number of crossings. A possible remedy was proposed by Poggi and Katul (2010) who
- 15 suggested to use the threshold- instead of the zero-crossings in case of signals with low signal-to-noise ratios. As for the signal considered in the previous section we assume that the noise influences largely at the higher frequencies (above $5 Hz$), see Fig. (??, 28). ~~In order to simplify numerical implementation of the-2,~~ which are removed by the low-pass filter used in the proposed number of crossings method. This is confirmed by the fact that the use of the threshold- instead of zero-crossings did not lead to any systematic change of our estimates.
- 5 In order to quantify the error resulting from the finite sampling frequency and finite time window and test the performance of the proposed method we performed the simulation analysis (Frehlich et al., 2001; Sharman et al., 2014). We generated a

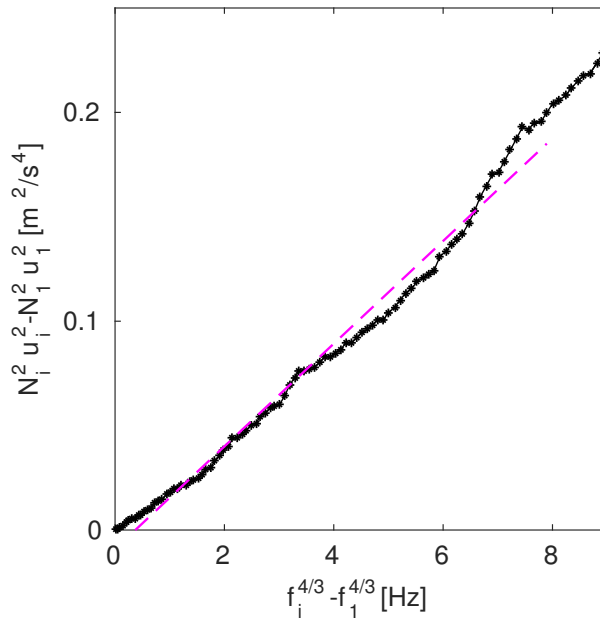


Figure 5. Scaling of $N_i^2 u_i'^2$ with filter cut-off f_{cut} calculated for the measured signal (POST). The linear fit from formula (29) is given by the magenta-magenta dashed line.

number of artificial velocity signals with frequency spectra and two point correlation functions prescribed by the von Kármán (1948) model
The equations resulting from applying this model to the one-sided spectra considered in this paper are written below.

$$R_{11}(r_1 \mathbf{e}_1) \approx 0.592548 u'^2 \left(\frac{r}{L_0} \right)^{1/3} K_{1/3} \left(\frac{r}{L_0} \right), \quad S(f) \approx 0.475448 \frac{2\pi}{U} \frac{u'^2 L_0}{\left[1 + L_0^2 \left(\frac{2\pi f}{U} \right)^2 \right]^{5/6}}, \quad (30)$$

- 10 here $K_{1/3}$ is the modified Bessel function of order 1/3. Coefficients of the Fourier series expansion of velocity signal were calculated as

$$w_j = \sqrt{W_j}(a + ib) \quad (31)$$

here $i = \sqrt{-1}$, a and b are random numbers from the standard Gaussian distribution with zero mean and unitary variance and $W_j = S(f_j) \Delta f$, $j = 1, \dots, N$. Alternatively, the coefficients W_j can be calculated as the discrete Fourier transform of R_{11} , as described in Frehlich et al. (2001). The artificial velocity signal is finally constructed as the discrete inverse Fourier transform of w_j , see Frehlich et al. (2001).

In order to test the proposed methods for TKE dissipation rate retrieval we used artificial signals with $U = 55 \text{ ms}^{-1}$ and the standard deviation $u' = 0.28 \text{ ms}^{-1}$. Those characteristics correspond to the ones of the signal considered in the previous Section

- 5 4.1. We set $L_0 = 83.9$ in Eq. (30) to obtain also a comparable dissipation rate estimate $\epsilon = 2.5 \cdot 10^{-4} \text{ m}^2 \text{ s}^{-3}$. Our first aim was to test how a finite sampling rate influences the number of crossings. For this purpose in each run we created an artificial signal

of length $N = 2^{17}$ points and with the sampling frequency 200Hz (five times larger as the sampling of the signal considered in Section 4.1), which resulted in signal duration $t \approx 650s$. We treated this velocity series as a “reference”. Next, we took every fifth sample of this signal to create a 40Hz velocity time series. We then calculated the number of crossings, as described in Section 4.1 and the power spectral density. We repeated the procedure 500 times and calculated average of the obtained profiles, see Fig. 6. Due to the finite sampling frequency we observe the effect of aliasing - spectral densities for f higher than the Nyquist frequency are added to the spectral densities at $f < 20Hz$. Distortions are visible for higher frequencies both in the power spectrum, Fig. 6a, as well as $N_i^2 u_i^2$ profiles, Fig. 6b. We estimated the TKE dissipation rate from the averaged profiles, using the method ~~we notice that for the assumed form of the spectrum $E(k)$ given~~ described in Section 4.1, Eq. (29), keeping the lower bound of the fitting range $f_1 = 0.3Hz$ constant and changing the upper bound f_2 from 1 to 19Hz. Results are presented in Fig. 7 and compared with the corresponding ϵ_{PSD} values. We observe an increase of ϵ_{PSD} estimates with increasing f_2 and a moderate increase of ϵ_{NCF} over the input $\epsilon = 2.5 \cdot 10^{-4} m^2 s^{-3}$, which suggests a possible advantage of the number of crossings method. We note here that ϵ_{NCF} calculated from the averaged profiles of 200Hz “reference” signal (black line in Fig. 7) seem to be slightly overpredicted in comparison to the input ϵ , especially for smaller f_2 . The reason is not fully clear to the authors. It may be that this small difference is an artefact of the applied filter, however, it is also possible that the created artificial velocity fields do not reproduce the $N_i^2 u_i^2$ statistics with accuracy as good as it is the case for the power spectrum.

