Discussion started: 1 June 2017

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Improved Atmospheric Characterization through Fused Mobile

Airborne & Surface In Situ Surveys: Methane Emissions

Quantification from a Producing Oil Field

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Abstract. Methane (CH₄) inventory uncertainties are large, requiring robust emission derivation approaches. We report on a fused airborne/surface data collection approach to derive emissions from an active oil field near Bakersfield, central California. The approach characterizes the atmosphere from the surface to above the planetary boundary layer (PBL) and combines downwind trace gas concentration anomaly (plume) above background with normal winds to derive flux. This approach does not require a well-mixed PBL, allows explicit, data based, uncertainty evaluation, and was applied to complex topography and wind flows.

In situ airborne (collected by AJAX – the Alpha Jet Atmospheric eXperiment) and mobile surface (collected by AMOG – the AutoMObile trace Gas – Surveyor) data were collected on 19 August 2015 to assess source strength. Data included an AMOG and AJAX intercomparison transect profiling from the San Joaquin Valley (SJV) floor into the Sierra Nevada Mountains (0.1-2.2 km altitude), validating a novel surface approach for atmospheric profiling by leveraging topography. The profile intercomparison found good agreement in multiple parameters for the overlapping altitude range from 500 to 1500 m, for the upper 5% of surface winds, which accounts for wind-impeding structures, i.e., terrain, trees, buildings, etc. Annualized emissions from the active oil fields were 31.3±16 Gg methane and 2.4±1.2 Tg carbon dioxide. Data showed the PBL was not well-mixed at distances of 10-20 km downwind, highlighting the importance of the experimental design.

Manuscript under review for journal Atmos. Meas. Tech.

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1. Introduction

1.1. Methane Trends and Uncertainty

33 On decadal timescales, methane (CH₄), affects the atmospheric radiative balance more strongly than

carbon dioxide (CO₂) (IPCC, 2007, Fig. 2.21). Since pre-industrial times, CH₄ emissions have risen by a

35 factor of 2.5 (Khalil and Rasmussen, 1995), while estimates of its lifetime has decreased and now is

36 estimated at ~8.5 years (Sonnemann and Grygalashvyly, 2014). Atmospheric CH₄ growth almost ceased

37 between 1999 and 2006, but has resumed since 2007 (Nisbet et al., 2015). Several processes are proposed

to underlie this trend (Ghosh et al., 2015; John et al., 2012; Nisbet et al., 2015); however, high uncertainty

in emission inventories (IPCC, 2013) complicates interpretation of the underlying mechanism(s).

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41 The dominant CH₄ loss arises from reaction with hydroxyl (OH), whose concentration has been

42 increasing in recent decades (John et al., 2012), causing a decrease in the estimated CH₄ lifetime of 0.5%

yr⁻¹ (Karlsdóttir and Isaksen, 2000). Overall, the estimate of the CH₄ lifetime has decreased by ~40% from

an estimated 12 years in 2007 (IPCC, 2007). The recent discovery of a new significant CH₄ loss

mechanism, terrestrial uptake (Fernandez-Cortes et al., 2015), illustrates the need to understand loss

46 mechanisms better.

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Large CH₄ budget uncertainties remain for many sources (IPCC, 2013), with greater uncertainty in future

49 trends from global warming feedback (Rigby et al., 2008) and increasing anthropogenic activities

50 (Kirschke et al., 2013; Wunch et al., 2009). Emphasizing these uncertainties are recent studies that

51 suggest underestimation by a factor of 1.5 in the important anthropogenic CH₄ source, Fossil Fuel

Industrial (FFI) emissions (Brandt et al., 2014). Tellingly, this discrepancy only was noted recently

(Miller et al., 2013), in part because the US CH₄ monitoring network is too sparse to constrain emissions

at "regional to national scales" (Dlugokencky et al., 2013). FFI emissions are the most (Brandt et al.,

55 2014; EPA, 2017) anthropogenic contributor to the global CH₄ budget. Whereas EPA inventory values

and Bruhwiler et al. (2017) suggest no significant trends in the north American emissions over the last

decade, satellite and surface observations suggest a 30% increase in US CH₄ emissions (Turner et al.,

58 2016). However, Turner et al. (2016) could not ascribe a specific source. These uncertainties strongly

argue for the need for new, robust methodologies for flux derivation.

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1.2. Methane Flux Estimation

Various approaches have been developed to derive surface emissions from CH₄ concentration

62 measurements including direct assessment (Peischl et al., 2015; White et al., 1976), data-driven mass

balance, e.g., Karion et al. (2013), tracer-tracer ratio (LaFranchi et al., 2013), and assimilation inverse

models, e.g. Jeong et al. (2013); Jeong et al. (2012). Challenges for the latter approach include the needs

for accurate meteorological transport models and good a priori emission distributions (Miller et al.,

66 2013). Miller et al. (2013) concluded that bottom-up inventories (EPA, 2013; European Commission,

67 2010) significantly underestimate husbandry and FFI emissions. To apportion CH₄ to FFI versus

biological sources, the tracer-tracer approach has been applied using ethane, whose emission ratio to CH₄

requires tight constraint (Peischl et al., 2013; Simpson et al., 2012; Wennberg et al., 2012). In practice,

this emission ratio is an *a priori* assumption in the approach.

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72 Direct assessment approaches have advantages over inversion approaches. Direct approaches allow

explicit uncertainty evaluation and do not require an a priori emission spatial distribution, which may be

unknown. Direct approaches also do not require the ability to model atmospheric transport accurately

across the study region. In areas of complex topography or highly variable winds, this transport can

challenge assimilation approaches, which also are challenged in areas with poorly characterized (or

77 unknown) or highly variable sources, particularly if the measurement network is sparse. For direct

78 assessment approaches, data collection should be rapid if winds and/or emissions are variable, and at

adequate data density to characterize fine-scale structure.

1.3. Study Motivation

Herein we report on a novel application of fused airborne and surface in situ data to directly estimate CH₄

82 emissions. Specifically, on 19 August 2015, NASA's Alpha Jet Atmospheric eXperiment (AJAX)

83 collected 1164 km of airborne data while AMOG (AutoMObile greenhouse Gas) Surveyor collected 1074

km of contemporaneous mobile surface data. Both measure carbon dioxide (CO₂), CH₄, water vapor

 (H_2O) , and ozone (O_3) , as well as winds, pressure, relative humidity (RH), and temperature (T). These

surface and airborne datasets were collected in a downwind curtain or plane oriented approximately

87 orthogonal to the winds, to characterize the full planetary boundary layer (PBL) from surface to above the

PBL. Additionally, the survey route was designed to include an ascent to ~2.2 km above sea level to

include surface PBL characterization. Data fusion between platforms was validated by a vertical profile

intercomparison for 0.5 to 1.5 km altitude by AMOG SURVEYOR leveraging topographic relief.

