A Cloud-Ozone Data Product from Aura OMI and MLS Satellite Measurements

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Abstract. Ozone within deep convective clouds is controlled by several factors involving photochemical reactions and transport. Gas-phase photochemical reactions, and heterogeneous surface chemical reactions involving ice, water particles, and aerosols inside the clouds all contribute to the distribution and net production and loss of ozone. Ozone in clouds is also dependent on convective transport that carries low troposphere/boundary layer ozone and ozone precursors upward into the clouds. Characterizing ozone in thick clouds is an important step for quantifying relationships of ozone with tropospheric H_2O, OH production, and cloud microphysics/transport properties. Although measuring ozone in deep convective clouds from either aircraft or balloon ozonesondes is largely impossible due to extreme meteorological conditions associated with these clouds, it is possible to estimate ozone in thick clouds using backscattered solar UV radiation measured by satellite instruments. Our study combines Aura Ozone Monitoring Instrument (OMI) and Microwave Limb Sounder (MLS) satellite measurements to generate a new research product of monthly-mean ozone concentrations in deep convective clouds between 30°S to 30°N for October 2004 – April 2016. These measurements represent mean ozone concentration primarily in the upper levels of thick clouds and reveal key

features of cloud ozone including: persistent low ozone concentrations in the tropical Pacific of ~10 ppbv or less; concentrations of up to 60 pphv or greater over landmass regions of South America, southern Africa, Australia, and India/east Asia; connections with tropical ENSO events; and intra-seasonal/Madden-Julian Oscillation variability. Analysis of OMI aerosol measurements suggests a cause and effect relation between boundary layer pollution and elevated ozone inside thick clouds over land-mass regions including southern Africa and India/east Asia.

1. Introduction.

Measuring tropospheric ozone in deep convective clouds including convective outflow regions in the mid-upper troposphere is important for several reasons. Ozone in the upper troposphere is a major greenhouse gas that contributes to climate forcing. The IPCC 2013 Report (e.g., in Hartmann et al., 2014; http://www.ipcc.ch/report/ar5/wg1/) includes an evaluation of tropospheric versus stratospheric ozone using a collage of radiative transfer model calculations. The report shows that the radiative forcing of tropospheric ozone is 10 times greater than that of stratospheric ozone, even though only 10% of the atmospheric ozone resides in the troposphere. The IPCC 2013 report (and references therein) also notes that ozone is a major surface pollutant, and is important as the main source of OH, the primary cleanser of pollutants in the troposphere. Measurements of ozone associated with deep convection are needed to characterize the extent of ozone inter-relationships with tropospheric H_2O and OH production, and in understanding cloud microphysics/transport properties and resulting influence on global and regional tropospheric ozone distributions.

Microphysics and photochemistry can be very complex for deep convective clouds. Huntrieser et al. (2016, and references therein) combined aircraft and cloud measurements with a model to study ozone distributions and sources associated with deep convective clouds over the central U.S. Huntrieser et al. (2016) identified upward transport of lower tropospheric ozone and ozone precursors into the upper troposphere within thick clouds. They also showed that cloud tops over-shoot the tropopause and inject high amounts of biomass burning pollutants (largely CO and NO_x) and lightning-produced NO_x into the low stratosphere, while at the same time ozone-

rich air from the low stratosphere is transported downward into the cloud anvil and surrounding outflow regions as a dynamical response to overshooting. Some of the Geostationary Operational Environmental Satellite (GOES) cloud tops were found to reach up to 17-18 km altitude for these deep convective systems. Pronounced ozone-rich stratospheric air was observed within cloud outflow regions.

The ozonesonde measurement record includes occurrences of very low to even "near-zero" ozone concentrations in the tropical upper troposphere associated with the passing of deep convective cloud systems (e.g., Kley et al., 1996; Folkins et al., 2002; Solomon et al., 2005). The very low ozone values are largely attributed to convective lifting of low concentrations of ozone from the marine boundary layer into the upper troposphere. In pollution-free oceanic regions it is not uncommon for ozone in the marine boundary layer to be only a few ppbv due to ozone net loss reactions involving hydrogen radicals OH and HO_2 (e.g., Solomon et al., 2005, and references therein). Some studies suggest the possibility of in-cloud photochemical ozone destruction mechanisms (e.g., Zhu et al., 2001; Barth et al., 2002; Liu et al., 2006). Vömel and Diaz (2010) showed that improperly calibrated Electrochemical Concentration Cell (ECC) ozonesondes led to a small measurement error (under-determination) and the near-zero upper troposphere ozone concentrations reported in these studies. Vömel and Diaz (2010) found that the near-zero ozone concentrations in the upper troposphere were instead about 10 ppbv and attributed the calibration error to unaccounted variations associated with background cell currents at launch. Vömel and Diaz (2010) indicate that the studies measuring "near-zero" ozone were not wrong, but instead slightly underdetermined the low ozone concentrations.

The very low ozone measurements in the tropical upper troposphere in past studies were obtained from a limited number of aircraft flights and ozonesondes at a few isolated sites in the vicinity of, but not inside, deep convective cloud systems. Measuring ozone directly inside deep convective clouds from ozonesondes and aircraft instruments remains an elusive task due to extreme meteorological conditions associated with the clouds. Ziemke et al. (2009) developed a residual "cloud slicing" method for measuring ozone volume mixing ratios within thick clouds by combining Aura Ozone Monitoring Instrument (OMI) and Microwave Limb Sounder (MLS) satellite measurements. For deep convective clouds, OMI provided the tropospheric cloud ozone

measurements after subtracting co-located MLS stratospheric column ozone. Their study found large variability in the ozone concentrations in thick clouds. While very low ozone concentrations (< 10 ppbv) in the clouds were identified in the remote Indian and Pacific Ocean regions, concentrations greater than 60 ppbv were obtained over continental landmasses including Africa. Ziemke et al. (2009) hypothesized that the ozone measured in thick clouds is largely a manifestation of ozone concentrations (from low to high amounts) present in the low troposphere/boundary layer that become transported upward by convection.

We build upon the cloud slicing work of Ziemke et al. (2009) to produce a long data record of OMI/MLS cloud ozone measurements as that former study was limited to only a few months during 2005 and 2006. As with Ziemke et al. (2009), we derive ozone mixing ratios inside tropical deep convective clouds by combining Aura OMI measurements of total column ozone and cloud pressure with Aura MLS stratospheric column ozone. The ozone measurements represent mean ozone concentrations in the upper levels of the clouds above 550 hPa. This paper is organized as follows: Section 2 details the satellite measurements while Section 3 is an overview of cloud slicing. Section 4 discusses validation and Sections 5-6 discuss basic characteristics and scientific interpretations of the data. Finally, Section 7 provides a summary.

2. Satellite Measurements.

Our study combines Aura OMI and MLS ozone measurements with OMI aerosols and cloud parameters (i.e., cloud pressures, radiative cloud fractions). OMI is a UV/VIS solar backscatter spectrometer that makes daily measurements of Earth radiances and solar irradiances from 270 to 500 nm with spectral resolution of about 0.5 nm (Levelt et al., 2006). OMI scans perpendicular to the orbit path with 60 side-scan positions and provides near-global coverage of the sunlit Earth with a pixel size of 13 km × 24 km at nadir. The current OMI total ozone that we use is derived using a v8.5 algorithm. Description and access to the OMI v8.5 data can be obtained from the website http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI. In January 2009 a physical external optical blockage known as the "row anomaly" reduced the number of the 60 good side-scanning row measurements to about 30-40. Scan positions 21-55 are the most affected, with

dependence on latitude and specific day. All of the OMI measurements that we use were properly screened to exclude all data affected by the row anomaly artifact.

