

Reply to the comments by Editor

To Associate Editor

We appreciate you reading our revised manuscript carefully and giving valuable suggestions for revisions. We have modified the text following your suggestions.

1) *Abstract, 1st sentence. This is still a bit confusing - maybe say:*

“CO₂ observations in the free troposphere can be useful for constraining CO₂ source and sink estimates at the surface since they represent CO₂ concentrations away from point source emissions.”

Reply:

We have modified the sentence following your suggestions. We appreciate your suggestion.

2) p. 2 line 23: *Maybe say:*

“Global XCO_2 data, based on satellite observations, are averaged concentrations over fields of view that typically cover several kilometers. This spatial resolution is not sufficient for measuring individual strong local point sources of CO_2 .”

Reply:

Following your suggestion, we have modified the text as follows:

“Global XCO₂ data, based on satellite observations, are averaged concentrations over fields of view that typically cover several kilometers. This spatial resolution is not sufficient for measuring individual strong local point sources of CO₂, and therefore, they have been used to estimate surface CO₂ fluxes (Maksyutov et al., 2013; Saeki et al., 2013a; Chevallier et al., 2014; Basu et al., 2013, 2014; Takagi et al., 2014).”

We appreciate your suggestion.

Bias assessment of lower and middle tropospheric CO₂ concentrations of GOSAT/TANSO-FTS TIR Version 1 product

Naoko Saitoh¹, Shuhei Kimoto^{1*}, Ryo Sugimura^{1**}, Ryoichi Imasu², Kei Shiomi³, Akihiko Kuze³, Yosuke Niwa⁴, Toshinobu Machida⁵, Yousuke Sawa⁴, and Hidekazu Matsueda⁴

5 ¹Center for Environmental Remote Sensing, Chiba University, Chiba, 263-8522, Japan

²Atmosphere and Ocean Research Institute, The University of Tokyo, Kashiwa, 277-8564, Japan

³Japan Aerospace Exploration Agency, Tsukuba, 305-8505, Japan

⁴Meteorological Research Institute, Tsukuba, 305-0052, Japan

⁵National Institute for Environmental Studies, Tsukuba, 305-8506, Japan

10 * Now at the Japan Research Institute, Tokyo, 141-0022, Japan

** Now at Fujitsu FIP Corporation, Tokyo, 105-8668, Japan

Correspondence to: Naoko Saitoh (nsaitoh@faculty.chiba-u.jp)

Abstract. CO₂ observations in the free troposphere can be useful for constraining CO₂ source and sink estimates at the surface ~~since they represent CO₂ concentrations due to their representativeness being away from local point source emissions of CO₂~~. The thermal infrared (TIR) band of the Thermal and Near Infrared Sensor for Carbon Observation

15 (TANSO)–Fourier Transform Spectrometer (FTS) on board the Greenhouse Gases Observing Satellite (GOSAT) has been observing global CO₂ concentrations in the free troposphere for about 8 years, and thus could provide a dataset with which to evaluate the vertical transport of CO₂ from the surface to the upper atmosphere. This study evaluated biases in the TIR version 1 (V1) CO₂ product in the lower troposphere (LT) and the middle troposphere (MT) (736–287 hPa), on the basis of

20 comparisons with CO₂ profiles obtained over airports using Continuous CO₂ Measuring Equipment (CME) in the Comprehensive Observation Network for Trace gases by AIRLiner (CONTRAIL) project. Bias-correction values are presented for TIR CO₂ data for each pressure layer in the LT and MT regions during each season and in each latitude band: 40°S–20°S, 20°S–20°N, 20°N–40°N, and 40°N–60°N. TIR V1 CO₂ data had consistent negative biases of 1–1.5% compared with CME CO₂ data in the LT and MT regions, with the largest negative biases at 541–398 hPa, partly due to the use of 10-

25 μm CO₂ absorption band in conjunction with 15- μm and 9- μm absorption bands in the V1 retrieval algorithm. Global comparisons between TIR CO₂ data to which the bias-correction values were applied and CO₂ data simulated by Nonhydrostatic ICosahedral Atmospheric Model (NICAM)-based transport model (TM) confirmed the validity of the bias-correction values evaluated over airports in limited areas. In low latitudes in the upper MT region (398–287 hPa), however,

30 TIR CO₂ data in northern summer were overcorrected by these bias-correction values; this is because the bias-correction values were determined using comparisons mainly over airports in Southeast Asia where CO₂ concentrations in the upper atmosphere display relatively large variations due to strong updrafts.

1. Introduction

CO₂ in the atmosphere is the most influential greenhouse gas (IPCC, 2013 and references therein). Many studies have been conducted to estimate the sources and sinks of atmospheric CO₂ using both observational data and transport models (e.g., Gurney et al., 2002; 2004). In CO₂ inversion studies, accurate atmospheric CO₂ observations with spatial representativeness are desirable, which can be obtained from elevated sites such as tall towers and mountains or over the ocean. Patra et al. (2006) demonstrated the robustness of CO₂ surface flux estimation using CO₂ data obtained solely from ocean sites compared to data obtained from both ocean and land sites; this was because the models discussed therein were unable to successfully simulate CO₂ data over land, as these sites were more affected by local point sources of CO₂.

Uncertainties in atmospheric transport processes also result in differences in CO₂ surface fluxes estimated by inverse models. CO₂ is chemically inactive, and thus long-range transport processes as well as surface fluxes determine its horizontal distribution and seasonal cycle in the atmosphere (Miyazaki et al., 2008; Barnes et al., 2016). The treatment of vertical transport of CO₂ also produces differences in simulated CO₂ concentrations in the free troposphere among transport models unrelated to surface fluxes (Niwa et al., 2011a). Therefore, it is needed to observe CO₂ concentrations over land that are not strongly affected by local point sources of CO₂ emissions, as well as CO₂ concentrations in the free troposphere that can evaluate vertical CO₂ transport from the surface in transport models.

Satellite-borne nadir-viewing sensors can observe averaged CO₂ concentrations, with horizontal resolution ranging from several kilometers to tens of kilometers. Column-averaged dry-air mole fractions of CO₂ (XCO₂) have been observed utilizing CO₂ absorption bands in the shortwave infrared (SWIR) regions at around 1.6 and/or 2.0 μm by satellite-borne sensors such as the Scanning Imaging Absorption Spectrometer for Atmospheric Chartography (SCIAMACHY) on the Environmental Satellite (ENVISAT) (Buchwitz et al., 2005; Barkley et al., 2006), the Thermal and Near Infrared Sensor for Carbon Observation (TANSO)-Fourier Transform Spectrometer (FTS) on the Greenhouse Gases Observing Satellite (GOSAT) (Yoshida et al., 2011, 2013; O'Dell et al., 2012; Butz et al., 2011; Cogan et al., 2012), and the Orbiting Carbon Observatory 2 (OCO-2) (Crisp et al., 2017; Connor et al., 2016). Global XCO₂ data, based on satellite observations, are averaged concentrations over in their fields of views that typically cover several kilometers. This spatial resolution is not sufficient for measuring that are not too much affected by individual strong local point sources of CO₂, and therefore, they have therefore been used to estimate surface CO₂ fluxes (Maksyutov et al., 2013; Saeki et al., 2013a; Chevallier et al., 2014; Basu et al., 2013, 2014; Takagi et al., 2014). CO₂ concentrations in the free troposphere can be obtained by satellite-borne sensors with thermal infrared (TIR) bands at around 4.6, 10, and/or 15 μm , provided by the following sensors: the High-Resolution Infrared Sounder (HIRS) (Chédin et al., 2002, 2003, 2005), the Interferometric Monitor for Greenhouse Gases (IMG) (Ota and Imasu, 2016), the Atmospheric Infrared Sounder (AIRS) (Crevoisier et al., 2004; Chahine et al., 2005; Maddy et al., 2008; Strow and Hannon, 2008), the Tropospheric Emission Spectrometer (TES) (Kulawik et al., 2010, 2013), the Infrared Atmospheric Sounding Interferometer (IASI) (Crevoisier et al., 2009), and the TANSO-FTS (Saitoh et al., 2009, 2016). Furthermore, CO₂ concentrations in several atmospheric layers within the free troposphere can be retrieved separately

from high-resolution TIR spectra (Saitoh et al., 2009; Kulawik et al., 2013). Such vertical CO₂ data offer a good constraint for CO₂ surface flux estimates (Kulawik et al., 2010), and have the potential to evaluate the vertical transport of CO₂ from the surface to the upper atmosphere, if they have sufficient accuracy.

Previously, the data quality of CO₂ product from the GOSAT/TANSO-FTS TIR band has been examined in the upper 5 troposphere and the lower stratosphere (UTLS) region, where TIR observations have the most sensitivity to CO₂ concentrations. Saitoh et al. (2016) evaluated biases in UTLS (287–162 hPa) CO₂ data of TIR version 1 (V1) Level 2 (L2) product for the year 2010 through comparisons with UTLS CO₂ data collected with broad spatial coverage by Continuous 10 CO₂ Measuring Equipment (CME) in the Comprehensive Observation Network for Trace gases by AIRLiner (CONTRAIL) project. In this study, we validated the TIR V1 CO₂ product in the lower troposphere (LT) and the middle troposphere (MT) (736–287 hPa) by comparing them with CONTRAIL CME CO₂ profiles over airports, and calculated bias-correction values for the TIR CO₂ data, based on comparisons by latitude, pressure layer, and season from 2010 to 2012. We then examined the validity of the bias-correction values evaluated in limited areas over airports by comparing TIR CO₂ data before and after applying the bias-correction values to CO₂ data simulated using Nonhydrostatic ICosahedral Atmospheric Model (NICAM)-based transport model (TM) (Niwa et al., 2011b).

15 2. GOSAT/TANSO-FTS and CONTRAIL CME observations

GOSAT, launched on 23 January 2009, and has continued operational measurements of CO₂ and CH₄ for approximately eight years. TANSO-FTS on board GOSAT consists of three bands in the SWIR region and one in the TIR region (Kuze et al., 2009). The TIR band of TANSO-FTS makes observations both in daytime and nighttime, unlike the SWIR band. We analyzed the latest CO₂ product from the TIR band of TANSO-FTS, the TIR V1 L2 CO₂ product. The TIR V1 L2 CO₂ 20 product was generated from TANSO-FTS version 161.160 (V161) Level 1B (L1B) radiance spectra. Saitoh et al. (2016) described the retrieval algorithm for the TIR V1 L2 CO₂ product in detail. In the TIR V1 L2 algorithm, CO₂ concentrations are retrieved in 28 vertical grid layers from the surface to 0.1 hPa. Saitoh et al. (2016) and Saitoh et al. (2017) evaluated 25 biases in TIR V1 CO₂ data in the UTLS region (287–162 hPa) and calculated growth rates and amplitudes of seasonal variations in TIR V1 UT CO₂ data. These studies showed: 1) TIR UT CO₂ data agreed with CME CO₂ data to within 0.1% and an average of 0.5% in the Southern and Northern Hemispheres, respectively; 2) these data exhibited negative biases 30 larger than 2 ppm in spring and summer in northern low and middle latitudes; 3) their negative biases increased over time partly due to constraint by a priori data with low growth rates taken from National Institute for Environmental Studies (NIES) transport model, NIES-TM05 (Saeki et al., 2013b); and 4) they displayed more realistic seasonal variations in UT CO₂ concentrations than a priori data. In this study, we validated the quality of TIR V1 CO₂ data in the LT (736–541 hPa) and MT (541–287 hPa) regions, by comparing them to CONTRAIL CME CO₂ data. Table 1 shows pressure levels of retrieval grid layers of the TIR V1 CO₂ product that this study focused on.

CONTRAIL is a project to observe atmospheric trace gases, such as CO₂ and CH₄, using two types of instruments installed on commercial aircraft operated by Japan Airlines (JAL) starting in 2005. Of the two instruments, CME can observe CO₂ concentrations more frequently over a wide area (Machida et al., 2008). See Machida et al. (2008) and Machida et al. (2011) for details about CME CO₂ observations. This study used CO₂ data obtained with CME during the ascent and descent flights 5 over several airports from 2010 to 2012. Figure 1 shows the locations of the airports used here, which fall in the latitude range of 40°S to 60°N.

3. NICAM-TM CO₂ data

We used atmospheric CO₂ data simulated by NICAM-TM (Niwa et al., 2011b) for global comparison with TANSO-FTS TIR CO₂ data. NICAM has quasi-homogeneous grids, with horizontal grids generated by recursively dividing an 10 icosahedron. The NICAM simulations used in this study were performed with a horizontal resolution of around 240 km, which corresponds to the horizontal resolution when an icosahedron is divided five times (“glevel-5”). See Tomita and Satoh (2004) and Satoh et al. (2008, 2014) for details of NICAM. The transport model version of NICAM, NICAM-TM, has been developed and used for atmospheric transport and source/sink inversion studies of long lived species such as CO₂ (Niwa et al., 2011a,b, 2012, 2017).