91 Leveraging topographic relief -mountainous terrain affects about half the earth's population and about

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92 half the earth's land surface (Meyers and Steenburgh, 2013) - allows a surface platform to collect

atmospheric profile data and is a useful research tool in the absence of airborne resources.

1.4 The South San Joaquin Valley, California

95 Most of California oil production lies in the San Joaquin Valley (SJV), as does most of California

96 agriculture, including many intensive dairies (Gentner et al., 2014), and the major north-south

97 transportation artery. For this study, data were collected for the Kern River oil fields (Kern Front oil field,

98 Kern River oil field and the Poso Creek oil field, referred to herein as the Kern Fields), located adjacent to

northwest Bakersfield (Fig. 1A). These adjacent oil fields create a strong CH₄ source that largely is

isolated from confounding plumes from other SJV sources. This area includes complex wind flow

patterns across and around the "toe" of Sierra Nevada Mountain foothills, which extend into the Kern

Front and Kern River oil fields. Here, topographic steering ensures predictable prevailing northwesterly

winds blow across the Kern Fields.

104 Strong orographic forcing also arises from tall bluffs (~100 m) on the Kern River Valley's south bank,

which also separates the Kern River oil field from the urban city of Bakersfield (pop. 364,000 in 2013).

The fine-scale wind structure that results from orographic forcing on transport dictated an anomaly

107 approach for flux derivation, as did the presence of strong CH₄ structures (plumes) in the valley's lowest

air. In the anomaly approach, transects must extend beyond a reasonably well-defined plume.

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110 Topography (i.e., mountain ranges) plays a locally dominant role in overall southern California air flows

where upper level winds locally force the lower level flows that transport pollutants (Bao et al., 2008).

112 The SJV is delimited on the east by the Sierra Nevada Mountains and on the west by the Transverse

Coastal Mountain Range (Fig. 1A). Transport between the SJV and adjacent air basins is poor due to

114 California's mountain ranges. The SJV features weak surface winds (Bao et al., 2008) with the worst air

115 quality in the United States occurring in the cities of Bakersfield and Delano (American Lung

116 Association, 2016) in the SJV.

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Pacific Ocean air primarily enters the SJV through the San Francisco Bay area and the Carquinez Strait,

where it splits north into the Sacramento Valley and south into the SJV (Zhong et al., 2004). This flow

extends up to ~1 km altitude. These winds are near orthogonal to the 600-km long central valley of

California - i.e., cross-slope. South of Bakersfield, winds shift to from the west due to mountains that

122 guide SJV air out into the Mojave Desert, where it affects air quality for up to hundreds of kilometers

123 distance (VanCuren, 2015). Although the Tehachapi Pass is the main exit pathway of SJV air, other

passes also transport air into the Mojave Desert. These flows are augmented by high inland temperatures

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relative to the Pacific Ocean, which creates a horizontal pressure gradient that drives local upslope flows during the day and returning downslope nocturnal flows (Zhong et al., 2004). The pressure gradient is maximal around sunset, although winds peak ~4 hours later, shortly before midnight. This pressure gradient is controlled by the semi-permanent Pacific high, situated offshore central California, which diverts storms far to the north during summer. This pressure feature drives prevailing west-southwesterly winds at the regional scale in the California south coast air basins (Boucouvala and Bornstein, 2003).

2. Methodology

2.1. Experimental design

Data were collected as part of the GOSAT-COMEX Experiment (Greenhouse gases Observing SATellite - CO₂ and Methane Experiment - GCE) Campaign. GCE was developed to characterize emissions on spatial scales from decameter (in situ surface, imaging spectroscopy) to kilometer (in situ airborne) to deca-kilometer (satellite) in an area of complex topography. GCE design combined in situ mobile surface and airborne data with GOSAT satellite data. In situ data serve to assess the satellite pixel / plume overlap. Key GCE requirements are relatively steady, strong, isolated emissions and predictable and steady winds. Prevailing study area winds are from the west-northwest, veering to westerly winds to the southeast of Bakersfield (Fig. 1). Prevailing wind directions are highly reliable due to topographic control.

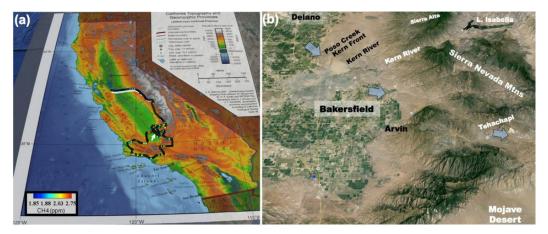


Figure 1. (a) Full surface and airborne data for 19 Aug. 2015 mapped over California topography. White arrow shows Bakersfield. Data key on panel. (b) Study area map showing direction of prevailing winds and nearby mountain topography (Google Earth, 2016). See Supp. Fig. S1 for a high-altitude (20-km) photo of the entire study area and surrounding terrain.

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147 GCE developed from the COMEX Campaign (Krautwurst et al., 2016), which combined in situ airborne 148 and surface observations with both imaging and non-imaging spectroscopy to explore synergies for GHG emission estimation (Thompson et al., 2015). COMEX focused on southern California CH₄ sources 149 150 including husbandry, landfills, natural geology, and petroleum hydrocarbon refining and production.

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156 157 GCE combines airborne and surface data collected at dramatically different speeds. AJAX collects data at ~500 km hr⁻¹, capturing a snapshot of atmospheric winds and plume structure. Surface GCE data are collected quasi-Lagrangian, starting northwest (upwind) and proceeding southeast and then east (downwind). This enables useful data collection even when a CH₄ plume drifts into the study area after the upwind survey - data collection proceeds downwind faster than advection. The surface route was designed carefully to traverse all targeted GOSAT pixels using rarely used (low traffic) surface roads and requires ~100 minutes.

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163 164 Airborne and surface surveys are timed so that the downwind data plane (Krings et al., 2011) is surveyed concurrent with the satellite overpass. Data planes extend from the surface (AMOG) to above the PBL (AJAX), reducing uncertainty by providing a more complete atmospheric characterization including below where airplanes are permitted to fly (~500 m in an urban area). AJAX and AMOG profile data are fused to impose vertical structure during interpolation. Surface and airborne datasets are interpolated and fused to derive the flux passing through the data curtain (Sect. 2.5).

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CGE first incorporates an upwind transit from Delano (100 m) on the SJV floor to Sierra Alta (1800 m) and higher to confirm that stranded CH₄ clouds (plumes disconnected from a source) do not threaten to impact the study area during the experiment, otherwise the survey is aborted. A key mission abort criterion is wind compliance. Specifically, winds must not be too light or variable, must flush nocturnal accumulations before the GOSAT overpass, and must be prevailing. The upwind transit provides vertical profile information including PBL height and vertical structure.