OMI cloud pressures and radiative cloud fractions are derived using UV-2 radiances (Vasilkov et al., 2008). The cloud pressure from OMI is named optical centroid pressure (OCP). As shown by Vasilkov et al. (2008), the OCP at UV wavelengths lies deep inside the clouds, often by several hundred hPa and therefore is not a measure of true cloud top; they showed this by comparing the OMI OCP measurements with both Cloudsat radar reflectivity profiles and MODIS IR cloud pressures. The OCP effectively represents the bottom reflecting surface for the OMI retrievals in the presence of clouds. The true ozone measurement from OMI is the column amount from the top of the atmosphere down to the reflecting surface. In the presence of a cloud, the OMI algorithm places an ozone "ghost column" climatology estimate below the OCP reflecting surface to obtain total column ozone.

There are two OMI algorithms that determine the OCP. The first algorithm is based on O_2-O_2 dimer absorption (Sneep et al., 2008) and the second is based on rotational-Raman scattering (RRS) that uses spectral structures in the ratio of backscattered radiance to solar irradiance, known as the Ring effect (Joiner and Bhartia, 1995; Joiner et al., 2004; Joiner and Vasilkov, 2006, Joiner et al., 2012). The two OMI cloud algorithms provide similar estimates of OCP for bright clouds although there are small differences due to algorithmic and physical effects (Sneep et al., 2008). We use the RRS cloud pressure for our study although our results would be nearly identical using the O_2-O_2 cloud measurements. We refer to "cloud ozone" as the ozone column or ozone mean volume mixing ratio lying between the tropopause and retrieved OCP from OMI under conditions of deep convection. We also refer to "above-cloud ozone" as the ozone column measured from OMI lying from the top of the atmosphere down to the OMI OCP. Deep convective clouds often have cloud tops at or near the tropopause. Therefore much if not most of the tropospheric ozone measured between the tropopause and OMI cloud pressure lie within the cloud itself rather than above the cloud top.

Aura MLS v4.2 profile ozone is included to measure fields of stratospheric column ozone (SCO). MLS SCO is used in conjunction with OMI above-cloud column ozone each day to derive mean

column amounts and mean concentrations of ozone measured over deep convective clouds. The MLS ozone profiles are vertically integrated in log-pressure from 0.0215 hPa down to the tropopause to derive measurements of SCO as described by Ziemke et al. (2006, 2009). To separate stratospheric from tropospheric ozone we similarly use the WMO 2K-km^{-1} lapse-rate tropopause pressure definition with NCEP re-analysis temperatures. Other tropopause pressure definitions and other meteorological analyses besides NCEP could have also been used. We included the WMO definition with NCEP for both historical reasons and consistency checking relative to previous versions of our OMI/MLS tropospheric ozone products that used the same NCEP tropopause. For the low latitudes in our study we expect that there would be only minor differences in our results if we used instead a different tropopause. All MLS v4.2 retrieval quality flags (quality, status, convergence, and precision) are properly adhered to for all of our analyses. The MLS v4.2 measurements including data quality and quality flags are described in the MLS data quality document http://mls.jpl.nasa.gov/data/v4-2_data_quality_document.pdf. Recommended pressure levels for science applications with MLS v4.2 ozone are 0.0215 hPa to 261 hPa. There are errors in derived SCO from MLS caused by both errors in NCEP tropopause pressure and MLS data themselves. The MLS v4.2 data quality document indicates that the vertical resolution for MLS about the tropopause is about 3 km. For daily SCO this can affect these measurements by adding errors of several DU. In our study we average all daily measurements over a month to derive cloud ozone which will reduce these errors if truly random.

3. Overview of Cloud Slicing.

We use two cloud slicing methods to measure cloud ozone from Aura OMI and MLS instruments. The first method is called "ensemble" cloud slicing that uses daily co-located measurements of cloud pressure and column ozone. This algorithm was first proposed by Ziemke et al. (2001) and combined co-located Nimbus-7 TOMS column ozone and THIR IR cloud-top pressure. Here we combine OMI column ozone with OMI cloud pressure (i.e., OCP). An advantage of ensemble cloud ozone is that it requires only a single instrument, but weaknesses are noisiness and poor spatial resolution in the measurements. The second method is a residual cloud slicing approach (Ziemke et al., 2009) that combines OCPs from OMI with residual column ozone differences between OMI and MLS. An advantage of the residual

184 method is that it can yield measurements with high horizontal resolution. The cloud ozone
185 product that we generate comes from the OMI/MLS residual method. We use OMI ensemble
186 measurements only as a consistency check for the OMI/MLS residual ozone.
187
188 A schematic diagram for the ensemble cloud slicing method is shown in Figure 1. A region is
189 first chosen (top of figure, $5^o \times 5^o$ region shown) with all coincident measurements (either daily
190 or daily measurements accumulated over a month) of OMI above-cloud column ozone plotted
191 versus OCP effective cloud pressure (bottom of figure). The OCP as noted in Section 2 may lie
192 several hundred hPa below the cloud top, and the OMI algorithm places a climatological ozone
193 ghost column below the OCP to determine total column ozone. For cloud slicing we use only the
194 above-cloud ozone from OMI which is the true measurement. In practice, we determine the
195 above-cloud column ozone by subtracting the ghost column ozone from total column ozone
196 reported in the OMI level-2 orbital datasets.
197
198 In Figure 1 the OMI footprint scene depicted is 100% cloud filled so that the OCP deep inside
199 the cloud represents the bottom reflecting surface for the OMI retrieval. In the more general
200 case, footprint scenes from OMI will not be 100% cloud filled and we account for this. What we
201 actually use for cloud slicing in the Figure 1 schematic is an effective scene pressure (P_{EFF}) in
202 place of the OCP. P_{EFF} is derived from $P_{EFF} = P_{CLOUD} \cdot f + P_{SURFACE} \cdot (1 - f)$, where P_{CLOUD} is
203 the cloud OCP, $P_{SURFACE}$ is the Earth surface scene pressure, and f is the OMI scene radiative
204 cloud fraction (Joiner et al., 2009). We use OMI measurements for cloud slicing only when
205 radiative cloud fraction f is greater than 0.80. When f is equal to 1.0 the calculated P_{EFF} is
206 equivalent to OCP. In our case for deep convective cumulonimbus clouds the cloud tops are
207 near tropopause level and so the derived mixing ratio is primarily an average measurement of
208 ozone inside the clouds.
209
210 Tropospheric ozone mean volume mixing ratio (VMR) is estimated by fitting a straight line to
211 the data pairs of above-cloud column ozone versus OCP over the selected geographical region.
212 This method was first described by Ziemke et al. (2001) and is summarized here. Column ozone
213 ($\Delta\Omega$) between two altitudes z_1 and z_2 is by definition the number of molecules per unit

horizontal area and is calculated by integrating ozone number density n as $\Delta\Omega = \int_{z_1}^{z_2} n \cdot dz$. Using hydrostatic balance $\partial P / \partial z = -\rho g$ (ρ is mass density, g is acceleration of gravity) and assuming an invariant acceleration of gravity for the troposphere this expression can be converted to: $\Delta\Omega$ (in Dobson Units, DU; 1 DU = 2.69 × 10^{20} molecules-m^{-2}) = $C \cdot \int_{P_1}^{P_2} X \cdot dP = C \cdot \overline{X} \cdot (P_2 - P_1)$, where $C = 0.00079$ DU-hPa^{-1}-ppbv^{-1} and $\overline{X}$ is ozone mean VMR in units ppbv. It follows that ozone mean VMR in the troposphere is $\overline{X}\,(\mathrm{ppbv}) = 1270 \cdot \Delta\Omega / \Delta P$, or in other words 1270 multiplied by the slope of the ensemble line fit. The 2σ uncertainty for VMR in ppbv is determined by multiplying the calculated 2σ uncertainty of the slope by 1270. An estimate for SCO can also be obtained by extrapolating the line fit to the mean tropopause pressure over the region. The above-cloud ozone at the extrapolated tropopause pressure, a direct estimate of SCO, can be compared with MLS SCO to assess how well the ensemble method separates stratospheric from tropospheric column ozone.