15 In this study, simulation of NICAM-TM used inter-annually varying flux data of fossil fuel emissions (Andres et al., 2013) and biomass burnings (van der Werf et al., 2010), and the residual natural fluxes from the inversion of Niwa et al. (2012), which mostly represent fluxes from the terrestrial biosphere and oceans. The inversion analysis of Niwa et al. (2012) was performed for 2006–2008 and the three-year-mean fluxes were used in this study. In the inversion analysis, CONTRAIL CO₂ data obtained during ascending, descending, and cruise level flights were categorized into four vertical bins: 575–625, 20 475–525, 375–425, and 225–275 hPa, and the binned CONTRAIL CO₂ data were then incorporated into the inverse model, in addition to surface CO₂ data (Niwa et al., 2012). Niwa et al. (2012) showed that incorporating the CONTRAIL CO₂ data into the surface flux inversion model improved CO₂ concentration simulation compared with a simulation using surface CO₂ data only. They also demonstrated that the simulated CO₂ concentrations based on CONTRAIL CO₂ data showed better 25 agreement with independent upper atmospheric CO₂ data obtained in the Civil Aircraft for the Regular Investigation of the atmosphere Based on an Instrument Container (CARIBIC) project (Brenninkmeijer et al., 2007). Furthermore, the CO₂ forward simulation of NICAM-TM for 2010–2012 showed a good agreement with in-situ CO₂ observations not only in seasonal cycles but also in trends in spite of using the fluxes optimized for 2006–2008; the simulated growth rate at the Minamitorishima station (e.g., Wada et al., 2011), which is one of the global stations of the Global Atmospheric Watch (GAW), was 2.4 ppm/yr for 2010–2012, while the growth rate based on in-situ observations was 2.2 ppm/yr.

4. Methods

4.1 Bias assessment of TIR CO₂ data using CME observations

Vertical distribution of CO₂ concentrations can be obtained by CME during the ascent flights from departure airports and the descent flights to destination airports. Figure 2 shows the flight tracks of CME ascending and descending observations over 5 Narita airport, Japan (35.8°N, 140.4°E) in 2010. CME CO₂ data were regarded as part of CO₂ vertical profiles, with maximum altitudes around 12 km, and were obtained within 3–4° of latitude and longitude of the airport. Therefore, we set the threshold for selecting coincident pairs of TANSO-FTS TIR and CME CO₂ profiles for comparison to be a 300-km distance from each of the airports shown in Figure 1.

For each of the coincident pairs, we calculated the weighted average of discrete CME CO₂ data in a vertical layer, 10 “CME_raw”, represented by black circles in Figure 3(a), with respect to the center pressure levels of each of the 28 vertical grid layers of TIR CO₂ data. When there were no corresponding CME CO₂ data in lower retrieval grid layers, CO₂ concentration at the lowest altitude observed by CME was assumed to be constant down to the lowest retrieval grid layer. Similarly, the uppermost CO₂ concentration observed was assumed to be constant up to the center pressure level of the 15 retrieval grid layer including the tropopause, identified based on temperature lapse rates of Global Spectral Model Grid Point Values from the Japan Meteorological Agency (JMA-GPV) interpolated to the location of CME measurement. In retrieval grid layers above the tropopause, CO₂ concentrations were determined based on CO₂ concentration gradients calculated from NICAM-TM CO₂ data near a CME measurement location. We collected eight NICAM-TM CO₂ data points from four model 20 grids adjacent to a CME measurement location at times before and after CME measurement, and linearly interpolated them to the CME measurement location and time. The red line in Figure 3(a) shows a CO₂ vertical profile determined in this manner. This CO₂ vertical profile was designated as “CME_obs.” profile. Observations by satellite-borne nadir-viewing 25 sensors like TANSO-FTS have much lower vertical resolution than aircraft observations. Therefore, we smoothed the CME_obs. profile to fit its vertical resolution to the vertical resolution of corresponding TIR CO₂ profile by applying TIR CO₂ averaging kernel functions (AK) to the CME_obs. profile, as follows (Rodgers and Connor, 2003):

$$\mathbf{x}_{\text{CME_AK}} = \mathbf{x}_{\text{a priori}} + \mathbf{A}(\mathbf{x}_{\text{CME_obs.}} - \mathbf{x}_{\text{a priori}}). \quad (1)$$

25 Here, $\mathbf{x}_{\text{CME_obs.}}$ and $\mathbf{x}_{\text{a priori}}$ are the CME_obs. and a priori CO₂ profiles, respectively. CME_obs. data with TIR CO₂ averaging kernels was designated as “CME_AK”, as indicated by the blue line in Figure 3(a).

We set two different criteria for the time difference between TANSO-FTS TIR and CME CO₂ profiles used for selection of 30 coincident pairs: a 24-h difference and a 72-h difference. Figure 4 shows a comparison of the results over Narita airport for coincident pairs with a 24- or 72-h time difference. Both averages and 1- σ standard deviations of differences between TIR and CME CO₂ data selected using the 24- and 72-h thresholds were comparable, as shown in Figure 4, which means that the use of these two time difference criteria does not alter any conclusions drawn from comparisons of TIR and CME CO₂ data. The same was generally applied to comparisons over the other airports shown in Figure 1. Hence, we adopted a 72-h time

difference between TIR and CME CO₂ measurement times for selecting coincident pairs to increase the number of pairs available.

We selected coincident pairs of TIR and CME_AK CO₂ profiles by applying the thresholds of a 300-km distance and a 72-h time difference and calculated the difference in CO₂ concentrations (TIR minus CME_AK) for each retrieval grid layer. All the airports we used were then divided into four latitude bands (40°S–20°S, 20°S–20°N, 20°N–40°N, and 40°N–60°N), and average differences were calculated for each latitude band, retrieval layer, and season (northern spring, MAM; northern summer, JJA; northern fall, SON; and northern winter, DJF). The signs of the calculated average differences were flipped and defined as “bias-correction values” for the 28 retrieval grid layers, four latitude bands, and four seasons. The numbers of coincident pairs of TIR and CME_AK CO₂ profiles varied depending on latitude band and season. The largest number of coincident pairs was obtained in the latitude band of 20°N–40°N including Narita airport, where 506–2501 pairs were obtained. 63–310 and 77–472 coincident pairs were obtained at 40°S–20°S and 40°N–60°N, respectively. The comparison area for low latitudes was extended to a band of 20°S–20°N, because the number of coincident pairs in that region was smaller (0–341) than in other latitude bands; nevertheless, there were no coincident pairs at 20°S–20°N in the JJA seasons of 2011 and 2012. The number of coincident pairs was smallest (0–30) at 20°S–0° and no data were collected there after September 2010. Thus, all bias-correction values for 20°S–20°N after the SON season of 2010 were determined based on data from 0°–20°N.

4.2 Comparison of TIR CO₂ data with NICAM-TM CO₂ data

In this study, we compared monthly averaged TANSO-FTS TIR and NICAM-TM CO₂ data. We used 2.5° grid data from NICAM-TM glevel-5 CO₂ simulations, and calculated monthly averaged TIR and NICAM-TM CO₂ data for each of these 2.5° grids. Here, we interpolated the NICAM-TM CO₂ data from 40 vertical levels into CO₂ concentrations at the 28 retrieval grid layers of TIR CO₂ data. Besides TIR CO₂ data, a priori CO₂ data and TIR CO₂ averaging kernel functions data were also averaged for each month and each 2.5° grid. For each of the 2.5° grids, we applied the monthly averaged TIR CO₂ averaging kernel functions to the corresponding monthly averaged NICAM-TM CO₂ profiles using expression (1) with the corresponding monthly averaged a priori CO₂ profiles. We then calculated differences in CO₂ concentrations between monthly averaged TIR data and monthly averaged NICAM-TM data with TIR averaging kernel functions for each grid. Here, two types of differences were calculated between TIR CO₂ data and NICAM-TM CO₂ data with TIR CO₂ averaging kernel functions: (1) the difference with respect to the original TIR CO₂ data and (2) the difference with respect to bias-corrected TIR CO₂ data to which the bias-correction values described above were applied.

TIR CO₂ averaging kernel functions depend on TIR measurement spectral noise, a priori CO₂ profile variability, and CO₂ Jacobians. Of these three parameters, covariance matrices of the TIR measurement noise and a priori CO₂ profile were set in the same manner for all TIR V1 L2 CO₂ data (Saitoh et al., 2016). The CO₂ Jacobians depend on temperature and CO₂ profiles, and therefore change with location and time. However, TIR CO₂ averaging kernel functions showed nearly identical

structures with each other when collected for each 2.5° grid in one month, which means that applying the monthly averaged TIR CO₂ averaging kernel functions did not affect the conclusions of this study.

5. Results

5.1 Bias of TIR LT and MT CO₂ concentrations

5 Figure 5 presents a comparison between TANSO-FTS TIR V1 and CME_AK CO₂ profiles over Narita airport in each season in 2010. In all seasons, TIR CO₂ data in the LT and MT regions had negative biases against CME_AK CO₂ data. The largest negative biases in TIR CO₂ data were found in the MT region centered at 500–400 hPa. The peak of the negative biases in spring and summer occurred at ~400 hPa, slightly higher than the peak pressure level in fall and winter (~500 hPa), which corresponds to the pressure level at which the TIR CO₂ averaging kernels exhibited their highest sensitivity in each season.

10 Saitoh et al. (2016) showed that TIR V1 CO₂ data agreed well with CME level flight CO₂ data in the UT region (287–196 hPa). As indicated by the solid black lines in Figure 5, the negative biases in TIR CO₂ data against CME ascending and descending flight CO₂ data decreased as altitude increased, which is consistent with the results of Saitoh et al. (2016).

Figure 6 shows differences between TANSO-FTS TIR V1 and CME_AK CO₂ data in the LT and MT regions for each latitude band and each season. TIR CO₂ data had consistent negative biases of 1–1.5% against CME_AK CO₂ data in all 15 retrieval layers from 736 to 287 hPa, with the largest negative biases at 541–398 hPa (retrieval layers 5–6) for all latitude bands and seasons, except for 40°S–20°S in the DJF seasons of 2011 and 2012. Here, we have omitted a detailed discussion of TIR CO₂ data at pressure levels below 736 hPa (retrieval layers 1–2), because TIR measurements have relatively low 20 sensitivity to CO₂ concentrations in these layers, as shown in Figure 3(b). The largest negative biases, up to 7.3 ppm, existed in low latitudes during the JJA season, as indicated by the red line in the upper panel of Figure 6(b), while there were no coincident pairs of TIR and CME CO₂ data in the same season of 2011 and 2012. As presented in Table 2, the negative 25 biases in TIR CO₂ data were larger in spring (MAM) and summer (JJA) than in fall (SON) and winter (DJF) in northern middle latitudes (20°N–40°N), as was the case for UT comparisons presented in Saitoh et al. (2016). On a global scale, the seasonality of negative biases was not clear, given the relatively large 1- σ standard deviations (horizontal bars in the top panels of Figure 6), although these biases tended to be larger in the spring hemisphere than in the fall hemisphere within each latitude band. Comparing results among the three years, the negative biases in TIR CO₂ data slightly increased over time in some latitude bands and seasons, but not as sharply as in the UT CO₂ comparisons discussed in Saitoh et al. (2017). Note that the number of comparison pairs used in Figure 6 varied among latitude bands; the largest number occurred at 20°N–40°N, and the number of coincident profiles decreased in low latitudes and the Southern Hemisphere, where there are fewer airports.

5.2 Validity of bias correction based on CME data

Negative biases in TANSO-FTS TIR V1 CO₂ data in the LT and MT regions did not exhibit evident dependence on season or year, as shown in Figure 6. However, it is difficult to discern whether bias assessment using TIR CO₂ data over airports reflects the typical features of each latitude band due to the limited airport locations. Therefore, we validated the

5 applicability of the bias-correction values based on comparisons with CME_AK CO₂ data over the entire area of each latitude band by comparing TIR CO₂ data to NICAM-TM CO₂ data to which TIR CO₂ averaging kernel functions were applied on a global scale. Figure 7 shows the frequency distributions of differences in monthly averaged CO₂ concentrations between TIR and NICAM-TM CO₂ data in all retrieval layers from 736 to 287 hPa in all 2.5° grids over the latitude range of 10 40°S to 60°N. As shown by the dashed lines in Figure 7, the mode values of the frequency distributions generally corresponded to the median values, indicating that TIR CO₂ data did not have locally distorted biases against NICAM-TM CO₂ data. In addition, negative biases of TIR CO₂ data against NICAM-TM CO₂ data in all seasons slightly increased over 15 time, judging from the mode values presented in the top left boxes of Table 3, although the increase in negative biases was not much evident as in the comparisons over airports shown in Figure 6; this may be partly because of slightly high growth rate of NICAM-TM simulations (2.4 ppm/yr) compared to in-situ observations (2.2 ppm/yr).

15 The solid lines in Figure 7 show frequency distributions of differences between NICAM-TM CO₂ data and bias-corrected TIR CO₂ data to which the bias-correction values defined for each retrieval layer, latitude band, and season were applied. The mode values presented in the top right boxes of Table 3, which were nearly identical to the median values, were closer to zero in all three years. In addition, variability in the differences, as indicated by the width of the distribution, between bias-corrected TIR and NICAM-TM CO₂ data was comparable to or smaller than that between the original TIR and NICAM-20 TM CO₂ data; this can be seen by comparisons in values of frequencies at the mode values between before and after applying the bias-corrections values, presented in Table 3. This demonstrates the validity of the 288 bias-correction values defined for six retrieval layers from 736 to 287 hPa, four latitude bands (0°S–20°S, 20°S–20°N, 20°N–40°N, and 40°N–60°N), and four seasons of 2010–2012. We thus conclude that the bias-correction values defined based on comparisons in limited areas near 25 airports are generally applicable to TIR CO₂ data in areas other than the airport locations. However, there were some exceptions during the JJA season. As indicated by the solid black line in Figure 7(c), the frequency distribution of differences between bias-corrected TIR and NICAM-TM CO₂ data in the JJA season of 2010 had a clear bimodal feature, with one of the mode values located near 4 ppm.