2.2. AutoMObile trace Gas (AMOG) Surveyor

Mobile atmospheric surface measurements have been conducted for many years using a customized van (Lamb et al., 1995) or a recreational vehicle (Farrell et al., 2013; Leifer et al., 2013). Recently, the development of cavity enhanced absorption spectroscopy (CEAS) analyzers has opened the way for rapid and highly accurate trace gas measurements (Leen et al., 2013) without the need for compressed gases as in gas chromatography (Farrell et al., 2013). This allows for smaller vehicle survey platforms at lower logistical overhead (Leifer et al., 2014; McKain et al., 2015; Pétron et al., 2012; Yacovitch et al., 2015). A 6

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competing sensor technology that has been used in mobile survey data collection is open path spectroscopy (Sun et al., 2014). Older technology using fluorescence also can be incorporated onto mobile survey platforms, for example, to measure ozone, O₃.

Mobile surface data were collected by the AMOG Surveyor (Leifer et al., 2014) (see Supp. Sect. S2.1 for additional details), a modified commuter car. AMOG Surveyor provides mobile high-speed, high-spatial resolution observations of meteorology (winds, temperature, pressure), trace gases (greenhouse and others), and remote sensing parameters. AMOG uses a range of trace gas analyzers and careful design with respect to wind flow around the vehicle to characterize strong spatial heterogeneity at up to highway speeds.

Two-dimensional winds are measured by a sonic anemometer (VMT700, Vaisala) mounted 1.4 m above the roof, above vehicle flow streamlines for slow to highway speeds. Air is drawn down two sample lines from 5 and 3 m above ground by a high-flow vacuum pump (GVB30, Edwards Vacuum) that feeds several gas analyzers. The greenhouse gases, CO₂, CH₄, and H₂O, are measured at up to 10 Hz by an analyzer that uses Integrated Cavity Offaxis Spectrometer-Cavity Enhanced Absorption Spectroscopy (ICOS-CEAS, 911-0010, Los Gatos Research, Inc.). A fluorescence analyzer measured O₃ at 0.25 Hz (49C, ThermoFischer Scientific, MA). AMOG Surveyor's full trace gas suite (carbonyl sulfide, carbon monoxide, nitric oxide, nitrogen dioxide, hydrogen sulfide, sulfur dioxide, total sulfur, ammonia) was not deployed on 19 Aug. 2015.

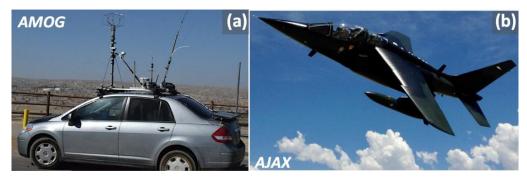


Figure 2. Study platforms. (a) AutoMObile trace Gas (AMOG) Surveyor, Kern River oil field in background. Photo courtesy Ira Leifer. (b) The Alpha Jet Atmospheric eXperiment (AJAX) aircraft, photo courtesy Akihiko Kuze, JAXA. See Supplemental Material Section 1 for further details.

Relevant recent AMOG Surveyor improvements since Leifer et al. (2014) include a high speed thermocouple (50416-T, Cooper-Atkins) and a high accuracy (0.2 hPa) pressure sensor (61320V RM

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Young Co.). Both are mounted in a roof passive radiation shield (7710, Davis Instruments) to largely

207 eliminate dynamic pressure effects from the airflow. Position information is critical to accurate wind

208 measurements and is provided by redundant (two) Global Navigation Satellite Systems (19X HVS,

209 Garmin) that use the GLONASS, GPS, Galileo, and QZSS satellites at 10 Hz (WGS84). AMOG

analyzers and sensor data are logged asynchronously on a single computer. Custom software integrates

the data streams and provides real-time visualization of multiple parameters in the Google Earth

212 environment.

2.3. Alpha Jet Atmospheric eXperiment (AJAX)

214 AJAX (Fig. 2b) collected airborne in situ measurements of CO₂, CH₄, H₂O by cavity ring down

215 spectroscopy (G2301-m, Picarro Inc.), O₃, (Model 205, 2B Technologies Inc.), and meteorological

216 parameters including 3D winds (Meteorological Measurement System). The greenhouse gas analyzer is

217 calibrated using NOAA whole-air standards; calibrations are performed before and/or after each flight

with the calibration factor closest to the day of flight being applied to each raw CO2 and CH4

219 measurement. Further corrections include applying water vapor corrections provided by Chen et al.

(2010) to calculate CO₂ and CH₄ dry mixing ratios. Data also are filtered for quality control for deviations

in instrument cavity pressure, to improve inflight precision.

223 The overall CH₄ measurement uncertainty is typically <2.2 ppb, including contributions from accuracy of

the standard, precision (1- σ over 6 min), calibration repeatability, inflight variance due to cavity pressure

225 fluctuations, and uncertainty due to water corrections and pressure dependence (based on environmental

chamber studies). See Hamill et al. (2015); Tanaka et al. (2016), and Yates et al. (2013) for further

227 aircraft and instrumentation details, and Supp. Sect. S2.2.

2.4. Background estimation and data fusion

The flux (Q(x, z)) with respect to lateral transect distance (x) and altitude (z) through the x, z plane is the

230 product of the normal winds $(U_n(x, z))$ and the plume concentration anomaly (C'(x, z)). Interpolation of C'

and U_N is linear within the PBL and is assumed uniform above the PBL.

To calculate Q(x, z) requires C' relative to background $(C_B(x, z))$, which is derived from evaluating

234 $C_B(x < x_{max}/2, z)$ and $C_B(x > x_{max}/2, z)$, denoted $C_{BL}(z)$ and $C_{BR}(z)$, respectively, where x_{max} is the lateral extent

of the data curtain. Then, $C_B(x,z)$ is derived from a linear polynomial fit of $C_{BL}(z)$ and $C_{BR}(z)$.

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- Both $C_{BL}(z)$ and $C_{BR}(z)$ are derived from the left and right probability density functions ($\Phi_L(C(x \le x_{max}/2, z))$
- and $(\Phi_R(C(x>x_{max}/2,z)))$, respectively, for each flight transect level. Specifically, for Φ_L and Φ_R , Gaussian
- functions are fit to the distributions for the plume distribution (Φ_P) and the background distribution (Φ_R) .
- In practice, Φ_B is well described by a single Gaussian, while Φ_P is best described by multiple Gaussian
- functions. Then, $C_{BL}(z)$ and $C_{BR}(z)$ are defined such that,

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$$\int \Phi_{BL}(C_{BL}(z)) = 0$$
 and $\int \Phi_{BR}(C_{BR}(z)) = 0$. (1)

- where Φ_{BL} and Φ_{BR} are the background Φ_{B} for the left and right halves of the data plane, respectively.
- Concentration is not a conserved value, thus C' is converted into mass (N') by the ideal gas law for spatial
- integration to derive the total emissions (E), which is the integration of the flux through the plane, Q,

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$$E = \int_{x_1}^{x_2} \int_0^{z=PBL} Q(x, z) dz dx$$
 (2)

2.5. Uncertainty evaluation for emission calculation

- 248 A flux estimate requires two types of assumptions with respect to the flux calculation: representativeness
- and appropriateness. Specifically, background concentration profiles may be incorrect, while winds,
- 250 which are measured accurately, could be un-representative, as could concentrations due to temporal
- 251 variability over the period needed to make the measurements. Monte Carlo simulations based on observed
- 252 data variability were run to assess uncertainty. Instrumental uncertainty is far less than spatial and
- 253 temporal variability and hence appropriateness is the dominant source of uncertainty.

