



1 **Link between the Outgoing Longwave Radiation and the** 2 **altitude where the space-borne lidar beam is fully attenuated**

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12 **Abstract.** According to climate models' simulations, cloud altitude change is the dominant contributor of the positive
13 ensemble mean longwave cloud feedback. Nevertheless, the cloud altitude longwave feedback mechanism and its amplitude
14 struggle yet to be verified in observations. An accurate, stable in time, and potentially long-term observation of a cloud
15 property summarizing the cloud vertical distribution and driving the longwave cloud radiative effect is needed to hope to
16 achieve a better understanding of the cloud altitude longwave feedback mechanism. This study proposes the direct lidar
17 measurement of the atmosphere opacity altitude is a good candidate to derive the needed observed cloud property. This
18 altitude is the level at which a space-borne lidar beam is fully attenuated when probing an optically opaque cloud. By
19 combining this altitude with the direct lidar measurement of the cloud top altitude, we derive the radiative temperature of
20 opaque clouds that linearly drives, as we show, the outgoing longwave radiation. This linear relationship provides a simple
21 formulation of the cloud radiative effect in the longwave domain for opaque clouds and so, helps to understand the cloud
22 altitude longwave feedback mechanism. We find that in presence of an opaque cloud, a cloud temperature change of 1 K
23 modifies its cloud radiative effect by $2 \text{ W} \cdot \text{m}^{-2}$. We show that this linear relationship holds true at single atmospheric column
24 scale with radiative transfer simulations, at instantaneous radiometer footprint scale of the Clouds and the Earth's Radiant
25 Energy System (CERES), and at monthly mean $2^\circ \times 2^\circ$ gridded scale. Opaque clouds cover 35 % of the ice-free ocean and
26 contribute to 73 % of the global mean cloud radiative effect. Thin clouds cover 36 % and contribute to 27 %.

27



28 **1 Introduction**

29 Cloud feedback mechanisms remain the main source of uncertainty for current predictions of the climate sensitivity
30 (e.g. Dufresne and Bony, 2008; Vial et al., 2013; Webb et al., 2013; Caldwell et al., 2016). Clouds simulated by climate
31 models in the current climate, exhibit large biases compared to observations (e.g. Zhang et al., 2005; Haynes et al., 2007;
32 Chepfer et al., 2008; Williams and Webb, 2009; Marchand and Ackerman, 2010; Cesana and Chepfer, 2012; Kay et al.,
33 2012; Nam et al., 2012; Cesana and Chepfer, 2013; Klein et al., 2013) leading to low confidence in the cloud feedbacks
34 predicted by climate models.

35 In order to understand the feedback mechanisms, it is useful to identify the fundamental variables that drive the
36 climate radiative response, and then decompose the overall radiative response as the sum of individual radiative responses
37 due to changes in each of these variables. This classical feedback analysis has been largely applied to outputs from numerical
38 climate system simulations in order to estimate the effects of water vapor, temperature lapse rate, clouds and surface albedo
39 on the overall climate radiative response (e.g. Cess et al., 1990; Le Treut et al., 1994; Watterson et al., 1999; Colman, 2003;
40 Bony et al., 2006; Bates, 2007; Soden et al., 2008; Boucher et al., 2013; Sherwood et al., 2015; Rieger et al., 2016). Focusing
41 only on the cloud feedback mechanisms, such approach (Zelinka et al., 2012a) has been used to isolate the role of each
42 fundamental cloud variables that contribute to the radiative response: the cloud cover, the cloud optical depth or condensed
43 water (liquid and ice), and the cloud altitude (or cloud temperature). The shortwave (SW) cloud feedback is driven by
44 changes in the cloud cover and the cloud optical depth, whereas the longwave (LW) cloud feedback is driven by changes in
45 the cloud cover, the cloud optical depth and the cloud vertical distribution (e.g. Klein and Jakob, 1999; Zelinka et al., 2012a,
46 2012b, 2013).

47 Verifying cloud feedback mechanisms that have been predicted by climate models simulations using observations
48 requires two steps: 1) First, establish a direct and robust link between the observed fundamental cloud variables and the
49 cloud radiative effect (CRE) at the top of the atmosphere (TOA); so that any change in the fundamental cloud variables can be
50 unambiguously translated within a change in the CRE at the TOA, 2) Second, establish an observational record of these
51 cloud fundamental variables that is long enough, stable enough and accurate enough to detect cloud changes due to
52 greenhouse gases forcing (Wielicki et al., 2013). Such records do not exist yet. Despite this last limitation, Klein and Hall
53 (2015) suggested that some cloud feedback mechanisms, namely the “emergent constraints”, could be tested with shorter
54 records in comparing the simulated and the observed current climate interannual variabilities.

55 The current paper focuses on the LW cloud feedback. Current climate models consistently predict that the cloud
56 altitude change is the dominant contributor to the LW cloud feedback (Zelinka et al., 2016) in agreement with previous
57 works (e.g. Schneider, 1972; Cess, 1975; Hansen et al., 1984; Wetherald and Manabe, 1988; Cess et al., 1996; Hartmann and
58 Larson, 2002). If the models agree on the sign and the physical mechanism of the LW cloud altitude feedback, they predict
59 different amplitudes. Coupled Model Intercomparison Project Phase 5 (CMIP5) climate model simulations suggest that the
60 cloud altitude would rise up by 0.7 to 1.7 km in the upper troposphere in all regions in a warmer climate (+4 K), which is a
61 significant change compared to the currently observed variability, and thus, could be a more robust observable signature of
62 climate change than the CRE (Chepfer et al., 2014). Nevertheless, the cloud altitude LW feedback mechanism and its
63 amplitude still struggle to be verified in observations. There is still no observational confirmation for the altitude LW cloud
64 feedback mechanism because 1) there is no simple direct and robust formulation linking the observed fundamental cloud
65 variables and the LW CRE at the TOA 2) there is no accurate and stable observations of the vertical distribution of clouds
66 over several decades.

67 Thus, a preliminary step to progress on the LW cloud feedback is to establish a direct and robust link between the
68 LW CRE at the TOA and fundamental cloud properties that can be accurately observed and which can also be simulated in
69 climate models. In the SW, Taylor et al. (2007) defined such a simplified radiative transfer model by robustly expressing the
70 SW CRE as a function of the cloud cover and the cloud optical depth. This linear relationship has been largely used for



71 decomposing the SW cloud feedbacks into contributions due to cloud cover change and optical depth change. Contrary to the
72 SW, the LW CRE does not only depend on the cloud cover and the cloud optical depth, but also on the cloud vertical
73 distribution. As stated in Taylor et al. (2007) and in the attempt made by Yokohata et al. (2005), establishing a simple
74 radiative transfer model that robustly expresses the LW CRE as a function of a limited number of properties (which can be
75 reliably observed and which can also be simulated in climate models), is more challenging in the LW than in the SW because
76 the LW involves three variables instead of two: the cloud cover, the cloud optical depth and the cloud vertical distribution.

77 Complete radiative transfer simulations allow to accurately compute the LW CRE for a well-defined atmosphere
78 (clear sky and clouds): detailed information on the atmospheric columns collected by active sensors have been used to
79 estimate TOA CRE and surface CRE (e.g. Zhang et al., 2004; L'Ecuyer et al., 2008; Kato et al., 2011; Rose et al., 2013). In
80 contrast, the definition of a simple and robust linear formulation between the LW CRE at the TOA and a limited number of
81 cloud variables, that would be useful for climate cloud feedback decomposition, cannot use the details of the entire cloud
82 vertical distribution: first, one needs to summarize the entire cloud vertical profile within a few specific cloud levels that
83 drives the LW CRE at the TOA, and second, this specific cloud levels need to be accurately observed at global scale from
84 satellites.

85 Most of the cloud climatologies derived from space observations rely on passive satellites, which do not retrieve the
86 actual cloud vertical distribution, and only retrieve the cloud top pressure and estimates of high-level, mid-level, and low-
87 level cloud covers. These last estimates have been coupled with ranges of cloud optical depth to define different cloud types
88 (Hartmann et al., 1992) associated to different values of CRE. These cloud types have been used to analyze the interannual
89 cloud record collected by the Moderate Resolution Imaging Spectroradiometer (MODIS) (Zelinka and Hartmann, 2011), as
90 well as the International Satellite Cloud Climatology Project (ISCCP) and the Pathfinder Atmospheres Extended (PATMOS-
91 x) (Marvel et al., 2015; Norris et al., 2016) in order to identify LW CRE changes associated to cloud properties changes.

92 But recently, Stephens et al. (submitted) used combined passive observations and active sensors observations (2B-
93 FLXHR-LIDAR product; Henderson et al., 2013) collected by the Cloud-Aerosol Lidar with Orthogonal Polarization
94 (CALIOP) from the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) and the Cloud Profiling
95 Radar (CPR) from CloudSat (Stephens et al., 2002) to re-build similar cloud types as in Hartmann et al. (1992). Stephens et
96 al (submitted) and Hartmann et al. (1992) found very different results because passive sensors cannot retrieve reliable cloud
97 altitude contrarily to active sensors (e.g. Sherwood et al., 2004; Holz et al., 2008; Michele et al., 2013; Stubenrauch et al.,
98 2013). Today, ten years of satellite-borne active sensors data provide a detailed and accurate view of the cloud vertical
99 distribution, which can be used to build for the first time, a simplified radiative transfer model that robustly expresses the
100 LW CRE as a function of the cloud cover, the optical depth (or emissivity) and the cloud altitude, and that can be tested
101 against observations. To do so, in the current paper, we summarize the entire cloud vertical profile observed by active
102 sensors with three specific cloud levels that drive the LW CRE at the TOA and that can be accurately observed by space-
103 borne lidar: the cloud top altitude, the cloud base altitude, and the altitude of opacity where the laser beam gets fully
104 attenuated when it passes through an Opaque cloud. This altitude of opacity together with the Opaque cloud cover, are both
105 observed by space-borne lidar, and are strongly correlated to the LW CRE (Guzman et al., 2017) because emissions of layers
106 located below the altitude of opacity have little influence on the outgoing LW radiation (OLR). Previous studies
107 (Ramanathan, 1977; Wang et al., 2002), suggested that the link between the Opaque cloud temperature and the OLR is
108 linear, which would be mathematically very convenient for the study of cloud feedbacks (derivatives), but these studies are
109 limited to radiative transfer simulations only. We propose to build on these studies by adding the space-borne lidar
110 information.

111 In Section 2 we present the data and tools used in this study. In Section 3 we define radiative temperatures of
112 Opaque clouds and Thin clouds derived from lidar cloud altitude observations and reanalysis, and present the observed
113 distributions over the mid-latitudes region and the ascending and subsiding regime areas in the tropics. In Section 4 we use



114 radiative transfer simulations to establish a simple expression of the OLR as a function of lidar cloud observations for
115 Opaque cloud single columns, and for Thin cloud (non-opaque) single columns by adding the Clouds and the Earth's Radiant
116 Energy System (CERES) clear sky observations. Then, we verify this relationship against observations at instantaneous
117 20 km scale, using high spatial resolution collocated satellite-borne broadband radiometer (CERES) and lidar data
118 (CALIPSO), and at monthly mean 2° latitude \times 2° longitude gridded scale. In Section 5 we estimate the independent
119 contributions of optically Opaque clouds and optically Thin clouds to the CRE. We then focus on the Tropics and examine
120 Opaque and Thin cloud CREs partition in subsidence and deep convective regions. Section 6 discusses the limits of the
121 linear expression we propose, and concluding remarks are summarized in Section 7.
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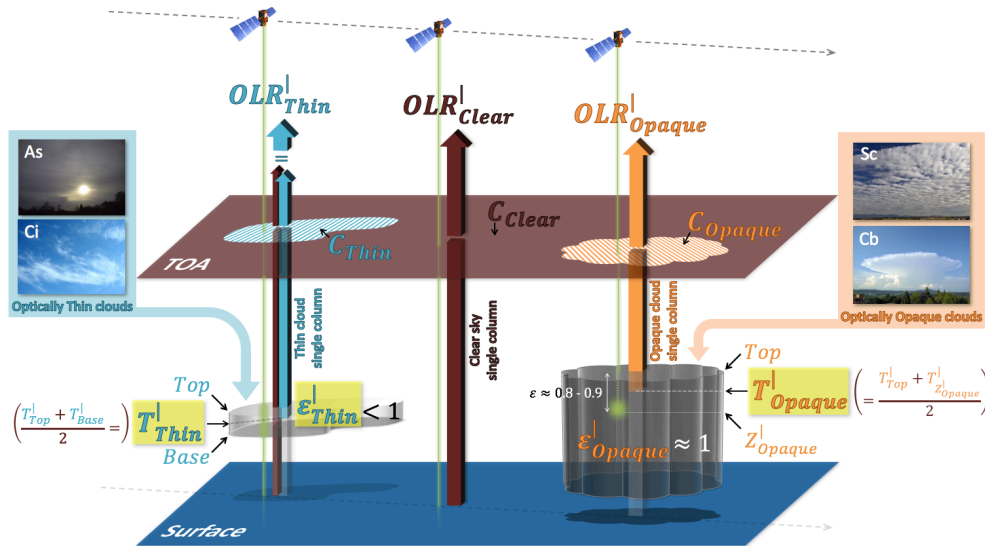
124 **2 Data and Tools**

125 **2.1 Opaque and Thin clouds observations by space-borne lidar**

126 Eight years (2008–2015) of CALIPSO observations are used in this study. The GCM-Oriented CALIPSO Cloud
 127 Product (GOCCP-OPAQ (GOCCP v3.0; Guzman et al., 2017) segregates each atmospheric single column sounded by the
 128 CALIOP lidar as one of the 3 following single column types (Fig. 1):

- 129 • The *Clear sky single column* (brown, center) is entirely free of clouds. In other words, none of the 40 levels of
 130 480 m vertical resolution composing the atmospheric single column is flagged as "Cloud" (Chepfer et al., 2010).
- 131 • The *Opaque cloud single column* (orange, right) contains a cloud into which the laser beam of the lidar ends fully
 132 attenuated at an altitude termed Z_{Opaque}^l . Z_{Opaque}^l (as well as any X^l variable used later on in the paper) refers to a
 133 *single column*, i.e. a 1D atmospheric column from surface to the TOA where each altitude layer is homogeneously
 134 filled with molecules and/or clouds, as mentioned by the exponent symbol " l ". Such single column is directly
 135 identified by the presence of a level flagged as "z_opaque". Full attenuation of the lidar is reached for a visible
 136 optical depth, integrated from the top of the atmosphere (TOA), of about 3 to 5 (Vaughan et al., 2009). This
 137 corresponds to a cloud LW emissivity of 0.8 to 0.9, if we consider that cloud particles do not absorb visible
 138 wavelengths and that diffusion can be neglected in the LW domain.
- 139 • The *Thin cloud single column* (brown and blue, left), contains a semi-transparent cloud. Such single column is
 140 identified by the presence of at least one level flagged as "Cloud" without a level flagged as "z_opaque".