We divided the frequency distribution in the JJA season of 2010 into three categories based on the retrieval layers: 736–541 hPa (retrieval layers 3–4), 541–398 hPa (retrieval layers 5–6), and 398–287 hPa (retrieval layers 7–8), as shown in Figure 8. 30 A frequency distribution with a mode of 4 ppm was obtained from bias-corrected TIR CO₂ data in the MT region above 541 hPa, especially on 398–287 hPa. That is, TIR CO₂ data on 398–287 hPa in the JJA season of 2010 were clearly overcorrected when applying the bias-correction values defined in this study. In the retrieval layers of 736–541 hPa, the mode value of the frequency distribution after bias-correction was close to zero and the width of the distribution narrowed,

demonstrating the validity of the corresponding bias-correction value. For the JJA seasons of 2011 and 2012, bias-correction values could not be determined because there were no coincident pairs between TIR and CME CO₂ data over airports; therefore, we substituted the bias-correction value for the same season of 2010. The frequency distribution of the differences between NICAM-TM and TIR CO₂ data after bias-correction in the JJA season of 2011 had a somewhat bimodal shape, 5 while that in the JJA season of 2012 did not have any bimodal structure, as shown in Figure 7(c). The negative bias of the original TIR CO₂ data against NICAM-TM CO₂ data in the JJA season of 2012 was larger than that in the JJA season of 2010; thus, applying the bias-correction value for 2010 to the 2012 TIR CO₂ data did not lead to any evident overcorrection. Next, we divided the frequency distribution in the retrieval layers of 398–287 hPa in the JJA season of 2010, shown in 10 Figure 8, into four latitude bands. Judging from the results presented in Figure 9, overcorrection of the negative biases in TIR CO₂ data against NICAM-TM CO₂ data occurred at 20°S–20°N and 40°N–60°N; TIR CO₂ data were markedly overcorrected by the bias-correction value based on comparisons of CME CO₂ data over airports, especially in the latitude 15 band of 20°S–20°N. As shown in the upper panel of Figure 6, negative biases in TIR CO₂ data against CME CO₂ data over airports in low latitudes during the JJA season were clearly larger than the biases found in other latitudes and seasons. Judging from comparisons of global NICAM-TM CO₂ data, however, applying bias-correction values based on the negative 15 biases observed over airports to TIR CO₂ data over the entire area of 20°S–20°N led to overcorrections in most cases.

6. Discussion

Any uncertainties in a priori data can affect retrieval results. A priori CO₂ data taken from the NIES-TM05 model (Saeki et al., 2013b) was used in the TANSO-FTS TIR V1 CO₂ retrieval processing, and exhibited consistent negative biases against CME CO₂ data in the troposphere and the lower stratosphere. As discussed in Saitoh et al. (2016), the negative biases in a 20 priori CO₂ data were one likely reason for negative biases in retrieved CO₂ concentrations in the UTLS region. The same pattern holds for negative biases in TIR CO₂ data in the LT and MT regions. However, negative biases in retrieved TIR CO₂ data were larger than those of a priori CO₂ data in the LT and MT regions, as shown in Figure 5. Furthermore, the vertical and latitudinal structures of the negative biases in TIR CO₂ data did not always correspond to those in a priori CO₂ data. 25 Although negative biases in a priori CO₂ data surely contribute to negative biases in TIR V1 CO₂ data in the LT and MT regions, there are likely other considerable sources of TIR CO₂ negative biases.

Uncertainty in atmospheric temperature data could affect CO₂ retrievals. As shown in Figure 7(a) of Saitoh et al. (2009), 30 uncertainties in retrieved CO₂ concentrations due to uncertainties in atmospheric temperature were largest in the UT, upper MT, and LT regions; a bias of 1 K in atmospheric temperature can yield up to ~10% uncertainty in retrieved CO₂ concentrations in the MT and LT regions. However, simultaneous retrieval of atmospheric temperature in the V1 CO₂ retrieval algorithm could decrease the effect on CO₂ retrieval results. In addition to that, no evidence has been reported that the JMA-GPV temperature data used as initial values (equal to a priori values) in the TIR V1 CO₂ retrieval processing have biases over such wide latitudinal areas, as in this study. Thus, uncertainty in atmospheric temperature is not a primary cause

of negative biases in TIR CO₂ data in the LT and MT regions. Although the effect of uncertainty in H₂O data on CO₂ retrieval results could be also decreased by simultaneous retrieval of H₂O with CO₂ in the TIR V1 algorithm, water vapor is abundant in the tropics, so that we cannot deny the possibility of its effect on CO₂ retrieval results. Similarly, error in the judgement of cloud contamination in low latitudes with high cloud occurrence frequency may affect CO₂ retrieval results.

5 As shown in Figure 6, the largest negative biases in TIR V1 CO₂ data existed in the MT region in low latitudes (20°S–20°N) during the JJA season. Degrees of freedom (DF) of TIR V1 CO₂ data were highest in low latitudes, exceeding 2.2 in all seasons, which means retrieved CO₂ concentrations there contained more information coming from TANSO-FTS TIR L1B spectra and thus were relatively less constrained to a priori concentrations. Kataoka et al. (2014) reported biases in TANSO-FTS TIR V130.131 L1B radiance spectra, which were a previous version of the V161 L1B data used in TIR V1 L2 CO₂ retrieval, on the basis of a double difference method. Similar analysis for the V161 L1B spectra is in progress. Kuze et al. (2016) summarized updates in the processing method for TANSO-FTS L1B spectra and showed that the V161 and newer version (V201) of TANSO-FTS L1B spectra still had considerable uncertainties via theoretical simulations. Kataoka et al. (2014) and Kuze et al. (2016) demonstrated that TANSO-FTS TIR L1B spectra had considerable radiance biases, which were largest at around 15-μm CO₂ absorption band.

10 In the TIR V1 CO₂ retrieval algorithm, we simultaneously retrieved surface temperature and surface emissivity with CO₂ concentration as a correction parameter for radiance biases in the V161 spectra, as explained in Saitoh et al. (2016). In the CO₂ retrieval, these surface parameters were retrieved to correct the radiance biases separately in the three spectral regions of the 15-μm (690–715 cm⁻¹, 715–750 cm⁻¹, and 790–795 cm⁻¹), 10-μm (930–990 cm⁻¹), and 9-μm bands (1040–1090 cm⁻¹). As reported in Saitoh et al. (2016), the simultaneous retrieval of surface parameters for correction of radiance biases increased the number of normally retrieved CO₂ data (by roughly 1.5 times over Narita airport). This demonstrates a certain level of validity for the correction of radiance biases through simultaneous retrieval of surface parameters for the V161 spectra. However, we note that retrieving surface parameters for radiance bias correction at each wavelength band may affect retrieved CO₂ concentrations, and remaining radiance biases after correction at each wavelength band may also affect retrieved CO₂ concentrations.

15 To examine the effect of the simultaneous retrieval of surface parameters at each of the three wavelength bands on retrieved CO₂ concentrations, we performed test retrievals of CO₂ concentrations using V161 spectra in four cases: using all three of these bands, in the same manner as the V1 algorithm; using two bands, 15-μm and 10-μm; using two bands, 15-μm and 9-μm; and using the 15-μm band only. Figure 10 shows the CO₂ retrieval results for two TANSO-FTS observations over Narita airport in April 2010. As shown in Figure 10(a), negative biases in TIR CO₂ concentrations against nearby CME CO₂ concentrations in the LT and MT regions became notably smaller when using the 15-μm and 9-μm bands (black dashed line) and the 15-μm band only (black dashed-dotted line), both conditions that did not use the 10-μm band. It is clear that using the 9-μm band did not contribute to negative biases in retrieved CO₂ concentrations, judging from the minor difference in CO₂ concentrations between the use of all three bands (solid line) and the use of the 15-μm and 10-μm bands (dotted line). In addition, there were no major differences in retrieved CO₂ concentrations among the four retrieval cases when the original

V1 CO₂ profile did not have distinct negative biases, as shown in Figure 10(b). According to theoretical calculations shown in Figure 13 in Kuze et al. (2016), there were no distinct radiance biases in the 10- μm band in the latest version of the TANSO-FTS TIR spectra. If it is true for observed TIR radiances, our test retrievals imply that simultaneous retrieval of surface parameters for TIR spectra at the 10- μm band with less radiance bias worsened CO₂ retrieval results. The test retrieval results demonstrate that using the 10- μm band in conjunction with the 15- μm and 9- μm bands in the V1 retrieval algorithm is a probable cause of the negative biases in retrieved CO₂ concentrations in the LT and MT regions, although this cannot fully explain the biases.

CO₂ absorption at 15 μm is considerably larger than that at 9 or 10 μm . However, measurements in the 9- μm and 10- μm bands are most sensitive to CO₂ concentrations in the LT and MT regions; the peak sensitivity of the 9- μm and 10- μm bands occurred on 736–541 hPa and 541–398 hPa, respectively, judging from CO₂ Jacobian values. Therefore, using the 9- μm and 10- μm bands in conjunction with the 15- μm band should be useful for retrieving CO₂ vertical profiles. In fact, in the case of the retrieval result shown in Figure 10(a), the degree of freedom of CO₂ retrieval was 1.93 when using the 15- μm band only, and it increased to 1.94, 1.95, and 1.96 when adding the 9- μm band, the 10- μm band, and both the 9- μm and 10- μm bands, respectively. In the next update of the CO₂ retrieval algorithm for TANSO-FTS TIR spectra, we should consider an improved method for correcting radiance biases in CO₂ retrieval processing or adopting the correction of TIR L1B spectra themselves proposed by Kuze et al. (2016).

Bias-correction values determined based on comparisons of CME CO₂ data over airports overcorrected negative biases in TIR CO₂ data in the upper MT region from 398 to 287 hPa in low latitudes (20°S–20°N) during the JJA season, as shown in Figure 9. The CME data that determined the bias-correction values of the 20°S–20°N latitude band were concentrated in Southeast Asia, as illustrated in Figure 1: BKK (Bangkok), SIN (Singapore), and CGK (Jakarta). In addition, the bias-correction values for the 20°S–20°N latitude band after the SON season of 2010 were determined from comparisons of CME data at 0°–20°N, because no data were collected at 20°S–0° after September 2010, as mentioned above. Figure 11 shows differences between TIR CO₂ data with no bias correction and NICAM-TM CO₂ data with TIR CO₂ averaging kernel functions on 682 hPa and 314 hPa in July 2010. As shown in the lower panel of Figure 11, TIR CO₂ data on 314 hPa had negative biases against NICAM-TM CO₂ data in most areas at 0°–20°N, and the negative biases were largest near airport locations in Southeast Asia. At 20°S–0°, on the other hand, TIR CO₂ data on 314 hPa were closer to NICAM-TM CO₂ data than at 0°–20°N. Relying on NICAM-TM CO₂ data, which incorporates CONTRAIL CO₂ data in the inversion, application of bias-correction values determined mainly from comparisons of CME CO₂ data in the MT region at 0°–20°N to TIR CO₂ data over the entire area of low latitudes including 20°S–0° produced widespread overcorrection.

In general, there are few areas where we can obtain reliable in situ CO₂ data for validation analysis. In particular, there are very few in situ CO₂ data in the free troposphere where TIR observations are most sensitive, compared to the surface. In low latitudes, there are relatively strong updrafts, and thus there are larger uncertainties among models than in other areas due to differences in the parameterization of vertical transport. Therefore, a priori CO₂ concentrations taken from the NIES-TM05 model (Saeki et al., 2013b) probably have larger uncertainties in the MT region in low latitudes. As retrieved TIR CO₂

concentrations were to some extent constrained by a priori concentrations, they possibly had more biases attributed to the a priori uncertainties in the MT region in low latitudes. More in-situ CO₂ data in the upper atmosphere in low latitudes are needed to validate both satellite data and model results. Although HIAPER Pole-to-Pole Observations (HIPPO) data (Wofsy et al., 2011) are not suitable for a comprehensive validation study as in this study due to their limited 5 observation periods, HIPPO CO₂ data are useful to validate CO₂ vertical profiles observed by satellite-borne sensors and simulated in models (Kulawik et al., 2013). In addition, there may also be large biases in retrieved CO₂ data in local source and sink regions, where model data are more variable depending on the surface flux dataset. In such areas, it is difficult to determine bias-correction values that can be applicable over a vast area; it is true in the case of 40°N–60°N. In conclusion, 10 comprehensive validation analysis of satellite data is still needed to evaluate accuracy both in background regions and in regions with high CO₂ variability. Reconsideration of the setting of retrieval grid layers is also needed so that measurement information should be included more prominently in TIR CO₂ retrieval results.

Overall, the bias-correction values evaluated in each retrieval layer, latitude band, and season (Figure 6) can be applied to corresponding TIR CO₂ data, except at 20°S–20°N during the JJA seasons of 2011 and 2012, when bias-correction values were not determined due to a lack of coincident CME CO₂ data. In these two cases, we recommended applying bias- 15 correction value 0.5 ppm and 1.0 ppm larger than the corresponding bias-correction value for 2010 to TIR CO₂ data for 2011 and 2012, respectively, judging from comparison results between the original TIR and NICAM-TM CO₂ data.

7. Summary

We evaluated biases of the GOSAT/TANSO-FTS TIR V1 L2 CO₂ product in the LT and MT regions (736–287 hPa) by comparing the TIR CO₂ profiles with coincident CONTRAIL CME CO₂ profiles over airports from 2010 to 2012. 20 Coincident criteria for comparisons of a 300-km distance and a 72-h time difference yielded a sufficient number of coincident pairs, except in low latitudes (20°S–20°N) during JJA seasons of 2011 and 2012. Comparisons between TIR CO₂ profiles and CME CO₂ profiles to which TIR CO₂ averaging kernel functions were applied showed that the TIR V1 CO₂ data had consistent negative biases of 1–1.5% against CME CO₂ data in the LT and MT regions; the negative biases were the largest on 541–398 hPa (retrieval layers 5–6), and were larger in spring and summer than in fall and winter in northern 25 middle latitudes, as is the case in the UT region (287–196 hPa). Our test retrieval simulations showed that using the 10-μm CO₂ absorption band (930–990 cm⁻¹), in addition to the 15-μm (690–750 cm⁻¹ and 790–795 cm⁻¹) and 9-μm (1040–1090 cm⁻¹) bands, increased negative biases in retrieved CO₂ concentrations in the LT and MT regions, suggesting that simultaneous retrieval of surface parameters for radiance bias correction at the 10-μm band worsened CO₂ retrieval results.