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- Monte Carlo simulations were based on 1 standard deviation in $U_N(z)$ around the mean for each flight
- 256 transect altitude level. Gaussian distributions were created with half widths of 4 seconds and randomly
- sampled to populate $U_N(x,z)$, which then was interpolated vertically for the flux calculation. Other
- 258 variables were allowed to vary in a similar manner and sampled by the Monte Carlo simulations. Monte
- 259 Carlo simulations addressed uncertainties in $C_B(z)$ based on the data variability at the edges of the data
- plane. This addresses uncertainty in precisely from where the inflowing air is arriving, which alters the
- 261 background concentration in the flux calculation. One million Monte Carlo simulations were run for a
- 262 flux uncertainty calculation.

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Figure 3. (a) Pre-survey, upwind AMOG surface and AJAX airborne methane (CH₄) and winds for vertical profile on the Delano – Alta Sierra transect (α – α '). Inset shows area map. (b) Post survey, downwind AMOG surface profile ascent Edison-Breckenridge (ϵ – ϵ ') and descent Breckenridge-Bodfish-Caliente (τ – τ '). Upwind profile visible top left. Planetary boundary layer (PBL) identified.

3. Results

3.1. Profile data

Four vertical profiles (surface and airborne) were collected to understand PBL evolution during the survey (2 hrs.) and across the survey domain. AMOG and AJAX collected pre-survey intercomparison vertical profiles ~30 km north of the Kern Fields between the small town of Delano on the SJV floor (100 m) up to Shirley Meadows (2100 m) on a ridge of the Greenhorn Mountains in the Sierra Nevada Mountain Range (Fig. 3). This profile spans a wide range of topography, from grasslands on rolling hills, 10

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to tall pine trees near Alta Sierra, see Supp. Fig. S5 for surface images along the profile. AMOG also conducted a post-survey, downwind vertical atmospheric profile to 1800 m. Approximately 15 minutes of data were collected in an open (200–300 m) field above Shirley Meadows (2258 m) that was fairly exposed with only thin stands of pine trees on terrain falling steeply off to both sides.

The AMOG vertical ascent was collected before the AJAX profile to enable concurrent AMOG/AJAX data collection for the Kern Fields. The AMOG ascent/descent was from 18:48 to 21:09 (20:08 UTZ at crest), while AJAX flew a descent pattern from 20:58 to 21:04 UTC. The AMOG descent was shortened to ~1000 m altitude (Glenville, CA) to allow AMOG to reach the Kern Fields nearly concurrent with

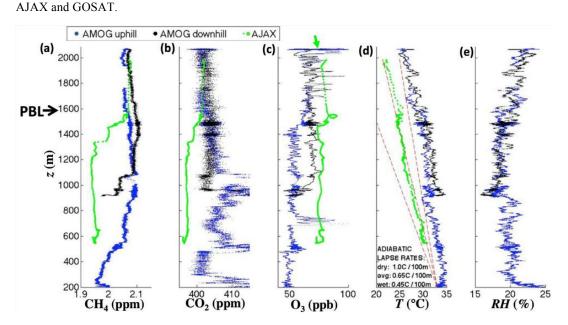


Figure 4. Surface altitude (z) profiles for west-east Delano-Alta Sierra transect (Fig. 3A, α – α ') for AMOG and AJAX (a) methane (CH₄), (b) carbon dioxide (CO₂), (c) ozone (O₃), (d) temperature (T), and (e) relative humidity (RH). Also shown on (d) are the dry, average, and wet adiabatic lapse rates. Data key on panel, planetary boundary layer (PBL), labeled. Green arrow shows extrapolation of AJAX trend to Shirley Meadows altitude (2258 m).

Overlapping AMOG and AJAX profile data were collected between 500 and 2000 m. There was very good agreement between the two platforms for CO₂ and CH₄ for altitudes between 1.55 and 2 km (Fig. 4a and 4b). AMOG and AJAX CH₄ concentrations decreased notably from the well-mixed PBL to the near surface layer, from ~2.07 ppm (500-750 m) to ~1.93 ppm (250-300 m). AJAX also showed a decrease in

CO2 from 403 ppm to below 400 ppm. The CO2 decrease was consistent with a shift to agricultural air

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296 where CO₂ vegetative uptake reduces CO₂ concentrations. The PBL grew from 600 to 900 m between AMOG's ascent and descent and then to 1500 m by the time of AJAX's descent based on the CH₄, CO₂, 297 298 and O₃ data. 299 300 The PBL was identified at ~1580-1600 m based on both surface and airborne relative humidity (RH) and temperature (T) vertical profiles. Diurnal heating is apparent between the two AMOG Surveyor T profiles, 301 302 but does not change the lapse rate. Because AJAX flies above the surface where AMOG collects data, AJAX temperatures are lower. In the lower atmosphere, the lapse rate was 6.9°C km⁻¹ for AJAX between 303 500-900 m, while the AMOG lapse rate from 200-900 m was a similar 5.6°C km⁻¹. Between 950 and the 304 top of the PBL, AMOG lapse rates were much shallower, 2.5 °C km⁻¹, with a jump in temperature at 900 305 m. Above the PBL, the AMOG lapse rate was 3.5°C km⁻¹, close to the wet adiabatic lapse rate (Fig. 4d). 306 307 308 Above the PBL, O₃ concentrations between AMOG and AJAX were ~20 ppb different although the 309 AMOG and AJAX profile slope (dO_3/dz) were the same. If the trend in AJAX $O_3(z)$ from 1600 to 1850 m is extended to z = 2258 m (Fig. 3C, green arrow), there is agreement with AMOG Shirley Meadows (open 310 311 field) O₃ concentrations. This similar slope but different absolute value could indicate O₃ loss as it diffused down through the pine canopy to the surface (and AMOG). Tall pine trees dominate above 312 313 ~1700, except for Shirley Meadows where, as noted, there was agreement. This difference does not arise 314 from calibration differences; the AMOG Surveyor O₃ analyzer was cross calibrated with the AJAX 315 calibration source. For 900 < z < 1400 m, AJAX - AMOG agreement was better for the descent, which 316 was closer in time to AJAX than the ascent. This shift likely was associated with formation of the daytime 317 PBL. 318 319 AJAX observed elevated O₃ that was well mixed down to 500 m, while earlier AMOG showed well-320 mixed O₃ down to only 1100 m. There also was a small (~10 ppb) O₃ enhancement at the top of the PBL in both the airborne and surface profiles. The highest O₃ concentrations were observed by AMOG in 321 322 Shirley Meadows, where visibility was low due to smoke aerosols from the Rough Fire (NASA, 2015). 323 Air above the PBL was more humid than elsewhere in the profile, except for the lowest 50 m above the valley floor, which was enriched in CH4, CO2, and RH, possibly from nocturnal accumulation and 324 agriculture including irrigation RH inputs. There were thin, atmospheric layers that suggest remnant 325 structures from the prior day. For example at ~550 m the air changed character, with a jump in CO₂ by 326

~10 ppm, and of O₃ by ~ 10 ppb, and a decrease in the CH₄ altitude gradient (dCH₄/dz).