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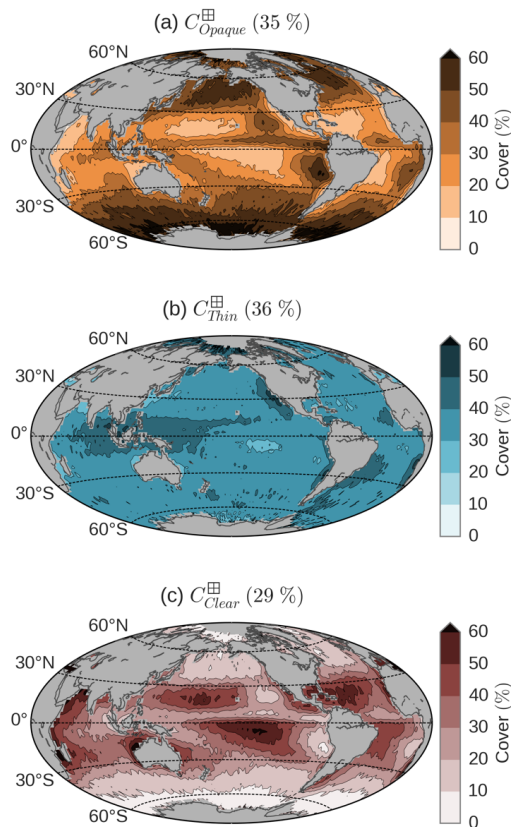
143
 144 FIG. 1. Partitioning of the atmosphere into 3 single column types thanks to CALIOP lidar: (left) Thin cloud single column, when a
 145 cloud is detected in the lidar signal and the laser beam achieve to wholly go through the cloud until the surface, (middle) Clear sky single
 146 column, when no cloud is detected, and (right) Opaque cloud single column, when a cloud is detected and the laser beam ends fully
 147 attenuated into the cloud at a level called Z_{Opaque}^l . C , T and ϵ respectively account for cover, temperature and emissivity. The variables
 148 highlighted in yellow are the key cloud properties, extracted from the GOCCP-OPAQ product, that drive OLR over Thin cloud and
 149 Opaque cloud single columns. The total gridded OLR will be computed from the 3 single column OLRs weighted by their respective
 150 cover: C_{Thin} , C_{Clear} , C_{Opaque} .

151

152 Figure 2 shows the global covers of these 3 single column types, using $2^\circ \times 2^\circ$ grids. The global mean Opaque clouds
 153 cover C_{Opaque}^{\oplus} is 35 %, Thin clouds cover C_{Thin}^{\oplus} is 36 % and the Clear sky cover C_{Clear}^{\oplus} is 29 %. C_{Opaque}^{\oplus} , C_{Thin}^{\oplus} and C_{Clear}^{\oplus}



154 (as well as any X^{\boxplus} variable used later on in the paper) refer to $2^{\circ} \times 2^{\circ}$ grid box as mentioned by the exponent symbol " \boxplus ".
 155 Opaque clouds cover is very high at mid-latitudes and, in the tropics, high occurrences clearly reveal regions of deep
 156 convection (warm pool, ITCZ) and stratocumulus regions at the east part of oceans. Thin clouds cover is very homogeneous
 157 over all oceans, with some slight maxima in some regions, namely near the warm pool. These results are discussed in detail
 158 in Guzman et al. (2017).
 159



160

FIG. 2. Maps of (a) Opaque cloud cover (b) Thin cloud cover and (c) Clear sky cover. Only nighttime over ice-free oceans for the 2008–2015 period is considered. Global mean values are given in parentheses.

161

162 Our study builds on Guzman et al. (2017) by using temperatures instead of altitudes, and by estimating an
 163 additional variable, the Thin cloud emissivity:

- 164 • Temperatures $T_{Z_{Opaque}^{\boxplus}}^{\boxplus}$, T_{Top}^{\boxplus} and T_{Base}^{\boxplus} are respectively those at the altitudes of the level flagged as "z_opaque"
 165 (Z_{Opaque}^{\boxplus}) and of the highest (Z_{Top}^{\boxplus}) and lowest (Z_{Base}^{\boxplus}) levels flagged as "Cloud", using the temperature profiles of
 166 the NASA Global Modeling and Assimilation Office (GMAO) reanalysis (Suarez et al., 2005) provided in CALIOP
 167 Level 1 data and reported in GOCCP v3.0 data.
- 168 • Thin cloud emissivity $\epsilon_{Thin}^{\boxplus}$ of a *Thin cloud single column* is inferred from the mean attenuated scattering ratio of
 169 levels flagged as "Clear" below the cloud, that we note $\langle SR' \rangle_{below}$ and which approximately corresponds to the
 170 apparent two-way transmittance through the cloud. Indeed, considering a fixed multiple scattering factor $\eta = 0.6$,



171 we retrieve the Thin cloud visible optical depth δ_{Thin}^{VIS} (Garnier et al., 2015). Then, as the cloud particles are much
172 larger than the visible and infrared wavelengths and considering no absorption by cloud particles is occurring in the
173 visible domain, the Thin cloud LW optical depth δ_{Thin}^{LW} is approximately half of δ_{Thin}^{VIS} (Garnier et al., 2015). Finally,
174 we retrieve the Thin cloud emissivity with $\epsilon_{Thin}^l = 1 - e^{-\delta_{Thin}^{LW}}$. Opaque cloud emissivity cannot be inferred and we
175 do the approximation that it is close to a black body, so $\epsilon_{Opaque}^l \approx 1$.

176 Single columns with multi-layers of clouds are also considered in this study, i.e. T_{Top}^l and Z_{Top}^l refer to the highest
177 "Cloud" flagged level of the highest cloud in the column and T_{Base}^l and Z_{Base}^l to the lowest "Cloud" flagged level of the
178 lowest cloud in the column. Also, in this case, ϵ_{Thin}^l is computed from the summed optical depth of all cloud layers present
179 in the column.

180 In order to avoid all possible uncertainties due to solar noise, results presented in this paper are only for nighttime
181 conditions. Furthermore, we restricted this study to observations over oceans to avoid uncertainties due to the ground
182 temperature diurnal cycle over land. And, in order to not be influenced by major changes of surface physical properties
183 across the seasons, we also removed from this study all observations over iced sea, based on sea ice fraction from the
184 European Centre for Medium-Range Weather Forecasts (ECMWF) ERA-Interim reanalysis (Berrisford et al., 2011).

185 2.2 Fluxes observations collocated with lidar clouds observations

186 CERES radiometer, on-board the Aqua satellite, measures the OLR at the same location where the CALIOP lidar,
187 on board the CALIPSO satellite, will shoot 2 minutes and 45 seconds afterwards. So, the instantaneous Single Scanner
188 Footprint (SSF) of the CERES swath crossing the CALIPSO ground-track give the OLR over atmospheric single columns
189 sounded by the lidar. Because a CERES footprint has a diameter of ~20 km, whereas the CALIOP lidar samples every 333 m
190 along-track with a footprint of 70 m diameter, several atmospheric single columns sounded by the lidar (up to 60) are located
191 within a single CERES footprint. To collocate the GOCCP-OPAQ instant data and the CERES SSF measurements, we use
192 the CALIPSO, CloudSat, CERES, and MODIS Merged Product (C3M; Kato et al., 2011) which flags the instantaneous
193 CERES SSF of the CERES swath crossing the CALIPSO ground-track. Finally, for each of these flagged CERES SSF, we
194 matched, from geolocation information, all the GOCCP-OPAQ single columns falling into the CERES footprint. We
195 consider that an atmospheric column with CERES footprint base is an Opaque (Thin) cloud column if all matched single
196 columns are declared as Opaque (Thin) cloud single column. We use these Opaque and Thin cloud columns to validate lidar-
197 derived OLR.

198 From the C3M product, we also use the estimated Clear sky OLR of the instantaneous CERES SSF of the CERES
199 swath crossing the CALIPSO ground-track. This estimated Clear sky OLR is computed from radiative transfer simulations
200 using the synergy information of the different instruments flying in the Afternoon Train (A-Train) satellite constellation. As
201 C3M is only released through April 2011, during the time period when both CALIPSO and CloudSat are healthy, we also
202 use the Clear sky OLR from $1^\circ \times 1^\circ$ gridded data monthly mean CERES Energy Balanced and Filled (EBAF) Edition 2.8
203 $1^\circ \times 1^\circ$ product (Loeb et al., 2009), that we average over $2^\circ \times 2^\circ$ grid boxes.

204 2.3 Radiative transfer computations

205 For all radiative transfer computations needed in this study, we use the GAME radiative transfer code (Dubuisson et
206 al., 2004) combined with mean sea surface temperature (SST) and profiles of temperature, humidity and ozone extracted
207 from the ERA-Interim reanalysis. GAME is an accurate radiative transfer code to calculate the radiative flux and radiance
208 over the total solar and infrared spectrum. The radiative transfer equation is solved using DISORT (Stamnes et al., 1988) and
209 gaseous absorption is calculated from the k-distribution method. This code accounts for aerosol and clouds scattering and
210 absorption as well as interactions with gaseous absorption. GAME radiative transfer code does not take into account cloud



211 3D effects, and is based on the plane-parallel approximation. In this study, we use GAME to compute integrated OLR
212 between 5 and 100 μm .



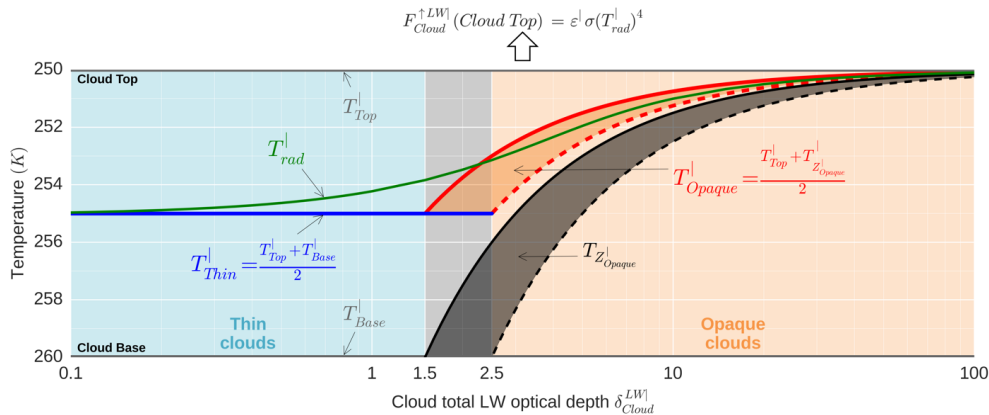
213 **3 Radiative temperatures of Opaque clouds and Thin clouds derived from lidar cloud observations and reanalysis**

214 We define here an approximation of the Opaque and Thin cloud radiative temperatures which can be derived from
 215 lidar measurements. The cloud radiative temperature corresponds to the equivalent radiative temperature of the cloud T_{rad}^l
 216 such as the upward LW radiative flux emitted by a cloud of emissivity ε^l , at the top of the cloud, is $F_{Cloud}^{\uparrow LW}(Cloud\ Top) =$
 217 $\varepsilon^l \sigma (T_{rad}^l)^4$, where σ denotes the Stefan–Boltzmann constant. We present distributions of these cloud radiative temperatures
 218 derived from lidar measurements over the mid-latitudes region and the tropics.

219 **3.1 Definition and approximations of the cloud radiative temperature**

220 Considering an optically uniform cloud with a cloud total LW optical depth δ_{Cloud}^{LW} , and assuming a linear increase
 221 of the temperature from the cloud top to the cloud base, the upward LW radiative flux emitted by the cloud at the top of the
 222 cloud $F_{Cloud}^{\uparrow LW}(Cloud\ Top)$ can be computed from the radiative transfer equation (RTE) (see appendix A). Then, solving the
 223 equation $F_{Cloud}^{\uparrow LW}(Cloud\ Top) = \varepsilon^l \sigma (T_{rad}^l)^4 = (1 - e^{-\delta_{Cloud}^{LW}}) \sigma (T_{rad}^l)^4$, we can infer the value of the equivalent radiative
 224 cloud temperature T_{rad}^l . Figure 3 shows T_{rad}^l deduced from RTE (green) as a function of δ_{Cloud}^{LW} . As δ_{Cloud}^{LW} increases, T_{rad}^l is
 225 found closer to the cloud top and so the cloud radiative temperature decreases.

226



227

FIG. 3. Comparison of (green) the cloud radiative temperature T_{rad}^l inferred from the RTE (see appendix A) with the lidar-definitions of (blue) the Thin cloud radiative temperature T_{Thin}^l and (red) the Opaque cloud radiative temperature T_{Opaque}^l , as a function of the cloud total LW optical depth δ_{Cloud}^{LW} . Here, on an example with a fixed cloud top temperature T_{Top}^l at 250 K and a fixed cloud base temperature T_{Base}^l at 260 K.