An example of ensemble scatter plots is shown in Figure 2 for October 5, 2008. The left scatter plot coincides with the region of southern Africa while the right scatter plot coincides with the western Pacific. Measured ozone mixing ratio is 72 ppbv over southern Africa and 10 ppbv over the western Pacific. The enhanced ozone over southern Africa suggests that ozone produced from regional pollution including biomass burning, which is largest around September-October each year in the SH, reaches the upper regions of the clouds. However, the regional elevated ozone over southern Africa may be caused by other sources including lightning NO_x, and transport by the Walker circulation, and mixing of stratospheric air that is transported into the troposphere in response to cloud tops overshooting the tropopause (e.g., Huntrieser et al., 2016, and references therein). The low ozone VMR in the western Pacific in Figure 2 is consistent with low values measured in the vicinity of tropical deep convection by ozonesondes (e.g., Kley et al., 1996; Folkins et al., 2002; Solomon et al., 2005; Vömel and Diaz, 2010). In principle we derive monthly cloud ozone measurements instead of daily from the ensemble method by accumulating all co-located daily data pairs over a month.

Figure 3 illustrates the residual technique for measuring cloud ozone. This method combines OMI above-cloud column ozone and OMI OCP with MLS SCO. All of these combined measurements are daily and are co-located. For a deep convective cloud the OCP lies well inside the cloud with a cloud top often at or near the tropopause, so that much or most of measured tropospheric ozone lies inside the cloud rather than above the cloud top. The relationship (Joiner et al., 2009) to derive residual cloud ozone VMR (units ppbv) is $\mathrm{VMR} = 1270 \cdot \left[\Delta\Omega / (P_{EFF} - P_{TROPOPAUSE})\right]$, where $\Delta\Omega$ is the difference (in DU) of OMI above-cloud column ozone minus MLS SCO, $P_{TROPOPAUSE}$ is tropopause pressure (in hPa), and P_{EFF} is the effective scene pressure (also in hPa) as defined above. The number 1270 is the same as for the ensemble method to ensure units ppbv for VMR. As a final step, monthly-mean residual values are derived from the daily residual measurements.

We limit the latitude range for both the ensemble and residual methods to 30^o S - 30^o N. This was done for both approaches to reduce inherent noise due in part to strong dynamical variability of the tropopause from the tropospheric wind jets.

4. OMI/MLS Residual Cloud Ozone Product: Validation and Consistency Checks.

The validation of OMI/MLS residual cloud ozone measurements is not straightforward given the paucity of in-cloud measurements from independent sources such as ozonesondes and aircraft. However, as one approach similar to Ziemke et al. (2009), we can still obtain at least a consistency check between the OMI/MLS residual cloud ozone and cloud ozone obtained from the OMI-only ensemble method.

Figure 4 compares cloud ozone from the ensemble and residual techniques for July 2015 (left panel) and October 2015 (right panel). Both of these months coincide with the intense 2014-2016 El Nino. The two panels in Figure 4 each compare OMI/MLS residual cloud ozone (thick curves) and OMI ensemble cloud ozone (asterisks). The 5^oS-10^oN latitude band was chosen because it includes much of the ITCZ with thick clouds for these months. Both the ensemble and residual cloud ozone in Figure 4 are low to near zero in the eastern and western Pacific close to

the dateline; it is conceivable that these oceanic regions coincide generally with pristine air and low concentrations of both ozone and ozone precursors in the boundary layer. In contrast, over a broad region extending from the western Pacific to Indonesia the cloud ozone from both measurements is enhanced. The increased tropospheric ozone is due to a combination of suppressed convection during El Nino and increases in biomass burning over Sumatra and Borneo due to the induced dry conditions and wildfires (e.g., Chandra et al., 1998; Logan et al., 2008). The suppressed convection during El Nino coincides with reduced upward injection of low ozone concentrations in the oceanic boundary layer compared to non-El Nino years, thus contributing to anomalous increase in cloud ozone relative to non-ENSO years. In the central Atlantic the cloud ozone measurements are ~50 ppbv for both methods indicating higher ozone concentrations injected into the clouds from below and in general a more polluted region compared to the Pacific. In the eastern Atlantic extending to the Indian Ocean / western Pacific (i.e., ~60^{o}–120^{o}) the ensemble measurements are larger than for OMI/MLS. The calculated $\pm 2\sigma$ uncertainties for the ensemble measurements are large everywhere including this broad region and illustrate the noisy nature of the ensemble method due largely to sparseness of thick clouds. Unlike measurements for the OMI/MLS residual method, large errors in ozone for the ensemble method may originate largely from the basic assumptions of the methodology such as uniformity of both SCO and tropospheric mixing ratio throughout the chosen region. In the next two sections we discuss the OMI/MLS cloud ozone product for basic geophysical characteristics including some science results.

5. Monthly Distributions.

Figure 5 shows monthly-mean climatology maps of OMI/MLS residual cloud ozone derived from averaging similar months over the long record. Plotted in Figure 5 is mean VMR (units ppbv) representing average ozone concentration lying between the tropopause and OMI OCP as described in Section 3. In Figure 5 the mean mixing ratio is calculated for OCPs varying between 250 hPa and 550 hPa. The black regions in the figure indicate not enough deep convective clouds present and/or mostly clouds such as low-marine stratus clouds with OCP lying below the 550 hPa threshold.

303

304 The distributions in Figure 5 illustrate the large regional and temporal variability present in
305 cloud-ozone. In the remote Pacific and Indian Ocean regions the values of cloud ozone are small
306 at ~10 ppbv or less. High values reaching 70-80 ppbv are measured for landmass regions of
307 India/east Asia, southern Africa and South America, and Australia. The high ozone is indicative
308 of a more polluted lower troposphere/boundary layer. There are also some ozone values ~40-50
309 ppbv over both the Atlantic and Pacific Ocean regions in higher latitudes which are large yet still
310 small compared to the noted high values over landmasses. Understanding variations in the ozone
311 concentrations over oceanic thick clouds is work in progress that combines these OMI/MLS
312 measurements with a free-running chemistry-climate model (Strode et al., 2017).
313

314 Figure 6 shows climatology maps similar to Figure 5 but instead for "background" ozone mean
315 VMR. The background ozone is derived using only OMI near clear-sky scenes for column
316 ozone where radiative cloud fractions are less than 30%. In Figure 6 the east-west tropical wave-
317 1 pattern in tropospheric ozone (Fishman et al., 1990) is easily discerned year round with high
318 values ~60-80 ppbv in the Atlantic and low values ~20 ppbv in the eastern and western Pacific.
319 According to Sauvage et al. (2007) using the GEOS-Chem Chemical Transport Model (CTM)
320 the main source of tropospheric ozone in the tropical Atlantic on annual-mean basis comes from
321 lightning NO_x with smaller contributions from biomass burning, soils, and fossil fuels (by factors
322 varying ~4-6). Their CTM also indicated that stratosphere-troposphere exchange (STE) accounts
323 for less than about 5% of tropospheric ozone burden in the tropical Atlantic and that most of the
324 effects from NO_x came from Africa. In the SH subtropics in Figure 6 there is a buildup of high
325 ozone in August-November along all longitudes. Although the SH Atlantic maximum in Figure
326 6 occurs in every month year round, this feature also exhibits substantial inter-annual variability.
327 Liu et al. (2017) combined GEOS-5 assimilated OMI/MLS ozone and Goddard Modeling
328 Initiative (GMI) CTM simulations to quantify the causes of the inter-annual variability (IAV) of
329 tropospheric ozone over four sub-regions of the southern hemispheric tropospheric ozone
330 maximum. They found that the strong influence of emission on ozone IAV is largely confined to
331 the South Atlantic region in September at and below ~430 hPa. In the middle and upper
332 troposphere, the IAV of the stratospheric ozone contribution is the most important factor driving
333 the IAV of ozone over two selected tropical regions: the tropical south Atlantic and tropical S. E.