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Air was more polluted at greater altitude above the PBL in the upwind (Delano – Alta Sierra) profile for O₃ for both platforms with air 10-20 ppb greater than in the PBL. Additionally, AJAX CH₄ and CO₂ were significantly higher above the PBL. The AMOG CH₄ and CO₂ data are less clear, presumably because AMOG data were prior to the disappearance of the nocturnal, stably stratified PBL. This was consistent with visual observations of haze by AMOG from Shirley Meadows as well as by the AJAX pilot. Also, air above the PBL was more humid.

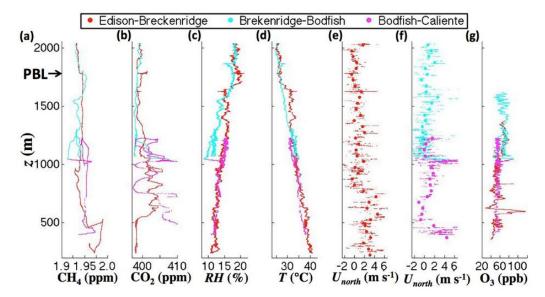


Figure 5. Surface altitude (z) profiles for Edison-Breckenridge ascent (red) and descent (blue) to Bodfish and then Caliente profile (magenta) (Fig. 3b) for AMOG Surveyor (a) methane (CH₄), (b) carbon dioxide (CO₂), (c) relative humidity (RH), (d) temperature (T), north wind (U_{north}), for (e) ascent and (f) descent, and (g) ozone (O₃). Planetary Boundary Layer (PBL) labeled.

A downwind ascent profile in the SJV was collected from Edison, CA to the high flanks of Breckenridge Mountain, followed by a descent behind the Breckenridge Mountain to Caliente, CA through the tiny town of Bodfish (Fig. 3b). This descent was separated from the SJV by a ridge and includes dryer, clean air is that is representative of air from around Lake Isabella, a fairly isolated mountain valley. The downwind profile was collected quasi-Lagrangian in that the time separating the two profiles (about four hours) is comparable to the transport time (75 km at 4 m s⁻¹, implies 5 hours for transport). Thus, the downwind profile was for close to the same air. Over these hours, there was some additional PBL development, ~100 m to ~1675 m, with highly uniform CH₄ between 1000 m and the top of the PBL (Fig. 5a). Thus, the PBL remained fairly stable over the course of the study. Air in both the upper PBL and

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above was cleaner with lower humidity and CH_4 concentrations. Unfortunately, the O_3 analyzer overheated during the ascent and resumed collecting data on the descent at ~ 1500 m.

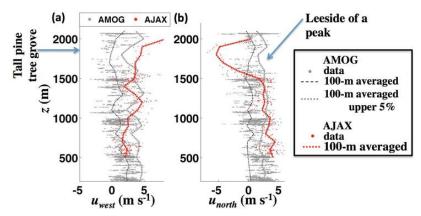


Figure 6. Altitude (z) profiles for (a) west (upslope) and (b) north (cross slope) wind components from AMOG and AJAX for overlapping altitudes of the Delano–Alta Sierra transit (Fig. 3, α – α '), 100-m altitude rolling-averaged data for AJAX, AMOG, and AMOG upper 5% of winds. Data key on figure.

Direct comparison between AMOG and AJAX winds is inappropriate because AMOG winds are affected strongly by obstacles including hills, trees, and buildings. However, in many instances, terrain is open, or gently rolling hills, and there tend to be regions of stronger winds that we propose are representative of free atmosphere winds. AMOG data were altitude binned and the strongest winds in each bin were compared with AJAX (Fig. 6). Agreement is generally good (within 15-20%) between the upper 5% of AMOG cross-slope (west) winds in each altitude-averaged band (Fig. 6a). For the upslope wind (north) agreement is better (within 5-10%) for a larger range of altitudes (Fig. 6b). This allows fusions of the upper 5% of AMOG winds with AJAX winds.

3.2. Kern Fields and Bakersfield Greenhouse Gas Emissions

3.2.1 Methane

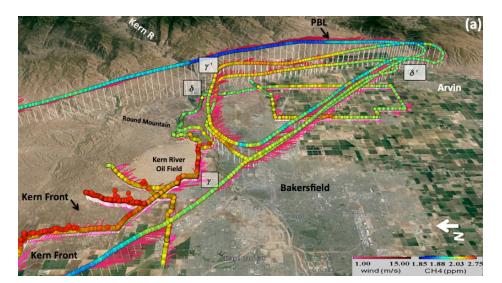
On 19 Aug. 2015, winds over the Kern Fields were prevailing (northwesterly) and fairly strong (~3 m s⁻¹) on the ground and somewhat stronger aloft (Fig. 7). As a result, surface topography like the Kern River Bluffs imposed only small wind modification at the surface and at altitude. Southeast of Bakersfield, winds veered to westerly's towards passes in the Sierra Nevada Mountains that connect to the Mojave Desert. The downwind survey included two plume transits on agricultural roads with negligible to no traffic. These transits clearly showed the plume's eastward drift, passing to the north of the small town of Arvin, CA.

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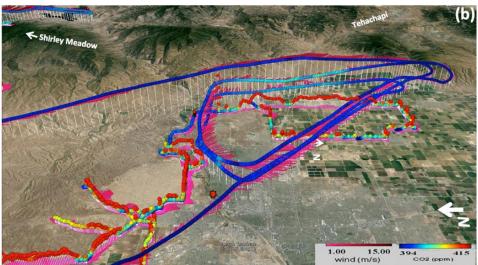
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Figure 7. Combined AJAX and AMOG winds and *in situ* (a) methane (CH₄) and (b) carbon dioxide (CO₂) for the Kern Fields on 19 Aug. 2015 for prevailing wind conditions. Greek letters identify two downwind curtains. Red star on (b) locates origin for transect $\gamma - \gamma$ '. Data keys on figure.