228 T_{rad}^l is obtained by computing the LW flux emitted by the cloud at the top of the cloud $F_{Cloud}^{\uparrow LW}(Cloud\ Top)$ from the RTE and then
 229 solving $F_{Cloud}^{\uparrow LW}(Cloud\ Top) = \varepsilon^l \sigma (T_{rad}^l)^4$.

Clouds are declared as (orange area) Opaque clouds if they present an opacity level altitude Z_{Opaque}^l . This occurs in lidar observations for δ_{Cloud}^{LW} greater than a limit situated between 1.5 to 2.5. Below this limit clouds are declared as (blue area) Thin clouds. Clouds with δ_{Cloud}^{LW} between 1.5 and 2.5 could be (gray area) either Opaque or Thin clouds depending on the limit.

230

231 We will now approximate T_{rad}^l for Opaque clouds and Thin clouds using straightforward formulations which could
 232 be derived from lidar cloud observations and reanalysis. In an Opaque cloud single column (Fig. 1, right), the optically very
 233 thick cloud prevents LW radiative flux from below to propagate upwards. Thus, atmospheric layers below Z_{Opaque}^l have
 234 little influence on the OLR over an Opaque cloud single column OLR_{Opaque}^l . Here, we propose that OLR_{Opaque}^l is mainly
 235 driven by an *Opaque cloud radiative temperature* defined as:



$$236 \quad T_{Opaque}^l = \frac{T_{Top}^l + T_{Z_{Opaque}}^l}{2}. \quad (1)$$

237 In a Thin cloud single column (Fig. 1, left), the cloudy part is optically semi-transparent and lets through a part of the LW
 238 radiative flux coming from the cloud-free atmospheric layers and surface underneath. Then, the OLR over a Thin cloud
 239 single column OLR_{Thin}^l depends on one hand on the surface temperature, the surface emissivity, the temperature profile, and
 240 the humidity profile, and on the other hand on the cloud emissivity ε_{Thin}^l and the *Thin cloud radiative temperature* defined
 241 as:

$$242 \quad T_{Thin}^l = \frac{T_{Top}^l + T_{Base}^l}{2}. \quad (2)$$

243

244 Comparisons of T_{Thin}^l , the cloud radiative temperature of Thin clouds ($\delta_{Cloud}^{LW} < 2.5$, blue area), and T_{Opaque}^l , the
 245 cloud radiative temperature of Opaque clouds ($\delta_{Cloud}^{LW} > 2.5$, orange area), with T_{rad}^l deduced from RTE (green) show good
 246 agreement in Fig. 3. Clouds with $1.5 < \delta_{Cloud}^{LW} < 2.5$ (gray area) can be either Thin or Opaque clouds depending on the
 247 integrated LW optical depth at which Z_{Opaque}^l will occur. Here, computations were performed for a fixed cloud top
 248 temperature T_{Top}^l at 250 K and a fixed cloud base temperature T_{Base}^l at 260 K. T_{Opaque}^l will depend on the integrated LW
 249 optical depth from cloud top δ^{LW} to where Z_{Opaque}^l will occur, which is known to be situated between 1.5 and 2.5: $\delta^{LW} =$
 250 $\frac{1}{2} \delta^{VIS}$ (Chepfer et al., 2014), with δ^{VIS} between 3 and 5 (Vaughan et al., 2009). Then, according to Z_{Opaque}^l possible values
 251 given this approximation (black shadow area), T_{Opaque}^l range is deduced (red shadow area).

252 Computations with other pairs of T_{Top}^l and T_{Base}^l temperatures (not shown) reveal that the relative vertical position
 253 into the cloud of T_{rad}^l does not depend much of the cloud top and cloud base temperatures. In other words, with other pairs
 254 of T_{Top}^l and T_{Base}^l temperatures, we obtain almost the same figure as Fig. 3 only with the y-axis temperature values changed.
 255 This means that the difference between T_{rad}^l and T_{Thin}^l or between T_{rad}^l and T_{Opaque}^l becomes larger as the difference
 256 between T_{Top}^l and T_{Base}^l increases. Naturally, in reality, the error made by using T_{Thin}^l and T_{Opaque}^l as approximations of T_{rad}^l
 257 will also depend on other cloud properties, such as cloud inhomogeneity and cloud microphysics. However, this simple
 258 theoretical calculation allows us to assert that T_{Thin}^l and T_{Opaque}^l as we defined above are good approximations of the cloud
 259 radiative temperature of the Thin and Opaque clouds, with less than a 2 K error for a Thin cloud with a 10 K difference
 260 between its cloud base and cloud top temperatures, and less than a 1 K error for an Opaque cloud with $\delta_{Cloud}^{LW} > 5$ and with a
 261 10 K difference between its cloud base and cloud top temperatures.

262 3.2 T_{Opaque}^l and T_{Thin}^l retrieved from CALIOP observations during 2008–2015

263 For each cloudy single column sounded by CALIOP, we derive T_{Opaque}^l from T_{Top}^l and $T_{Z_{Opaque}}^l$ using Eq. (1), and
 264 we derive T_{Thin}^l from T_{Top}^l and T_{Base}^l using Eq. (2). Then, we computed the probability density function (PDF) of T_{Opaque}^l
 265 among Opaque clouds and T_{Thin}^l among Thin clouds for 3 different regions: the tropical ascending region between
 266 $\pm 30^\circ$ latitude with monthly mean 500-hPa pressure velocity $\omega_{500} < 0$ hPa·day⁻¹, the tropical subsiding region between
 267 $\pm 30^\circ$ latitude with monthly mean $\omega_{500} > 0$ hPa·day⁻¹ and the mid-latitudes (North and South) region between 65° S and
 268 30° S and between 30° N and 65° N put together. To compute these PDFs, e.g. the PDF of T_{Opaque}^l among Opaque clouds,
 269 we firstly compute a PDF of T_{Opaque}^l among all single columns on each $2^\circ \times 2^\circ$ grid box for the 2008–2015 period. Then, we
 270 compute the area-weighted averaged PDF of a region, weighting each $2^\circ \times 2^\circ$ grid box PDF by the ratio of the number of



271 Opaque single columns over the number of all single columns. We do this in order to take into account the sampling
272 differences in each grid box.

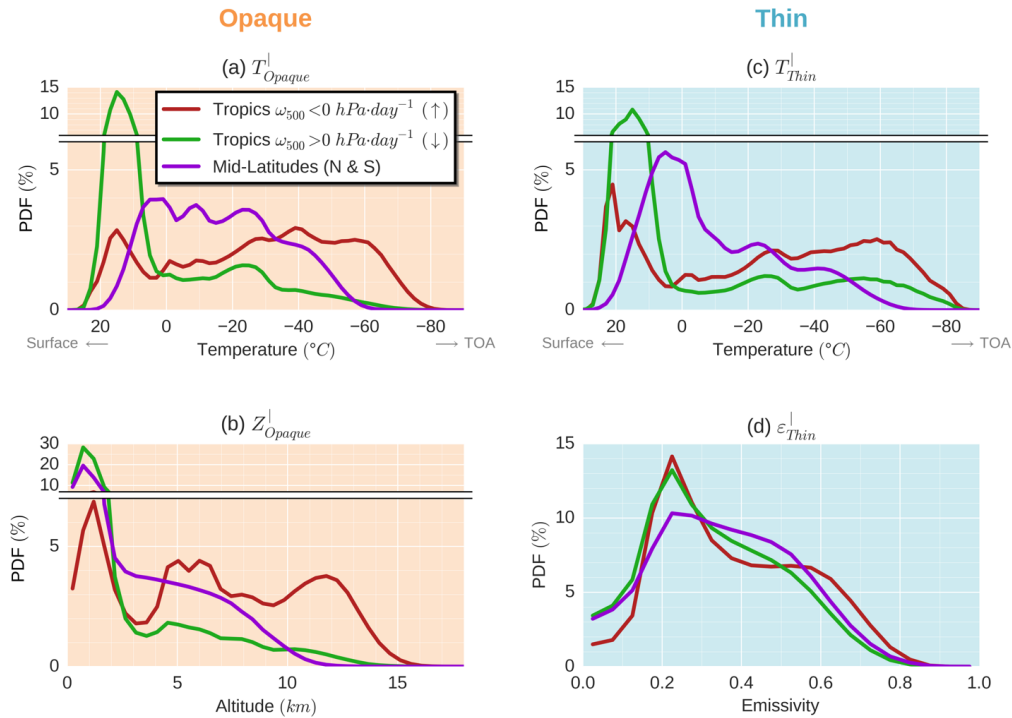
273 Figure 4a shows the distributions of T_{Opaque}^l among Opaque clouds. In the tropical subsiding region (green), 71 %
274 of T_{Opaque}^l are found between 0 °C and 25 °C with a maximum at 15 °C. Because they are warm, they do not strongly affect
275 the OLR compared to clear-sky conditions. These clouds are the marine boundary layer clouds of the descending branches of
276 the Hadley cells. In the tropical ascending region (red), T_{Opaque}^l has a bimodal distribution with few warm clouds between
277 0 °C and 25 °C (21 %) and most cloud temperatures spread between 0 °C and -80 °C (79 %). These latter Opaque clouds
278 will have locally a very strong impact on the OLR since their temperatures are up to 100 K lower than the surface. However,
279 the tropical ascending region only represents about 1/5 of the ocean surface between 65° S and 65° N making their effect at
280 global scale less striking. In the mid-latitudes region (purple), T_{Opaque}^l are unsurprisingly located at temperatures less
281 extreme than in tropical regions with temperatures ranging from 20 °C to -60 °C and are rather evenly distributed between
282 10 °C and -30 °C. These Opaque clouds will have a mid-effect on the local OLR, but the mid-latitudes region represent a
283 large area (43 % of the ocean surface between 65° S and 65° N) and their cover over these regions is large (Fig. 2a). So, they
284 will certainly also play an important role on the global CRE.

285 The Opaque cloud radiative temperature T_{Opaque}^l is based on the key new lidar information Z_{Opaque}^l (Eq. (1)).
286 Figure 4b shows that Z_{Opaque}^l is mostly low in all regions, at around 1 km altitude, in the boundary layer clouds, especially
287 for the subsiding region. Some non-negligible amount of Z_{Opaque}^l are found between 2 km and 8 km in the mid-latitudes
288 storm tracks. In the tropical ascending region, the PDF is tri-modal with a first pick around 1 km, a second around 5 km and
289 a third around 12 km, suggesting the presence of Opaque clouds in the boundary layer and at very high altitudes due to deep
290 convection for the first and last mode. The second mode could be due to more diffuse or developing convective clouds. Since
291 T_{Opaque}^l also depends on Z_{Top}^l , distributions of the distance between cloud top and Z_{Opaque}^l among Opaque clouds are given
292 in Fig. A1a (appendix B).

293 As in Fig. 4a but for Thin clouds, Fig. 4c also shows, in the tropical subsiding region, a large majority of T_{Thin}^l
294 higher than 0 °C (65 %). T_{Thin}^l colder than -40 °C are more frequent than for T_{Opaque}^l , suggesting high-altitude optically thin
295 cirrus from detrainments of anvil clouds generated in adjacent convective regions. In the tropical ascending region, the
296 "warm" mode of the bimodal distributions of T_{Thin}^l is bigger and warmer than that of T_{Opaque}^l . The main mode of T_{Thin}^l in the
297 mid-latitudes region, is also warmer than that of T_{Opaque}^l . Warmer cloud temperatures, implying smaller CRE, reinforces the
298 importance of the role of the Opaque clouds versus the Thin clouds in the total CRE. Distributions of the distance between
299 cloud top and cloud base among Thin clouds are given in Fig. A1b (appendix B).

300 Because the radiative impact of the Thin clouds will also depend on the cloud emissivity of the cloud, we also
301 computed the distributions of ϵ_{Thin}^l among Thin clouds. Figure 4d shows these distributions. For all regions, the maximum is
302 located around 0.25. So, emissivities of Thin clouds are usually small, and clouds with small emissivities have less impact on
303 the OLR. This, once again, goes in the sense that the role that play Thin clouds on the total CRE should be significantly
304 smaller than that of Opaque clouds.

305



306

307 FIG. 4. Observed distributions of (a) T_{Opaque}^l among Opaque clouds, (b) Z_{Opaque}^l among Opaque clouds, (c) T_{Thin}^l among Thin clouds
 308 and (d) ϵ_{Thin}^l among Thin clouds in three regions: (red) the tropical [30° S–30° N] ascending regime areas (monthly mean $\omega_{500} < 0$
 309 hPa·day⁻¹), (green) the tropical [30° S–30° N] subsiding regime areas (monthly mean $\omega_{500} > 0$ hPa·day⁻¹) and (purple) the mid-latitudes
 310 [30°–65°]. These regions represent respectively 22 %, 35 % and 43 % of their total area. Only nighttime over ice-free oceans for the 2008–
 311 2015 period is considered.



312 4. Outgoing longwave radiation derived from lidar cloud observations

313 In this section, we express the OLR as a function of cloud properties derived from lidar observations (T_{Opaque}^l ,
 314 T_{Thin}^l , and ε_{Thin}^l). Then, we verify this relationship against observations at instantaneous 20 km footprint scale, using high
 315 spatial resolution collocated satellite-borne broadband radiometer and lidar data, and at monthly mean
 316 2° latitude \times 2° longitude gridded scale.

317 4.1 Linear relationship deduced from radiative transfer simulations over single cloudy column

318 The goal of this sub-section is to establish a simple and robust relationship between 1) the OLR over an Opaque
 319 cloud single column OLR_{Opaque}^l and the radiative temperature T_{Opaque}^l and, 2) the OLR over a Thin cloud single column
 320 OLR_{Thin}^l and the radiative temperature T_{Thin}^l and the Thin cloud emissivity ε_{Thin}^l .