Next, we tested the influence of the finite temporal window on the calculated statistics. We generated 1000 artificial signals, each time changing slightly the u' value in Eq. (30) which led to a change of input ϵ , see Sharman et al. (2014), the value of L_0 remained unchanged. For each signal we estimated ϵ_{PSD} from the standard power spectral density using the Welch’s overlapped segment averaging estimator implemented in Matlab @with a 2^{13} window and ϵ_{NCF} from the number of crossings, Eq. (29). To investigate this type of error separately from the aliasing error, tests were performed on 200Hz signals, and in the fitting range 1 – 19Hz. We first decreased the time window, taking each time only 1/8 of the created artificial signal for the analysis, which, in terms of L_0 from Eq. (30) resulted in the signal length equal approximately $L \approx 50L_0$. Results of ϵ_{PSD} and ϵ_{NCF} estimates as functions of corresponding input ϵ from the theoretical profile Eq. (30) are presented in Fig. 9 (upper plots). It can be seen that the bias error is larger for ϵ_{PSD} , however, the scatter of ϵ_{NCF} is larger. The linear fits and the correlation coefficients are

$$\begin{aligned}\epsilon_{PSD} &\approx 0.7604 \epsilon - 7.08 \cdot 10^{-6}, \quad r = 0.9967, \\ \epsilon_{NCF} &\approx 0.9572 \epsilon - 4.01 \cdot 10^{-5}, \quad r = 0.9476.\end{aligned}\tag{32}$$

We repeated the simulation analysis for signals with 2^{17} points, i.e. with $L \approx 400L_0$ obtaining

$$\begin{aligned}\epsilon_{PSD} &\approx 0.9699 \epsilon + 9.60 \cdot 10^{-7}, \quad r = 0.9997, \\ \epsilon_{NCF} &\approx 0.9897 \epsilon + 3.63 \cdot 10^{-6}, \quad r = 0.9926.\end{aligned}\tag{33}$$

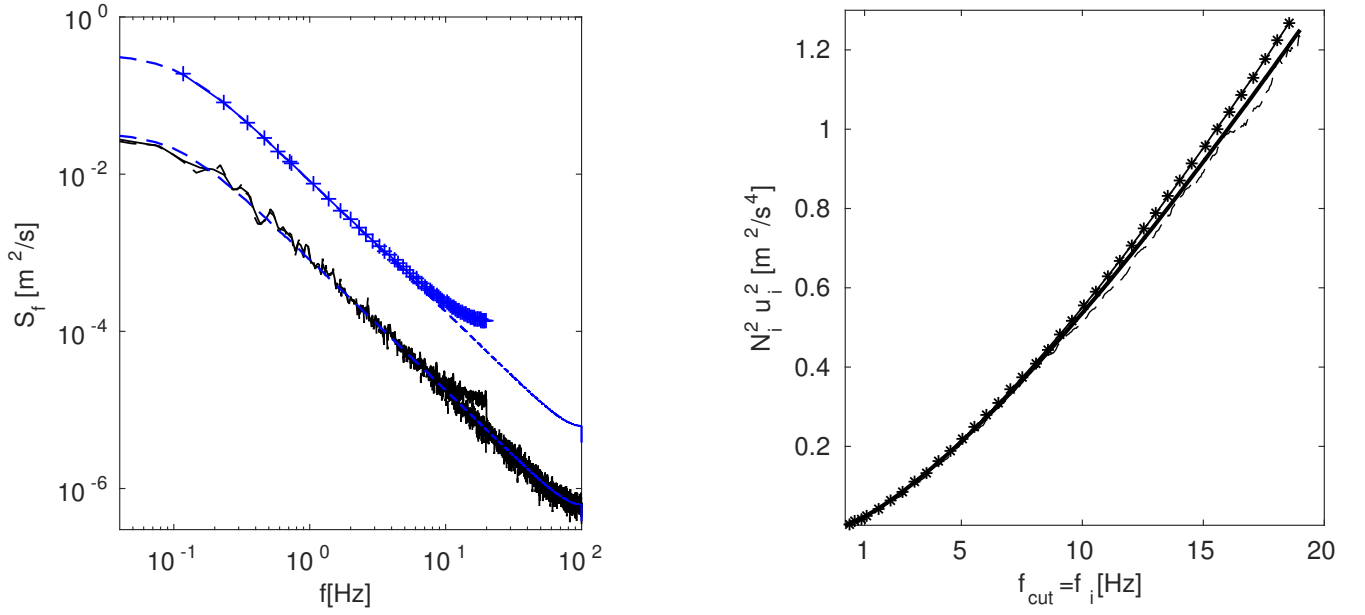


Figure 6. a) Mean $S(f)$ profiles calculated from the simulation analysis: blue dashed lines - synthetic signal sampled with 200Hz, blue line with symbols - synthetic signal sampled with 40Hz, black lines - profiles from a single signal with $u'^2 = 0.0885\text{ms}^{-1}$, b) corresponding averaged $N_i^2 u_i^2$ profiles: solid line - 200Hz signal, line with symbols - 40Hz signal, thin black line - profile from a single signal with $u'^2 = 0.28\text{ms}^{-1}$.

We repeated the procedure for the 40Hz signals and the fitting range $0.3 - 5\text{Hz}$. Results are presented in Fig. 9. The linear fits are

$$\begin{aligned}\epsilon_{PSD} &\approx 0.9104 \epsilon - 2.32 \cdot 10^{-5}, \quad r = 0.9898, \\ \epsilon_{NCF} &\approx 0.9878 \epsilon + 6.80 \cdot 10^{-5}, \quad r = 0.9343.\end{aligned}\tag{34}$$

10 for the shorter signals with 2^{14} points ($L \approx 50L_0$) and

$$\begin{aligned}\epsilon_{PSD} &\approx 1.0377 \epsilon + 4.56 \cdot 10^{-6}, \quad r = 0.9898, \\ \epsilon_{NCF} &\approx 1.0379 \epsilon + 2.25 \cdot 10^{-5}, \quad r = 0.9989.\end{aligned}\tag{35}$$

for signals with 2^{17} points ($L \approx 400L_0$).

Hence, for the signal length comparable to the lengths from the POST campaign we can expect a small underprediction of ϵ_{PSD} estimates due to bias error (Fig. 8, left column, lower plot) and some overprediction due to aliasing, see Fig. 7. Both result in a small overprediction of ϵ_{PSD} (Fig. 9, left column, lower plot). As far as ϵ_{NCF} is concerned, the simulation analysis shows again that it is less sensitive to the bias error (Fig. 9, right column). Results for the 40Hz signal are slightly overpredicted (Fig. 9, right column, lower plot) due to aliasing and the fact that the number of crossing method gives somewhat larger ϵ estimates in this fitting range, see Fig. 7.