Pacific, especially during the austral winter season.

6. Time Series.

With about 12 years of measurements from OMI/MLS we can analyze variability from monthly to decadal timescales of the OMI/MLS residual cloud ozone and compare these changes with background ozone. In Figure 7 we show eight selected regions of interest for background ozone (top) and cloud ozone (bottom) for October 2006. For these eight selected regions we have averaged cloud ozone and background ozone each month to generate long-record time series starting October 2004.

Time series of the monthly background ozone and cloud ozone for the eight regions are plotted in Figures 8 and 9. In all of these eight panels the background ozone is plotted as the thick solid curve while cloud ozone is the thin curve with asterisks. Also plotted for the six landmass regions in Figures 8-9 are time series of the OMI aerosol index (dotted blue curves). In Figure 8 for northern Africa we include a line plot of the solar MgII UV index (blue squares) for comparing decadal changes in ozone in all eight panels in Figures 8-9 with the 11-year solar cycle. In the eastern Pacific region in Figure 9 the Nino 3.4 index (blue squares) is also plotted to demonstrate the dependence of cloud ozone variability from ENSO in this particular region. All background ozone and aerosol time series in Figures 8-9 were flagged missing wherever (at $1^o \times 1.25^o$ gridding) and whenever (monthly means) corresponding measurements for cloud ozone were missing.

Figure 8 compares ozone time series for the following four regions: Central America, South America, northern Africa, and southern Africa. In each panel the correlation between cloud ozone and background ozone is shown. In addition the correlation between cloud ozone and aerosols is also included for southern Africa. With the exception of the southern Africa region, the background ozone is larger than cloud ozone by ~10-20 ppbv year round. For southern Africa the cloud ozone each year in summer months exceeds background ozone by ~5-10 ppbv on average. The annual cycle for cloud ozone with southern Africa does not appear to be in phase with background ozone, reaching its annual maximum about 1-2 months earlier. The

aerosol index time series in Figure 8 for southern Africa represents seasonality of biomass burning in the region and it also peaks 1-2 months prior to maximum background ozone; the correlation between cloud ozone and aerosols shown in this panel for southern Africa is about 0.63. Sporadic thick clouds in the presence of tropospheric ozone from biomass burning via nearby regions may explain the higher ozone values and 1-2 month phase lead for cloud ozone relative to background ozone.

With Central America in Figure 8 (upper left panel) some of the month-to-month maxima and minima for cloud ozone coincide with relative maxima and minima in background ozone on intra-seasonal time scale. The Central America region including the Caribbean Sea/Gulf of Mexico and extending into the tropical north Atlantic is well documented for intra-seasonal variability in winds and cyclonic development (e.g., Park and Schubert, 1993; Maloney and Hartmann, 2000; Mo, 2000; Foltz and McPhaden, 2004, 2005). Seasonal variability in Figure 8 for both background ozone and cloud ozone is most pronounced for southern Africa and weakest for northern Africa.

For decadal time scale, the background ozone in all four regions in Figure 8 is mostly invariant while cloud ozone shows small decreases toward the middle of the record followed by small increases afterward. Comparing with the MgII index in the upper right panel, this decadal variability for cloud ozone does not appear to be directly related to the 11-year cycle in solar UV which has minima centered around year 2009 and also at the end of the record.

Figure 9 shows time series for four additional regions: India/east Asia, Indonesia, eastern Pacific, and Australia. With the exception of Australia (lower right panel), the background ozone is larger than cloud ozone by ~10-20 ppbv year round. The cloud ozone and background ozone for Australia are comparable during July-November months (i.e., similar to southern Africa in Figure 8). For Indonesia and the eastern Pacific the cloud ozone is sometimes very low to even near zero which is indicative of clean air with low concentrations of boundary-layer ozone and ozone precursors. Indonesia in Figure 9 indicates intra-seasonal variability for both cloud ozone and background ozone. In this western pacific region the main source of intra-seasonal

variability of tropospheric ozone is the 1-2 month Madden-Julian Oscillation (e.g., Ziemke et al., 2015, and references therein).

Decadal changes of cloud ozone in Figure 9, with the exception of the eastern Pacific, appears again to have relative minima around the middle of the long record and no clear connection with the 11-year solar cycle in UV. Included in the panel for the eastern Pacific region is the Nino 3.4 index time series (squares along bottom) which was re-scaled for plotting with the ozone. For the eastern Pacific it is clear that there is dominant inter-annual variability related to ENSO events with associated changes in convection/SST (i.e., opposite correlation between them is indicated). For this eastern Pacific region the cloud ozone is greatest during La Nina (suppressed convection in the region) and lowest during El Nino (enhanced convection in the region).

It is difficult to discern timing of the seasonal minima and maxima of the aerosol and ozone time series in Figures 8-9. For this reason we have included Figure 10 that compares 12-month climatologies of background ozone, cloud ozone, and aerosol index time series for the six landmass regions plotted in Figures 8-9. One main conclusion from Figure 10 is that seasonal maxima of background ozone for the landmass regions of southern Africa, India/east Asia, and Australia all tend to occur about one month after maxima in aerosols. For southern Africa and India/east Asia the aerosol maximum occurs around the same month as the maximum in cloud ozone. These phase shifts suggest that biomass burning during the mostly dry season has an important impact on the seasonal cycles of tropospheric ozone including India where monsoon does not generally begin until late May or early June. It is beyond the scope of our study to explain the relative amplitude differences and phase shifts between background and cloud ozone measurements. Explaining these characteristics will require a future investigation using either a chemical transport model or a chemistry-climate model with an appropriate convection scheme.

7. Summary.

We applied a residual technique to derive a data record (October 2004-recent) of tropospheric ozone mixing ratios inside deep convective clouds in the tropics and subtropics from OMI/MLS satellite measurements. This residual technique makes use of the cloud optical centroid pressure

(OCP) obtained from the effects of rotational-Raman scattering in the OMI UV spectra. Solar UV penetrates deep into thick clouds, often by several hundred hPa. In addition, deep convective clouds have high cloud tops often near or at tropopause level. As a result the OMI/MLS cloud ozone measurements are largely indicative of ozone concentrations lying inside the clouds.

The OMI/MLS residual cloud ozone was compared with OMI/MLS near clear-sky ozone (denoted "background" ozone) indicating substantially lower concentrations (by ~10-20 ppbv) for cloud ozone year round, with the exception of southern Africa and Australia during July-November months. For both southern Africa and Australia the seasonal maxima of cloud ozone was found to exceed seasonal maxima of background ozone by about 5-10 ppbv. For both southern Africa and India/east Asia the seasonal maxima for both OMI aerosols and cloud ozone occurs about 1-2 months earlier than for background ozone. The analyses imply a cause and effect relation between boundary layer pollution and elevated ozone inside thick clouds over land-mass regions including southern Africa and India/east Asia.

While large cloud ozone concentrations ~60 ppbv or greater occur over landmass regions of India/east Asia, South America, southern Africa, and Australia, very low cloud ozone is persistent over the Indian Ocean and eastern/western Pacific Ocean with values ~10 ppbv or smaller. A low concentration of cloud ozone measured in these oceanic regions is indicative of generally pristine air with small amounts of ozone and ozone precursors in the marine boundary layer/low troposphere.

There is indication of intra-seasonal variability in cloud ozone over the eastern and western Pacific Ocean regions and also over Central America. In the western Pacific the intra-seasonal variability originates largely from the 1-2 month Madden-Julian Oscillation. In the eastern Pacific the largest variability is inter-annual and originates from ENSO and associated changes in SST/convection. In the eastern Pacific the highest cloud ozone occurs during La Nina (suppressed convection over the region) with lowest cloud ozone during El Nino (enhanced convection).