321 1) For an Opaque cloud single column, we computed OLR_{Opaque}^l , using direct radiative transfer computations, for
 322 various atmospheres containing an Opaque cloud with different altitudes and vertical extents, represented by a cloud layer
 323 with emissivity equal to 1 at Z_{Opaque}^l topped with optically uniform cloud layers with vertically integrated visible optical
 324 depth equal to 3.2, which correspond to $\varepsilon \approx 0.8$. Figure 5a shows on dots the obtained OLR_{Opaque}^l as function of T_{Opaque}^l for
 325 tropical atmosphere conditions. Linear regression (solid line) leads to:

$$326 \quad OLR_{Opaque}^{l(LID)} = 2.0T_{Opaque}^l - 310. \quad (3)$$

327 where $OLR_{Opaque}^{l(LID)}$ is expressed in $W \cdot m^{-2}$ and T_{Opaque}^l in K. So, when T_{Opaque}^l decreases of 1 K (e.g. if the Opaque cloud
 328 rises up) then the OLR decreases by $2 W \cdot m^{-2}$. This linear relationship, firstly found by Ramanathan (1977), has a slope
 329 which is consistent with previous work that found $2.24 W \cdot m^{-2}/K$ (Wang et al. (2002) using the radiative transfer model of Fu
 330 and Liou (1992, 1993) and the analysis of Kiehl (1994)). Linear regressions done on other regions with different atmospheric
 331 conditions give a similar coefficient. This means that, in spite of the significant differences in the atmospheric temperature
 332 and humidity profiles, OLR_{Opaque}^l depends essentially only on T_{Opaque}^l . This remarkable result demonstrates a cloud property
 333 which drives the OLR can be derived from spaceborne lidar measurement. Figure 5a also shows the black body emission
 334 (dashed line). Differences between the computed OLR and the black body emission represent the extinction effect of the
 335 atmospheric layers located above the cloud.

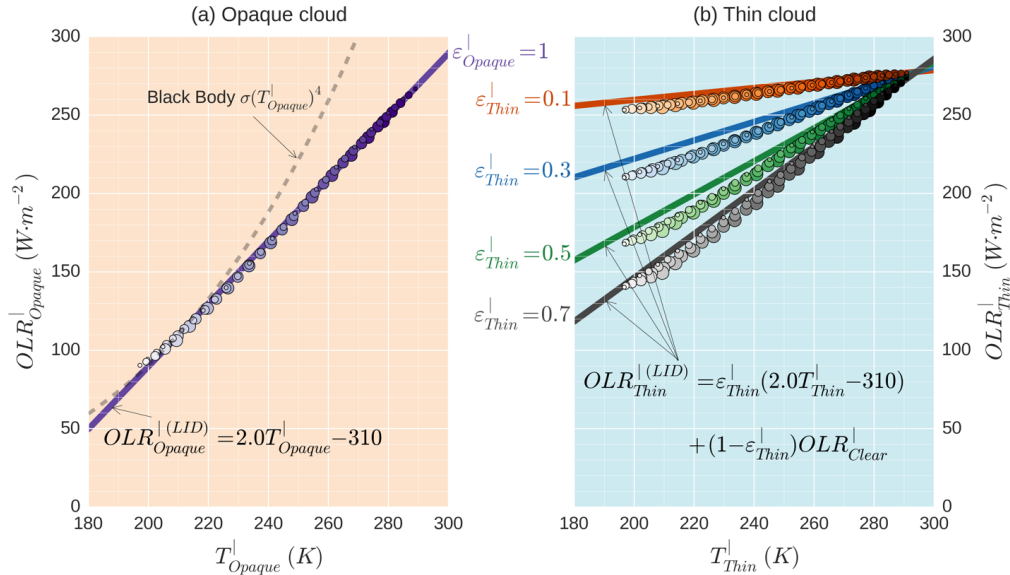
336 2) For a Thin cloud single column, we can consider OLR_{Thin}^l composed of two parts (Fig. 1). A first part, coming
 337 from the LW flux emitted by the cloud, which can be expressed in the same way as Eq. (3) using T_{Thin}^l instead of T_{Opaque}^l ,
 338 and weighted by the Thin cloud emissivity ε_{Thin}^l . The second part is equal to the OLR over a Clear sky single column
 339 OLR_{Clear}^l (the same single column without the cloud) multiplied by the cloud transmissivity ($1 - \varepsilon_{Thin}^l$):

$$340 \quad OLR_{Thin}^{l(LID)} = \varepsilon_{Thin}^l (2.0T_{Thin}^l - 310) + (1 - \varepsilon_{Thin}^l) OLR_{Clear}^l. \quad (4)$$

341 where $OLR_{Thin}^{l(LID)}$ and OLR_{Clear}^l are expressed in $W \cdot m^{-2}$ and T_{Thin}^l in K. In order to evaluate this expression and to examine
 342 the dependence of OLR_{Thin}^l to T_{Thin}^l and ε_{Thin}^l , we computed OLR_{Thin}^l , using direct radiative transfer computations, for
 343 various atmospheres containing a Thin cloud (represented by optically uniform cloud layers with integrated emissivities
 344 equal to ε_{Thin}^l) with different altitudes, vertical extents and emissivities. Figure 5b shows on dots the resulting OLR_{Thin}^l as
 345 function of T_{Thin}^l for 4 different values of ε_{Thin}^l , for tropical atmosphere conditions. We compare these results with the linear
 346 expression of Eq. (4) (solid lines), in which OLR_{Clear}^l is obtained by computing the OLR for a single column without cloud.
 347 The theoretical formulation agrees quite well with the different simulations. It may be noted, however, that this formulation
 348 seems to overestimate OLR_{Thin}^l (up to $+10 W \cdot m^{-2}$) for many cases. Reasons for it are discussed in Section 6.



349



350

FIG. 5. Relationship between the OLR and the cloud radiative temperature from radiative transfer computations: (a) over an Opaque cloud single column and (b) over a Thin cloud single column. Direct radiative transfer computations are shown in dots. Solid lines represent the linear relationships inferred from a regression on dots in the Opaque case and applied to the Thin clouds case according to Eq. (4). For a fixed value of cloud emissivity (dots colors; 1 [purples] for Opaque clouds and 0.1 [reds], 0.3 [blues], 0.5 [greens], 0.7 [greys] for Thin clouds), the linear relationship does not depend on the cloud altitudes (dots light intensity; 0 km [dark] – 16 km [bright]) or the geometrical thicknesses (dots size; 1 km [small] – 5 km [large]). Results shown here use the 2008-year mean thermodynamic atmospheric variables over the tropical region [30° S–30° N] from ERA-1 reanalysis.

351

352 4.2 Evaluation of the linear relationship using observations at instantaneous CERES footprint scale

353 We evaluate the robustness of the OLR expressions (Eqs. (3) and (4)) at the resolution of a CERES footprint
 354 (~20 km) using CERES measurements, and cloud properties derived from collocated CALIOP observations T_{Opaque}° , T_{Thin}°
 355 and ϵ_{Thin}° . For this purpose, we apply Eqs. (3) and (4) using T_{Opaque}° , T_{Thin}° , ϵ_{Thin}° and the estimated OLR over the scene
 356 removing the clouds given by C3M OLR_{Clear}° . T_{Opaque}° , T_{Thin}° , ϵ_{Thin}° refer to atmospheric column with a CERES footprint
 357 base, as mentioned by the exponent symbol "°", and are obtained averaging respectively all T_{Opaque}^l , T_{Thin}^l and ϵ_{Thin}^l falling
 358 into the CERES footprint. OLR_{Clear}° , OLR_{Opaque}° and OLR_{Thin}° refer to atmospheric column with a CERES footprint base.

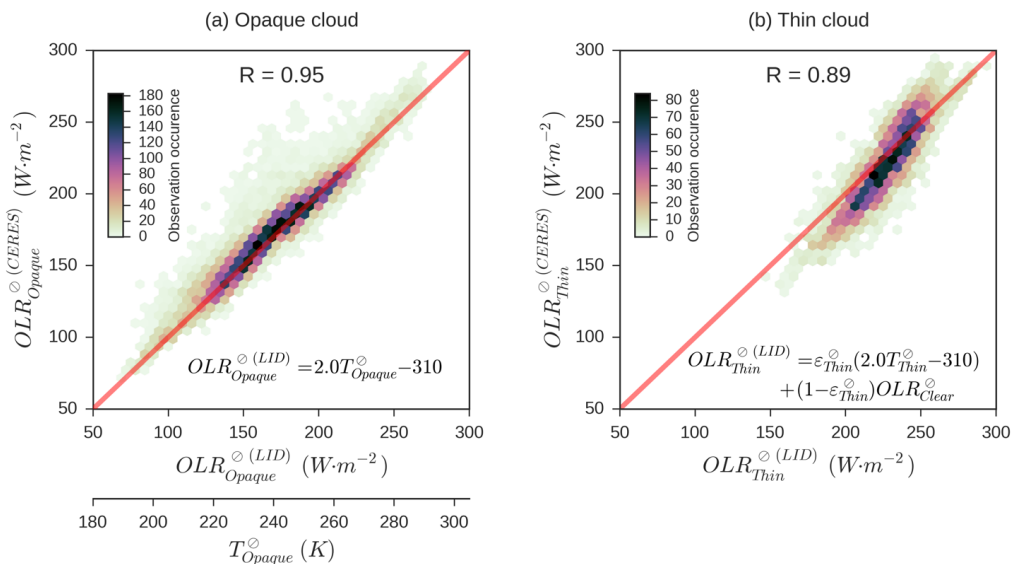
359 Figure 6a compares the $OLR_{Opaque}^{\circ(CERES)}$ measured by CERES only over footprints entirely cover by an Opaque cloud,
 360 with the $OLR_{Opaque}^{\circ(LID)}$ computed from T_{Opaque}° using Eq. (3). We see a very strong correlation between observed and computed
 361 OLR (R = 0.95). Therefore, this confirms that the OLR over an Opaque cloud is linearly dependent of T_{Opaque}° . So, from
 362 lidar measurement it is possible to derive a cloud property which is proportional to the OLR. Monitoring T_{Opaque}^l on long-
 363 term should provide important information which should help to better understand the LW cloud feedback mechanism.
 364 Moreover, because the relationship is linear, it simplifies the derivatives in mathematical expressions of feedback and will
 365 allow to construct a useful framework to study LW cloud feedback in simulations of climate models.

366 Figure 6b is the same as Fig. 6a but only for CERES footprints entirely cover by a Thin cloud. So $OLR_{Thin}^{\circ(LID)}$ is
 367 computed from T_{Thin}° , ϵ_{Thin}° and OLR_{Clear}° using Eq. (4). $OLR_{Thin}^{\circ(LID)}$ compared to observations ($OLR_{Thin}^{\circ(CERES)}$) also shows
 368 quite good correlation (R = 0.89), but the regression slightly differs from the identity line. Possible reasons for disagreements



369 between observed $OLR_{Thin}^{\circ(LID)}$ and computed $OLR_{Thin}^{\circ(CERES)}$ are discussed in Section 6. These same results are also drawn as
 370 function of T_{Thin}° and $\varepsilon_{Thin}^{\circ}$ in Fig. A2 for a fixed value of OLR_{Clear}° (we selected measurements where $OLR_{Clear}^{\circ} \in$
 371 $[275, 285] \text{ W}\cdot\text{m}^{-2}$) in order to show the effect of those two cloud properties on $OLR_{Thin}^{\circ(CERES)}$.

372 The evaluation showed in Fig. 6 is only using observation from January 2008. The same evaluation performed with
 373 July 2008 data (not shown) gives similar results, with $R = 0.96$ for Opaque clouds and $R = 0.90$ for Thin clouds.
 374



375

Fig. 6. Comparison between observed and lidar-derived OLR at CERES footprint scale: (a) over Opaque cloud single columns and (b) over Thin cloud single columns. Results obtained from CERES (y-axis) and CALIOP (x-axis) collocated measurements. $OLR_{Opaque}^{\circ(LID)}$ and $OLR_{Thin}^{\circ(LID)}$ are computed using Eqs. (4) and (5). Only nighttime over ice-free oceans for January 2008 is considered. R is the correlation coefficient.

376

377 4.3 Evaluation of the linear relationship using observations at monthly mean $2^{\circ}\times 2^{\circ}$ gridded scale

378 We first compute the monthly mean gridded total OLR from gridded lidar cloud properties:

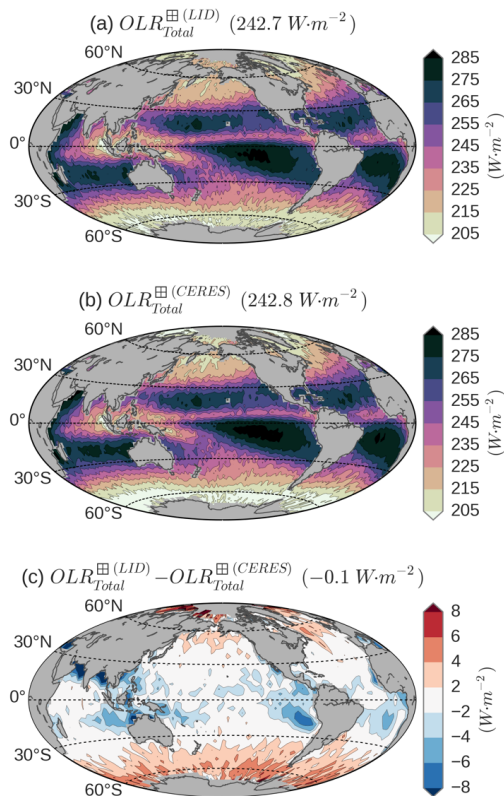
$$379 \quad OLR_{Total}^{\boxplus(LID)} = C_{Clear}^{\boxplus} OLR_{Clear}^{\boxplus} + C_{Opaque}^{\boxplus} OLR_{Opaque}^{\boxplus(LID)} + C_{Thin}^{\boxplus} OLR_{Thin}^{\boxplus(LID)}, \quad (5)$$

380 where C_{Clear}^{\boxplus} , C_{Opaque}^{\boxplus} and C_{Thin}^{\boxplus} are the monthly mean covers (Figs. 1,2): the ratio between the number of a specific
 381 kind of single column over the total number of single columns that fall into the grid box during a month. $OLR_{Opaque}^{\boxplus(LID)}$ is
 382 computed from T_{Opaque}^{\boxplus} using Eq. (3), and $OLR_{Thin}^{\boxplus(LID)}$ is computed from T_{Thin}^{\boxplus} , $\varepsilon_{Thin}^{\boxplus}$ and OLR_{Clear}^{\boxplus} using Eq. (4). T_{Opaque}^{\boxplus} ,
 383 T_{Thin}^{\boxplus} and $\varepsilon_{Thin}^{\boxplus}$ are obtained by averaging respectively all T_{Opaque}^{\boxplus} , T_{Thin}^{\boxplus} and $\varepsilon_{Thin}^{\boxplus}$ falling into the $2^{\circ}\times 2^{\circ}$ box.