We then performed global comparisons between TIR V1 CO₂ data and NICAM-TM CO₂ data with considering TIR CO₂ 30 averaging kernel functions to confirm the validity of the bias assessment over airports. Differences in CO₂ concentrations between TIR and NICAM-TM data approached an average of zero after application of the bias-correction values to TIR CO₂ data, demonstrating that the bias-correction values evaluated over airports in limited areas are applicable to TIR CO₂ data for

the entire areas of 40°S–60°N. Note that applying the bias correction value at 20°S–20°N in the upper MT region (398–287 hPa) during the JJA season resulted in overcorrection of TIR CO₂ data.

This study presented bias-correction values for the GOSAT/TANSO-FTS TIR V1 L2 CO₂ product evaluated in the LT and MT region (736–287 hPa) in each latitude band and each season of 2010–2012. This information should be useful for further analyses, including CO₂ surface flux estimation and transport process studies using TIR CO₂ data in the free troposphere, and also helpful for evaluating wavelength-dependent radiance biases in TANSO-FTS TIR spectra to improve TIR CO₂ retrieval algorithm.

Data availability

GOSAT/TANSO-FTS TIR V1 L2 and a priori NIES-TM05 CO₂ data and TIR CO₂ averaging kernel data are available at <http://www.gosat.nies.go.jp/en/>. Contact the CONTRAIL project (<http://www.cger.nies.go.jp/contrail/index.html>) to access CONTRAIL CME CO₂ data. Contact Y. Niwa for detailed information on NICAM-TM CO₂ simulations. Contact the corresponding author, N. Saitoh, to obtain the table of bias-correction values for TIR V1 L2 CO₂ data evaluated in this study.

Acknowledgment.

We thank all the members of the GOSAT Science Team and their associates. We are also grateful to the engineers of Japan Airlines, the JAL Foundation, and JAMCO Tokyo for supporting the CONTRAIL project. This study was funded by the Japan Aerospace Exploration Agency (JAXA). This study was performed within the framework of the GOSAT Research Announcement.

References

Andres, R. J., Boden, T., and Marland, G.: Monthly Fossil-Fuel CO₂ Emissions: Mass of Emissions Gridded by One Degree Latitude by One Degree Longitude, Carbon Dioxide Information Analysis Center, Oak Ridge National Laboratory, U.S. Department of Energy, Oak Ridge, Tenn., USA, doi:10.3334/CDIAC/ffe.MonthlyMass.2013, 2013.

Barkley, M. P., Frieß, U., and Monks, P. S.: Measuring atmospheric CO₂ from space using Full Spectral Initiation (FSI) WFM-DOAS, *Atmos. Chem. Phys.*, 6, 3517–3534, 2006.

Barnes, E. A., Parazoo, N., Orbe, C., and Denning, A. S.: Isentropic transport and the seasonal cycle amplitude of CO₂, *J. Geophys. Res. Atmos.*, 121, doi:10.1002/2016JD025109, 2016.

Basu, S., Guerlet, S., Butz, A., Houweling, S., Hasekamp, O., Aben, I., Krummel, P., Steele, P., Langenfelds, R., Torn, M., Biraud, S., Stephens, B., Andrews, A., and Worthy, D.: Global CO₂ fluxes estimated from GOSAT retrievals of total column CO₂, *Atmos. Chem. Phys.*, 13, 8695–8717, 2013.

Basu, S., Krol, M., Butz, A., Clerbaux, C., Sawa, Y., Machida, T., Matsueda, H., Frankenberg, C., Hasekamp, O. P., and Aben, I.: The seasonal variation of the CO₂ flux over Tropical Asia estimated from GOSAT, CONTRAIL, and IASI, *Geophys. Res. Lett.*, 41, 1809-1815, 2014.

Brenninkmeijer, C. A. M. et al.: Civil Aircraft for the regular investigation of the atmosphere based on an instrumented 5 container: The new CARIBIC system, *Atmos. Chem. Phys.*, 7, 4953-4976, 2007.

Buchwitz, M., de Beek, R., Burrows, J. P., Bovensmann, H., Warneke, T., Notholt, J., Meirink, J. F., Goede, A. P. H., Bergamaschi, P., Körner, S., Heimann, M., and Schulz, A.: Atmospheric methane and carbon dioxide from SCIAMACHY satellite data: initial comparison with chemistry and transport models, *Atmos. Chem. Phys.*, 5, 941-962, 2005.

Butz, A. et al.: Toward accurate CO₂ and CH₄ observations from GOSAT, *Geophys. Res. Lett.*, 38, 10 doi:10.1029/2011GL047888, 2011.

Chahine, M., Barnet, C., Olsen, E. T., Chen, L., and Maddy, E.: On the determination of atmospheric minor gases by the method of vanishing partial derivatives with application to CO₂, *Geophys. Res. Lett.*, 32, doi:10.1029/2005GL024165, 2005.

Chédin, A., Serrar, S., Armante, R., Scott, N. A., and Hollingsworth, A.: Signatures of annual and seasonal variations of CO₂ and other greenhouse gases from comparisons between NOAA TOVS observations and radiation model simulations, *J. 15 Climate*, 15, 95-116, 2002.

Chédin, A., Serrar, S., Scott, N. A., Crevoisier, C., and Armante, R.: First global measurement of midtropospheric CO₂ from NOAA polar satellites, *J. Geophys. Res.*, 108, doi:10.1029/2003JD003439, 2003.

Chédin, A., Serrar, S., Scott, N. A., Pierangelo, C., and Ciais, P.: Impact of tropical biomass burning emissions on the diurnal cycle of upper tropospheric CO₂ retrieved from NOAA 10 satellite observations, *J. Geophys. Res.*, 110, 20 doi:10.1029/2004JD005540, 2005.

Chevallier, F., Fisher, M., Peylin, P., Serrar, S., Bousquet, P., Bréon, F.-M., Chédin, A., and Ciais, P.: Inferring CO₂ sources and sinks from satellite observations: Method and application to TOVS data, *J. Geophys. Res.*, 110, doi:10.1029/2005JD006390, 2005.

Chevallier, F., Palmer, P. I., Feng, L., Boesch, H., O'Dell, C. W., and Bousquet, P.: Toward robust and consistent regional 25 CO₂ flux estimates from in situ and spaceborne measurements of atmospheric CO₂, *Geophys. Res. Lett.*, 41, 1065-1070, 2014.

Cogan, A. J., Boesch, H., Parker, R. J., Feng, L., Palmer, P. I., Blavier, J.-F. L., Deutscher, N. M., Macatangay, R., Notholt, J., Roehl, C., Warneke, T., and Wunch, D.: Atmospheric carbon dioxide retrieved from the Greenhouse gases Observing 30 SATellite (GOSAT): Comparison with ground-based TCCON observations and GEOS-Chem model calculations, *J. Geophys. Res.*, 117, doi:10.1029/2012JD018087, 2012.

Connor, B., Bösch, H., McDuffie, J., Taylor, T., Fu, D., Frankenberg, C., O'Dell, C., Payne, V. H., Gunson, M., Pollock, R., Hobbs, J., Oyafuso, F., and Jiang, Y.: Quantification of uncertainties in OCO-2 measurements of XCO₂: simulations and linear error analysis, *Atmos. Meas. Tech.*, 9, 5227-5238, 2016.

Crevoisier, C., Heilliette, S., Chédin, A., Serrar, S., Armante, R., and Scott, N. A.: Mmidtropospheric CO₂ concentration

retrieval from AIRS observations in the tropics, *Geophys. Res. Lett.*, 31, doi:10.1029/2004GL020141, 2004.

Crevoisier, C., Chédin, A., Matsueda, H., Machida, T., Armante, R., and Scott, N. A.: First year of upper tropospheric integrated content of CO₂ from IASI hyperspectral infrared observations *Atmos. Chem. Phys.*, 9, 4797-4810, 2009.

Crisp, D., Pollock, H. R., Rosenberg, R., Chapsky, L., Lee, R. A. M., Oyafuso, F. A., Frankenberg, C., O'Dell, C. W., Bruegge, C. J., Doran, G. B., Eldering, A., Fisher, B. M., Fu, D., Gunson, M. R., Mandrake, L., Osterman, G. B., Schwandner, F. M., Sun, K., Taylor, T. E., Wennberg, P. O., and Wunch, D.: The on-orbit performance of the Orbiting Carbon Observatory-2 (OCO-2) instrument and its radiometrically calibrated products, *Atmos. Meas. Tech.*, 10, 59-81, 2017.

Intergovernmental Panel on Climate Change (IPCC): Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change, Cambridge University Press, Cambridge, United Kingdom and New York, NY, 10 USA, 2013.

Gurney, K. R. et al.: Towards robust regional estimates of CO₂ sources and sinks using atmospheric transport models, *Nature*, 415, 626-630, 2002.

Gurney, K. R. et al.: Transcom 3 inversion intercomparison: Model mean results for the estimation of seasonal carbon sources and sinks, *Geosci. Model Dev.*, doi:10.1029/2003GB002111, 2004.

Kataoka, F., Knuteson, R. O., Kuze, A., Suto, H., Shiomi, K., Harada, M., Garms, E. M., Roman, J. A., Tobin, D. C., Taylor, J. K., Revercomb, H. E., Sekio, N., Higuchi, R., and Mitomi, Y.: TIR spectral radiance calibration of the GOSAT satellite borne TANSO-FTS with the aircraft-based S-HIS and the ground-based S-AERI at the Railroad Valley desert playa, *IEEE T. Geosci. Remote*, 52, 89-105, 2014.

Kulawik, S. S., Jones, D. B. A., Nassar, R., Irion, F. W., Worden, J. R., Bowman, K. W., Machida, T., Matsueda, H., Sawa, Y., Biraud, S. C., Fischer, M. L., and Jacobson, A. R.: Characterization of Tropospheric Emission Spectrometer (TES) CO₂ for carbon cycle science, *Atmos. Chem. Phys.*, 10, 5601-5623, 2010.

Kulawik, S. S. et al.: Comparison of improved Aura Tropospheric Emission Spectrometer CO₂ with HIPPO and SGP aircraft profile measurements, *Atmos. Chem. Phys.*, 13, 3205-3225, 2013.

Kuze, A., Suto, H., Nakajima, M., and Hamazaki, T.: Thermal and near infrared sensor for carbon observation Fourier-transform spectrometer on the Greenhouse Gases Observing Satellite for greenhouse gases monitoring, *Appl. Optics*, 48, 6716-6733, 2009.

Kuze, A., Suto, H., Shiomi, K., Kawakami, S., Tanaka, M., Ueda, Y., Deguchi, A., Yoshida, J., Yamamoto, Y., Kataoka, F., Taylor, E. T. and Buijs, H.: Update on GOSAT TANSO-FTS performance, operations, and data products after more than 6 years in space, *Atmos. Meas. Tech.*, 9, 2445-2461, 2016.

Machida, T., Matsueda, H., Sawa, Y., Nakagawa, Y., Hirotani, K., Kondo, N., Goto, K., Nakazawa, T., Ishikawa, K., and Ogawa, T.: Worldwide measurements of atmospheric CO₂ and other trace gas species using commercial airlines, *J. Atmos. Ocean Tech.*, 25, 1744-1754, 2008.

Machida, T., Tohjima, Y., Katsumata, K., and Mukai, H.: A new CO₂ calibration scale based on gravimetric one-step dilution cylinders in National Institute for Environmental Studies - NIES 09 CO₂ Scale, 15th WMO/IAEA Meeting of

Experts on Carbon Dioxide, Other Greenhouse Gases and Related Tracers Measurement Techniques, GAW Rep., 194, 165-169, World Meteorological Organization, Geneva, Switzerland, 2011.

Maddy, E. S., Barnet, C. D., Goldberg, M., Sweeney, C., and Liu, X.: CO₂ retrievals from the Atmospheric Infrared Sounder: Methodology and validation, *J. Geophys. Res.*, 113, doi:10.1029/2007JD009402, 2008.

5 Maksyutov, S., Takagi, H., Valsala, V. K., Saito, M., Oda, T., Saeki, T., Belikov, D. A., Saito, R., Ito, A., Yoshida, Y., Morino, I., Uchino, O., Andres, R. J., and Yokota, T.: Regional CO₂ flux estimates for 2009-2010 based on GOSAT and ground-based CO₂ observations, *Atmos. Chem. Phys.*, 13, 9351-9373, 2013.

Miyazaki, K., Patra, P. K., Takigawa, M., Iwasaki, T., and Nakazawa, T.: Global-scale transport of carbon dioxide in the troposphere, *J. Geophys. Res.*, 113, doi:10.1029/2007JD009557, 2008.

10 Niwa, Y., Patra, P. K., Sawa, Y., Machida, T., Matsueda, H., Belikov, D., Maki, T., Ikegami, M., Imasu, R., Maksyutov, S., Oda, T., Satoh, M. and Takigawa, M.: Three-dimensional variations of atmospheric CO₂: aircraft measurements and multi-transport model simulations, *Atmos. Chem. Phys.*, 11, 13359-13375, 2011a.

Niwa, Y., Tomita, H., Satoh, M., and Imasu, R.: A three-dimensional icosahedral grid advection scheme preserving monotonicity and consistency with continuity for atmospheric tracer transport, *J. Meteor. Soc. Jpn.*, 89, 255-268, 2011b.