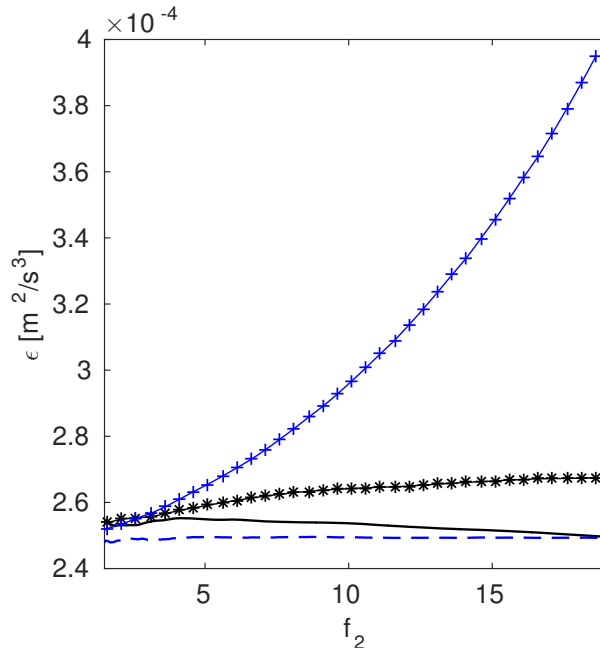


Figure 7. Values of the dissipation rate from simulation analysis as a function of higher value of the fitting range f_2 estimated based on the averaged profiles from Fig. 6 of: $S(f)$ blue dashed line - synthetic 200Hz signal, blue line with + symbols - 40Hz synthetic signal; $N_i^2 u_i^2$, Eq. (29), solid line - 200Hz synthetic signal, line with * symbols - 40Hz synthetic signal. The input $\epsilon = 2.5 \cdot 10^{-4} \text{m}^2 \text{s}^{-3}$.

20 In the final test, we set $u' = 0.28 \text{ms}^{-1}$ and input epsilon $\epsilon = 2.5 \cdot 10^{-4} \text{m}^2 \text{s}^{-3}$ constant and repeated the simulation 500 times for consecutively, $1/8$, $1/4$, $1/2$, 1 and twice the length of the original signal of 2^{17} points, which, in terms of L_0 in Eq. (25), the formula (26) for the 30 corresponds to approximately $50L_0, 100L_0, 200L_0, 400L_0, 800L_0$. The fitting range was $1 - 19 \text{Hz}$. We normalised the obtained results by the input ϵ and calculated their mean $\langle \epsilon_{PSD}^+ \rangle$, $\langle \epsilon_{NCF}^+ \rangle$ and the standard deviations. Results are presented in Fig. 10. We observe a smaller bias and larger standard deviation of ϵ_{NCF}^+ . However, standard deviation changes as $\sim L/L_0^{-0.5}$ for both ϵ_{NCF}^+ and ϵ_{PSD}^+ .

25

4.3 Method based on missing spectrum recovery

The measurement signal used in Section 4.1 was also analysed using the second method proposed in Section 3.2, Eqs. (27,28). We will consider both formulas for the function f_n , Eqs. (23) and (24). The advantage of the simpler, exponential formula (23) is that the one-dimensional spectrum function $E_{11}(k_1)$, Eq. (26) can be written in terms of the incomplete Γ function as follows

$$E_{11}(k_1) = C\epsilon^{2/3}(\beta\eta)^{5/3} \left[\Gamma(-5/3, k_1\beta\eta) - (\beta\eta)^2 k_1^2 \Gamma(-11/3, k_1\beta\eta) \right], \quad (36)$$