384 We then evaluate the lidar-derived $OLR_{Total}^{\boxplus(LID)}$ against the CERES measurements $OLR_{Total}^{\boxplus(CERES)}$. To do so, we
 385 computed the 2008–2010 mean $OLR_{Total}^{\boxplus(LID)}$ from Eq. (5) using OLR_{Clear}^{\boxplus} from C3M and compared it with the one measured
 386 by CERES-Aqua. Figure 7 shows the comparison between computed $OLR_{Total}^{\boxplus(LID)}$ (Fig. 7a) and measured $OLR_{Total}^{\boxplus(CERES)}$
 387 (Fig. 7b). We firstly observe the noteworthy agreement of OLR patterns. Figure 7c shows the difference between those two
 388 maps. The global mean difference is $-0.1 \text{ W}\cdot\text{m}^{-2}$, meaning $OLR_{Total}^{\boxplus(LID)}$ very slightly underestimate the observed
 389 $OLR_{Total}^{\boxplus(CERES)}$. The zonal mean differences (not shown) are quite small and never exceed $5 \text{ W}\cdot\text{m}^{-2}$ and are mostly lower than



390 $2 \text{ W}\cdot\text{m}^{-2}$. Locally, we note a lack of OLR over the warm pool, the Intertropical Convergence Zone (ITCZ) and the
 391 stratocumulus regions off the West coast of continents (up to $6\text{--}8 \text{ W}\cdot\text{m}^{-2}$) and an excess of OLR over latitudes beyond 50° N
 392 or 40° S (up to $4\text{--}6 \text{ W}\cdot\text{m}^{-2}$). As C3M only covers through April 2011, but we aim to use this framework on long time-series
 393 observations, we replace OLR_{Clear}^{C3M} from C3M by $OLR_{Clear}^{\text{CERES}}$ from CERES-EBAF in the following of this paper. Comparison
 394 between observed and lidar-derived OLR using $OLR_{Clear}^{\text{CERES}}$ from CERES-EBAF instead of OLR_{Clear}^{C3M} from C3M is showed in
 395 Fig. A3. Using OLR_{Clear}^{C3M} increases the global mean $OLR_{Total}^{\text{(LID)}}$ by $0.6 \text{ W}\cdot\text{m}^{-2}$. Reasons for this increase are
 396 discussed in Section 6.
 397



398
 399

FIG. 7. Comparison between observed and lidar-derived OLR at $2^\circ \times 2^\circ$ gridded scale: (a) derived from CALIOP observations and (b) measured by CERES-Aqua. (c) = (a) - (b). Only from nighttime over ice-free oceans for the 2008–2010 period is considered. Global mean values are given in parentheses.

400



401 **5 Contributions of Opaque clouds and Thin clouds to the cloud radiative effect**

402 In the previous section, we found a clear linear relationship for Opaque clouds between OLR_{Opaque} and T_{Opaque} at
 403 different scales. The relationship for Thin clouds, though quite simple, is not linear and agrees less with observations than for
 404 Opaque clouds. In this section, we evaluate the contributions of Opaque clouds and Thin clouds to the total CRE.

405 **5.1 Partitioning cloud radiative effect into Opaque CRE and Thin CRE**

406 Using Eq. (5), we are able to decompose the total CRE at the TOA, computed from lidar observations, in its Opaque
 407 and Thin clouds contributions:

$$\begin{aligned}
 408 \quad CRE_{Total}^{\boxplus(LID)} &= OLR_{Clear}^{\boxplus} - OLR_{Total}^{\boxplus(LID)} \\
 409 \quad &= \underbrace{C_{Opaque}^{\boxplus} (OLR_{Clear}^{\boxplus} - OLR_{Opaque}^{\boxplus(LID)})}_{CRE_{Opaque}^{\boxplus(LID)}} + \underbrace{C_{Thin}^{\boxplus} (OLR_{Clear}^{\boxplus} - OLR_{Thin}^{\boxplus(LID)})}_{CRE_{Thin}^{\boxplus(LID)}}. \quad (6) \\
 410 \\
 411 \quad &
 \end{aligned}$$

412 Thereby, using Eq. (3), we can express $CRE_{Opaque}^{\boxplus(LID)}$ as a function of C_{Opaque}^{\boxplus} , T_{Opaque}^{\boxplus} and OLR_{Clear}^{\boxplus} :

$$413 \quad CRE_{Opaque}^{\boxplus(LID)} = C_{Opaque}^{\boxplus} (OLR_{Clear}^{\boxplus} - 2.0T_{Opaque}^{\boxplus} + 310). \quad (7)$$

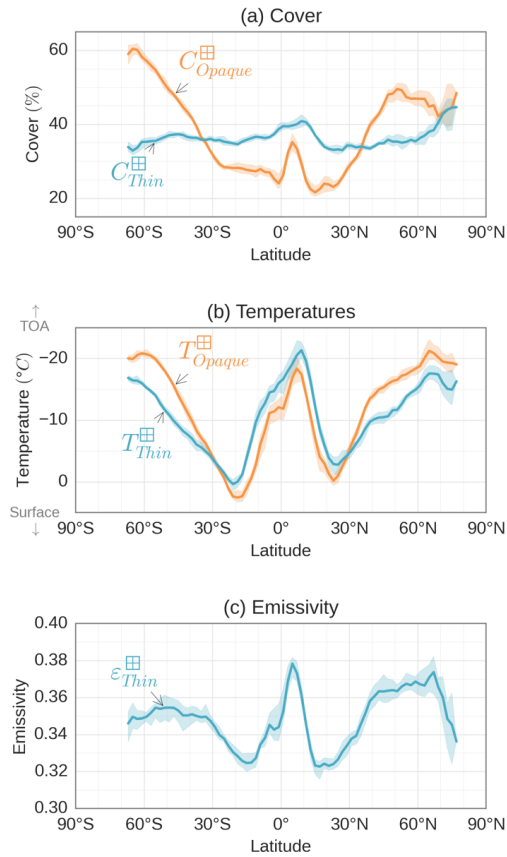
414 Using Eq. (4), we can express $CRE_{Thin}^{\boxplus(LID)}$ as a function of C_{Thin}^{\boxplus} , T_{Thin}^{\boxplus} , $\varepsilon_{Thin}^{\boxplus}$ and OLR_{Clear}^{\boxplus} :

$$415 \quad CRE_{Thin}^{\boxplus(LID)} = C_{Thin}^{\boxplus} \varepsilon_{Thin}^{\boxplus} (OLR_{Clear}^{\boxplus} - 2.0T_{Thin}^{\boxplus} + 310). \quad (8)$$

416 **5.2 Global means of the Opaque cloud CRE and the Thin cloud CRE**

417 Figure 8 shows the zonal mean observations of the 5 cloud properties (C_{Opaque}^{\boxplus} , T_{Opaque}^{\boxplus} , C_{Thin}^{\boxplus} , T_{Thin}^{\boxplus} and $\varepsilon_{Thin}^{\boxplus}$). In
 418 the subsidence branches of the Hadley cell, around 20° S and 20° N, C_{Opaque}^{\boxplus} is minimum (Fig. 8a), T_{Opaque}^{\boxplus} and T_{Thin}^{\boxplus} are
 419 warm (Fig 8b, temperatures in y-axis oriented downward) and $\varepsilon_{Thin}^{\boxplus}$ is minimum (Fig. 8c). So, we do not expect a very large
 420 contribution to the CRE from these regions. In contrast, the Intertropical Convergence Zone (ITCZ) corresponds to local
 421 maxima of Opaque and Thin cloud covers, extremely cold T_{Opaque}^{\boxplus} and T_{Thin}^{\boxplus} and a maximum of $\varepsilon_{Thin}^{\boxplus}$. Very large CRE will
 422 arise from there. Interestingly, an inversion of cover predominance and colder temperature between Opaque and Thin clouds
 423 occurs around 30° latitude. This suggests that the relative contribution of the Thin clouds to the CRE is larger in the tropical
 424 belt than in the rest of the globe. This should not be very dependent on the year since the interannual variations of these 5
 425 cloud properties (represented by the shaded areas) are very small compared to the zonal differences.

426



427

FIG. 8. Zonal mean observations: (a) C_{Opaque}^{\boxplus} and C_{Thin}^{\boxplus} , (b) T_{Opaque}^{\boxplus} among Opaque clouds and T_{Thin}^{\boxplus} among Thin clouds and (c) $\epsilon_{Thin}^{\boxplus}$ among Thin clouds. Only nighttime over ice-free oceans for the 2008–2015 period is considered. Shaded areas represent the envelope including interannual variations.

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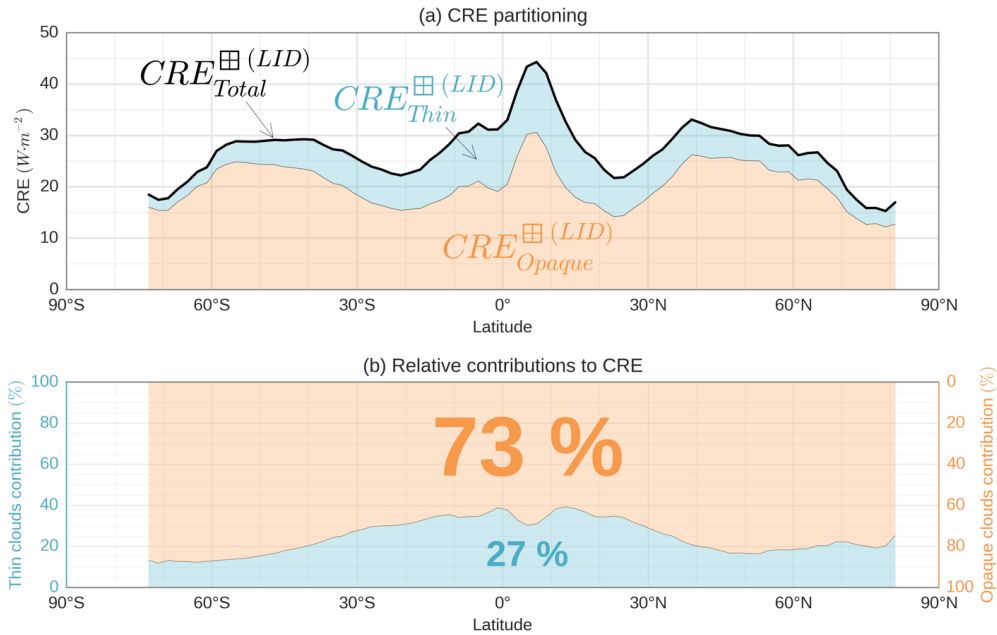
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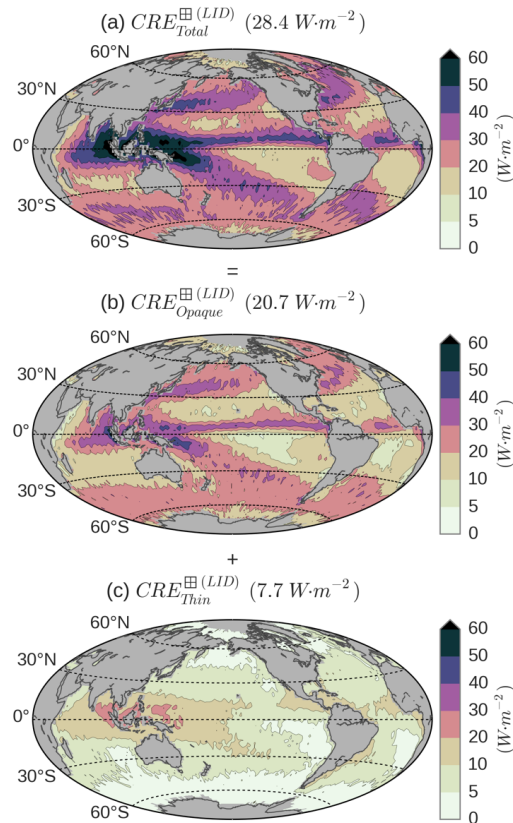
435

Figure 9 shows that Opaque clouds contribute the most (73 %) to the total CRE. We can also note that the zonal variations of $CRE_{Opaque}^{\boxplus(LD)}$ and so approximately the variations of $CRE_{Total}^{\boxplus(LD)}$ (black line), can be explained by the zonal variations of T_{Opaque}^{\boxplus} and C_{Opaque}^{\boxplus} (Fig. 8a,b). For example, the absolute maximum CRE at 5° N ($\sim 44 \text{ W} \cdot \text{m}^{-2}$) is associated with a large cover and cold temperature of Opaque clouds. As suggested hereinbefore, we see that the relative contribution of Thin clouds ($CRE_{Thin}^{\boxplus(LD)}/CRE_{Total}^{\boxplus(LD)}$, Fig. 9b) is larger under the tropics, approximately 2 times larger below 30° (up to 40 %) than beyond those latitudes.