Understanding changes in convection versus changes in emissions and how they relate to the variabilities in measured cloud ozone is beyond the scope of our study. A photochemical model involving deep convective clouds would be necessary to study the variability for cloud ozone from monthly to decadal time scale. Strode et al. (2017) is current work in progress that combines these OMI/MLS measurements with a chemistry-climate model to evaluate properties of cloudy versus clear-sky background ozone.

The monthly gridded cloud ozone and background ozone data can be obtained via anonymous ftp from the following:

> ftp jwocky.gsfc.nasa.gov
> Name: anonymous
> Password: (your email address)
> cd pub/ccd/data_monthly
> get vmr_30s_to_30n_oct04_to_apr16.sav

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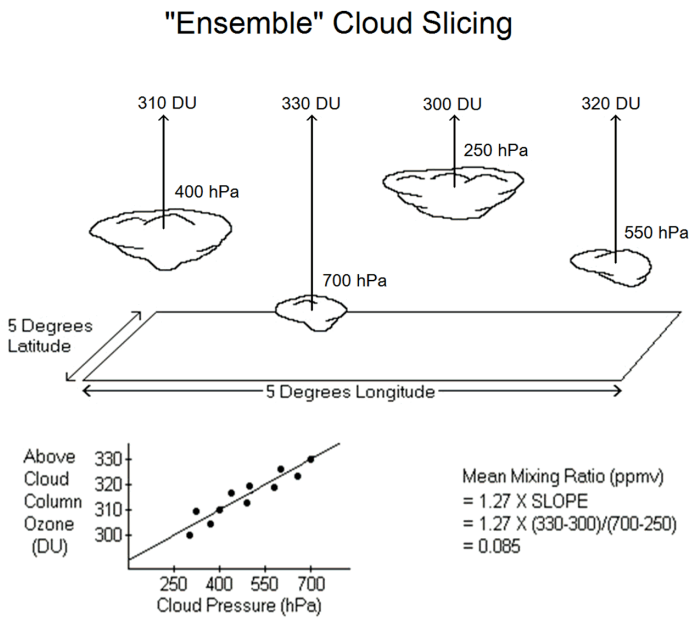


Figure 1. A schematic diagram illustrating the ensemble cloud slicing method involving coincident measurements of above-cloud column ozone (i.e., column ozone measured from the top of the atmosphere down to cloud pressure) and cloud pressure to measure mean volume mixing ratio (see text). For deep convective cumulonimbus clouds the cloud tops are near the tropopause and so the mean volume mixing ratio is primarily a measurement of average "in-cloud" ozone concentration. This figure was adapted from Ziemke et al. (2001). For our study all measurements are from OMI (i.e., OMI above cloud ozone versus OMI OCP).

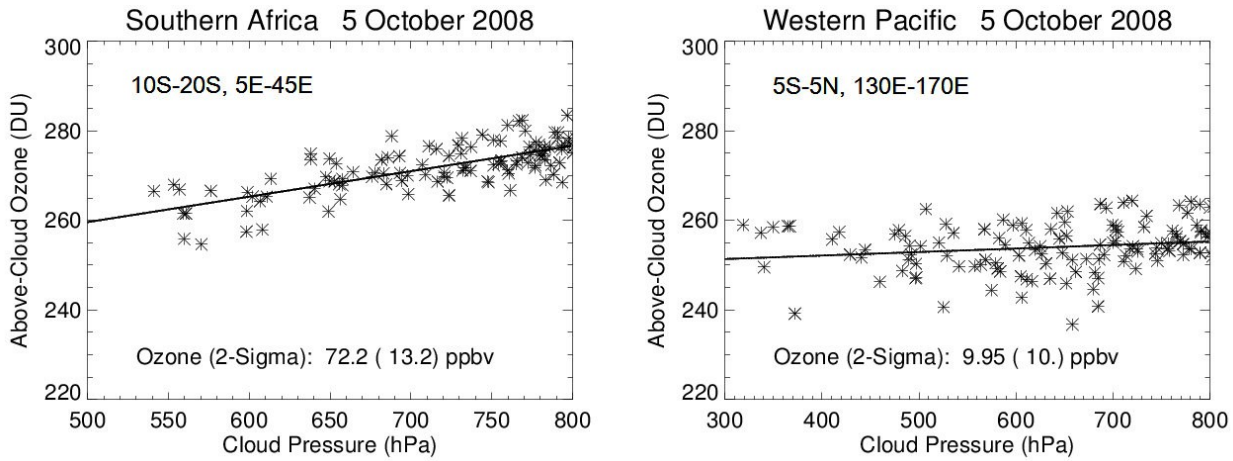
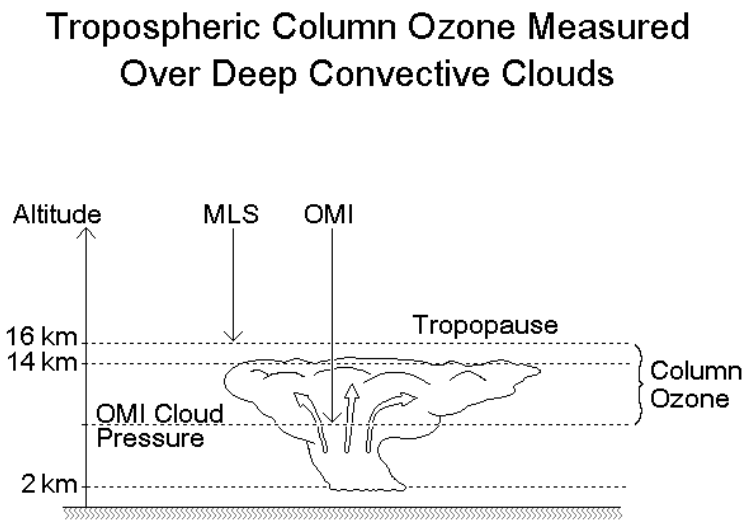


Figure 2. Examples of the ensemble cloud slicing technique using OMI measurements of above-cloud column ozone and cloud pressure (see text).



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643 **Figure 3.** Schematic diagram of the OMI/MLS residual cloud slicing method. This depiction
644 shows that deep convective clouds have OMI cloud optical centroid pressures (OCPs) lying deep
645 inside the clouds with cloud tops often at tropopause level or very close to the tropopause. This
646 figure was adapted from Ziemke et al. (2009).