15 Niwa, Y., Machida, T., Sawa, Y., Matsueda, H., Schuck, T. J., Brenninkmeijer, C. A. M., Imasu, R., and Satoh, M.: Imposing strong constraints on tropical terrestrial CO₂ fluxes using passenger aircraft based measurements, *J. Geophys. Res.*, 117, doi:10.1029/2012JD017474, 2012.

Niwa, Y., Tomita, H., Satoh, M., Imasu, R., Sawa, Y., Tsuboi, K., Matsueda, H., Machida, T., Sasakawa, M., Belan, B., and Saigusa, N.: A 4D-Var inversion system based on the icosahedral grid model (NICAM-TM 4D-Var v1.0) – Part 1: Offline 20 forward and adjoint transport models, *Geosci. Model Dev.*, 10, 1157-1174, doi:10.5194/gmd-10-1157-2017, 2017.

O'Dell, C. W. et al.: The ACOS CO₂ retrieval algorithm - Part 1: Description and validation against synthetic observations *Atmos. Meas. Tech.*, 5, 99-121, 2012.

Ota, Y. and Imasu, R.: CO₂ retrieval using thermal infrared radiation observation by Interferometric Monitor for Greenhouse Gases (IMG) onboard Advanced Earth Observing Satellite (ADEOS), *J. Meteorol. Soc. Jpn.*, 94, 471-490, 2016.

25 Patra, P. K. et al.: Sensitivity of inverse estimation of annual mean CO₂ sources and sinks to ocean-only sites versus all-sites observational networks, *Geophys. Res. Lett.*, doi:10.1029/2005GL025403, 2006.

Peters, W., Jacobson, A. R., Sweeney, C., Andrews, A. E., Conway, T. J., Masarie, K., Miller, J. B., Bruhwiler, L. M. P., Petron, G., Hirsch, A. I., Worthy, D. E. J., van der Werf, G. R., Randerson, J. T., Wennberg, P. O., Krol, M. C., and Tans, P. P.: An atmospheric perspective on North American carbon dioxide exchange: CarbonTracker", *PNAS*, 48, 104, 18925-18930, 30 with updates documented at <http://carbontracker.noaa.gov>.

Rodgers, C. D. and Connor, B. J.: Intercomparison of remote sounding instruments, *J. Geophys. Res.*, 108, 10.1029/2002JD002299, 2003.

Saeki, T., Maksyutov, S., Saito, M., Valsala, V., Oda, T., Andres, R. J., Belikov, D., Tans, P., Dlugokencky, E., Yoshida, Y., Morino, I., Uchino, O., and Yokota, T.: Inverse Modeling of CO₂ Fluxes Using GOSAT Data and Multi-Year Ground-Based Observations, SOLA, 9, 45-50, 2013a.

Saeki, T., Saito, R., Belikov, D., and Maksyutov, S.: Global high-resolution simulations of CO₂ and CH₄ using a NIES transport model to produce a priori concentrations for use in satellite data retrievals, Geosci. Model Dev., 6, 81-100, 2013b.

Saitoh, N., Imasu, R., Ota, Y., and Niwa, Y.: CO₂ retrieval algorithm for the thermal infrared spectra of the Greenhouse Gases Observing Satellite: potential of retrieving CO₂ vertical profile from high-resolution FTS sensor, J. Geophys. Res., 114, doi:10.1029/2007JD011500, 2009.

Saitoh, N., Kimoto, S., Sugimura, R., Imasu, R., Kawakami, S., Shiomi, K., Kuze, A., Machida, T., Sawa, Y. and Matsueda, H.: Algorithm update of GOSAT/TANSO-FTS TIR CO₂ product (Version 1) and validation of the UTLS CO₂ data using CONTRAIL measurements, Atmos. Meas. Tech., 9, 2119–2134, 2016.

Saitoh, N., Kimoto, S., Sugimura, R., Yamada, A., Imasu, S., Shiomi, K., Kuze, A., Machida, T., Sawa, Y. and Matsueda, H.: Time-series and seasonal variations of upper tropospheric CO₂ concentrations obtained with the GOSAT/TANSO-FTS TIR band, in preparation.

Satoh, M., Matsuno, T., Tomita, H., Miura, H., Nasuno, T. and Iga, S.: Nonhydrostatic icosahedral atmospheric model (NICAM) for global cloud resolving simulations, J. Comput. Phys., 227, 3486–3514, 2008.

Satoh, M., Tomita, H., Yashiro, H., Miura, H., Kodama, C., Seiki, T., Noda, A. T., Yamada, Y., Goto, D., Sawada, M., Miyoshi, T., Niwa, Y., Hara, M., Ohno, T., Iga, S., Arakawa, T., Inoue, T., and Kubokawa, H.: The Non-hydrostatic Icosahedral Atmospheric Model: description and development, Progress in Earth and Planetary Science, 1, 1–32, doi:10.1186/s40645-014-0018-1, 2014.

Strow, L. L. and Hannon, S. E.: A 4-year zonal climatology of lower tropospheric CO₂ derived from ocean-only Atmospheric Infrared Sounder observations, J. Geophys. Res., 113, doi:10.1029/2007JD009713, 2008.

Takagi, H. et al.: Influence of differences in current GOSAT XCO₂ retrievals on surface flux estimation, Geophys. Res. Lett., 41, 2598-2605, 2014.

Tomita, H. and Satoh, M.: A new dynamical framework of non- hydrostatic global model using the icosahedral grid, Fluid Dyn. Res., 34, 357–400, doi:10.1016/j.fluiddyn.2004.03.003, 2004.

van der Werf, G. R., Randerson, J. T., Giglio, L., Collatz, G. J., Mu, M., Kasibhatla, P. S., Morton, D. C., DeFries, R. S., Jin, Y., and van Leeuwen, T. T.: Global fire emissions and the contribution of deforestation, savanna, forest, agricultural, and peat fires (1997– 2009), Atmos. Chem. Phys., 10, 11707–11735, doi:10.5194/acp-10-11707-2010, 2010.

Wada, A., Matsueda, H., Sawa, Y., Tsuboi, K., and Okubo, S.: Seasonal variation of enhancement ratios of trace gases observed over 10 years in the western North Pacific, Atmos. Environ., 45, 2129–2137, doi:10.1016/j.atmosenv.2011.01.043, 2011.

Wofsy, S. C.: HIAPER Pole-to-Pole Observations (HIPPO): fine-grained, global-scale measurements of climatically important atmospheric gases and aerosols, Phil. Trans. Roy. Soc. A: Math. Phys. Eng. Sci., 369, 2073–2086, 2011.

Yoshida, Y., Ota, Y., Eguchi, N., Kikuchi, N., Nobuta, K., Tran, H., Morino, I., and Yokota, T.: Retrieval algorithm for CO₂ and CH₄ column abundances from short-wavelength infrared spectral observations by the Greenhouse gases observing satellite, *Atmos. Meas. Tech.*, 4, 717-734, 2011.

Yoshida, Y. et al.: Improvement of the retrieval algorithm for GOSAT SWIR XCO₂ and XCH₄ and their validation using
5 TCCON data, *Atmos. Meas. Tech.*, 6, 1533-1547, 2013.

Table 1. Pressure levels of retrieval grid layers of GOSAT/TANSO-FTS TIR V1 L2 CO₂ data focused on in this study.

Layer level	Pressure level of each layer (hPa)	Lower pressure level (hPa)	Upper pressure level (hPa)
1	927.79	1165.91	857.70
2	795.08	857.70	735.64
3	682.10	735.64	630.96
4	585.63	630.96	541.17
5	502.47	541.17	464.16
6	430.97	464.16	398.11
7	369.64	398.11	341.45
8	314.23	341.45	287.30
9	262.10	287.30	237.14
10	216.36	237.14	195.73

Table 2. Biases of GOSAT/TANSO-FTS TIR CO₂ data against CME_AK CO₂ data in each season of 2010–2012 at 541–464 hPa (left side of each box) and at 464–398 hPa (right side of each box) where the largest biases occurred in most cases. 541–464 and 464–398 hPa correspond to retrieval layers 5 and 6, respectively. Biases could not be evaluated due to no coincident data in the JJA seasons of 2011 and 2012.

DJF	MAM	40°S–20°S		20°S–20°N		20°N–40°N		40°N–60°N	
JJA	SON								
2010		-2.1/-2.5	-1.1/-1.6	-4.1/-3.9	-4.5/-3.8	-4.2/-3.9	-5.1/-5.1	-4.1/-4.1	-6.0/-5.8
		-2.1/-2.4	-4.9/-4.7	-7.0/-7.3	-4.2/-4.3	-4.3/-4.6	-3.2/-3.4	-5.0/-5.0	-3.6/-4.1
2011		-1.7/-2.9	-4.2/-4.1	-4.6/-4.2	-4.7/-4.6	-3.9/-3.7	-5.3/-5.4	-4.5/-4.8	-5.2/-5.1
		-3.3/-3.4	-5.7/-5.4	–	-5.6/-5.5	-5.1/-5.7	-3.2/-3.3	-4.4/-4.6	-3.3/-3.9
2012		-2.2/-3.1	-2.9/-3.4	-3.9/-3.9	-5.6/-5.7	-3.9/-3.8	-5.8/-5.9	-4.3/-4.6	-5.3/-5.5
		-4.9/-4.9	-5.3/-5.5	–	-5.9/-5.7	-5.8/-6.3	-5.2/-4.9	-6.4/-6.5	-6.4/-6.7

Table 3. Mode values of frequency distributions of differences in monthly averaged CO₂ concentrations between original (top left boxes) or bias-corrected (top right boxes) GOSAT/TANSO-FTS TIR and NICAM-TM CO₂ data in each season of 2010–2012, shown in Figure 7. The mode values presented here indicate the center value of a bin with a width of 0.5 ppm; a bin of “0.0” ranges from -0.25 to +0.25 ppm. Ratios of numbers of data categorized into each of the mode values to numbers 5 of all 2.5° gridded data for comparisons (bottom boxes) are shown in middle left (original) and right (bias-corrected) boxes.

[original] mode value (ppm)	[bias-corrected] mode value (ppm)	DJF		MAM		JJA		SON	
[original] frequency (%)	[bias-corrected] frequency (%)								
number of all 2.5° gridded data									
2010	-2.0	0.5	-2.5	0.0	-2.5	0.0	-2.5	0.5	
	13.6	13.9	10.5	12.9	10.7	10.4	11.8	11.1	
	641,427		947,983		1,176,998		1,279,370		
2011	-3.0	0.5	-3.5	1.0	-2.5	1.0	-2.5	0.5	
	11.3	12.1	8.8	11.4	9.8	9.4	11.5	9.4	
	1,156,444		1,093,808		1,156,010		1,222,288		
2012	-3.0	0.0	-4.0	0.0	-3.5	1.0	-4.0	0.5	
	12.1	13.1	8.7	11.8	9.3	10.5	10.6	10.5	
	1,050,530		1,010,457		1,148,979		1,117,909		

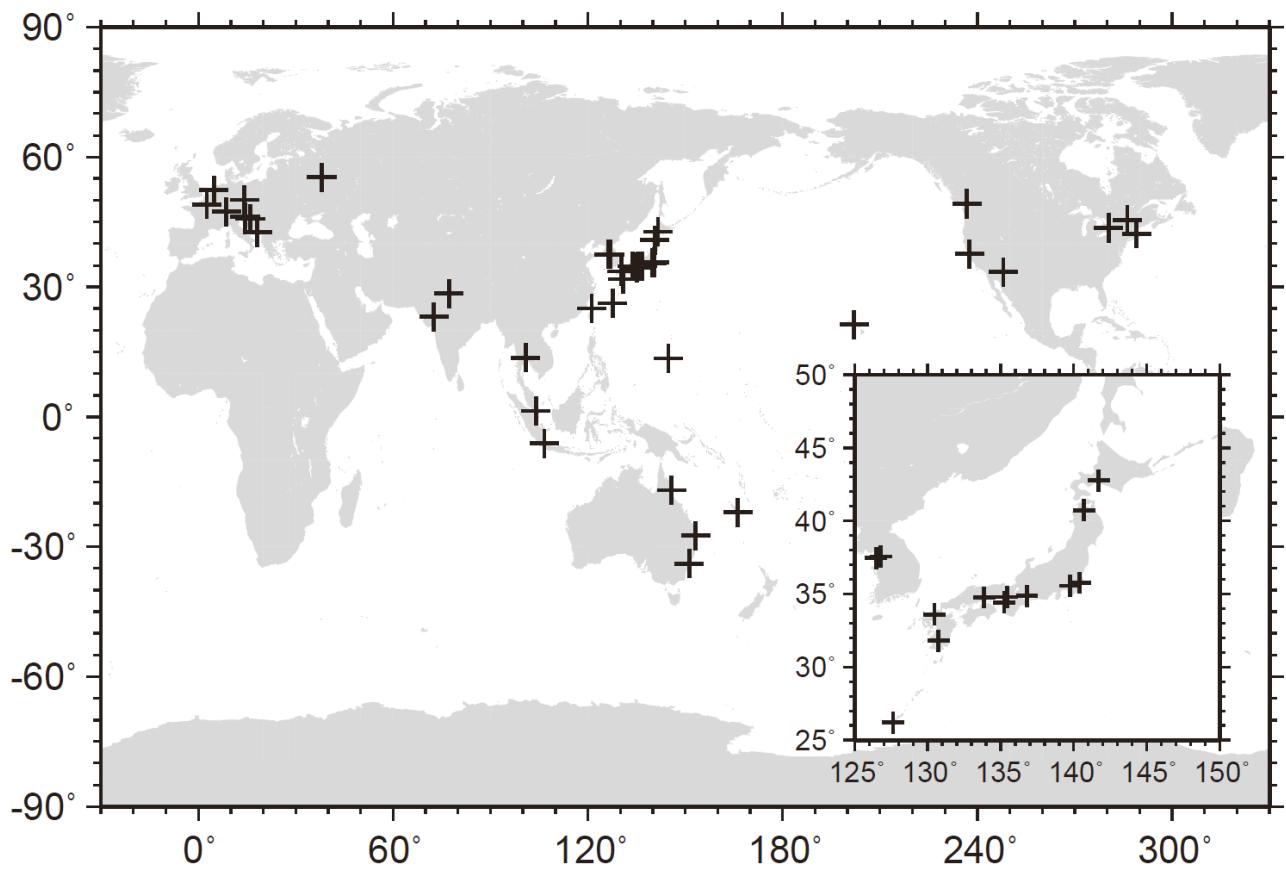


Figure 1. Locations of airports at which CONTRAIL CME ascending and descending observations were collected used in
5 this study.