436
 437 FIG. 9. (a) Partitioning of total CRE into Opaque CRE and Thin CRE. (b) Ratios of the Opaque (Thin) CRE to the total CRE. Only
 438 nighttime over ice-free oceans for the 2008–2015 period is considered.
 439

440 Figure 10 shows the same CRE partitioning on maps. The likeness of patterns between total CRE (Fig. 10a) and the
 441 Opaque clouds CRE contribution (Fig. 10b) is prominent, strengthening again the importance of the Opaque clouds in the
 442 CRE. We can also note that Thin clouds CRE contribution (Fig. 10c) have quite large values between 20° S and 20° N in the
 443 Indian Ocean and the West Pacific Ocean, especially all around Indonesia, where C_{Thin}^{\boxplus} (Fig. 2b) is maximum and T_{Thin}^{\boxplus}
 444 minimum (not shown).
 445



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FIG. 10. Maps of (a) the total CRE (b) the Opaque CRE and (c) the Thin CRE. Only nighttime over ice-free oceans for the 2008–2015 period is considered. Global mean values are given in parentheses.

449

450 Globally, the predominance of $CRE_{Opaque}^{\square(LID)}$ is obvious since it represents nearly the three-fourth of the total
 451 $CRE_{Total}^{\square(LID)}$. Thereby, the cloud property T_{Opaque}^{\square} inferred from lidar observations and linearly linked to OLR_{Opaque}^{\square} should
 452 be a very good candidate to constrain LW cloud feedbacks since Thin clouds only account for 27 % of $CRE_{Total}^{\square(LID)}$. Also,
 453 since the expression used for Thin clouds seems to give coherent results for $CRE_{Thin}^{\square(LID)}$, it could also be used in a future
 454 work to quantify the role of a change in C_{Thin}^{\square} , T_{Thin}^{\square} , and $\varepsilon_{Thin}^{\square}$ in the variations of $CRE_{Thin}^{\square(LID)}$.

455 5.3 Tropical Opaque cloud CRE and Thin cloud CRE in dynamical regimes

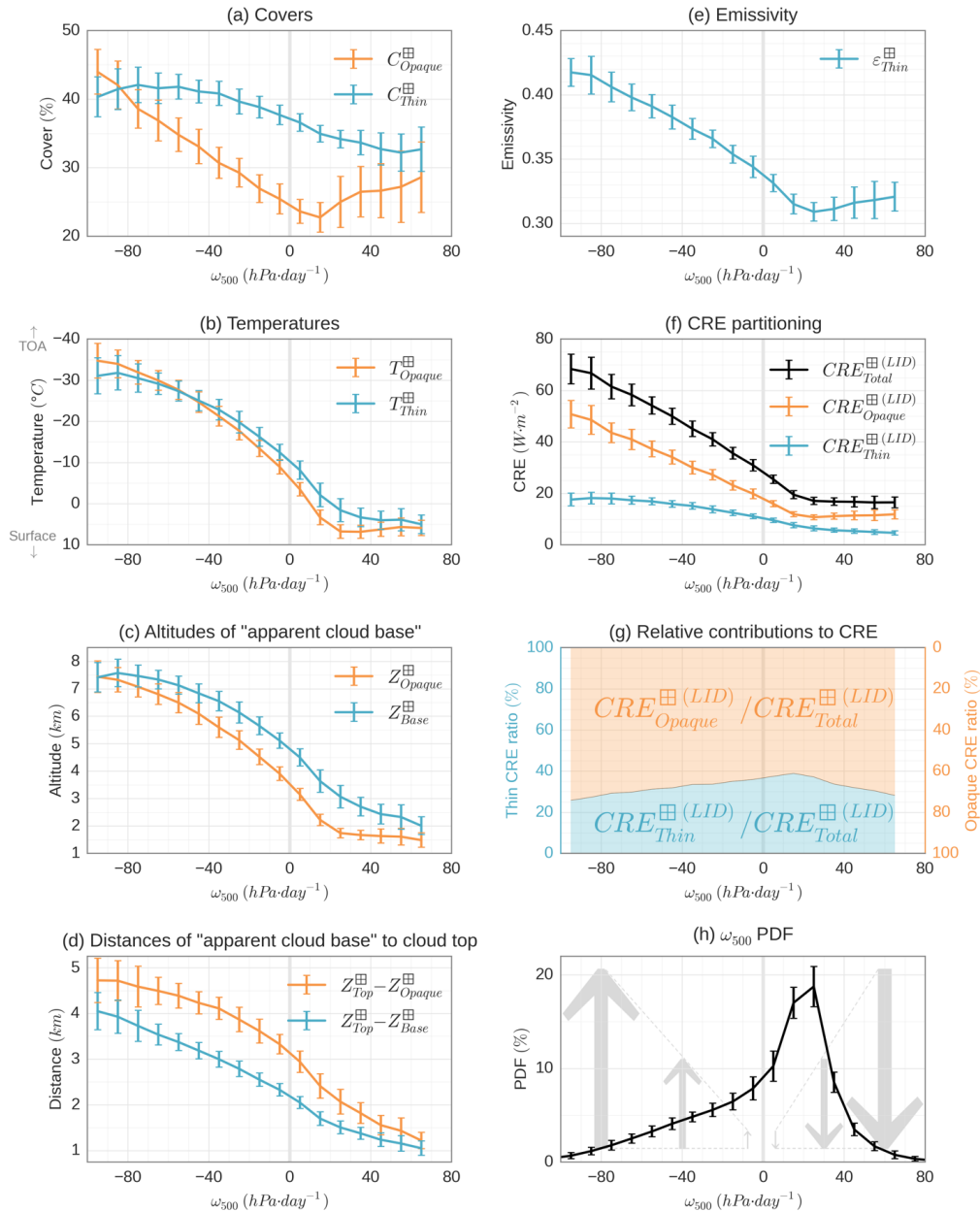
456 Figure 11 shows the cloud properties as a function of dynamical regime in the tropics (whose PDF according to the
 457 500-hPa pressure velocity is given Fig. 11h). In the tropical convective regimes ($\omega_{500} < 0$ hPa·day⁻¹), C_{Opaque}^{\square} is strongly
 458 driven (25 % to 45 % increase from 0 hPa·day⁻¹ to -100 hPa·day⁻¹) by the velocity of ascending air, whereas C_{Thin}^{\square} seems to
 459 be poorly dependent of it, with an almost constant cover around 40 %. In subsidence regions, the mean C_{Opaque}^{\square} is also
 460 increasing when the air descending velocity is larger but with a wide range of variation from month to month (Fig. 11a).
 461 More strikingly, T_{Opaque}^{\square} and T_{Thin}^{\square} (Fig. 11b) vary linearly with ω_{500} , with a small variability from month to month. T_{Opaque}^{\square}



462 and T_{Thin}^{\square} linearly decrease from $20 \text{ hPa} \cdot \text{day}^{-1}$ to $-100 \text{ hPa} \cdot \text{day}^{-1}$ from approximately $5 \text{ }^{\circ}\text{C}$ to $-35 \text{ }^{\circ}\text{C}$ and are constant between
 463 $20 \text{ hPa} \cdot \text{day}^{-1}$ and $70 \text{ hPa} \cdot \text{day}^{-1}$ at $5 \text{ }^{\circ}\text{C}$. This suggests that, locally, T_{Opaque}^{\square} and T_{Thin}^{\square} are invariants in each dynamical
 464 regime. Radiative cloud temperatures T_{Opaque}^{\square} and T_{Thin}^{\square} presented in Fig. 11b were built respectively from temperatures at
 465 altitudes Z_{Opaque}^1 and Z_{Top}^1 , and from temperatures at altitudes Z_{Base}^1 and Z_{Top}^1 (see Section 3.1). The linear decrease from
 466 $20 \text{ hPa} \cdot \text{day}^{-1}$ to $-100 \text{ hPa} \cdot \text{day}^{-1}$ of T_{Opaque}^{\square} and T_{Thin}^{\square} is due to the cumulative effects of a rising of the altitude of "apparent
 467 cloud base" (Z_{Opaque}^1 for Opaque clouds and Z_{Base}^1 for Thin clouds; see monthly mean $2^{\circ} \times 2^{\circ}$ gridded Z_{Opaque}^{\square} and Z_{Thin}^{\square} on
 468 Fig. 11c) and an elongation of the cloud vertical distribution which gives even higher Z_{Top}^1 (see monthly mean $2^{\circ} \times 2^{\circ}$ gridded
 469 distance of "apparent cloud base" $Z_{Top}^{\square} - Z_{Opaque}^{\square}$ and $Z_{Top}^{\square} - Z_{Base}^{\square}$ on Fig. 11d). Figure 11e shows the distribution in
 470 dynamical regimes of ϵ_{Thin} . It increases from 0.31 to 0.42 between $20 \text{ hPa} \cdot \text{day}^{-1}$ and $-100 \text{ hPa} \cdot \text{day}^{-1}$, being almost invariant
 471 from month to month, and it is around 0.32 in average in subsidence region.

472 An interesting point which appears in these figures is, in the tropics, the very small variability in the relationship
 473 between cloud properties and ω_{500} in dynamical regimes between $20 \text{ hPa} \cdot \text{day}^{-1}$ and $-100 \text{ hPa} \cdot \text{day}^{-1}$: standard deviation is
 474 around 2.5 % for C_{Opaque}^{\square} , less than 2 % for C_{Thin}^{\square} , around 2.5 K for T_{Opaque}^{\square} , less than 3 K for T_{Thin}^{\square} , approximately 0.01 for
 475 ϵ_{Thin} , around 350 m for Z_{Opaque}^{\square} and Z_{Base}^{\square} , 300 m for $Z_{Top}^{\square} - Z_{Opaque}^{\square}$ and 200 m for $Z_{Top}^{\square} - Z_{Base}^{\square}$. So, a change in the
 476 large-scale dynamic regimes produces a change in the cloud properties and CRE that seem predictable. For example, if an
 477 intensification of the upward air motions velocity change ω_{500} on a region from $-40 \text{ hPa} \cdot \text{day}^{-1}$ to $-80 \text{ hPa} \cdot \text{day}^{-1}$, C_{Opaque}^{\square}
 478 would increase by 8 % (C_{Thin}^{\square} will remain more or less constant), T_{Opaque}^{\square} will decrease by 10 K and T_{Thin}^{\square} by 7 K, and ϵ_{Thin}
 479 will increase by 0.03. These cloud changes would increase the CRE by $17 \text{ W} \cdot \text{m}^{-2}$ including $14 \text{ W} \cdot \text{m}^{-2}$ from Opaque clouds
 480 (Fig. 11f). Because C_{Thin}^{\square} will remain more or less constant whereas C_{Opaque}^{\square} will increase with a decrease of ω_{500} in
 481 ascending regime, the relative contribution of Opaque clouds to the total CRE will be more and more important as
 482 convection increases. This is why we see in Fig. 11g a decrease of the Thin clouds relative contribution from $20 \text{ hPa} \cdot \text{day}^{-1}$ to
 483 $-100 \text{ hPa} \cdot \text{day}^{-1}$.

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FIG. 11. Tropical mean cloud properties and radiative effects as a function of the 500-hPa pressure velocity: (a) C_{Opaque}^{thin} and C_{Thin}^{thin} , (b) T_{Opaque}^{thin} among Opaque clouds and T_{Thin}^{thin} among Thin clouds, (c) Z_{Opaque}^{thin} among Opaque clouds and Z_{Base}^{thin} among Thin clouds, (d) $Z_{Top}^{thin} - Z_{Opaque}^{thin}$ among Opaque clouds and $Z_{Top}^{thin} - Z_{Base}^{thin}$ among Thin clouds, (e) ϵ_{Thin}^{thin} among Thin clouds, (f) total CRE, Opaque CRE and Thin CRE and (g) relative contribution of Opaque CRE and Thin CRE. (h) Distribution of the 500-hPa pressure velocity. Results obtained from monthly mean $2^\circ \times 2^\circ$ gridded variables. Only nighttime over ice-free oceans for the 2008–2015 period in $[30^\circ S - 30^\circ N]$ is considered. The error bars show the \pm standard deviation of the 96-monthly means.

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Because cloud properties seem to be invariants for dynamical regimes, a change in the tropics of the large-scale circulation should provide a change in the CRE predictable and linked to the spatial distribution (both covers and altitudes) of Opaque clouds and Thin clouds sounded by CALIOP. For example, under global warming, climate models suggest a



491 narrowing of the ascending branch of the Hadley cell (e.g. Su et al., 2014), which means less convective regions and more
492 subsiding regions and which should result in a decrease of the CRE predictable knowing the changes of ω_{500} all over the
493 tropics.



494 **6 Limitations of the OLR linear expression**

495 In this study, from the direct measurement of the atmosphere opacity by spaceborne lidar, termed Z_{Opaque}^l , we were
 496 able to infer the radiative temperature of Opaque clouds T_{Opaque}^l , which we found linearly linked to the OLR. We propose
 497 Z_{Opaque}^l as a good candidate to provide an observational constraint on the LW CRE. We tested the linear relationship at
 498 different space scales from instantaneous to monthly means. Hereinbelow, we list possible reasons for uncertainties.

499 **6.1 Cloud radiative temperatures T_{Opaque}^l and T_{Thin}^l**

500 Cloud radiative temperatures T_{Opaque}^l and T_{Thin}^l definitions (Section 3.1) only take into account the apparent cloud
 501 extremities seen by the lidar (Z_{Top}^l and Z_{Opaque}^l or Z_{Base}^l). A temperature defined by the centroid altitude (Garnier et al.,
 502 2012) would take into account the entire cloud vertical profile. It could estimate better the equivalent radiative temperature.
 503 However, our results show that the CRE is mainly driven by Z_{Opaque}^l and Z_{Top}^l over Opaque clouds and Z_{Base}^l and Z_{Top}^l over
 504 Thin clouds. Furthermore, observational-based studies from the Atmospheric InfraRed Sounder (AIRS) and CALIOP
 505 showed that the radiative cloud height is located at the “apparent middle” of the cloud (Stubenrauch et al., 2010). The
 506 authors defining the “apparent middle” of the cloud as the middle between the cloud top (Z_{Top}^l) and the “apparent” cloud
 507 base seen by the CALIOP lidar (Z_{Base}^l for Thin clouds and Z_{Opaque}^l for Opaque clouds), consistently with our own definitions
 508 (Eqs. (1) and (2)).