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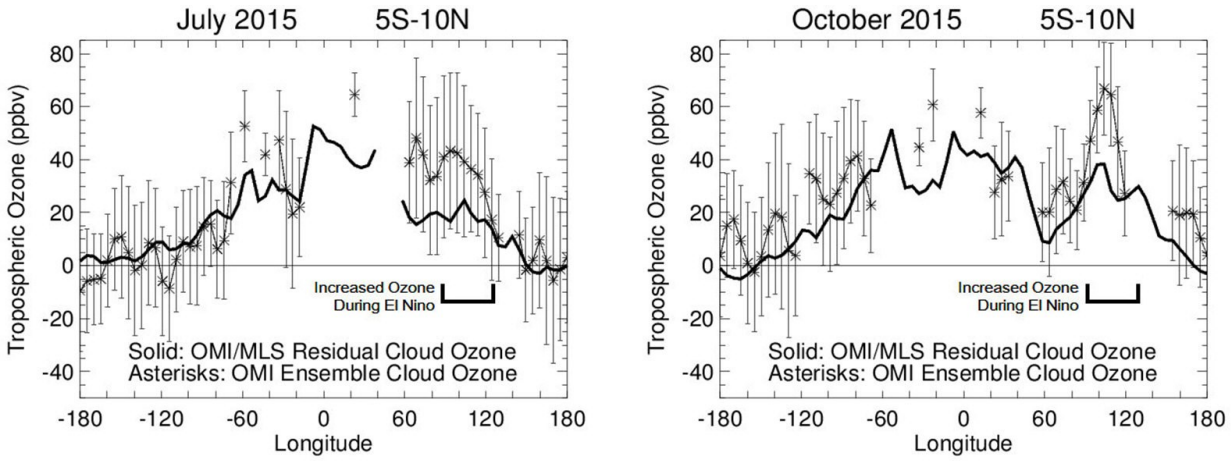
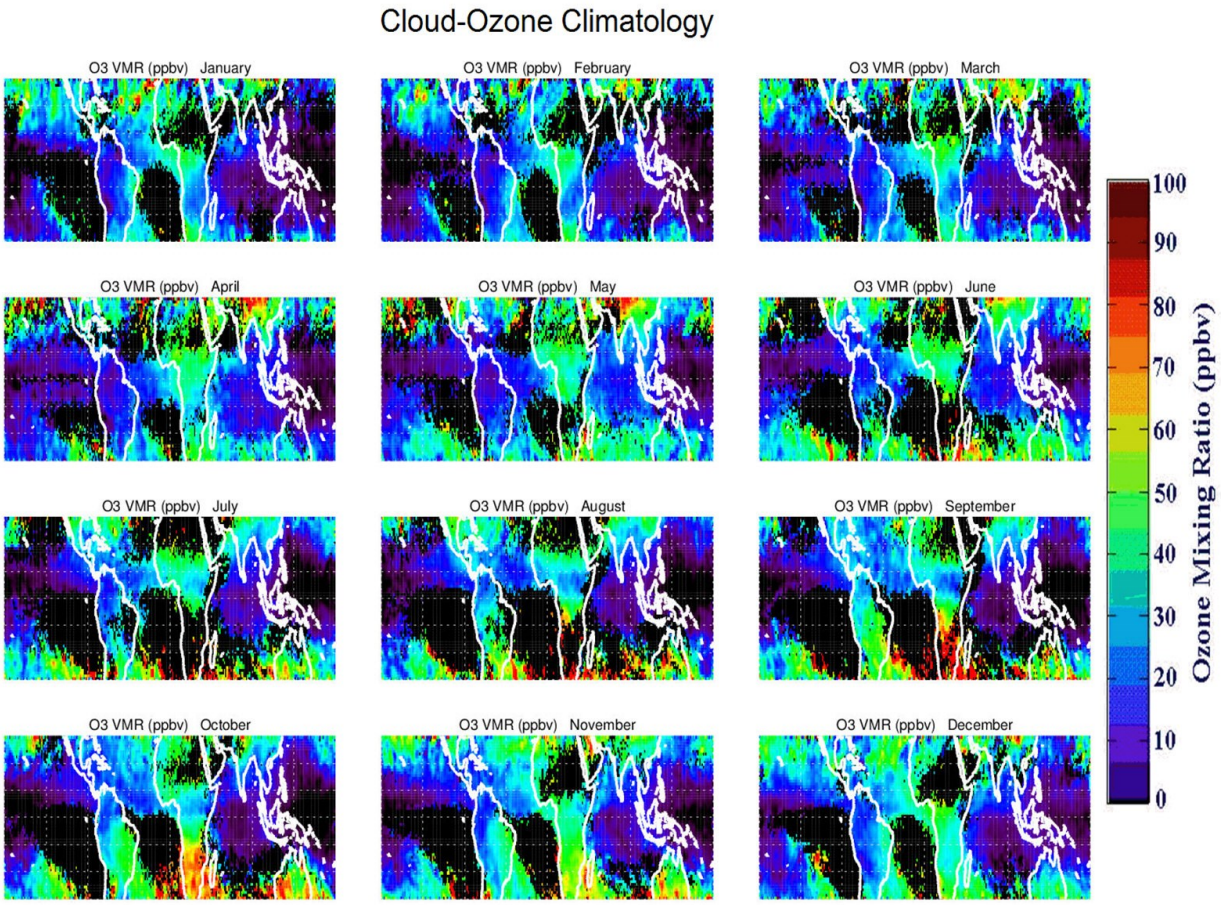


Figure 4. Comparisons of OMI/MLS (solid) and OMI ensemble (asterisks) cloud ozone VMR for July and October 2015 with both months coinciding with the intense 2014-2016 El Nino event. Measurements are averaged over the 5^{o}S-10^{o}N latitude band as a function of longitude (at 5^{o} increments). The ensemble measurements include calculated ±2σ uncertainties. Mean VMR for the ensemble measurements are calculated for all OCPs lying between 250hPa and 550 hPa and radiative cloud fractions > 80%.



669

670 **Figure 5.** **M**onthly-mean climatology maps of OMI/MLS residual cloud ozone (units ppbv).
671 Plotted is mean VMR representing average ozone concentration lying between the tropopause
672 and OMI UV cloud pressure (OCP) as described in Section 3. The mean mixing ratio is
673 calculated for OCPs varying between 250 hPa and 550 hPa. Black regions indicate not enough
674 deep convective clouds present or mostly low clouds such as marine stratus clouds with OCP
675 lying below the 550 hPa threshold.