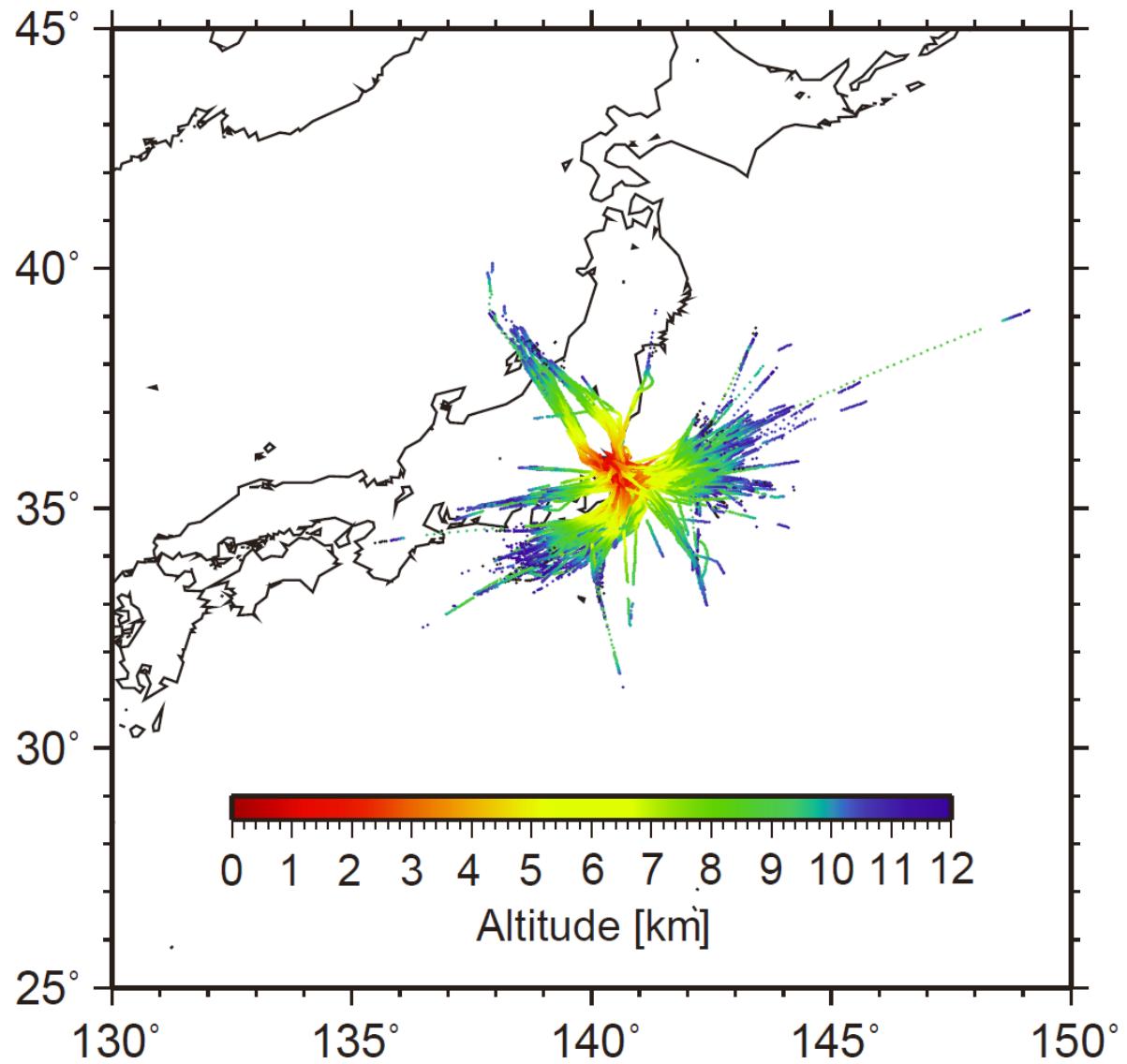


Figure 2. Flight tracks of all CME ascending and descending observations over Narita airport in 2010. Color indicates the
5 altitude levels of each flight.

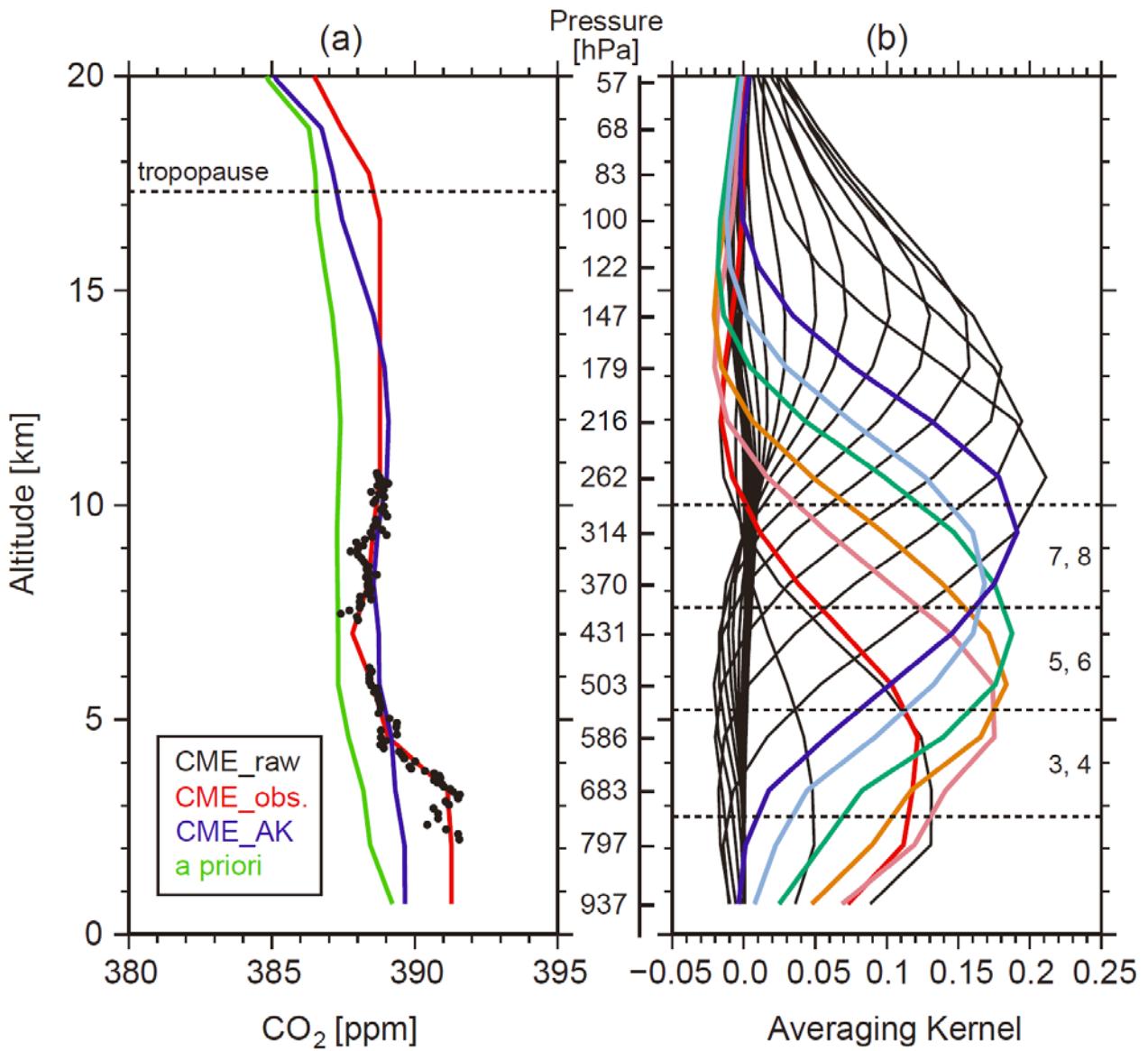


Figure 3. (a) Black circles represent original CME data (CME_raw), the red line shows an interpolated profile of the CME data into GOSAT/TANSO-FTS TIR CO_2 28 retrieval grid layers (CME_obs), the blue line shows the interpolated profile to which TIR averaging kernel functions, shown in panel (b), are applied (CME_AK), and the green line shows a priori CO_2 profile.

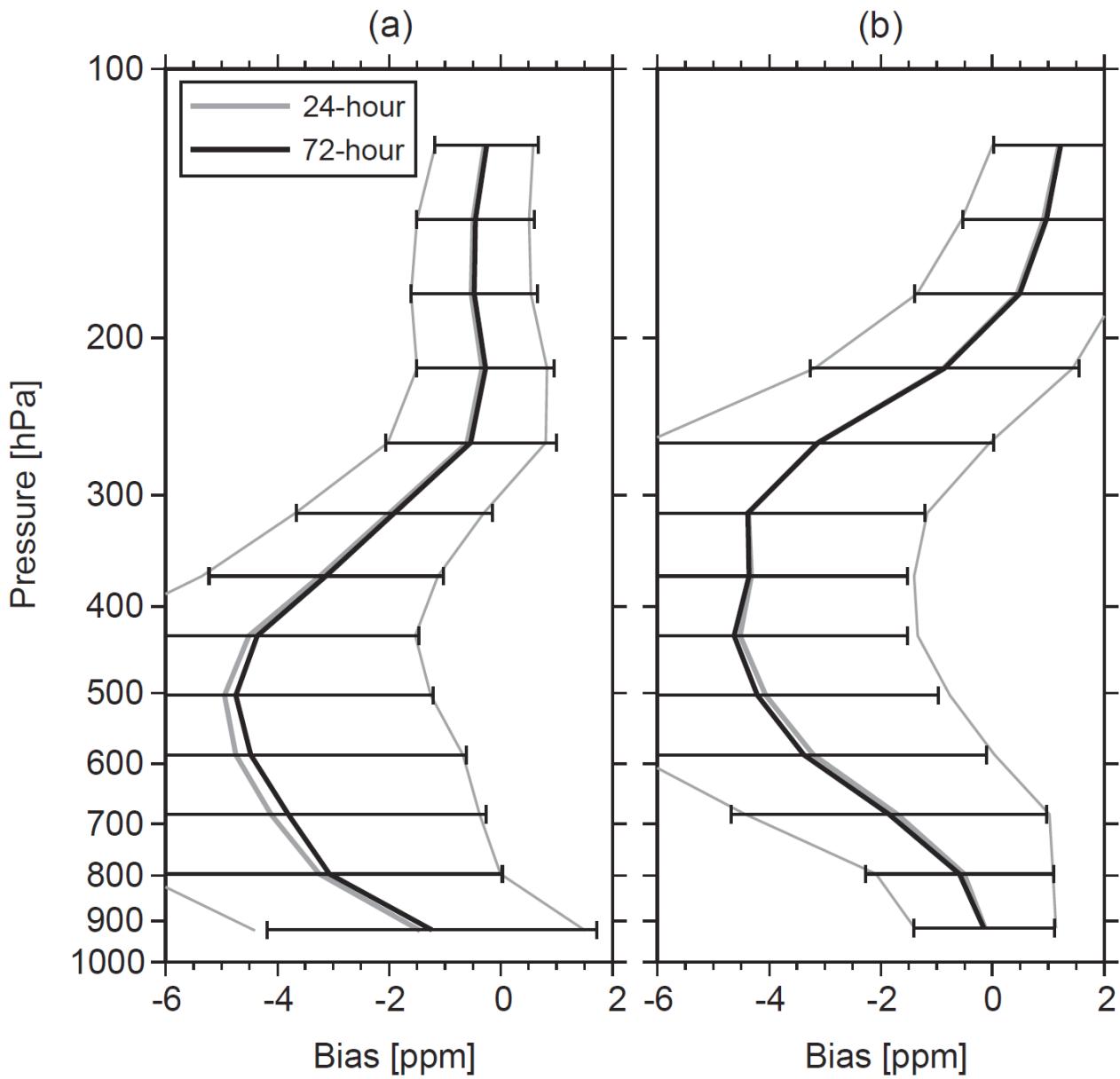


Figure 4. Bias profiles of GOSAT/TASNO-FTS TIR CO₂ data against CME_AK CO₂ data over Narita airport (Japan) using coincident pairs with 24-hour (gray) and 72-hour (black) time difference criteria: (a) winter (JF) 2010 and (b) summer (JJA) 5 2010.