509 **6.2 Evaluation of the OLR over Thin clouds**

510 We saw that the theoretical linear expression of OLR_{Thin}^l for a fixed ε_{Thin}^l overestimates the simulated one, up to
 511 $+10 \text{ W} \cdot \text{m}^{-2}$ for many cases (Section 4.1). This is partly due to the fact that the linear theoretical expression does not take into
 512 account the diffusion of the LW radiation within the clouds. It could partly explain why $OLR_{Thin}^{\circ(LID)}$ is large compared to the
 513 measured $OLR_{Thin}^{\circ(CERES)}$ (Fig. 6b). However, we do not think it should really affect the global scale partition of $CRE_{Total}^{\boxplus(LID)}$
 514 between $CRE_{Opaque}^{\boxplus(LID)}$ and $CRE_{Thin}^{\boxplus(LID)}$, because, replacing $CRE_{Thin}^{\boxplus(LID)}$ by the difference $CRE_{Total}^{\boxplus(CERES)} - CRE_{Opaque}^{\boxplus(LID)}$, reveals
 515 that Opaque clouds contribute to 74 % to the total CRE instead of 73 %.

516 Plotting results of Fig. 6 in single-cloud-layer situations (not shown) shows better correlation coefficients, with
 517 $R = 0.99$ for Opaque clouds and $R = 0.92$ for Thin clouds. It reveals that our linear expression can be affected by additional
 518 uncertainties in multilayers situations. As an example, all the occurrences far from and over the identity line in Fig. 6a are
 519 due to cloud multilayers. For Opaque cloud single columns, taking into account the optical depth of the thinner cloud which
 520 overlaps an Opaque cloud in the expression of T_{Opaque}^l improves the results ($R = 0.97$). However, this subtlety adds
 521 complexity to compute T_{Opaque}^l , and only gives small improvements to a simple expression with already very satisfying
 522 results ($R = 0.95$ on Fig. 6a).

523 Also, the value of $\varepsilon_{Thin}^{\boxplus}$ used to construct $OLR_{Thin}^{\boxplus(LID)}$ does not account for Thin cloud single columns where no
 524 “Clear” bin is found below the cloud (these clouds are not present in the ε_{Thin}^l PDFs of Fig. 4d). This happens when very low
 525 clouds are present in the lowest 480 m bin. So, emissivities of Thin clouds close to the surface are not taken into account in
 526 the averaged $\varepsilon_{Thin}^{\boxplus}$. But since all these “missed” cloud emissivities are from clouds near the surface, their temperature is
 527 certainly close to the surface temperature and their LW CRE should be small. So, this effect should have no significant
 528 impact on the presented results.



529 Moreover, applying OLR_{Thin}^l Eq. (4) to $2^\circ \times 2^\circ$ gridded variables introduces errors since the equation is non-linear
530 (product of T_{Thin}^l and ε_{Thin}^l) unlike the OLR_{Opaque}^l Eq. (5) which is linearly dependent on T_{Opaque}^l . Given that ε_{Thin}^l is mostly
531 centered around 0.25 (Fig. 4d) it should not bring a substantial error, and the comparison of the computed gridded
532 $OLR_{Total}^{\boxplus(LID)}$ against measured $OLR_{Total}^{\boxplus(CERES)}$ has shown very good agreement.

533 Finally, due to the fact that the GOCCP product was built in order to avoid false cloud detections, the threshold
534 chosen for cloud detection implies that GOCCP does not detect high clouds with an optical depth smaller than about 0.07
535 (Chepfer et al., 2010, 2013). These subvisible cirrus clouds are not included in this study, but as their emissivities are very
536 small (smaller than about 0.03), they will likely not change the results of the paper.

537 6.3 Gridded OLR

538 Concerning gridded OLR, it should be noted that we used monthly mean OLR_{Clear}^{\boxplus} from CERES-EBAF in Eqs. (4-
539 5) instead of instantaneous OLR_{Clear}^{\boxplus} from C3M since this product is only available up to April 2011. Clear sky OLR from
540 CERES-EBAF data is derived only from measurements over Clear sky atmospheric columns which are generally drier than
541 the clear part of a cloudy atmospheric column. Then, because a drier atmospheric column leads to a stronger OLR (e.g.
542 Spencer and Braswell, 1997; Dessler et al., 2008; Roca et al., 2012), OLR_{Clear}^{\boxplus} from CERES-EBAF should overestimates
543 OLR_{Clear}^{\boxplus} from C3M in average. The diurnal cycle, which is taken into account in OLR_{Clear}^{\boxplus} from CERES-EBAF but not in
544 OLR_{Clear}^{\boxplus} from C3M (since we only used nighttime observations) could also play a role in the difference. We found an
545 increase of $0.6 \text{ W} \cdot \text{m}^{-2}$ for the global mean $OLR_{Total}^{\boxplus(LID)}$ computed with OLR_{Clear}^{\boxplus} from CERES-EBAF compared to $OLR_{Total}^{\boxplus(LID)}$
546 computed with OLR_{Clear}^{\boxplus} from C3M for the 2008–2010 period.

547 Differences could also be related, to multilayer clouds in atmospheric single columns, to microphysics cloud
548 properties, and to differences in local atmospheric properties. However, using this very simple expression of the OLR give
549 an excellent correlation ($R = 0.95$) between monthly mean $OLR_{Total}^{\boxplus(LID)}$ and $OLR_{Total}^{\boxplus(CERES)}$ and a good agreement of the linear
550 regression with the identity line (appendix C, 2D distribution of monthly means $2^\circ \times 2^\circ$ gridded measured and computed OLR
551 is given in Fig. A4).

552 6.4 Sensitivity to Z_{Opaque}^l and to the multiple scattering factor

553 We also checked the sensitivity of $OLR_{Total}^{\boxplus(LID)}$ to the uncertainty in the altitude of full attenuation of the lidar. To do
554 this, we computed the $OLR_{Total}^{\boxplus(LID)}$ assuming Z_{Opaque}^l in all Opaque single column is located one bin (480 m) higher than
555 Z_{Opaque}^l given by GOCCP v3.0. This leads to a modification of the Opaque cloud radiative temperature and then to a
556 modification of the $OLR_{Opaque}^l(LID)$ and so $OLR_{Total}^{\boxplus(LID)}$. Doing this, decreases the global mean $OLR_{Total}^{\boxplus(LID)}$ from $0.9 \text{ W} \cdot \text{m}^{-2}$
557 (appendix D, Fig. A5a).

558 Finally, the use of a fixed multiple scattering factor η for the retrieving of the Thin cloud emissivity, whereas it
559 depends on cloud temperature (Garnier et al., 2015), could also play an important role in the differences between computed
560 $OLR_{Thin}^{\circ(LID)}$ and measured $OLR_{Thin}^{\circ(CERES)}$. We tested the sensitivity of a change in η on $OLR_{Total}^{\boxplus(LID)}$, modifying the value of η
561 from 0.6 to 0.5. It reduces the global mean $OLR_{Total}^{\boxplus(LID)}$ from $1.1 \text{ W} \cdot \text{m}^{-2}$ (appendix D, Fig. A5b), which we consider
562 negligible compared to the global mean value of $CRE_{Total}^{\boxplus(LID)}$ equal to $28.4 \text{ W} \cdot \text{m}^{-2}$.

563

564 **7 Conclusion**

565 Simple radiative transfer models that estimate the top of the atmosphere outgoing radiations as a function of a
566 limited number of variables are useful tools to build first-order decomposition of climate feedbacks. Such simple models
567 exist in the SW domain, but not in the LW domain because the LW fluxes are sensitive to the cloud vertical distribution
568 making the definition of such a simple model more challenging in the LW than in the SW. In this work, we propose a simple
569 LW radiative model which express the LW CRE as a function of five variables: two of them describe the Opaque clouds
570 (Opaque cloud cover, Opaque cloud radiative temperature) and three others describe the semi-transparent clouds (Thin cloud
571 cover, Thin cloud radiative temperature and Thin cloud emissivity).

572 The originality of the approach proposed in this paper relies on how the cloud vertical distribution is described in
573 this simple radiative transfer model. We used three levels of altitude documented by a space borne lidar to describe the cloud
574 vertical distribution within the simple radiative model. Our approach contrasts with the techniques based on passive space
575 borne sensors because those latter measure vertically integrated variables and do not provide direct information on the cloud
576 vertical distribution. Our approach also contrasts with techniques based on lidar/radar measurements that use 40 levels of
577 altitude (or more) to describe the cloud vertical distribution in the troposphere. In this work, we take advantage of the
578 precision and accuracy of the space borne lidar to describe the cloud vertical structure, but we retain only three levels of
579 altitude out of the 40 or more, to describe the cloud vertical distribution. Considering only three levels of altitude allows to
580 build simple radiative models useful for first-order cloud feedback analysis, given that the more complex radiative transfer
581 models using 40 altitude levels can hardly be used for this purpose. The three levels of altitude that we have selected are the
582 ones which influence the most the OLR: 1) the cloud top altitude Z_{Top}^l 2) the level of full attenuation of the lidar laser beam
583 Z_{Opaque}^l in a single column containing an Opaque cloud, and 3) the cloud base Z_{Base}^l in a single column containing a semi-
584 transparent Thin cloud. These three levels of altitudes have two advantages: they are first order drivers of the LW CRE and
585 they have been measured precisely and unambiguously over a decade with the CALIPSO space-borne lidar.

586 Using radiative transfer computations, we found that the OLR above an opaque cloud can be expressed linearly as a
587 function of the Opaque temperature: $OLR_{Opaque}^{(LID)} = 2.0T_{Opaque}^l - 310$, where T_{Opaque}^l is obtained from the combination of
588 the cloud top altitude Z_{Top}^l , the level of full attenuation of the lidar laser beam Z_{Opaque}^l , and a temperature profile from
589 reanalysis. From this simple relationship, it results that if an Opaque cloud rises up, and so decreases its T_{Opaque}^l by 1 K, then
590 the OLR is decreased by $2 \text{ W}\cdot\text{m}^{-2}$. Using this linear relationship together with CALIPSO and CERES observations, we
591 estimated the contribution of the Opaque clouds to the global mean LW CRE. Opaque clouds, which cover 35 % of the ice-
592 free ocean, contribute to 73 % of the global mean cloud radiative effect whereas Thin clouds, which cover 36 %, contribute
593 to 27 %.

594 We checked the robustness of the linear relationship given here above against observations at two different space
595 and time scales. First, we tested the instantaneous time scale at small space scale (20 km) using CALIPSO lidar data
596 collocated with CERES broadband radiometer data. We found a correlation coefficient of 0.95 between the lidar derived
597 T_{Opaque}^l and the OLR measured by the broadband radiometer. Second, we tested the validity of the relationship using
598 monthly mean data within 2° latitude \times 2° longitude grid boxes. There we found that the global annual mean OLR derived
599 from the combination of the lidar data and the linear relationship, differs by $0.1 \text{ W}\cdot\text{m}^{-2}$ from the OLR measured by CERES.

600 To conclude, this paper proposes a simple approximate formulation of the complex problem of radiative transfer in
601 the LW domain that could be used to explore first-order LW cloud feedback in both observations and climate model
602 simulations. On the observational side, future work will consist in analyzing the inter-annual variability of the record
603 collected by space-borne lidars and broadband radiometers: CALIPSO/CERES in the A-train (10+ years) completed by
604 EarthCare (Illingworth et al., 2014) to be launch in the coming years. On the climate model simulation side, this framework



605 will be included in the Cloud Feedback Model Intercomparison Project (CFMIP) Observation Simulator Package (COSP;
606 Bodas-Salcedo et al., 2011) lidar simulator (Chepfer et al., 2008) and applied to climate model outputs in order to quantify
607 the role of each cloud property in the simulated cloud feedbacks.
608



609 **Appendix A: Radiative cloud temperature**

610 Schematically, if we consider an optically uniform cloud, i.e. the LW optical depth δ^{LWl} increases linearly through
 611 the cloud, with a cloud total LW optical depth δ_{cloud}^{LWl} , we can compute the upward LW radiative flux emitted by the cloud at
 612 the top of the cloud ($\delta^{LWl} = 0$). Neglecting the cloud particle reflectivity in the longwave domain, from the integral form of
 613 the Schwarzschild's equation, we can express the upward zenithal spectral radiance I_v^l emitted by the cloud at the top of the
 614 cloud:

$$615 \quad I_{v_{cloud}}^l(\delta^{LWl} = 0) = \int_0^{\delta_{cloud}^{LWl}} B_v(T(\delta^{LWl})) e^{-\delta^{LWl}} d\delta^{LWl} \quad [W \cdot m^{-2} \cdot sr^{-1} \cdot m^{-1}] \quad (A1)$$

616 Considering a linear increase of the temperature with δ^{LWl} from the cloud top to the cloud base ($T(\delta^{LWl}) =$
 617 $k_1 \delta^{LWl} + k_2$) and integrating $I_{v_{cloud}}^l$ throughout the whole LW spectrum (using Stefan-Boltzmann law $\int B_v dv = \sigma T^4 / \pi$),
 618 we can write the LW radiance I^{LWl} emitted by the cloud at the top of the cloud as:

$$619 \quad I_{cloud}^{LWl}(\delta^{LWl} = 0) = \int_0^{\delta_{cloud}^{LWl}} \frac{\sigma}{\pi} (k_1 \delta^{LWl} + k_2)^4 e^{-\delta^{LWl}} d\delta^{LWl} \quad [W \cdot m^{-2} \cdot sr^{-1}] \quad (A2)$$

620 Assuming that the cloud emits as a Lambertian surface, the upward LW radiative flux $F^{\uparrow LWl}$ emitted by the cloud at
 621 the top of the cloud is given by:

$$622 \quad F_{cloud}^{\uparrow LWl}(\delta^{LWl} = 0) = \int_0^{\delta_{cloud}^{LWl}} \sigma (k_1 \delta^{LWl} + k_2)^4 e^{-\delta^{LWl}} d\delta^{LWl} \quad [W \cdot m^{-2}] \quad (A3)$$

623 Then, for specific values of coefficient k_1 and k_2 , which determine the gradient of temperature in the cloud and the
 624 cloud top temperature (and so the cloud base temperature knowing δ_{cloud}^{LWl}), it is possible to compute $F_{cloud}^{\uparrow LWl}(\delta^{LWl} = 0)$ and
 625 then solve the equation $F_{cloud}^{\uparrow LWl}(\delta^{LWl} = 0) = \varepsilon \sigma (T_{rad}^l)^4 = (1 - e^{-\delta_{cloud}^{LWl}}) \sigma (T_{rad}^l)^4$ to find the corresponding equivalent
 626 cloud radiative temperature T_{rad}^l .