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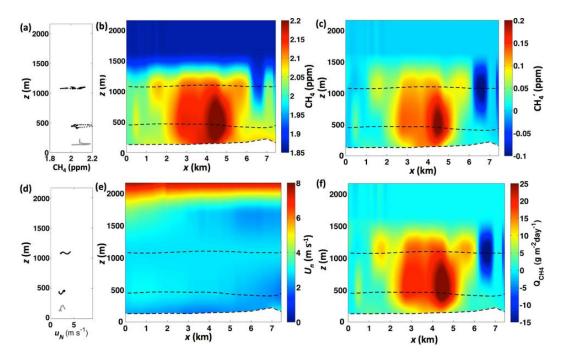


Figure 8. (a) Methane (CH₄) altitude (z) profiles for 19 Aug. 2015 for AJAX (black) and AMOG (gray) data. (b) Interpolated, fused AJAX and AMOG CH₄ data, with respect to lateral east distance (x) relative to 119.0023°W, 35.3842°N for data plane γ – γ ' (Fig. 7). Dashed lines show data locations. (c) CH₄ anomaly (CH₄') relative to the background data plane (Supp. Fig. S6A). (d) Vertical normal wind profile (U_n) from AJAX (black) and AMOG (gray) data during ascent/descent, (e) interpolated, fused U_n , and (f) CH₄ flux (Q_{CH4}) for the Kern Fields. Data key on panels.

The background CH₄ plane $C_B(x,z)$ was extracted from the CH₄ data outside the plume – $C_{BL}(z)$ and $C_{BR}(z)$, see Eqn. (1) – immediately downwind of the Kern Fields (transect $\gamma - \gamma^*$). C_B showed a slight increase towards the east of ~20 ppb (Supp. Fig. S6a). Emissions from the Kern Fields' were dominated by a large, focused CH₄ plume (or group of plumes) in the core of a much broader, dispersed, and poorly defined plume. This structure is evident in both surface AMOG data and in the lowest AJAX altitude for plane $\gamma - \gamma'$ with both showing the strongest peak at x = 4.5 km (Fig. 8b, dashed lines). Within the plume, concentrations are elevated at altitude relative to the surface, indicating buoyant rise. The upper AJAX flight line was several hundred meters below the top of the PBL (at ~1580 m, Fig. 4) and constrains the main plume, which was centered in the PBL. Concentrations above the PBL were determined from AJAX descent and ascent data (Fig. 4), which agreed with AMOG observations above the PBL. These observations show that the plume was not well mixed across the PBL. Two other small plumes were observed at $x \sim 1.7$ and 5.7 km that were not mirrored in surface data and were centered at the top of the

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396 PBL, indicating strong buoyant rise within the PBL. The upper altitude clean air intrusion at $x\sim6.5$ km lies 397 downwind of Round Mountain Canyon to the east of the Kern River oil field (Fig. 8b, Fig. 7a for 398 location), but did not penetrate down to 500 m. The normal wind (U_n) was fairly uniform across the data 399 plane, including downwind of the canyon (Fig. 8e). Thus, the CH₄ flux $(Q_{CH4}(x, z)$ shows similar spatial

patterns to $CH_4'(x, z)$. Total estimated emissions (E) were 32 ± 16 Gg yr⁻¹. 400

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For comparison, a recent bottom-up estimate of CH₄ emissions based on production data for the Kern Fields estimated 10-40 Gg CH₄ yr⁻¹ (68% Confidence Level), by combining oil and gas production data with US-EPA emissions factors for associated wells (Jeong et al., 2014). Other CH₄ sources are unlikely to confuse this interpretation as petroleum system emissions are ~20 times larger than estimated nearby

livestock and landfill CH₄ emissions of ~2.3 and 1.4 Gg yr⁻¹, respectively (Calgem, 2014). 406

3.2.2. Carbon Dioxide

408 Background CO_2 for data curtain $\gamma-\gamma$ ' (Supp. Fig. S6b) was highly uniform. Given the strong crosswinds 409 and care taken to avoid trailing other vehicles on the low-trafficked China Loop Road, these data passed 410 quality review-CO₂ exhaust contamination manifests as a dramatic increase in the standard deviation as AMOG intersects a turbulent vehicle exhaust plume. There was a shallow CO2 layer constrained to the 411 lower 100 to 200 m with ~10 ppm enhancement (Fig. 9a), also observed in the CO₂ vertical profile (Fig. 412 413 4b), a layer that was characterized by elevated relative humidity. Further evidence that these broad spatial 414 CO₂ emissions are real is from the spatial similarity to CO₂ enhancements in the lowest AJAX flight data 415 (Fig. 9c). For example the surface CO₂ plume was strongest at x~4.5 km in AMOG and AJAX data. The 416 broad spatial extent of these emissions, similar to the broad CH₄ emissions suggests a relationship to 417 field-scale (engineering or geological) processes. Overall CO₂ emissions were 2.4±1.2 Tg yr⁻¹.

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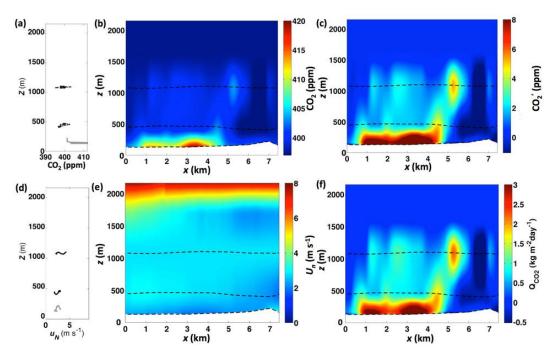


Figure 9. (a) Vertical carbon dioxide (CO₂) altitude (z) profile data for 19 Aug. 2015 for AJAX (black) and AMOG (gray) data. (b) Interpolated, fused AJAX and AMOG CO₂ data curtain with respect to lateral east distance, x, relative to 119.0023°W, 35.3842°N for curtain γ – γ ' (Fig. 7b). Dashed lines show data locations. (c) CO₂ anomaly (CO₂'). (e) Vertical normal wind profile (U_n). (e) Interpolated, fused U_n , and (f) CO₂ flux (Q_{CO2}) for the Kern River and Kern Front oil fields for 19 Aug. 2015. Data key on panels.

There was a strong CO_2 anomaly in a focused plume at x = 5 km and z = 1 km. This plume likely relates to the two cogeneration power plants located in the Kern River oil field. Further support for this interpretation is its co-location with a similarly focused CH_4 plume at the same location. This power plant-related feature is a persistent feature that has been observed in other surveys (Leifer – unpublished data). The upper clean air intrusion in the CH_4 data curtain also is apparent in the CO_2 data (Fig. 9b), in front of Round Mountain Canyon (Fig. 7).

Based on a reservoir CO₂:CH₄ gas ratio of 92.2%:1.7% (Lillis et al., 2008) and 32 Gg yr⁻¹ CH₄ emissions, the Kern Fields' CO₂ emissions were predicted to be 1.8 Tg yr⁻¹, which is fairly consistent with the directly derived emissions of 2.4 Tg yr₋₁. Both these values are somewhat lower than the inventory for the cogeneration plants in Kern River oil field - 3.1 Tg yr⁻¹ (CARB, 2016). The disagreement with inventory likely arises from intermittent activity, which was observed during the GOSAT-COMEX campaign.