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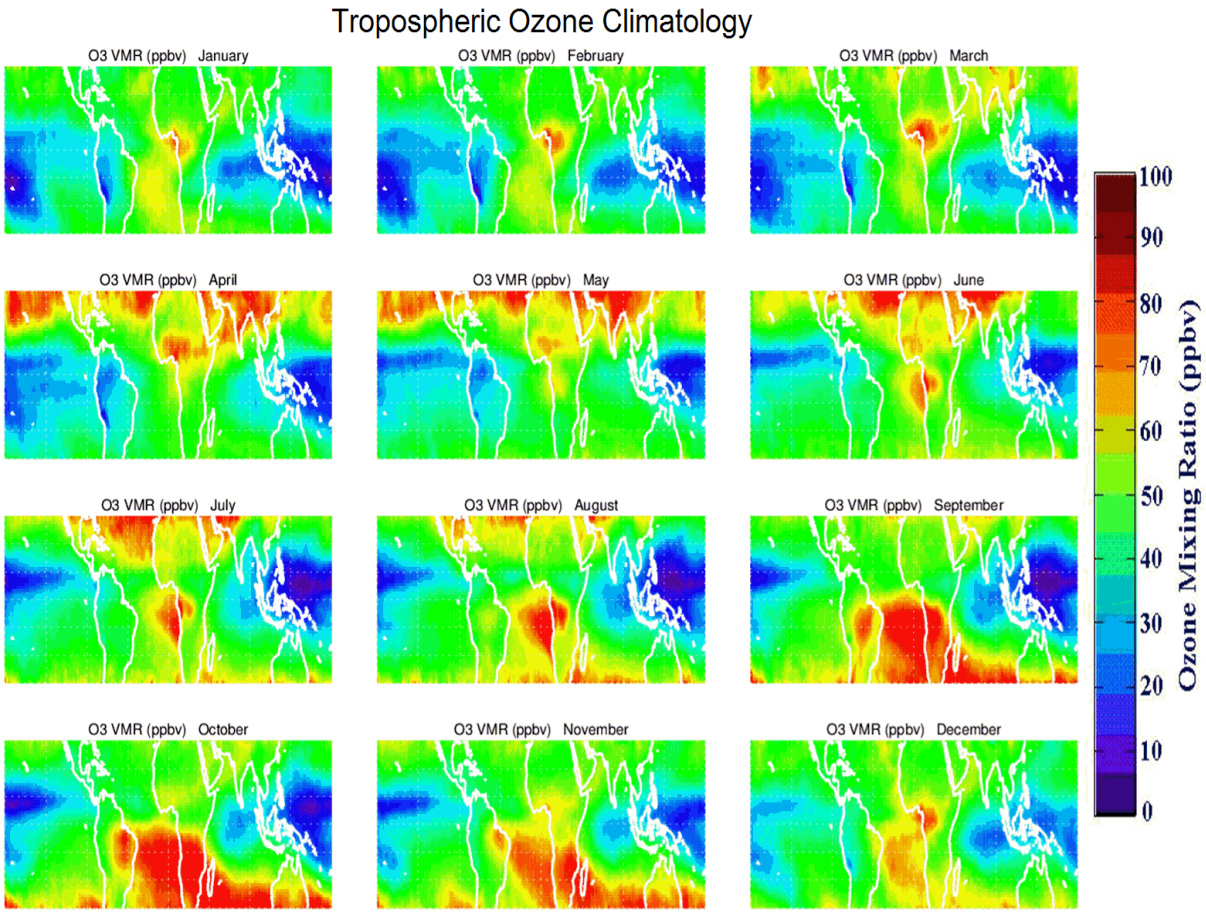
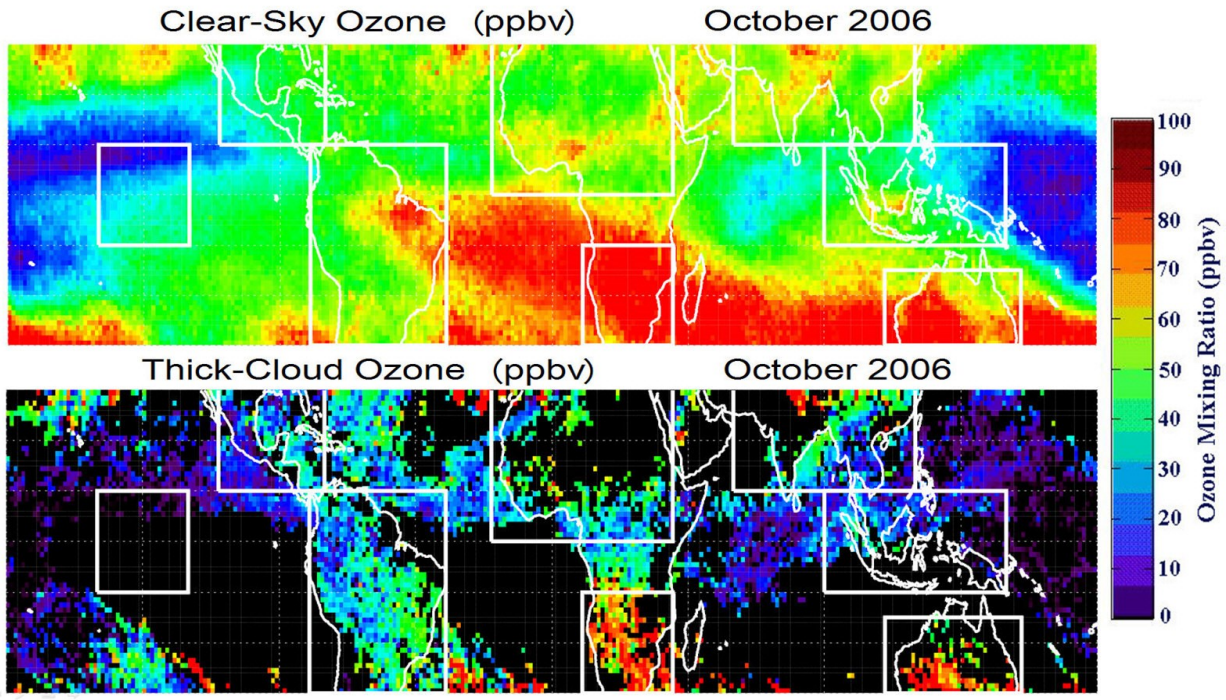


677

678 **Figure 6.** Similar to Figure 5, but instead plotting monthly-mean climatology maps of
679 OMI/MLS VMR (units ppbv) for OMI near clear-sky scenes (i.e., radiative cloud fractions less
680 than 30%).

681



682

683 **Figure 7.** (Top) Background (near clear-sky) tropospheric ozone in units ppbv for October
684 2006. Shown as white rectangles are eight selected regions of interest where measurements are
685 averaged each month to generate long record time series for October 2004 – April 2016.
686 (Bottom) Same as top but instead for cloud ozone.

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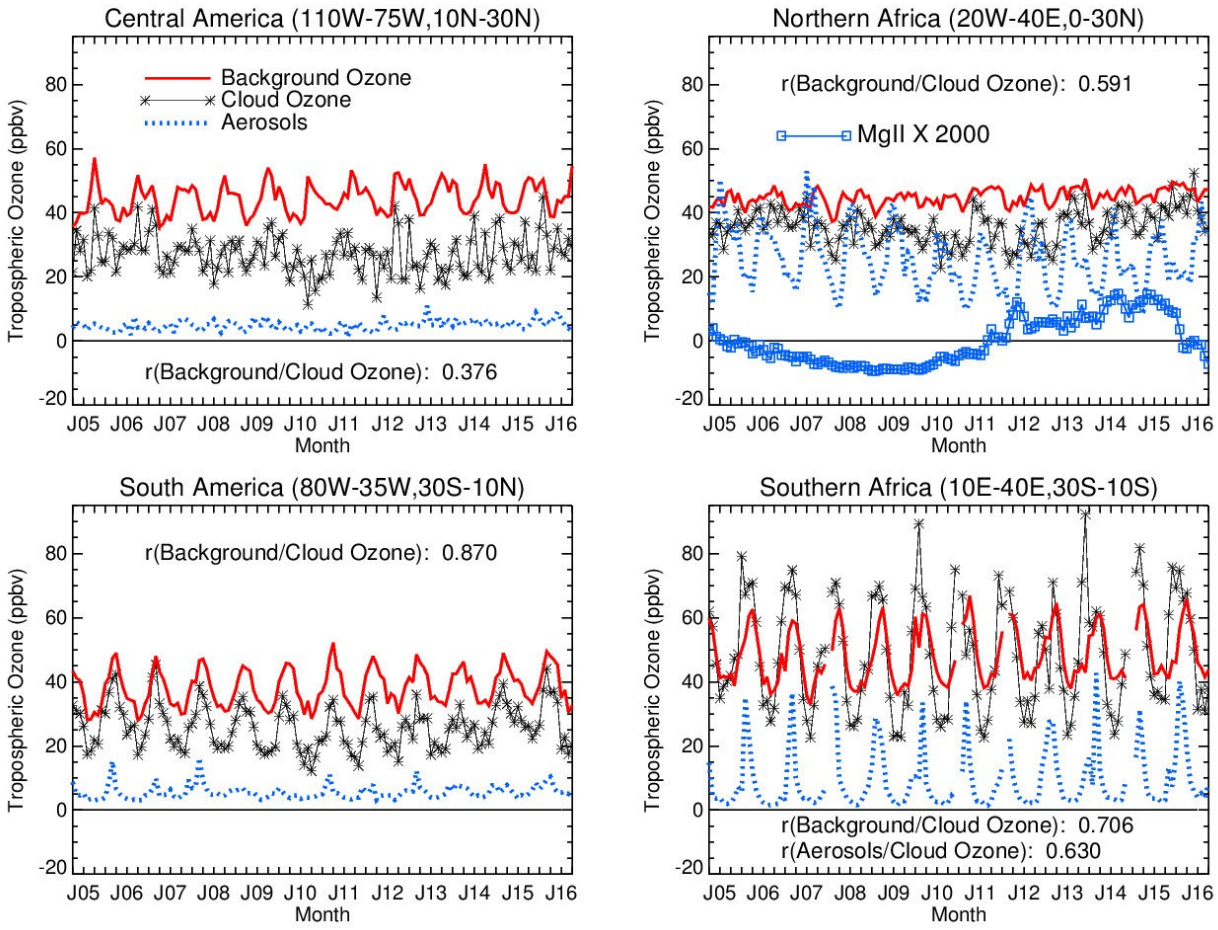

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690 **Figure 8.** Monthly time series of background ozone (thick solid red curves) and cloud ozone
691 (thin black curves with asterisks) for the regions of Central America, South America, northern
692 Africa, and southern Africa in Figure 7. All ozone units are ppbv. Also shown for each of these
693 landmass regions is the OMI monthly aerosol index time series (dotted blue curves, no units)
694 which was re-scaled (i.e., multiplied by 60) for plotting. Included for the northern Africa region
695 isthe solar MgII index (SI units) that has been re-scaled for plotting (i.e., time average removed
696 and then multiplied by 2000). The correlation between background ozone and cloud ozone is
697 indicated in each panel. Also include for southern Africa is correlation between aerosol index
698 and cloud ozone.