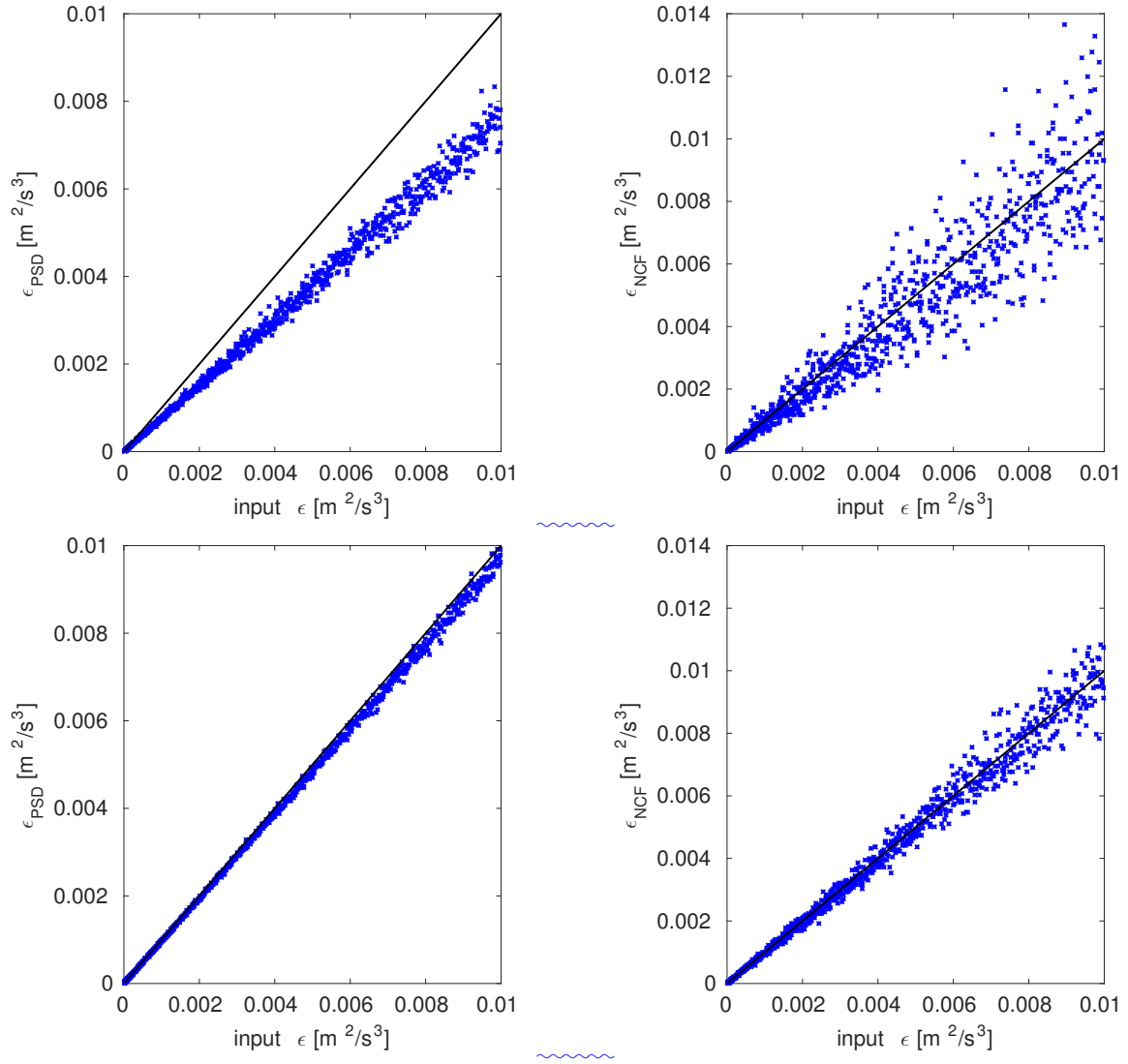


Figure 8. Estimated values of ϵ_{PSD} and ϵ_{NCF} for the 200Hz synthetic signals and fitting range 1 – 20Hz as functions of corresponding input ϵ resulting from the theoretical profile, Eq. (30), for upper plots: signals with $L \approx 50L_0$, lower plots: signals with $L \approx 400L_0$.

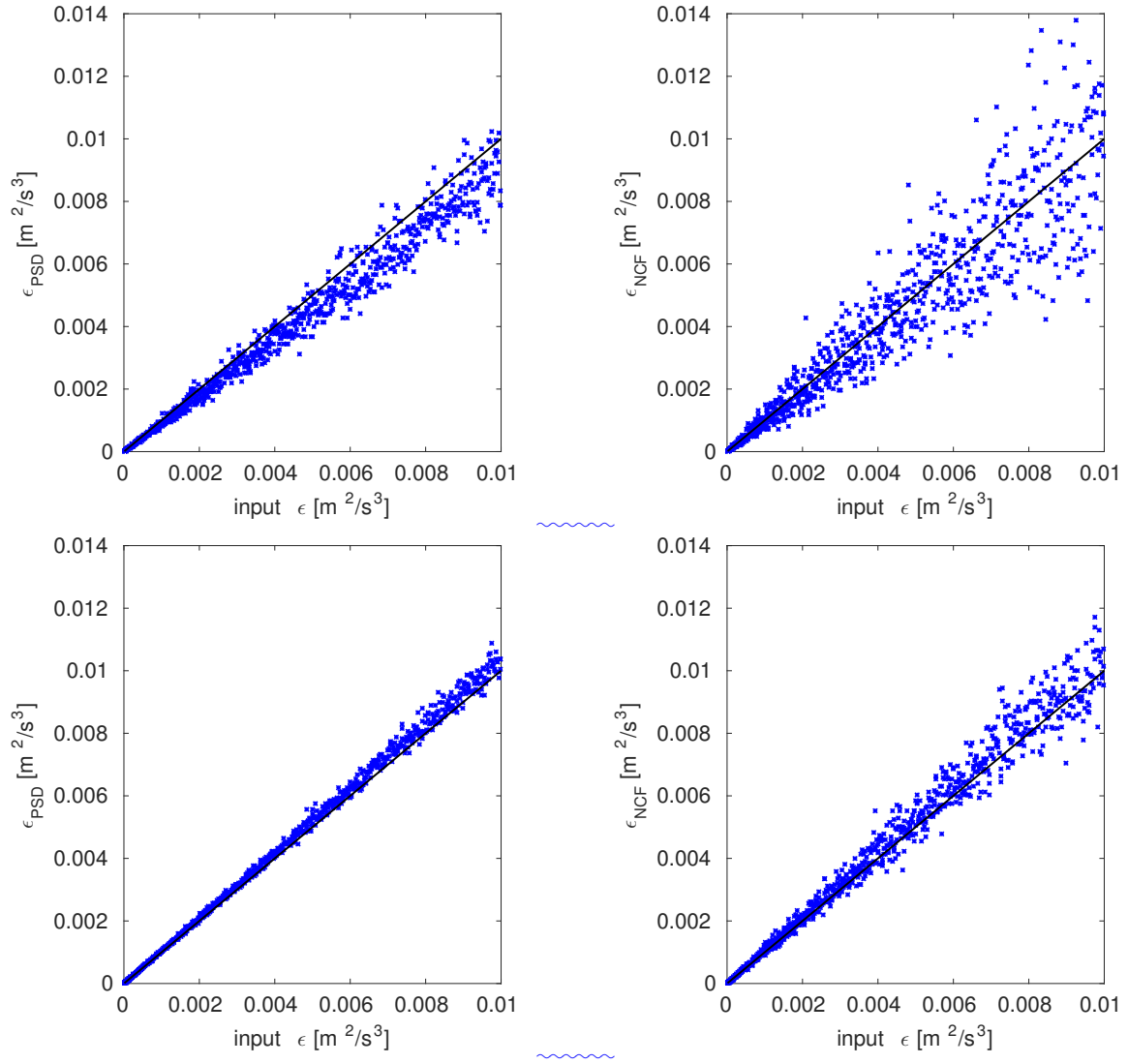


Figure 9. Estimated values of ϵ_{PSD} and ϵ_{NCF} for synthetic 40Hz signals and fitting range 0.3 – 5Hz as functions of corresponding input ϵ resulting from the theoretical profile, Eq. (30) for upper plots; signals with $L \approx 50L_0$, lower plots: signals with $L \approx 400L_0$.