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4. Discussion

4.1. Experimental design and real-time visualization

Ideally, GCE airborne and surface data are collected first upwind and then downwind. However, AJAX airborne data are not collected Lagrangian as would be necessary for slower, less maneuverable airborne platform thanks to its extreme speed and maneuverability. This allows collection of near snapshot (~30 minutes) data. Slower, AMOG surface data were collected quasi-Lagrangian, reducing the likelihood of confounding interference in the study area from non-FFI SJV inputs due to wind shifts after the presurvey (for non-nominal winds the collection is aborted). Given the AJAX-AMOG speed difference, concurrent surface and airborne data could not be collected both upwind and downwind, and thus, concurrency was prioritized for downwind. For flight efficiency and to provide downwind concurrency with AMOG, AJAX flew a triangle that allowed AJAX to complete transects at three altitudes in close to AMOG's upwind-downwind survey time.

After the Kern Fields survey, AJAX returned to base, while AMOG collected additional surface data, exploring the fate of emissions from the Kern Fields. The word, "exploring" is significant, as real-time visualization of winds, CH₄, and O₃ guided the downwind surveying. Data were collected to test the hypothesis that there was a relationship between wind strength and the specific outflow path from the SJV to Mojave Desert - specifically, that more northerly passes, which require greater wind veering from prevailing are preferred at lower winds speeds. The AMOG survey first confirmed that outflow was not up the Kern River Valley, and then collected a downwind vertical profile into the Sierra Nevada Mountains to search for outflow through a pass near Breckenridge Mountain. After confirming its absence, AMOG then investigated in the Tehachapi Pass, where the outflow was identified. Thus, on 19 Aug. 2015, when winds were strong, the outflow was by the most direct pathway - the Tehachapi Pass.

4.2. Experimental design and uncertainty reduction

The experimental design reduced uncertainty by better characterizing the PBL through surface and airborne data fusion so that a well-mixed PBL is not required. Airborne data characterizes CH₄ and winds in the PBL and above, while surface data characterizes the atmosphere below where airplanes are permitted to fly due to airspace restrictions, e.g., cities, approach pathways, military airspace, and/or safety. The *in situ* analyzers record concentration and winds with very high accuracy; however, only at a single location and time. Thus, *in situ* uncertainty arises mostly from inadequate characterization of temporal variability and spatial heterogeneity.

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Aerial survey altitudes were designed to span from near the top of the PBL to as low as permissible and an intermediate level (0.5, 1, 1.2 km). Thus, surface data added information on the lowest third of the

471 1.58-km thick PBL. This lower portion of the PBL is more important on days when the PBL is shallower.

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473 For a well-mixed PBL, surface - airborne data fusion does not reduce uncertainty; however, these

474 observations showed that the well-mixed PBL assumption often may be poor (even 10-20 km downwind).

One solution is to collect data even further downwind, where the PBL is more well-mixed (White et al.,

1976); however, secondary (potentially uncharacterized) sources downwind of the study area and upwind

of the downwind data plane add confounding anomalies. Also, wind flow complexity can lead to transport

orthogonal to the overall downwind direction, leading to flux leakage out of the plume. The likelihood of

plume loss increases over greater distances. And finally, as the PBL evolves with time, it imposes an

evolving structure on the wind and concentration vertical profiles, which also challenge the well-mixed

PBL assumption – particularly if transport to the downwind plane requires hours.

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483 Uncertainty also arises from wind and emission variability over the survey time period. The best strategy

484 is to minimize study time; however, there is a necessary tradeoff between spatial resolution and study

time. AJAX collects data quickly, allowing survey completion within far less than typical atmospheric

486 change timescales. Similarly, the surface survey route was designed to minimize collection time,

487 primarily on rural/agricultural roads carefully selected to avoid traffic congestion and traffic lights. The

surface survey requires ~90 minutes to complete and is conducted quasi-Lagrangian.

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GCE treats uncertainty explicitly, allowing improvements in the data collection strategy to reduce

491 uncertainty. For example, the east-west downwind transect was lengthened from earlier data collects to

characterize background concentrations better. GCE also does not require an a priori emission

493 distribution and thus incorporates explicitly emissions from super-emitters, normal emitters, and

distributed sources, improving robustness of the findings. In contrast, inversion models require a

495 reasonable spatial a priori emission distribution and the ability to model transport across the study

496 domain. However, complex wind flows from fine-scale topographic structures, as observed for the Kern

497 Fields, challenge transport modeling.

4.3. Profile intercomparison

Above the PBL, there was excellent agreement between surface and airborne concentration profile data,

while concentration profiles within the PBL show significant differences between the two profiles, likely

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related to air mass shifts and diurnal heating during the time between the profiles (Fig. 4). Winds above the PBL were in poor agreement, with the north component in the opposite direction (Fig. 6). Underlying this discrepancy was a mountain peak, which clearly caused large-scale alterations in the wind flow field.

speeds slower.

Within the PBL, agreement between unfiltered surface AMOG winds and AJAX winds was poor, unsurprising because surface winds are strongly affected by obstacles. However, by filtering AMOG winds (collected 3-m above the surface) for the strongest 5%, agreement was within 15-20% for the along-slope – i.e., north – winds, and better for upslope winds (west). Specific exceptions were when AMOG was in a dense grove of pines, and when AJAX flew behind into the lee of a mountain peak. Surface winds are modulated by a wide range of surface factors including trees, steep hills and hillocks, blocking by a steep slope, rolling hills, and structures (Supp. Fig S5). However, a combination of gusts (among thin wooded terrain on steep slopes) and the limited spatial extent of most obstacles underlies the agreement between the filtered AMOG and AJAX wind profiles. Agreement is better for the upper portions of the PBL (within 10-20%) where Sierra Nevada Mountain slopes are steeper. In contrast, the slope lower in the PBL is gentle, and surface boundary layer effects are more pronounced, biasing wind

The wind orientation to the slope affects the comparison because topography imposes wind field structure at large and small scales. Where winds advect air upslope, transport incorporates a non-negligible vertical component that is missed by the 2D sonic anemometer used in the study reported here. The current AMOG configuration measures 3D winds, as does AJAX.

Some of the discrepancy between AMOG and AJAX wind profiles could have arisen from temporal changes between the two profiles; however, this is unlikely for two reasons. First, the top of the PBL was identified four times over the course of the study and remained stable within 100 m across the domain. And second, surface wind observations remained relatively constant after the mid-morning shift to daytime conditions (breakup of nocturnal stratification). However, the poor agreement between AJAX and AMOG vertical concentration profiles within the PBL suggests significant air mass shifts – highlighting the need for better concurrence.

530 4.4. GHG FFI emissions

Emissions for the Kern Fields were estimated at 32±16 Gg CH₄ yr⁻¹ with CH₄ emissions ~20% above

532 EPA inventories, and 2.4±1.2 Tg CO₂ yr⁻¹. The broad CO₂ plume suggests emissions from the geologic

reservoir – likely along the same pathways associated with CH₄ leakage – in addition to the focused

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emissions from the co-generation power plants. On China Loop Road (where the CO₂ surface plume was transected), strong crosswinds and light traffic would have prevented significant vehicular CO₂

536 contamination.