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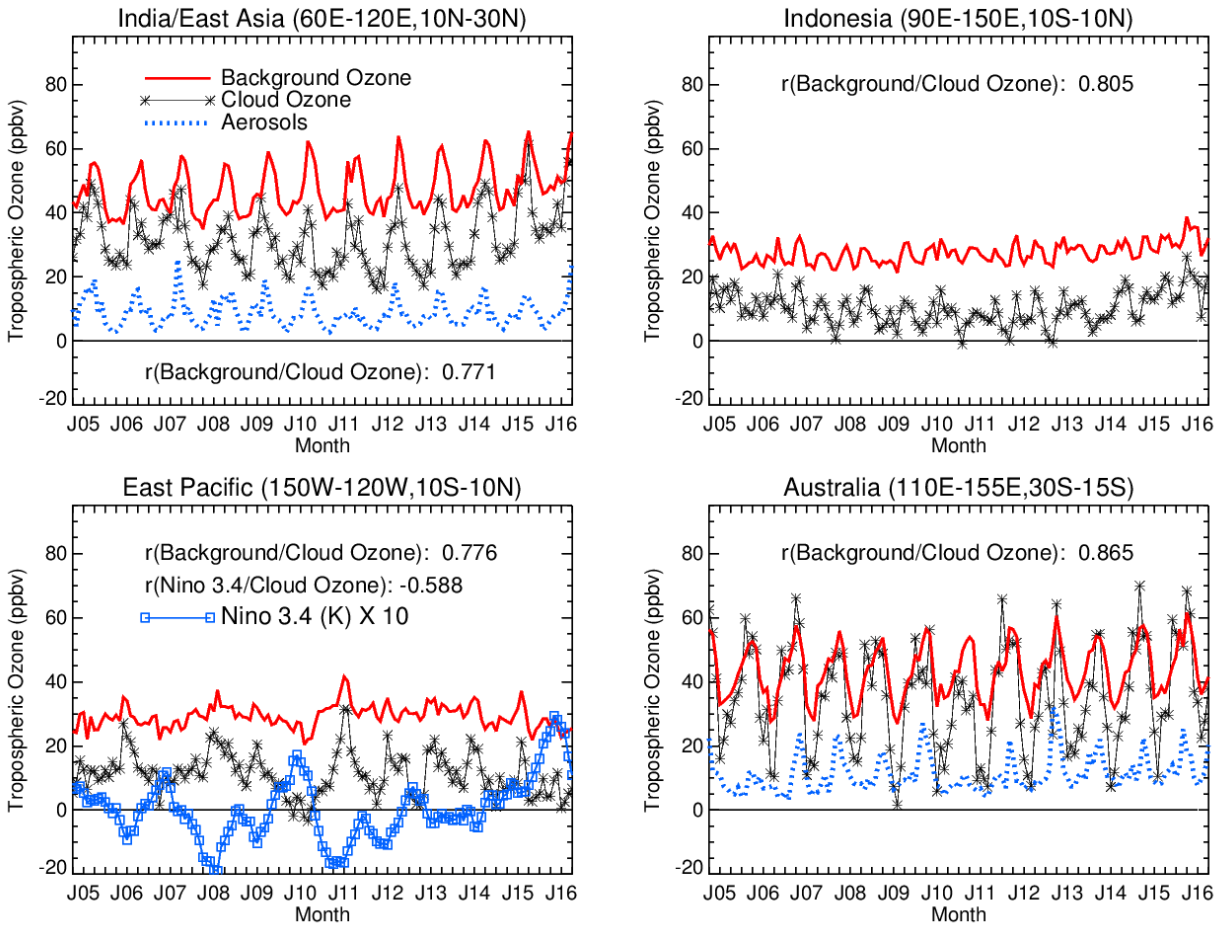
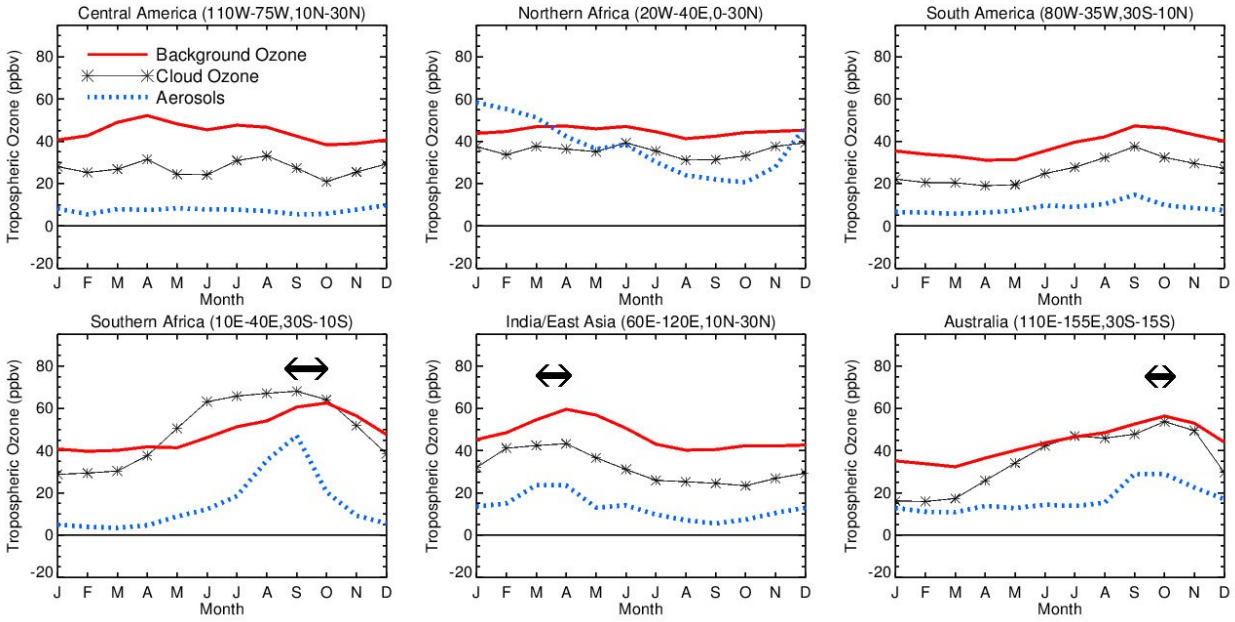


Figure 9. Similar to Figure 8, but instead for the regions of India/east Asia, Indonesia, eastern Pacific, and Australia. Aerosol index time series (dotted) for the landmass regions is again shown. Also included for the eastern Pacific (lower left panel) is the Nino 3.4 index (blue squares, units K) and its correlation with cloud ozone. The Nino 3.4 index was re-scaled (multiplied by 10) for plotting with ozone time series.



707

708 **Figure 10**. Twelve-month climatology time series for the six continental land-mass regions
709 plotted in Figures 8 and 9 using the same color scheme. Shown here are background ozone
710 (solid red curves), cloud ozone (asterisks), and aerosol index (dotted blue curves). The OMI
711 aerosol index has been re-scaled (i.e., multiplied by 60) for plotting. Approximate phase shifts
712 between background ozone and aerosol index time series are shown with dark arrows.

713