627 **Appendix B: Vertical distributions of clouds directly observed by CALIOP**

628 For 3 regions, as for Fig. 4, Fig. A1 shows distributions of the distance between cloud top and Z_{opaque}^l among
 629 Opaque clouds and the distance between cloud top and cloud base among Thin clouds. In the 3 regions, when an Opaque
 630 cloud (Fig. A1a) is penetrated by the laser beam of the lidar, Z_{opaque}^l is mostly found in the 1st km below Z_{top}^l (30 % in the
 631 tropical convective region, 52 % in the mid-latitudes region and 75 % in the tropical subsiding region). The frequency
 632 distribution collapses after 1 km (note the logarithmic y-axis). The greater altitude differences between Z_{top}^l and Z_{opaque}^l can
 633 be due to a more vertically spread cloud or to multiple cloud layers. If we look at the dashed lines, which represent the part
 634 of the PDF considering only profiles without multilayers, we can see that the curves of the 3 regions fall to zero around 4–
 635 5 km. This means that all the part of PDFs over 5 km are due to cloud multilayers. It also suggests that the laser beam never
 636 sounds deeper than 5 km within a cloud.

637 Regarding Thin clouds (Fig. A1b), we mostly found Z_{base}^l in the 1st km below Z_{top}^l (49 % in the tropical convective
 638 region, 68 % in the mid-latitudes region and 76 % in tropical subsiding region). The frequency distribution collapses after
 639 1 km (again, note the logarithmic y-axis). The part of the PDF of profiles without multilayer (dashed lines), i.e. single
 640 columns which contain only one optically thin cloud layer and so directly represent the geometrical thickness of Thin clouds,
 641 fall to zero around 4–5 km. This means, as for Opaque clouds, that all the part of PDFs over 5 km are due to overlap of
 642 multiple cloud layers. It therefore suggests, if we look at both Figs. A1a and A1b, that the laser beam is not able go through
 643 the entire cloud if its vertical geometrical thickness is greater than 5 km. In other words, a cloud with a vertical geometrical
 644 thickness greater than 5 km is always declared as an Opaque cloud. Furthermore, as PDFs collapse after 1 km in both figures



645 and for all regions, it also suggests that, even if the maximum penetration depth is 5 km, the laser beam is almost every time
646 totally attenuated when exceeding 1 km thickness.

647 **Appendix C: Verification of the lidar-derived gridded OLR against CERES observations**

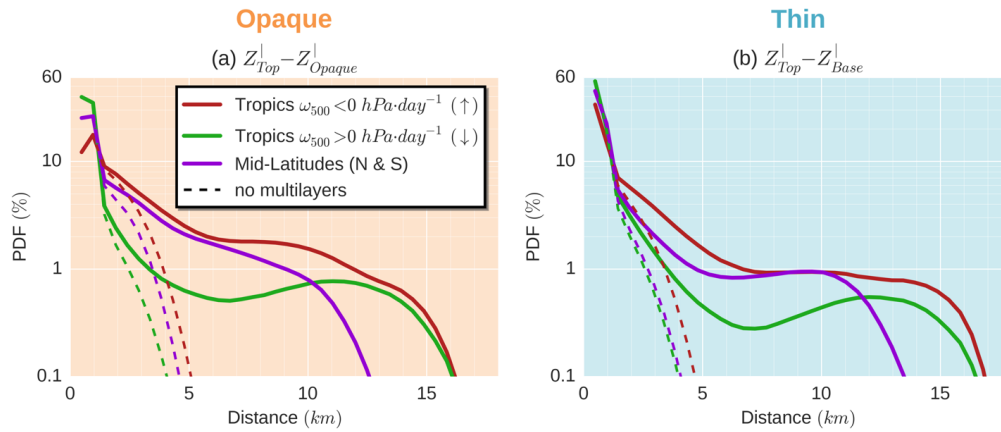
648 Figure A4 shows the correlation between the OLR computed from lidar observations ($OLR_{Total}^{\boxplus(LID)}$) and the OLR
649 measured by the CERES radiometer on-board the Aqua satellite on which we extract only footprints collocated with the
650 CALIPSO ground track ($OLR_{Total}^{\boxplus(CERES)}$) for nighttime and over ice-free oceans on $2^\circ \times 2^\circ$ monthly means for the 2008. We
651 found an excellent correlation ($R = 0.95$) and the regression slope is near the one-to-one line which reinforces our confidence
652 in this simple OLR expression to correctly estimate the observed OLR.

653 **Appendix D: Sensitivity of the lidar-derived gridded OLR to Z_{Opaque}^l and to the multiple scattering factor**

654 Figure A5a shows the difference between lidar-derived gridded $OLR_{Total}^{\boxplus(LID)}$ shown in Fig. 7a and the one which
655 would be obtain if Z_{Opaque}^l was found 480 m higher. To do this, we replaced the altitude Z_{Opaque}^l of each Opaque cloud
656 single column found with the lidar by the bin above, so the altitude of Z_{Opaque}^l is systematically increased by 480 m. We
657 then recomputed $OLR_{Total}^{\boxplus(LID)}$ in the exact same way as described in this paper. The effect of an increase in the altitude of
658 Z_{Opaque}^l is a global mean decrease in $OLR_{Total}^{\boxplus(LID)}$ by $0.9 \text{ W} \cdot \text{m}^{-2}$. Areas where $OLR_{Total}^{\boxplus(LID)}$ is the most affected correspond to
659 areas with large values of Opaque cloud cover (patterns for 2008–2015 period on Fig. 2a are quite similar to those for the
660 year 2008) except for the stratocumulus regions off the West coasts of the African, the American and the Oceanian
661 continents where C_{Opaque}^{\boxplus} is large but where $OLR_{Total}^{\boxplus(LID)}$ change is not very pronounced. A higher Z_{Opaque}^l increases the level
662 of the radiative temperature of the Opaque clouds, so decreases this temperature and then weakens $OLR_{Total}^{\boxplus(LID)}$. Since
663 $OLR_{Total}^{\boxplus(LID)}$ is not affected as much in the stratocumulus regions, this suggests that vertical temperature gradient where these
664 clouds are founded must be weak.

665 Figure A5b shows the difference between lidar-derived gridded $OLR_{Total}^{\boxplus(LID)}$ shown in Fig. 7a and the one which is
666 obtain using a fixed multiple scattering factor $\eta = 0.5$ instead of $\eta = 0.6$. Decreasing η , increases the retrieved emissivity of
667 the Thin clouds by 0.05. Consequently, areas where Thin cloud cover is large and where they are high and cold, so where
668 they have a strong cloud radiative effect, are regions where $OLR_{Total}^{\boxplus(LID)}$ is the most affected by this change (in the multiple
669 scattering factor), up to a decrease of $3.5 \text{ W} \cdot \text{m}^{-2}$ in the Indonesian region.

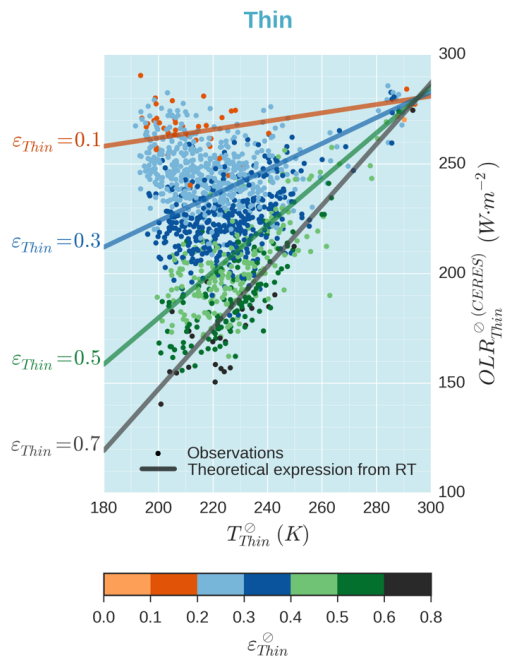
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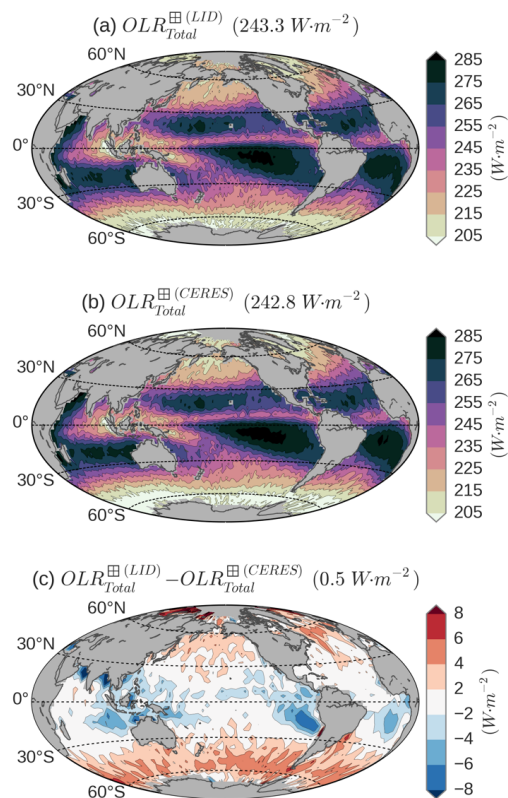
FIG. A1. Distributions of (a) the distance between cloud top and Z_{Opaque}^l among Opaque clouds and (b) the distance between cloud top and cloud base among Thin clouds in three regions: same as Fig. 4. Dashed lines represent the distribution only among single columns where a unique cloud layer was found (no multiple cloud layers). Only nighttime over ice-free oceans for the 2008–2015 period is considered.

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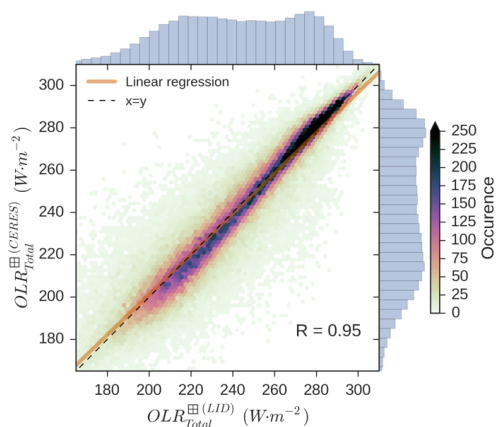
FIG. A2. Comparison between observed and lidar-derived OLR, at CERES footprint scale, as a function of T_{Thin}^{\odot} and $\varepsilon_{Thin}^{\odot}$. Results obtained from CERES (dots) and CALIOP (lines) collocated measurements. Theoretical expressions are from Eq. (4). Same results as in Fig. 6b but only for measurements where OLR_{Clear}^{\odot} is close to $280 \text{ W}\cdot\text{m}^{-2}$ selected ($OLR_{Clear}^{\odot} \in [275-285] \text{ W}\cdot\text{m}^{-2}$), in order to only see the contribution of T_{Thin}^{\odot} and $\varepsilon_{Thin}^{\odot}$ on the OLR. Only nighttime over ice-free oceans for January 2008 is considered.



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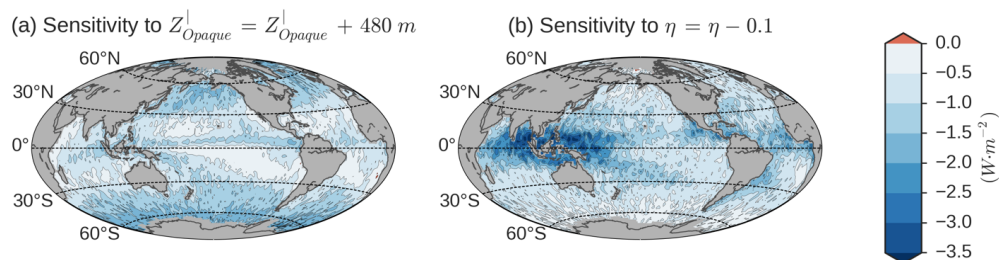
FIG. A3. Same as Fig. 7 but using $OLR_{Clear}^{(CERES)}$ from CERES-EBAF instead of $OLR_{Clear}^{(Aqua)}$ in the calculation of $OLR_{Total}^{(LID)}$.

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FIG. A4. Comparison between observed and lidar-derived OLR at monthly mean $2^\circ \times 2^\circ$ gridded scale. Only nighttime over ice-free oceans for the 2008-year period is considered.



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FIG. A5. Sensitivity of the lidar-derived annual-mean gridded $OLR_{Total}^{\boxplus(LID)}$ to the altitude of full attenuation of the lidar into Opaque clouds Z^l_{Opaque} and to the multiple scattering factor η : (a) difference between $OLR_{Total}^{\boxplus(LID)}$ of Fig. 7a and $OLR_{Total}^{\boxplus(LID)}$ which would be obtain if Z^l_{Opaque} was found a 480 m-bin upper and (b) difference between $OLR_{Total}^{\boxplus(LID)}$ of Fig. 7a and $OLR_{Total}^{\boxplus(LID)}$ which is obtain using a fixed multiple scattering factor $\eta = 0.5$ instead of $\eta = 0.6$. Only nighttime over ice-free oceans for the 2008 year is considered.

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