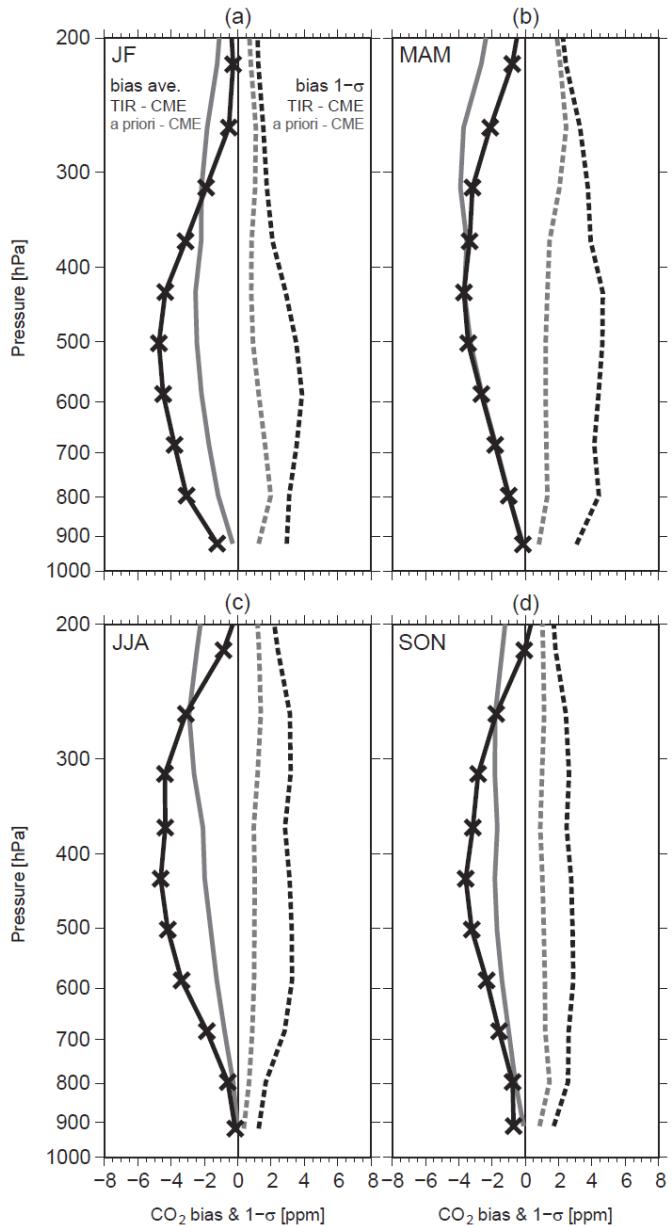


Figure 5. Bias profiles of GOSAT/TANSO-FTS TIR CO_2 data and a priori CO_2 data against CME_AK CO_2 data over Narita airport and the $1-\sigma$ standard deviations for each retrieval layer and season in 2010. The CME_AK CO_2 data are CME CO_2 data to which TIR CO_2 averaging kernel functions are applied. Solid black and gray lines indicate the biases of TIR and a priori CO_2 data, respectively, and dotted black and gray lines show their $1-\sigma$ standard deviations. Cross symbols indicate the center pressure level of each retrieval layer: (a) JF, (b) MAM, (c) JJA, and (d) SON.

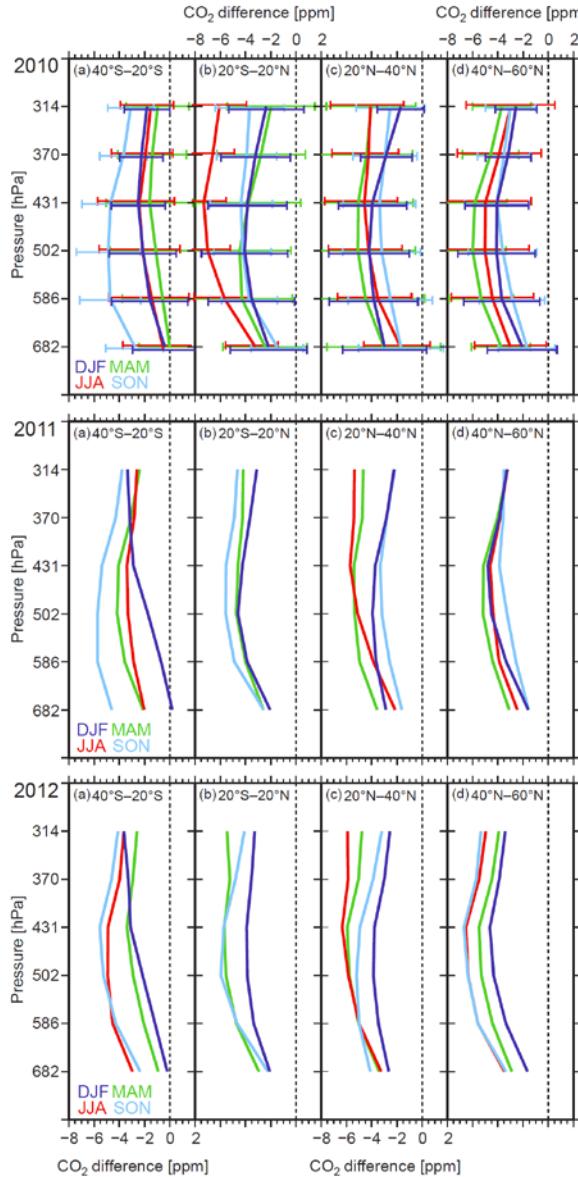


Figure 6. Average differences in CO₂ concentrations between GOSAT/TANSO-FTS TIR and CME_AK CO₂ data (TIR minus CME_AK) from 736 to 287 hPa (retrieval layers 3–8) for each latitude band and season, 2010–2012. The 1- σ standard deviations of the averages are indicated by horizontal bars for comparison of 2010 as a reference, which are slightly shifted up and down for visibility. We divided the data into four latitude bands: (a) 40°S–20°S, (b) 20°S–20°N, (c) 20°N–40°N, and (d) 40°N–60°N. Green, red, light blue, and blue lines represent the results in northern spring (MAM), northern summer (JJA), northern fall (SON), and northern winter (DJF), respectively.

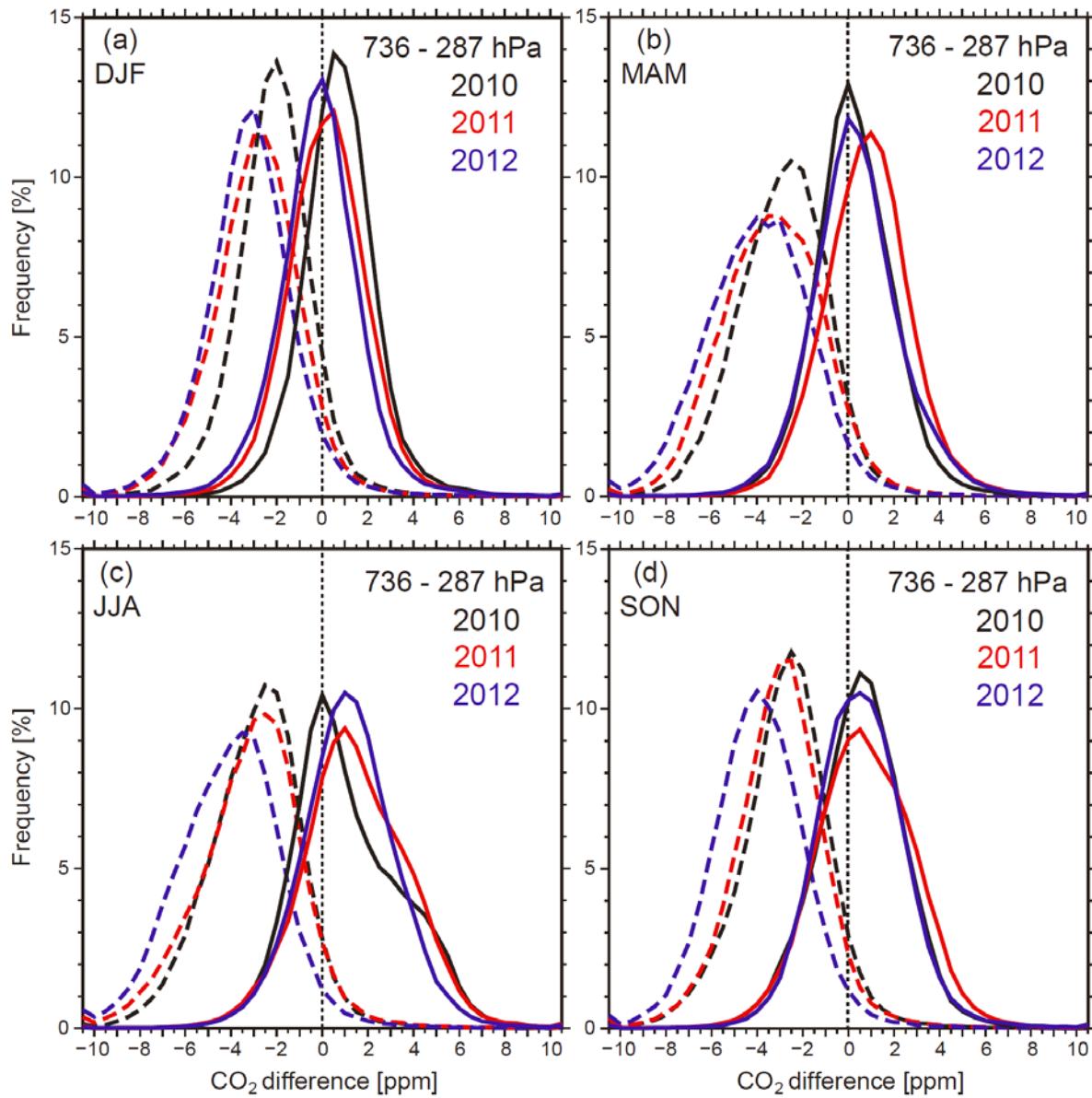


Figure 7. Frequency distributions of biases of monthly averaged GOSAT/TANSO-FTS TIR CO₂ data against monthly averaged NICAM-TM CO₂ data evaluated for each of retrieval layers from 736 to 287 hPa for each 2.5° grid in the latitude range of 40°S–60°N. Monthly averaged TIR CO₂ averaging kernel functions were applied to NICAM-TM CO₂ data in each grid. Dashed and solid lines indicate the biases of the original TIR CO₂ data (no bias correction) and bias-corrected TIR CO₂ data, respectively. Black, red, and blue lines show results from 2010, 2011, and 2012, respectively.

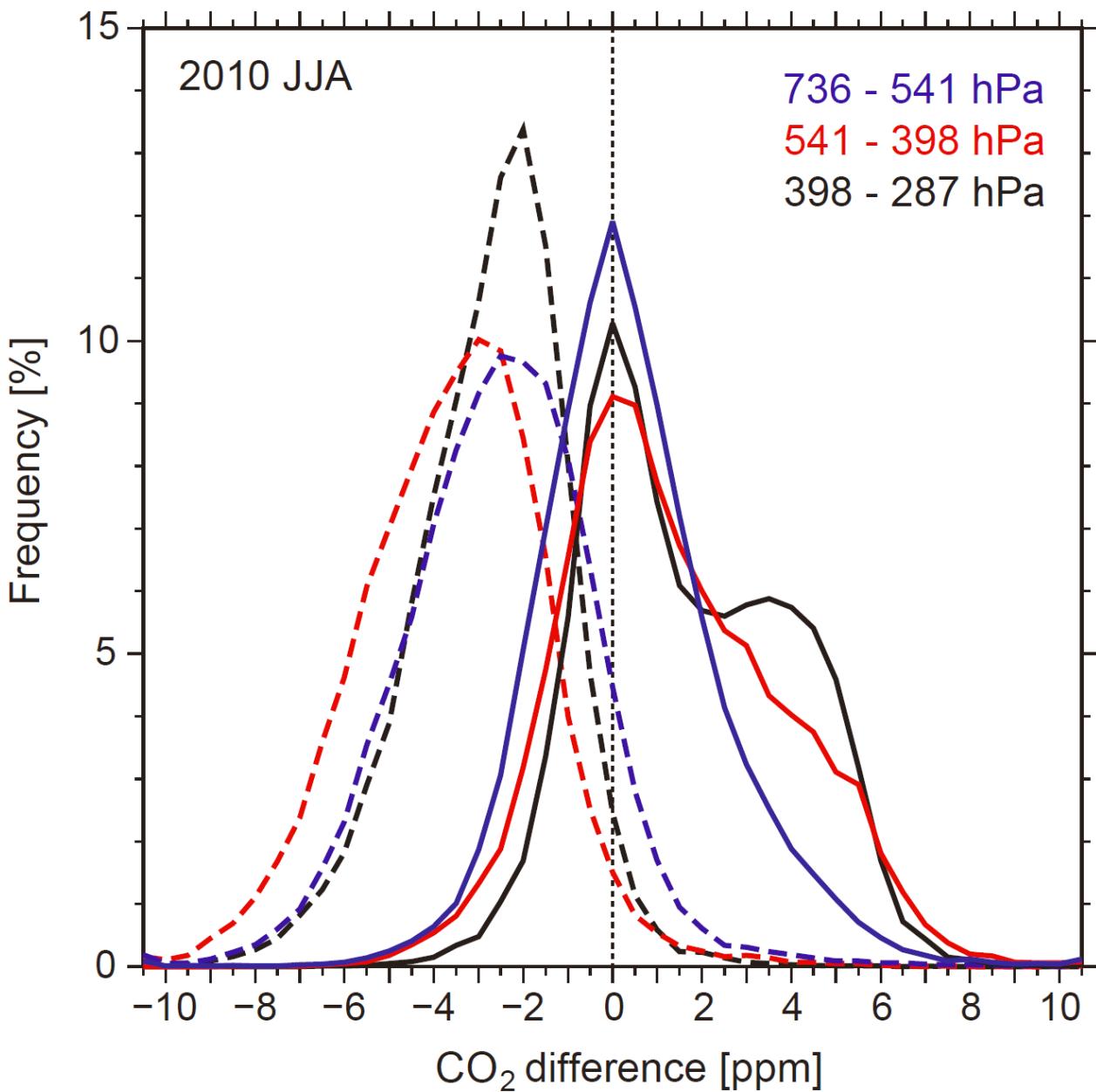


Figure 8. Same as Figure 7, but showing frequency distributions during the JJA season of 2010 on 736–541 hPa (retrieval layers 3–4), 541–398 hPa (retrieval layers 5–6), and 398–287 hPa (retrieval layers 7–8). Black, red, and blue lines indicate the results on 398–287 hPa, 541–398 hPa, and 736–541 hPa, respectively.