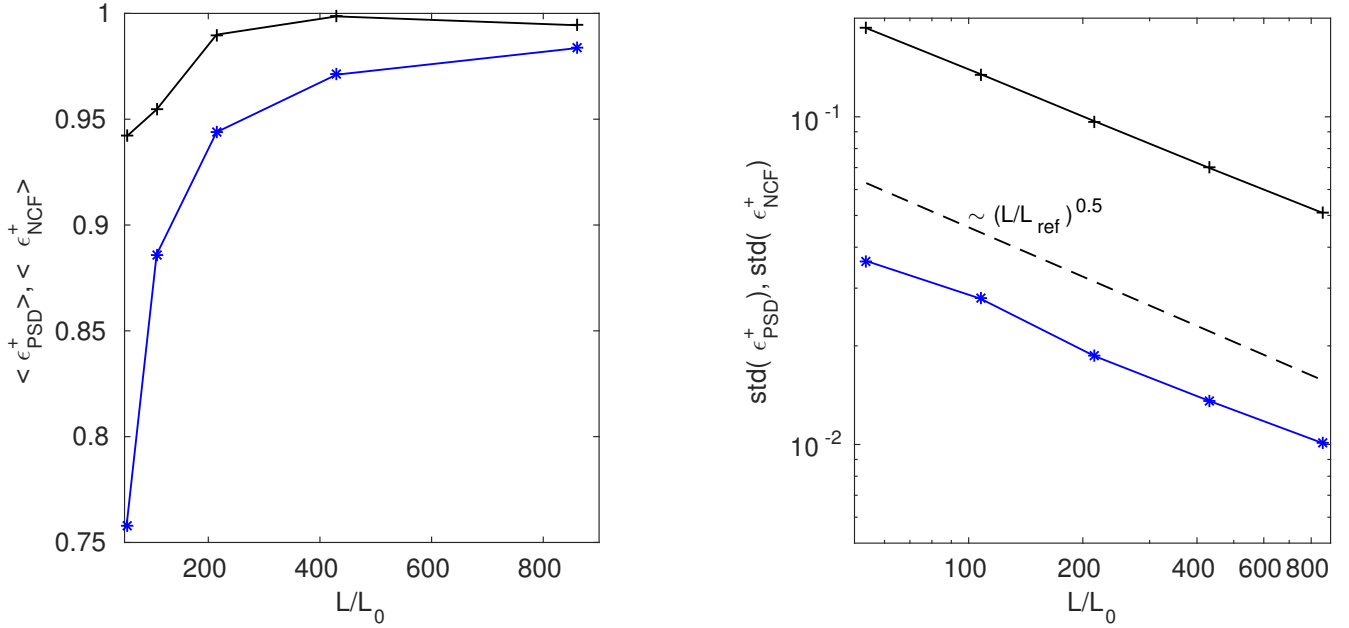


Figure 10. TKE dissipation rate estimates from simulation analysis for synthetic signals sampled with 200Hz with $u' = 0.28 \text{ms}^{-1}$ normalised by the input epsilon $\epsilon = 2.5 \cdot 10^{-4} \text{m}^2 \text{s}^{-3}$. Black lines with + symbols - ϵ_{NCF} , blue lines with * symbols - ϵ_{PSD} a) mean, b) standard deviations

here

$$\Gamma(a, x) = \int_x^\infty e^{-t} t^{a-1} dt. \quad (37)$$

5 The correcting factor (??27) in terms of the Γ functions reads

$$\mathcal{C}_{\mathcal{F}} = 1 + \frac{\int_{k_{cut}\beta\eta}^\infty k_1^2 [\Gamma(-5/3, k_1) - k_1^2 \Gamma(-11/3, k_1)] dk_1}{\int_0^{k_{cut}\beta\eta} k_1^2 [\Gamma(-5/3, k_1) - k_1^2 \Gamma(-11/3, k_1)] dk_1} \frac{\int_{k_{cut}\beta\eta}^\infty \xi_1^2 [\Gamma(-5/3, \xi_1) - \xi_1^2 \Gamma(-11/3, \xi_1)] d\xi_1}{\int_0^{k_{cut}\beta\eta} \xi_1^2 [\Gamma(-5/3, \xi_1) - \xi_1^2 \Gamma(-11/3, \xi_1)] d\xi_1}. \quad (38)$$

This is a function of a single argument ($k_{cut}\beta\eta$). For reference it is plotted in Fig. 11a. If Eq. (24) is used as a model for f_η , both integrals in Eq. (38) must be calculated numerically. On the other hand, as discussed in Pope (2000), (24) provides a better fit of experimental data in the dissipative range.

- 10 With such preparation we applied the iterative procedure, as described in Section 3.2. In POST experiment the effective cut off frequency was estimated at $f_{cut} = 5 \text{Hz}$ which corresponds to $k_{cut} = (2\pi f)/U = 0.57 \text{m}^{-1}$. Using the sixth order Butterworth filter this resulted in $u'^2 N_{cut}^2 = 0.0000719 \cdot 1/\text{s}^2$ for this signal. Accordingly we used the algorithm (3.2) Algorithm 1 with $\nu = 1.5 \cdot 10^{-5} \text{m}^2 \text{s}^{-1}$ and $d_\eta = 10^{-6} \text{m}$. We approximated the integrals in Eq. (38) using the trapezoid rule. The results of successive approximations of $\mathcal{C}_{\mathcal{F}}$ and ϵ converge fast to a fixed value, independently of the initial guess of $\epsilon = \epsilon_0$
- 15 (Figs. 11a and 11b). The increment dk_1 in Eq. (38) was approximated by $\Delta k_1 = 5 \cdot 10^{-6} \text{m}^{-1}$. For such choice we obtained

$\epsilon_{NCR} = 2.61 \cdot 10^{-4} \text{ m}^2 \text{ s}^{-3}$. We used this as a reference value. In order to estimate the numerical accuracy of the proposed algorithm we calculated the error $\Delta\epsilon = |\epsilon - \epsilon_{NCR}|$ for different values of Δk_1 , see Fig. 42a11b. We obtain $\Delta\epsilon \sim \Delta k_1^{1.3}$.

a) ~~Correcting factor (black line), successive values of \mathcal{C}_F obtained during the iteration procedure: with the initial guess of $\epsilon, \epsilon_0 = 2.5 \text{ m}^2 \text{ s}^{-3}$ (stars), $\epsilon_0 = 2.5 \cdot 10^{-8} \text{ m}^2 \text{ s}^{-3}$ (circles).~~ b) ~~Values of ϵ calculated during the iterative procedure for different initial guesses of ϵ_0 .~~

a) ~~a) Error of ϵ as a function of Δk . The reference value is ϵ calculated with $\Delta k = 5 \cdot 10^{-6} \text{ m}^{-1}$.~~ b) ~~One-dimensional energy spectrum: black solid line: measured part, dashed magenda line: recovered part.~~ Next we considered Eq. (24) as a model for f_η and calculated the double integral in equation (27) using the trapezoid rule. We obtained the corresponding value $\epsilon_{NCR} = 2.58 \cdot 10^{-4} \text{ m}^2 \text{ s}^{-3}$, which is very close to the estimate from the simple exponential form Eq. (23) and Eq. (38).