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For comparison, a recent bottom-up estimate of CH₄ emissions from the Kern Fields estimated 25±15 Gg
CH₄ yr⁻¹ by combining oil and gas production data with emissions factors for associated wells used by
US-EPA (Jeong et al., 2014). Thus, 19 Aug. 2015 CH₄ emissions were a third above inventories. A
number of factors likely play a role including the age of the Kern River oil field (over a century),
production factors (steam injection), shallowness of the reservoir (<300 m), location in a tectonically
active area, which creates alternate migration pathways from the reservoir (Leifer et al., 2013), and the
recent expansion of the number of wells in the Kern Front oil field (from GoogleEarth timeline imagery).

Many of these factors are common to other production fields in California, the US, and globally.

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These results agree with a recent metastudy of field studies of FFI production emissions, which showed significant underestimation in the EPA budget (Brandt et al., 2014; Miller et al., 2013). Given the importance or dominance of FFI emissions in anthropogenic greenhouse gas budgets, an increase of 25-50% of the FFI contribution requires either reduction in another budget category, and/or an increase in the loss rate. However, a recent husbandry emissions study also suggested significant underestimation (Gentner et al., 2014). Thus, the present study supports the hypothesis that CH₄ loss rates are underestimated. For example a recent study identified a new loss mechanism in near-surface soils (Fernandez-Cortes et al., 2015). In any case, this study highlights the need for improved measurement tools to reduce the significant uncertainty in the CH₄ budget and also satellite measurement validation, particularly for complex terrain and in the source's near field. Mountainous terrain affects about half the earth's population and half the earth's surface (Meyers and Steenburgh, 2013).

5. Conclusion

This study showed how to combine airborne and surface *in situ* data to improve emissions derivation, and demonstrated the novel use of topography to characterize vertical atmospheric structure with a surface mobile platform. Given that mountains cover a significant fraction of the earth's land surface, and that airplane logistics often are beyond the available resources for many researchers, there are many opportunities to apply these techniques globally. Data showed the PBL was not well-mixed, even 10-20 km downwind, highlighting the importance of the direct flux quantification experimental design.

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| 566 | Table of Nomenclature | | |
|-----|-----------------------|--------------------------------------|--|
| 567 | | Units | Description |
| 568 | AJAX | (-) | Alpha Jet Atmospheric eXperiment |
| 569 | AMOG | (-) | AutoMObile trace Gas |
| 570 | Bbl | (-) | Barrel (of oil) 1 bbl = 6.38 m^3 |
| 571 | COMEX | (-) | CO2 and MEthane eXperiment |
| 572 | EOR | (-) | Enhanced oil recovery (techniques) |
| 573 | EPA | (-) | Environmental Protection Agency |
| 574 | GCE | (-) | GOSAT COMEX Experiment |
| 575 | GHG | (-) | Greenhouse Gases |
| 576 | GOSAT | (-) | Greenhouse gases Observing SATellite |
| 577 | GHG | (-) | Greenhouse gas |
| 578 | PBL | (-) | Planetary Boundary Layer |
| 579 | SJV | (-) | San Joaquin Valley |
| 580 | Tg | | Terragram (10 ¹² g) |
| 581 | UTZ | (-) | Universal time |
| 582 | C'(x,z) | (ppm) | concentration anomaly (above C_B) |
| 583 | C(x,z) | (ppm) | concentration |
| 584 | $C_B(x,z)$ | (ppm) | background concentration - outside plume |
| 585 | $C_{BL}(z)$ | (ppm) | background concentration profile – left side of profile |
| 586 | $C_{BR}(z)$ | (ppm) | background concentration profile – right side of profile |
| 587 | E | $(\text{mol } \text{s}^{-1})$ | Emission source strength |
| 588 | N' | (mol cm ⁻³) | molar mass anomaly |
| 589 | Q(x,z) | $(\text{mol m}^{-2} \text{ s}^{-1})$ | Flux through the data plane |
| 590 | R^2 | (-) | Correlation coefficient |
| 591 | RH | (%) | Relative humidity |
| 592 | T | (°C) | Temperature |
| 593 | $U_n(x,z)$ | $(m s^{-1})$ | Winds normal to the data plane, a function of (x, z) |
| 594 | U_{north} | $(m s^{-1})$ | North wind component |
| 595 | U_{west} | $(m s^{-1})$ | West wind component |
| 596 | x | (m) | lateral distance - approximately cross-wind |
| 597 | $x_{ m L}$ | (m) | left half of the transect ($x < x_{\text{max}}/2$) |
| 598 | x_{max} | (m) | length of a transect |
| 599 | $x_{\rm R}$ | (m) | right half of the transect ($x > x_{\text{max}}/2$) |
| | 22 | | |

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| 600 | y | (m) | lateral distance - approximately co-wind |
|-----|---------------------------|-----|---|
| 601 | z | (m) | altitude |
| 602 | $\Phi_L(C)$ | (-) | concentration probability distribution for left side of transect |
| 603 | $\Phi_R(C)$ | (-) | concentration probability distribution for right side of transect |
| 604 | $\Phi_P(C)$ | (-) | concentration probability distribution for the plume |
| 605 | $\Phi_B(C)$ | (-) | concentration probability distribution for the background |
| 606 | α, α' | (-) | designation for Delano - Alta Sierra surface transect |
| 607 | ε, ε' | (-) | designation for Edison- Breckenridge Mtn. surface transect |
| 608 | τ, τ' | (-) | designation for Breckenridge - Caliente surface transect |
| 609 | β, β', β_1' | (-) | designation for Wasco - Granite surface transect |
| 610 | γ, γ' | (-) | designation for Oildale - Oil City surface and airborne transects |
| 611 | δ , δ ' | (-) | designation for Ming Park – Arvin surface and airborne transects |

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Data Availability. Data will be provided as per the data policy.

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615 Author Contribution. I. Leifer prepared the manuscript with input from all co-authors. C. Melton

prepared figures and conducted data analysis. M. Fischer helped prepare the emissions budgets. J. Frash

617 helped with AMOG data collection. L. Iraci, J. Marrero, J-M. Ryoo, T. Tanaka, and E. Yates are part of

the AJAX team and worked to collect and analyze AJAX data.

There are no competing interests

620

- 621 **Acknowledgements:** We thank the NASA Earth Science Division, Research and Analysis Program, grant
- 622 NNX13AM21G. MLF was supported by a grant from the California Energy Commission's Natural
- 623 Gas Research Program to the Lawrence Berkeley National Laboratory under contract DE-AC02-
- 36605CH11231. AJAX data were collected under the AJAX project, which acknowledges the partnership
- of H211, LLC and support from the Ames Research Center Director's funds.

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