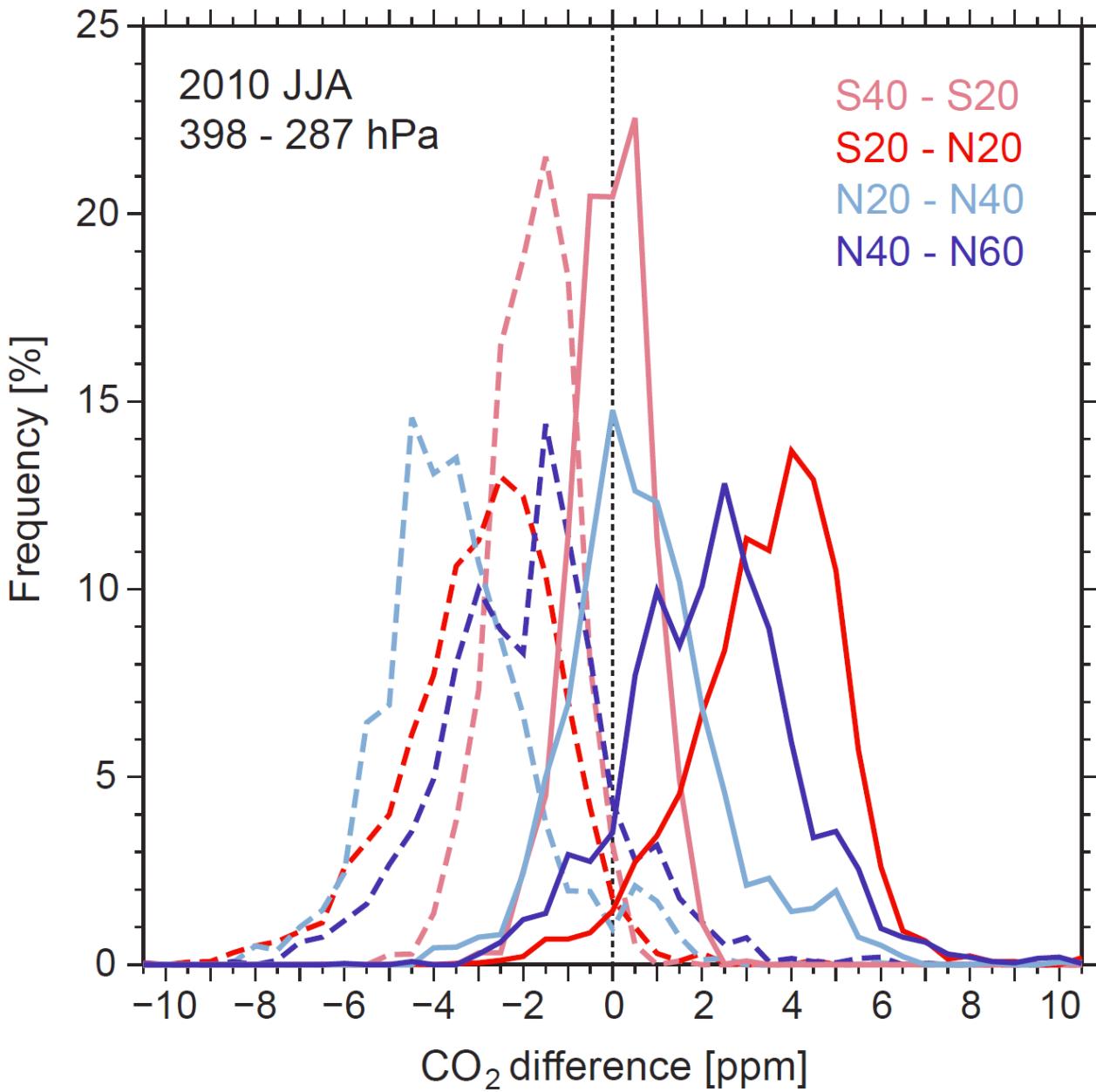


Figure 9. Same as Figure 7, but showing frequency distributions during the JJA season of 2010 on 398–287 hPa (retrieval layers 7–8) for each latitude band. Pink, red, light blue, and blue lines shows the results from $40^{\circ}\text{S} - 20^{\circ}\text{S}$, $20^{\circ}\text{S} - 20^{\circ}\text{N}$, $20^{\circ}\text{N} - 40^{\circ}\text{N}$, and $40^{\circ}\text{N} - 60^{\circ}\text{N}$, respectively.

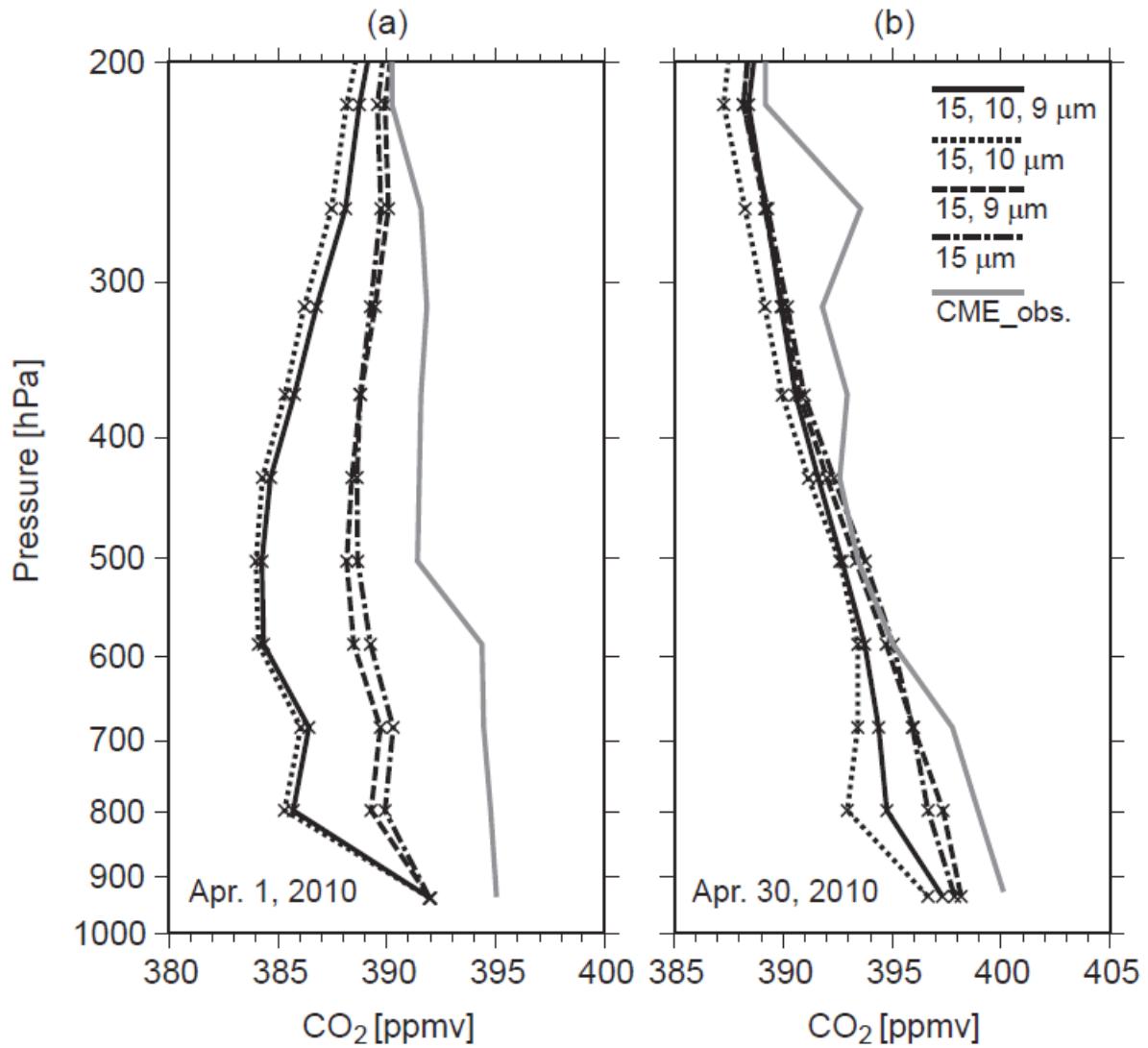


Figure 10. CO₂ profiles over Narita airport retrieved using four different wavelength bands of GOSAT/TANSO-FTS V161 L1B spectra: three bands, 15-μm, 10-μm, and 9-μm (solid lines); two bands, 15-μm and 10-μm (dotted lines); two bands, 15-μm and 9-μm (dashed lines), and the 15-μm band only (dashed-dotted lines). Nearby CME CO₂ profiles (CME_obs.) are shown by gray lines: (a) a case of April 1, 2010 and (b) a case of April 30, 2010.

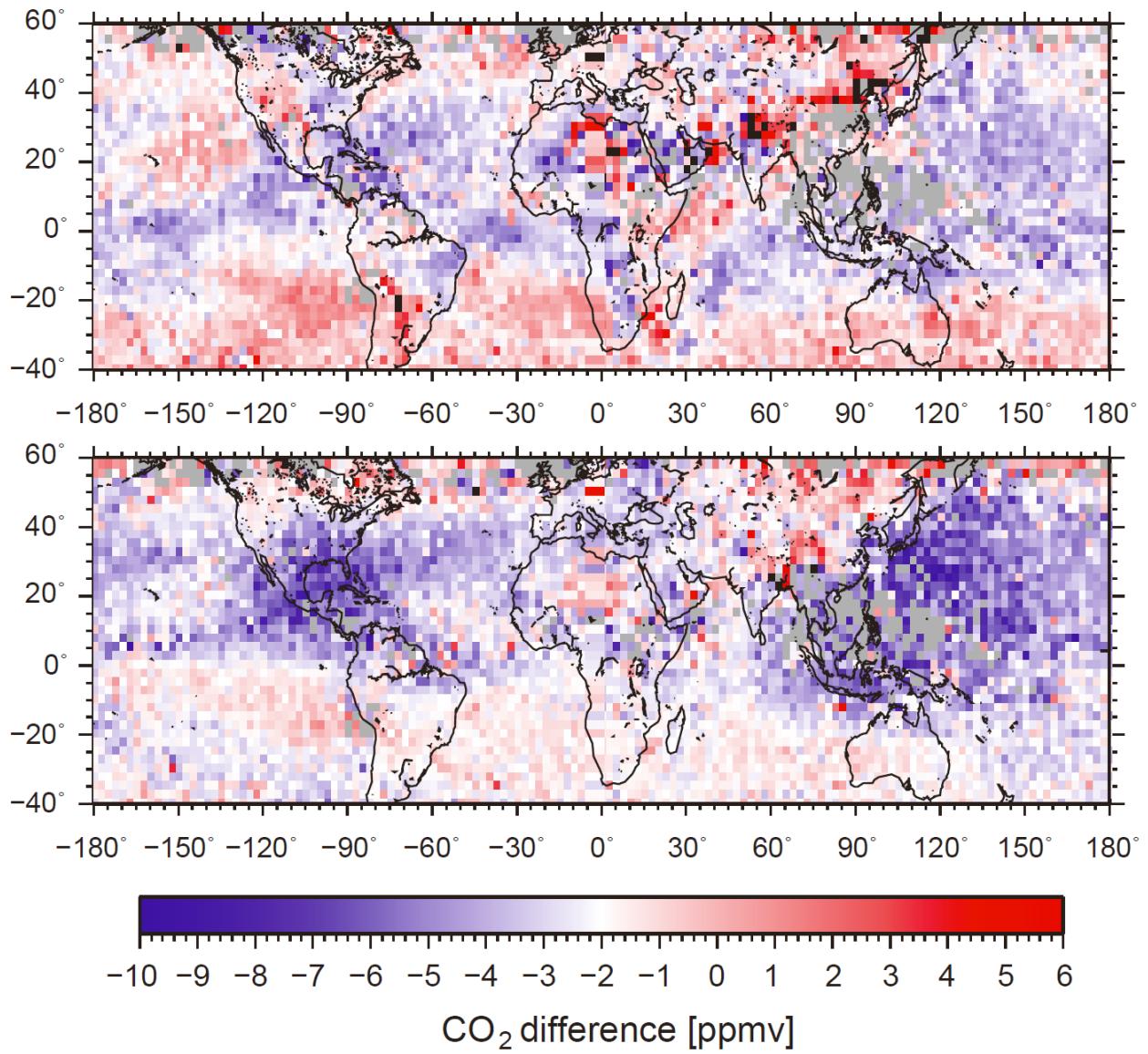


Figure 11. Latitude–longitude cross-sections of differences in monthly averages of GOSAT/TANSO-FTS TIR CO₂ data and 5 NICAM-TM CO₂ data with considering TIR CO₂ averaging kernel functions (TIR minus NICAM-TM) in July 2010. The upper and lower panels show the results on 682 hPa (retrieval layer 3) and 314 hPa (retrieval layer 8), respectively. There are no GOSAT/TANSO-FTS TIR CO₂ data in gray-shaded areas.