It is worth noting that the proposed method is accounting for a dominant (and not directly measured) part of the spectrum based on the theoretical knowledge about its shape. This knowledge is simply reduced to the form of the correcting factor \mathcal{C}_F , Eq. (27), which contains integral of $k_1^2 E_{11}(k_1)$. Fig. 12 b-illustrates the relation between the measured and the estimated part of the spectrum for the analysed case with both forms of the function f_η , Eqs. (23) and (24). The spectral cut-off of the data considered here (5Hz) is in the inertial range, where $k_1^2 E_{11}(k_1)$ with both forms of f_η functions are almost indistinguishable, see Fig. 12. At the same time integrals of the remaining (recovered) parts of $k_1^2 E_{11}(k_1)$ are almost equal, as independently of the choice of f_η , both dissipative spectra $2\nu k^2 E(k)$ must integrate to ϵ . As a result, for the given spectral cut-off, ϵ_{NCR} estimates with the simple exponential Eq. (23) and Eq. (24) forms of f_η are very close. This might change for larger cut-off frequencies. We expect that in case the cut-off frequency is placed in a region influenced by the form of f_η function, the spectrum with Eq. (24) will provide better estimates of the TKE dissipation rate.

The result of application of this method $\epsilon_{NCR} = 2.58 \cdot 10^{-4} \text{ m}^2 \text{ s}^{-3}$ with f_η described by Eq. (24) $\epsilon_{NCR} = 2.61 \cdot 10^{-4} \text{ m}^2 \text{ s}^{-3}$ with f_η from Eq. (23) is comparable with the dissipation rates obtained using other methods, as discussed in Section 3.1, $\epsilon_{PSD} = 2.48 \cdot 10^{-4} \text{ m}^2 \text{ s}^{-3}$, $\epsilon_{SF} = 2.52 \cdot 10^{-4} \text{ m}^2 \text{ s}^{-3}$ and $\epsilon_{NCF} = 2.54 \cdot 10^{-4} \text{ m}^2 \text{ s}^{-3}$. The relative differences between those estimations are less than 5%.

We finally checked estimates of the second method using synthetic signals as described in Section 4.2. For the cut-off 5Hz 500 artificial signals or length $L \approx 400L_0$ and with input $\epsilon = 2.5 \cdot 10^{-4} \text{ m}^2 \text{ s}^{-3}$, resulting in the mean $\langle \epsilon_{NCR} \rangle = 2.55 \cdot 10^{-4} \text{ m}^2 \text{ s}^{-3}$ and a standard deviation equal 9% of the input ϵ value.

5 Broader overview of the methods' performance

Following the findings presented in the previous section both proposed methods were tested on much larger collection of data. For this purpose we used velocity signals also obtained during the POST research campaign. We have chosen horizontal segments at various levels within the boundary layer from flights TO10 and TO13. These flights were investigated in detail by Malinowski et al. (2013), due to the fact that they represent two thermodynamically and microphysically different types of stratocumulus topped boundary layer.

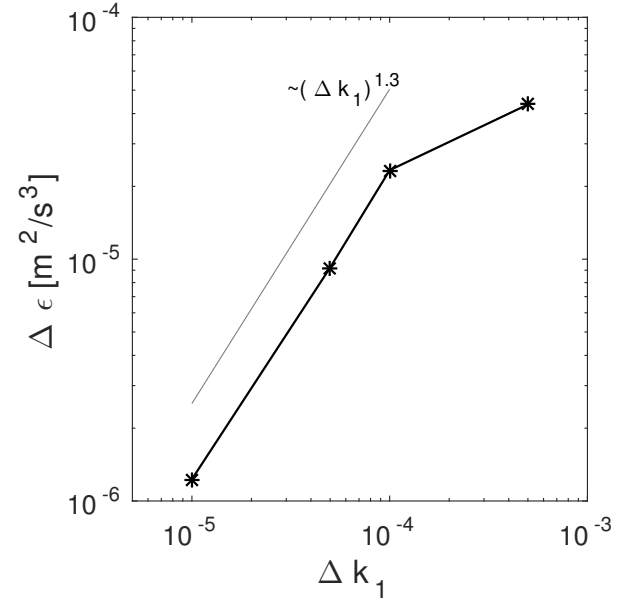
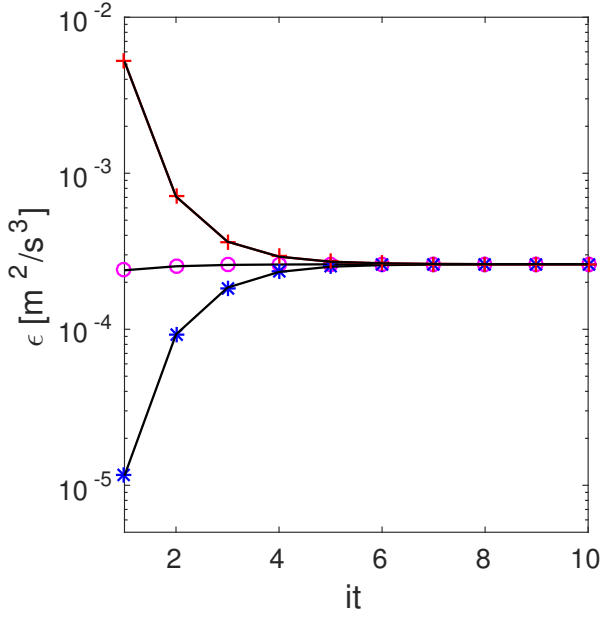


Figure 11. a) Values of ϵ calculated during the iterative procedure for different initial guesses of ϵ_0 . b) Error of ϵ as a function of Δk . The reference value is ϵ calculated with $\Delta k = 5 \cdot 10^{-6} \text{ m}^{-1}$.

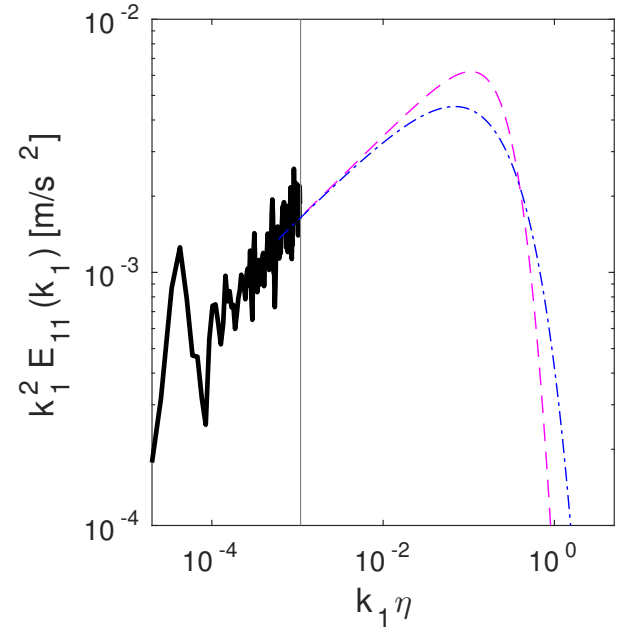
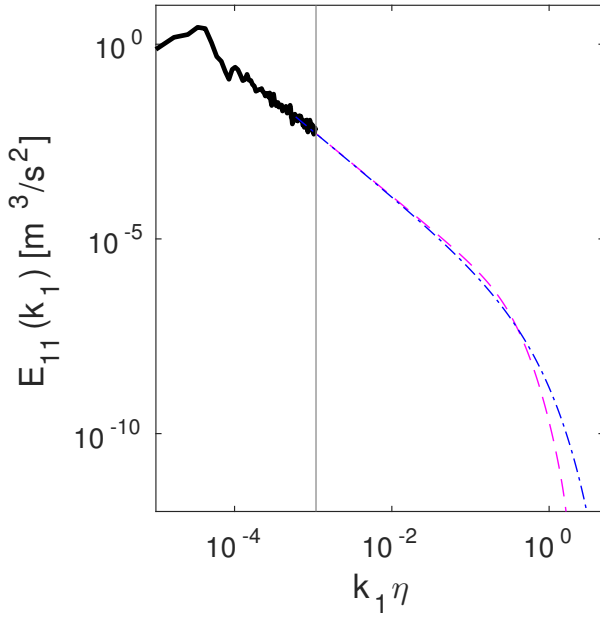


Figure 12. One-dimensional spectra: black solid line - measured part, dashed magenta line - recovered part with f_η described by Eq. (23), dot-dashed blue line - recovered part with f_η described by Eq. (24). a) energy spectrum $E_{11}(k_1)$, b) $k_1^2 E_{11}(k_1)$.

The dissipation rates of turbulent kinetic energy estimated from the standard structure function method ϵ_{SF} and dissipation rates estimated from the modified zero-crossing methods ϵ_{NCF} and ϵ_{NCR} introduced in Sections 3.1 and 3.2, respectively, are compared with the results obtained from the ~~power-spectra-spectral~~ method ϵ_{PSD} in Fig. 13. The use of simple exponential form of f_η , Eq. (23), or Eq. (24) did not lead to any visible change of results in Fig. 13. For flight 10 we obtained the following linear fits and the correlation coefficients r

$$\begin{aligned}\epsilon_{SF} &= 0.74 \epsilon_{PSD} + 9.1 \cdot 10^{-5}, & r &= 0.997, \\ \epsilon_{NCF} &= 0.88 \epsilon_{PSD} + 1.2 \cdot 10^{-5}, & r &= 0.995, \\ \epsilon_{NCR} &= 0.66 \epsilon_{PSD} + 7.9 \cdot 10^{-5}, & r &= 0.997,\end{aligned}$$

while for flight 13 we have

$$\begin{aligned}\epsilon_{SF} &= 0.76 \epsilon_{PSD} + 1.4 \cdot 10^{-4}, & r &= 0.956, \\ \epsilon_{NCF} &= 0.75 \epsilon_{PSD} + 1.2 \cdot 10^{-4}, & r &= 0.881, \\ \epsilon_{NCR} &= 0.62 \epsilon_{PSD} + 1.4 \cdot 10^{-4}, & r &= 0.989.\end{aligned}$$

The methods based on the signal zero-crossings give comparable results to those resulting from standard methods. ~~It seems that ϵ_{NCR} is slightly underestimated as compared to the results of the other methods, however it should be noted that while all other methods are based on the inertial-range arguments, in order to obtain ϵ_{NCR} one needs to use viscosity and full-spectrum assumptions (resulting from the use of Eq. (28)). Hence, due to different physical arguments we can expect the results to be somewhat different than in case of the previous methods.~~ in spite of the fact that the second method is based on different physical arguments (assumes form of the whole spectrum, including the dissipative range of frequencies). We believe that there is a fair consistency in those results because one should take into account that the standard frequency spectra and structure function methods calculate approximate values of ϵ . Moreover, we have indicated in Section 2 that the constants ~~α~~ C_1 and C_2 in Eqs. (4) and (5) are estimated with an accuracy of $\pm 15\%$.

6 Conclusions

In the present work we proposed two novel modifications of the zero-crossing method, such that it can be applied to moderate-resolution measurements. Turbulent kinetic energy dissipation rates obtained using the proposed methods were compared to the estimates resulting from the use of the standard power-spectrum and structure function approaches. It is a remarkable testimony to the statistical turbulence theory consistency that those results are in quite good agreement despite using such fundamentally different approaches.

We note that the standard structure function and power spectra methods are often used simultaneously, for better ϵ estimates (Chamecki and Dias, 2004), in spite of the same underlying physical arguments (second similarity hypothesis of Kolmogorov (1941)). Here, the proposed approach offers yet another option. Additionally, the second method with the spectrum recovery is based

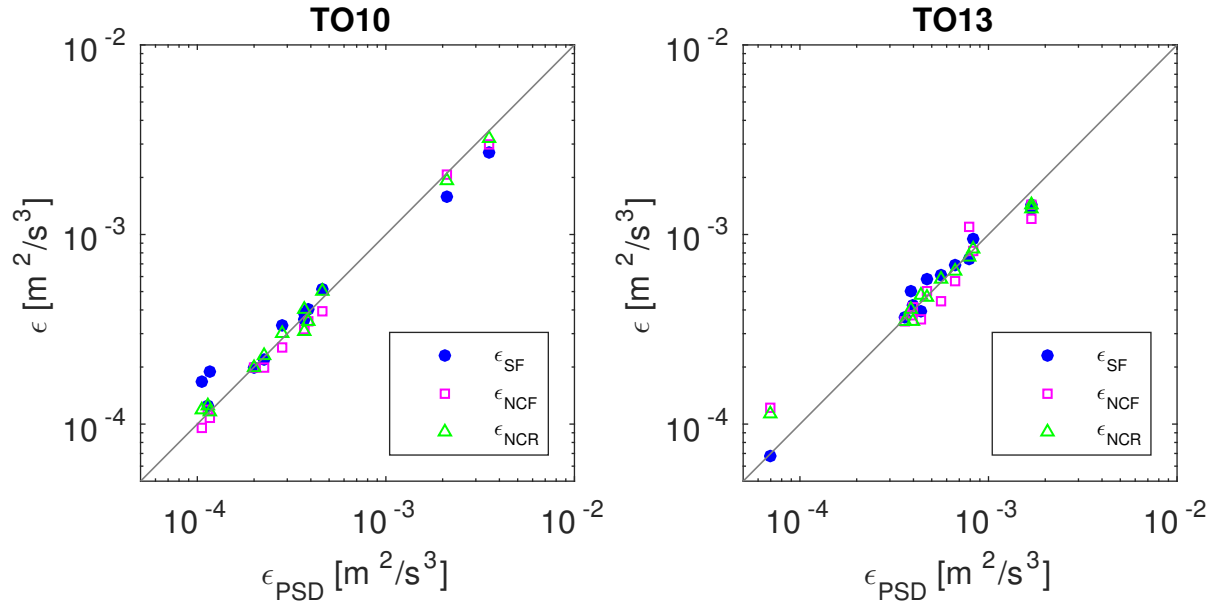


Figure 13. Dissipation rate of the kinetic energy estimated from the structure function method ϵ_{SF} , zero-crossings of successively filtered signals ϵ_{NCF} and zero-crossings of signals with recovered part of the spectrum ϵ_{NCR} as a function of ϵ_{PSD} (from power spectra method). Each point represents an estimate from a single horizontal segment of flight in the atmospheric boundary layer, a) flight 10, b) flight 13.

on different physical arguments, as it additionally makes use of the Kolmogorov's first similarity hypothesis and a model for the dissipation range of the spectrum. Still, it can be used for signals with spectral cut-offs, hence it offers an alternative to the spectral retrieval methods.

From the perspective of practical applications we can think of several possible advantages of the zero-crossing methods. First, the number of signal zero-crossings can be calculated without difficulty and the proposed procedures are easy to implement. ~~Second, it is not necessary to choose any averaging windows, as it is the case for~~ Other advantages follow from the results of the simulation analysis performed in Section 4.2. For the created artificial velocity signals, the ~~power-spectrum-and-structure function methods. Hence, the obtained results will not depend on the width of this window~~ ϵ estimates based on the number of crossings were less sensitive to the aliasing error than results of the standard spectral retrieval method. Moreover, the bias due to the finite temporal windows was smaller for the number of crossing method, however, at the cost of larger uncertainty (larger standard deviations) of the measured dissipation rate values. These differences in errors of the number of crossing and the power spectral method can make the former an additional tool to improve estimates from the atmospheric measurements, especially for relatively short averaging windows and for small cut-offs.

Moreover, we argue that the number of crossings method applied to the fully-resolved signals has become a fairly standard tool for ϵ estimates, used also in the atmospheric measurements, see e.g. Poggi and Katul (2010). Therein, the discussed advantages of the method are that no measurements of the signal gradients (to calculate the Taylor microscale) are required, no

assumptions about scaling laws in structure functions (and power spectra) are needed and no simplifications in the TKE budget are adopted (for which ϵ is computed as a residual). The method proposed in the current manuscript, in particular, the second approach based on the recovered part of the spectrum, generalises number of crossing method and makes it applicable also for signals with spectral cut-off. Of course, on an additional cost, as certain form of the energy spectrum must be assumed in order to calculate the correcting factor C_F . Still, the proposed method can be interesting in particular for data with cut-offs reaching the dissipation range, but still with part of this range missing (or contaminated with noise). In such case, using only the inertial range estimates may lead to a significant loss of information, as the data from the dissipation range are not taken into account. Finally, we can deal with a situation when the recorded amplitude of certain frequencies is deteriorated due to measurement errors (e.g. as it is seen in Fig.4b, we have a spurious peak at $f = 10Hz$), still, the counted number of signal zero-crossings could remain unaffected (see e.g. Fig.5a, where no distortion at $f = 10Hz$ is observed). In such cases the zero-crossing method could be advantageous over the power-spectrum and structure-function methods.

There are several perspectives for further work. First, the proposed methods could be tested for a wider range of signals (e.g. from Eulerian measurements within the boundary layer adopting Taylor hypothesis), characterized by different resolutions and obtained under varying atmospheric conditions, to assess the scope of their applicability. Second, as far as the model spectrum is concerned, ~~instead of (25) different forms for the function f_η in Eq.(3) could be tested (see e.g. Chap.6.5.3 in comparison with fully-resolved experimental signals or Direct Numerical Simulations data would be valuable to test different forms of the model spectra from Pope (2000) or Bershadskii (2016)). In the present study we have chosen the simplest form of f_η , Eq.(25), in order to present the one-dimensional energy spectrum E_{11} in terms of Γ functions, see Eq.(36). However, other forms of spectrum could have potentially significant impact on the results which should be analysed.~~

7 Code availability

The MATLAB code written for the purpose of this study is available from the authors upon request.

8 Data availability

POST data are available in the open database: <https://www.eol.ucar.edu/projects/post/>

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